# Data Science Final Project Report

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Abstract—Many e-commerce platforms need to search for similar or identical products given some query image. Doing so can increase the platform's ability to recommend interesting products or analyze purchasing trends across product categories. For the eBay eProduct Visual Search Challenge, participants take a set of query images and search a large index set for images of matching products. We first train a model to recognize the hierarchical structure of the different image categories. Then, we use our model's output to produce hashes of the index images and query images using locality sensitive hashing, and locate identical products by comparing these hashes. This paper describes the motviation, approach, and results of the comptetition.

#### I. Introduction

E-commerce platforms continue to grow and play a large role in consumer's shopping behavior. Especially with the pandemic, more people relied on such platforms for many of their purchases [1].

Platforms where users directly sell their own products especially benefit from finding images of identical products. When a user searches for a product, he or she expects the results to contain images of the same product. On sites like eBay, identifying identical products can be very useful when aggregating sales of different listings of the same product. Not only do e-commerce platforms rely on such visual search, but also visual search engines such as Google Images, where the user can use an image as a query instead of a search term.

The eBay eProduct Visual Recognition Challenge [2] consists of finding images of the same product from a large index set of images. This challenge is one of fine-grained visual classification, since we are trying to find images of *the same* product. Similar yet non-identical products can differ only by very fine details; likewise, images of identical products can differ only in lighting conditions or other small factors, increasing the difficulty of the task. Despite the possibility for many small changes, our model should be resilient to such invariant factors and be able to distinguish similar products from each other.

# II. COMPETITION DESCRIPTION

Current image datasets do not focus enough on super fine-grained object detection. This prompted the authors to create the eProduct dataset focusing on fine-grained visual recognition. The dataset is divided into training, validation, and query sections.

The training set consists of around 1.3 million labeled images modelled after the ImageNet [3] dataset. Each image



Fig. 1. eProduct dataset structure. The top section contains a sample training image and the meta, level 2, and leaf categories. Each level contains a more specific type of product, and the leaf category can still contain slightly different, non-matching products. The bottom section shows the query image and the identical products from the index set, along with a set of *distractor* products that do not match with any query image. Source: [2]

also comes with three levels of hierarchical labels: its meta class (16 total), level 2 class (17 total), and the leaf class (1,000 total) as well as the product title and a unique identifier. Also like ImageNet, the validation set contains 50,000 images, each containing the same information for each image as the training set.

The testing set contains 10,000 query images with only the unque identifier. Given one of these query images, our task is to search for identical products to this query in a 1.1 million image index set, also provided as part of the testing set. The index set contains *groundtruth sets* which are a match with any of the query images and a *distractor set* which does not match with any query image. Fig. 1 describes the structure of the dataset and the challenge posed by similar products.

#### III. RELATED WORK

There are many different approaches to dealing with image similarity and image ranking. Listwise loss [4] tries to rank the images in an index set that are relevant to a particular query given binary labels of relevance. They split the interval [-1,1] into M-1 bins and calculate the average precision of each bin for all the images in a batch. Although this method addresses the same issue as the competition, it requires labels to know if an image in the index set is relevant for a given query

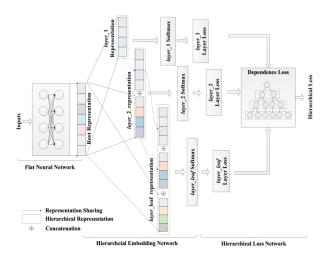


Fig. 2. Deep Hierarchical Embedding's structure. The network first generates a representation for each hierarchical layer which is the concatenation of the prevoius layer's representation and the current layer. Then we calculate the loss function for each layer's prediction and use this to calculate dependence and hierarchical loss. Source: [7]

image. During training, we only had access to the training set of images (not the query), and during evaluation the query images had no labels. Thus it was unsuited for our competition.

Another approach [5] uses metric learning to better learn a discriminative function. They obtain the weight  $p_y$  of a class y and maximize the product  $x^Tp_y$  over all classes. This means that  $p_y$  can act as a proxy for each class, and the greater the product with the feature vector x the greater the chance it belongs in that class. By using the proxy information, the normalized softmax maximizes the distance between different class proxies. The class-balanced sampling benefits from larger class sizes, which were not possible on our hardware. Another issue for this approach is that the eProduct dataset is highly imabalanced, which means that if S samples from C classes were sampled each iteration, some inputs would be seen by our model much more often than samples of other classes. The datasets tested in the paper are not imbalanced.

Twin bottleneck hashing [6] uses the output of a feature extractor  $x \in \mathbb{R}^D$  to construct a continuous output z and a binary hash vector b. The continuous z passes through a Graph Convolutional Network (GNN) based on the adjacency matrix A built from the binary hashes in the batch. The final result z' goes through two fully connected layers that act as the decoder. The proposed model seemed too complex for our problem, given it uses both a feature extractor and a GCN trained in an adversarial way.

# IV. METHOD DESCRIPTION

The visual search problem can be divided into two major parts: training a deep model to obtain an embedding z and calculating the similarity between z and the items in the index set. We train a deep model for the first part and use locality sensitive hashing to find the similarities.

# A. Deep Learning Model

The idea behind using a deep model is to obtain a vector z that captures the important information of an image. The contents of the vector should be informed not only by the image contents, but also the hierarchical descriptions given as part of the training data. Since there are three hierarchical levels of information (meta class, level 2, leaf categories), our model should produce similar embeddings for products in similar categories. This means our model should incorporate this information in some way during training.

However, the categories are not independent of each other. For example, if a product belongs to a certain meta category, there is only a subset of child level 2 categories, and similar for child classes. Our model should take these parent-child relationships into account.

We based our work on Deep Hierarchical Classification [7] (DHC), since this model seemed specifically designed for hierarchical e-commerce classification. The architecture contains a flat neural network(FNN)  $f(\theta)$  which generates a representation for each hierarchical layer  $R'_l$ . This representation is the concatenation of the previous hierarchical layer's representation  $R_l = R_{l-1} \oplus R'_l$  for  $l \neq 1$ . The first layer's representation is not concatenated with anything else. Each layer's representation passes through the softmax activation function.

The model contains two loss functions: the layer loss and dependence loss. The layer loss for a hierarchical layer l uses the output from the softmax function.

$$lloss_l = -\sum_{j=0}^{|l|} y_{lj} \log(\tilde{y}_{lj})$$
 (1)

where  $y_{lj}$  is the expected output on the jth class of the lth layer.

The dependence loss aims to capture the parent-child relationship among our predicted class labels for hierarchical levels. The loss function penalizes an incorrect parent-child relationship with terms  $\mathbb D$  and  $\mathbb I$ .

$$dloss_{l} = -(ploss_{(l-1)})^{\mathbb{D}_{l}\mathbb{I}_{l-1}}(ploss_{l})^{\mathbb{D}_{l}\mathbb{I}_{l}}$$
(2)

where

$$\mathbb{D}_{l} = \begin{cases} 1 \text{ if } \hat{y}_{l} \implies \hat{y}_{(l-1)} \\ 0 \text{ else} \end{cases}$$

$$\mathbb{I}_l = \left\{ \begin{array}{l} 1 \text{ if } \hat{y}_l \neq y_l \\ 0 \text{ else} \end{array} \right.$$

In our model, ploss is set to a constant, and  $\hat{y} \implies \hat{y}_{(l-1)}$  means " $\hat{y}_l$  is *not* the child of  $\hat{y}_{(l-1)}$ ". Combining lloss and dloss, we get our final loss function

$$\mathcal{L}(\theta) = \sum_{i=1}^{L} \alpha_i lloss_i + \sum_{i=2}^{L} \beta_i dloss_i$$
 (3)

Our task during training is to predict the three hierarchical classes of each image.

# B. Locality Sensitive Hashing

Nearest-neighbor algorithms allow us to compare the similarity between two data items using some metric. However, common algorithms would be prohibitive to run with millions of images in the index set. Locality sensitive hashing(LSH) [8] [9] [10] [11] finds approximate nearest neighbors, sacrificing correctness for speed and scalability. LSH hashes the inputs and assigns them to buckets, however LSH maximizes the similarity of items in the same bucket. Unlike regular hashing algorithms which try to minimize collisions, LSH tries to maximize the probability that items in the same bucket are of the same class.

Our hash function requires k random hyperplanes that split some d-dimensional space. We then test whether the ith element in our point a lies above or below the ith hyperplane. The string of ones and zeros becomes the item's hash. Items close together in the embedding space will have similar hashes because they will likely be above or below the hyperplanes in the same way. The implementation of LSH is quite straightforward. The hyperplanes are the columns of a randomly initialized matrix W. The sign of the dot product  $w_j^T a$  tells us whether a lies above or below the jth hyperplane, and whether the jth character in the hash string should be one or zero.

To query this hashtable, we hash the query item and retreive all the items from the matching bucket. We can use some metric such as cosine distance or hamming distance to find the closest items in the bucket.

#### V. TRAINING AND EXPERIMENTS

We train a ResNet50 [12] model to predict the classes at each hierarchical level for an input image. That is, our model generates a prediction for the images meta class, level 2 class, and leaf class. We use a learning rate of 0.0002 and train for 12 epochs. For data augmentations, we just resize the images to  $64 \times 64$  and normalize. Our validation accuracy after training was 73.8% for meta class, 66.9% for level 2 class, and 51.5% for leaf class.

After training our model, we had to construct the hashtable for the embeddings of the index set images. We run each image through our model to obtain predictions for the three hierarchical levels and insert it to the hashtable. The hashtable takes the input vector and produces a hash string of length 20. Originally, we concatenated the predictions for meta, level 2, and leaf node into a 3-dimensional vector to index into the hash table. After submitting our predictions, we found our accuracy to be quite low, so we instead used the predictions for meta and level 2 class and the entire leaf node vector for a combined 1002-dimensional vector to index to our hash table. We found that changing the dimensionality of the vector did not have a large impact on our predictions.

One of the main problems when inserting the index set embeddings was the size of the hashtable. Since a single hashtable could not fit into main memory at once, we had to create a separate hashtable for every 8,000 images, or 1000 batches, and write it separately to disk. In the end we had 137

hashtables to query per image, increasing the computation cost of inference significantly.

When obtaining matches for the evaluation set, we run each image from the query set through our model to obtain the class predictions for each hierarchical level. Using this embedding, we go through all the hashtables on disk and check the most similar item in that hashtable. For each table, we load it into memory, and query for the closest images. For two hash sequences  $h_1$  and  $h_2$  we can define their cosine distance.

$$dist(h_1, h_2) = 1 - \frac{h_1 \cdot h_2}{\|h_1\| \|h_2\|} \tag{4}$$

The lower the cosine distance, the closer the two images are in the hash space and thus more similar. In our experiments, we included all the images with a cosine distance smaller than 0.1. Following is a discussion of our results.

The competition used the mean average recall at k (MAR@k) to evaluate submissions.

MAR@
$$k = \frac{1}{N} \sum_{i=1}^{N} R_i$$
 (5)

The recall  $R_i$  is the proportion of true matches in the top-k recommendations. The competition set k = 10.

$$R_i = \frac{r_i}{q_i} \tag{6}$$

Using the methods as described, our MAR@10 was quite low – around 3%. Next, we propose some reasons why our model performed so poorly in the competition. Since we can no longer submit results, verifying our intuitions is not possible.

#### VI. RESULTS ANALYSIS

In this section we analyse some of the reasons we believe led to poor performance on the competition.

- DHC trained and tested the model on CIFAR-100 [13], which contains many less classes. The authors use their own split of the dataset and predict only two hierarchical layers.
- 2) Overestimating importance of hierarchical information.
- Too high of a distance cuttoff, leading to excess of candidates.

#### A. Different Class Numbers

The CIFAR-100 dataset contains only one 100 classes each containing 600 images per class. The authors of DHC split the dataset according to the coarse and fine labels. That means that there are many times less images than our dataset. Furthermore, the authors do not perform image retrieval tests, only classification.

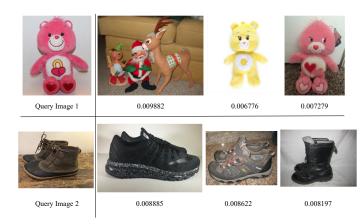


Fig. 3. Sample query images and their cosine distances with some similar and dissimilar items in the matching set. Our model performs acceptably as a general image classifier, however it seems unable to learn fine-grained differences in the images. For instance, in the top row, most of the matching images are of other teddy bears, although sometimes our model can still misclassify quite different images as matching. In the bottom row, for a harder query, even images with the lowest distances are still quite different. This discrepancy between query images highlights the difficulty in setting a uniform distance cutoff.

# B. Overestimation of Hierarchical Information

The training dataset, which was all that we had at the beginning of the competition, contains three levels of hierarchical labels as described in Sec. II. When choosing a model, we thought that these labels could allow us to more precisely match identical products. In the final result, the label information played little part in the hashing and embedding process. It might be the case that the model embeddings did not have sufficient intra-class variance, and that all inputs that belonged to the same set of hierarchical classes resulted in embeddings that were too similar.

As described in Sec. V, concatenating the class predictions when inserting them might have given too much importance to the meta and level 2 class predictions. We are after embeddings that are similar for objects that belong to the same category but not *so* similar that cannot further distinguish between different products.

### C. Too Lenient Distance

The ideal is to minimize the cosine distance in Eq. 4. Two identical images will have distance of 0. For our experiments, we used considered all images with similarity less than 0.1 as matches. However, this might have been too lenient, as some our images ended up with quite a lot of mathces. Having a stricter distance requirement might have helped our precision, although as mentioned above, re-evaluating the query set would have taken too much time. Since most of our team's submissions were made in the last couple of days before the end of the competition, it was not possible to run different experiments.

However, coming up with a strict distance cutoff is quite challenging. Fig.3 illustrates this dilemma for two sample query images. In the first row, the cosine distance is given between a sample query image and images our model predicts as referring to the same product. We show three samples from the matching set. For the teddy bear, occasionally an unrelated image will have very low cosine distance; however, the vast majority of matches are of other teddy bears or toys. But not the *same* teddy bear. The second query image of a pair of shoes is harder for our model. Even the images in the matching set with low distance are quite different. Setting a uniform distance cutoff for similarity is tricky: too low and we can miss out on actual matches whose images differ, but too high and many false positives are included.

Our model works as a general image classifier. Even in the shoe query image, the matching images are still mostly other shoes. We believe the issue with our model comes down to the *fine-grained* aspect of the competition. Given many distractors, our model can only pick up that they are the same type of object but not different products.

#### VII. CONCLUSION

Joining the eBay eProduct Visual Search Challenge was quite an educational experience. We did not have any knowledge of visual search before joining this competition, and even though our performance left much to be desired, we learned to appreciate the complexity and requirements of this task. Visual search aids discovery of interesting products and facilitates data aggregation by many innovative platforms such as eBay. With millions of users, these platforms see many entries for the same product. Understanding similar products can aid these platforms improve their service and better undertand their users.

Visual search also brings its unique challenges. The sheer quantity of products on these platforms requires specialized methods. For example, traditional nearest-neighbors algorithms do not scale to the point required by many platforms. For our hardware, storing the hashes of all the index images proved too big, but for a platform with access to better hardware, storing a dataset such as the one used for the competition could still prove manageable.

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