

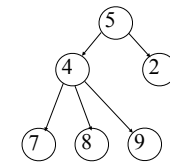


## Trees

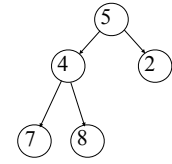
Lecture 7  
CS 2112 – Spring 2012

## Tree Overview

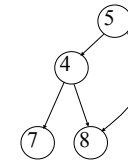
- **Tree**: recursive data structure (similar to list)
  - Each cell may have two or more *successors* (or *children*)
  - Each cell has at most one *predecessor* (or *parent*)
    - ♦ Distinguished cell called *root* has no parent
  - All cells reachable from *root*
- **Binary tree**: tree in which each cell can have at most two children: a left child and a right child



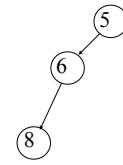
General tree



Binary tree



Not a tree

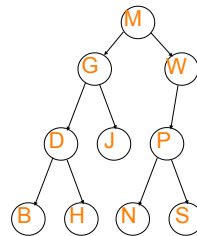


List-like tree

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## Tree Terminology

- M is the *root* of this tree
- G is the *root* of the *left subtree* of M
- B, H, J, N, and S are *leaves*
- N is the *left child* of P; S is the *right child*
- P is the *parent* of N
- M and G are *ancestors* of D
- P, N, and S are *descendants* of W
- Node J is at *depth* 2 (i.e., *depth* = length of path from root = number of edges)
- Node W is at *height* 2 (i.e., *height* = length of longest path to a leaf)
- A collection of several trees is called a ...?



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## Class for Binary Tree Cells

```
class TreeCell<T> {
    private T datum;
    private TreeCell<T> left, right;

    public TreeCell(T x) { datum = x; }
    public TreeCell(T x, TreeCell<T> l,
                    TreeCell<T> r) {
        datum = x;
        left = l;
        right = r;
    }
    more methods: getDatum, setDatum,
    getLeft, setLeft, getRight, setRight
}
```

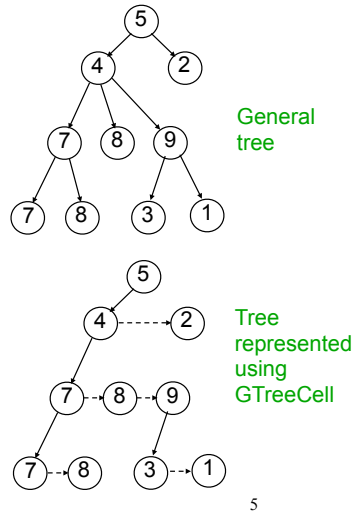
```
... new TreeCell<String>("hello") ...
```

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## Class for General Trees

```
class GTreeCell {
    private Object datum;
    private GTreeCell left;
    private GTreeCell sibling;
    appropriate getter and
    setter methods
}
```

- Parent node points directly only to its leftmost child
- Leftmost child has pointer to next sibling, which points to next sibling, etc



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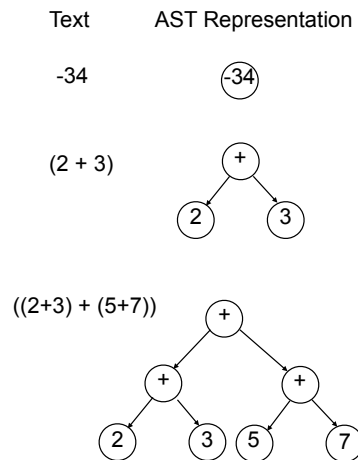
## Applications of Trees

- Most languages (natural and computer) have a recursive, hierarchical structure
- This structure is *implicit* in ordinary textual representation
- Recursive structure can be made *explicit* by representing sentences in the language as trees: **Abstract Syntax Trees (ASTs)**
- ASTs are easier to optimize, generate code from, etc. than textual representation
- A **parser** converts textual representations to AST

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## Example

- Expression grammar:
  - $E \rightarrow \text{integer}$
  - $E \rightarrow (E + E)$
- In textual representation
  - Parentheses show hierarchical structure
- In tree representation
  - Hierarchy is explicit in the structure of the tree



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## Recursion on Trees

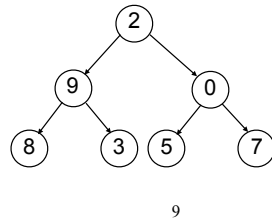
- Recursive methods can be written to operate on trees in an obvious way
- Base case
  - empty tree
  - leaf node
- Recursive case
  - solve problem on left and right subtrees
  - put solutions together to get solution for full tree

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## Searching in a Binary Tree

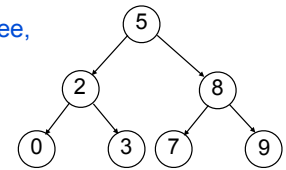
```
public static boolean treeSearch(Object x,
                                   TreeCell node) {
    if (node == null) return false;
    if (node.datum.equals(x)) return true;
    return treeSearch(x, node.left) ||
           treeSearch(x, node.right);
}
```

- Analog of linear search in lists:  
given tree and an object, find out if  
object is stored in tree
- Easy to write recursively, harder to  
write iteratively



## Binary Search Tree (BST)

- If the tree data are *ordered* – in any subtree,
  - All *left* descendants of node come *before* node
  - All *right* descendants of node come *after* node
- This makes it *much* faster to search

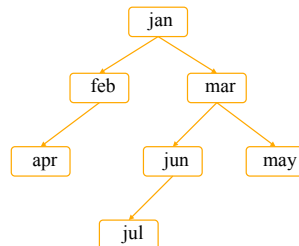


```
public static boolean treeSearch (Object x, TreeCell node) {
    if (node == null) return false;
    if (node.datum.equals(x)) return true;
    if (node.datum.compareTo(x) > 0)
        return treeSearch(x, node.left);
    else return treeSearch(x, node.right);
}
```

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## Building a BST

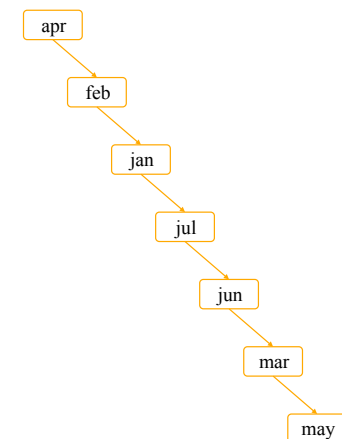
- To insert a new item
  - Pretend to look for the item
  - Put the new node in the place  
where you fall off the tree
- This can be done using  
either recursion or iteration
- Example
  - Tree uses *alphabetical order*
  - Months appear for insertion in  
*calendar order*



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## What Can Go Wrong?

- A BST makes searches  
very fast, *unless...*
  - Nodes are inserted in  
alphabetical order
  - In this case, we're basically  
building a linked list (with  
some extra wasted space for  
the *left* fields that aren't  
being used)
- BST works great if data  
arrives in random order



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## Printing Contents of BST

- Because of the ordering rules for a BST, it is easy to print the items in alphabetical order

- Recursively print everything in the left subtree
- Print the node
- Recursively print everything in the right subtree

```
/**
 * Show the contents of the BST in
 * alphabetical order
 */
public void show() {
    show(root);
    System.out.println();
}

private static void show(TreeNode node) {
    if (node == null) return;
    show(node.lchild);
    System.out.print(node.datum + " ");
    show(node.rchild);
}
```

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## Tree Traversals

- “Walking” over the whole tree is a *tree traversal*
- There are other standard kinds of traversals
  - Preorder traversal
    - ♦ Process node
    - ♦ Process left subtree
    - ♦ Process right subtree
  - Postorder traversal
    - ♦ Process left subtree
    - ♦ Process right subtree
    - ♦ Process node
  - Level-order traversal
    - ♦ Not recursive
    - ♦ Uses a queue
- This is done often enough that there are standard names
- The previous example is an *inorder traversal*
  - ♦ Process left subtree
  - ♦ Process node
  - ♦ Process right subtree
- Note: we’re using this for printing, but any kind of processing can be done

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## Some Useful Methods

```
//determine if a node is a leaf
public static boolean isLeaf(TreeCell node) {
    return (node != null) && (node.left == null)
        && (node.right == null);
}

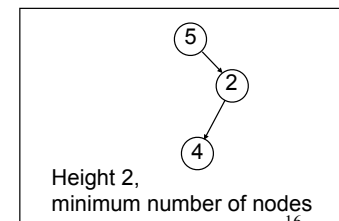
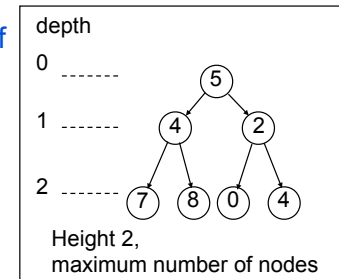
//compute height of tree using postorder traversal
public static int height(TreeCell node) {
    if (node == null) return -1; //empty tree
    if (isLeaf(node)) return 0;
    return 1 + Math.max(height(node.left),
        height(node.right));
}

//compute number of nodes using postorder traversal
public static int nNodes(TreeCell node) {
    if (node == null) return 0;
    return 1 + nNodes(node.left) + nNodes(node.right);
}
```

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## Useful Facts about Binary Trees

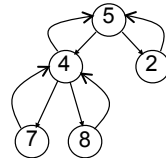
- $2^d$  = maximum number of nodes at depth d
- If height of tree is h
  - Minimum number of nodes in tree =  $h + 1$
  - Maximum number of nodes in tree =  $2^0 + 2^1 + \dots + 2^h = 2^{h+1} - 1$
- Complete binary tree
  - All levels of tree down to a certain depth are completely filled



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## Tree with Parent Pointers

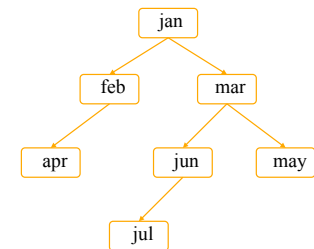
- In some applications, it is useful to have trees in which nodes can reference their parents
- Analog of doubly-linked lists



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## Things to Think About

- What if we want to *delete* data from a BST?
- A BST works great as long as it's *balanced*
  - How can we keep it balanced?



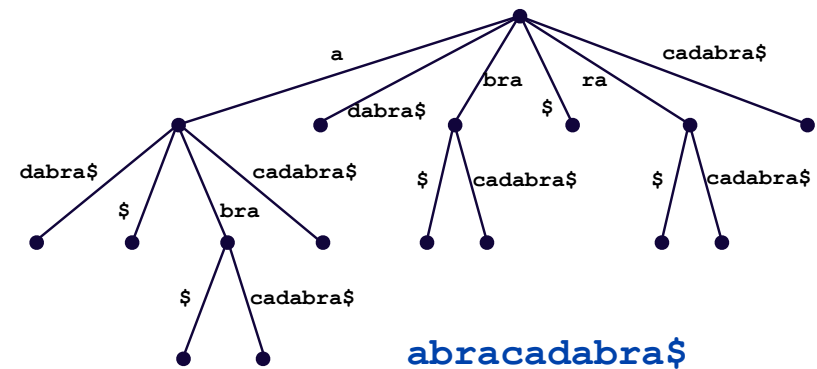
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## Suffix Trees

- Given a string  $s$ , a suffix tree for  $s$  is a tree such that
  - each edge has a unique label, which is a nonnull substring of  $s$
  - any two edges out of the same node have labels beginning with different characters
  - the labels along any path from the root to a leaf concatenate together to give a suffix of  $s$
  - all suffixes are represented by some path
  - the leaf of the path is labeled with the index of the first character of the suffix in  $s$
- Suffix trees can be constructed in linear time

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## Suffix Trees



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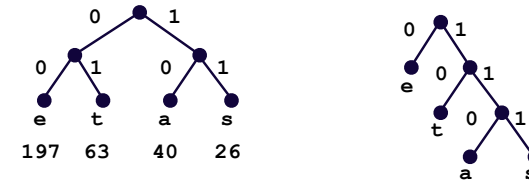
## Suffix Trees

- Useful in string matching algorithms (e.g., longest common substring of 2 strings)
- Most algorithms linear time
- Used in genomics (human genome is ~4GB)



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## Huffman Trees



Fixed length encoding

$$197*2 + 63*2 + 40*2 + 26*2 = 652$$

Huffman encoding

$$197*1 + 63*2 + 40*3 + 26*3 = 521$$

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## Huffman Compression of "Ulysses"

```
' ' 242125 00100000 3 110
'e' 139496 01100101 3 000
't' 95660 01110100 4 1010
'a' 89651 01100001 4 1000
'o' 88884 01101111 4 0111
'n' 78465 01101110 4 0101
'i' 76505 01101001 4 0100
's' 73186 01110011 4 0011
'h' 68625 01101000 5 11111
'r' 68320 01110010 5 11110
'l' 52657 01101100 5 10111
'u' 32942 01110101 6 111011
'g' 26201 01100111 6 101101
'f' 25248 01100110 6 101100
'.' 21361 00101110 6 011010
'p' 20661 01110000 6 011001
...
'7' 68 00110111 15 111010101001111
'/' 58 00101111 15 111010101001110
'X' 19 01011000 16 011000000100011
'&' 3 00100110 18 011000000010001010
'%' 3 00100101 19 0110000000100010111
'+' 2 00101011 19 0110000000100010110
```

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## BSP Trees

- BSP = Binary Space Partition
- Used to render 3D images composed of polygons
- Each node **n** has one polygon **p** as data
- Left subtree of **n** contains all polygons on one side of **p**
- Right subtree of **n** contains all polygons on the other side of **p**
- Order of traversal determines occlusion!

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## Tree Summary

- A *tree* is a recursive data structure
  - Each cell has 0 or more successors (*children*)
  - Each cell except the *root* has at exactly one predecessor (*parent*)
  - All cells are reachable from the *root*
  - A cell with no children is called a *leaf*
- Special case: *binary tree*
  - Binary tree cells have a left and a right child
  - Either or both children can be null
- Trees are useful for exposing the recursive structure of natural language and computer programs