

# Week 3

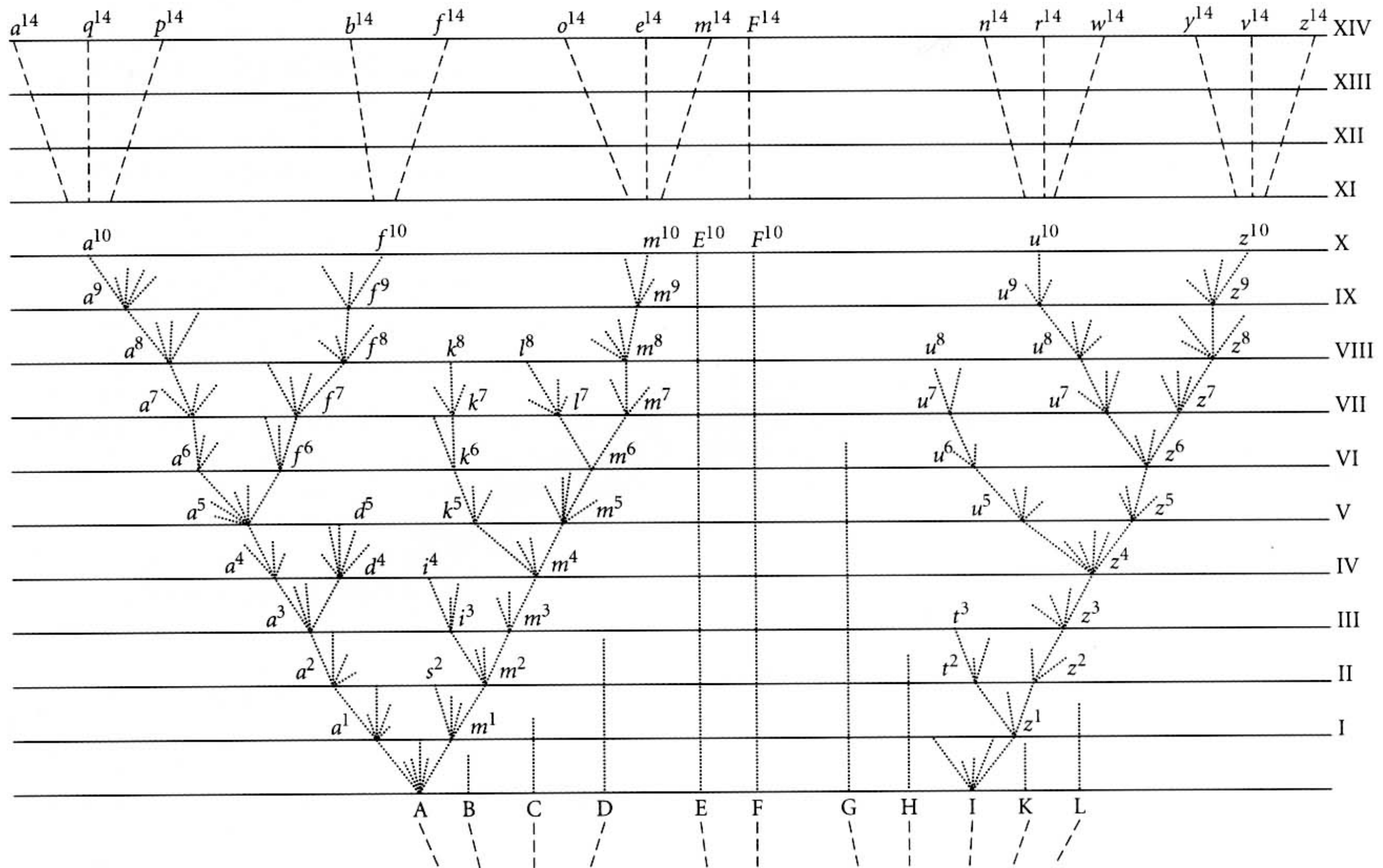
Alignment, homology, evolution

# Charles Darwin (1809-1882)

- Avid Naturalist from youth
- Bad Student
- Quit Med School
- Tried Clergy
- Connections got him the Naturalist job on the Beagle



# The only figure in the Origin of Species





# Chapter 1: Variation under Domestication



Fig. 18.—English Pouter.

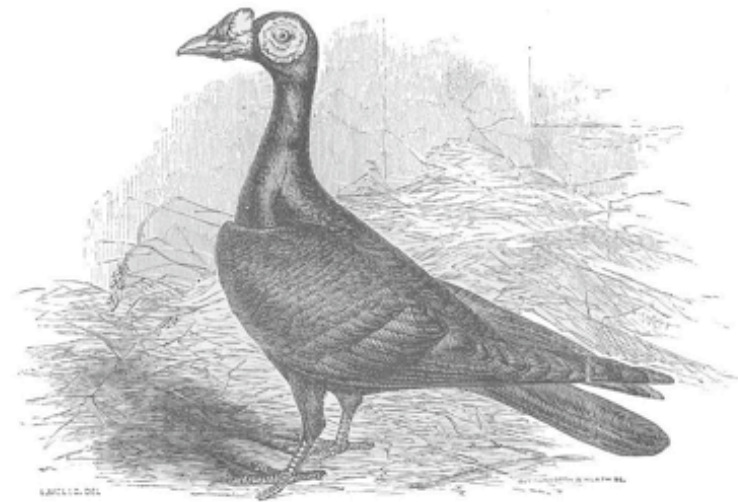


Fig. 19.—English Carrier.

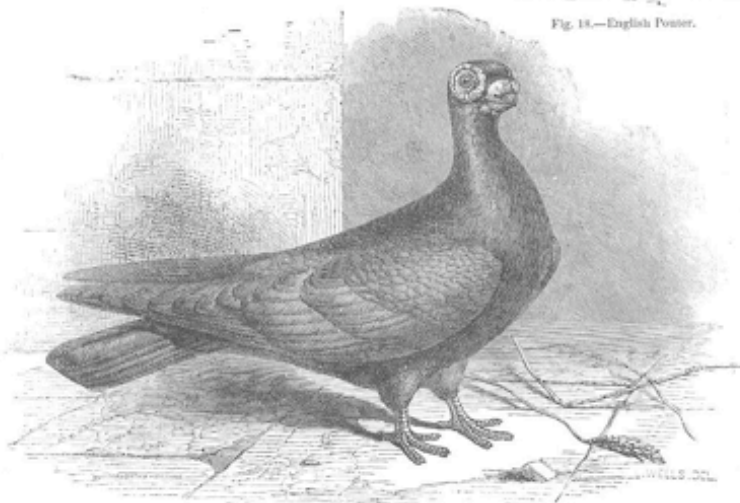


Fig. 20.—English Turb.



Fig. 17.—The Rock Pigeon, or *Columba livia*.  
The parent-form of all domesticated Pigeons.

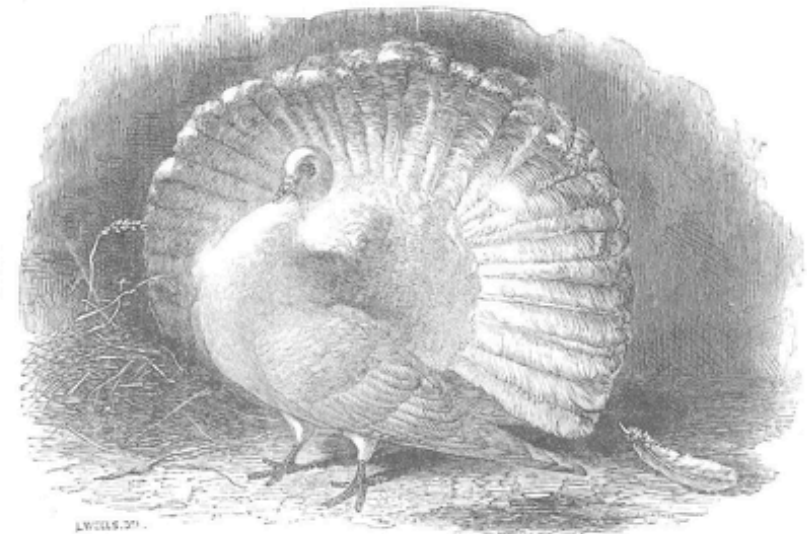


Fig. 21.—English Fantail.

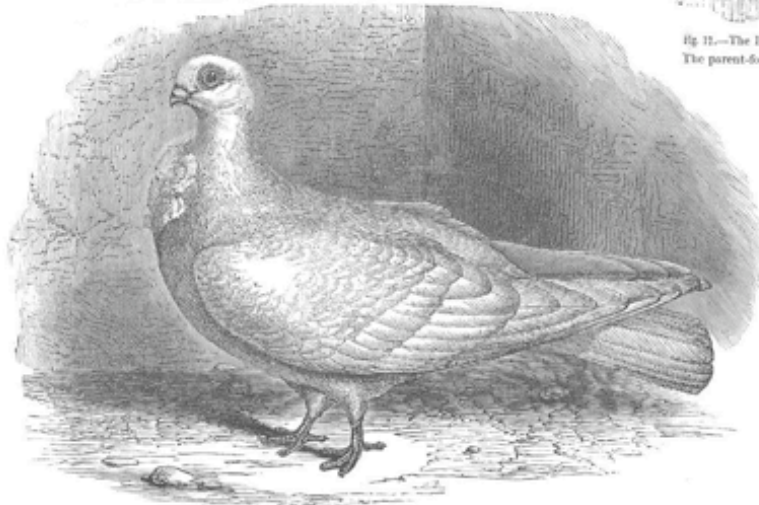


Fig. 22.—African Owl.



Fig. 23.—Shot-faced English Tumbler.



# Domesticated *Brassica oleracea* (Cabbages)



Cauliflower



Kohlrabi



Broccoli



Turnips

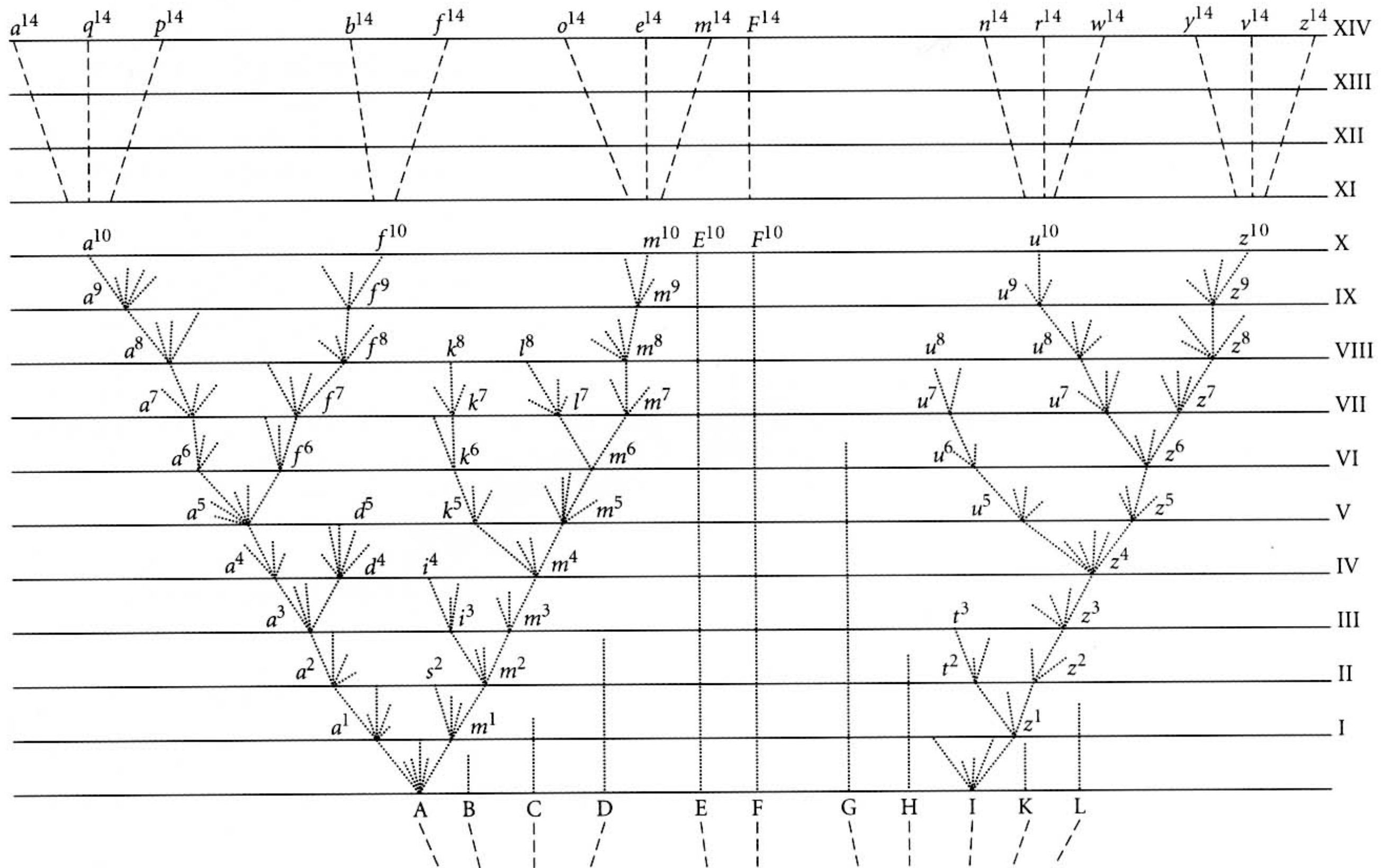


Kale



Brussel Sprouts

# The only figure in the Origin of Species



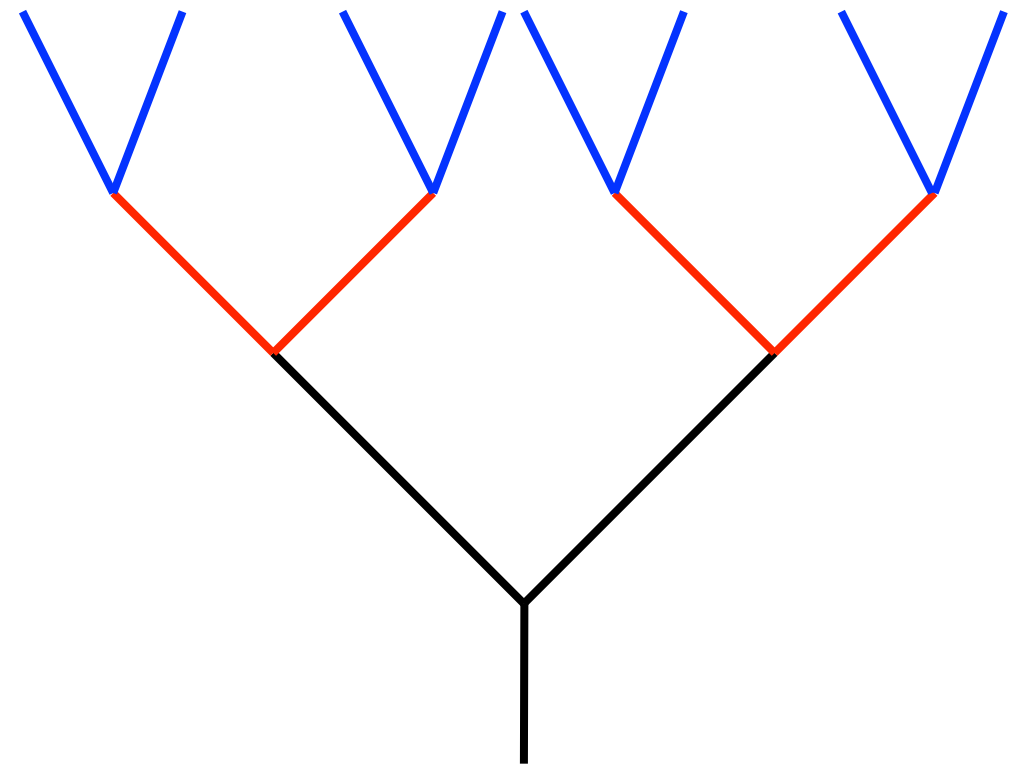


# From Speciation to Phylogenies

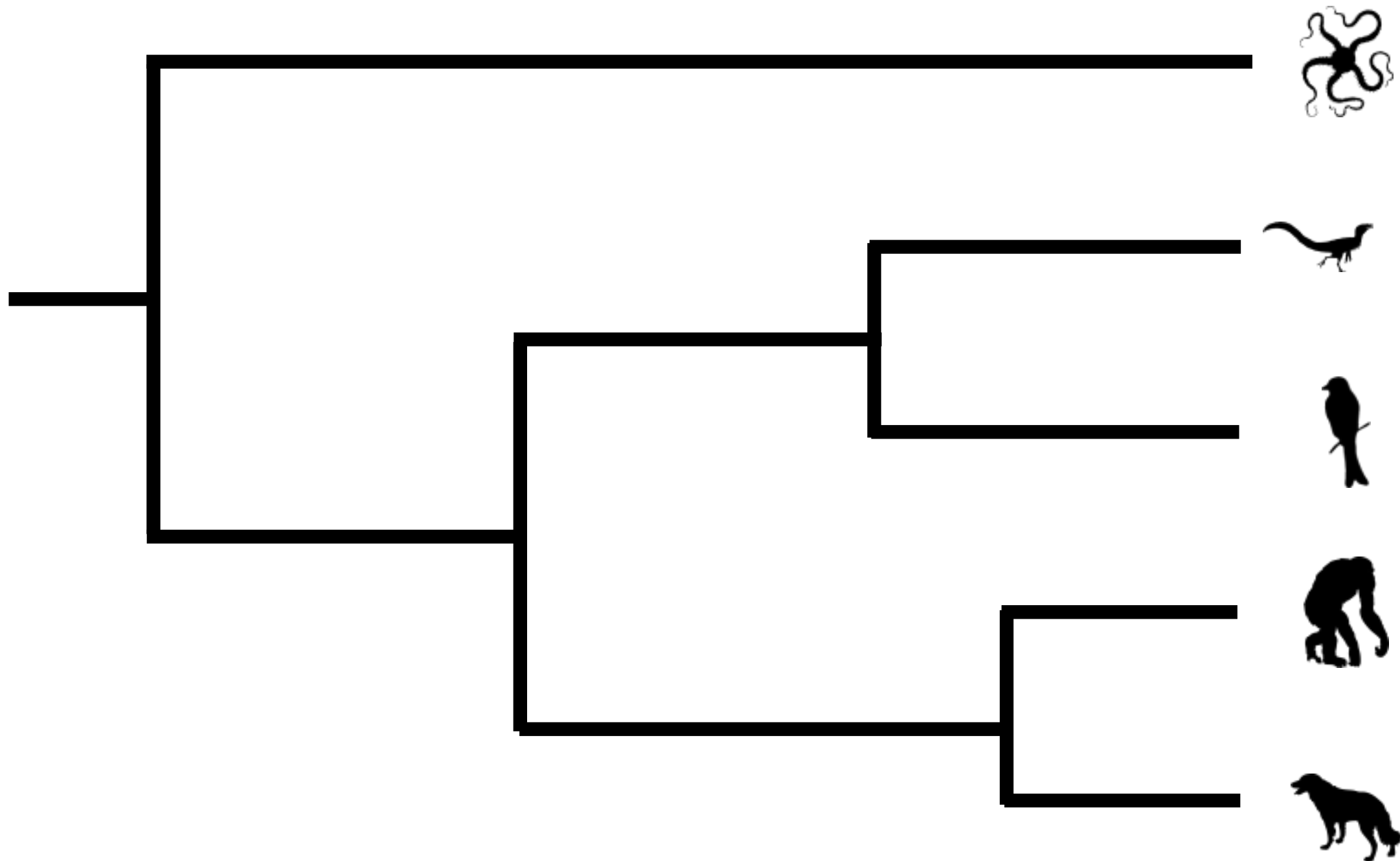
Many rounds of speciation  
define a unique phylogenetic  
tree of relationships

Sequential speciation  
creates a hierarchy

Speciation is lineage splitting



# Phylogenies

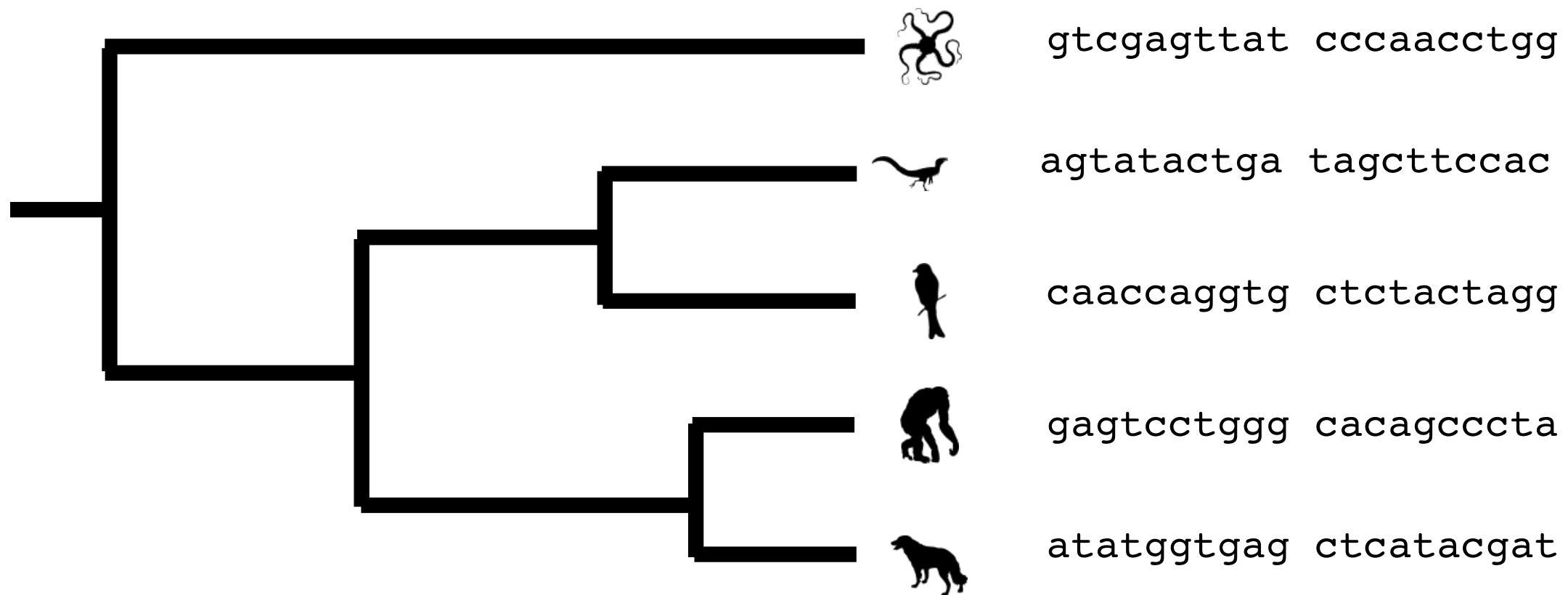


a.k.a. evolutionary trees

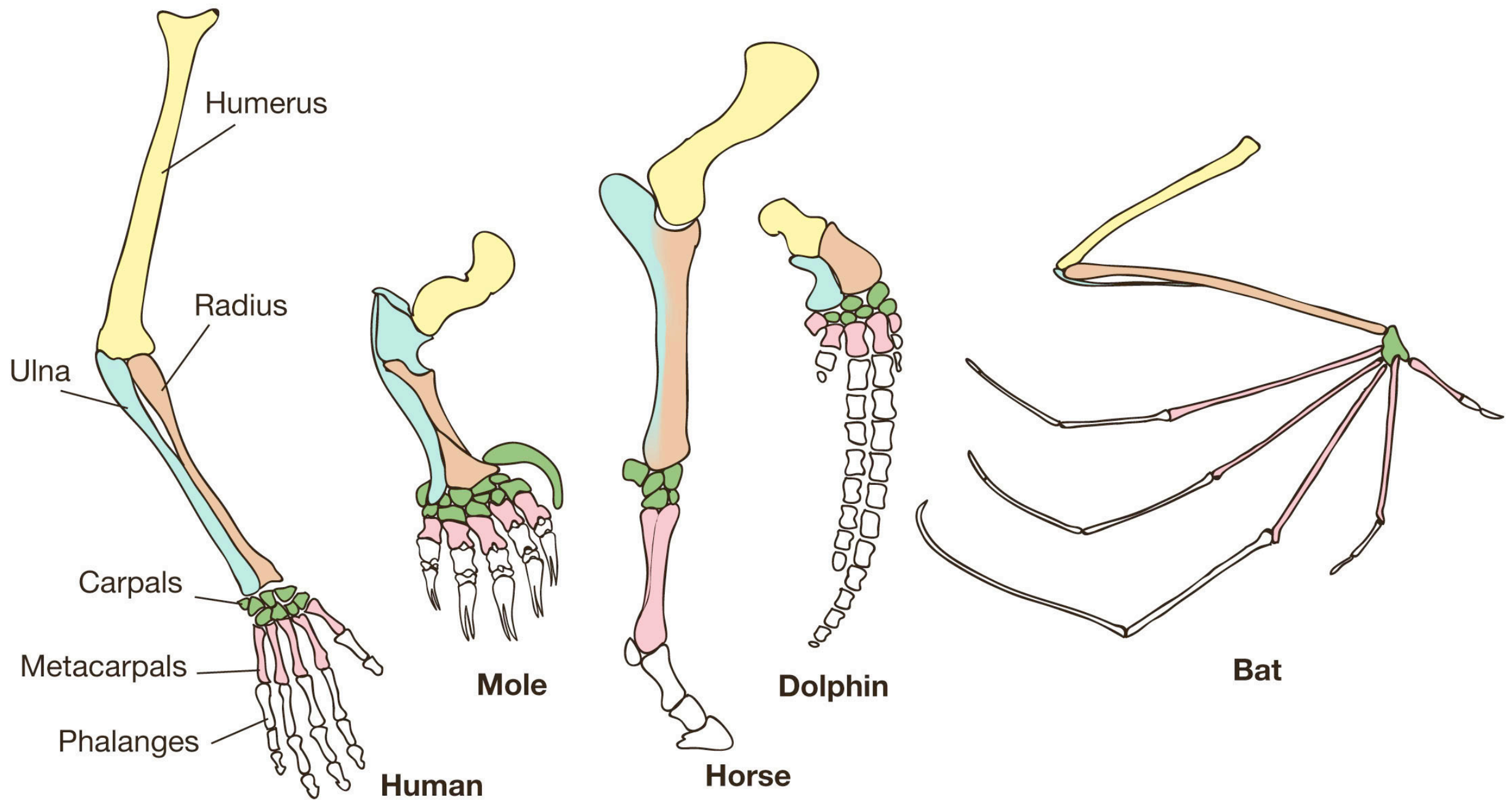


# Phylogenies

## Genome Sequence



Homology:  
similarity of structure due to descent from a common ancestor



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# Molecular Homology of Amino Acid positions in Proteins

Bacteria	P L F D F A Y Q G F A R G – L E E D A E G L R A F A A M H K E L I V A S S Y S K N F G L Y N E R V G
Yeast	A L F D T A Y Q G F A T G D L D K D A Y A V R X X L S T V S P V F V C Q S F A K N A G M Y G E R V G
Alfalfa	P F F D S A Y Q G F A S G S L D A D A Q P V R L F V A D G G E L L V A Q S Y A K N M G L Y G E R V G
Chicken	P F F D S A Y Q G F A S G S L D K D A W A V R Y F V S E G F E L F C A Q S F S K N F G L Y N E R V G
Rat	P F F D S A Y Q G F A S G D L E K D A W A I R Y F V S E G F E L F C P Q S F S K N F G L Y N E R V G
Horse	P F F D S A Y Q G F A S G N L D R D A W A V R Y F V S E G F E L F C A Q S F S K N F G L Y N E R V G
Pig	P F F D S A Y Q G F A S G N L E K D A W A I R Y F V S E G F E L F C A Q S F S K N F G L Y N E R V G
Human	P F F D S A Y Q G F A S G N L E R D A W A I R Y F V S E G F E F F C A Q S F S K N F G L Y N E R V G

**Figure 12-1**

*A comparison of eight organisms for a 50-amino-acid-long sequence of the enzyme aspartate transaminase. For the amino acid abbreviations, see Fig. 7-2 or Table 12-2. (Adapted from Benner et al.)*



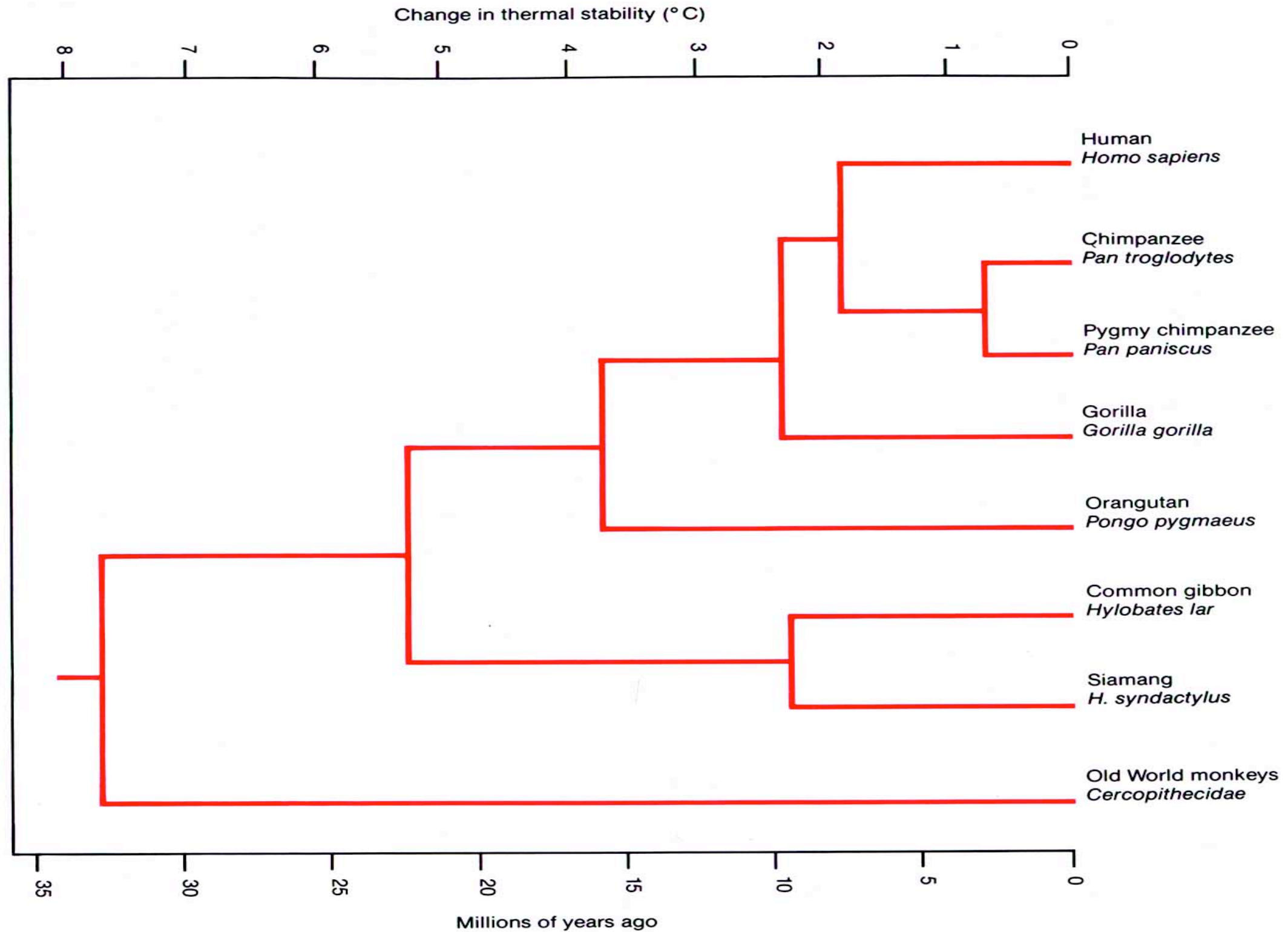
# Deep homology of genetic code

		Second nucleotide in codon																	
		U				C				A				G					
First nucleotide in codon (5' end)	U	UUU	Phe	F	Phenylalanine	UCU	Ser	S	Serine	UAU	Tyr	Y	Tyrosine	UGU	Cys	C	Cysteine	Third nucleotide in codon (3' end)	
		UUC	Phe	F	Phenylalanine	UCC	Ser	S	Serine	UAC	Tyr	Y	Tyrosine	UGC	Cys	C	Cysteine		
		UUA	Leu	L	Leucine	UCA	Ser	S	Serine	UAA	Termination			UGA	Termination				
		UUG	Leu	L	Leucine	UCG	Ser	S	Serine	UAG	Termination			UGG	Trp	W	Tryptophan		
	C	CUU	Leu	L	Leucine	CCU	Pro	P	Proline	CAU	His	H	Histidine	CGU	Arg	R	Arginine		
		CUC	Leu	L	Leucine	CCC	Pro	P	Proline	CAC	His	H	Histidine	CGC	Arg	R	Arginine		
		CUA	Leu	L	Leucine	CCA	Pro	P	Proline	CAA	Gln	Q	Glutamine	CGA	Arg	R	Arginine		
		CUG	Leu	L	Leucine	CCG	Pro	P	Proline	CAG	Gln	Q	Glutamine	CGG	Arg	R	Arginine		
	A	AUU	Ile	I	Isoleucine	ACU	Thr	T	Threonine	AAU	Asn	N	Asparagine	AGU	Ser	S	Serine		
		AUC	Ile	I	Isoleucine	ACC	Thr	T	Threonine	AAC	Asn	N	Asparagine	AGC	Ser	S	Serine		
		AUA	Ile	I	Isoleucine	ACA	Thr	T	Threonine	AAA	Lys	K	Lysine	AGA	Arg	R	Arginine		
		AUG	Met	M	Methionine	ACG	Thr	T	Threonine	AAG	Lys	K	Lysine	AGG	Arg	R	Arginine		
	G	GUU	Val	V	Valine	GCU	Ala	A	Alanine	GAU	Asp	D	Aspartic acid	GGU	Gly	G	Glycine		
		GUC	Val	V	Valine	GCC	Ala	A	Alanine	GAC	Asp	D	Aspartic acid	GGC	Gly	G	Glycine		
		GUA	Val	V	Valine	GCA	Ala	A	Alanine	GAA	Glu	E	Glutamic acid	GGA	Gly	G	Glycine		
		GUG	Val	V	Valine	GCG	Ala	A	Alanine	GAG	Glu	E	Glutamic acid	GGG	Gly	G	Glycine		

Codon

Three-letter and single-letter abbreviations

# Phylogeny of Primates based on DNA-DNA Hybridization



# So want to focus on homologous traits / characters

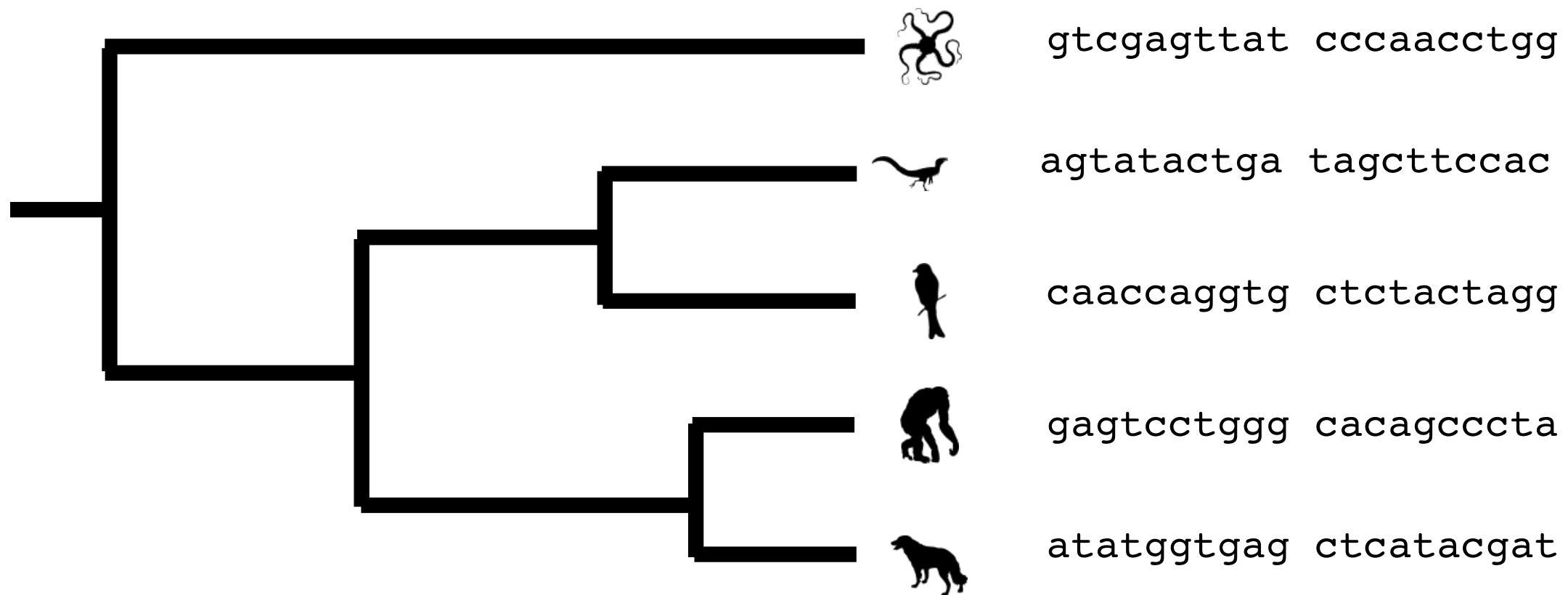
<i>Sequence1</i>	<b>-TCAGGA-TGAAC-----</b>
<i>Sequence2</i>	<b>ATCACGA-TGAACC----</b>
<i>Sequence3</i>	<b>ATCAGGAATGAATCC--</b>
<i>Sequence4</i>	<b>-TCACGATTGAATCGC-</b>
<i>Sequence5</i>	<b>-TCAGGAATGAATCGCM</b>

In genomes this means ALIGNMENT will be critical- which bases are the same bases?



# Phylogenies

## Genome Sequence



# Sequence Alignment

Key algorithms introduced starting in 1970

## **A General Method Applicable to the Search for Similarities in the Amino Acid Sequence of Two Proteins**

SAUL B. NEEDLEMAN AND CHRISTIAN D. WUNSCH

*Department of Biochemistry, Northwestern University, and  
Nuclear Medicine Service, V. A. Research Hospital  
Chicago, Ill. 60611, U.S.A.*

*(Received 21 July 1969)*

*J. Mol. Biol.* (1981), **147**, 195–197

## **Identification of Common Molecular Subsequences**

The identification of maximally homologous subsequences among sets of long sequences is an important problem in molecular sequence analysis. The problem is straightforward only if one restricts consideration to contiguous subsequences (segments) containing no internal deletions or insertions. The more general problem has its solution in an extension of sequence metrics (Sellers 1974; Waterman *et al.*, 1976) developed to measure the minimum number of “events” required to convert one sequence into another.

**Global:** Needleman-Wunsch algorithm


**Local:** Smith-Waterman algorithm

# Sequence Alignment

key idea— edit distance

how many changes to make two words / sets the same?

PEAR  
BEAR



edit distance: 1,  
swap 'P' for 'B'

SHOT  
SH-T



edit distance: 1,  
remove 'O'




# Sequence Alignment

key idea — edit distance

how many changes to make two words / sets the same?

PEAR  
BEAR



edit distance: 1,  
swap 'P' for 'B'


SHOT  
SH-T



edit distance: 1,  
remove 'O'

**mismatch**

PEAR  
BEAR




# Sequence Alignment

key idea — edit distance

how many changes to make two words / sets the same?

PEAR  
BEAR




edit distance: 1,  
swap 'P' for 'B'

SHOT  
SH-T



edit distance: 1,  
remove 'O'

**match**




PEAR  
BEAR

# Sequence Alignment

key idea — edit distance

how many changes to make two words / sets the same?

PEAR  
BEAR



edit distance: 1,  
swap 'P' for 'B'


SHOT  
SH-T



edit distance: 1,  
remove 'O'

**gap**

PE-R  
BEAR





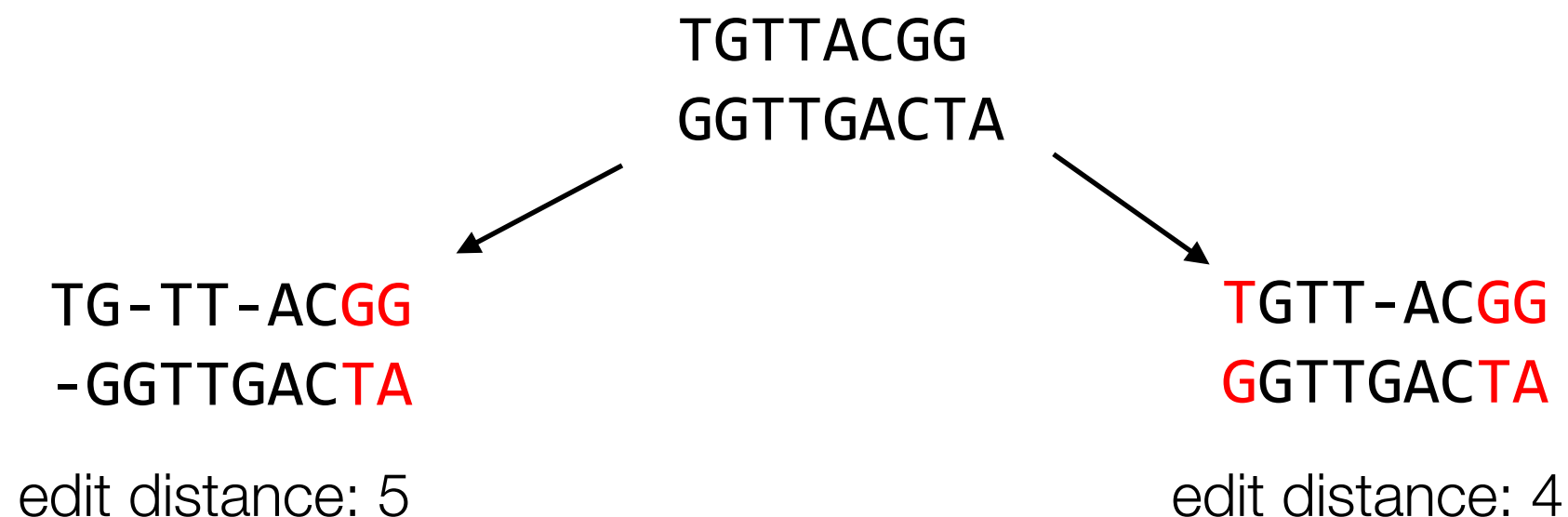
# Sequence Alignment

key idea — edit distance

how many changes to make two words / sets the same?



What about DNA?

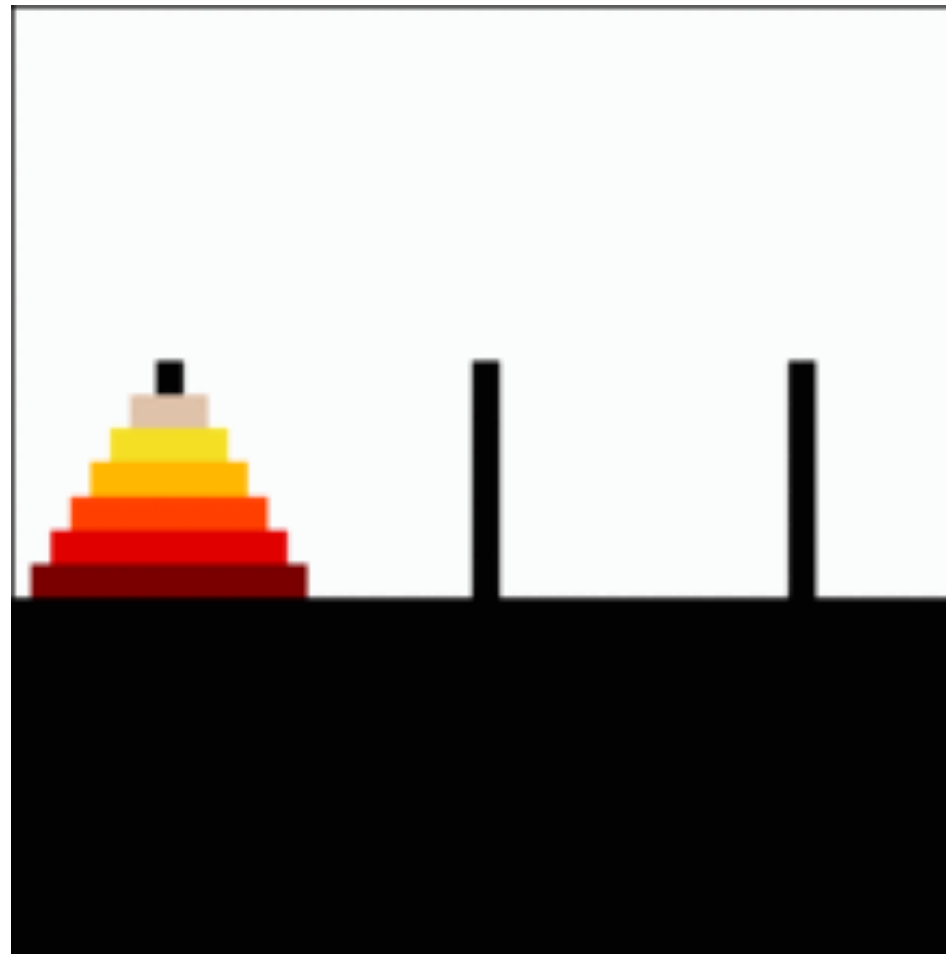


# Sequence Alignment

Let's align the these two sequences

# Dynamic Programming

## Tower of Hanoi Game

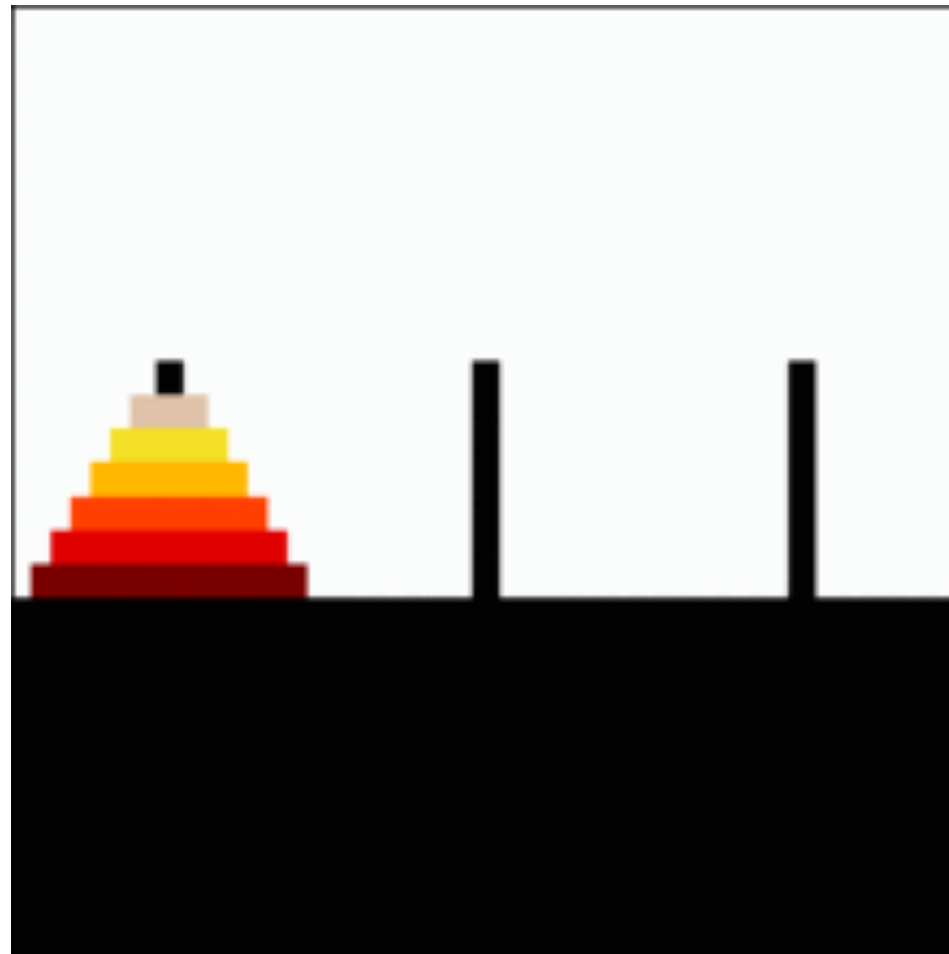


Goal: Get all disks in size order to last peg

Rules: One disk moves per turn. Smaller has to sit on larger

# Dynamic Programming

Tower of Hanoi Game



Can break up **big** problem into simple **smaller** problem



# Dynamic Programming

## Fibonacci sequence



Originally meant to model the growth  
in rabbit population sizes!

$$F_0 = 1; F_1 = 1$$

$$F_i = F_{i-1} + F_{i-2}$$

F(0)	F(1)	F(2)	F(3)	F(4)	F(5)	F(6)
1	1	2	3	5	8	13

# Sequence Alignment

So want to find minimum edit distance  
how do we search for it?

```
seq_1 = "TACGGACGG"
```

```
seq_2 = "TAGACTA"
```

Next key idea

treat sequences as matrix

	T	A	C	G	G	A	C	G	G
T									
A									
G									
A									
C									
T									
A									



# Sequence Alignment

# Global Alignment Needleman-Wunsch

Seq 1

Assume scores as:

match = 4

mismatch = -3

gap = -2

## 1. initialize

Seq 2

		T	A	C	G	G	A	C	G	G
	0	-2	-4	-6	-8	-10	-12	-14	-16	-18
T	-2									
A	-4									
G	-6									
A	-8									
C	-10									
T	-12									
A	-14									

Diagram illustrating a sequence alignment matrix (DP table) for the sequences TACGAG and TACGAG. The matrix shows the cumulative score for each subsequence alignment. The top row and left column represent the initial state (score 0) and the sequence indices. The matrix is filled with yellow cells, indicating a gap or an invalid alignment. A red arrow points to the cell at (T, A) with the label "gap", indicating a mismatch or a gap in the alignment.



# Sequence Alignment

# Global Alignment Needleman-Wunsch

Seq 1

Assume scores as:

match = 4

mismatch = -3

gap = -2

1. initialize
2. fill in table

consider value of x

3 ways to get there:

- 1) from previous (mis)match
- 2) gap in seq1
- 3) gap in seq2

[illegible]

# Sequence Alignment

# Global Alignment Needleman-Wunsch

Seq 1

Assume scores as:

match = 4

mismatch = -3

gap = -2

$$x_{i,j} = \max( x_{i-1,j} + \text{gap}, \\ x_{i,j-1} + \text{gap}, \\ x_{i-1,j-1} + (\text{mis})\text{match} )$$

[illegible]

# Sequence Alignment

# Global Alignment Needleman-Wunsch

Seq 1

Assume scores as:

match = 4

mismatch = -3

gap = -2

$$x_{i,j} = \max( x_{i-1,j} + \text{gap}, \\ x_{i,j-1} + \text{gap}, \\ x_{i-1,j-1} + (\text{mis})\text{match} )$$

[illegible]





# Sequence Alignment

Global Alignment  
Needleman-Wunsch

Seq 1

Assume scores as:

match = 4

mismatch = -3

gap = -2

$$x_{i,j} = \max( x_{i-1,j} + \text{gap}, \\ x_{i,j-1} + \text{gap}, \\ x_{i-1,j-1} + (\text{mis})\text{match})$$

1. initialize
2. fill in table
3. traceback

Seq 2

		T	A	C	G	G	A	C	G	G
	0	-2	-4	-6	-8	-10	-12	-14	-16	-18
T	-2	4	2	0	-2	-4	-6	-8	-10	-12
A	-4	2	8	6	4	2	0	-2	-4	-6
G	-6	0	6	5	10	8	6	4	2	0
A	-8	-2	4	3	8	7	12	10	8	6
C	-10	-4	2	8	6	5	10	16	14	12
T	-12	-6	0	6	5	3	8	14	13	11
A	-14	-8	-2	4	3	2	7	12	11	10

# Sequence Alignment

Global Alignment  
Needleman-Wunsch

Seq 1

traceback step

start at bottom right  
work way back up  
follow max score path

can read off alignment  
backwards

Seq 1    **G**

Seq 2    **A**

Seq 2

		T	A	C	G	G	A	C	G	G
	0	-2	-4	-6	-8	-10	-12	-14	-16	-18
T	-2	4	2	0	-2	-4	-6	-8	-10	-12
A	-4	2	8	6	4	2	0	-2	-4	-6
G	-6	0	6	5	10	8	6	4	2	0
A	-8	-2	4	3	8	7	12	10	8	6
C	-10	-4	2	8	6	5	10	16	14	12
T	-12	-6	0	6	5	3	8	14	13	11
A	-14	-8	-2	4	3	2	7	12	11	10

# Sequence Alignment

Global Alignment  
Needleman-Wunsch

Seq 1

traceback step

start at bottom right  
work way back up  
follow max score path

can read off alignment  
backwards

Seq 1    **GG**

Seq 2    **TA**

Seq 2

		T	A	C	G	G	A	C	G	G
	0	-2	-4	-6	-8	-10	-12	-14	-16	-18
T	-2	4	2	0	-2	-4	-6	-8	-10	-12
A	-4	2	8	6	4	2	0	-2	-4	-6
G	-6	0	6	5	10	8	6	4	2	0
A	-8	-2	4	3	8	7	12	10	8	6
C	-10	-4	2	8	6	5	10	16	14	12
T	-12	-6	0	6	5	3	8	14	13	11
A	-14	-8	-2	4	3	2	7	12	11	10

# Sequence Alignment

Global Alignment  
Needleman-Wunsch

Seq 1

traceback step

start at bottom right  
work way back up  
follow max score path

can read off alignment  
backwards

Seq 1    **TACGGACGG**

Seq 2    **TA--GACTA**

Seq 2

		T	A	C	G	G	A	C	G	G
	0	-2	-4	-6	-8	-10	-12	-14	-16	-18
T	-2	4	2	0	-2	-4	-6	-8	-10	-12
A	-4	2	8	6	4	2	0	-2	-4	-6
G	-6	0	6	5	10	8	6	4	2	0
A	-8	-2	4	3	8	7	12	10	8	6
C	-10	-4	2	8	6	5	10	16	14	12
T	-12	-6	0	6	5	3	8	14	13	11
A	-14	-8	-2	4	3	2	7	12	11	10

# Sequence Alignment

Local Alignment  
Smith-Waterman

No negative scores

traceback starts at highest  
score

		T	A	C	G	G	A	C	G	G
	0	0	0	0	0	0	0	0	0	0
T	0	4	2	0	0	0	0	0	0	0
A	0	2	8	6	4	2	0	0	0	0
G	0	0	6	5	10	8	6	4	2	0
A	0	0	4	3	8	7	12	10	8	6
C	0	0	2	8	6	5	10	16	14	12
T	0	0	0	6	5	3	8	14	13	11
A	0	0	0	4	3	2	7	12	11	10



# Sequence Alignment

Local Alignment  
Smith-Waterman

No negative scores

traceback starts at highest  
score

terminates at first zero

**TACGGAC**

**TA\_\_GAC**

		T	A	C	G	G	A	C	G	G
	0	0	0	0	0	0	0	0	0	0
T	0	4	2	0	0	0	0	0	0	0
A	0	2	8	6	4	2	0	0	0	0
G	0	0	6	5	10	8	6	4	2	0
A	0	0	4	3	8	7	12	10	8	6
C	0	0	2	8	6	5	10	16	14	12
T	0	0	0	6	5	3	8	14	13	11
A	0	0	0	4	3	2	7	12	11	10

# Sequence Alignment

Seq1    TACGGACGG  
Seq2    TAGACTA

Local Alignment  
Smith-Waterman

TACGGAC  
TA\_\_GAC

Global Alignment  
Needleman-Wunsch

TACGGACGG  
TA\_\_GACTA

in this case very similar, but not generally true  
use local alignment when you want short, subset matches  
global when whole sequence alignment wanted