

# Kinetostatic Analysis of Underactuated Fingers

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**Abstract**—The aim of this paper is to establish a fundamental basis for the analysis of underactuated fingers with a general approach. A new method to obtain the force capabilities of *any* underactuated fingers will be presented. Force capability is defined as the ability to generate an external wrench onto a fixed object with a given set of phalanges. This method is based on the introduction of two new matrices which completely describe the relationship between the input torque of the finger actuator(s) and the contact forces on the phalanges. Using this tool, one can study the conditions under which certain phalanx forces vanish, and compare different underactuation mechanisms with a rigorous approach.

**Index Terms**—Robot hand, shape adaptation, stable grasp, underactuation.

## I. INTRODUCTION

ROBOTIC hands have been developed with the aim of matching the human hand in terms of dexterity and adaptation capabilities to equip either a dextrous manipulator or a human being as a prosthetic device. Pioneer designs include: the Okada hand [1], the Stanford/JPL (Salisbury's) hand [2], the Utah/MIT hand [3], the Belgrade/USC hand [4], the BarrettHand [5], the hands from the DLR [6], [7], the LMS hand [8], or the NASA Robonaut hand [9]. However, significant efforts have been made to find designs simple enough to be easily built and controlled, in order to obtain practical systems [10], [11], particularly in human prosthetics. To overcome the mitigated success of the early designs, mainly due to the cost of the control architecture needed for complex mechanical systems with often more than ten actuators plus many sensors, a special emphasis has been placed on the reduction of the number of degrees of freedom (DOFs), thereby decreasing the number of actuators. In particular, the SSL hand [12], the Grasp hand [13], the DIES-DIEM hand [14], the Cassino finger [15], and the TBM hand [16] have followed this path. On the other hand, very few prototypes involve a smaller number of actuators without decreasing the number of DOFs. This approach, namely, *underactuation*, can be implemented through the use of passive elements like springs or mechanical limits leading to a mechanical adaptation of the finger to the shape of the object to be grasped [17]–[22]. A similar approach consists of using elastic phalanges, which increase the adaptation capability but decrease considerably the strength of the

grasp [23], [24]. Another way to consider shape adaptation is to use tentacle-like fingers [25].

Two main approaches dominate the literature on robotic grasping. On one hand, purely theoretical work on grasping and manipulation and, on the other hand, the rather intuitive design of functional prototypes. This paper is an attempt to bridge this gap, for the special case of underactuated fingers. Indeed, if the development of underactuated fingers aims at overcoming the theoretical difficulties of general manipulation issues, and at obtaining prototypes of practical utility, the capabilities of these fingers remain not well known. Prototypes have often been built with intuitive design, without a generic knowledge of the resulting behavior, and based mainly on specific computer-aided simulation. This paper presents an effort to establish a common framework using simple theoretical bases. The fundamental goal of underactuation being simplicity, the objective of this paper is to provide practical tools for the analysis and comparison of underactuated fingers. Indeed, some issues have been overlooked in previous work and should be systematically addressed; for instance, the grasp force distribution, the capability of the finger to actually exert forces on a grasped object, the stability of the grasp, etc. Underactuation in robotic hands generates intriguing properties. For example, such hands cannot always ensure full *whole-hand grasping*. Indeed, the distribution of the forces onto the different phalanges is partially predetermined by the mechanical design of the hand, and some phalanges may not be able to actually exert any effort in certain configurations. This uncontrollable force distribution can also lead to unstable grasps, a continuous closing motion of the actuator tending to eject the object. In [26], the authors have presented a brief illustration of the above issues for a 2-DOF finger. The latter reference can be seen as a more detailed introduction of this paper, which generalizes the work to  $n$ -DOF fingers with a general architecture. Hence, the paper [26] will be often referred to. A new method to study the capabilities of underactuated fingers will be presented that allows rigorous comparison of different transmission mechanisms through the definition of different indexes, similar to the dexterity in kinematic analysis. In this paper:

- two matrices that completely define the contact forces as a function of the actuator(s) torque(s) are defined;
- using these matrices, configurations of the finger leading to stable grasps are presented;
- indexes to quantify the ability of the finger to create these stable grasps are introduced;
- different mechanisms used in underactuated hands are compared, using the latter indexes;
- finally, considerations on other aspects not covered in this paper are discussed.

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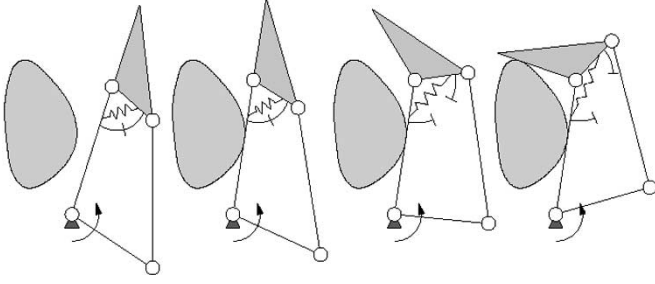


Fig. 1. Closing sequence of a 2-DOF underactuated finger, courtesy of Thierry Laliberté, modified with permission.

The first part of the paper (Section III) establishes the fundamental background of our analysis and requires knowledge of screw theory and mechanical transmission design. This is the theoretical aspect of our work. One then illustrates in the second part (Sections IV and V), how these results can be used to design and characterize underactuated fingers. Sections IV and V represent the practical aspect of the paper.

## II. UNDERACTUATION IN ROBOTIC HANDS

Underactuation in robotic fingers is different from the concept of underactuation usually presented in robotic systems, and these notions should not be confused. An underactuated robot is generally defined as a manipulator with one or more unactuated joints. On the other hand, underactuated fingers generally use elastic elements in the design of their “unactuated” joints. Thus, one should rather think of these joints as uncontrollable or passively driven instead of unactuated. In an underactuated finger, the actuation wrench  $T_a$  is applied to the input of the finger and is transmitted to the phalanges through suitable mechanical design, e.g., four-bar linkages, pulleys and tendons, gears, etc. Since underactuated fingers have many DOFs, say  $n$ , and fewer than  $n$  actuators, passive elements are used to kinematically constrain the finger and ensure the shape adaptation of the finger to the object grasped. To this end, springs and mechanical limits are often used, though inertial properties of the mechanism can also be used [13]. An example of an underactuated 2-DOF finger using linkages and its closing process is illustrated in Fig. 1. Notice the mechanical limit that allows a preloading of the spring to prevent any undesirable motion of the second phalanx due to its own weight and/or inertial effects, and also to prevent hyperflexion of the finger. Springs are useful for keeping the finger from incoherent motion, but when the grasp sequence is complete, they still oppose the actuator force. Thus, springs shall be designed with the smallest stiffness possible, however, sufficient to keep the finger from collapsing.

## III. $N$ -DOF 1-DOA FINGER

### A. Introduction

A general  $n$ -DOF, 1-degree-of-actuation (DOA) finger with four-bar linkages will be considered for initial analysis. If, usually, only three phalanges are used in robotic fingers, the results presented here can be extended to other mechanical devices using underactuation, such as elephant trunks or snake-like robots. Indeed, one of the first underactuated grippers consisted

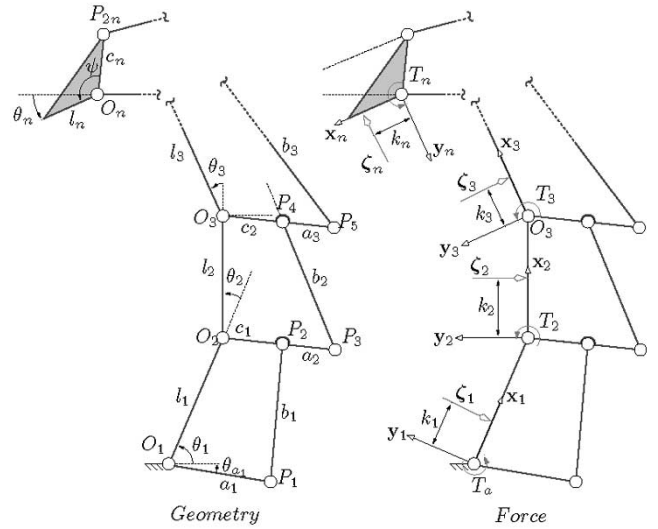


Fig. 2. Model of underactuated  $n$ -DOF finger: geometric and force parameters.

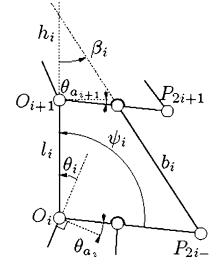


Fig. 3. Detailed modeling of a single stage of the finger.

of two ten-phalanx fingers [17]. Results will be subsequently extended to more general types of transmission. This design is a generalized version of the three-phalanx finger used in the Mars and Sarah prototypes [27], hence, more detailed results for  $n = 2$  and  $n = 3$  will also be given. The model of the finger is presented in Fig. 2, more details about a single stage are found in Fig. 3. Note that the finger is planar, i.e., abduction/adduction is not considered. Indeed, such motions are generally not used during the final grasp, but rather for reconfiguration of the finger or manipulation. Moreover, contacts happen not only with the fingertip but with all phalanges, the finger being designed for grasping and not for dextrous manipulation.

In order to determine the configurations where the finger can apply forces to the object grasped, one shall proceed with a quasi-static modeling of the finger. Equating the input and the output virtual powers, one obtains

$$\mathbf{t}^T \boldsymbol{\omega}_a = \mathbf{f}^T \mathbf{v} \quad (1)$$

where  $\mathbf{t}$  is the input torque vector exerted by the actuator and the springs,  $\boldsymbol{\omega}_a$  is the corresponding velocity vector,  $\mathbf{f}$  is the vector of contact wrenches, and  $\mathbf{v}$  is the vector containing the twist of each of the contact points, i.e.,

$$\mathbf{t} = \begin{bmatrix} T_a \\ T_2 = -K_2 \Delta \theta_2 \\ T_3 = -K_3 \Delta \theta_3 \\ \vdots \\ T_n = -K_n \Delta \theta_n \end{bmatrix} \quad \boldsymbol{\omega}_a = \begin{bmatrix} \dot{\theta}_{a1} \\ \dot{\theta}_2 \\ \dot{\theta}_3 \\ \vdots \\ \dot{\theta}_n \end{bmatrix} \quad (2)$$

where  $K_i$  is the stiffness of the torsional spring located at point  $O_i$ . One also has

$$\mathbf{f} = \begin{bmatrix} \zeta_{1\Diamond} \\ \zeta_{2\Diamond} \\ \zeta_{3\Diamond} \\ \vdots \\ \zeta_{n\Diamond} \end{bmatrix} \quad \mathbf{v} = \begin{bmatrix} \xi_1 \\ \xi_2 \\ \xi_3 \\ \vdots \\ \xi_n \end{bmatrix} \quad (3)$$

where  $\zeta_i\Diamond$  is a shorthand notation of the wrench  $\zeta_i = [f_i^x \ f_i^y \ \tau_i]^T$  where the moment has been written before the force coordinates [28].  $\xi_i = [\omega_i \ v_i^x \ v_i^y]^T$  is the twist of the  $i$ th contact point. The contact twist can be simply expressed as the product of a Jacobian matrix  $\mathbf{J}$  and the derivatives of the phalanx joint coordinates, which is a natural choice, i.e.,  $\mathbf{v} = \mathbf{J}\dot{\boldsymbol{\theta}}$  with  $\boldsymbol{\theta} = [\theta_1 \theta_2 \dots \theta_n]^T$ . Similarly, one can also establish the matrix  $\mathbf{T}$  which relates the vector  $\boldsymbol{\omega}_a$  to the derivatives of the phalanx joint coordinates, and contains the elements function of the transmission mechanisms used, i.e.,  $\dot{\boldsymbol{\theta}} = \mathbf{T}\boldsymbol{\omega}_a$ . Equation (1) then becomes

$$\mathbf{t}^T \mathbf{T}^{-1} = \mathbf{f}^T \mathbf{J}. \quad (4)$$

However, one should remember that matrix  $\mathbf{J}$  is generally not square. Therefore,  $\mathbf{f}$  is not unique for a given  $\mathbf{t}$ , even if Coulomb friction is considered, an infinite number of wrenches satisfy (4) if  $\mathbf{J}$  is of rank  $r > n$ . Indeed, the general solution of (4) is of the form

$$\mathbf{f} = \mathbf{J}^T + \mathbf{T}^{-T} \mathbf{t} + (\mathbf{1}_{3n} - \mathbf{J}^T + \mathbf{J}^T) \mathbf{z} \quad (5)$$

where  $\mathbf{z} \in \mathbb{R}^{3n}$  and  $\mathbf{J}^{T+}$  is the pseudoinverse of matrix  $\mathbf{J}^T$ . On the other hand, for a given  $\mathbf{f}$ ,  $\mathbf{t}$  is uniquely defined by (4). To obtain a bijective relationship between  $\mathbf{t}$  and  $\mathbf{f}$ , each contact wrench will be assumed to be a pure force normal to the phalanx, i.e.,  $\zeta_k = f_k \mathbf{y}_k^*$ , where  $\mathbf{y}_k^* = [\mathbf{y}_k^T \ 0]^T$  is the unit wrench corresponding to a pure force along  $\mathbf{y}_k$ , where  $\mathbf{y}_k$  is a unit vector orthogonal to the  $k$ th phalanx. This assumption can seem very restrictive. However, our primary aim is to study the capability of *the finger (and only the finger)* to exert a wrench onto a fixed object. We are, therefore, not interested in the local properties of the contact, such as friction or geometry of the contact. In order to obtain simple and general results that can characterize the finger itself and not the system formed by the finger and the object, pure normal forces should be considered. Obviously, the omitted local properties can have a tremendous impact in terms of stability of the grasp but exceed the scope of this paper. Therefore, one shall modify vector  $\mathbf{f}$  and  $\mathbf{v}$  as

$$\mathbf{f} = \begin{bmatrix} f_1 \\ f_2 \\ f_3 \\ \vdots \\ f_n \end{bmatrix} \quad \mathbf{v} = \begin{bmatrix} \xi_1 \circ \mathbf{y}_1^* \\ \xi_2 \circ \mathbf{y}_2^* \\ \xi_3 \circ \mathbf{y}_3^* \\ \vdots \\ \xi_n \circ \mathbf{y}_n^* \end{bmatrix} \quad (6)$$

where the operator  $\circ$  stands for the reciprocal product of screws in the plane. Thus,  $\mathbf{f}$  is the vector of contact force magnitudes, and  $\mathbf{v}$  is the vector of the projections of the contact twists onto the respective normals of the phalanges. One shall now proceed with the definition of matrices  $\mathbf{J}$  and  $\mathbf{T}$  corresponding to the updated expressions of  $\mathbf{f}$  and  $\mathbf{v}$  defined in (6). One shall keep in mind that the expression of matrix  $\mathbf{J}$  is modified so that  $\mathbf{v} = \mathbf{J}\dot{\boldsymbol{\theta}}$

is preserved with (6). The twist at the  $k$ th contact point is given by

$$\xi_k = \sum_{i=1}^k \dot{\theta}_i \xi_k^{O_i} \quad (7)$$

where  $\xi_k = (\omega_k, v_k^x, v_k^y)$  and  $\xi_k^{O_i}$  is the joint twist associated to  $O_i$  with respect to the contact point considered [29]. The latter can be written as

$$\xi_k^{O_i} = \begin{bmatrix} 1 \\ \mathbf{E} \mathbf{r}_{ik} \end{bmatrix} \quad (8)$$

since every joint between two phalanges is assumed to be of the revolute type, and where  $\mathbf{r}_{ik}$  is the vector from  $O_i$  to the contact point on the  $k$ th phalanx.  $\mathbf{E}$  is the matrix representation of the cross product in the plane, i.e.,

$$\mathbf{E} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}. \quad (9)$$

Thus, the virtual power  $\zeta_k \circ \xi_k$  can be expressed as

$$\zeta_k \circ \xi_k = f_k \mathbf{y}_k^* \circ \left( \sum_{i=1}^k \dot{\theta}_i \xi_k^{O_i} \right) = f_k \sum_{i=1}^k \dot{\theta}_i \mathbf{y}_k^* \circ \xi_k^{O_i} \quad (10)$$

therefore, finally

$$\zeta_k \circ \xi_k = f_k \sum_{i=1}^k \dot{\theta}_i \mathbf{r}_{ik}^T \mathbf{E}^T \mathbf{y}_k = f_k \sum_{i=1}^k \dot{\theta}_i \mathbf{r}_{ik}^T \mathbf{x}_k \quad (11)$$

where  $\mathbf{x}_k$  is a unit vector tangent to the  $k$ th phalanx. With  $\mathbf{v} = \mathbf{J}\dot{\boldsymbol{\theta}}$ , one can finally obtain the matrix  $\mathbf{J}$  in a lower triangular form

$$\mathbf{J} = \begin{bmatrix} k_1 & 0 & 0 & \dots & 0 \\ \mathbf{r}_{12}^T \mathbf{x}_2 & k_2 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \mathbf{r}_{1n}^T \mathbf{x}_n & \mathbf{r}_{2n}^T \mathbf{x}_n & \mathbf{r}_{3n}^T \mathbf{x}_n & \dots & k_n \end{bmatrix} \quad (12)$$

noticing that  $\mathbf{r}_{ii}^T \mathbf{x}_i = k_i$ . The elements of the matrix can be given explicitly as

$$\mathbf{r}_{ij}^T \mathbf{x}_j = k_j + \sum_{k=i}^{j-1} l_k \cos \left( \sum_{m=k+1}^j \theta_m \right), \quad i < j. \quad (13)$$

Note that the expression of matrix  $\mathbf{J}$  is not specific to underactuated fingers and still holds in more general cases, provided that abduction/adduction is not considered. One can also establish the matrix  $\mathbf{T}$ , which relates the vector  $\boldsymbol{\omega}_a$  to the derivatives of the phalanx joint coordinates, i.e.,  $\dot{\boldsymbol{\theta}} = \mathbf{T}\boldsymbol{\omega}_a$  or

$$\begin{bmatrix} \dot{\theta}_1 \\ \dot{\theta}_2 \\ \dot{\theta}_3 \\ \vdots \\ \dot{\theta}_n \end{bmatrix} = \begin{bmatrix} X_1 & X_2 & X_3 & \dots & X_n \\ 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix} \begin{bmatrix} \dot{\theta}_{a1} \\ \dot{\theta}_2 \\ \dot{\theta}_3 \\ \vdots \\ \dot{\theta}_n \end{bmatrix}. \quad (14)$$

Note that the form of this matrix is characteristic of underactuation. If the finger is fully actuated,  $\mathbf{T}$  should be the identity matrix of dimension  $n$ . Also,  $X_i$  is a function of the linkage used to transmit the actuator torque to the  $i$ th phalanx. The linkage used in our model is most common, since it allows both compactness and simplicity. For the model discussed here, the principle of transmission gives the angular velocity ratio of a four-bar linkage, also known as *Kennedy's Theorem* [30], [31] (consider

$O_{i-1}O_iP_{2i-2}P_{2i-3}$  with  $\theta_{i-1}$  constant) and by superposition, one obtains

$$\dot{\theta}_{a_{i-1}} = \dot{\theta}_{i-1} + \frac{h_i}{h_i + l_{i-1}} \dot{\theta}_{a_i} \quad (15)$$

since the velocity output of each stage is the input of the next stage, where

$$h_i = c_{i-1}(\cos(\theta_i - \psi_i) - \sin(\theta_i - \psi_i) \cot \beta_{i-1}) \quad (16)$$

is the signed distance between point  $O_i$  and the geometric intersection of lines  $(O_{i-1}O_i)$  and  $(P_{2i-2}P_{2i-3})$ . This distance can be negative if the intersection point is on the same side as  $O_{i-1}$  with respect to  $O_i$ . Thus,  $h_i + l_{i-1}$  can also vanish. Geometrically, it corresponds to the case where links  $a_{i-1}$  and  $b_{i-1}$  are aligned. In such a configuration, it is easy to see that power cannot be properly transmitted, and infinitesimal motion  $\Delta\theta_{a_{i-1}}$  is possible with  $\Delta\theta_{a_i} = 0$ . One can also obtain  $\cot \beta_{i-1}$  as a function of the parameters of the system, i.e.,

$$\frac{c_{i-1}S_{\theta_i-\psi_i}\sqrt{4a_{i-1}^2b_{i-1}^2 - N_i^2 + M_i(l_{i-1} + c_{i-1}C_{\theta_i-\psi_i})}}{-(l_{i-1} + c_{i-1}C_{\theta_i-\psi_i})\sqrt{4a_{i-1}^2b_{i-1}^2 - N_i^2 + M_i c_{i-1}S_{\theta_i-\psi_i}}} \quad (17)$$

where  $S_\alpha$  and  $C_\alpha$  stand, respectively, for  $\sin \alpha$  and  $\cos \alpha$ , and with

$$\begin{aligned} M_i &= -l_{i-1}(l_{i-1} + 2c_{i-1}\cos(\theta_i - \psi_i)) \\ &\quad + a_{i-1}^2 - b_{i-1}^2 - c_{i-1}^2 \\ N_i &= l_{i-1}(l_{i-1} + 2c_{i-1}\cos(\theta_i - \psi_i)) \\ &\quad - a_{i-1}^2 - b_{i-1}^2 + c_{i-1}^2 \end{aligned}$$

where  $\psi_i$  can be expressed as a function of  $\psi_{i-1}$  and  $\theta_i$ , thus one can obtain the expressions of each  $\psi_i$  recursively. Equation (15) can be used recursively to obtain  $\dot{\theta}_{a_1}$  as a function of vector  $\dot{\theta}$ , i.e.,

$$\begin{aligned} \dot{\theta}_{a_1} &= \dot{\theta}_1 + \frac{h_2}{h_2 + l_1} \dot{\theta}_{a_2} \\ &= \dot{\theta}_1 + \frac{h_2}{h_2 + l_1} \left( \dot{\theta}_2 + \frac{h_3}{h_3 + l_2} \dot{\theta}_{a_3} \right) \\ &= \dots \end{aligned} \quad (18)$$

also, for the last phalanx

$$\dot{\theta}_{a_n} = \dot{\theta}_{n-1} + \frac{h_n}{h_n + l_{n-1}} \dot{\theta}_n \quad (19)$$

one obtains

$$\dot{\theta}_{a_1} = \dot{\theta}_1 + \frac{h_2}{h_2 + l_1} \dot{\theta}_2 + \dots + \prod_{i=2}^n \frac{h_i}{h_i + l_{i-1}} \dot{\theta}_n \quad (20)$$

and, by identification between (20) and (14), one finally has

$$\mathbf{T}^T = \begin{bmatrix} 1 & \mathbf{0}_{n-1}^T \\ -\frac{h_2}{h_2 + l_1} & \\ \frac{h_2 h_3}{(h_2 + l_1)(h_3 + l_2)} & \\ \dots & \\ -\prod_{i=2}^n \frac{h_i}{h_i + l_{i-1}} & \mathbf{1}_{n-1} \end{bmatrix} \quad (21)$$

where  $\mathbf{1}_{n-1}$  and  $\mathbf{0}_{n-1}$  are, respectively, the identity matrix and the zero vector of dimension  $n-1$ . This method can also be used to obtain elements of matrix  $\mathbf{T}$  with different types of transmis-

sion mechanisms. Examples will be given in Section IV. Finally, one obtains

$$\mathbf{f} = \mathbf{J}^{-T} \mathbf{T}^{-T} \mathbf{t} \quad (22)$$

which is the equation that provides a practical relationship between the actuator torques and contact forces. One can note that  $\mathbf{T}^{-T} = -\mathbf{T}^T + 2\mathbf{1}_n$ , thus this inverse is not computationally intensive, and specific inversion procedures exist for triangular matrices. This formulation is, therefore, very well suited for both analytical derivations and numerical calculations. Furthermore, if the finger is fully actuated, i.e.,  $\mathbf{T} = \mathbf{1}_n$ , one has  $\mathbf{f} = \mathbf{J}^{-T} \mathbf{t}$ , a relationship similar to the grasp matrix linking fingertips to object wrenches or the Jacobian matrix that maps joint torques to fingertip forces, both usually presented in grasping papers [32], [33]. As for the validity of this equation, conditions for existence of the inverses are

$$\det(\mathbf{T}) = 1 \neq 0 \quad (23)$$

$$\det(\mathbf{J}) = \prod_{i=1}^n k_i \neq 0 \quad (24)$$

which means that contacts should exist with all phalanges, and that  $\mathbf{T}$  should exist, i.e.,

$$\prod_{i=2}^n (h_i + l_{i-1}) \neq 0. \quad (25)$$

meaning geometrically that points  $O_i$ ,  $P_{2i-2}$ , and  $P_{2i-3}$  are not on the same line. If this is not true, no effort can be transmitted to upper stages of the mechanism in the current configuration. Another interesting case arises if  $h_k = 0$ , then  $X_j = 0$ ,  $j \geq k$  because of the particular form of the coefficient  $X_j$

$$X_j = -\prod_{i=2}^j \frac{h_i}{h_i + l_{i-1}}, \quad j > 1 \quad \text{and} \quad X_1 = 1. \quad (26)$$

This has a simple physical interpretation:  $h_k = 0$  means that the distance between point  $O_k$  and the geometric intersection of lines  $(O_{k-1}O_k)$  and  $(P_{2k-2}P_{2k-3})$  is zero. Thus, both lines intersect in  $O_k$ . If one assumes that  $c_{k-1} \neq 0$ , it means that points  $O_k$ ,  $P_{2k-2}$ , and  $P_{2k-3}$  are on the same line. Since the actuator torque is usually transmitted to upper stages of the mechanism through link  $b_{k-1}$ , and that in this particular configuration, such transmission is impossible (link  $b_{k-1}$  cannot generate any actuation torque about  $O_k$ ), no motion is transmitted, hence, no torque except for the springs, which are usually negligible. Consequently, an infinitesimal rotation is possible around  $O_k$ , and if the springs are neglected, one also has that  $f_j = 0$ ,  $j \geq k$ . In consequence, the finger cannot grasp any object with the upper phalanges since they cannot apply forces. This situation is similar to the condition of existence of matrix  $\mathbf{T}$ . Such situations should be avoided through suitable design, so that  $h_i$ ,  $i > 1$  will always be positive, and  $h_i + l_{i-1} \neq 0$ ,  $i > 1$ .

#### B. Discussion on the Positive Definiteness of the Forces

Most usual cases shall now be explicitly given and studied. The following results have been verified using classical static analysis (free body diagrams). For  $n = 2$ , one has

$$\mathbf{J} = \begin{bmatrix} k_1 & 0 \\ k_2 + l_1 \cos \theta_2 & k_2 \end{bmatrix} \quad (27)$$

$$\mathbf{T} = \begin{bmatrix} 1 & -\frac{h}{h+l_1} \\ 0 & 1 \end{bmatrix} \quad (28)$$

$$\mathbf{f} = \begin{bmatrix} \frac{l_1(k_2 - h \cos \theta_2)T_a}{k_1 k_2 (h + l_1)} - \frac{(k_2 + l_1 \cos \theta_2)T_2}{k_1 k_2} \\ \frac{hT_a}{k_2(h + l_1)} + \frac{T_2}{k_2} \end{bmatrix}. \quad (29)$$

And for  $n = 3$

$$\mathbf{J} = \begin{bmatrix} k_1 & 0 & 0 \\ k_2 + l_1 C_{\theta_2} & k_2 & 0 \\ k_3 + l_1 C_{\theta_2 \theta_3} + l_2 C_{\theta_3} & k_3 + l_2 C_{\theta_3} & k_3 \end{bmatrix} \quad (30)$$

$$\mathbf{T} = \begin{bmatrix} 1 & -\frac{h_2}{h_2 + l_1} & -\frac{h_2 h_3}{(h_2 + l_1)(h_3 + l_2)} \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (31)$$

$$\mathbf{f} = \begin{bmatrix} \frac{l_1 U T_a}{k_1 k_2 k_3 (h_2 + l_1)(h_3 + l_2)} - \frac{(k_2 + l_1 \cos \theta_2)T_2 + l_1 V T_3}{k_1 k_2} \\ \frac{h_2 l_2 (k_3 - h_3 \cos \theta_3)T_a}{k_2 k_3 (h_2 + l_1)(h_3 + l_2)} + \frac{T_2}{k_2} - \frac{k_1 k_2 k_3}{k_2 k_3} \\ \frac{h_2 h_3 T_a}{k_3 (h_2 + l_1)(h_3 + l_2)} + \frac{T_3}{k_3} \end{bmatrix} \quad (32)$$

with

$$C_{\theta_i \theta_j} = \cos \left( \sum_{l=i}^j \theta_l \right). \quad (33)$$

The coefficients in (32) are defined as

$$U = k_2 k_3 h_3 + k_2 k_3 l_2 - h_2 k_3 l_2 \cos \theta_2 + h_2 h_3 l_2 \cos \theta_2 \cos \theta_3 - h_2 h_3 k_2 \cos(\theta_2 + \theta_3) \quad (34)$$

$$V = l_2 \cos \theta_2 \cos \theta_3 + k_3 \cos \theta_2 - k_2 \cos(\theta_2 + \theta_3). \quad (35)$$

These expressions allow the determination, for a set of geometric parameters, of the contact situations defined by the pair  $(\mathbf{k}^*, \boldsymbol{\theta}^*)$  with

$$\mathbf{k}^* = \begin{bmatrix} k_2 \\ k_3 \\ \dots \\ k_n \end{bmatrix} \quad \text{and} \quad \boldsymbol{\theta}^* = \begin{bmatrix} \theta_2 \\ \theta_3 \\ \dots \\ \theta_n \end{bmatrix} \quad (36)$$

that allow full positiveness of the vector  $\mathbf{f}$ . The set of these contact situations corresponds to the stable part of the space spanned by the contact situations pair  $(\mathbf{k}^*, \boldsymbol{\theta}^*)$ , namely, the space of contact configurations. Stable grasps as we referred to should not be confused with form- or force-closure as usually defined in the literature. In this paper, a stable grasp is a contact situation pair which corresponds to a vector  $\mathbf{f}$  where no component is negative. Again, this paper tries to characterize the finger itself, independently from the object being grasped. If springs are neglected, expressions of the latter vectors become most simple.  $\theta_1$  is obviously absent from the expressions because rotation about this axis leaves the mechanism in the same kinematic configuration (the finger is rotated as one single rigid body). It can also be shown that signs of elements of  $\mathbf{f}$  are independent of  $k_1$ ; the proof is, however, more cumbersome and relies on the general inverse calculus by means of cofactors.

The limit between the zones where all elements of  $\mathbf{f}$  are positive and the rest of the space is, therefore, a  $(2n - 3)$  hypersurface in the contact situation space. Therefore, it is impos-

TABLE I  
GEOMETRIC PARAMETERS

Set	$l_1$	$l_2$	$l_3$	$\psi$	$a_1$	$b_1$	$c_1$	$a_2$	$b_2$	$c_2$
1	61	41	38	$\pi/2$	38	58	16	38	58	16

sible to completely visualize this frontier (between all positive component space and its complement), even in the three-phalanx case. Note, for example, that for the set of parameters presented in Table I (which corresponds approximately to the parameters used in our prototypes of underactuated hands [27]), the volume of the stable three-phalanx grasps is approximately 14% of the whole space of contact configurations (a section of the configuration space is shown in Fig. 4), assuming this space to be bounded by a hyperparallelepiped defined by  $0 < k_i < l_i$ ,  $i > 0$  and  $-\pi < \theta_i < \pi$ ,  $i > 0$ . This can seem abnormally small but, one should remember that full-phalanx grasps correspond only to a part of the whole possible grasps. That is, fewer-than- $n$ -phalanx grasps can also be stable, as will be detailed in Section V. Furthermore, the stable contact situations are almost always located in the intuitive useful workspace. For example, if one changes the angular limits to  $0 < \theta_i < \pi/2$ ,  $i > 0$ , the percentage of stable three-phalanx grasps jumps to approximately 32%. One should also note that even if the contact situation allows  $\mathbf{f}$  to be fully definite, the ratios between the components of this vector are independent of the actuator torque. Thus, in each contact situation,  $\text{span}(\mathbf{f})$  is of dimension one, and can be parameterized by  $T_a$ . One cannot modify the ratios between the components of  $\mathbf{f}$  without changing the contact situation. For a fully actuated finger,  $\text{span}(\mathbf{f})$  is of dimension  $n$ . The conditions for  $f_i$  to become zero, which can be considered as the edge of positiveness, become more complicated as  $i$  decreases. For example

$$f_n = 0 \Leftrightarrow \prod_{i=2}^n h_i (h_i + l_{i-1}) = 0 \quad (37)$$

$$f_{n-1} = 0 \Leftrightarrow \begin{cases} g_{n-1} = k_n - h_n \cos \theta_n = 0 \\ \text{or} \\ \prod_{i=2}^{n-1} h_i (h_i + l_{i-1}) = 0 \end{cases} \quad (38)$$

$$f_{n-2} = 0 \Leftrightarrow \begin{cases} g_{n-2} = h_{n-1} l_{n-1} g_{n-1} \cos \theta_{n-1} \\ -k_{n-1} k_n (h_n + l_{n-1}) \\ + h_{n-1} h_n k_{n-1} \cos(\theta_{n-1} + \theta_n) = 0 \\ \text{or} \\ \prod_{i=2}^{n-2} h_i (h_i + l_{i-1}) = 0 \end{cases} \quad (39)$$

$$f_{n-3} = 0 \Leftrightarrow \begin{cases} g_{n-3} = 0 = \\ -h_{n-2} h_{n-1} k_{n-2} l_{n-2} g_{n-1} C_{\theta_{n-2} \theta_{n-1}} \\ -k_{n-2} k_{n-1} (h_{n-1} + l_{n-2})(h_n + l_{n-1}) \\ + h_{n-2} h_{n-3} h_n k_{n-2} k_{n-1} C_{\theta_{n-2} \theta_{n-1} \theta_n} \\ \text{or} \\ \prod_{i=2}^{n-3} h_i (h_i + l_{i-1}) = 0. \end{cases} \quad (40)$$

The first condition on  $f_{n-1}$ , namely,  $g_{n-1}$ , has a simple geometrical meaning, i.e., the contact point is located on the equilibrium position of the last phalanx [26] [Fig. 5(b)]. However, to the best of the knowledge of the authors, higher order cases have no simple interpretation and can correspond to situations where intuitively, one would expect that a full-phalanx grasp is stable [Fig. 5(c)]. Illustration of configurations where one or more forces become negative are shown in Fig. 5.

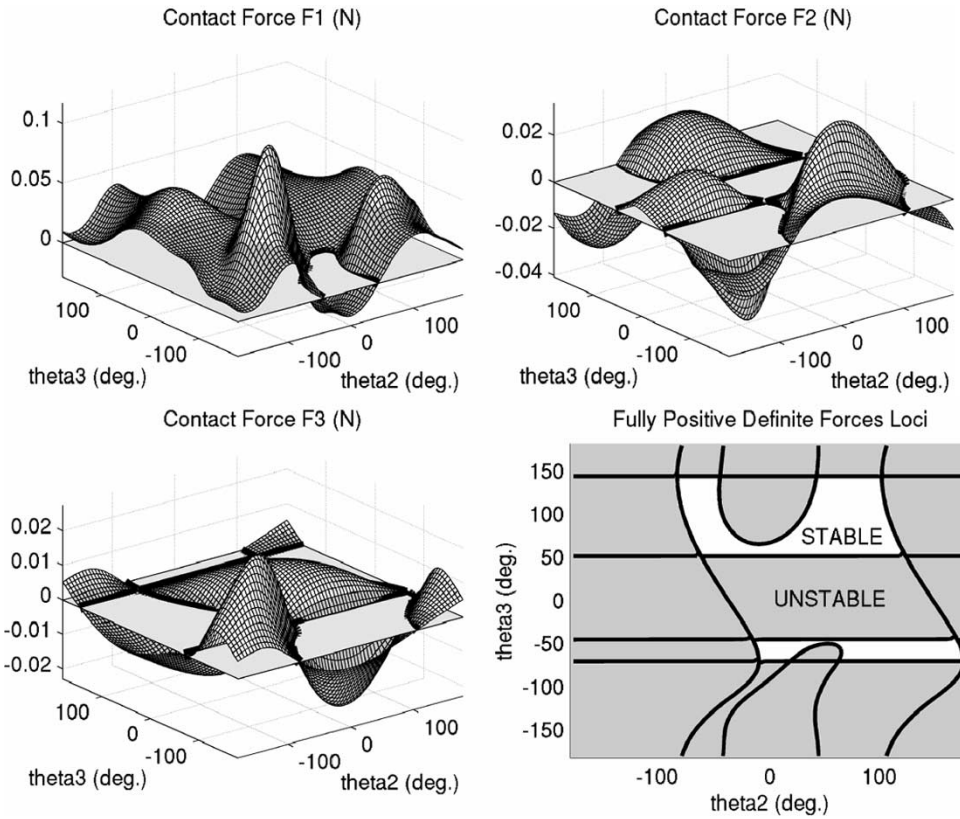


Fig. 4. Contact forces and associated stability loci ( $k_2 = l_2/2$  and  $k_3 = l_3/2$ ). Each force component is shown with the plane  $f_i = 0$  in grey, intersections with the latter are outlined.

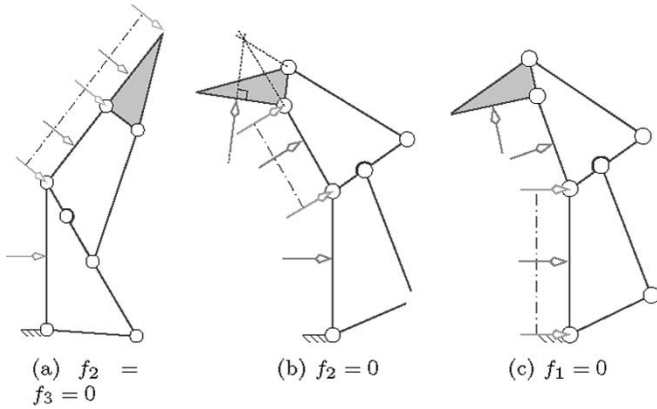


Fig. 5. Contact situations where one or more forces vanish (parameter set 1). If  $k_i$  exists, it is assumed that  $k_i = l_i/2$ . Vanishing forces are indicated by dashed lines.

A remarkable result is that the vanishing of  $f_n$  is most rare, because it can only happen when one  $X_i$ ,  $i > 1$  is zero, which can be prevented by means of mechanical limits or careful design. One can also remark that if one and only one  $f_i$  is zero for a given  $\theta^*$ , there is an implicit relationship between the  $k_j$ 's for  $j > i$  only. If more  $f_i$ 's are zero, one has a set of implicit equations that must be satisfied by certain components of the contact situation pair. The latter can have one, many, or no solutions, determining if this equilibrium configuration is possible. To obtain a final stable grasp with the general  $n$ -phalanx finger, one should have a contact situation  $(\mathbf{k}^*, \theta^*)$  where the contact force vector  $\mathbf{f}$  has only positive components (however, not strictly).

It is trivial to demonstrate that such situations are a subset of the set described by  $f_n \geq 0$ , meaning that the finger cannot be in equilibrium if the last phalanx is not. This simple statement yields more consequences than it seems, as to ensure the final stability of the grasp, one has to obtain a stable last phalanx. Thus, one can design the last phalanx to obtain an optimally stable design [26]. That way, under the assumption that the variation of position of point  $O_{n-1}$  is negligible and no internal periodic mobility is possible, contact made with the last phalanx will never be lost inside the finger workspace, and such an initial contact will tend to converge to a stable contact situation. The evolution of the contact situation trajectory can be rather complex, depending on the geometry of the grasped object and the dynamic properties of the phalanges. Contacts can be made, lost, remade, etc., during the grasping sequence. Nevertheless, if one uses an optimal last phalanx design, a stable configuration will be attained under the assumptions previously stated. It should be noted that the second type of unstable frontier described in [26] should be avoided at any cost, because it can lead to a *roll-back phenomenon*, where the last phalanx slides against the object with a continuous closing motion, resulting in a situation where the finger grasps nothing but itself (Fig. 6). This phenomenon is the  $n$ -phalanx generalization of the ejection phenomenon presented in [20]. The design presented in [20] insists on the mechanical joint limits of  $0 < \theta_n < \pi/2$  to avoid the latter type of ejection, which is the reason why we have previously named this range “useful workspace.” Mechanical limits are key elements in the design of underactuated fingers considering stability issues, because they limit the shape adaptation to

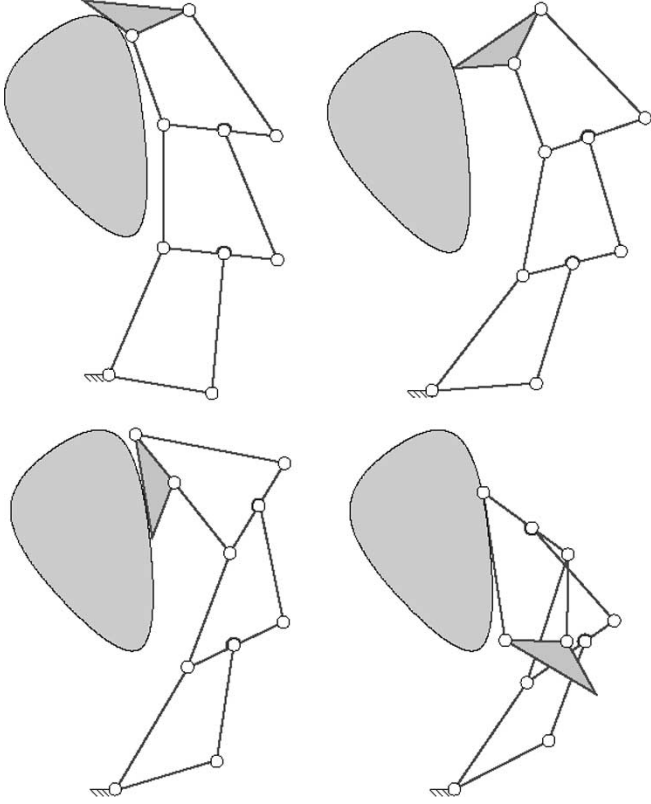


Fig. 6. Roll-back phenomenon.

reasonable configurations (thus avoiding ejection). In the worst case where all joints except the first one are locked, the finger simply acts as a basic rotational gripper (with a quite complex shape), but still can firmly grasp the object. From that point of view, underactuated hands could be considered more like grippers with shape adaptation than traditional robotic hands.

Finally, one can also characterize the capability of the underactuated finger to create full-phalanx grasps with an index

$$\mu = \frac{\int_W \delta(\mathbf{k}^*, \boldsymbol{\theta}^*) d\mathbf{k}^* d\boldsymbol{\theta}^*}{\int_W d\mathbf{k}^* d\boldsymbol{\theta}^*} \quad (41)$$

where  $\delta(\mathbf{k}^*, \boldsymbol{\theta}^*)$  is a Kronecker-like symbol for positiveness of vector  $\mathbf{f}$  that eliminates non-whole-phalanx grasps

$$\delta(\mathbf{k}^*, \boldsymbol{\theta}^*) = \begin{cases} 1, & \text{if } f_i > 0 \forall i > 0 \\ 0, & \text{otherwise} \end{cases} \quad (42)$$

and  $W$  is the workspace of the finger in terms of  $(\mathbf{k}^*, \boldsymbol{\theta}^*)$ , i.e., the hyperparallelepiped defined by  $0 < k_i < l_i$ ,  $i > 0$  and  $-\pi < \theta_i < \pi$ ,  $i > 0$  or  $0 < \theta_i < \pi/2$ ,  $i > 0$  (to be preferred). This index physically represents the percentage of the workspace that is achievable by full-phalanx grasps, namely, whole-hand grasping workspace. One shall modify the index definition to obtain a measure of how far one is to lose contact with one phalanx by including a “distance” from the vanishing of the smallest component, i.e.,

$$\eta = \frac{\int_W \min_i(f_i) \delta(\mathbf{k}^*, \boldsymbol{\theta}^*) d\mathbf{k}^* d\boldsymbol{\theta}^*}{\int_W T_a d\mathbf{k}^* d\boldsymbol{\theta}^*}. \quad (43)$$

One can also use an index based on the grasp-forces distribution to provide the grasp with roughly equally distributed contact forces, such as (based on [34], slightly modified)

$$I = \frac{\sum_i^n f_i}{\int_W \frac{i}{\max_i(f_i)} \delta(\mathbf{k}^*, \boldsymbol{\theta}^*) d\mathbf{k}^* d\boldsymbol{\theta}^*} \cdot \frac{1}{n \int_W d\mathbf{k}^* d\boldsymbol{\theta}^*}. \quad (44)$$

Numerical values of the latter indexes will be presented in Section IV-D.

#### IV. OTHER TRANSMISSION MECHANISMS

The benefit of the method presented in this paper is that it allows one to obtain very quickly the expression of the contact forces developed by an underactuated finger. This can be especially useful if different mechanisms are considered, matrix  $\mathbf{J}$  is independent of the type of the transmission, and only the elements of matrix  $\mathbf{T}$  need to be modified. A method to express the coefficients of  $\mathbf{T}$  follows. One has to remember the general form of (15) obtained by superposition, i.e.,

$$\dot{\theta}_{a_{i-1}} = \dot{\theta}_{i-1} + x_i \dot{\theta}_{a_i} \quad (45)$$

where  $x_i$  is the transmission factor of the  $i$ th stage. The latter equation means that the velocity output of each stage is the input of the next stage (Fig. 3 illustrates this concept). To obtain the factor  $x_i$ , one simply has to virtually “lock”  $\theta_{i-1}$  and determine the ratio between  $\dot{\theta}_{a_i}$  and  $\dot{\theta}_{a_{i-1}}$ , which can be obtained for any mechanism. Most usual transmissions (e.g., gears, pulleys) are already well known. Once this factor is defined, a recursive scheme can be easily derived from (15), and therefore, the coefficients  $X_i$  can be written as

$$\begin{cases} X_1 = 1 \\ X_j = -\prod_{i=2}^j x_i, \quad j = 2 \dots n. \end{cases} \quad (46)$$

Finally, expressions for the contact forces are obtained by (22) where the inversion of both  $\mathbf{J}$  and  $\mathbf{T}$  matrices is needed. In the following sections, examples of usual transmission mechanisms will be given. Notice that different types of mechanisms can be mixed in the same finger without changing the method.

##### A. Double-Stage Mechanism

The so-called double-stage mechanism, i.e., a mechanism similar to the one represented in Fig. 2 but with two consecutive phalanges merged into a single one, has been considered for compactness [18]. Analyzing such mechanisms is very similar to the initial study, the matrix  $\mathbf{J}$  remains unchanged since the finger is still planar (keeping in mind that phalanx lengths should be adjusted), and only revolute joints are used. Matrix  $\mathbf{T}$  becomes

$$\mathbf{T}^T = \begin{bmatrix} 1 & & & \mathbf{0}_{n-1}^T \\ -\frac{h_2 h_3}{(h_2 + l_1)(h_3 + l_2)} & & & \\ \vdots & & & \\ -\prod_{i=1}^n \frac{h_{2i} h_{2i+1}}{(h_{2i} + l_{2i-1})(h_{2i+1} + l_{2i})} & & & \mathbf{1}_{n-1} \end{bmatrix}. \quad (47)$$

It corresponds simply to locking every even-numbered joint from the previous design to zero degree. The expression of the matrix is straightforward and can be used to design a particular

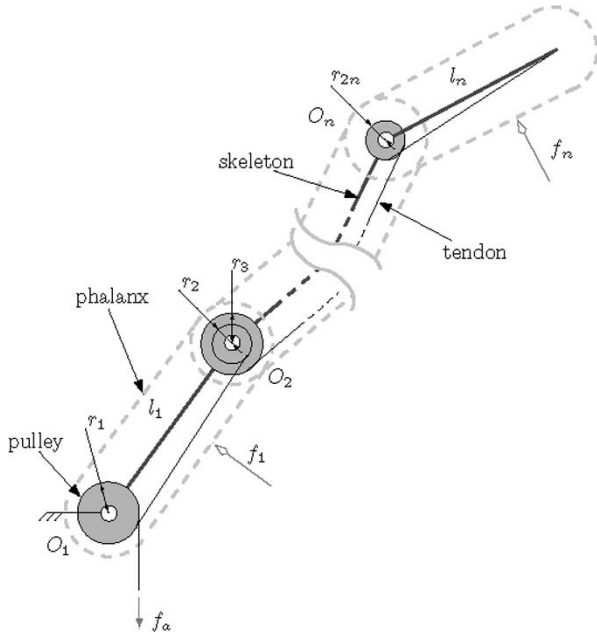


Fig. 7. Tendon-actuated finger.

finger with a specific desired grasp-force distribution. By using two stages instead of one, one can obtain specific ratios without having large  $a_i$  and  $c_i$  link lengths. Thus, this mechanism will indeed generally lead to more compact designs. However, such designs will also have some drawbacks, such as the limited space available for sensors.

### B. Tendon-Pulley Transmission

A legacy of the tradition in robot finger actuation, i.e., a tendon-actuated finger, is now studied. Such designs have already been used in underactuated fingers [13], [17], [22], [35]. The proposed layout is shown in Fig. 7. The expression of matrix  $\mathbf{J}$  remains again unchanged. Matrix  $\mathbf{T}$  can be simply expressed as

$$\mathbf{T}^T = \begin{bmatrix} 1 & \mathbf{0}_{n-1}^T \\ -\frac{r_2}{r_1} & \\ -\frac{r_2 r_4}{r_1 r_3} & \\ \vdots & \\ -\prod_{i=1}^n \frac{r_{2i}}{r_{2i-1}} & \mathbf{1}_{n-1} \end{bmatrix} \quad (48)$$

where  $r_{2i-1}$  and  $r_{2i}$  for  $i > 0$  are, respectively, the radius of the pulley located at the base and the end of the  $i$ th phalanx. Note that, in this case, the latter matrix is constant for any configuration of the finger, as opposed to what was obtained in the analysis presented above for fingers actuated with linkages. One can again proceed with the expression of the contact force vector as

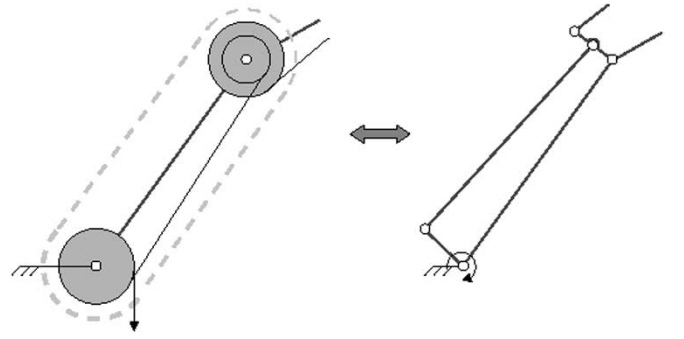


Fig. 8. Equivalence between pulley-tendon and four-bar transmission.

presented in (22). An interesting result arises if the pulley radius ratio is constant, i.e.,

$$\frac{r_{2i}}{r_{2i-1}} = \alpha \quad i > 0. \quad (49)$$

Usually,  $\alpha < 1$  for compactness purposes. The latter expression considerably simplifies the expression of matrix  $\mathbf{T}$ . The expression of the last phalanx forces can then be expressed as

$$\begin{cases} f_n = \frac{\alpha^{n-1}}{k_n} T_a \\ f_{n-1} = -\frac{\alpha^{n-2}(k_n(\alpha - 1) + \alpha l_{n-1} \cos \theta_n)}{k_{n-1} k_n} T_a \end{cases} \quad (50)$$

with  $T_a = r_1 f_a$ . Similar to the previous study, conditions for the forces to become zero are implicit functions that cannot be easily solved. Interestingly, the contact force on the last phalanx is only the function of the ratio  $\alpha$  and the location of the corresponding contact  $k_n$ , not the configuration of the finger itself. Moreover, one can define an equilibrium point [26] for this mechanism as

$$k_n = e_n = \frac{\alpha}{1 - \alpha} l_{n-1} \cos \theta_n. \quad (51)$$

Furthermore, note that the limit case where  $\alpha = 1$  is again an almost always unstable design (equilibrium point pushed to infinity) for the two-phalanx finger, like its mechanical “evil twin,” the parallelogram [26]: both have the exact same condition for positiveness! Similar to what was obtained in Section III-B, a tendon-actuated finger with pulley radii equivalent to link lengths, i.e.,  $r_{2i-1} = a_i$  and  $r_{2i} = c_i$ , has a possible stable three-phalanx grasp occurrence of approximately 56%, to be compared with the 14% of the mechanism first studied. If limits are placed on elements of  $\boldsymbol{\theta}$ , similar to what was done in Section III-B, that percentage drops to approximately 41%, which is still superior to the mechanical linkage. Thus, for small forces and with appropriate control to compensate elasticity and friction, this mechanism can be very efficient, as, for instance, for lightweight prosthetic devices. The kinematic similarity between both mechanisms is pointed out with our analysis technique, highlighting the inherent generality of the presented method. Instantaneous equivalence is illustrated in Fig. 8.



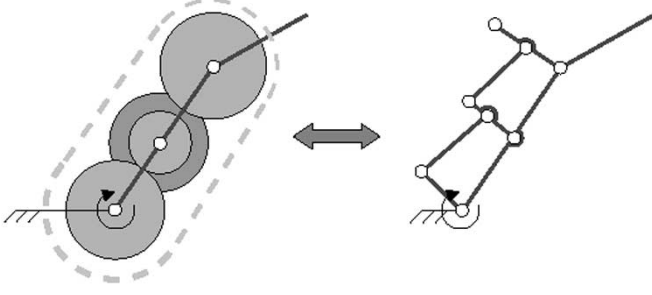


Fig. 9. Equivalence between gears and four-bar transmission (gears are represented by their primitive circles).

TABLE II  
CHARACTERISTIC INDEXES

Transmission	$n$	$\mu$	$\eta$	$I$
linkages	3	0.32	0.0011	0.17
linkages	2	0.43	0.0051	0.21
pulley-tendons	3	0.41	0.0019	0.24
pulley-tendons	2	0.53	0.0058	0.27
gears	2	0.63	0.0037	0.33
double-stage	2	0.64	0.0035	0.32

### C. Gears

Consider that instead of tendons, one has a gear train connecting the principal gears located on the axis of the fingers. With such a mechanism, matrix  $\mathbf{T}$  becomes

$$\mathbf{T}^T = \begin{bmatrix} 1 & \mathbf{0}_{n-1}^T \\ \frac{N_2 N_2^{1*}}{N_1 N_2^1} & \\ -\frac{N_2 N_2^{1*} N_4 N_4^{3*}}{N_1 N_2^1 N_3 N_4^3} & \\ \dots & \\ -\prod_{i=1}^n \frac{N_{2i} N_{2i}^{2i-1*}}{N_{2i-1} N_{2i}^{2i-1}} & \mathbf{1}_{n-1} \end{bmatrix} \quad (52)$$

where  $N_{2i-1}$  and  $N_{2i}$  are, respectively, the number of teeth of the gear located at the base and the end of the  $i$ th phalanx. Both gears are connected through a train that can be described by two equivalent gears with tooth ratio  $N_{2i-1}^{2i-1*}/N_{2i}^{2i-1}$ , that is, the gear with  $N_{2i-1}^{2i-1}$  teeth is in contact with the gear  $N_{2i-1}$ , which is rigidly connected with gear  $N_{2i}^{2i-1*}$ , which itself finally transmits motion to gear  $N_{2i}$ . Note that this form is very similar to the double-stage mechanism presented in Section IV-A. In fact, this mechanism is to pulleys and tendons what double-stage is to the four-bar mechanism. A comparison is again illustrated in Fig. 9.

### D. Comparison

To compare the different mechanisms presented in the previous sections, one can use the indexes presented in (41), (43), and (44). Results are presented in Table II. In order to obtain comparable indexes, equivalence between link lengths, pulley radii, etc., are established with the same rule as in Section IV-B and with the parameters defined in Table I. When only two phalanges are considered, geometrical parameters will correspond

to the last two phalanges in the latter table. The workspace used is defined by  $0 < k_i < l_i$ ,  $i > 0$  and  $0 < \theta_i < \pi/2$ ,  $i > 0$ .

It can be seen that in general, tendon-actuated fingers have better overall performances than linkage-actuated ones. This is due to the fact that they do not suffer from mechanical singular configurations (for example, when three points of the transmission mechanism are on the same line, see Section III-B). This is not the case with multiple-stage fingers, because such singular configurations become most rare if they exist at all, since both stages contribute to attaining the same kinematic configuration. However, larger forces can be applied with linkages than with tendons, since power transmission is performed through rigid bodies, resulting in very firm grasps. With more phalanges, one tends to achieve more adaptive grasps, but it becomes less likely that all phalanges simultaneously perform the grasp.

### V. LESS-THAN- $N$ PHALANX GRASPS

In order for a less-than- $n$  phalanx grasp to be stable, every phalanx in contact with the object should have a corresponding force strictly positive. For phalanges not in contact with the object, the corresponding generated forces, defined in (22), should be zero, since the latter forces can also be seen as the external forces needed to counter the actuation torque; equilibrium is implicitly assumed by (1). Thus, the relationships discussed in Section III-B for positiveness of forces also give us the equilibrium conditions for less-than- $n$  phalanx grasps. For example, if one wants to know under which conditions a stable grasp can be achieved with no contact on phalanx, say  $n-1$ , one has to satisfy the condition

$$\begin{cases} f_j > 0, & j \neq n-1 \\ g_{n-1} = 0. \end{cases} \quad (53)$$

Such conditions are of greater importance than it seems at first glance, since, as it has been shown, only part of the finger contact situation space is stable with full-phalanx stable grasps. Thus, full-phalanx grasps are only a subset of the possible stable grasp contact configurations. Many questions remain unanswered to characterize the behavior of the finger, e.g., is there a global index that would allow us to describe the overall stability of the finger? Generalization of the ejection phenomenon seems a promising way to characterize the stability of an underactuated finger, but this theory should be extended to cases where the hypotheses presented in Section III-B are relaxed. Moreover, nontrivial less-than- $n$  phalanx contact equilibrium is possible, nontrivial, meaning with nonsimple geometrical relationships, like two links on the same line. Thus, it is theoretically possible to fully constrain a general  $n$ -DOF finger with a single contact in such nontrivial configurations.

### VI. CONCLUSIONS

This paper has presented and analyzed the force capabilities of underactuated fingers. The main contribution of this paper is the introduction of matrices  $\mathbf{J}$  (Jacobian) and  $\mathbf{T}$  (Transmission). These matrices allow immediate characterization of the finger, provide the expressions of contact forces developed by the finger, lead to considerations on equilibrium with any number

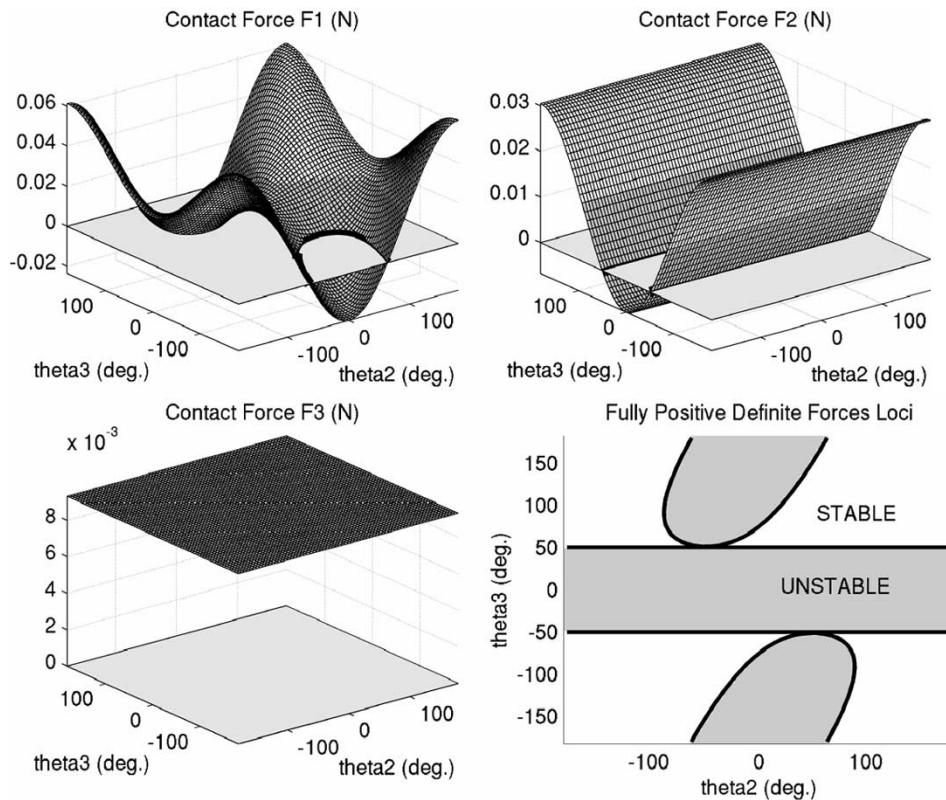


Fig. 10. Tendon-actuated mechanism, contact forces, and stability loci ( $k_2 = l_2/2$  and  $k_3 = l_3/2$ ). Each force component is shown with the plane  $f_i = 0$  in grey, intersections with the latter are outlined.

of phalanges in contact, and provide tools for comparison between different designs. Such comparisons can be very useful to choose a particular design of underactuated finger. However, one should keep in mind other considerations that are not easily mathematically quantifiable. For example, friction and elasticity can eliminate tendon-actuated fingers, despite their good performance indexes. Furthermore, if theoretically, an infinite number of phalanges is ideal for grasping, since such a finger would tend to approach the spatial complement of the shape of the object, which is the perfect grasper, practical considerations of cost and complexity of the design limit the number of phalanges to generally three or four, at most. Hence, the authors believe that the tools presented in this paper can help refine underactuated finger designs in terms of geometric parameters, although the general layout of the finger remains application driven. In order to properly design underactuated fingers, one should be aware of the ejection phenomenon, in order to achieve stable grasps and phalanx force distribution, avoiding weak last phalanges that cannot ensure sufficient force to secure the grasp. Material not included here because of lack of space and under current investigation includes the in-depth study of a three-phalanx finger considering friction, geometry of the contact, and optimal phalanx force distribution.

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