# **Predicting Pneumonia Using Chest X-Rays**

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### 1. Problem

Pneumonia is an infection of the lungs that affects millions of people a year as one of the most common causes for admission to a hospital. To diagnose a patient with pneumonia, chest x-rays are typically taken to a highly trained specialist in order to diagnose the extent and location of the infection. If the process for such a common infection can be streamlined and made more efficient through automation and machine learning, patients would be able to receive treatment faster and doctors would be able to diagnose more patients.

## 2. Data

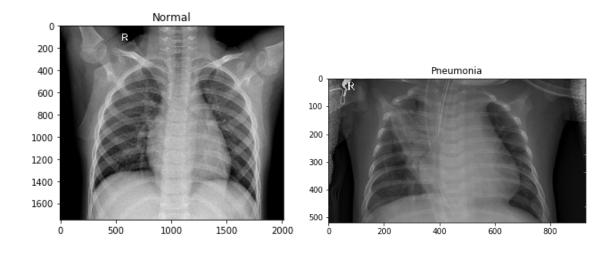
### 2.1 Explore Dataset

We will be using data sourced from:

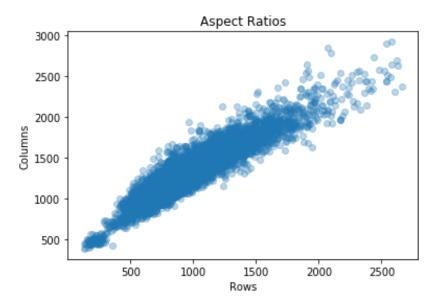
https://www.kaggle.com/paultimothymooney/chest-xray-pneumonia

The images are grouped by doctors as being normal, or with pneumonia. The photos labeled as pneumonia are also labeled as bacterial or viral. Low quality or unreadable scans were also removed from the data set. The images are also organized into training, validation, and testing groups for the training and evaluation of our model.

Let's first see some examples of our images labelled pneumonia and normal.



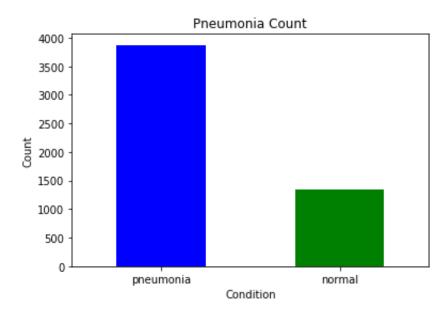
The first thing we note is that the images in the set all vary in size. Let's see what the sizes generally look like.



Mean Aspect Ratio = 1.44

Even though the image sizes vary, we can see that there is a linear relationship between the rows and the columns of an image. Will need to resize the images for our deep learning network, so it may be useful to maintain a similar aspect ratio so that we do not alter the images too much.

Next we should see how many instances of each category (pneumonia and normal) exist in our data set.



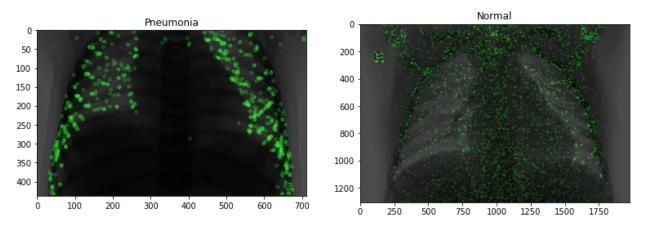
We can see that our data set is slightly imbalanced, having more pneumonia images than normal images. This suggests that we may need to be careful when selecting our evaluation

metrics, and that simply using accuracy may not be sufficient. We will need to explore the use of recall, precision and F1 scores.

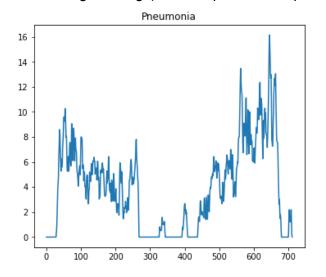
### 2.2 OpenCV for Image Processing

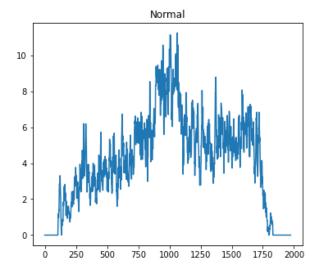
How do we tell which image is the one with pneumonia? Let's use OpenCV to try and detect some features that may give us a clearer idea.

Let's use the FAST algorithm in opency to detect features in our x-ray images.

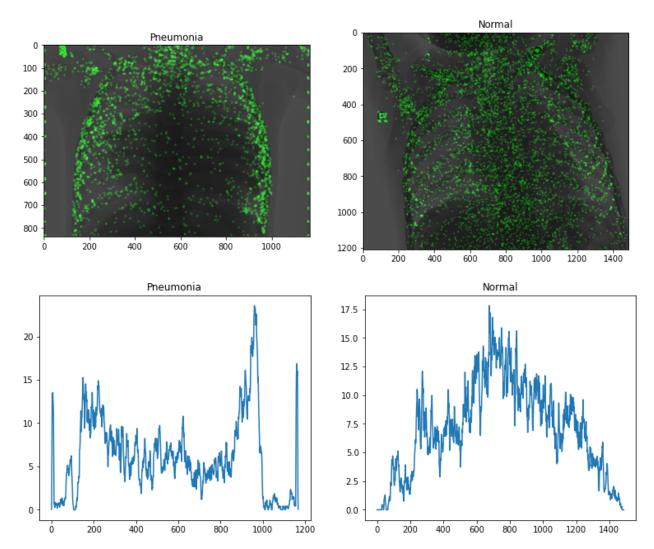


Here we can see that the FAST algorithm detects features that are mostly outside of the lungs in cases of pneumonia, whereas in cases without pneumonia features are detected more randomly. Let's move along the x-axis of the image and get the average pixel intensity at each point in the x-axis to create a cross sectional plot. Looking at these plots, we can see that in the middle area there appears to be a dip in the average pixel value. This would suggest that there is a relationship between the dip in pixel intensity around the center of the image (area surrounding the lungs) and the presence of pneumonia.



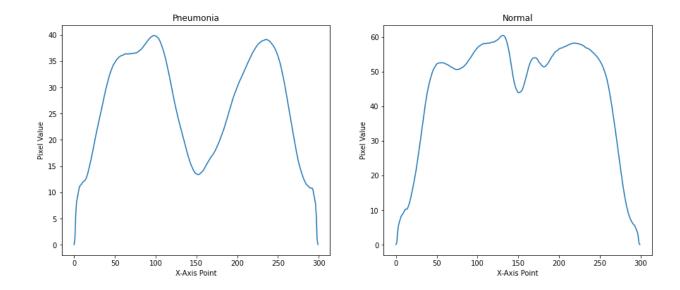


Let's repeat this process for another pair of images and see if something similar occurs.



It does appear that we get the same pattern of a dip in the center of the image here as well, although it's not as obvious.

Let's take a look at all the images in our dataset and see if a similar pattern emerges. First we will have to resize the images so that we can compare the mean pixel values across each x-axis point. Then we will plot the average of the mean pixel values across each x-axis point and see if the same pattern presents itself.



The presence of this pattern provides some insight on how we can use a chest x-ray image to visually detect pneumonia. It is clear that the center area of the images of the cases with pneumonia show a lower pixel value, suggesting that when we look at an image with pneumonia, there will generally be less features detected around the lungs.

# 3. Model

## 3.1 Building a Model Using Keras

The data is first shuffled and loaded using an image data generator with a validation split of 0.3. Using the data generators, we also resize the images to (200,300) maintaining an aspect ratio close to that of our mean from exploring the data, and convert the images to grayscale. Using these parameters, we first try to use a simple model as a test run.

#### **First Model**

Layer (type)	Output	Shape	Param #
conv2d_4 (Conv2D)	(None,	198, 298, 32)	320
max_pooling2d_4 (MaxPooling2	(None,	99, 149, 32)	0
conv2d_5 (Conv2D)	(None,	97, 147, 32)	9248
max_pooling2d_5 (MaxPooling2	(None,	48, 73, 32)	0

conv2d_6 (Conv2D)	(None, 46, 71,	64)	18496
<pre>max_pooling2d_6 (MaxPooling2</pre>	(None, 23, 35,	64)	0
batch_normalization_2 (Batch	(None, 23, 35,	64)	256
flatten_2 (Flatten)	(None, 51520)		0
dense_3 (Dense)	(None, 128)		6594688
dense_4 (Dense)	(None, 1)		129

With this model architecture we get some great looking results:

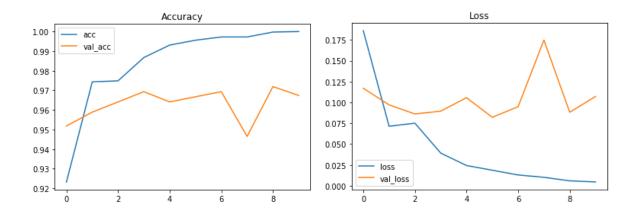
Loss: 0.1072 Acc: 0.9674 F1 Score: 0.9782 Recall: 0.9860 Precision: 0.9714

Upon testing however:

Loss: 1.65 Acc: 0.74 F1 Score: 0.83 Recall: 1.00 Precision: 0.71

This clearly suggests that the model is overfitting.

Taking a look at our accuracy and loss plots during our training epochs, it's clear that our model is not very good. The difference between our validation and training accuracy shows an overfit issue, and our validation loss does not seem to be minimizing very well.



Let's try a more complex model and see if that yields better results. We can try adding more layers, adjust the number of filters on the CNN layers, and adjust the number of nodes in the Dense layers. We will also try to address the overfit issue by adding dropout and regularization.

### **Second Model**

Layer (type)	Output	Shape	Param #
conv2d_7 (Conv2D)	(None,	198, 298, 32)	320
max_pooling2d_7 (MaxPooling2	(None,	99, 149, 32)	0
conv2d_8 (Conv2D)	(None,	97, 147, 32)	9248
max_pooling2d_8 (MaxPooling2	(None,	48, 73, 32)	0
conv2d_9 (Conv2D)	(None,	46, 71, 64)	18496
max_pooling2d_9 (MaxPooling2	(None,	23, 35, 64)	0
conv2d_10 (Conv2D)	(None,	21, 33, 64)	36928
max_pooling2d_10 (MaxPooling	(None,	10, 16, 64)	0
conv2d_11 (Conv2D)	(None,	8, 14, 128)	73856
batch_normalization_3 (Batch	(None,	8, 14, 128)	512
max_pooling2d_11 (MaxPooling	(None,	4, 7, 128)	0
flatten_3 (Flatten)	(None,	3584)	0
dense_5 (Dense)	(None,	256)	917760
dense_6 (Dense)	(None,	512)	131584
dropout_1 (Dropout)	(None,	512)	0
dense_7 (Dense)	(None,	1)	513

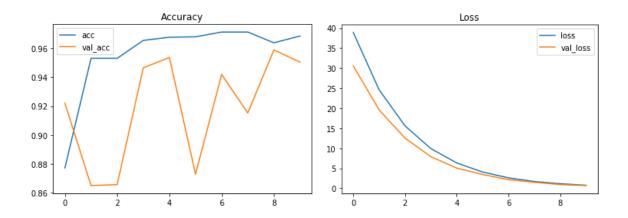
### Validation Metrics

Loss: 0.6699 Acc: 0.9504 F1 Score: 0.9671 Recall: 0.9909 Precision: 0.9456

Test Metrics

Loss: 1.68 Acc: 0.69 F1 Score: 0.80 Recall: 1.00 Precision: 0.67

We can see that we still have clear overfitting problems based on the differences in the validation and test metrics.



The accuracy plot looks like a step in the right direction, as the validation accuracy seems to be trending upward. The loss definitely looks much better. The training and validation loss seems to have flattened out and converged, suggesting we are moving in the right direction.

We can continue tweaking and adjusting our model until we get some reasonable results. We can save the models as h5 files so that they can be revisited at a later date.

After several iterations, we finally land on a model that's somewhere in between the first and second in terms of architecture complexity using L1 regularization to avoid the previously encountered overfitting issues:

#### Saved Model

Layer (type)	Output	Shape	Param #
conv2d_46 (Conv2D)	(None,	198, 298, 32)	320
max_pooling2d_37 (MaxPooling	(None,	99, 149, 32)	0
conv2d_47 (Conv2D)	(None,	97, 147, 32)	9248
max_pooling2d_38 (MaxPooling	(None,	48, 73, 32)	0
conv2d_48 (Conv2D)	(None,	46, 71, 32)	9248
max_pooling2d_39 (MaxPooling	(None,	23, 35, 32)	0
conv2d 49 (Conv2D)	(None,	21, 33, 64)	18496

max_pooling2d_40 (MaxPooling	(None,	10, 16, 64)	0
conv2d_50 (Conv2D)	(None,	8, 14, 128)	73856
batch_normalization_10 (Batc	(None,	8, 14, 128)	512
flatten_10 (Flatten)	(None,	14336)	0
dense_19 (Dense)	(None,	64)	917568
dense_20 (Dense)	(None,	1)	65

From the model metrics we can see that this model with our saved weights does not have an obvious overfit issues, while maintaining a fairly high F1 score, recall, and precision scores.

#### TRAINING

Loss: 0.25 Acc: 0.92 F1 Score: 0.94 Recall: 0.89 Precision: 1.00

#### VALIDATION

Loss: 0.26 Acc: 0.92 F1 Score: 0.94 Recall: 0.90 Precision: 0.99

### TEST

Loss: 0.37 Acc: 0.87 F1 Score: 0.89 Recall: 0.92 Precision: 0.87

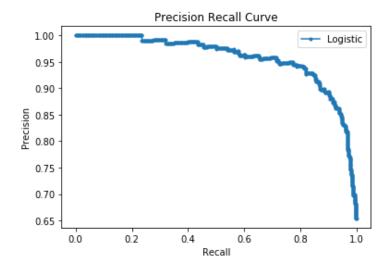
We can see that the difference between the validation and test scores is not as extreme, suggesting that this model is not overfitting as much as the previous ones and is doing a much better job of generalizing to new data.

In this model we have maintained a reasonably high recall score of 0.92. It should be noted that when dealing with healthcare predictions, it may be prudent to keep a high recall to limit the number of false negatives since missing a diagnosis could potentially have much higher costs than incorrectly diagnosing a case as positive. Any changes or improvements made to the model should take this into consideration and try to keep the recall of the model as high as possible.

### 3.2 Model Evaluation

### **Precision Recall Curve**

Since we have a slight imbalance, we should look at a precision recall curve and AUC score to get an idea of how our model performs instead of the usual ROC curve.

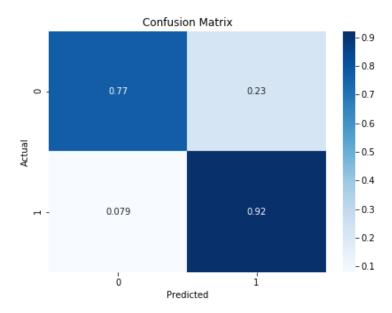


AUC Score: 0.957

The precision recall curve looks good, as does the AUC score.

#### **Confusion Matrix**

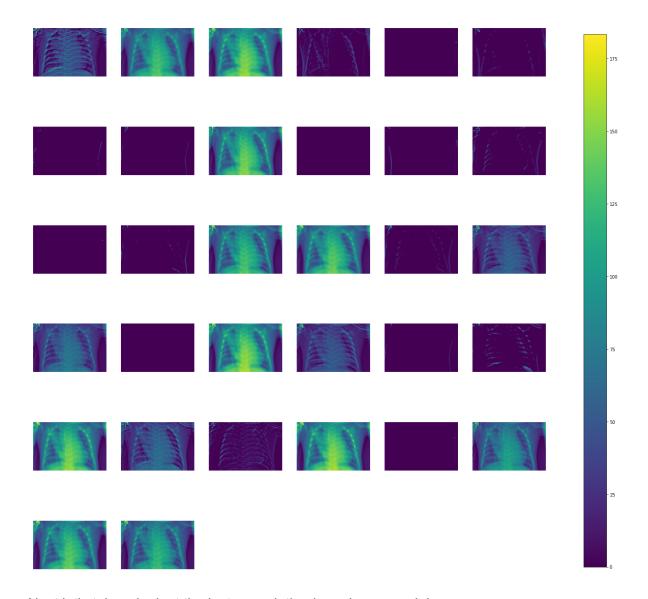
Finally, let's take a look at our confusion matrix so that we can visualize how our classification model performs.



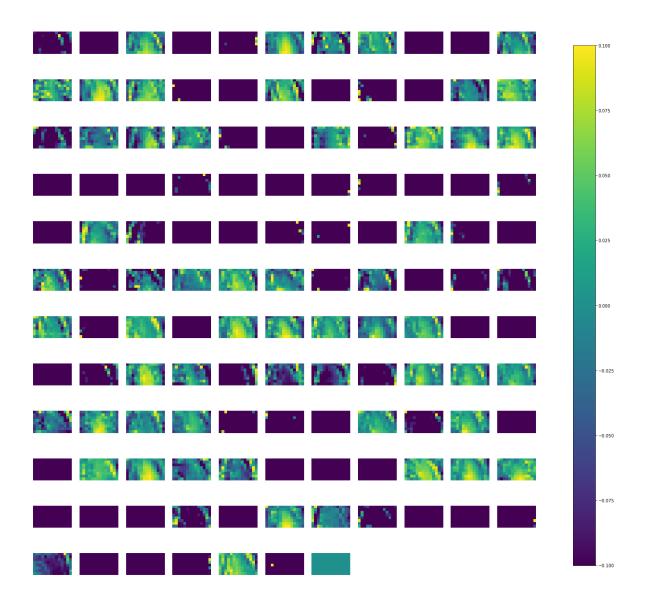
# 4. Interpreting Our Model

# **4.1 Visualizing Convolution Layers**

The next step would be for us to gain a better understanding of what our model is actually doing when it is making predictions. Let's first use Keract to take a look at the activations of the various filters in one of the convolution layers. For example, let's take a look at the second convolution layer in our model and see what the filters generate.



Next let's take a look at the last convolution layer in our model



Using these plots we can get an idea of what the various convolution layers are doing with it's filters and activations. We can see that most of the activated filters have an area of high intensity concentrated around the lungs, suggesting this is an area of focus when the model is making its predictions.

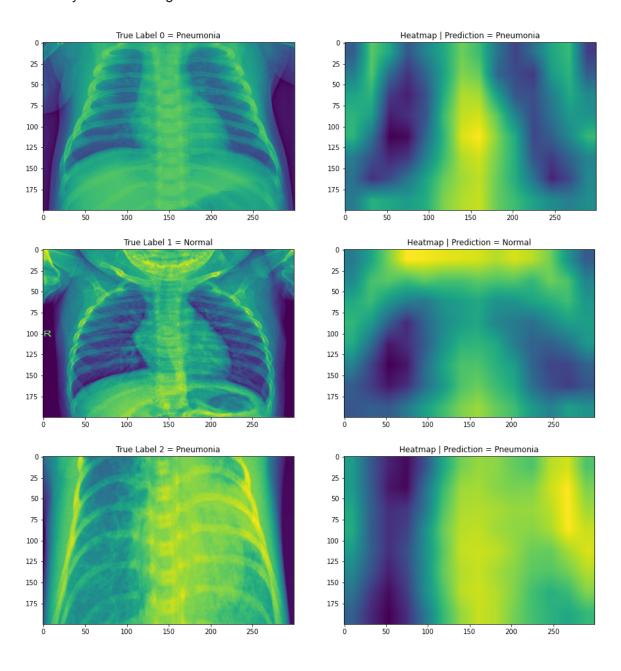
## 4.2 Grad-CAM Heatmap

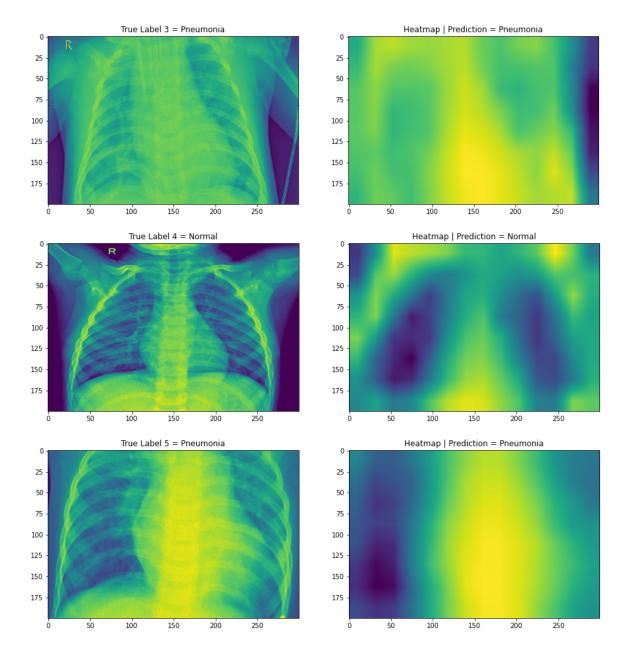
Next, using the techniques described in:

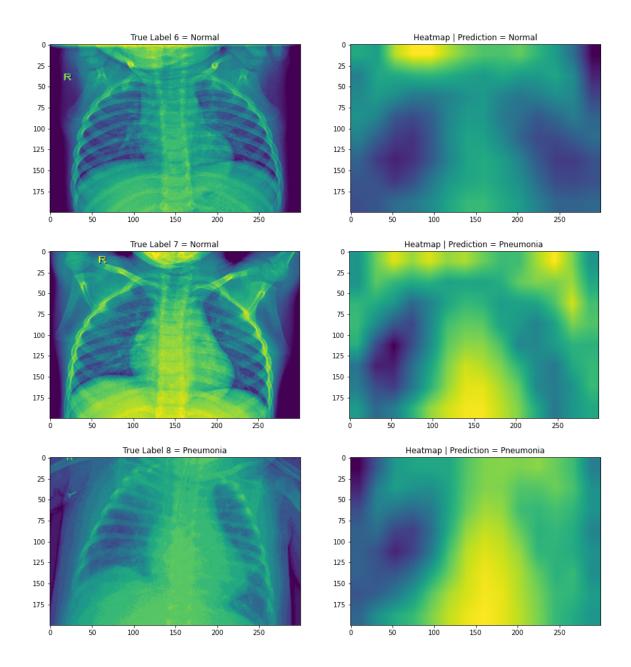
 $\underline{https://towardsdatascience.com/demystifying-convolutional-neural-networks-using-gradcam-554} \ a85dd4e48$ 

https://colab.research.google.com/drive/1AG4MbfaMQGARIGMzjanWnlgcroxhRTVw

We can generate heatmaps to give us an idea of what the computer is looking at and which areas are more important using the gradients of the convolution layer. Let's take a look at some randomly selected images.







Looking at these heatmaps, we can see that when the heatmap has an area of high intensity towards the center of the image where the lungs are, the model tends to predict pneumonia. In case 7 the model incorrectly predicted the presence of pneumonia, but we can see that there is a yellow cluster close to the middle of the image similar to the other pneumonia cases. This gives us some good insight into what the deep learning model is focusing on when it is making predictions.

### 5. Conclusion

We have a model that predicts pneumonia from chest x-rays reasonably well. The initial model architecture did not generalize and learn well based on the metrics and loss plots, and the second iteration of the model also did not perform well. An overly complex model may have contributed to the overfitting in some of the iterations. There seems to be a sweet spot that balances overfitting a complex model with producing high quality results. We can continue to adjust the model and its parameters to see if better results can be achieved. As noted earlier, any changes made to the model should ideally keep the recall score as high as possible since an incorrect diagnosis of pneumonia is not as costly as a missed diagnosis.

## 6. References

https://www.kaggle.com/paultimothymooney/chest-xray-pneumonia

https://towardsdatascience.com/demystifying-convolutional-neural-networks-using-gradcam-554 a85dd4e48

https://colab.research.google.com/drive/1AG4MbfaMQGARIGMzjanWnlqcroxhRTVw