Statistical Queries and Statistical Algorithms: Foundations and Applications*

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Abstract

We give a survey of the foundations of statistical queries and their many applications to other areas. We introduce the model, give the main definitions, and we explore the fundamental theory statistical queries and how how it connects to various notions of learnability. We also give a detailed summary of some of the applications of statistical queries to other areas, including to optimization, to evolvability, and to differential privacy.

1 Introduction

Over 20 years ago, Kearns [1998] introduced *statistical queries* as a framework for designing machine learning algorithms that are tolerant to noise. The statistical query model restricts a learning algorithm to ask certain types of queries to an oracle that responds with approximately correct answers. This framework has has proven useful, not only for designing noise-tolerant algorithms, but also for its connections to other noise models, for its ability to capture many of our current techniques, and for its explanatory power about the hardness of many important problems.

Researchers have also found many connections between statistical queries and a variety of modern topics, including to evolvability, differential privacy, and adaptive data analysis. Statistical queries are now both an important tool and remain a foundational topic with many important questions. The aim of this survey is to illustrate these connections and bring researchers to the forefront of our understanding of this important area.

We begin by formally introducing the model and giving the main definitions (Section 2), we then move to exploring the fundamental theory of learning statistical queries and how how it connects to other notions of learnability (Section 3). Finally, we explore many of the other applications of statistical queries, including to optimization, to evolvability, and to differential privacy (Section 4).

^{*}Thus survey was first given as a tutorial on statistical queries at the 29th International Conference on Algorithmic Learning Theory (ALT) 2018. Since then, various researchers have asked about the tutorial slides or noted the slides' usefulness in helping them to absorb or teach this material. Hence, that tutorial has been developed into this survey paper in hopes that it will serve as a useful primer on this subject. To give proper attention to all of the authors of the various results, full author lists for the cited papers were provided en lieu of the customary et al. abbreviation.

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2 Model, definitions, and basic results

Statistical query learning traces its origins to the *Probably Approximately Correct* (PAC) learning model of Valiant [1984]. The PAC model defines the basic supervised learning framework used in machine learning. We begin with its definition.

Definition 1 (efficient PAC learning). Let C be a class of boolean functions $c: X \to \{-1, 1\}$. We say that C is efficiently PAC-learnable if there exists an algorithm A such that for every $c \in C$, any probability distribution D_X over X, and any $0 < \epsilon, \delta < 1$, A takes a labeled sample S of size $m = \text{poly}(1/\epsilon, 1/\delta, n, |c|)$ from D, outputs a hypothesis h for which

$$\mathbf{Pr}_{S \sim D}[\mathbf{err}_D(h) \leq \epsilon] \geq 1 - \delta$$

in time polynomial in m.

A useful way to think about the statistical query (SQ) framework is as a restriction on the algorithm \mathcal{A} in the definition above. In the SQ model, the learner access to an oracle instead of to a set S of labeled examples.

The oracle accepts query functions and tolerances, which together are called a statistical query. To define the model, we first make this notion presice.

Definition 2 (statistical query). A statistical query is a pair (q, τ) with

$$q: a \ function \ q: X \times \{-1,1\} \to \{-1,1\}.$$

 τ : a tolerance parameter $\tau \geq 0$.

Now we are ready to define the statistical query oracle.

Definition 3 (statistical query oracle). The <u>statistical query oracle</u>, $SQ(q,\tau)$, when given a statistical query, returns any value in the range:

$$[\mathbf{E}_{x\sim D}[q(x,c(x)]-\tau,\mathbf{E}_{x\sim D}[q(x,c(x)]+\tau]].$$

Finally, we can give the definition of efficient statistical query learning.

Definition 4 (efficient SQ learning). Let C be a class of boolean functions $c: X \to \{-1, 1\}$. We say that C is efficiently SQ-learnable if there exists an algorithm A such that for every $c \in C$, any probability distribution \overline{D} , and any $\epsilon > 0$, there is a polynomial $p(\cdot, \cdot, \cdot)$ such that

- 1. A makes at most $p(1/\epsilon, n, |c|)$ calls to the SQ oracle,
- 2. the smallest τ that \mathcal{A} uses satisfies $1/\tau \leq p(1/\epsilon, n, |c|)$, and
- 3. the queries q are evaluable in time $p(1/\epsilon, n, |c|)$,

and A outputs a hypothesis h satisfying $err_D(h) \leq \epsilon$.

Note that unlike Definition 1, this definition has no failure parameter δ . That is because in PAC learning, it is possible to get an uninformative sample, whereas the SQ oracle is restricted to *always* answer queries within a given range.

 $^{^{1}}n = |x|$

2.1 Simulating by algorithms that draw a sample

It is not hard to see that a statistical query algorithm can be simulated in the PAC model, which makes SQ a natural restriction of PAC. In particular one can simulate an SQ oracle in the PAC model by drawing $m = O\left(\frac{\log(k/\delta)}{\tau^2}\right)$ samples for each of the k statistical queries, and by the Hoeffding bound, the simulation will fail with probability $< \delta$. This leads to the following observation.

Observation 5. If a class of functions is efficiently SQ-learnable, then it is efficiently PAC learnable.

More importantly, learnability with statistical queries is also related to learnability under the classification noise model of Angluin and Laird [1987].

Definition 6 (classification noise). A PAC learning algorithm under random classification noise must meet the PAC requirements, but the label of each training sample is flipped with independently with probability η , for $0 \le \eta < 1/2$. The sample size and running time must also depend polynomially on $1/(1-2\eta)$.

This leads us to the following surprising theorem, which shows that any statistical query algorithm can be converted into a PAC algorithm under classification noise.

Theorem 7 (Kearns [1998]). If a class of functions is efficiently SQ-learnable, then it is efficiently learnable in the noisy PAC model.

Proof. For each of k queries, q(., .), with tolerance τ , let Let $P = \mathbf{E}_{x \sim D}[q(x, c(x))]$. We estimate P with \hat{P} as follows.

First, draw a sample set S, with $|S| = \text{poly}(1/\tau, 1/1 - 2\eta, \log 1/\delta, \log k)$ sufficing. Given q, we separate S into two parts²:

$$S_{\text{clean}} = \{ x \in S \mid q(x,0) = q(x,1) \}$$

$$S_{\text{noisy}} = \{ x \in S \mid q(x,0) \neq q(x,1) \}.$$

Then, we estimate q on both the parts, with

$$\begin{split} \hat{P}_{\text{clean}} &= \frac{\sum_{x \in S_{\text{clean}}} q(x, \ell(x))}{|S_{\text{clean}}|} \\ \hat{P}_{\text{noisy}} &= \frac{\sum_{x \in S_{\text{noisy}}} q(x, \ell(x))}{|S_{\text{noisy}}|}. \end{split}$$

Finally, since we know the noise rate η , we can undo the noise on the noisy part and combine the estimate:

$$\hat{P} = \frac{\hat{P}_{\text{noisy}} - \eta}{1 - 2\eta} \left(\frac{|S_{\text{noisy}}|}{|S|} \right) + \hat{P}_{\text{clean}} \left(\frac{|S_{\text{clean}}|}{|S|} \right).$$

By the Hoeffding and union bound, we can shaw that \hat{P} is within τ of P with probability at least $1 - \delta$ for all k queries for the |S| as chosen above.

Therefore, the SQ framework gives us a way to design algorithms that are also noise-tolerant under some notions of noise. In addition, SQ learnability also gives results for learning in the malicious noise model of Valiant [1985], for example as illustrated in the following Theorem.

Theorem 8 (Aslam and Decatur [1998a]). If a class of functions is efficiently SQ-learnable, then it is efficiently PAC learnable under malicious noise with noise rate $\eta = \tilde{O}(\epsilon)$.

²Note that this does not require knowing the labels of the examples.

2.2 Variants of SQs

One natural restriction of statistical queries was defined by Bshouty and Feldman [2002], who modified the oracle to only output the approximate correlation between a query and the target function. In this correlational statistical query (CSQ) model, the oracle is weaker, but the learning criterion is the same as for statistical queries, as in Definition 4.

Definition 9 (correlational statistical query oracle). Given a function $h = X \to \{-1,1\}$ and a tolerance parameter τ , the correlational statistical query oracle $CSQ(h,\tau)$ returns a value in the range

$$[\mathbf{E}_D[h(x)c(x)] - \tau, \mathbf{E}_D[h(x)c(x)] + \tau].$$

The correlational statistical query oracle above gives distances between the hypothesis and a target function. This is equivalent to the "Learning by Distances" model of Ben-David, Itai, and Kushilevitz [1995], who defined their model independently of Kearns [1998].

Another natural way to define statistical queries presented by Yang [2005] is via the *honest statistical query* (HSQ) oracle. This oracle samples the distribution and honestly computes approximate answers.

Definition 10 (honest statistical query oracle). Given function $q: X \times \{-1,1\} \to \{-1,1\}$ and sample size m, the honest statistical query oracle HSQ(q,s) draws $(x_1,\ldots,x_m) \sim D^m$ and returns the empirical average

$$\frac{1}{m}\sum_{i=1}^{m}q(x_i,c(x_i)).$$

The definition of honest statistical query learning is again similar to Definition 4, but needs some modification to work with the HSQ oracle. First, instead of bounding $1/\tau$, the largest sample size m needs to be bounded by a polynomial. Also, because of the sampling procedure, a failure parameter δ needs to be (re-)introduced, and the learner is required to also be polynomial in $1/\delta$.

Note that because the CSQ oracle is weaker, any lower bound against SQ algorithms also holds against CSQ algorithms. On the other hand, the HSQ oracle is arguably stronger and cannot answer adversarially; hence, SQ algorithms can be easily adapted to give HSQ guarantees.

3 Bounds for SQ algorithms

We now examine some fundamental theory for statistical query algorithms, beginning with information-theoretic lower bounds that hold against statistical query algorithms.

3.1 Lower bounds

The main tool for proving statistical query lower bounds is called statistical query dimension. We present it and variants of it in the following section.

3.1.1 Statistical query dimension

A quantity called the statistical query dimension [Blum, Furst, Jackson, Kearns, Mansour, and Rudich, 1994] controls the complexity of statistical query learning.

Definition 11 (statistical query dimension). For a concept class C and distribution D, the statistical query dimension of C with respect to D, denoted $\mathrm{SQ}\text{-DIM}_D(C)$, is the largest number d such that C contains d functions f_1, f_2, \ldots, f_d such that for all $i \neq j$, $|\langle f_i, f_j \rangle_D| \leq 1/d$. Note: $\langle f_i, f_j \rangle_D = \mathbf{E}_D[f_i \cdot f_j]$.

When we leave out the distribution D as a subscript, we refer to the statistical query dimension with respect to the worst-case distribution

$$SQ-DIM(C) = \max_{D \in \mathcal{D}} (SQ-DIM_D(C)).$$

This quantity is important due to the following theorem.

Theorem 12 (Blum, Furst, Jackson, Kearns, Mansour, and Rudich [1994]). Let C be a concept class and let $d = \operatorname{SQ-DIM}_D(C)$. Then any SQ learning algorithm that uses a tolerance parameter lower bounded by $\tau > 0$ must make at least $(d\tau^2 - 1)/2$ queries to learn C with accuracy at least τ . In particular, when $\tau = 1/d^{1/3}$, this means $(d^{1/3} - 1)/2$ queries are needed.

Proof. The original proof is a bit too technical to present here, so instead we'll see a clever, short proof of this lower bound for CSQs given by Szörényi [2009]. This proof gives a weaker result than the statement of the theorem as proven by Blum, Furst, Jackson, Kearns, Mansour, and Rudich [1994].

Assume f_1, \ldots, f_d realize the SQ-DIM. Let h be a query and $A = \{i \in [d] : \langle f_i, h \rangle \geq \tau\}$. Then by Cauchy-Schwartz, we have

$$\left\langle h, \sum_{i \in A} f_i \right\rangle^2 \le \left\| \sum_{i \in A} f_i \right\|^2 = \sum_{i, j \in A} \left\langle f_i, f_j \right\rangle \le \sum_{i \in A} \left(1 + \frac{|A| - 1}{d} \right) \tag{1}$$

therefore

$$\left\langle h, \sum_{i \in A} f_i \right\rangle^2 \le |A| + \frac{|A|^2}{d}.$$

But by definition of A, we also have

$$\left\langle h, \sum_{i \in A} f_i \right\rangle \ge |A|\tau.$$

By algebra, $|A| \leq d/(d\tau^2 - 1)$, and the same bound holds for A' defined w.r.t. correlation $\leq -\tau$.

So no matter what h, an answer of 0 to $CSQ(h, \tau)$ eliminates at most

$$\frac{d}{|A|+|A'|} = \frac{(d\tau^2-1)}{2}$$

functions.

We then get the following as an immediate corollary.

Corollary 13. Let C be a class with SQ-DIM_D(C) = $\omega(n^k)$ for all k, then C is not efficiently SQ-learnable under D.

Perhaps surprisingly, for distribution-specific learning, CSQ-learnability is equivalent to SQ-learnability.

Lemma 14 (Bshouty and Feldman [2002]). Any SQ can be answered by asking two SQs that are independent of the target and two CSQs.

Proof. We decompose the SQ into two SQs:

$$\mathbf{E}_{D}[q(x,c(x))] = \mathbf{E}_{D} \left[q(x,-1) \frac{1-c(x)}{2} + q(x,1) \frac{1+c(x)}{2} \right]$$

$$= \frac{1}{2} \mathbf{E}_{D}[q(x,1)c(x)] - \frac{1}{2} \mathbf{E}_{D}[q(x,-1)c(x)] + \frac{1}{2} \mathbf{E}_{D}[q(x,1)] + \frac{1}{2} \mathbf{E}_{D}[q(x,-1)].$$
(3)

Note that the terms in Expression 2 are correlational statistical queries and the terms in Expression 3 are statistical queries independent of the label. \Box

On the other hand, Feldman [2011] showed that CSQs are strictly weaker than SQs for distribution-independent learning. For example, he showed that half-spaces are not distribution-independently CSQ learnable, but are SQ learnable.

There also exists a similar theorem for honest statistical queries, as given below. The statement was originally proven by Yang [2005] and later strengthened by Feldman, Grigorescu, Reyzin, Vempala, and Xiao [2017].

Theorem 15 (Yang [2005]). Let C be a concept class and let d = SQ-DIM(C). Then any HSQ learning algorithm must use a total sample complexity at least $\Omega(d)$ to learn C (o constant accuracy and probability of success.

3.1.2 Classes that are not efficiently SQ learnable

Given the statistical query dimension lower bounds, we can now say certain classes of functions are not learnable with statistical queries, begging with a result from the results in the original paper of Kearns [1998].

Observation 16. Parity functions on $\{0,1\}^n$ have $SQ\text{-DIM} = 2^n$, and therefore, are not efficiently SQ learnable.

Parity functions are of the form $\chi_c(x) = (-1)^{c \cdot x}$. All 2^n of them are pairwise orthogonal. This is known from orthogonality of Fourier characters under the uniform distribution; see the book by O'Donnell [2014]. Parities, however, being linear functions, are PAC-learnable using Gaussian elimination, so $SQ \subseteq PAC$. [Blum, Furst, Jackson, Kearns, Mansour, and Rudich, 1994].

Observation 17. Decision trees on n nodes have $SQ\text{-DIM} \ge n^{c \log n}$, and therefore, are not efficiently SQ learnable.

This fact can be proven by showing how decision trees can encode many parity functions, all of which are pairwise orthogonal. This is the standard technique for showing a high statistical query dimension.

Figure 1 illustrates the straightforward way how a decision tree with n-1 nodes can encode a parity function on $\log n$ variables. Since there are $\binom{n}{\log n}$ choices of $\log n$ from n variables, this shows decision trees have a statistical query dimension of at least $n^{c \log n}$.

Observation 18. DNF of size n have $SQ\text{-DIM} \ge n^{c \log n}$, and therefore, are not efficiently SQ learnable.

DNF formulae of size n can similarly encore parity functions on $\log n$ variables using n/2 terms, as illustrated in Figure 2.

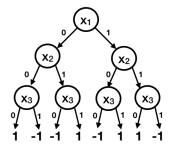


Figure 1: A decision tree on 7 nodes encoding the parity function on 3 variables.

$$(x_1 \wedge x_2 \wedge x_3) \vee (\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_1 \wedge \bar{x}_2 \wedge x_3)$$

Figure 2: A 4-term DNF encoding the parity function on 3 variables

Observation 19. Deterministic finite automata on n nodes have SQ-DIM $\geq 2^{cn}$, and therefore, are not efficiently SQ learnable.

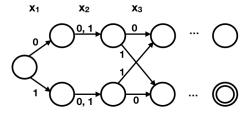


Figure 3: A DFA on 2n + 1 nodes encoding parities of size n.

Figure 3.1.2 illustrates how deterministic finite automaton with 2n + 1 nodes can encode a parity function on n variables. Note that the crossings correspond to variables relevant to the parity function.

It turns out that even *uniformly random* decision trees, DNF, and automata [Angluin, Eisenstat, Kontorovich, and Reyzin, 2010].

Note that only the first of these are known to be PAC learnable. We will discuss the implications of this in Section 3.3.

3.1.3 Comparison with VC dimension

The results above imply certain relationships to other notions of dimension. In this section, we briefly explore the relationship of SQ-DIM with the Vapnik-Chervonenkis dimension, VC-DIM, which controls the sample complexity of PAC learning [Vapnik and Chervonenkis, 2015]. Briefly stated, the VC-DIM of a concept class \mathcal{C} is the maximum number of examples that C can shatter, i.e. achieve all possible labelings of the examples by functions in C.

First, we make the following observation, which also appears in Blum, Furst, Jackson, Kearns, Mansour, and Rudich [1994]

Observation 20. For a concept class C, let VC-DIM(C) = d, then $SQ\text{-DIM}(C) = \Omega(d)$.

Proof. Let d be the VC dimension of C. Then there exists a set S of d points C can shatter. Assume without

loss of generality that the domain of S is $\{0,1\}^{\log d}$. Because C shatters S, it contains all d parity functions over $\{0,1\}^{\log d}$, which by Observation 16 have SQ-DIM of $2^{\log d} = d$.

On the other hand, SQ dimension can be much larger than VC dimension.

Observation 21. There exist classes C, for which VC-DIM(C) = d, but for which SQ-DIM(C) can be as large as 2^d .

Proof. Parity functions on $\{0,1\}^d$ have VC-DIM = d but again by Observation 16 have SQ-DIM = 2^d .

Finally, we might ask if there are classes with VC dimension d but even larger SQ dimension. The answer turns out to be no.

Theorem 22 (Sherstov [2018]). Let C be a concept class with VC-DIM(C) = d. Then, SQ-DIM(C) $\leq 2^{O(d)}$.

3.2 SQ upper bounds

Following from Definition 11 (statistical query dimension), we can also get an upper bound on the number of statistical queries needed to achieve weak learnability.

Observation 23. Let C be a concept class and let $SQ\text{-}DIM_D(C) = poly(n)$, then C is weakly learnable under D.

Proof. Let $S = \{f_1, \ldots f_d\} \subseteq C$ realize the SQ bound. For each $f_i \in S$, query its correlation with c^* . At least one must have a correlation greater than 1/d; otherwise we could add c^* to S, contradicting S's maximality.

Because of this observation, SQ-DIM is sometimes referred to as the weak statistical query dimension.

One may then ask about strong learnability, as in Definition 4 (efficient SQ learning). Schapire [1990] showed that a class is strongly learnable if and only if it is weakly learnable in the PAC setting. It is then natural to ask whether the Is the same equivalence between weak and strong learnability holds in the SQ setting, and indeed Aslam and Decatur [1998b] showed "statistical query boosting" is possible.

Theorem 24 (Aslam and Decatur [1998b]). Let d = SQ-DIM(C), then C is SQ-learnable to error $\epsilon > 0$ using $O(d^5 \log^2(1/\epsilon))$ queries with tolerances bounded by $\tau = \Omega(\epsilon/(3d))$.

The outline of the proof of the above theorem is as follows: the learner simulates boosting by feeding in his series of weighted weak learners to the SQ oracle via a statistical query and then asking the oracle to simulate the resulting distribution.

But this procedure, like regular boosting, works only for distribution independent learning, i.e. when weak learnability is achievable for any distribution. In the distribution-dependent case, (weak) SQ dimension does not necessarily characterize strong learnability.

For this reason, there exist definitions for a corresponding notion of strong SQ dimension [Feldman, 2012, Simon, 2007, Szörényi, 2009]. We provide a definition here; roughly speaking, SSQ-DIM_D($C, 1 - \epsilon$), controls the complexity of learning C.

Definition 25 (strong statistical query dimension). For a concept class C and distribution D, let the strong statistical query dimension $SSQ-DIM_D(C,\gamma)$ be the largest d such that some $f_1,\ldots,f_d\in C$ fulfill

1.
$$|\langle f_i, f_j \rangle_D| \le \gamma$$
 for $1 \le i < j \le d$, and

2.
$$|\langle f_i, f_j \rangle_D - \langle f_k, f_\ell \rangle_D| \le 1/d \text{ for } 1 \le i < j \le d, \ 1 \le k < \ell \le d.$$

For $\epsilon = 1/10$, the gap between strong and weak SQ dimension can be as large as possible. To see this, consider the following class of functions:

$$\mathcal{F} = \{v_1 \vee \chi_c \mid c \in \{0, 1\}^n\}.$$

Then it is not hard to see that $SQ\text{-DIM}_U(\mathcal{F}) = 1$ but

$$SSQ-DIM_{II}(\mathcal{F}, 9/10) = 2^n.$$

Feldman [2012] also showed that a variant of SSQ-DIM captures the complexity of agnostic learning of a hypothesis class, which implies that even agnostically learning conjunctions is not possible with statistical queries

3.3 The complexity of learning

If we consider SQ, PAC, etc. as classes that contain classes of functions that are learnable in those respective models, we have seen that

efficient $SQ \subseteq$ efficient PAC under classification noise \subseteq efficient PAC.

In Section 3.1.2, we have also seen that parity functions are efficiently PAC learnable, but not efficiently SQ learnable. So, a natural question is whether parity functions are learnable in PAC under classification noise? This question is the (notorious) problem of learning parities under noise (LPN).

There was indeed some progress on the LPN problem. Blum, Kalai, and Wasserman [2003] gave a $2^{O(n/\log n)}$ algorithm for efficiently learning parities in PAC under (constant) classification noise. This implies that the (admittedly artificial) class of parities on the first $k = \log n \log \log n$ bits are efficiently learnable in PAC under classification noise, but not efficiently SQ learnable.

It is, however, widely believed that there is no efficient algorithm for the LPN problem in general. Variants have been proposed for public-key cryptography [Peikert, 2014]. There has been some progress on this and related problems, but we are far from efficient algorithms. [Blum, Kalai, and Wasserman, 2003, Grigorescu, Reyzin, and Vempala, 2011, Valiant, 2015]).

A series of results has show how to implement many of current algorithmic approaches via a statistical query oracle. These include

- Gradient descent [Robbins and Monro, 1951]
- Expectation-maximization (EM) [Dempster, Laird, and Rubin, 1977]
- Support vector machines (SVM) [Cortes and Vapnik, 1995, Mitra, Murthy, and Pal, 2004]
- Linear and convex optimization [Dunagan and Vempala, 2008]
- Markov-chain Monte Carlo (MCMC) [Tanner and Wong, 1987, Gelfand and Smith, 1990]
- Simulated annealing [Černý, 1985, Kirkpatrick, Gelatt, and Vecchi, 1983]
- Pretty much everything else, including PCA, ICA, Naïve Bayes, neural net algorithms, k-means [Blum, Dwork, McSherry, and Nissim, 2005].

On the other hand, we have only few non-SQ algorithms, which include variants of Gaussian elimination, hashing, and bucketing. Most of our other techniques seem to be implementable with statistical queries. This helps explain why we don't have algorithms for many natural classes, including decision trees and

DNF, which have high SQ dimension and are therefore difficult to learn using current techniques even in the absence of noise.

To tackle these problems, it appears we need to invent fundamentally different methods.

4 Applications

In this section, we explore three modern applications of statistical queries. These include optimization problems over distributions, evolvability and differential privacy / adaptive data analysis. We conclude with a small collection of other areas to show the diversity of the applications of statistical queries.

4.1 Optimization and search over distributions

As a motivating example of an optimization problem over a distribution, consider the problem of finding the direction that maximizes the rth moment over a distribution D,

$$\operatorname{argmax}_{u:|u|=1} \mathbf{E}_{x \sim D}[(u \cdot x)^r].$$

For r=1, this is maximized at the mean, which is easy to compute. For r=2, we need the direction of highest variance, and PCA gives the solution. For $r\geq 3$, these are strong complexity and information-theoretic reasons to think this moment maximization problem is intractable.

Statistical algorithms apply to such optimization problems over distributions. In this setting, there is a distribution D unknown to the learner, and the learner would normally try to solve such optimization problems by working over a sample from D.

Carrying over the statistical query ideas from learning, Feldman, Grigorescu, Reyzin, Vempala, and Xiao [2017] extended this setting to search and optimization problems over distributions. Any problem with instances coming from a distribution D (over X) can be analyzed via a *statistical oracle*, which is meant to be a generalization of a statistical query oracle to settings without labels.

They defined three oracles: STAT, which corresponds to the SQ oracle; 1-STAT, which corresponds to an HSQ oracle working over 1 sample at a time; and VSTAT, which corresponds to the range of results expected from an independent sampling procedure from a Bernoulli distribution with a given mean.

Definition 26 (The STAT, 1-STAT, and VSTAT oracles). Let $q: X \to \{0,1\}$, $\tau > 0$ a tolerance, and t > 0 a sample size.

- <u>STAT</u> (q, τ) : returns a value in: $[\mu \tau, \mu + \tau]$,
- 1-STAT(q): draws 1 sample, $x \sim D$, and returns q(x),
- VSTAT(q, m): returns a value $[\mu \tau', \mu + \tau']$,

where $\mu = \mathbf{E}_{x \sim D}[q(x)]$ and $\tau' = \max \left\{ 1/m, \sqrt{\mu(1-\mu)/m} \right\}$.

4.1.1 Statistical dimension

Like the notion of statistical query dimension, Feldman et al. [2017] defined an analogous distributional notion called statistical dimension. The notion that they use involves a stronger notion of *average* correlation, but we first need to define the pairwise correlation of two distributions.

Definition 27 (pairwise correlation of two distributions). Define the <u>pairwise correlation</u> of D_1 , D_2 with respect to D is

$$\chi_D(D_1, D_2) = \left| \left\langle \frac{D_1}{D} - 1, \frac{D_2}{D} - 1 \right\rangle_D \right|.$$

Note that $\chi_D(D_1, D_1) = \chi^2(D_1, D)$, the chi-squared distance between D_1 and D [Pearson, 1900].

As an example of the definition above, let $X = \{0,1\}^n$ and D_{c_1}, D_{c_2} be uniform over the examples labeled -1 by χ_{c_1}, χ_{c_2} , respectively. It turns out $\chi_U(D_{c_1}, D_{c_2}) = 0$.

To see this, let us compute $\chi_U(D_{010}, D_{011}) = \left\langle \frac{D_{010}}{U} - 1, \frac{D_{011}}{U} - 1 \right\rangle_U$ for n = 3 using the table below.

X	U	D_{010}	D_{011}	$\frac{D_{010}}{U}$	$\frac{D_{011}}{U}$	$\frac{D_{010}}{U} - 1$	$\frac{D_{011}}{U} - 1$
000	1/8	0	0	0	0	-1	-1
001	1/8	0	1/4	0	2	-1	1
010	1/8	1/4	1/4	2	2	1	1
011	1/8	1/4	0	2	0	1	-1
100	1/8	0	0	0	0	-1	-1
101	1/8	0	1/4	0	2	-1	1
110	1/8	1/4	1/4	2	2	1	1
111	1/8	1/4	0	2	0	1	-1

$$\left\langle \frac{D_{010}}{U} - 1, \frac{D_{011}}{U} - 1 \right\rangle_{U} = \frac{(-1)(-1)}{8} + \frac{(-1)(1)}{8} + \frac{(1)(1)}{8} + \frac{(1)(-1)}{8} + \frac{(-1)(-1)}{8} + \frac{(-1)(1)}{8} + \frac{(1)(1)}{8} + \frac{(1)(-1)}{8} + \frac{(1)(-1)}{$$

Now we define another and stronger notion called average correlation.

Definition 28 (average correlation of a set of distributions). Define the <u>average correlation</u> of a set of distributions \mathcal{D}' relative to D as

$$\rho(\mathcal{D}', D) = \frac{1}{|\mathcal{D}'|^2} \sum_{D_1, D_2 \in \mathcal{D}'} \chi_D(D_1, D_2).$$

Now, we can finally define statistical dimension with average correlation (SDA).

Definition 29 (statistical dimension with average correlation³). For $\bar{\gamma} > 0$, a domain X, a set of distributions \mathcal{D} over X and a reference distribution D over X, the <u>statistical dimension</u> of \mathcal{D} relative to D with average correlation $\bar{\gamma}$ is defined to be the largest value d such that for any subset $\mathcal{D}' \subseteq \mathcal{D}$ for which $|\overline{\mathcal{D}'}| \geq \overline{\mathcal{D}}/d$, we have $\rho(\overline{\mathcal{D}'}, D) \leq \bar{\gamma}$. This is denoted $SDA_D(\mathcal{D}, \bar{\gamma})$. For a search problem \mathcal{Z} over distributions, we use: $SDA(\mathcal{Z}, \bar{\gamma})$

Intuitively, the largest such d for which 1/d fraction of the set of distributions has low pairwise correlation is the statistical dimension.

³We chose to use this definition of statistical dimension because it was the framework in which the first novel optimization lower bound (on the planted clique problem, as presented in Section 4.1.2) was proven, and because the survey's aim to illustrate the application as opposed to giving the tightest possible bounds here. However, statistical dimension with average correlation does not always give the strongest lower bounds, and it was later strengthened to use discrimination norm [Feldman, Perkins, and Vempala, 2015] and then extended to "Randomized Statistical Dimension" [Feldman, 2017].

Theorem 30 (Feldman, Grigorescu, Reyzin, Vempala, and Xiao [2017]). Let X be a domain and Z be a search problem over a class of distributions D over X. For $\bar{\gamma} > 0$, let $d = \mathrm{SDA}(Z, \bar{\gamma})$. To solve Z with probability $\geq 2/3$, any SQ algorithm requires at least:

- d calls to VSTAT(., $c_1/\bar{\gamma}$)
- $\min(d/4, c_2/\bar{\gamma})$ calls to 1-STAT(.)
- d calls to $STAT(., c_3\sqrt{\bar{\gamma}})$.

The proof by Szörényi [2009] of the weaker version of Theorem 12 (of the SQ-DIM lower bound for CSQs) gives the intuition for this claim, where we can observe that the result in Equation 1 can be derived so long as the average correlation between $f_i, f_i \in A$ is bounded, where A is a large enough set of functions.

We note the many differences from (or extensions to) SQ-DIM. First, this model has no need for labels. Second, the notion of correlation is denoted not by γ but rather by by $\bar{\gamma}$, which stands for *average* (not worst-case) correlation. Third, d is disconnected from $\bar{\gamma}$ in the definition. And finally a new type of oracle (VSTAT) is considered.

The main application of this model is to give lower bounds for new types of problems. In the next section we give the lower bound provided by Feldman, Grigorescu, Reyzin, Vempala, and Xiao [2017] for the planted clique problem.

4.1.2 Planted clique: an application of statistical dimension

Consider the long-standing planted clique problem, introduced by Jerrum [1992], of detecting a k-clique randomly induced in a $G(n, \frac{1}{2})$ Erdös-Rényi random random graph instance. Information-theoretically, this is possible for $k > 2\log(n) + 1$, but the state-of-the-art polynomial-time algorithm [Alon, Krivelevich, and Sudakov, 1998] uses spectral techniques to recover cliques of size $k > \Omega(\sqrt{n})$. For the last two decades, this bound has eluded improvement.

Statistical algorithms help to explain why. SDA lower bounds show that statistical algorithms cannot efficiently recover cliques of size $O(n^{1/2-\epsilon})$. To use the SDA machinery, we first need to define a distributional version of planted clique.

Problem 31 (distributional planted k-biclique). For $k, 1 \le k \le n$, and a subset of k indices $S \subseteq \{1, 2, ..., n\}$. The input distribution D_S on vectors $x \in \{0, 1\}^n$ is defined as follows: w.p. 1 - k/n, x is uniform over $\{0, 1\}^n$; and w.p. k/n, x is such that its k coordinates from S are set to 1, and the remaining coordinates are uniform in $\{0, 1\}$. The problem is to find the unknown subset S.

An example is given in Figure 4.

```
coordinates of S: (0,1,0,0,1,0,0,...,0,1)
w.p. k/n : (U,1,U,U,1,U,U,...,U,1)
w.p. (n-k)/n : (U,U,U,U,U,U,U,U,U,...,U,U)
```

Figure 4: An example distributional planted biclique instance.

Now we can analyze the statistical dimension for the planted clique problem.

Theorem 32 (Feldman, Grigorescu, Reyzin, Vempala, and Xiao [2017]). For $\epsilon \geq 1/\log n$ and $k \leq n^{1/2-\epsilon}$, let \mathcal{D} be the set of all planted k-clique distributions. Then

$$SDA_U(\mathcal{D}, 2^{\ell+1}k^2/n^2) \ge n^{2\ell\delta}/3.$$

Using Theorem 30, we can get the following lower bound on the number of queries as a corollary of the above result. For simplicity, we only give the lower bound for the VSTAT oracle, which is the strongest of the lower bounds, below.

Corollary 33 (Feldman, Grigorescu, Reyzin, Vempala, and Xiao [2017]). For any constant $\epsilon > 0$ and any $k \leq n^{1/2-\epsilon}$, and r > 0, to solve distributional planted k-biclique with probability $\geq 2/3$, any statistical algorithm requires at least $n^{\Omega(\log r)}$ queries to VSTAT(., $n^2/(rk^2)$).

An interpretation of this bound says that we would need an exponential number of queries of the precision that a "sample size" of n would give us, which is all we get in a "real-world" planted-clique instance.

4.2 Evolvability

Statistical queries can also help to better understand biological evolution as an algorithmic process.

Valiant [2009] defined the evolvability framework to model and formalize Darwinian evolution, with the goal of understanding what is "evolvable." This requires some definitions, and we begin with the most basic concept of an evolutionary algorithm.

Definition 34 (evolutionary algorithm). An evolutionary algorithm A is defined by a pair (R, M) where

- R, the representation, is a class of functions from X to $\{-1,1\}$.
- M, the mutation, is a randomized algorithm that, given $r \in R$ and an $\epsilon > 0$, outputs an $r' \in R$ with probability $\mathbf{Pr}_A(r,r')$.

 $\operatorname{Neigh}_A(r,\epsilon) = \operatorname{set} \ \operatorname{of} \ r' \ \operatorname{that} \ M(r,\epsilon) \ \operatorname{may} \ \operatorname{output} \ (w.p. \ 1/p(n,1/\epsilon)).$

Then we define the notion of a performance of a given representation with respect to an ideal function (that we are trying to evolve or applroximately evolve).

Definition 35 (performance and empirical performance). Let $f: X \to \{-1,1\}$ be an ideal function. The performance of $r \in R$ with respect to f is

$$\operatorname{Perf}_{f,D}(r) = \mathbf{E}_{x \sim D}[f(x)r(x)].$$

The empirical performance of r on s samples x_1, \ldots, x_s from D is

$$\mathtt{Perf}_{f,D}(r,s) = \frac{1}{s} \sum_{i}^{t} f(x_i) r(x_i).$$

And as in biological evolution, in this model, selection operates on the representations to produce the next generation of representations.

Definition 36 (selection). <u>Selection</u> $Sel[\tau, p, s](f, D, A, r)$ with parameters: tolerance τ , pool size p, and sample size s operating on f, D, A = (R, M), r defined as before, outputs r^+ as follows.

- 1. Run $M(r,\epsilon)$ p times and let Z be the set of r's obtained.
- 2. For $r' \in Z$, let $\mathbf{Pr}_Z(r')$ be the frequency of r'.
- 3. For each $r' \in Z \cup \{r\}$ compute $v(r') = \text{Perf}_{f,D}(r',s)$
- 4. Let Bene(Z) = $\{r' \mid v(r') \ge v(r) + \tau\}$ and Neut(Z) = $\{r' \mid |v(r') v(r)| + \tau\}$

5. if Bene
$$\neq \emptyset$$
, output r^+ proportional to $\mathbf{Pr}_Z(r^+)$ in Bene else if Neut $\neq \emptyset$, output r^+ proportional to $\mathbf{Pr}_Z(r^+)$ in Neut else output

This lets us define what we mean by a function class being evolvable by an algorithm.

Definition 37 (evolvability by an algorithm). For concept class C over X, distribution D, and evolutionary algorithm A, we say that the class C is evolvable over D by A if there exist polynomials, $\tau(n, 1/\epsilon)$, $p(n, 1/\epsilon)$, $s(n, 1/\epsilon)$, and $g(n, 1/\epsilon)$ such that for every $n, c^* \in C$, $\epsilon > 0$, and every $r_0 \in R$, with probability at least $1 - \epsilon$, the random sequence $r_i \leftarrow \text{Sel}[\tau, p, s](c^*, D, A, r_{i-1})$ will yield a r_g s.t. $\text{Perf}_{c^*, D}(r_g) \geq 1 - \epsilon$.

Finally, we can define evolvability of a concept class.

Definition 38 (evolvability of a concept class). A concept class C is <u>evolvable</u> (over D) if there exists an evolutionary algorithm A so that for any $D \in D$ over X, C is evolvable over D by A.

The main result here is that it turns out that evolvability is equivalent to learnability with CSQs, as stated below.

Theorem 39 (Feldman [2008]). C is evolvable if and only if C is learnable with CSQs (over D).

That EVOLVABLE ⊂ CSQ is immediate Valiant [2009]. The other direction involves first showing that

$$CSQ_{>}(r, \theta, \tau) = \begin{cases} 1 & \text{if } \mathbf{E}_{D}[r(x)c^{*}(x)] \geq \theta + \tau \\ 0 & \text{if } \mathbf{E}_{D}[r(x)c^{*}(x)] \leq \theta - \tau \\ 0 \text{ or } 1 & \text{otherwise} \end{cases}$$

can simulate CSQs. Then an evolutionary algorithm is made that simulates queries to a CSQ_> oracle.

4.2.1 Sexual evolution

Valiant's model of evolvability is asexual. Kanade [2011] extended evolvability to include recombination by replacing Neigh (neighborhood) with Desc (descendants).

Definition 40 (recombinator). For polynomial p(,), a p-bounded <u>recombinator</u> is a randomized algorithm that takes as input two representations $r_1, r_2 \in R$ and ϵ and outputs a set of representations $\operatorname{Desc}(r_1, r_2, \epsilon) \subseteq R$. Its running time is bounded by $p(n, 1/\epsilon)$. $\operatorname{Desc}(r_1, r_2, \epsilon)$ is allowed to be empty which is interpreted as r_1 and r_2 being unable to mate.

Now we can examine evolution under recombination.

Definition 41 (parallel CSQ). A parallel CSQ learning algorithm uses p (polynomially bounded) processors and we assume that there is a common clock which defines parallel time steps. During each parallel time step a processor can make a CSQ query, perform polynomially-bounded computation, and write a message that can be read by every other processor. We assume that communication happens at the end of each parallel time step and on the clock. The CSQ oracle answers all queries in parallel.

Sexual evolution is equivalent to parallel CSQ learning.

Theorem 42 (Kanade [2011]). If C is parallel CSQ learnable in T query steps, then C is evolvable under recombination in $O(T \log^2(n/\epsilon))$ generations.

4.3 Differential privacy and adaptive data analysis

Our final application is to differentially private learning and to adaptive data analysis, both of which are closely connected to each other.

4.3.1 Differentially private learning

The differential privacy of an algorithm captures an individual's "exposure" of being in a database when that algorithm is used [Dwork, McSherry, Nissim, and Smith, 2006].

Definition 43 (differential privacy). A probabilistic mechanism \mathcal{M} satisfies (α, β) -differential privacy⁴ if for any two samples S, S' that differ in just one example, for any outcome z

$$\mathbf{Pr}[\mathcal{M}(S) = z] \le e^{\alpha} \mathbf{Pr}[\mathcal{M}(S') = z] + \beta.$$

If $\beta = 0$, we simply call \mathcal{M} α -differentially private.

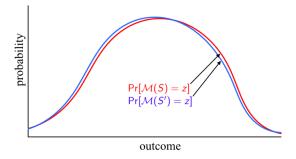


Figure 5: An illustration of a possible output of a differentially private mechanism. Here, the datasets S and S' differ by one example and their respective red and blue distributions over outputs differ by an amount that is bounded by the parameters α and β .

We now define the Laplace mechanism, which can be used to guarantee differential privacy.

Definition 44 (Laplace mechanism). Given n inputs in [0,1], the <u>Laplace mechanism</u> for outputting their average computes the true average value a and then outputs a + x where x is drawn from the Laplace density with parameters $(0, \frac{1}{\alpha n})$:

$$\operatorname{Lap}_{(0,\frac{1}{\alpha n})}(x) = \left(\frac{\alpha n}{2}\right) e^{-|x|\alpha n}.$$

Theorem 45 (Dwork, McSherry, Nissim, and Smith [2006]). The Laplace mechanism satisfies α -differential privacy, and moreover has the property that with probability $\geq 1 - \delta$, the error added to the true average is $O\left(\frac{\log(1/\delta')}{\alpha n}\right)$.

It turns out the statistical queries are a perfect class of functions for applying the Laplace mechanism, which gives the result below.

Theorem 46 (Dwork, McSherry, Nissim, and Smith [2006]). If class C is efficiently SQ learnable, then it is also efficiently PAC learnable while satisfying α -differential privacy, with time and sample size polynomial

⁴Oftentimes, ϵ and δ are used to define differential privacy. We instead use α and β so as to not confuse these variables for the ϵ and δ parameters in PAC learning.

in $1/\alpha$. In particular, if there is an algorithm that makes M queries of tolerance τ to learn C to error ϵ in the SQ model, then a sample of size

$$m = O\left(\left[\frac{M}{\alpha\tau} + \frac{M}{\tau^2}\right] \log\left(\frac{M}{\delta}\right)\right)$$

is sufficient to PAC learn C to error ϵ with probability $1-\delta$ while satisfying α -differential privacy.

This is achieved by taking large enough sample and adding Laplace noise with scale parameter as to satisfy $\frac{\alpha}{M}$ -differential privacy per query while staying within τ of the expectation of each query.

As we have seen SQ learnability is a sufficient condition for differentially-private learnability, but it is not a necessary one. It turns out, however, that information-theoretically, SQ learnability is equivalent to a more restricted notion of privacy called local differential privacy.

Informally, local differential privacy asks not only the output of the mechanism to be differentially private but also the data itself to be differentially private with respect to the (possibly untrusted) mechanism. Hence, noise needs to be added to the data itself.

We state the connection below without providing details.

Theorem 47 (Kasiviswanathan, Lee, Nissim, Raskhodnikova, and Smith [2011]). Concept class C is locally differentially privately learnable if and only if C is learnable using statistical queries.

4.3.2 Adaptive data analysis

Interestingly, differential privacy has applications to an even newer of study called *adaptive data analysis*, which was introduced by Dwork, Feldman, Hardt, Pitassi, Reingold, and Roth [2015].

The main question in this area asks to what extent it is possible to answer adaptive queries accurately given a sample without assuming anything about their complexity (e.g. without limiting their VC dimension or Rademacher complexity).

Definition 48 (adaptive accuracy). A mechanism \mathcal{M} is $\underline{(\alpha,\beta)}$ -accurate on a distribution D and on queries q_1,\ldots,q_k , if for its responses $a_1,\ldots a_k$ we have

$$\mathbf{Pr}_{\mathcal{M}}[\max |q_i(D) - a_i| < \alpha] > 1 - \beta.$$

Note: there is also an analogous notion of (α, β) accuracy on a sample S.

A natural question is how many samples from D are needed to answer k queries adaptively with (α, β) -accuracy. Because there is no assumption about the complexity of the class from which the q_i s come. So, standard techniques don't apply.

Differential privacy, however, gives us the techniques needed to answer this question by providing a notion of stability that transfers to guarantees of adaptive accuracy. The following is an example of such a *transfer theorem*.

Theorem 49 (Dwork, Feldman, Hardt, Pitassi, Reingold, and Roth [2015]). Let \mathcal{M} be a mechanism that on sample $S \sim D^n$ answers k adaptively chosen statistical queries, is $\left(\frac{\alpha}{64}, \frac{\alpha\beta}{32}\right)$ -private for some $\alpha, \beta > 0$ and $\left(\frac{\alpha}{8}, \frac{\alpha\beta}{16}\right)$ -accurate on S. Then \mathcal{M} is (α, β) -accurate on D.

Putting together the Laplace mechanism with the transfer theorem, and doing some careful analysis to improve the bounds, one can get an adaptive algorithm for SQs.

Theorem 50 (Bassily, Nissim, Smith, Steinke, Stemmer, and Ullman [2016]). There is a polynomial-time mechanism that is (α, β) -accurate with respect to any distribution D for k adaptively chosen statistical queries given

$$m = \tilde{O}\left(\frac{\sqrt{k}\log^{3/2}(1/\beta)}{\alpha^2}\right)$$

samples from D.

There are of course various improvements to this result. For example, subsampling [Kasiviswanathan, Lee, Nissim, Raskhodnikova, and Smith, 2011] can speed up the Laplace mechanism without increasing the overall sample complexity of adaptive data analysis [Fish, Reyzin, and Rubinstein, 2020].

4.4 Other applications

While this survey has focused on the preceding three application areas, statistical queries have had impact in many other fields. Here, we give a sampling of some statements of applications, leaving it to the interested reader to learn more about these results.

The first result concerns analyzing how the statistical query dimension of a concept class can separate two classes in communication complexity.

Theorem 51 (Sherstov [2008]). Let C be the class of functions $\{-1,1\}^n \to \{-1,1\}$ computable in AC^0 . If

$$\operatorname{SQ-DIM}(C) \leq O\left(2^{2^{(\log n)^{\epsilon}}}\right)$$

for every constant $\epsilon > 0$, then

$$IP \in PSPACE^{cc} \setminus PH^{cc}$$
.

Another application is distributed computing. Here we state the following Theorem informally.

Theorem 52 (Chu, Kim, Lin, Yu, Bradski, Ng, and Olukotun [2006]). SQ algorithms can be put into "summation form" and automatically parallelized in MapReduce, giving nearly-linear speedups in practice.

The final application we cover applies to streaming algorithms, relating the learnability of a class with statistical queries to learnability from a stream.

Theorem 53 (Steinhardt, Valiant, and Wager [2016]). Any class C that is learnable with m statistical queries of tolerance 1/m, it is learnable from a stream of poly(m, log|C|) examples and b = O(log|C| log(m)) bits of memory.

5 Discussion and some open problems

To summarize, we saw that statistical queries originate from a framework motivated, in part, for producing noise-tolerant algorithms. However, it turns out that actually most of our algorithms can work in the statistical query framework, which explains many of our impediments in learning (and optimization). Statistical queries have also had applications that have shed light on the difficulty of other problems. There were also perhaps unexpected applications, to differential privacy, adaptive data analysis, evolvability, among other areas.

It is perhaps appropriate to conclude with some open questions arising from he vast literature on statistical queries, some of which this survey has not even covered. We will not attempt to give a comprehensive

or even a long list but rather give a sampling of open questions across the various areas. Many questions are more technical – for example, the Blum, Kalai, and Wasserman [2003] result separating PAC under classification noise only holds for constant noise rates – can this be generalized to noise rates approaching 1/2 as allowed by the Angluin and Laird [1987] model? Other directions include presicely determining the sample complexity of adaptively answering SQs – the strongest known lower bound, due to [Hardt and Ullman, 2014], is $\Omega(\sqrt{k}/\alpha)$ and the upper bound, due to [Bassily, Nissim, Smith, Steinke, Stemmer, and Ullman, 2016], is $O(\sqrt{k}/\alpha^2)$. In evolvability, we can ask about designing or analyzing faster or more natural algorithms for evolving functions (e.g. the swapping algorithm [Diochnos and Turán, 2009, Valiant, 2009]. In optimization, finding more problems, like planted clique, whose hardness is explained by high statistical dimension is an active area.

But perhaps the most important (and most open-ended) question lies in thinking more broadly about where else statistical queries can have an impact. It is likely that they will find even more unexpected uses.

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