

# MAT4500 - Mandatory assignment

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## Exercise 1

**a)** Let  $D^n, S^n, S_U^n, S_L^n, f_L : D^n \rightarrow S_L^n$  and  $f_U : D^n \rightarrow S_U^n$  be as defined in exercise. Want to prove that  $f_L$  and  $f_U$  are homomorphisms.  $D^n$  is a closed and bounded subset of a metric space and is therefore compact. By the same argument we see that  $S_U^n$  and  $S_L^n$  are Hausdorff spaces. Theorem 26.6 tells us that  $f_L$  and  $f_U$  are homomorphisms if they are bijective and continuous.

**(1)**  $f_L$  and  $f_U$  injective:

Let  $x, y \in D^n$  with  $x \neq y \Rightarrow \exists i \in \{1, \dots, n\}$  such that  $x_i \neq y_i$ . This means that:

$$f_L(x)_i = x_i \neq y_i = f_L(y)_i \Rightarrow f_L(x) \neq f_L(y)$$

and

$$f_U(x)_i = x_i \neq y_i = f_U(y)_i \Rightarrow f_U(x) \neq f_U(y)$$

**(2)**  $f_L$  and  $f_U$  surjective:

Let  $x \in S_U^n$  and  $y \in S_L^n$  with  $x = (x_1, \dots, x_{n+1})$  and  $y = (y_1, \dots, y_{n+1})$ . Set  $\bar{x} = (x_1, \dots, x_n)$  and  $\bar{y} = (y_1, \dots, y_n)$ . We clearly see that  $\bar{x}, \bar{y} \in \mathbb{R}^n$ , but need to show that  $\|\bar{x}\|, \|\bar{y}\| \leq 1$ . However this is obvious, since  $\|\bar{x}\| \leq \|x\| = 1$  and  $\|\bar{y}\| \leq \|y\| = 1$ .

Now we need to show that  $f_U(\bar{x}) = x$  and  $f_L(\bar{y}) = y$ . It suf-

hence to prove  $x_{n+1} = \sqrt{1 - \|\bar{x}\|^2}$  and  $y_{n+1} = -\sqrt{1 - \|\bar{y}\|^2}$ :

$$\|x\| = 1 \Rightarrow \sum_{i=1}^{n+1} x_i^2 = 1 \Rightarrow x_{n+1}^2 = 1 - \sum_{i=1}^n x_i^2 \Rightarrow |x_{n+1}| = \sqrt{1 - \|\bar{x}\|^2}$$

and

$$\|y\| = 1 \Rightarrow \sum_{i=1}^{n+1} y_i^2 = 1 \Rightarrow y_{n+1}^2 = 1 - \sum_{i=1}^n y_i^2 \Rightarrow |y_{n+1}| = \sqrt{1 - \|\bar{y}\|^2}$$

Since  $x \in S_U^n$  we know that  $x_{n+1} \geq 0$ ,  $x_{n+1} = \sqrt{1 - \|\bar{x}\|^2}$ , and since  $y \in S_L^n$   $y_{n+1} \leq 0$ ,  $y_{n+1} = -\sqrt{1 - \|\bar{y}\|^2}$ .

We then know that  $\forall x \in S_U^n \exists \bar{x} \in D^n$  s.t.  $f_U(\bar{x}) = x$ , and that  $\forall y \in S_L^n \exists \bar{y} \in D^n$  s.t.  $f_L(\bar{y}) = y$ . This means that  $f_L$  and  $f_U$  surjective.

**(3)**  $f_L$  and  $f_U$  continuous:

Both  $f_L$  and  $f_U$  are vector functions, i.e. they are on the form  $[F_1(x), \dots, F_{n+1}(x)]$ . We know from calculus, that these types of functions are continuous if each component is continuous. We also know that  $x \mapsto x_i$  and  $x \mapsto \sqrt{1 - \|x\|^2}$  are continuous. This means that  $f_L$  and  $f_U$  are continuous.

(1)  $\wedge$  (2)  $\wedge$  (3)  $\Rightarrow f_L$  and  $f_U$  are homomorphisms.

**b)** Define  $X = D^n \sqcup D^n = (D^n \times \{1\}) \cup (D^n \times \{2\})$ , and the function  $f : X \rightarrow S^n$  by:

$$f((x, i)) = \begin{cases} f_U(x) & i = 1 \\ f_L(x) & i = 2 \end{cases}$$

Where  $f_U$  and  $f_L$  is as in a). Note that when you take the Cartesian product between a set  $A$  and a singleton set  $\{a\}$ ,  $A \times \{a\}$  is homomorphic to  $A$ . This means that  $D^n \times \{1\}$

and  $D^n \times \{2\}$  are homomorphic to  $D^n$ . This again means that  $f_U$  and  $f_L$  are continuous functions when restricted to the two new sets. This again means that  $f$  restricted to  $D^n \times \{1\}$  and  $D^n \times \{2\}$  is continuous. Since these two sets obviously are closed in  $X$ , and have empty intersection, the pasting lemma 18.3 implies that  $f$  is continuous on the union of  $D^n \times \{1\}$  and  $D^n \times \{2\}$ . But this is  $X$ .

Lets prove that  $f$  is surjective. Given  $y \in S^n$ , with  $y_{n+1} \leq 0$ , surjectivity of  $f_L$  implies that  $\exists x \in D^n$  such that

$$f_L(x) = y \Rightarrow f((x, 2)) = y$$

Repeat argument for lower part:

$$y_{n+1} > 0 \Rightarrow \exists x \in D^n \text{ s.t } f_U(x) = y \Rightarrow f((x, 1)) = y$$

Now assume  $x \in D^n$  with  $\|x\| = 1$ .

$$f((x, 1)) = f_U(x) = (x_1, \dots, x_n, \sqrt{1 - \|x\|^2}) = (x_1, \dots, x_n, 0)$$

We also have:

$$f((x, 2)) = f_L(x) = (x_1, \dots, x_n, -\sqrt{1 - \|x\|^2}) = (x_1, \dots, x_n, 0)$$

This means that  $x^{(1)} \sim x^{(2)}$  in the meaning defined in the exercise.

**c)** Since  $x^{(1)} \sim x^{(2)}$ , it is obvious that  $D^n \sqcup D^n / \sim$  is equal to  $X^* = \{f^{-1}(\{y\}) : y \in S^n\}$  equipped with the quotient topology. Then by Corollary 22.3 in the book,  $\exists$  an induced homomorphism  $\bar{f} : X^* \rightarrow S^n$  if and only if  $f$  is quotient map. We already know that  $f$  is continuous and surjective, therefore we only need to prove that  $f^{-1}(U)$  open in  $X \iff U$  open in  $S^n$ .

Instead of proving the openness statement I look at the

equivalent statement with  $U$  being closed. Assume  $U$  closed in  $S^n$ .  $f$  continuous implies that  $f^{-1}(U)$  is closed.

Conversely assume  $f^{-1}(U)$  closed in  $X = D^n \sqcup D^n$ . I now claim without proof that  $X$  is compact. This means that  $f^{-1}(U)$  is compact. Since  $f$  is continuous  $f(f^{-1}(U))$  is compact in  $S^n$ , and since  $S^n$  is Hausdorff  $f(f^{-1}(U))$  is closed. Want to show that  $f(f^{-1}(U)) = U$ .

$$y \in U \Rightarrow \exists x \in X : f(x) = y \Rightarrow y = f(x) \in f(f^{-1}(U))$$

This means that  $U \subset f(f^{-1}(U))$ . Conversely:

$$y \in f(f^{-1}(U)) \Rightarrow \exists x \in f^{-1}(U) : y = f(x) \Rightarrow y \in U$$

This means  $f(f^{-1}(U)) \subset U \Rightarrow f(f^{-1}(U)) = U$ . Since  $f(f^{-1}(U))$  is closed so is  $U$ .

I have now proved that  $f$  is quotient map, and as I explained above the statement in the exercise follows from this.

## Exercise 2

**a)** Let  $(X, d)$  be a metric space, and let  $K \subset X$  be compact. Since all metric spaces are Hausdorff spaces, and all compact subsets of Hausdorff spaces are closed,  $K$  is closed.

Now let  $x \in K$ , and let  $\{B(x, n)\}_{n \in \mathbb{N}}$  be family of open balls centred in  $x$ , with radius  $n \in \mathbb{N}$ . It is obvious that  $X = \bigcup_{n=1}^{\infty} B(x, n)$ , and that  $\bigcup_{n=1}^{\infty} B(x, n)$  is an open cover of  $K$ . Since  $K$  is compact we know that  $\exists \{n_1, \dots, n_r\} \subset \mathbb{N}$  such that  $\bigcup_{i=1}^r B(x, n_i)$  covers  $K$ . Let  $N = \max\{n_1, \dots, n_r\}$ . Then we know that  $K \subset B(x, N)$ . This means that  $K$  is

bounded.

**b)** Let  $X = \mathbb{N}$ , and define metric

$$d(x, y) = \begin{cases} 0 & x = y \\ 1 & x \neq y \end{cases}$$

The requirements:

- (i)  $\forall x, y \in X \ d(x, y) \geq 0 \wedge d(x, y) = 0 \iff x = y$
- (ii)  $\forall x, y \in X \ d(x, y) = d(y, x)$

for  $(X, d)$  to be a metric space, are trivially satisfied. The last requirement:

- (iii)  $\forall x, y, z \in X \ d(x, y) \leq d(x, z) + d(z, y)$

we can also show holds, if we separate the cases  $x = y$  and  $x \neq y$ : First assume  $x = y$ :

$$d(x, y) = 0 \leq d(x, z) + d(z, y) = \begin{cases} 0 & z = x, y \\ 2 & z \neq x, y \end{cases}$$

And for  $x \neq y$ :

$$d(x, y) = 1 \leq d(x, z) + d(z, y) = \begin{cases} 1 & z = x \vee z = y \\ 2 & z \neq x, y \end{cases}$$

(i),(ii) and (iii)  $\Rightarrow (X, d)$  is a metric space. Now notice that  $X = \mathbb{N}$  is closed by definition, and that  $X$  is bounded since  $\forall x \in X \ X \subset B(x, 2)$ , where  $B(x, 2)$  is the open ball centred in  $x$  with radius 2.

Now I want to find an open cover of  $X$  that does not contain a finite subcover. I claim that all points  $x \in X$  are open in  $X$ . We see this by noticing that the open ball  $B(x, \frac{1}{2})$  only

contain  $x$  itself. Finally we conclude that  $A = \bigcup_{n=1}^{\infty} \{n\}$  is a disjoint open cover of  $X$ , and that there therefore exists no finite subcover of  $A$  that covers  $X$ . This means that  $X$  is not compact.

### Exercise 3

a) Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be a real polynomial. This means that  $f$  is continuous. Since  $\mathbb{R}$  is a Hausdorff space, the singleton set  $\{0\}$  is closed in  $\mathbb{R}$ . This means that  $A = f^{-1}(\{0\}) \subset \mathbb{R}^n$  the set in  $\mathbb{R}^n$  of solutions to  $f = 0$  is closed, since closedness is preserved through the inverse image of continuous functions.

b) We have the set  $SL(2, \mathbb{R}) \subset \mathbb{R}^4$  defined as follows:

$$SL(2, \mathbb{R}) = \left\{ A = \begin{bmatrix} x_1 & x_2 \\ x_3 & x_4 \end{bmatrix} \mid \det(A) = x_1x_4 - x_2x_3 = 1 \right\}$$

We see that all  $A \in SL(2, \mathbb{R})$  can be represented by a vector in  $\mathbb{R}^4$ :

$$A = \begin{bmatrix} x_1 & x_2 \\ x_3 & x_4 \end{bmatrix} = (x_1, x_2, x_3, x_4)^T \in \mathbb{R}^4$$

Now define a polynomial  $f : \mathbb{R}^4 \rightarrow \mathbb{R}$  by

$$f(x_1, x_2, x_3, x_4) = x_1x_4 - x_2x_3 - 1$$

See that  $SL(2, \mathbb{R}) = f^{-1}(\{0\})$ . The previous exercise then tells us that  $SL(2, \mathbb{R})$  is closed.

c) Want to show that  $SL(2, \mathbb{R})$  is not compact. Since  $\mathbb{R}^4$  is a metric space, it suffices to show that  $SL(2, \mathbb{R})$  is not bounded.

To see that  $SL(2, \mathbb{R})$  is not bounded, notice that  $\forall x \in \mathbb{R}$  the matrix

$$A_x = \begin{bmatrix} 1 & 0 \\ x & 1 \end{bmatrix} \in SL(2, \mathbb{R})$$

since  $\det(A) = 1$ . We can then say that the sequence  $\{A_n\}_{n=1}^{\infty}$  is contained in  $SL(2, \mathbb{R})$ . If we take the euclidean vector norm of  $A_n$  we get  $\|A_n\| = \sqrt{2 + n^2}$ , which means that  $\{\|A_n\|\}_{n=1}^{\infty}$  is an unbounded sequence in  $\mathbb{R}$ . This means that there does not exist a number  $M \in \mathbb{R}$  that bound the norms of elements in  $SL(2, \mathbb{R})$ , and  $SL(2, \mathbb{R})$  can therefore not be bounded.

#### Exercise 4

a) Want to show that the relation  $\sim$  defined by :

$$x, y \in X \quad x \sim y \iff \exists \alpha : [0, 1] \rightarrow X, \alpha \text{ continuous} \wedge \alpha(0) = x \wedge \alpha(1) = y$$

Is an equivalence relation on  $X$ .

(1):  $x \sim x$  since the constant function  $\alpha : [0, 1] \rightarrow X$  given by  $\alpha(r) = x$  is continuous, and obviously  $\alpha(0) = \alpha(1) = x$

(2): Assume  $x \sim y$ .  $\Rightarrow \exists \alpha : [0, 1] \rightarrow X$  such that  $\alpha(0) = x$  and  $\alpha(1) = y$ . Now define the function  $f : [0, 1] \rightarrow [0, 1]$  by  $f(t) = 1 - t$ .  $f$  is a polynomial, and is therefore continuous.

Now let  $\beta : [0, 1] \rightarrow X$  be the composition  $\beta = \alpha \circ f$ . Since  $\beta$  is a composition of continuous functions,  $\beta$  is continuous. Observe that  $\beta(0) = \alpha(f(0)) = \alpha(1) = y$  and that  $\beta(1) = \alpha(f(1)) = \alpha(0) = x$ . This means that  $y \sim x$ .

(3): Assume  $x \sim y$  and  $y \sim z$ . Then  $\exists \alpha, \beta : [0, 1] \rightarrow X$  such that  $\alpha, \beta$  continuous,  $\alpha(0) = x$ ,  $\alpha(1) = \beta(0) = y$  and

$$\beta(1) = z.$$

Now define  $f : [0, \frac{1}{2}] \rightarrow [0, 1]$  and  $g : [\frac{1}{2}, 1] \rightarrow [0, 1]$  by  $f(t) = 2t$  and  $g(t) = 2t - 1$ . Both  $g$  and  $f$  are continuous. Next let us define  $\omega : [0, 1] \rightarrow X$  by:

$$\omega(t) = \begin{cases} \alpha(f(t)) & t \in [0, \frac{1}{2}) \\ \beta(g(t)) & t \in [\frac{1}{2}, 1] \end{cases}$$

Notice that  $\omega(0) = \alpha(0) = x$  and that  $\omega(1) = \beta(1) = z$ . We also see that  $\forall t \neq \frac{1}{2}$   $\omega$  is continuous, by the same argument as in (2). Since  $[0, 1]$  a metric space, showing continuity at  $t = \frac{1}{2}$  is the same as showing:

$$\{t_n\}_{n=1}^{\infty} \rightarrow \frac{1}{2} \Rightarrow \{\omega(t_n)\}_{n=1}^{\infty} \rightarrow y$$

I claim without proof that it is enough to show:

$$\lim_{t \rightarrow \frac{1}{2}^-} \omega(t) = \lim_{t \rightarrow \frac{1}{2}^+} \omega(t)$$

$\lim_{t \rightarrow \frac{1}{2}^-} \omega(t) = \lim_{t \rightarrow \frac{1}{2}^-} \alpha(f(t)) = \alpha(1) = y$  and  $\lim_{t \rightarrow \frac{1}{2}^+} \omega(t) = \lim_{t \rightarrow \frac{1}{2}^+} \beta(g(t)) = \beta(0) = y$ , by continuity of  $\alpha, \beta, f, g$ . Finally we can conclude that  $\omega$  is continuous, which means that  $x \sim z$ .

(1)  $\wedge$  (2)  $\wedge$  (3)  $\Rightarrow \sim$  is an equivalence relation on  $X$ .

**b)** Assume  $x, y \in \mathbb{R}^n$ ,  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$ . Then define  $\alpha : [0, 1] \rightarrow \mathbb{R}^n$  by

$$\alpha(t) = x + (y - x)t = (x_1 + (y_1 - x_1)t, x_2 + (y_2 - x_2)t, \dots, x_n + (y_n - x_n)t)$$

Since  $\alpha$  is a linear polynomial in all components, it is continuous in all components and therefore continuous. We also see that  $\alpha(0) = x$  and  $\alpha(1) = y$ . this means that



$x \sim y$ . We showed this for general  $x, y$ , which means that  $\forall x, y \in \mathbb{R}^n x \sim y$ . This implies that the equivalence class  $[x]$  is equal to  $\mathbb{R}^n \forall x \in \mathbb{R}^n$ .

We have now shown that  $(\pi_0(\mathbb{R}^n) = \{\mathbb{R}^n\}) \Rightarrow |\pi_0(\mathbb{R}^n)| = 1$ .

**c)** Let  $x, y \in \mathbb{R} \setminus \{0\}$ , and assume  $x, y < 0$ . Then  $\alpha(t) = x + (y - x)t$  satisfies the conditions for  $x \sim y$ . The same argument work for  $x, y > 0$ . This means that  $\forall x, y \in (-\infty, 0) x \sim y$  and  $\forall x, y \in (0, \infty) x \sim y$ .

Want to show that for  $x < 0$  and  $y > 0$   $x$  and  $y$  are not equivalent in our relation  $\sim$ . Assume for contradiction that  $x \sim y \Rightarrow \exists \alpha : [0, 1] \rightarrow \mathbb{R} \setminus \{0\}$  such that  $\alpha$  continuous,  $\alpha(0) = x$  and  $\alpha(1) = y$ . However, since  $\alpha$  is continuous,  $[0, 1]$  is connected and  $\mathbb{R} \setminus \{0\}$  is unconnected, the image  $\alpha([0, 1])$  is either entirely contained in  $(-\infty, 0)$  or in  $(0, \infty)$ . This is a contradiction because  $\alpha(0) \in (-\infty, 0)$  and  $\alpha(1) \in (0, \infty)$ . This means  $x \sim y$  is not true. This means that  $\pi_0(\mathbb{R} \setminus \{0\}) = \{(-\infty, 0), (0, \infty)\} \Rightarrow |\pi_0(\mathbb{R} \setminus \{0\})| = 2$ .

**d)** Let  $z \in \mathbb{R}^n$  and  $x, y \in \mathbb{R}^n \setminus \{z\}$ . Define  $\alpha : [0, 1] \rightarrow \mathbb{R}^n$  as in b. There are now two cases:

- (I):  $z \notin \alpha([0, 1]) \Rightarrow x \sim y$
- (II):  $z \in \alpha([0, 1])$

Lets look at case (II). Define

$$L = \{x_0 \in \mathbb{R}^n \mid \exists t \in \mathbb{R} \text{ s.t. } x_0 = x + t(y - x)\}$$

as the line in  $\mathbb{R}^n$  that contains  $\alpha([0, 1])$ . This means that  $z$  also is contained in  $L$ . Now choose any point  $a \in \mathbb{R}^n \setminus L$ . It is clear that the line segments between  $x$  and  $a$  and be-

tween  $a$  and  $y$  does not contain  $z$ . We can then construct continuous functions as we did in b, such that  $x \sim a$  and  $a \sim y$ . Transitivity property of  $\sim$  then gives us  $x \sim y$ .

This shows that  $\forall x, y \in \mathbb{R}^n \setminus \{z\}$  we have  $x \sim y$   
 $\Rightarrow \pi_0(\mathbb{R}^n \setminus \{z\}) = \{\mathbb{R}^n \setminus \{z\}\} \Rightarrow |\pi_0(\mathbb{R}^n \setminus \{z\})| = 1$

e) Exercise 4b) and 4d) show that the sets  $\{\mathbb{R}^n\}_{n=1}^\infty$  and  $\{\mathbb{R}^n \setminus \{z\}\}_{n=2}^\infty$  are path connected. A result from the book tells us that path connectedness implies connectedness. This means that  $\{\mathbb{R}^n\}_{n=1}^\infty$  and  $\{\mathbb{R}^n \setminus \{z\}\}_{n=2}^\infty$  are connected. It is also trivial to show that  $\mathbb{R} \setminus \{0\}$  is not connected. Lets use this to show  $\mathbb{R}^n$  and  $\mathbb{R}$  are non-homomorphic.

Assume for contradiction that  $\mathbb{R}^n$  and  $\mathbb{R}$  are homomorphic. Then  $\exists f : \mathbb{R}^n \rightarrow \mathbb{R}$  where  $f$  is a homomorphism. Now remove  $\{0\}$  from  $\mathbb{R}$  and  $z = f^{-1}(\{0\})$  from  $\mathbb{R}^n$ . If we restrict  $f$  to  $\mathbb{R}^n \setminus \{z\}$ ,  $f$  should now be a homomorphism between  $\mathbb{R}^n \setminus \{z\}$  and  $\mathbb{R} \setminus \{0\}$ . However,  $\mathbb{R}^n \setminus \{z\}$  is connected while  $\mathbb{R} \setminus \{0\}$  is not. This is a contradiction since  $\mathbb{R} \setminus \{0\}$  is the image of  $\mathbb{R}^n \setminus \{z\}$  under  $f$ , and connectedness is preserved through the image of a continuous function. Therefore  $\mathbb{R}^n$  and  $\mathbb{R}$  are non-homomorphic.