

# Metallicity and superconductivity of the hydrogen-rich compounds

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IT4Innovations  
national supercomputing center



Auckland, February 5, 2019

# Kraków, Department of Condensed Matter Theory

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Jagiellonian University, Kraków, Poland



**ACMiN**  
AGH





# QMT

## *Quantum Metallization Tools*

### Sources

<https://bitbucket.org/azja/qmt>

- ♠ J. Spałek et al., Phys. Rev. B **61**, 15676 (2000);
- ♣ APK et al., Eur. Phys. J. B **86**, 252 (2013);
- ♦ A. Biborski, APK, J. Spałek, Comput. Phys. Commun. **197**, 7 (2015);
- ♡ A. Biborski, APK, J. Spałek, Phys. Rev. B **98**, 085112 (2018).

# Ostrava, IT4Innovations - Czech National Supercomputing Center

Three clusters: Anselm, Salomon (**TOP500**, peak performance 2.011 PFLOPS DP) and [ ] ( $\sim$  1.8 PFLOPS DP; GPU on each node).



- 1 Design of materials for the fission, fusion and nuclear fuels
- 2 Protective and thermal coatings, ultrahard materials and 2D films
- 3 Magneto-optical, laser induced and multiferroic phenomena
- 4 Dimensionality reduced magnetic interactions



## People

**Dominik Legut** (Team leader), Sergiu Arapan, Jaroslav Chovan, Stella Skiadopoulou, Lukaš Kyvala, Michal Farana, Petr Dvoracek

## Quantum mechanical calculations of electronic structure → material properties, physical phenomena

- 100 MCh in last 5 years;
- more than 50 publications;
- ~ 25 – 30% of IT4I's total computational power

### Methods

- Pseudopotential and all electron codes (VASP, Wien2k, Elk)
- Genetic predictive algorithms (USPEX, Calypso)
- Machine learning (TensorFlow)
- Original code - Xray optics, transport, annealing, magnetic properties
- Molecular dynamics (LAMMPS)

# Outline

## 1 Introduction

- Hydrogen in media
- Metalization of Hydrogen

## 2 Methods

- Electronic interactions
- Exact Diagonalization Ab Initio (EDABI++)

## 3 One-dimensional hydrogen

- Model
- State function
- Electronic properties
- Conclusions

## 4 Two-dimensional hydrogen

- Model
- Transition sequence
- Metallicity
- Superconductivity
- Conclusions

R. P. Dias, I. F. Silvera, Science 10.1126/science.aal1579 (2017)

## *Hydrogen Squeezed Into a Metal, Possibly Solid, Harvard Physicists Say*

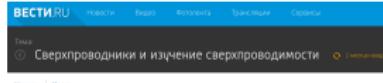
By KENNETH CHANG JAN. 26, 2017



## **Metallisk hydrogen sætter forskerverdenen i kog**

Påstand om fremstilling af metallisk hydrogen mødes med meget hård kritik fra forsikrere. Lige til skraldepanden, lyder det. Andre bakker dog de kritiserede forskere op.

Jens Rameskov 2. feb 2017 kl. 12:03



30 minutes 800T 15.00 | [View Details](#)

**Прорыв в физике? Твёрдый металлический водород, возможно, стал реальностью**



### **Scientific breakthrough lost? Unique**

Andrzej P. Kadzielawa

# Le Scienze

EDIZIONE ITALIANA DI SCIENTIFIC AMERICAN

## Le Scienze | Mente&Scienza | comportamento | epidemiologia | onde gravitazionali

01 gennaio 2017

### Idrogeno solido metallico, un annuncio e molti dubbi

Due ricercatori hanno annunciato di aver prodotto per la prima volta sferogelido solido metallico, previsto per via teorica circa ottant'anni fa, un traguardo che aprebbe la strada a nuove applicazioni, dai superconduttori ai propulsori per navi. Ma non è chiaro se il loro annuncio dubbio riguardi alle misure con cui è stato svolto l'esperimento e dunque al suo risultato (vedi).

Credit: Ranga R. Vaithyanathan / F. Saksena

## World's first metallic hydrogen sample disappears

Last month physicists from Harvard University in the US had claimed to have successfully turned hydrogen into a metal - something researchers had been struggling to achieve for more than 80 years.



Metallic Hydrogen

A screenshot of a news article from The Independent. The header features the newspaper's logo and navigation links for Home, Culture, Life, Sport, and Editor's Choice. The main title of the article is "World's only piece of a metal that could revolutionise technology has disappeared, scientists reveal". Below the title is a short summary: "Scientists at Harvard University believe they say that their final piece of metallic hydrogen on Earth has been lost after failing to cool it down to record low temperatures." The article is attributed to "By Helen Phillips" and includes a "Read more" link. The bottom of the page shows a navigation bar with links for Home, Politics, Business, World, Tech, Entertainment, Breaking news, Money, and Sport & Life. A "REUTERS" watermark is visible on the right side.



Metaliczny wodór, materiał marzeń, stał się rzeczywistością.

www.sciencedirect.com

Auckland Feb 5 2010

# Metalization of Hydrogen

## Prediction: Metallic state

E. Wigner i H. B. Huntington, J. Chem. Phys. **3**, 764 (1935):

- $H - H$  distance ( $d_{HH}$ ),
- Wigner-Seitz radius ( $r_s \equiv (\frac{3}{4\pi n})^{1/3}$ ).

Metalization at  $p \approx 25 \text{ GPa}$ :

$$2r_s > d_{HH}.$$

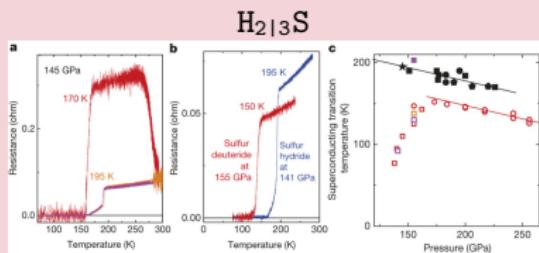
## Prediction: Superconductivity in 300K

N. Ashcroft, PRL **21**, 1748 (1968)

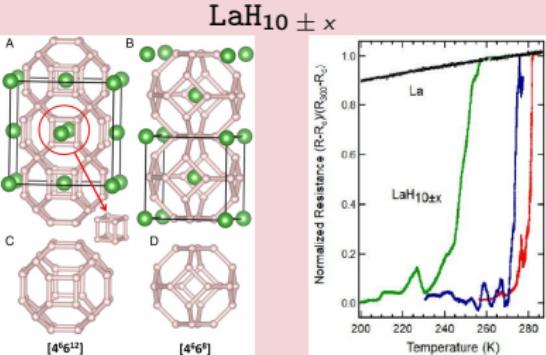
$$T_C = \Theta_D \mathcal{F}(\text{el.-ph.})$$

	$T_C \text{ (K)}$
Jupiter surface	$\sim 10^{-27}$
Jupiter core	$\sim 290$

## Hydrogen in 2D - superconductivity?



A. P. Drozdov et al., Nature **525**, 73 (2015)



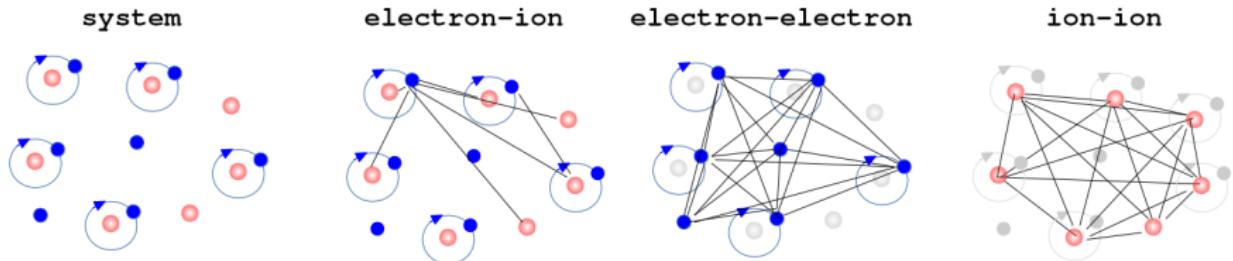
L<sub>th.</sub>: Hanyu Liu et al., PNAS **114**, 27 (2017)

R<sub>exp.</sub>: M. Somayazulu et al., arXiv:1808.07695 (2018)

## Picture

## Born–Oppenheimer Approximation

$$\Psi^{\text{total}} = \Psi^{\text{electrons}} \otimes \Psi^{\text{nuclei}}$$



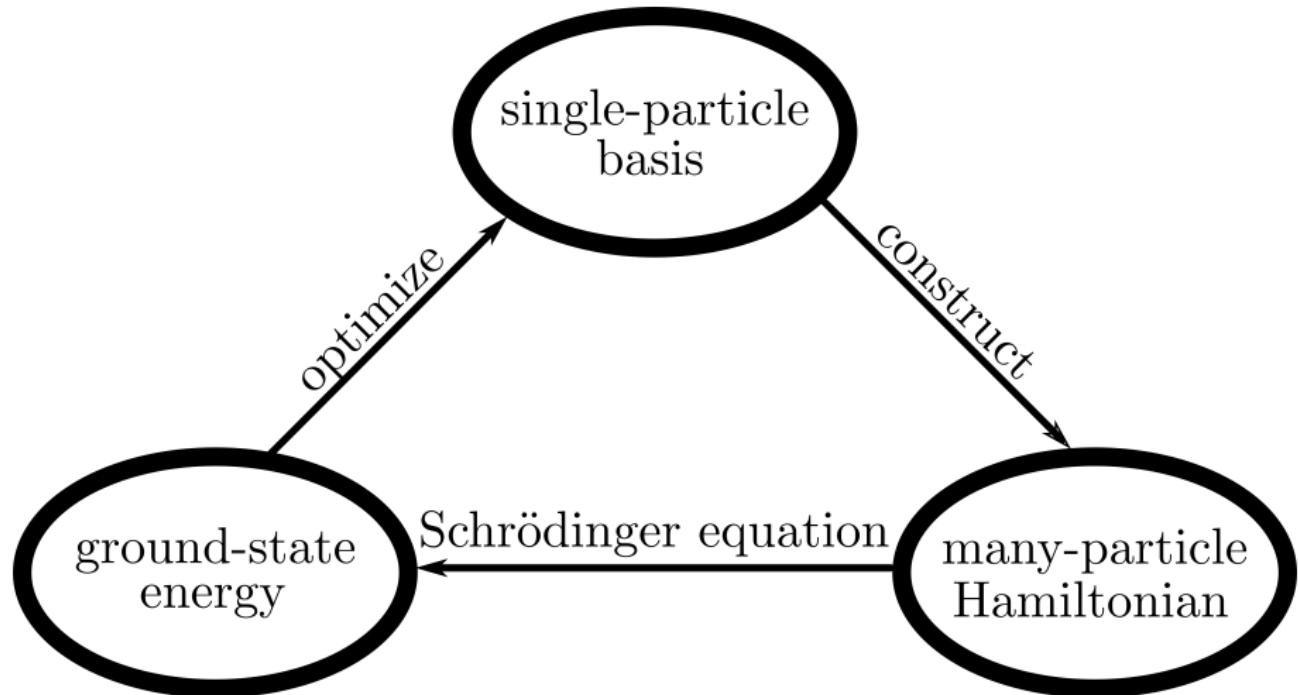
Hamiltonian

$$\# \text{ Rydberg atomic units } \hbar = 2m_e = \frac{e}{\sqrt{2}} = 1$$

$$\mathcal{H} =$$

$$-\sum_i \nabla_i^2 + \sum_{ij} \frac{\mathcal{V}_{\text{el.-ion}}}{|\mathbf{r}_i - \mathbf{R}_j|^2} + \sum_{i>j} \frac{\mathcal{V}_{\text{el.-el.}}}{|\mathbf{r}_i - \mathbf{r}_j|^2} + \sum_{i>j} \frac{\mathcal{V}_{\text{ion-ion}}}{|\mathbf{R}_i - \mathbf{R}_j|^2}$$

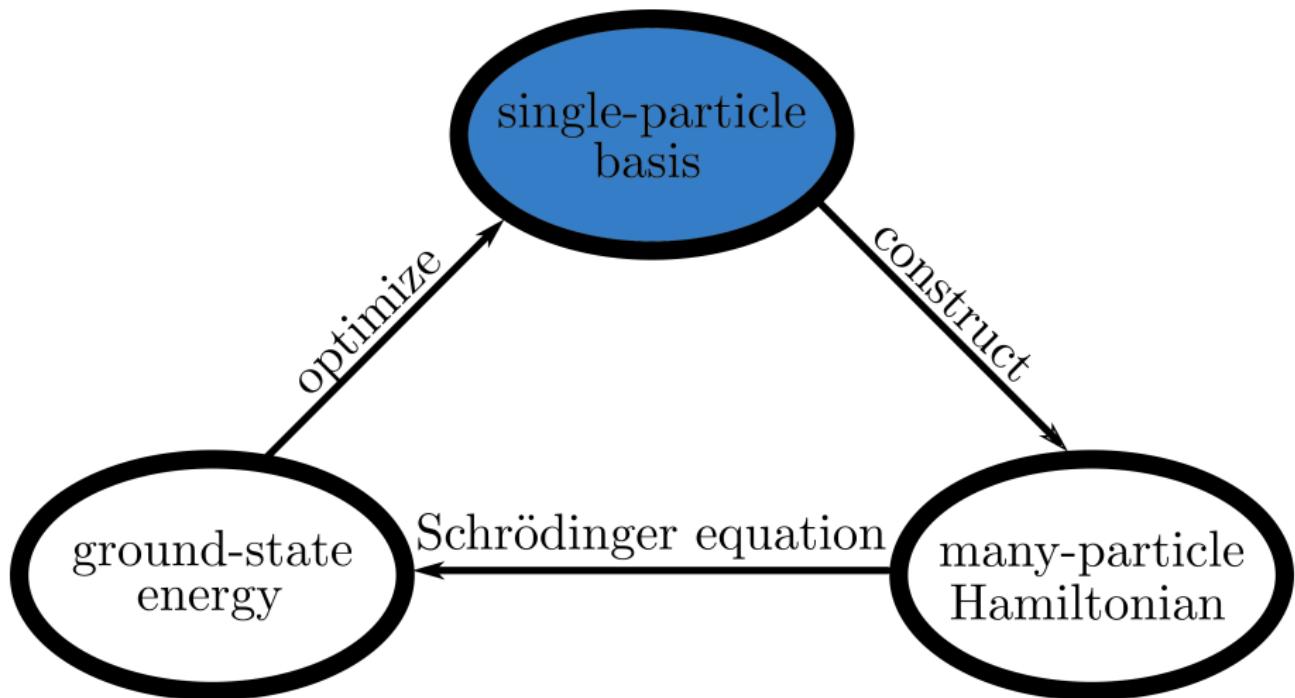
# Exact Diagonalization Ab Initio (EDABI++)



Spiritus Movens: Using second quantization

Decoupling of single-particle picture and wavefunctions' algebra

# Exact Diagonalization Ab Initio++ |



# Single-particle basis

## Conservation of complexity

Switching to the second quantization is effective only for the orthogonal bases (otherwise either  $\{\hat{c}_i, \hat{c}_j^\dagger\} = \mathbb{S}_{ij}$  or  $\hat{c}^i \equiv \mathbb{S}^{ij} \hat{c}_j^\dagger$ ).

## LCAO

Orthogonal basis  $\{w_i\}$  can be expressed as a linear combination of Slater orbitals  $\{\psi_i\}$ :

$$w_i(\mathbf{r}) \sum_k \beta_j \psi_j(\mathbf{r}),$$

satisfying orthonormality condition

$$\langle w_i | w_j \rangle = \delta_{ij}.$$

## Suitable methods

Let us define mixing matrix  $\mathbb{W}_{ij} \equiv \langle w_i | \psi_j \rangle$ .

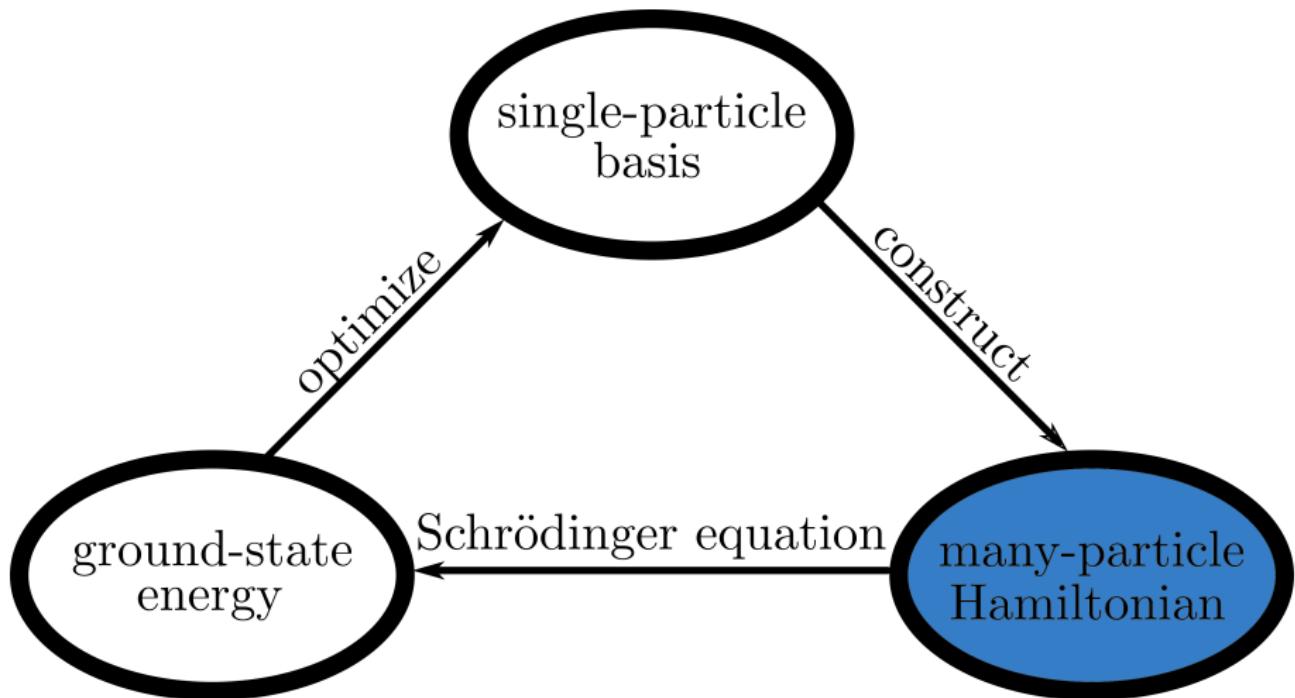
### ■ Löwdin orthogonalization

- ♪ one solution close to starting orbitals
- ∅ dense  $\mathbb{W}$
- ∅ requires sharp cut-off for infinite systems

### ■ quadratic forms

- ∅ many solutions
- ♪∅ allows/requires symmetry constraints
- ♪ sparse  $\mathbb{W}$
- ♪ systematic approach to infinity

# Exact Diagonalization Ab Initio++ II



# Hamiltonian

## Hamiltonian and its parameters

By acting on the starting, first-quantization Hamiltonian with the field operator:  $\Psi_i \equiv w_i(\mathbf{r})\chi_\sigma \hat{c}_{i\sigma}^\dagger$  we get the second-quantization Hamiltonian

$$\mathcal{H} = \sum_{i,j}^{(P|A|R)BC} t_{ij} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \sum_{i,j,k,l}^{(P|A|R)BC} V_{ijkl} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma'}^\dagger \hat{c}_{l\sigma'} \hat{c}_{k\sigma} + \mathcal{H}_{\text{ext}} + \mathcal{V}_{\text{ion-ion}}$$

with fermionic creation/annihilation operators

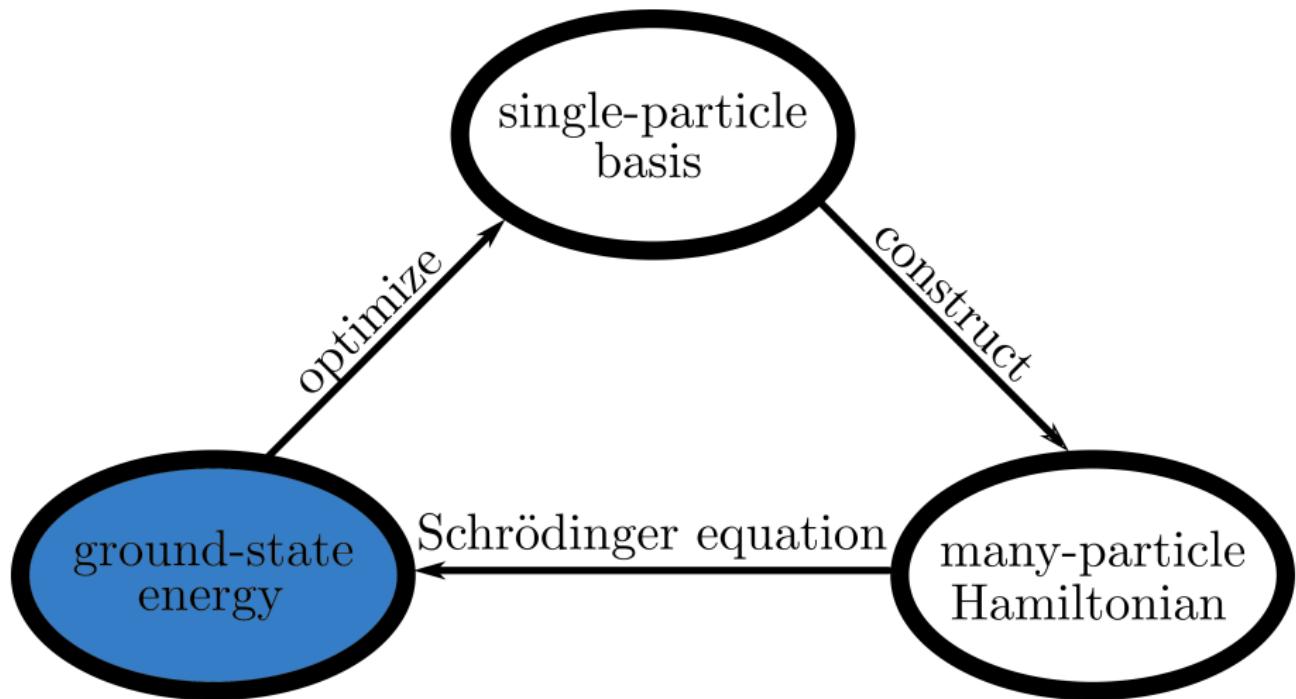
$$\{\hat{c}_{i\sigma}^\dagger, \hat{c}_{j\sigma'}^\dagger\} \equiv \{\hat{c}_{i\sigma}, \hat{c}_{j\sigma'}\} \equiv 0 \quad \text{and} \quad \{\hat{c}_{i\sigma}^\dagger, \hat{c}_{j\sigma'}\} \equiv \delta_{ij}\delta_{\sigma\sigma'}.$$

Hence, all of the information about single-particle wavefunction exists only in the microscopic parameters

$$t_{ij} = \left\langle w(\mathbf{r})_i \left| -\nabla^2 - \sum_{k=1}^n \frac{2}{|\mathbf{r} - \mathbf{R}_k|} \right| w(\mathbf{r})_j \right\rangle,$$

$$V_{ijkl} = \left\langle w(\mathbf{r})_i w(\mathbf{r}')_j \left| \frac{2}{|\mathbf{r} - \mathbf{r}'|} \right| w(\mathbf{r}')_k w(\mathbf{r})_l \right\rangle.$$

# Exact Diagonalization Ab Initio++ III



# Diagonalization core

## Advantage

No constrains on diagonalization method

### Already applied:

- Lanczos
- Truncated Lanczos
- Variational Monte Carlo
- Gutzwiller Wavefunction
- Gutzwiller Approximation

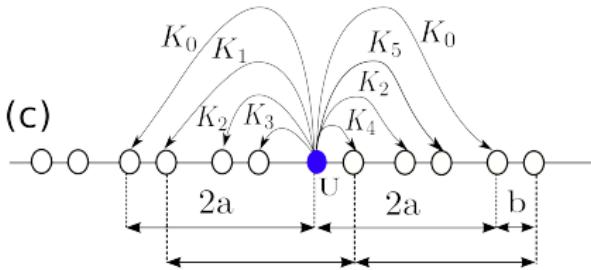
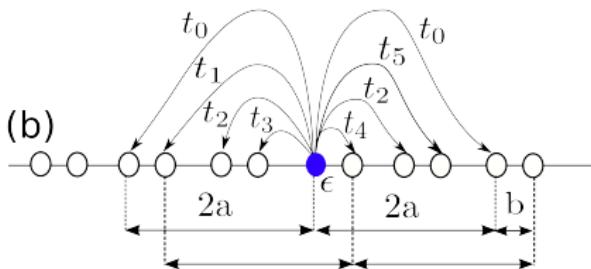
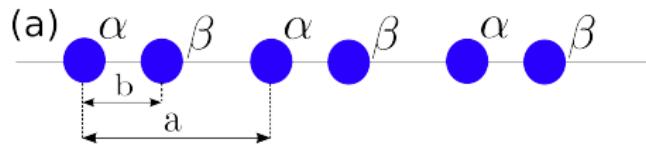
### Whatever provides us with:

- ground-state energy ( $E_g$ )
- (ideally) excited states close to  $E_g$
- (in)direct correlation picture
- observables for comparison with experiment
- scalability

## 2<sup>nd</sup>-quantization states

$$|\Psi\rangle_N = \mathcal{N} \sum_k A_k |\Phi_k\rangle, \quad |\Phi_k\rangle = \prod_{i \in \Omega_{\uparrow k}} \hat{c}_{i\uparrow}^\dagger \prod_{j \in \Omega_{\downarrow k}} \hat{c}_{j\downarrow}^\dagger |0\rangle, \langle \Phi_k | \Phi_l \rangle = \delta_{kl}$$

For details see: Phys. Rev. B **98**, 085112 (2018)



## Assumptions

- (a) two hydrogen atoms in the unit cell ( $\alpha, \beta$ ), with the lattice parameter  $a$  and bond length  $b$ ;
- (b) range of the hoppings terms extends up to  $2a$ ;
- (c) interactions counted up to the range of  $2a$ .

## “Infinite” crystal

- Periodic Boundary Conditions;
- supercell of 17, 21, 25, 33 and 37 unit cells;

## Hamiltonian

## Second quantization

$$\mathcal{H} = \sum_i \epsilon_i (\hat{n}_{i\uparrow} + \hat{n}_{i\downarrow}) + \sum_{i \neq j} t_{ij} (\hat{c}_{i\uparrow}^\dagger \hat{c}_{j\uparrow} + \hat{c}_{i\downarrow}^\dagger \hat{c}_{j\downarrow}) \quad // \text{ free electrons}$$

$$+ \sum_i U_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} + \sum_{i \neq j} K_{ij} \hat{n}_i \hat{n}_j \quad // \text{ interactions}$$

## First-to-second-quantization calculation step

$$t_{ij} \equiv \left\langle w_i(\mathbf{r}) \left| -\nabla^2 - \sum_{I \in \text{ions}} \frac{2Z}{|\mathbf{R}_I - \mathbf{r}|} \right| w_j(\mathbf{r}) \right\rangle \quad \epsilon_i \equiv t_{ii}$$

$$V_{ijkl} \equiv \left\langle w_i(\mathbf{r}) w_j(\mathbf{r}') \left| \frac{2}{|\mathbf{r} - \mathbf{r}'|} \right| w_k(\mathbf{r}) w_l(\mathbf{r}') \right\rangle \quad U_i \equiv V_{iiii}, \quad K_{ij} \equiv V_{ijij}$$

## Dimensionality - 1D chain in 3D space

- $w_i(\mathbf{r})$  build from 1s Slater orbitals;
- Coulomb potential  $V_C(\mathbf{R}) \propto |\mathbf{R}|^{-1}$ ;

# Proper state function

## One-dimensional enthalpy

$$h \equiv f \frac{a}{2} + \frac{E}{N},$$

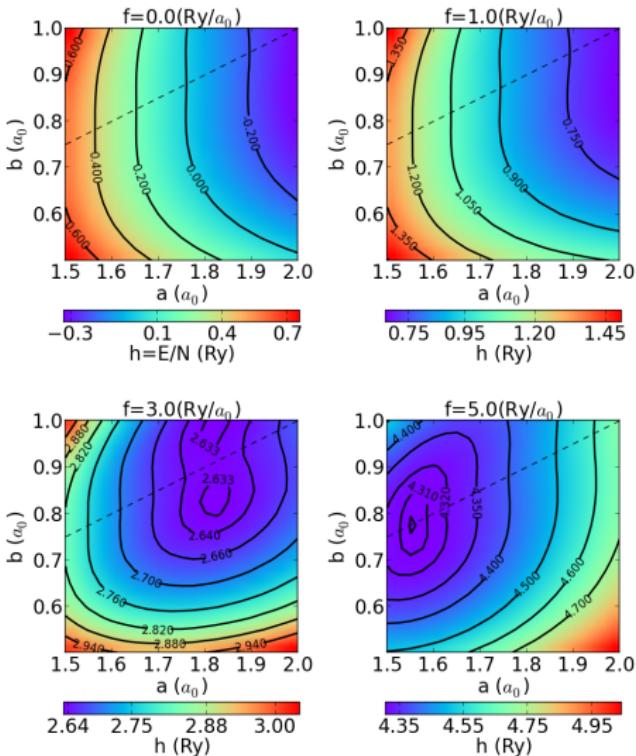
with  $f$  as an external force (analogue of the pressure), the lattice parameter  $a$ , and ground-state energy  $E$  for the  $N$ -particle supercell.

Run for given  $f$

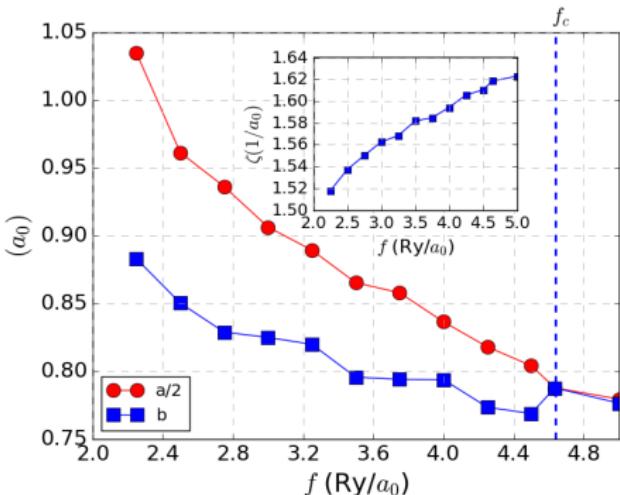
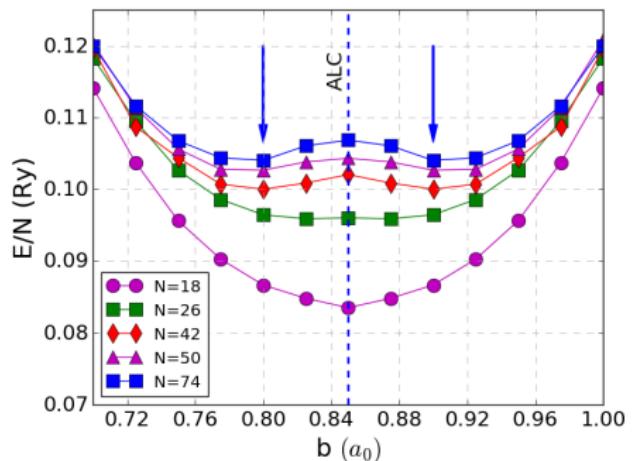
opt. structure

opt. wavefunction

opt. Jastrow



# Results for finite systems

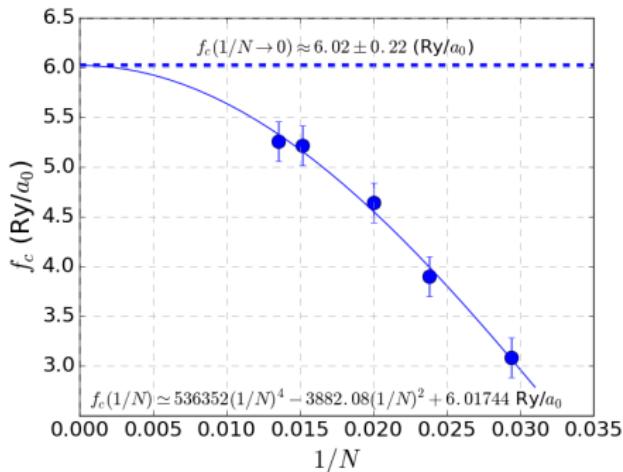
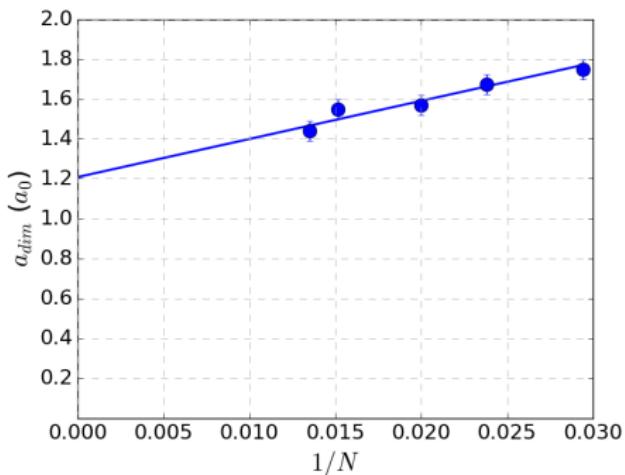


Peierls-like distortion from First Principles for a correlated system

- No distortion for small systems.
- Molecular  $\rightarrow$  atomic transition at high “pressure”  
 ↛ reverse Peierls-like transition.

for finite systems cf. also E. Giner *et al.*, J. Chem. Phys. **138**, 074315 (2013).

# Thermodynamic limit



## Conditions of molecular-to-atomic transition for $N \rightarrow \infty$

- finite-size scaling of atomization lattice parameter  $a_{\text{dim}} \approx 1.17a_0 > 0$ ;
- finite-size scaling of atomization force  $f_c \approx 6.02 \frac{\text{Ry}}{a_0} < \infty$ .

# Metallicity of hydrogen chain

## Point of reference

We use the equilibrium microscopic parameters of the Hamiltonian for  $N = 50$  as a point of reference.

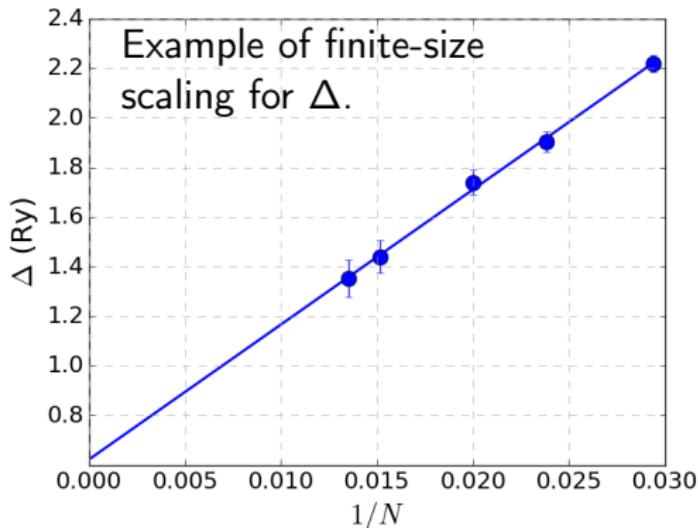
## Charge gap

$$\Delta_N \equiv \frac{E_{N+4} - 2E_N + E_{N-4}}{4} \Big|_{@h(f)}$$

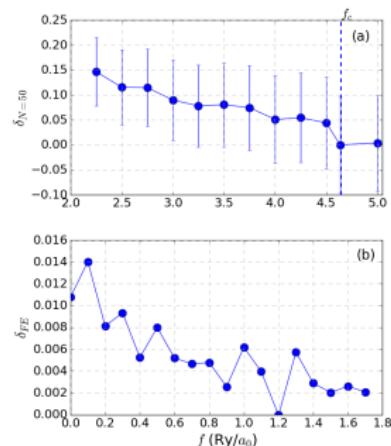
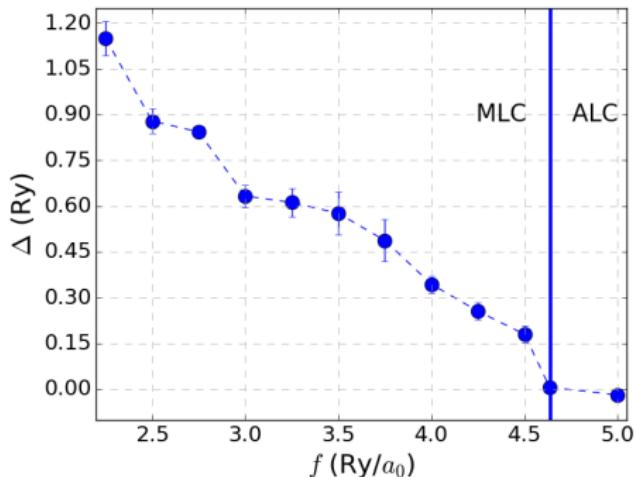
$E_N$  - the ground state of the  $N$ -particle system described by the reference Hamiltonian with the structure minimizing the effective enthalpy.

## Thermodynamic limit

$$\Delta \equiv \Delta_\infty = \lim_{N \rightarrow \infty} \Delta_N$$



# Closing of the charge gap



## Apparent metallicity of the hydrogen chain in the atomic phase

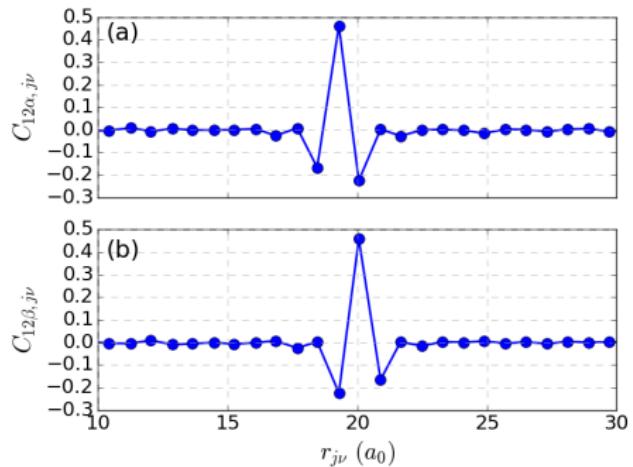
- charge gap closed at the MLC  $\rightarrow$  ALC transition;
- further-than-nearest neighbor hoppings;
- chain exist in 3D (both single-particle wavefunctions and Coulomb potential are taken for  $D = 3$ );

in agreement with L. Stella *et al.*, Phys. Rev. B 84, 245117 (2011)

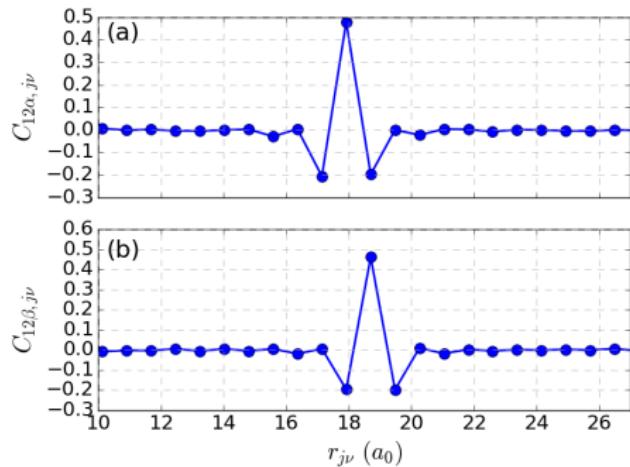
# Density-density correlation

## Density-density correlation

$$C_{i,j} \equiv \langle \hat{n}_i \hat{n}_j \rangle - \langle \hat{n}_i \rangle \langle \hat{n}_j \rangle$$



(LEFT)  $f = 4.5 \text{ Ry}/a_0$ ;

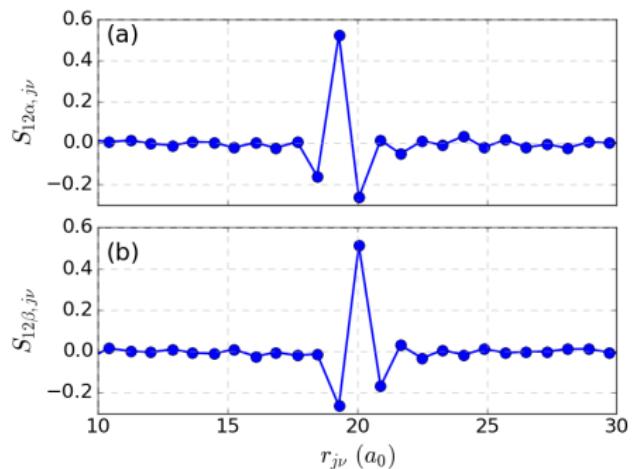


(RIGHT)  $f = 5.0 \text{ Ry}/a_0$

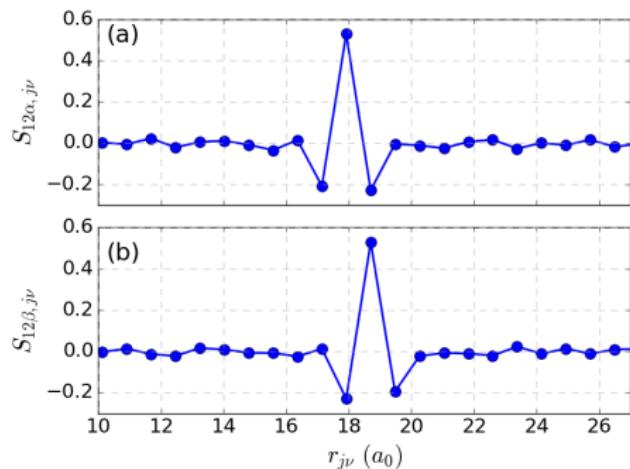
# Spin-spin correlation

## Spin-spin correlation

$$S_{i,j} \equiv \langle (\hat{n}_{i\uparrow} - \hat{n}_{i\sigma})(\hat{n}_{j\uparrow} - \hat{n}_{j\sigma}) \rangle = \langle \hat{S}_i^z \hat{S}_j^z \rangle$$



(LEFT)  $f = 4.5 \text{ Ry}/a_0$

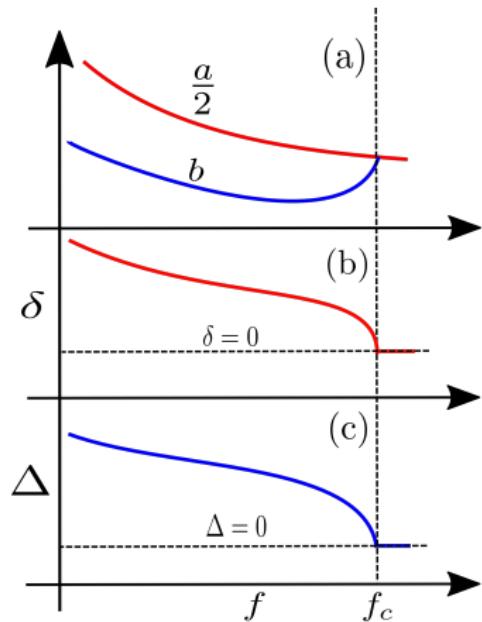


(RIGHT)  $f = 5.0 \text{ Ry}/a_0$

# Conclusions 1D

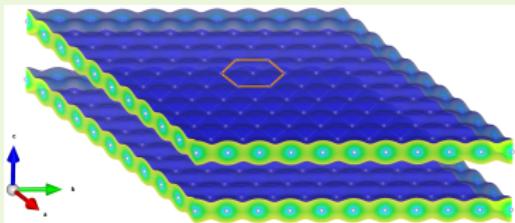
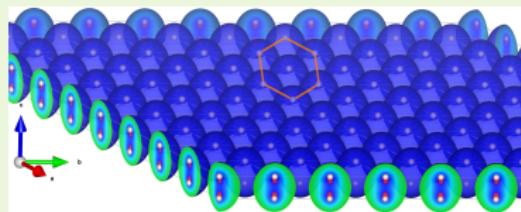
## Hydrogen chain

- Peierls-like distortion at ambient “pressure”;
- correlations do not weaken distortion;
- external force induces molecular → atomic transition;
- concomitant atomization and metallization ;
- no long-range order;



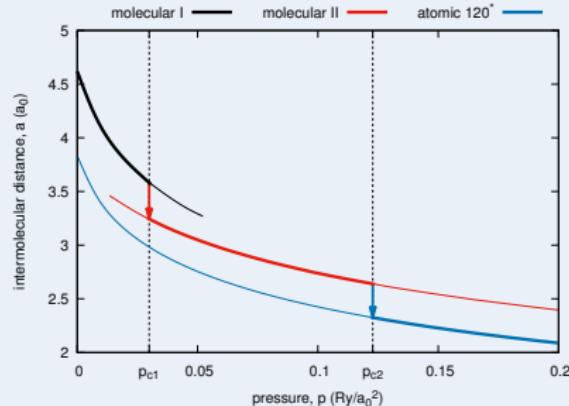
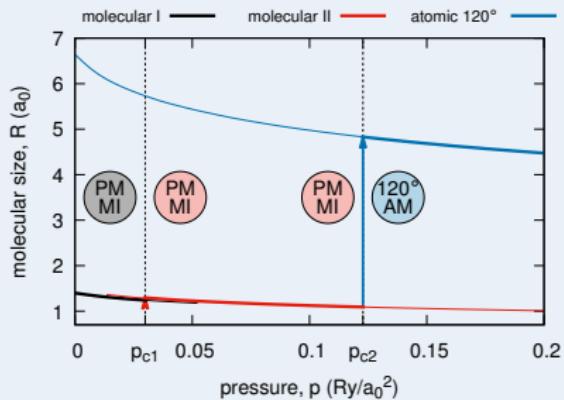
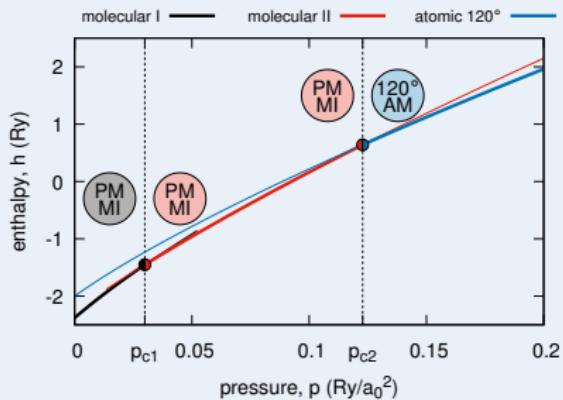
# Triangular lattice

## Two-dimensional crystal



- periodic boundary conditions in  $xy$  plane;
  - Lanczos algorithm for the diagonalization core of 6 and 8 atoms (to comply with proper Néel  $120^\circ$  and  $90^\circ$  phases);
  - wavefunction constructed from 10 classes of nodes
- $$\mathcal{H} = \sum_{i\sigma} \epsilon_i \hat{n}_{i\sigma} + \sum_{i \neq j \sigma} t_{ij} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma}$$
- $\hookrightarrow$  hoppings  $t_{ij}$  up to 10<sup>th</sup> neighbor;
- $$+ \sum_i U_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} + \sum_{i \neq j} K_{ij} \hat{n}_i \hat{n}_j$$
- $\hookrightarrow$  Coulomb repulsion  $K_{ij}$  up to 10<sup>th</sup> neighbor;
- $$- \sum_{i \neq j} J_{ij} \mathbf{s}_i \cdot \mathbf{s}_j - \frac{1}{4} \sum_{i \neq j} J_{ij} \hat{n}_i \hat{n}_j$$
- $\hookrightarrow$  ferromagnetic exchange  $J_{ij}$
- $$+ \sum_{i \neq j} J_{ij} \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{j\downarrow} \hat{c}_{j\uparrow}$$
- up to 3<sup>rd</sup> neighbor;

# 2D enthalpy and lattice parameters



Question:

What is the quantum equivalent of  $R_{\text{eff}} \rightarrow \infty$ ?

# Atomicity

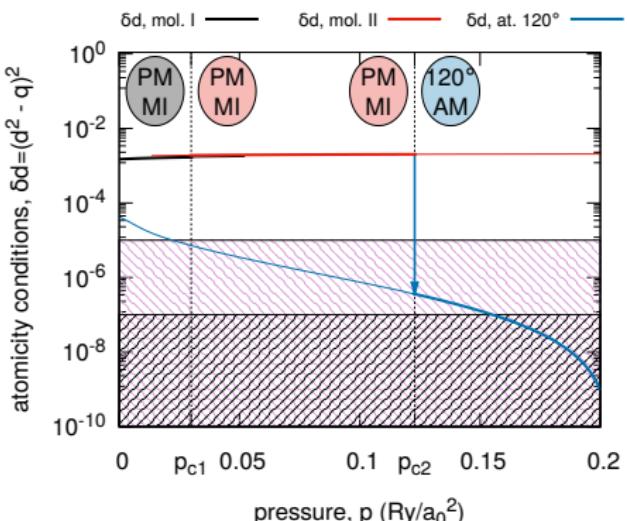
Classically

Interplanar distance  $R_{\text{eff}} \rightarrow \infty \Leftarrow$  Not necessarily in the quantum realm!  
 (van-der-Waals-like behavior)

Independence of classical probability

$$\delta d \equiv \left( P \begin{bmatrix} * \\ \uparrow\downarrow \end{bmatrix} P \begin{bmatrix} \uparrow\downarrow \\ * \end{bmatrix} - P \begin{bmatrix} \uparrow\downarrow \\ \uparrow\downarrow \end{bmatrix} \right)^2$$

$$\equiv \left( \langle \Phi_0 | \hat{n}_{1\uparrow} \hat{n}_{1\downarrow} | \Phi_0 \rangle \langle \Phi_0 | \hat{n}_{2\uparrow} \hat{n}_{2\downarrow} | \Phi_0 \rangle - \langle \Phi_0 | \hat{n}_{1\uparrow} \hat{n}_{1\downarrow} \hat{n}_{2\uparrow} \hat{n}_{2\downarrow} | \Phi_0 \rangle \right)^2$$



# Magnetic order

## FM vs. AFM exchange

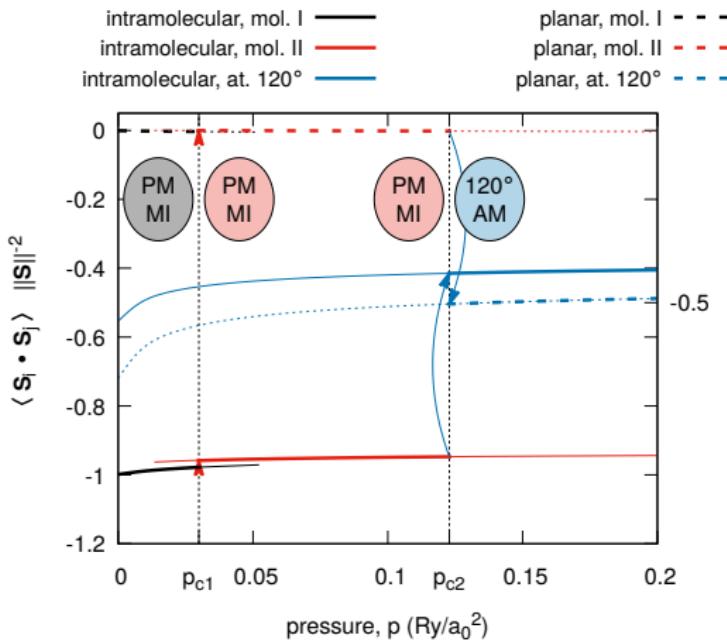
$J_{\text{FM}}$ , Hund-like  $\ll J_{\text{AFM}}$ , kinetic  
Required for the ambient  
pressure stability of the  
atomic phase!

## Spin correlation

- 1 Molecular phases:  
molecular  $H_2$ ,  
near-spin-singlet
- 2 Atomic phase:  
 $120^\circ$  Néel order

## Total spin

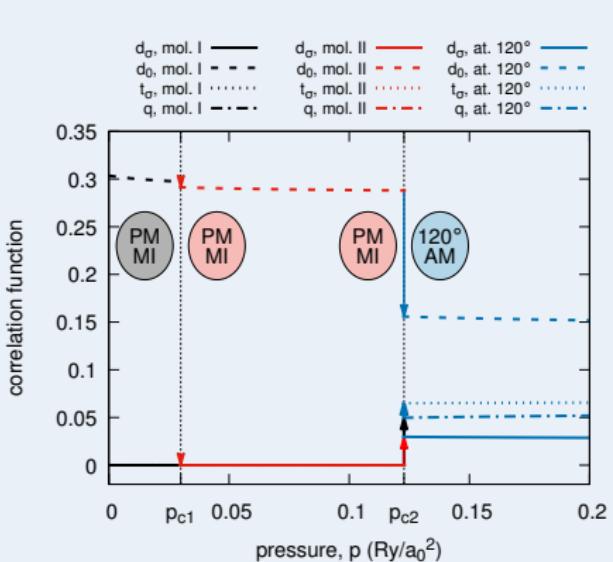
	mol. I $\rightarrow$ II		mol. II $\rightarrow$ atomic	
$  \mathbf{S}  _{\text{molecule}}$	0.10	0.14	0.16	0.54
$  \mathbf{S}  _{\text{triangle}}$	0.86	0.87	0.86	0.077



$$\begin{aligned} ||\mathbf{S}||_{\text{molecule}} &\equiv \left| \left| \mathbf{S}(x_{2D}, -\frac{R}{2}) + \mathbf{S}_2(x_{2D}, -\frac{R}{2}) \right| \right| \\ ||\mathbf{S}||_{\text{triangle}} &\equiv \left| \left| \mathbf{S}(x_{2D}, \frac{R}{2}) + \mathbf{S}(x_{2D} + \mathbf{e}_1, \frac{R}{2}) \right. \right. \\ &\quad \left. \left. + \mathbf{S}(x_{2D} + \mathbf{e}_2, \frac{R}{2}) \right| \right| \end{aligned}$$

# Two-step metallization

## Metallicity of atomic phase

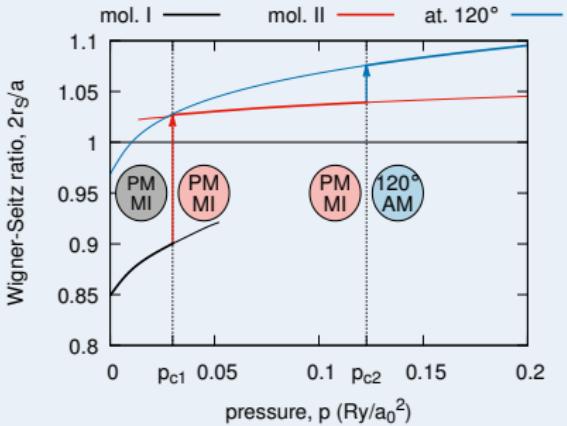
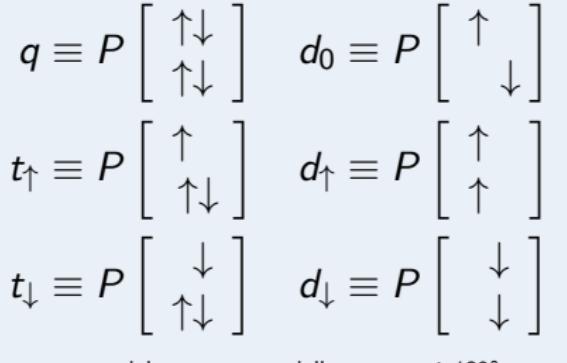


occupancy correlation functions

Wigner-Seitz metallicity

condition  $r_S > \frac{a}{2}$ , where

$$\text{WS radius } r_S \equiv \sqrt[3]{\frac{3}{4\pi n}}.$$



# Band structure

## Bare bands

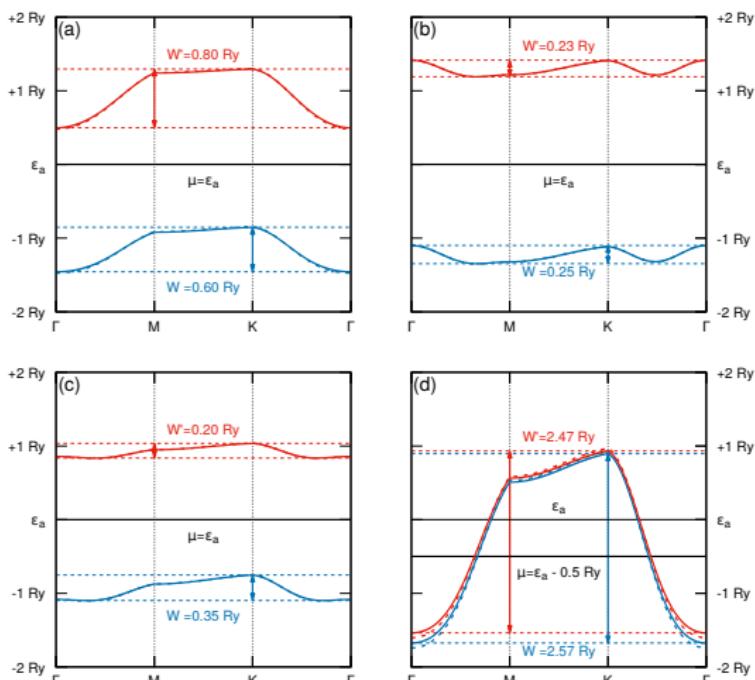
- easily calculable
- depend only on  $\mathcal{H}_{\text{free}}$

## Correlated bands

- full  $\mathcal{H}$  dependence
- no generic method

## Bare bands with a correlator

- calculable
- local interaction
- ∅ correlator physics



# Possibility of superconducting state

## Wigner-Seitz radius

$$r_s = r_s(V)$$

- volume of an electron in phases I & II:

$$V_e = \frac{V_{\text{mol}}}{2} \equiv \frac{1}{2} a^2 \left( R + \frac{2}{\zeta} \right),$$

- volume of an atom in atomic phase:

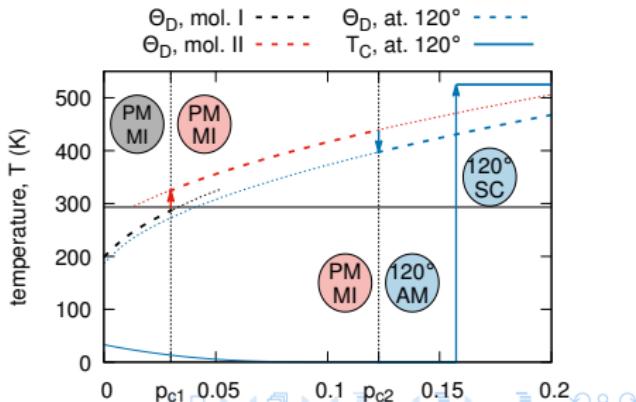
$$V_e = a^2 \frac{2}{\zeta},$$

source	method	$r_s (a_0)$
J. McMinis et al. (arXiv:1309.7051)	DMC	2.27
G. Mazzola et al. (Nat. Commun. 5, 3487 (2014))	DMC	1.28
J.-L. Li et al. (Phys. Rev. B 66, 035102 (2002))	LSDA	2.78
J.-L. Li et al. (Phys. Rev. B 66, 035102 (2002))	GGA	2.50
B. I. Min et al. (Phys. Rev. B 33, 324 (1986))	LMTO-LSDA	2.85
A. Svane et al. (Solid State Commun. 76, 851 (1990))	SIC-LSDA	2.45
B. G. Pfrommer et al. (Phys. Rev. B 58, 12680 (1998))	GGA-PW91	2.5
APK, AB, JS (2018)	EDABI	1.265
R. P. Dias et al. (Science: 10.1126/science.aal1579 (2017))	eksperiment	1.255 – 1.34

## McMillana formula

$T_C$  depends on

- $\Theta_D$  (from phonon spectra)
  - ∅ always a soft mode  $\perp$  to the plane
- $\alpha \approx 1.0$
- $\lambda^2 \approx 0.166 r_s$ 
  - ∅ tolerable (?) approx.



# Conclusions

## Physics of hydrogen planes

- concomitant atomization & metallization;
- long-range interactions ( $\sim ||\mathbf{R}||^{-P}$ )
- London-like interactions in insulating molecular phases;
- benchmark for infinite-system quantum chemistry

(EDABI +  QMTools);

## Hydrogen-induced superconductivity

- medianly correlated system (playground for a physicist)
- (most probably) [citation needed] anharmonic phonons;
- (but maybe) [citation needed] correlation driven;
- extreme pressure (chemical?);
- record high  $T_C$ ;

