

# Metallization of atomic solid hydrogen within the extended Hubbard model with renormalized Wannier wave functions

Andrzej P. Kądzielawa\*, Józef Spałek

Department of Condensed Matter Theory and Nanophysics  
Marian Smoluchowski Institute of Physics, Jagiellonian University

\*kadzielawa@th.if.uj.edu.pl

March 25, 2014



INNOVATIVE ECONOMY  
NATIONAL COHESION STRATEGY



Foundation for Polish Science

EUROPEAN UNION  
EUROPEAN REGIONAL  
DEVELOPMENT FUND



NATIONAL SCIENCE CENTRE



# Outline

## ① Objective

## ② Methods

Single-particle basis optimization

Hamiltonian

Statistically-consistent Gutzwiller Approximation (SGA)

## ③ Results

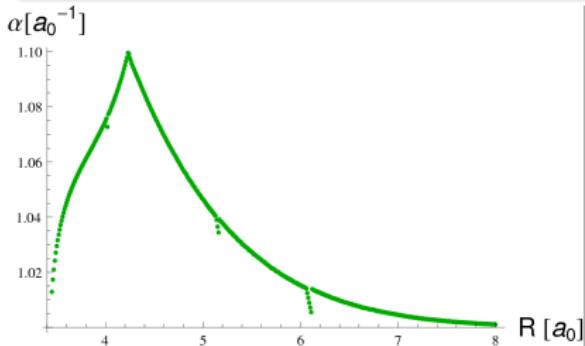
Metal-insulator transition

Quantum critical behavior

Metallization pressure

## Objective

To apply SGA method for wave function quantum scaling problem near Mott-Hubbard transition.



J. Spałek, J. Kurzyk, R. Podsiadły,  
W. Wójcik, Eur. Phys. J. B **74**, 63-74  
(2010)

## Also

- to include the effect of external magnetic field on metal-insulator transition
- to calculate metallization pressure for atomic hydrogen

# Base functions

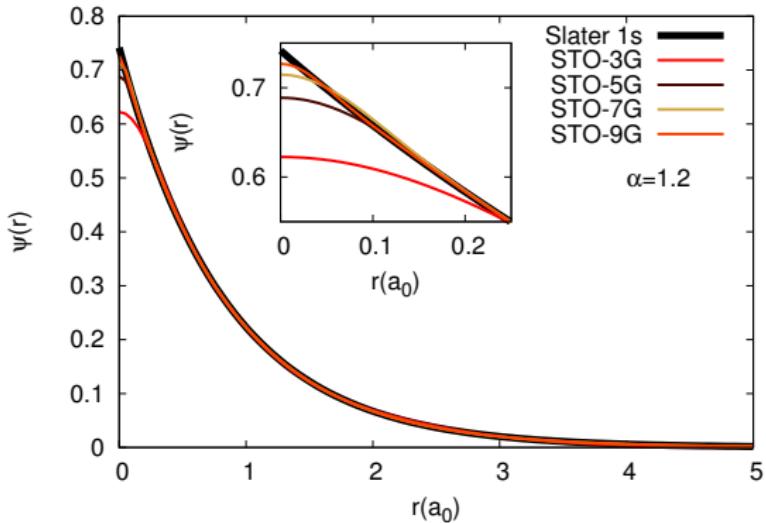
Index  $i$  denotes a periodic function:  $f_i(\mathbf{r}) \equiv f(\mathbf{r} - \mathbf{R}_i)$

$$w_i(\mathbf{r}) = \beta \Psi_i(\mathbf{r}) - \gamma \sum_{j=1}^z \Psi_j(\mathbf{r}), \quad (1)$$

$$\begin{aligned} \Psi_i(\mathbf{r}) &= \sqrt{\frac{\alpha^3}{\pi}} e^{-\alpha|\mathbf{r}-\mathbf{R}_i|} \\ &\approx \alpha^{\frac{3}{2}} \sum_{a=1}^p B_a \left( \frac{2\Gamma_a^2}{\pi} \right)^{\frac{3}{4}} e^{-\alpha^2 \Gamma_a^2 |\mathbf{r}-\mathbf{R}_i|^2}. \end{aligned} \quad (2)$$

constant	overlap dependent	minimization $\mathcal{H}_0 \stackrel{a.u.}{=} -\nabla^2 - 2 \mathbf{r} - \mathbf{R}_i ^{-1}$	minimization of $E_G$
$z, p$	$\beta, \gamma$	$B_a, \Gamma_a$	$\alpha$

# Accuracy of the STO- $nG$ approximation



**Figure:** The accuracy of the STO- $nG$  approximation for given basis size  $n \in \{3, 5, 7, 9\}$  and inverse wave function size  $\alpha$  set to  $1.2a_0^{-1}$ .

# Hamiltonian

We start from the extended Hubbard model:

$$\begin{aligned}\mathcal{H}_{EH} = & \epsilon_a \sum_i n_i + \sum_{i \neq j, \sigma} t_{ij} a_{i\sigma}^\dagger a_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow} \\ & + \sum_{i < j} K_{ij} n_i n_j + \sum_{i < j} V_{ion-ion} (\mathbf{R}_i - \mathbf{R}_j) - \sum_{i,\sigma} \sigma h n_{i\sigma},\end{aligned}\quad (3)$$

where  $\epsilon_a$  is the atomic energy per site,  $t_{ij}$  the hopping integral,  $U$  the intraatomic interaction, and  $K_{ij}$  the interatomic interaction.

$V_{ion-ion} (\mathbf{R}_i - \mathbf{R}_j) = \frac{2}{|\mathbf{R}_i - \mathbf{R}_j|}$  is classical Coulomb repulsion and  $h = \frac{1}{2}g\mu_B H_a$  the reduced magnetic field.

# Microscopic parameters

## Values

Microscopic parameters  $\epsilon_a$ ,  $t_{ij}$ ,  $U$ , and  $K_{ij}$  are expressed by integrals

$$\begin{aligned} t_{ij} &= \langle w_i | \mathcal{H}_1 | w_j \rangle, \\ K_{ij} &= \left\langle w_i w_j \left| \frac{e^2}{|\mathbf{r}_1 - \mathbf{r}_2|} \right| w_i w_j \right\rangle, \\ U = K_{ii} &= \left\langle w_i w_i \left| \frac{e^2}{|\mathbf{r}_1 - \mathbf{r}_2|} \right| w_i w_i \right\rangle, \\ \epsilon_a &= \langle w_i | \mathcal{H}_1 | w_i \rangle. \end{aligned} \tag{4}$$

# Hamiltonian

We rearrange the Hamiltonian in a way

$$\begin{aligned}\mathcal{H}_{EH} = \epsilon_a^{eff} \sum_i n_i + \sum_{i \neq j, \sigma} t_{ij} a_{i\sigma}^\dagger a_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow} \\ + \frac{1}{2} \sum_{i \neq j} K_{ij} \delta n_i \delta n_j - \sum_{i, \sigma} \sigma h n_{i\sigma},\end{aligned}\tag{5}$$

with effective atomic energy per site

$$\epsilon_a^{eff} = \epsilon_a + \frac{1}{2\Lambda} \sum_{i \neq j} \left( K_{ij} + \frac{2}{|R_j - R_i|} \right),\tag{6}$$

and  $\delta n = 1 - n$ .

## SGA:

## Ground-state energy

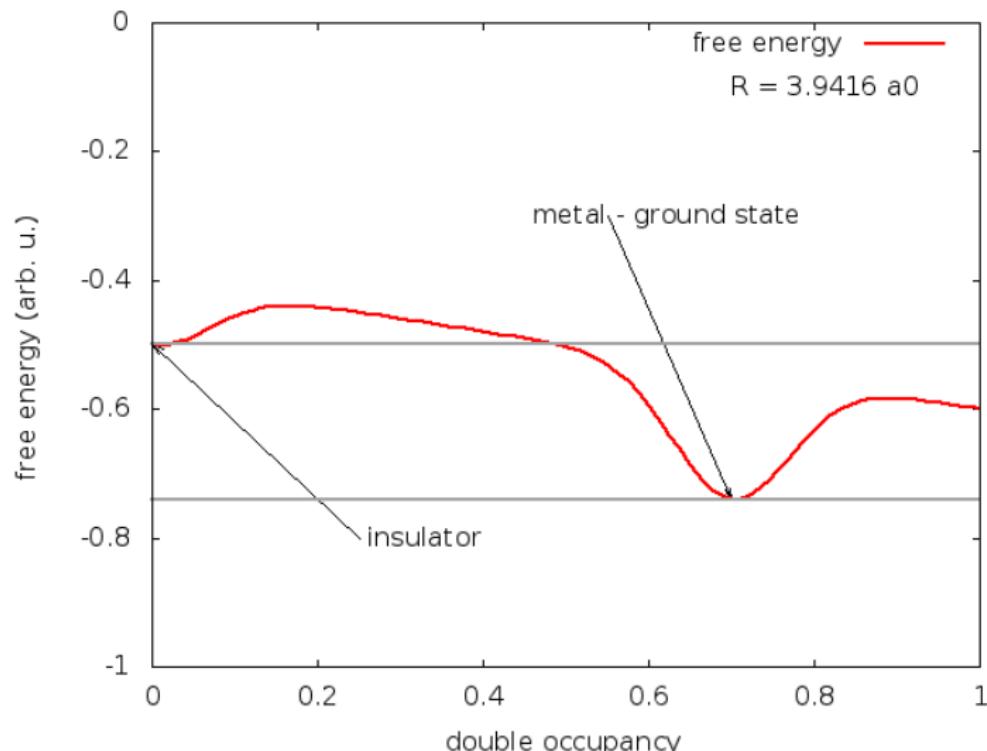
$$\frac{E_G}{\Lambda} = \epsilon_a^{\text{eff}} + \frac{1}{\Lambda} \left( \sum_{ij\sigma} t_{ij} \langle a_{i\sigma}^\dagger a_{j\sigma} \rangle + \sum_i U \langle a_{i\uparrow}^\dagger a_{i\uparrow} a_{i\downarrow}^\dagger a_{i\downarrow} \rangle \right) \quad (7)$$

↓ minimization

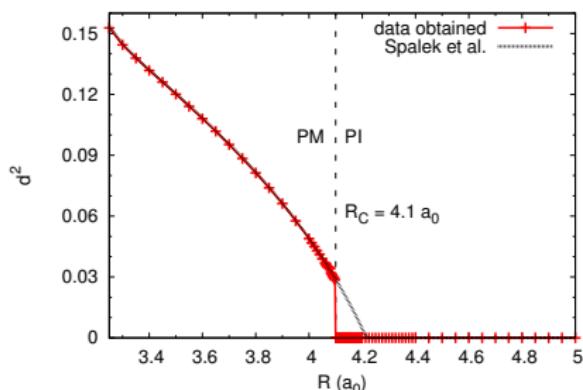
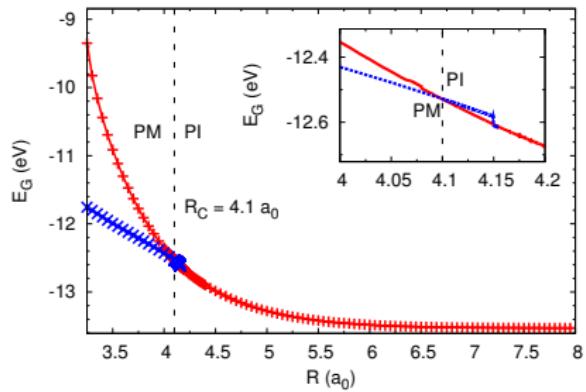
$$\begin{aligned} \mathcal{K} = & \epsilon_a^{\text{eff}} \sum_{i\sigma} n_{i\sigma} + \sum_{ij\sigma} t_{ij} q_\sigma a_{i\sigma}^\dagger a_{j\sigma} + \Lambda U d^2 - \mu \sum_{i\sigma} n_{i\sigma} \\ & - \lambda_m \sum_i (m_i - m) - \lambda_n \sum_i (n_i - n) \end{aligned} \quad (8)$$

$n$	$m$	$d^2$	$\lambda_n$	$\lambda_m$	$\mu$
band filling	magnetization	no. of double occupancies	molecular field coupled with $n$	molecular field coupled with $m$	chemical potential

# Qualitative behavior of free energy around Mott–Hubbard Transition



# Nature of transition

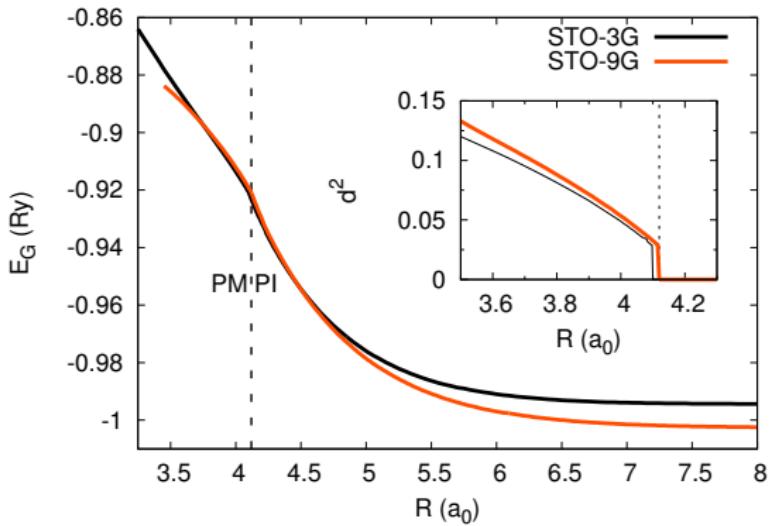


**Figure: G:** Ground-state energy of metallic (blue) and insulating (red) state. **D:** Double occupancies per site for our model vs. last publication.

1<sup>st</sup> order

Discontinuity of first derivative of energy (upper plot) → metal-insulator transition of weakly first order.

# The effect of the basis resolution



**Figure:** The effect of the basis resolution on the results. The ground-state energy  $E_G$  versus the lattice parameter  $R$ . Inset: the double occupancy mean-field  $d^2$  related to the conductivity of the model system versus the lattice parameter  $R$ .

"./wannier.dat" u 1:2:3

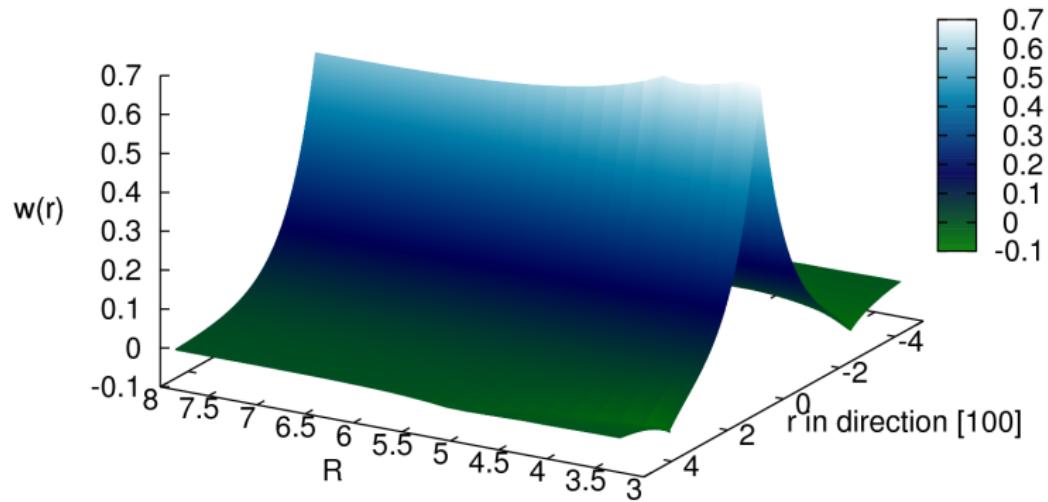


Figure: Overall space profiles of the renormalized Wannier function for sc lattice as a function of lattice parameter  $R$  and along  $[100]$  direction  $r$ .

## Zero-point motion for ion lattice

The uncertainties of momentum  $\delta P$  and distance between ions  $\delta R$ :

$$\Delta E = \frac{(\delta P)^2}{2M} + \sum_{i=1}^3 \frac{1}{2} \left( \frac{2}{R + \delta R^i} + \frac{2}{R - \delta R^i} \right) \quad (9)$$

using Heisenberg Principle  $(\delta \mathbf{P})^2 (\delta \mathbf{R})^2 \geq \frac{3}{4}$  and minimizing with respect to  $\delta \mathbf{R}$ , we obtain results (at MIT):

	axis-aligned	plane-aligned	diagonal
$ \delta \mathbf{R}  (a_0)$	0.3432	0.3438	<b>0.3440</b>
$\Delta E - \frac{6}{R} (\text{Ry})$	0.003455	0.003449	<b>0.003447</b>

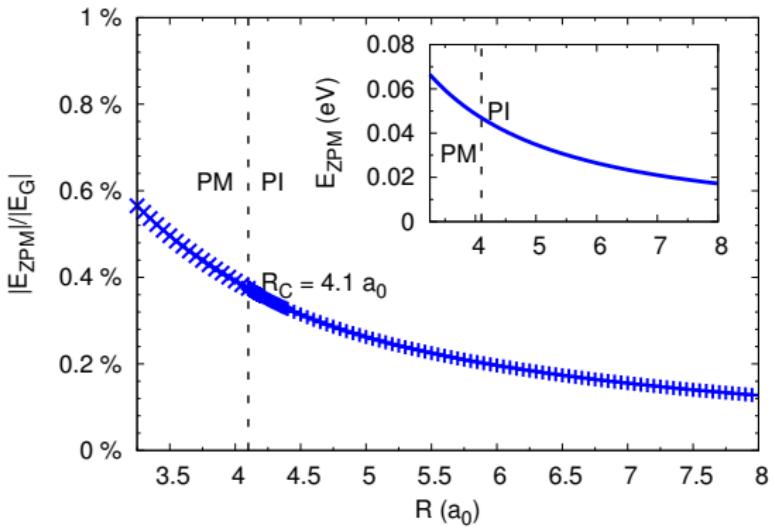
### Remark 1

All the calculations are done in atomic units.

### Remark 2

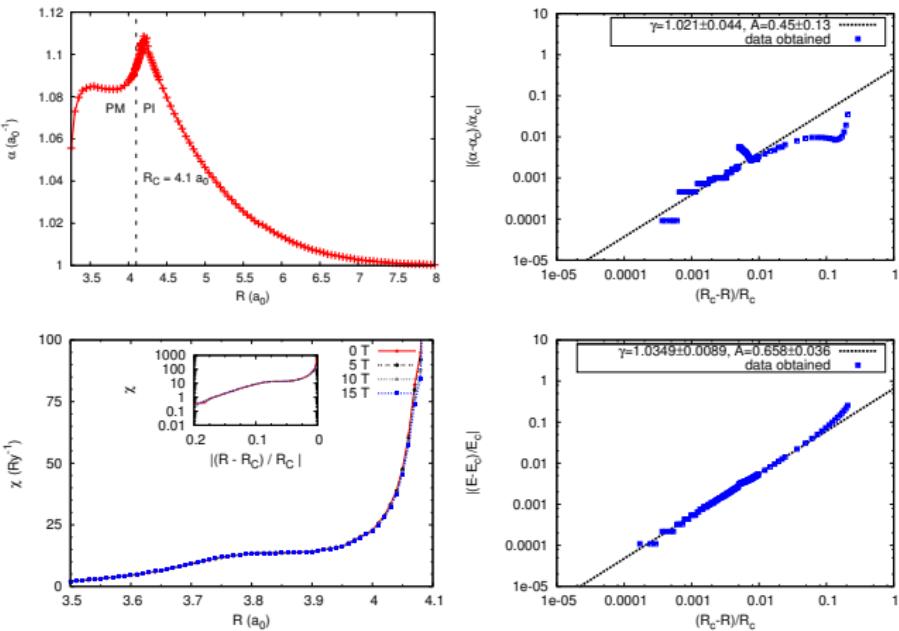
This is only estimation of the magnitude of ZPM.

# Zero-point motion

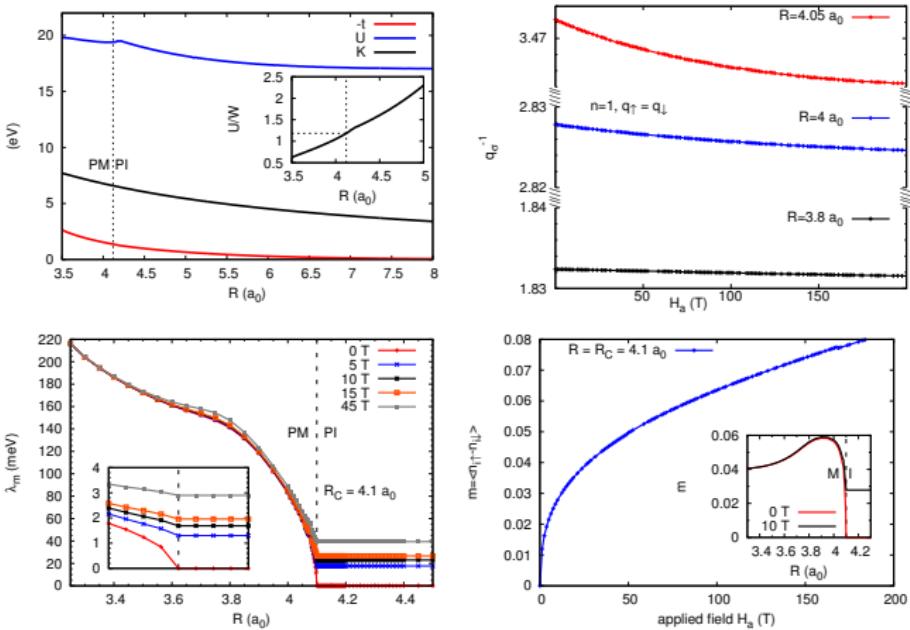


**Figure:** The relative magnitude of the zero-point motion energy with respect to ground state vs. lattice parameter  $R$ .

**Inset:** The explicit value of the energy of zero-point motion vs. lattice parameter  $R$ .

**Figure:**

**left:** reverse wave function size  $\alpha$  (**top**), and magnetic susceptibility (**bottom**) vs. lattice parameter  $R$ ,  
**right:** scaling of reverse wave function size  $\alpha$  (**top**) and energy  $E$  (**bottom**) near critical point ( $\sim A((R_c - R)/R_c)^\gamma$ ).



**Figure: TL:** microscopic parameters vs. lattice parameter  $R$ , **TR:** effective mass enhancement vs. external magnetic field  $H_a$ , **BL:** effective magnetic field  $\lambda_m$  vs. lattice parameter  $R$ , **BR:** magnetization  $m$  vs. external magnetic field  $H_a$

# Mott and Hubbard Criteria

## Mott Criterion

$$n_C^{1/3} a_B \sim 0.2, \quad (10)$$

where  $n_C$  is the particle density and  $a_B$  is the effective Bohr radius.

## Hubbard Criterion

$$\frac{U}{W} = 1, \quad (12)$$

where  $U$  is the on-site electron repulsion and  $W$  is the bandwidth.

## The result

$$n_C = R_C^{-3} \quad a_B = \alpha_C^{-1}$$

$$R_C^{-1} \alpha_C^{-1} \approx 0.22, \quad (11)$$

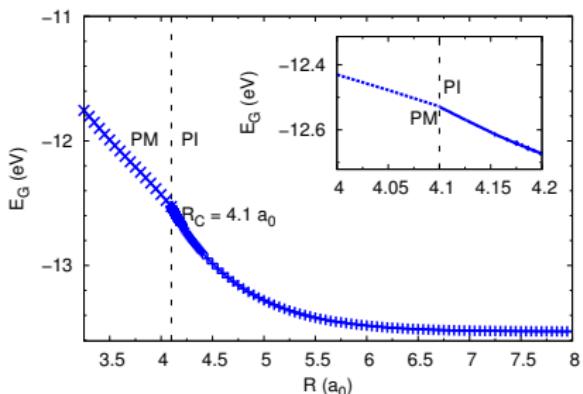
## The result

$$W = 2z|t|,$$

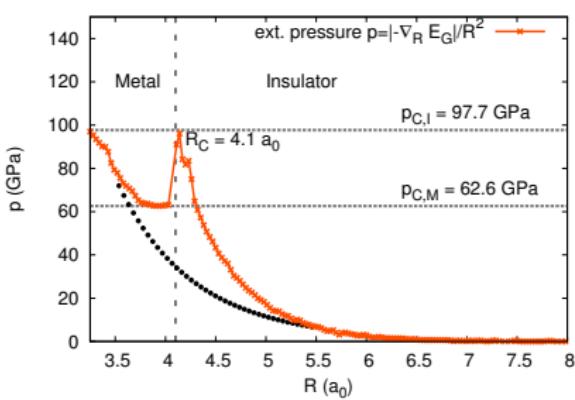
where  $z$  is the number of nearest neighbors ( $z = 6$  for sc).

$$\frac{U_C}{W_C} \approx 1.18, \quad (13)$$





**Figure:** top: Ground energy vs. lattice parameter  $R$ , bottom: crystal stabilizing pressure vs. lattice parameter  $R$  - numerical (orange) and naive fit (black).



Pressure stabilizing hydrogen crystal

$$p_C = 97.7 \text{ GPa}$$

APK, J. Spałek, K. Kurzyk,  
W. Wójcik, Eur. Phys. J. B **86**,  
252 (2013)

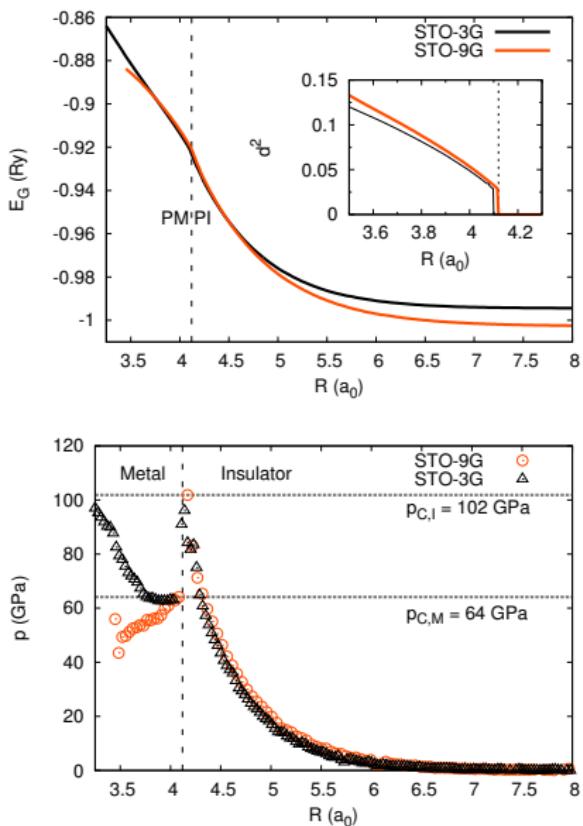


Figure: **top:** Ground energy vs. lattice parameter  $R$  for two different STO- $n$ G basis, **bottom:** crystal stabilizing pressure vs. lattice parameter  $R$  for two different STO- $n$ G basis.

Pressure stabilizing hydrogen crystal

$$p_C = 102 \text{ GPa}$$

# Thank you!



**Table:** Values calculated using SGA method as a function of lattice parameter for SC. Units, if not written explicitly, are set to be *rydbergs* (*Ry*).  $\chi(0)$  for  $R \geq R_c$  is infinite.

$R(a_0)$	$E_G^{SGA}$	$E_G^{GA}$	$t$	$U$	$\alpha^{-1}(a_0)$	$d^2$	$\lambda_m$	$\chi(Ry^{-1})$	$q^{-1}$
3.25	-0.8640	-0.8644	-0.2409	1.4996	0.9474	0.152774	0.015884	0.1809	1.17728
3.50	-0.8814	-0.8816	-0.1773	1.4749	0.9220	0.120128	0.012641	2.0598	1.36818
4.00	-0.9136	-0.9136	-0.1098	1.4152	0.9200	0.048886	0.006084	22.6577	2.82781
4.05	-0.9171	-0.9171	-0.1046	1.4139	0.9175	0.038973	0.004256	47.3562	3.47235
4.09	-0.9200		-0.1005	1.4140	0.9147	0.030193	0.027281	253.7567	4.40375
4.10	-0.9209	-0.9207	-0.0995	1.4143	0.9138	0.000000	0.000000	$\infty$	$\infty$
4.20	-0.9315	-0.9288	-0.0896	1.4217	0.9021	0.000000	0.000000		
4.50	-0.9544	-0.9517	-0.0705	1.3742	0.9263	0.000000	0.000000		
5.00	-0.9760	-0.9732	-0.0471	1.3200	0.9556	0.000000	0.000000		
7.00	-0.9939		-0.0082	1.2504	0.9972	0.000000	0.000000		
$\infty$	-1.0000	-1.0000	0.0000	1.2500	1.0000	0.000000	0.000000		

**Table:**  $B_a$  and  $\Gamma_a^2$  coefficients of the STO- $nG$  basis.

STO-3G		STO-5G		STO-7G		STO-9G		
$B_a$	$\Gamma_a^2$	$B_a$	$\Gamma_a^2$	$B_a$	$\Gamma_a^2$	$B_a$	$\Gamma_a^2$	a
0.7079069	0.4037496	0.4862397	0.3428813	0.3347926	0.3073439	0.2333815	0.2832535	1
0.3460096	0.8919739	0.4687430	0.6489746	0.4947580	0.5341995	0.4735227	0.4656983	2
0.0691531	1.9705714	0.1446282	1.2283203	0.2218991	0.9285009	0.2825582	0.7656564	3
		0.0307340	2.3248533	0.0674427	1.6138428	0.1065788	1.2588187	4
		0.0093803	4.4002717	0.0188009	2.8050467	0.0341750	2.0696289	5
				0.0038829	4.8754978	0.0099417	3.4026852	6
				0.0018480	8.4741829	0.0032307	5.5943683	7
					0.0006094	9.1977233		8
					0.0004466	15.1220138		9

Minimization of free energy functional  $\mathcal{F}$ :

$$\begin{aligned} \mathcal{F}^{(SGA)} = & -\frac{1}{\beta} \sum_{\mathbf{k}\sigma} \log \left( 1 + e^{-\beta E_{\mathbf{k}\sigma}^{(SGA)}} \right) \\ & + \Lambda \left( \lambda_n n + \lambda_m m + U d^2 + \mu n \right), \end{aligned} \quad (14)$$

where the test eigenvalues  $E_{\mathbf{k}\sigma}^{(SGA)}$

$$\begin{aligned} E_{\mathbf{k}\sigma}^{(SGA)} &\equiv q_\sigma \varepsilon_{\mathbf{k}} - \sigma (h + \lambda_m) - (\mu + \lambda_n), \\ q_\sigma &= \frac{\left( \sqrt{(n_\sigma - d^2)(1 - n_\sigma - n_{\bar{\sigma}} + d^2)} + d \sqrt{n_{\bar{\sigma}} - d^2} \right)^2}{n_\sigma (1 - n_\sigma)} \end{aligned} \quad (15)$$