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Chapter 1

Introduction

Unmanned Aerial Vehicles (UAV) are today widely used in ground observation, and by equipping them with different sensors they can be used in different situations. While the use of UAV eases many cases of ground observation, there are some difficulties related to the attitude of the aircraft. When the sensor is attached directly to the aircraft the sensor will be coupled with the UAV's states, so that any change in the UAV states will cause a change in what is actually observed by the sensor. An illustration of this is shown in figure 1.1.

A common solution to decouple the sensor from the UAV states is to attach the sensor to a gimbal which will counteract the movements of the UAV. While this is a good solution for decoupling, it raises some new issues regarding its weight and size. As one of the benefits of UAVs is their small size the gimbal can quickly be too big and heavy for the UAV, and it may make the aerodynamics of the aircraft less effective which usually leads to increased fuel consumption.

This paper will investigate methods to reduce image errors caused by the UAV's attitude, while also avoiding the extra costs associated with a gimbal. This will be accomplished by optimizing a pre-defined curved or piecewise linear path that is to be observed with a model of the UAV. The optimized path will be calculated by an offline intervalwise Model Predictive Control (MPC) algorithm before the flight is initiated. The control method will be developed with a hyperspectral pushbroom camera that is fixed to the UAV in mind.

1.1 Related Work

The most common method to decouple the UAV attitude states from the sensor today is to equip the aircraft with a gimbal, which results in easy UAV operation without losing

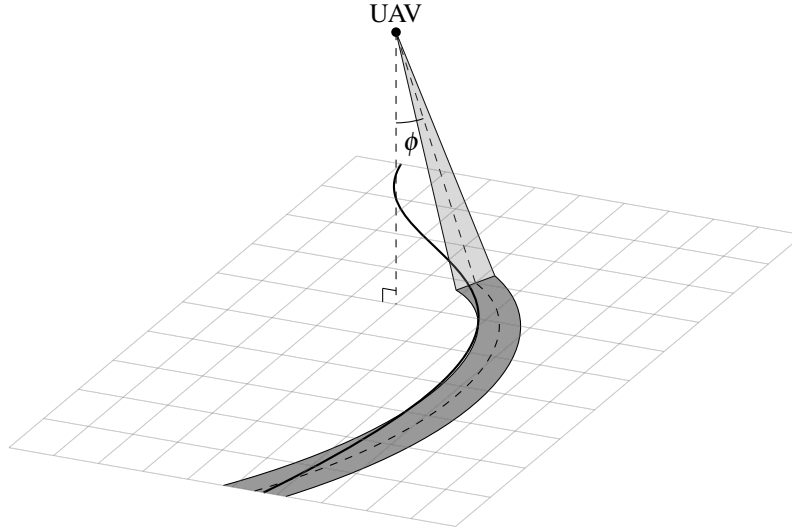


Figure 1.1: An illustration of the issues related to UAV operation with fixed sensors.

track of the features that is to be observed. Since the gimbal angles have limited range features can be lost from the camera field of view (FOV) for some aircraft position and attitude. To overcome this problem trajectories that ensures that the gimbal angles are able to cover the features of interest can be generated [1], or constraints can be put on the roll angle and altitude of the UAV [2].

A simpler solution to avoid lateral movements of the FOV is to change the UAV course by using the rudder instead of the ailerons. The rudder deflection creates a yawing moment which causes the aircraft to change course [3]. This type of controller is referred to both as a Rudder Augmented Trajectory Correction (RATC) controller [3] and a skid-to-turn (STT) controller [4]. Results show that the performance of these controllers are comparable to conventional controllers using roll to change course, and that errors in the images is greatly reduced [3] [4] [5].

While the controllers offer a solution to the control problem that reduces the errors in the images, they do not ensure that the features of interest stay inside the sensors FOV. Path planning with the use of optimization methods is a common method to make an application succeed at a "outside" goal, such as minimizing the risk of being identified by radars [6] or plan a path that ensures that a slung load attached to a helicopter do not collide with obstacles [7]. The use of optimization to minimize the error of the sensor footprint for a fixed camera has been done by Jackson [8] by using on-board motion planning.

Jackson presents a path planner that aims to minimize the error between the target on the ground, and the footprint of a camera fixed to a UAV by using a Nonlinear Model Predictive Controller (NMPC). The NMPC is compared to a PID and a sliding-mode controller that seek to follow the same path. Simulations of the three controllers

show that of the three the PID controller had the biggest crosstrack error. The results of the simulation of the NMPC and the sliding-mode controller showed that the two had comparable performance. The NMPC controller was able to find a near optimal solution with the performance characteristics of a real-time application.

One important point made by Jackson is that perfect tracking of a ground path with a fixed camera is not possible, as the controller that attempts to solve this would be unstable. The reason for this is the dependence the camera position has on the roll angle. When the path turns right and the aircraft rolls right to follow this path, the camera position will move left, away from the path. This only applies to controllers that attempt a perfect tracking of the path, so that a near-perfect tracking is still achievable.

1.2 Hyperspectral Imaging

The control method developed in this paper will be developed with the use of a fixed hyperspectral, pushbroom sensor in mind. A hyperspectral sensor/camera allows for accurate detection of different types of material from the UAV by sensing the wavelength of the received light.

1.2.1 Description

Hyperspectral imaging uses basics from spectroscopy to create images, which means that the basis for the images is the emitted or reflected light from materials [9]. The amount of light that is reflected by a material at different wavelengths is determined by several factors, and this makes it possible to distinguish different materials from each other. The reflected light is passed through a grate or a prism that splits the light into different wavelength bands, so that it can be measured by a spectrometer.

When using a hyperspectral camera for ground observation from a UAV, it is very likely that one pixel of the camera covers more than one type of material on the ground. This means that the observed wavelengths will be influenced by more than one type of material. This is called a composite or mixed spectrum [9], and the spectra of the different materials are combined additively. The combined spectra can be split into the different spectra that it is build up of by noise removal and other statistical methods which will not be covered here.

1.2.2 UAV Ground Observation

Hyperspectral imaging is already being used for ground observation from UAVs. Its ability to distinguish materials based on spectral properties means that it can be used to retrieve information that normal cameras are not able to. For example in agriculture it can be used to map damage to trees caused by bark beetles [10], or it can be used to

measure environmental properties, for example chlorophyll fluorescence, on leaf-level in a citrus orchard [11].

Systems for ground observation with hyperspectral cameras can be very complex, which often leads to heavy systems. In [12], a lightweight hyperspectral mapping system was created for the use with octocopters. The purpose of the system is to map agricultural areas using a spectrometer and a photogrammetric camera, and the final "ready-to-fly" weight of the system is 2.0 kg. The resolution of the final images made it possible to gather information on a single-plant basis, and the georeferencing accuracy was off by only a few pixels.

1.3 Contributions

1.4 Thesis Outline

Chapter 2

Kinematics

What is captured by the camera, the camera footprint, is dependent on the attitude angles of the aircraft when the camera is fixed to the aircraft body. In this section a model for calculating the camera footprint on the ground assuming flat earth will be presented, as well as the necessary UAV states for this thesis.

2.1 Reference Frames

Three different reference frames will be used to describe the kinematics of the UAV: the *body frame*, *North East Down* (NED) frame and *Earth Centered Earth Fixed* (ECEF) frame. The transformations give here can be found in Fossen [13].

The body frame, denoted $\{b\}$, is attached to the UAV and is used to describe the attitude and velocity of the aircraft. The NED frame, denoted $\{n\}$, is used to locally describe the position of the UAV using cartesian coordinates. The position of the camera footprint will be given in the NED frame, based on the attitudes in the body frame.

While the body and NED frame are local frames that are useful to describe the UAVs attitude, speed and position, a different frame is needed to express the location of the UAV in a global perspective. For this the ECEF frame, denoted $\{e\}$, is used. In the ECEF frame position is often represented using cartesian coordinates, but in this case the position will be represented by longitude and latitude.

2.1.1 Transformations

Between NED and Body

The body frame and the NED frame are related by rotation matrices, one for each of the attitude angles. The transformation to the NED frame from the body frame is given by the rotation matrix \mathbf{R}_b^n :

$$\mathbf{R}_b^n(\boldsymbol{\Theta}_{nb}) = \begin{bmatrix} c(\psi)c(\theta) & -s(\psi)c(\phi) + c(\psi)s(\theta)s(\phi) & s(\psi)s(\phi) + c(\psi)c(\phi)s(\theta) \\ s(\psi)c(\theta) & c(\psi)c(\phi) + s(\psi)s(\theta)s(\phi) & -c(\psi)s(\phi) + s(\theta)s(\psi)c(\phi) \\ -s(\theta) & c(\theta)s(\phi) & c(\theta)c(\phi) \end{bmatrix} \quad (2.1)$$

where c and s are the cosine and sine trigonometric functions respectively. The transformation from the NED frame to the body frame can be found by taking the inverse of the transformation matrix $\mathbf{R}(\boldsymbol{\Theta}_{nb})$.

Between NED and ECEF

The transformation between ECEF and NED when the position is given using longitude and latitude coordinates is also given by a rotation matrix $\mathbf{R}_n^e(\boldsymbol{\Theta}_{en})$, however, it is the velocity vectors in each frame that are related by the rotation matrix. The rotation matrix is composed by two rotations about the latitude l and longitude μ :

$$\mathbf{R}_n^e(\boldsymbol{\Theta}_{en}) = \begin{bmatrix} -c(l)s(\mu) & -s(l) & -c(l)c(\mu) \\ -s(l)s(\mu) & c(l) & -s(l)c(\mu) \\ c(\mu) & 0 & -s(\mu) \end{bmatrix}. \quad (2.2)$$

The transformation of the velocity vectors in the ECEF and NED frame can be written as:

$$\dot{\mathbf{p}}_{b/e}^e = \mathbf{R}_n^e(\boldsymbol{\Theta}_{en})\dot{\mathbf{p}}_{b/e}^n. \quad (2.3)$$

Since this transformation represent velocities, a reference position must be known when transforming positions. Since NED is a local frame, the position in NED \mathbf{p}^n will be given as a displacement from the reference ECEF position \mathbf{p}_0^e . The relation between position in NED and ECEF can therefore be written as [14]:

$$\mathbf{p}^e - \mathbf{p}_0^e = \mathbf{R}_n^e(\boldsymbol{\Theta}_{en})\mathbf{p}^n. \quad (2.4)$$

2.2 UAV Model

In this thesis the linearized UAV model presented by Beard & McLain [15] will be used as the prediction model for the path planner that is presented in chapter 3. In this section the UAV states described by this model will be presented, as well as the linearization method.

2.2.1 UAV States

The position of the UAV will be given using the North East Down (NED) coordinate frame denoted $\{n\}$:

$$\mathbf{p}_{b/n}^n = \begin{bmatrix} N \\ E \\ D \end{bmatrix} = \begin{bmatrix} x_n \\ y_n \\ z_n \end{bmatrix}, \quad (2.5)$$

while the global location will be given using the Earth Center Earth Fixed (ECEF) frame, denoted $\{e\}$, represented by longitude and latitude:

$$\Theta_{en} = \begin{bmatrix} l \\ \mu \end{bmatrix}. \quad (2.6)$$

Following the notation used in [15], the velocities of the UAV will be given in the body frame denoted $\{b\}$:

$$\mathbf{V}_g^b = \begin{bmatrix} u \\ v \\ w \end{bmatrix}. \quad (2.7)$$

The attitude Θ_{nb} of the UAV will be given as Euler-angles, with the corresponding angular velocities $\dot{\Theta}_{nb}$:

$$\Theta_{nb} = \begin{bmatrix} \phi \\ \theta \\ \psi \end{bmatrix}, \quad \dot{\Theta}_{nb} = \begin{bmatrix} p \\ q \\ r \end{bmatrix}. \quad (2.8)$$

The UAV model has four control inputs, namely the elevator, aileron, rudder, and throttle:

$$\mathbf{u} = [\delta_e \quad \delta_a \quad \delta_r \quad \delta_t]^\top. \quad (2.9)$$

2.2.2 Linearizing the Model

In order to ease computational load the *linear decoupled model* of the UAV presented by Beard & McLain [15] will be used in this thesis. While a linear model is not able to fully describe the motions of an aircraft, it is valid around the *trimmed state* of the aircraft. An aircraft in its trimmed state will be able to maintain a straight level flight without any change in the control input, and since the UAV in this thesis is not expected to perform any high-angle maneuvers the linear model will be valid. An aircraft in the trimmed state satisfies the following equation:

$$\dot{\mathbf{x}} = f(\mathbf{x}^*, \mathbf{u}^*) = 0. \quad (2.10)$$

Linearization is performed by adding perturbations to the trimmed state solution, and the linearized states $\bar{\mathbf{x}}$ represent the perturbations away from the trimmed state [16]. The linearized state is defined as $\bar{\mathbf{x}} = \mathbf{x} - \mathbf{x}^*$, where \mathbf{x}^* is the trimmed state.

The states of an aircraft are highly *coupled*, meaning that they affect each other. This greatly increases the complexity of finding an optimal solution of the model since a change in one variable has effect on more than one state. For this reason the model is also decoupled into lateral and longitudinal models, where the states in one of the models does not affect the states in the other model. The lateral and longitudinal states are given as:

$$\begin{aligned} \dot{\mathbf{x}}_{lat} &= [v \ p \ r \ \phi \ \psi]^\top, \mathbf{u}_{lat} = [\delta_a \ \delta_r]^\top \\ \dot{\mathbf{x}}_{lon} &= [u \ w \ q \ \theta \ h]^\top, \mathbf{u}_{lon} = [\delta_e \ \delta_t]^\top. \end{aligned} \quad (2.11)$$

2.3 Camera Footprint

The camera footprint is coupled with the three attitude angles given in Θ_{nb} . The position of the camera footprint will be calculated using forward kinematics and an illustration of how the roll ϕ and pitch θ affects the camera position is shown in figure 2.1.

2.3.1 Centre Position

The attitude of the UAV is given in the body frame $\{b\}$ and the height z_n is given in the NED frame $\{n\}$, and the model assumes flat earth. The position of the footprint centre point \mathbf{c}_b^b in the body frame $\{b\}$ can be expressed as the distance from the center of the body frame, the UAV, caused by the angles ϕ and θ :

$$\mathbf{c}_b^b = \begin{bmatrix} c_{x/b}^b \\ c_{y/b}^b \end{bmatrix} = \begin{bmatrix} z_n \tan(\theta) \\ -z_n \tan(\phi) \end{bmatrix}. \quad (2.12)$$

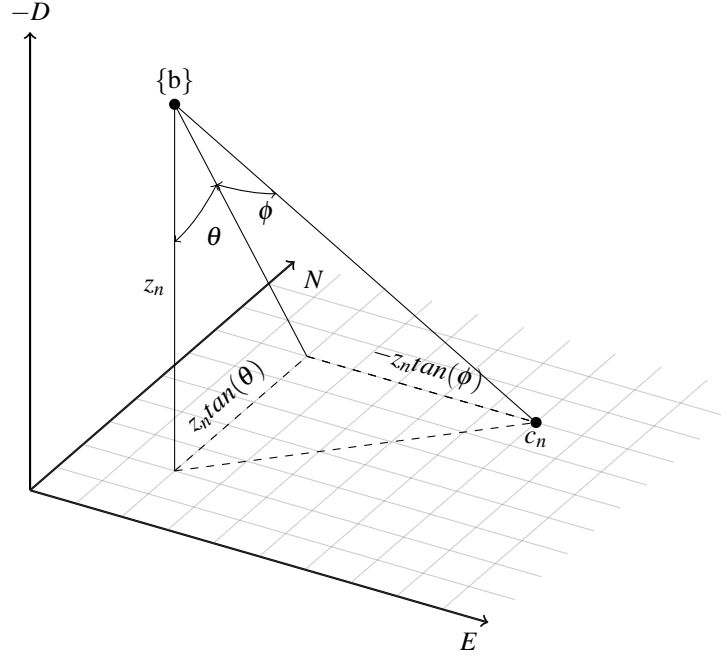


Figure 2.1: Illustration of how the aircraft attitude influence the camera position.

The coordinates of the camera position in $\{n\}$ can be found by rotating the point \mathbf{c}_b^b with respect to the aircraft heading ψ , and by translating the rotated point to the aircrafts position in the $\{n\}$ frame. The rotation matrix for rotating with respect to the heading is given as

$$\mathbf{R}_{z,\psi} = \begin{bmatrix} \cos(\psi) & -\sin(\psi) \\ \sin(\psi) & \cos(\psi) \end{bmatrix}. \quad (2.13)$$

The final expression for the camera footprint centre position \mathbf{c}^n in the $\{n\}$ frame then becomes:

$$\begin{aligned} \mathbf{c}^n &= \mathbf{p}^n + \mathbf{R}_{z,\psi} \mathbf{c}_b^b \\ &= \begin{bmatrix} x_n \\ y_n \end{bmatrix} + \mathbf{R}_{z,\psi} \begin{bmatrix} x_{x/b}^b \\ c_{y/b}^b \end{bmatrix} \end{aligned} \quad (2.14)$$

2.3.2 Edge Points

A hyperspectral pushbroom sensor captures images in a line, and the centre point of the camera footprint does not express the entire area that is captured by the sensor. The

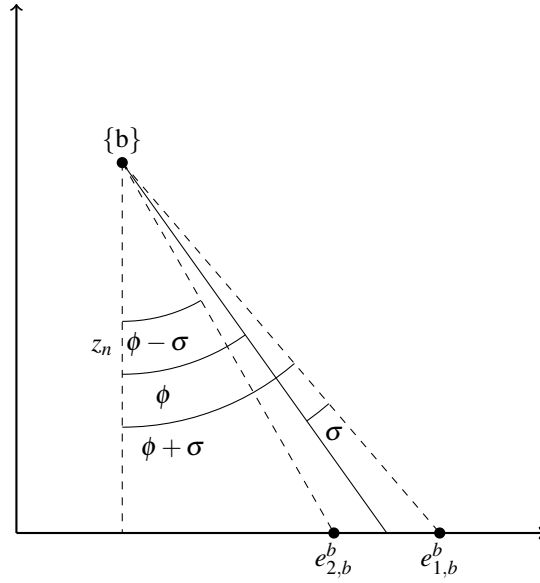


Figure 2.2: Illustration of how the field of view for a pushbroom sensor is calculated.

edge points of the camera footprint are calculated with respect to the sensor's field of view, as shown in figure 2.2. These points \mathbf{e} can be found by altering 2.12:

$$\mathbf{e}_{1,b}^b = \begin{bmatrix} z_n \tan(\theta) \\ -z_n \tan(\phi + \sigma) \end{bmatrix}, \quad \mathbf{e}_{2,b}^b = \begin{bmatrix} z_n \tan(\theta) \\ -z_n \tan(\phi - \sigma) \end{bmatrix}. \quad (2.15)$$

The final expression for the cameras edge points then becomes:

$$\mathbf{e}_i^n = \mathbf{p} + \mathbf{R}_{z,\psi} \mathbf{e}_{i,b}^b. \quad (2.16)$$

Chapter 3

Model Predictive Control

Model Predictive Control (MPC) is a term used to describe control methods that uses knowledge about the process to calculate the future control inputs to the system in order to follow a reference trajectory [17]. In this chapter the equations for an *offline intervalwise MPC* that seeks to minimize the distance between the camera centre point and the ground path that is to be observed will be given. A linear state space-model for the UAV will be used to predict the future states and control inputs.

3.1 MPC Method

The MPC strategy can be broken down into three tasks [17]:

1. Predict the future outputs of the process for the given prediction horizon using past inputs to the process and the past measured states of the process, and by using the future control signals.
2. Optimize an objective function in order to determine the future control signals that follows a given reference trajectory as closely as possible.
3. Apply the optimal control signals to the process, and measure the resulting output so that it may be used to calculate the next prediction horizon in the first task.

In short MPC problems are made up of three elements [17]: Prediction model, objective function and the control law. The prediction model represents the model of the process that is to be controlled, and will in this case consist of the differential equations for the states of the UAV. The objective function is the function that is to be minimized by the optimization algorithm, in this case this will be the distance from the camera centre point to the desired ground path together with some of the UAV states that will give a stable flight. The objective function represents the difference between reference

trajectory that the UAV is to follow and the current states of the UAV. The control law introduces constraints on the problem, reducing the number of feasible solutions. These constraints can be put on either the states or the control inputs for the UAV.

A common mathematical formulation of the three elements that make up the optimization problem is shown in 3.1 [18]. $f(x)$ represents the objective function that is subject to equality and inequality constraints respectively. The equality constraints are used to represent the UAV model, while the inequality constraints represent the constraints used for the control law. A MPC differ from other optimization problems mostly in the objective function, which will be described in detail chapter 3.3.

$$\begin{aligned} \min_{x \in R^n} \quad & f(x) \\ \text{s.t} \quad & c_i(x) = 0, i \in \mathcal{E}, \\ & c_i(x) \geq 0, i \in \mathcal{I}. \end{aligned} \tag{3.1}$$

3.2 Offline Intervalwise MPC

The control problem in this thesis will be solved by using an offline intervalwise MPC to generate an optimal path that will reduce the image error when using a fixed camera to survey a ground track. The generated path is intended to be tracked by the autopilot on the actual UAV that will perform the survey, with the intention of optimally surveying the ground path.

3.2.1 Offline MPC

An *offline MPC* means that the initial state of the MPC is not a measurement of the UAV states, but rather the result of a simulation of the UAV. This means that the result from the prediction model used in the MPC will act as the physical system, and the outputs of the model will be fed back as inputs to the MPC for every iteration. The equations of the offline MPC are the same as the ones for the online version.

Rawlings & Mayne [19] refers to this kind of problem as a *deterministic problem* since there is no uncertainty in the system. A feedback loop in this kind of system is also not needed in principle, since it does not present any new information. They also state that an MPC action for a deterministic system is the same as the action from a *receding horizon control law* (RHC), which is another kind of predictive control.

3.2.2 Intervalwise MPC

Although the feedback is not needed to give new information, it eases the computational load of the control problem as optimizing the path over a long time horizon leads to a very complicated problem. For this reason a *intervalwise MPC* will be used. The term

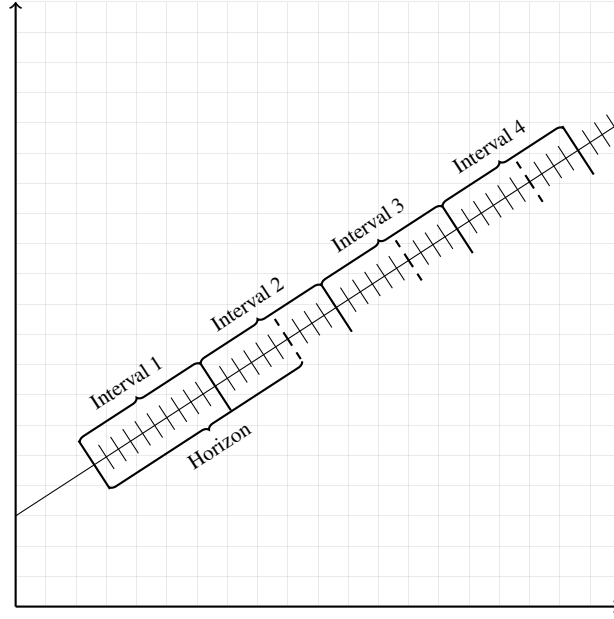


Figure 3.1: How intervals and horizons relate in an Intervalwise MPC.

intervalwise has been introduced by Kwon & Han [20] to describe a type of receding horizon controller that implements the same strategy.

Commonly a MPC is used to optimize the model over a given *horizon*, where the initial states are given. After the optimization has finished the first timestep of the optimization is returned and applied to the system, before a measurement of the system is performed. The new measurements are given as initial states for the next horizon, and so on.

The principle is the same for an intervalwise MPC. However, instead of only returning the first timestep an *interval* of timesteps are returned, and the last timestep of the interval is used as initial states for the next optimization horizon. This way the number of MPC iterations is reduced, and the increased complexity by having long optimization horizons is avoided. Figure 3.1 shows how timesteps, intervals and horizons relate to each other. Since the MPC developed here is an offline MPC, the timesteps of each interval is stored as the result.

3.3 Objective Function

The main objective of the MPC developed in this thesis is to minimize the cross track error between the centre point of the camera footprint and the ground path that is to be observed. This, together with other objectives, will be defined in the objective function

of the optimization problem. In this section a way of formulating the objective function, least-squares, will be described, and how it can be expressed to function as a MPC.

3.3.1 Least-Squares Problem

In many applications the objective function is formulated as a least-square (LSQ) problem. LSQ is a form of regression where the distance between a measurement and a known model is computed. In this case the known model is the reference signals, and the distance between the current states and the reference signal is calculated as a LSQ problem. The general mathematical formulation for LSQ is [18]:

$$f(x) = \frac{1}{2} \sum_{j=1}^m r_j^2(x) = \frac{1}{2} \sum_{j=1}^m |\phi(x, t_j) - y_j|. \quad (3.2)$$

In equation 3.2 r_j is called the residual function, which represents the distance between the measurement y_j taken at time t_j , and the model ϕ . In the optimization problem the residual function is what the algorithm seeks to minimize by selecting the parameters x that gives the lowest possible value of the residual function r_j .

In order to have a reference model that the measurements can be compared to the desired values will be associated with timepoints. This means that the optimization algorithm will at given timepoints compare the current values of x to the value of the reference model at the same time. A visual representation of this is shown in figure 3.2.

3.3.2 MPC Objective Function

The objective function is where the goal of the optimization is expressed, together with the optimization horizon of the problem. Typical goals of the optimization is to follow a predefined trajectory or reference signal while reducing the control inputs used. This can be expressed as follows [17]:

$$J(N_1, N_2, N_3) = \sum_{j=N_1}^{N_2} \delta(j) [\hat{y}(t+j|t) - w(t+j)]^2 + \sum_{j=1}^{N_u} \lambda(j) [\Delta u(t+j-1)]^2. \quad (3.3)$$

The first term of equation 3.3 represents the costs from the states of the model, and the second term represents the cost of the control effort. In the first term \hat{y} is the value of the prediction model, which is compared to the desired trajectory w . In the second term the changes in control Δu is expressed. The change in control is used instead of the value of the control signal itself, since the steady state of the control signal may not be zero. δ and λ are weighting variables which offers a way of tuning the MPC. The three different N coefficients defines the horizon over which the states and the control

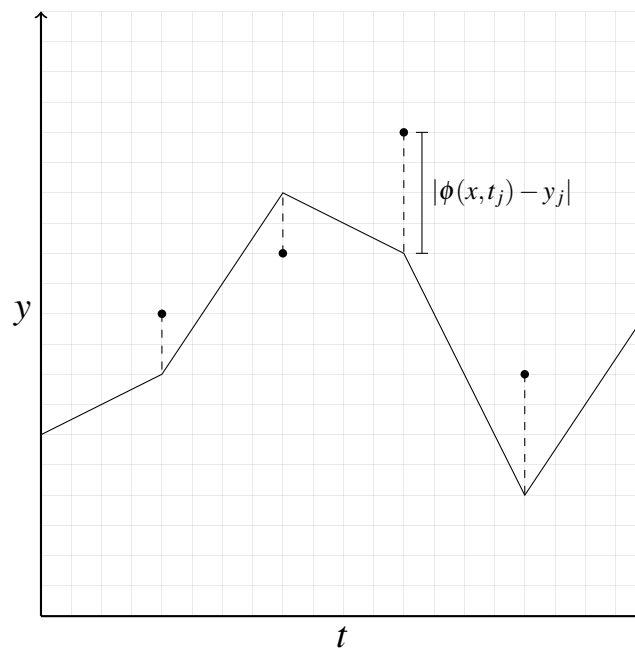


Figure 3.2: The distance between the measurements, represented by dots, and the model, represented by a line.

effort should be optimized. The optimization horizon for states and control effort can be different, but they will stay the same for this problem.

3.4 Problem Definition

$$\begin{aligned}
\min_{\mathbf{x}, \mathbf{u}} \quad & \mathbf{J}_{i+k} = \frac{1}{2} \sum_{j=i}^{j+L} [(\mathbf{y}(\mathbf{x}_j) - \mathbf{y}_{d,j})^\top \mathbf{Q}(\mathbf{y}(\mathbf{x}_j) - \mathbf{y}_{d,j}) + (\Delta \mathbf{u}_j)^\top \mathbf{R}(\Delta \mathbf{u}_j)] \\
\text{s.t.} \quad & \mathbf{x}^{low} \leq \mathbf{x}_j \leq \mathbf{x}^{high} \\
& \mathbf{u}^{low} \leq \mathbf{u}_j \leq \mathbf{u}^{high} \\
& \Delta \mathbf{u}^{low} \leq \Delta \mathbf{u}_j \leq \Delta \mathbf{u}^{high} \\
& \dot{\mathbf{x}}_{j+1} = f(\mathbf{x}_j, \mathbf{u}_j)
\end{aligned} \tag{3.4}$$

The equations for the full optimization problem is shown in equation 3.4. The objective function uses the same setup as shown in equation 3.3, but on matrix form. Each of the three components of the problem definition will be described in detail in the following sections.

The objective function \mathbf{J} will be minimized over the entire optimization horizon, which consists of L timesteps. The current timestep is denoted i . Since this is an intervalwise MPC, as described in section 3.2, all states within in the interval, denoted k , will be stored. The number of intervals for the entire optimization problem is denoted n , which means that for the entire problem there will be a total of nk timesteps to store.

3.4.1 Prediction Model

The linear decoupled UAV model presented in chapter 2 will be used as the prediction model for the MPC. The model is associated with the following states and control inputs:

$$\mathbf{x} = [p_N \ p_E \ h \ u \ v \ w \ \phi \ \theta \ \psi \ p \ q \ r]^\top \tag{3.5a}$$

$$\mathbf{u} = [\delta_e \ \delta_a \ \delta_r \ \delta_t]^\top. \tag{3.5b}$$

The prediction model relates to the equality constraints of equation 3.1 in the form of differential equations. As explained in the previous chapter the control rates $\Delta \mathbf{u}$ shown in equation 3.10, which means that by the optimization solver $\Delta \mathbf{u}$ will be handled as the control input. The control surfaces \mathbf{u} are calculated from the rates $\Delta \mathbf{u}$ through integration:

$$\dot{\mathbf{u}} = \Delta \mathbf{u}. \tag{3.6}$$

As shown in equation 3.5a, the attitude angles of the UAV will be given by the Euler angles ϕ , θ and ψ . Even though quaternions offer more efficient computations and do not suffer from gimbal lock [15], this optimization will be run offline before the flight

takes place so that computation capacity is not a big issue. In addition the UAV will not by performing any high-angle maneuvers so that a gimbal lock should never occur.

3.4.2 Objective Function

$$\mathbf{J}_{i+k} = \frac{1}{2} \sum_{j=i}^{i+L} [(\mathbf{y}(\mathbf{x}_j) - \mathbf{y}_{d,j})^\top \mathbf{Q}(\mathbf{y}(\mathbf{x}_j) - \mathbf{y}_{d,j}) + (\Delta \mathbf{u}_j)^\top \mathbf{R}(\Delta \mathbf{u}_j)] \quad (3.7)$$

The first term of the objective function calculates the distance between the UAV states and the reference trajectory. The vector \mathbf{y}_d is the *measurement vector* which is the references for the states:

$$\mathbf{y}_d = [c_{xd} \ c_{yd} \ h_d \ u_d]^\top. \quad (3.8)$$

The function $\mathbf{y}(\mathbf{x})$ holds the current values for the optimization problem. While the height h and velocity u can be used as-is, the camera centre point \mathbf{c}^n needs to be calculated using equation 2.14:

$$\mathbf{y}(\mathbf{x}) = \begin{bmatrix} p_N + h \cos(\psi) \tan(\theta) - h \sin(\psi) \tan(\phi) \\ p_E + h \sin(\psi) \tan(\theta) + h \cos(\psi) \tan(\phi) \\ h \\ u \end{bmatrix}. \quad (3.9)$$

In order to reduce the control effort for the optimization problem the rate of change of the control inputs $\Delta \mathbf{u}$ will be minimized. Since all the control rates is to be compared to zero no function is needed. The vector u contains of the four control rates:

$$\Delta \mathbf{u} = [\Delta \delta_e \ \Delta \delta_a \ \Delta \delta_r \ \Delta \delta_l]^\top. \quad (3.10)$$

The matrices \mathbf{Q} and \mathbf{R} are the weighting matrices. They are diagonal matrices where each row represent one state or control rate. The higher the value in the row, the more value is given to the difference between the corresponding state or control rate and reference.

3.4.3 Control Law

$$\mathbf{x}^{\text{low}} \leq \mathbf{x} \leq \mathbf{x}^{\text{high}} \quad (3.11a)$$

$$\mathbf{u}^{\text{low}} \leq \mathbf{u} \leq \mathbf{u}^{\text{high}} \quad (3.11b)$$

$$\Delta \mathbf{u}^{\text{low}} \leq \Delta \mathbf{u} \leq \Delta \mathbf{u}^{\text{high}} \quad (3.11c)$$

The control law for the optimization problem consists of inequality constraints put on the UAV states and on the control signals. When constraints are put on the optimization

problem the number of feasible solutions is reduced, so if too many constraints are put on the problem it will make the problem more difficult than necessary.

In an attempt to reduce the complexity of the optimization problem, the constraints put on UAV states shown in equation 3.11a will not be included to begin with. This is because it is assumed that the "cheapest" way to fly the aircraft is the "correct" way. However, if this proves wrong during testing, constraints on some or all of the states may be included.

The control states, shown in equation 3.11b, are restricted by the physical maximum value of deflection. Since these constraints directly relates to physical values they are needed in order to get a meaningful optimization of the aircraft.

The constraints put on the control rates of the control surfaces and throttle shown in equation 3.11c also relate to a physical value, and are therefore needed to get a meaningful simulation as well. It is worth noting that $\Delta \mathbf{u}$ is also present in the objective function shown in equation 3.7, with a reference signal of zero. This means that the problem already seeks to minimize the values of $\Delta \mathbf{u}$ so that the constraints may be unnecessary.

Chapter 4

MPC Implementation

The offline intervalwise MPC presented in chapter 3 will be implemented using C++ and the ACADO Toolkit [21]. The implementation consists of two main parts: the MPC algorithm that prepares the optimization problem, and the optimization solver that will use the ACADO Toolkit to solve the optimization problem.

This chapter will describe the ACADO Toolkit, how to use it and how it works; as well as the MPC algorithm. An overview of what information the modules share is shown in figure 4.1.

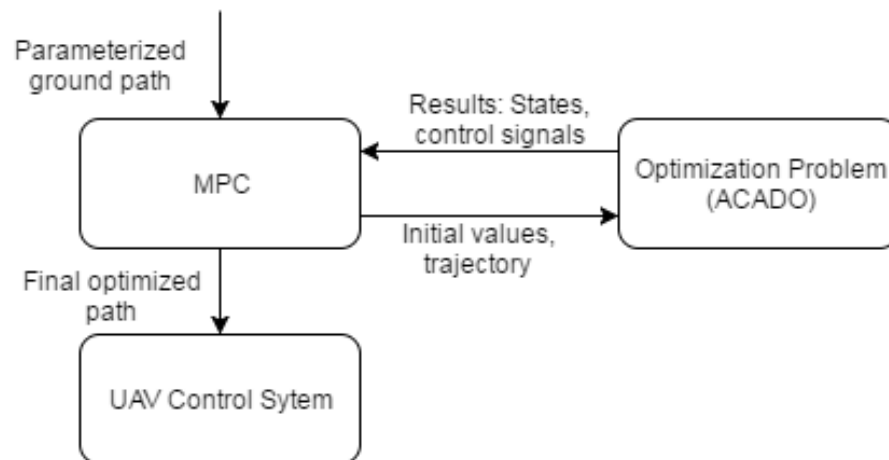


Figure 4.1: An overview of what information the modules share.

4.1 ACADO toolkit

The ACADO Toolkit [21] is an open-source toolkit that supports several different methods for solving optimization problems. The toolkit provides methods to solve four different classes of optimization problem: Optimal control problems, multi-objective optimization and optimal control problems, parameter and state estimation problems, and model predictive control.

Even though the toolkit will be used to create an MPC in this case, the optimal control problems (OCP) class will be used to solve the optimization problem. The reason for this is that between each iteration of the MPC algorithm a new trajectory must be generated, and the MPC problem class does not have the functionality needed to do this. How the trajectory is calculated and how it is passed to the toolkit will be explained later in this chapter.

4.1.1 Discretization (Workin' Title)

The model and optimization problem will be written on continuous time form, which means that it has to be discretized in order to be solved. The method used to discretize the problem plays a big role in how the problem is solved, and in this thesis the built-in ACADO function for the *direct multiple-shooting* method will be used. Direct discretization methods can be explained as "first discretize, then optimize", which allows for easier treatment of inequality constraints [22]. One of the major benefits by using the direct multiple-shooting method is that the method is initialized with the result from the previous iteration [23].

In short the direct multiple-shooting method starts by computing a discretized control trajectory for a finite time interval. Independently, the Ordinary Differential Equations (ODE) of the optimization problem is solved one time for every timestep of the discretized control trajectory. Simultaneously as these solutions are computed an integral of a cost function is computed, which is the reason why the direct multiple-shooting method is also called a *simultaneous* method.

$$\begin{aligned}
 \min_{\mathbf{s}, \mathbf{q}} \quad & \sum_{i=0}^{N-1} F_i(\mathbf{s}_i, \mathbf{q}_i) + E(\mathbf{s}_N) \\
 \text{s.t.} \quad & \mathbf{x}_0 - \mathbf{s}_0 = 0 \\
 & \mathbf{x}_i(t_{i+1}; \mathbf{s}_i, \mathbf{q}_i) - \mathbf{s}_{i+1} = 0, \quad i = 0, \dots, N-1 \\
 & h(\mathbf{s}_i, \mathbf{q}_i) \leq 0, \quad i = 0, \dots, N
 \end{aligned} \tag{4.1}$$

The direct multiple-shooting method can be described by the nonlinear program (NLP) shown in equation 4.1 [23]. In the equation the objective function F is the result of integrating the cost function, and \mathbf{s} and \mathbf{q} are the optimization variables for the states and controls respectively. \mathbf{s} and \mathbf{q} are introduced in order to ensure that the solution for the time interval is tied to the initial values. E is the end term of the objective function.

4.1.2 Runge-Kutta Method

The Runge-Kutta method is a form of *numerical integrator* that can be used to solve differential equations, and is used by the ACADO toolkit to integrate the prediction model. The method is based on the Euler method, which is a very simple method for numerical integration.

The ACADO toolkit provides algorithms for *explicit* Runge-Kutta methods [21], where explicit means that the method calculates the state of the system at a later time based on the current state. The Runge-Kutta method calculates the later state of the system by calculating several approximations of the derivative of the system. The current state of the system, together with a linear combination of the approximated derivatives, gives the next state of the system [16]. For a system on the form $\dot{\mathbf{y}} = \mathbf{f}(\mathbf{y}, t)$, the Runge-Kutta method can be mathematically expressed as:

$$\mathbf{k}_i = \mathbf{f}(\mathbf{y}_n + h \sum_{j=1}^{i-1} a_{ij} \mathbf{k}_j, t_n + c_i h), i = 1, \dots, \sigma \quad (4.2a)$$

$$\mathbf{y}_{n+1} = \mathbf{y}_n + h \sum_{j=1}^{\sigma} b_j \mathbf{k}_j \quad (4.2b)$$

where t_n is the current time, \mathbf{y}_n is the output of the system at the current time, h is the step size and σ is the number of approximations of the derivative that is calculated. a , b and c are parameters for the specific Runge-Kutta method used, and c must satisfy $0 < c < 1$.

4.2 Implementing the Optimization Problem

Since ACADO offers a symbolic way of implementing the optimization problem the equations from chapter 3 can be implemented as they stand. The 12 UAV states \mathbf{x} are implemented as differential states, as well as the four control surfaces \mathbf{u} . The control rate $\dot{\mathbf{u}}$ is defined as the control states of the problem, and are linked to the control states through equation 3.6. The model of the UAV is implemented using the differential equations for each state.

ACADO offers a symbolic way of defining the objective function as an LSQ as well. By defining what states are included in the LSQ and their weights, ACADO automatically minimizes the objective function. The reference model can either be a constant value or a timevarying variable. When a path is to be tracked the path needs to be given with related timepoints.

Lastly, the constraints are also implemented using the symbolic syntax, by simply assigning max-min values for the variables that are subject to constraints. The initial value of the states is also set using the same syntax.

4.2.1 Nonlinear Prediction Model

Initially, effort was made to implement the nonlinear model presented by Beard & McLain [15] as the prediction model in the optimization problem. This would have given more precise results as the nonlinear model is a closer representation of the real UAV. Since the nonlinear model also includes the effect wind has on the aircraft, the path could be optimized with the knowledge about the wind conditions as well. The level of calculation needed for the nonlinear model is significantly higher; however, since this implementation is intended to run offline before the flight occurs, computation time is not a critical concern.

Achieving stable flight within in the optimization problem with the nonlinear model on the other hand, turned out to be a difficult task that was far from trivial. This is somewhat due to the nonlinearity, but also to the high coupling between states in the model. The coupling causes changes in one state to affect many other states, which results in a much more complex problem. Several different algorithm and solver settings in ACADO was tested, as well as different objective functions and weighting of these functions. After many attempts the decision to use the linear model instead was made, largely due to this being a project with limited time available.

4.2.2 Nonlinearity

The ACADO toolkit is written for nonlinear optimization problems, and using it together with a linear optimization will give a correct solution, but the computation time for a linear problem will be longer than it needs to be because of extra overhead related to nonlinear algorithms [Cite sourceforge?].

For this reason, using it with a linear prediction model may seem odd. However, the cost function used in this problem is not linear. This is because of both the calculations for the position \mathbf{p}_N and \mathbf{p}_E is represented by nonlinear equations, and the equations to calculate the camera footprint are nonlinear. If the timing demands for this optimization problem was more important, a solution may be to linearize the position equations as well as the equations used to calculate the camera footprint, and then implement the optimization problem using a toolkit that is made for linear problems.

4.3 MPC

The task of the MPC module is to supply the ACADO implementation with the information needed to perform the optimization, and also control the optimization algorithm so that the correct horizon is calculated, as well as storing the results in the correct order. The pseudocode for the MPC implementation is shown in algorithm 1 and 2.

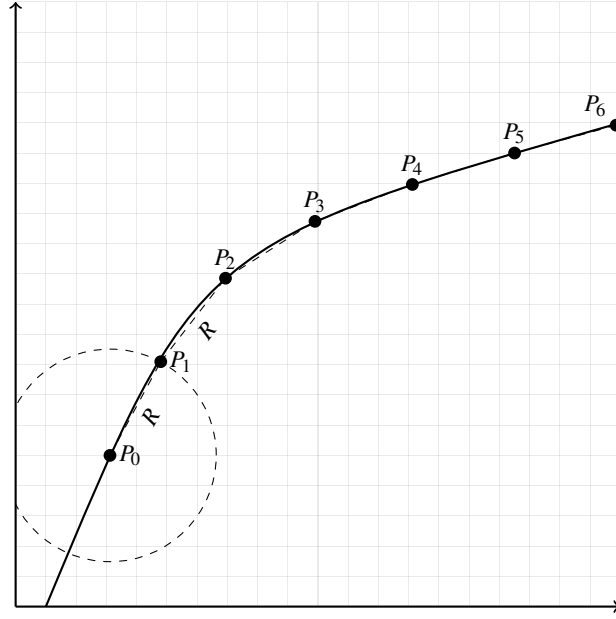


Figure 4.2: Calculating trajectory based on constant speed.

4.3.1 Generating the Trajectory

The ground path that is to be observed is assumed to be *time independent*, meaning that it does not matter when a section of the path is captured by the camera. However, the function that minimizes a least-squares objective function that is provided with the ACADO toolkit requires that the path is given as values with associated time points.

In order to meet this requirement a time dependent path will be generated at the beginning of every iteration of the MPC. This will be done by making the assumption that the UAV will maintain its reference speed throughout the horizon. With this assumption the distance the UAV will travel between every timestep can be calculated, and based on this distance the desired position for the UAV at the next timestep can be found. Since the horizon is in the order of seconds and the predicted path is updated every iteration, this assumption will not lead to big errors. The principle behind the calculation is shown in figure 4.2.

Chapter 5

Simulation Environment

5.1 Software In the Loop Testing

To test if the optimized path gives an improvement in ground observation, and if it is able to keep the observation path within the camera footprint at all times, software in the loop (SITL) testing will be performed. For this the applications Dune, ArduPilot and Neptus will be used. How they interact is shown in figure 5.1, and a short explanation of the three will be given in the following sections.



Figure 5.1: An overview of what information the modules share.

5.1.1 DUNE

DUNE Unified Navigation Environment is a part of the LSTS toolchain (Laboratório de Sistemas e Tecnologia Subaquática) which aims to provide a control architecture that will ease the control of unmanned air, ground surface and underwater vehicles [24]. DUNE is the software intended to run on board the unmanned vehicles, and provides sensor drivers, and navigation and control functionality. In this thesis DUNE will be used to turn the flight path into waypoints that can be sent to the autopilot.

The functionality needed to track the path is made as a *task* using the API provided by DUNE. The task receives information about the UAV states from the vehicle, and uses this information to provide meaningful input to the autopilot depending on the stage

of the operation. When generating waypoints from the path the task uses the principle of *Line-of-Sight* (LOS) guidance to find waypoints a given distance away from the vehicle.

5.1.2 ArduPilot

ArduPilot is an open-source autopilot, which supports several types of vehicles [25]. It provides functionality for SITL simulation by interfacing the flight dynamics model provided by JSBSim [26]. ArduPilot communicates with DUNE to receive control commands and send information about the UAV states.

5.1.3 Neptus

Neptus is also a part of the LSTS toolchain, and is used to execute the simulations, and generate logs after they are finished [27]. It provides a map interface to observe the simulations in real-time, and also displays information about the aircraft. It communicates with DUNE through using IMC messages based on a control message set defined by the LSTS toolchain.

5.2 Finding Trim Conditions

The trim conditions, as described in section 2.2, play an important role when using a linear model as this model is linearized about these points. Since the trim conditions also represent a straight level flight they are good initial states and controls for the simulation and are feasible points optimization. In order to find the trim conditions a built-in Matlab function together with a Simulink model will be used and the procedure that is used, which will be summarized in this section, is described by Beard & McLain [15].

Matlab features a built-in function `trim` that calculates the trim conditions of a given Simulink model. The Simulink model must be set up with the four control signals as inputs, and the output of the model is the airspeed V_a , the angle of attack α and the sideslip β . The output states are chosen as they easily express a trimmed stable flight. The airspeed is set to the desired cruise speed, while the angle of attack and sideslip is set to zero for a straight level flight. The function uses initial guesses of the states and inputs, also the derivatives, and what the desired output is. The Simulink model used for this thesis was developed by Gryte for his master thesis [28].

5.3 Generating the Path

The MPC application expects a parameterized path that can be either linear or curved. The linear paths will be generated using parameterization, and the curved paths will be generated as Dubins paths. This will be done using Matlab, and the generated paths will be stored in a textfile containing only the points on the path.

One important matter when generating the paths is to ensure that the resolution of the path is high enough. How high the resolution needs to be depends on the speed of aircraft and the length of the timestep, and how little uncertainty is needed when generating the trajectory.

Dubins Path

A Dubins path is a path that consists of two circles connected by a straight line, and it has been proven that this is the shortest path between two vehicle configurations [29]. In order to generate the Dubins path the algorithms presented by Beard & McLain [15] will be used. An illustration of a simple Dubins path is shown in figure 5.2.

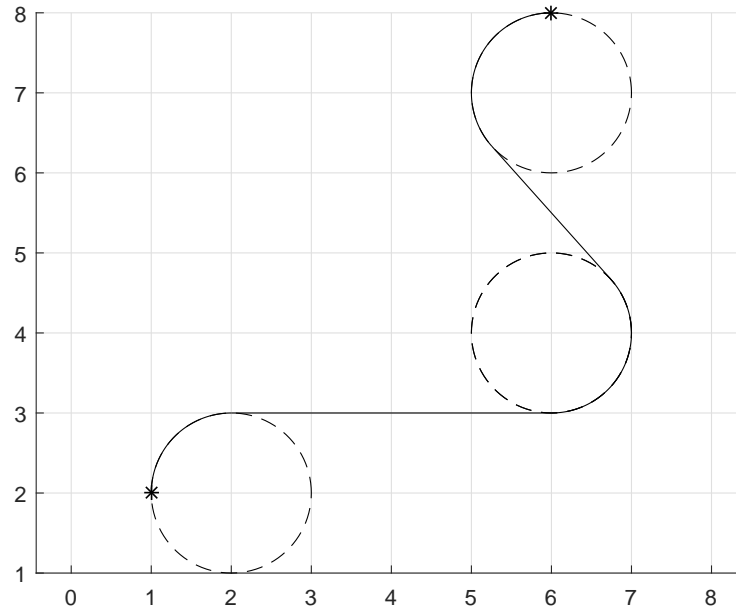


Figure 5.2: An illustration of a simple Dubins path.

Chapter 6

Optimized Paths (Working Title)

In this chapter an analysis of the optimized paths will be done. The MPC will be run on several different paths, and the discussion will focus on how the control problem is solved.

6.1 Horizon Length

In order to determine what horizon length is needed to optimize the path, several paths were optimized with horizon lengths varying from 10 to 140 spaced by 10. The different horizon lengths were used to optimize a path consisting of a 45° turn, and both linear and curved paths were used. The results of these optimizations can be seen in Figures 6.1 and 6.2.

The results for the three paths are very similar; the longer horizon length, the better tracking of the path. The MPC starts turning earlier than the ground track to compensate for the shift in the camera position, and the aircraft straightens out from the turn later for the same reason.

For the shorter horizon lengths the MPC runs into problems because it hasn't planned far enough ahead when the turn begins. Since it does not look far into the future the aircraft is still straight above the path when the turn begins. At that point it is too late to start altering the aircraft's position to ensure that the camera stays on the path, so the MPC uses a roll angle in the opposite direction to keep observing the path. This in turn leads to the aircraft turning left, worsening the situation and making the problem more and more difficult. In some cases this causes the roll angle to become very high, causing the MPC to lose control and the aircraft loses height.

Upon closer inspections it can be seen that when the horizon length reaches 90, there are no more big unwanted motions in the system. As the horizon length reaches 110 the

optimized paths are almost identical, but lincreasing the horizon length still increases the accuracy of the path tracking. The optimization is time consuming, and as seen in Figure 6.3 the time it takes to optimize the paths increases exponentially. For this reason a horizon length of 110 will be used for the rest of the simulations, since this gives a accurate path tracking for a relatively short computation time.

6.2 Turns

In this section both linear and curved turns will be optimized, and an analysis will be performed on the results.

6.2.1 70° Turn

Curved 70° Turn

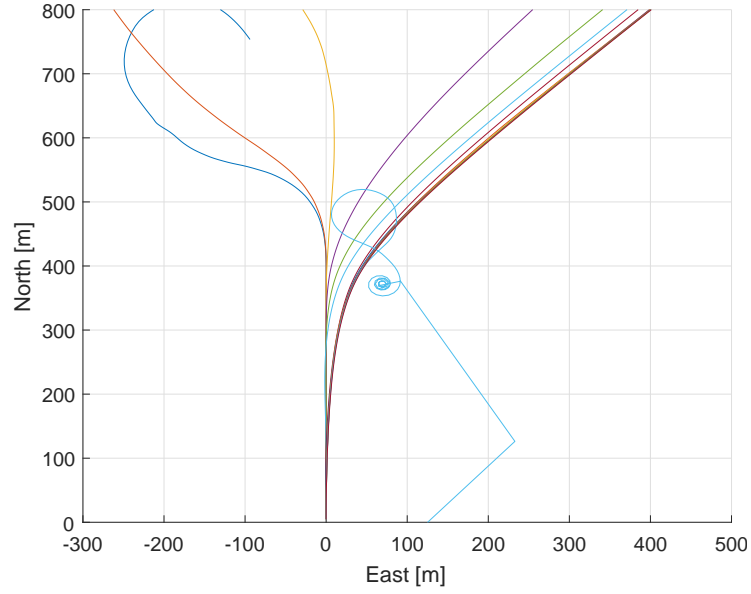
The optimized path of a 70° turn with a radius of 150m is shown in Figure 6.4. Even though the camera centre points deviates more from the desired path than during the 45° turn, the result is still a smooth path with no big unwanted motions.

Linear 70° Turn

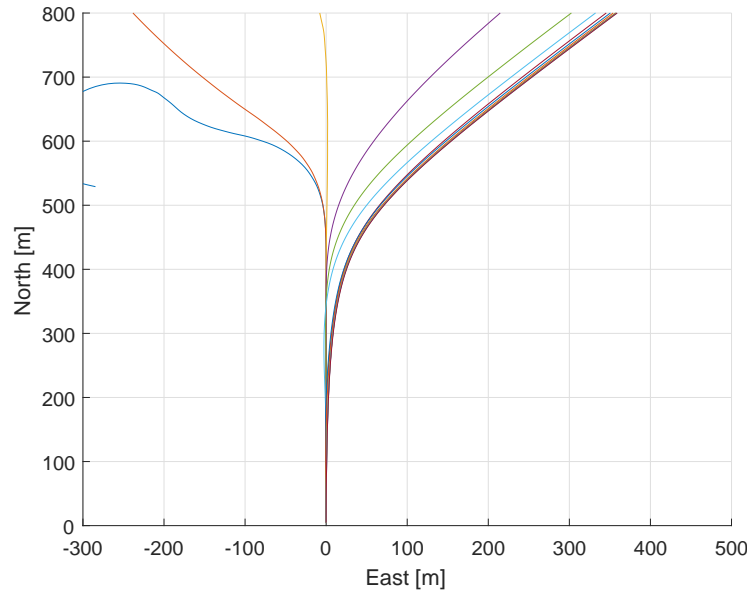
Optimizing a path containing a 70° linear turn returns a very different result than for the curved turn. As can be seen in Figure 6.5, the MPC is not able to achieve stable flight throughout the turn. The reason for this is similar to the reason why optimization with a short horizon length fails: the optimization tries banking the aircraft left to track the path, instead of cutting the corner. In this case the banking happens before the UAV have reached the corner as a result of the optimization "foreseeing" that it cannot keep tracking the path.

In an attempt to achieve stable flight throughout the turn, the weighting on camera position in the objective function was reduced. The result of changing the weighting from 10^{-1} to 10^{-3} can be seen in Figure 6.6. This tuning results in a stable flight, but the path tracking is not as precise and smooth as for the 45° turn. In addition the resulting camera path consists of several loops. This occurs as a combination of both the roll angle and pitch angle changes at the same time.

A third attempt on a linear 70° turn was made, this time with a weighting on the camera position of 10^{-5} . As can be seen in Figure 6.7, this results in a stable flight. The path tracking on the other hand is poor. With this tuning, the weight on the camera position is so much lower than on the other states included in the objective function so that the MPC finds a path that do not track the path returns the lowest cost. The optimization still makes some attempts to track the path, but ends up "swinging" the camera quickly past the path.



(a) Linear 45° turn.



(b) Curved 45° turn with 200m radius.

Figure 6.1: The position of the UAV during the two turns with horizon lengths varying from 10 to 140.

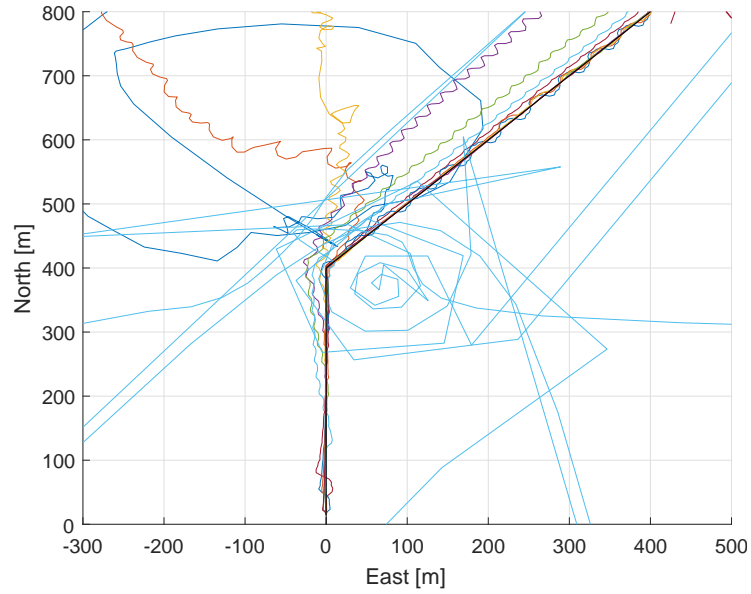
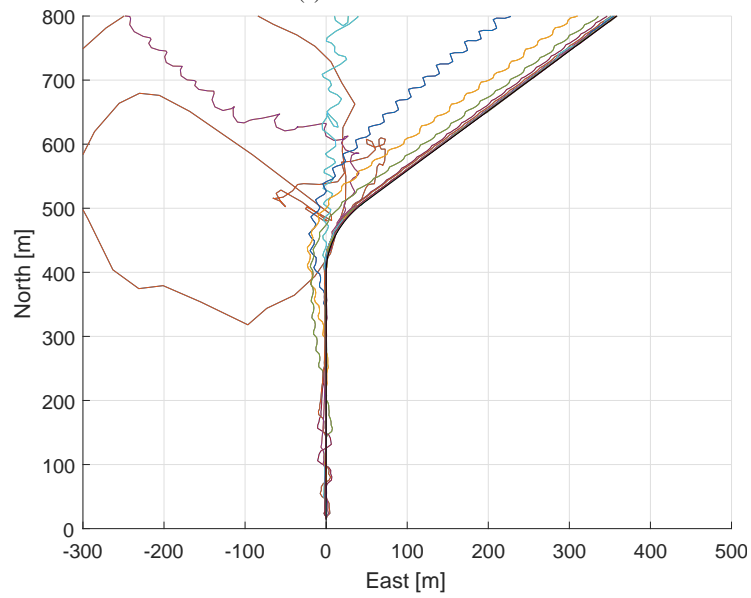
(a) Linear 45° turn.(b) Curved 45° turn with 200m radius.

Figure 6.2: The camera position during the two turns with horizon lengths varying from 10 to 140.

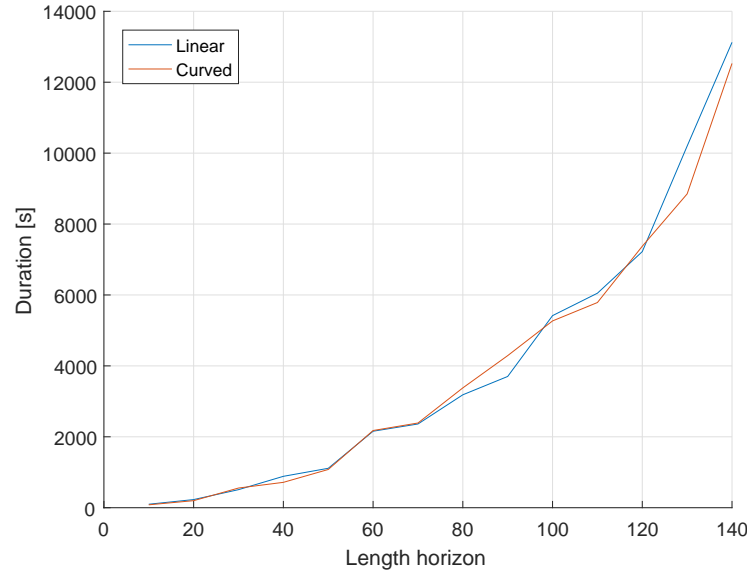


Figure 6.3: Duration of each optimization with different horizon length.

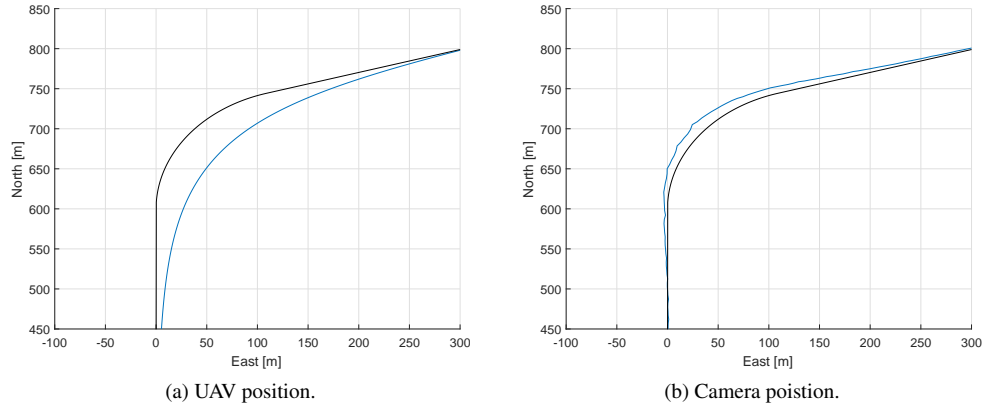


Figure 6.4: Results of optimizing a curved 70° turn.

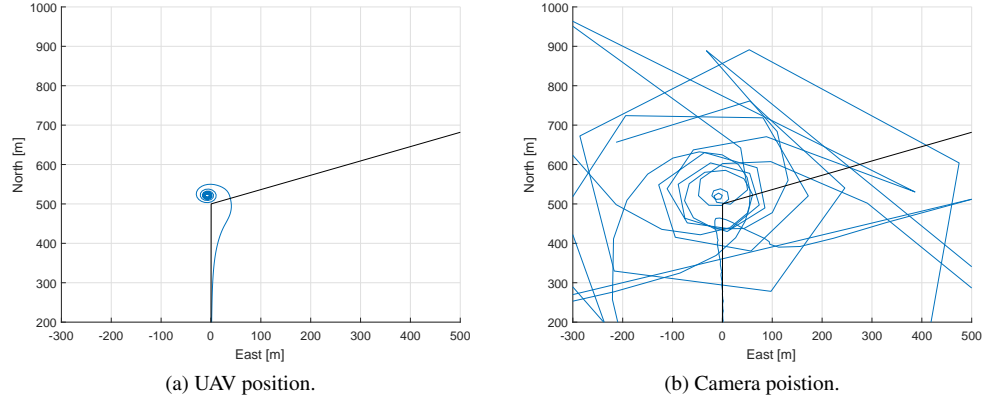


Figure 6.5: Results of optimizing a linear 70° turn with 10^{-1} weight on camera position.

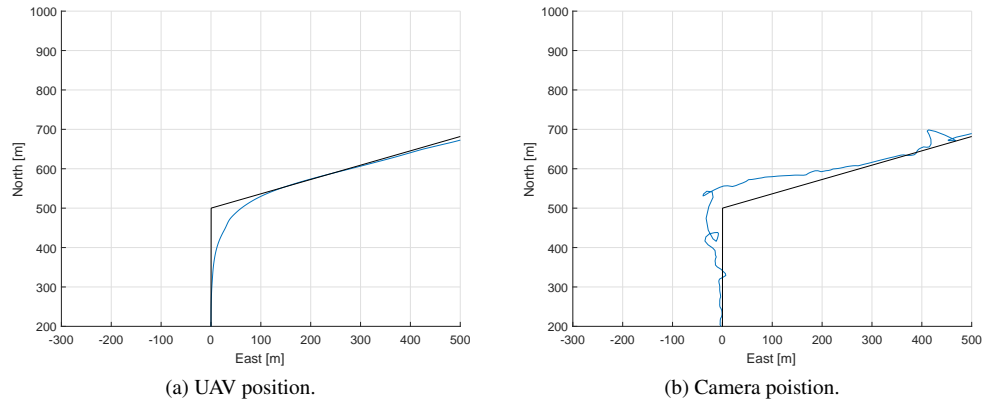


Figure 6.6: Results of optimizing a linear 70° turn with 10^{-3} weight on camera position.

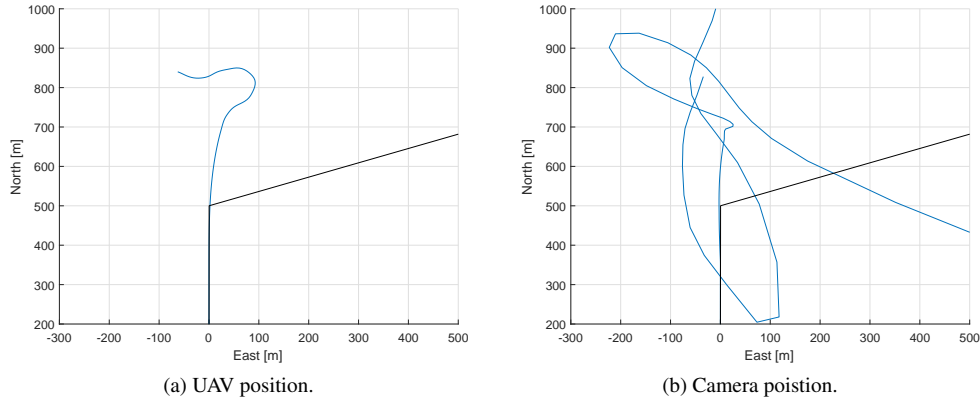


Figure 6.7: Results of optimizing a linear 70° turn with 10^{-5} weight on camera position.

6.2.2 90° Turn

Curved 90° Turn

Figure 6.8 and 6.9 shows the optimized paths of a 90° path with different turn radii. The results show that with a radius of 200m, 150m, and 50m the optimization algorithm returns a smooth stable flight path. However, the camera position contains more nudges than for gentler turns, which happens because of the roll angle varying more. This can also be seen in Figure 6.10. When optimizing the turn with 50m radius the roll angle reaches almost 30°. It can also be seen that the sharper the turn the earlier the aircraft starts banking.

As expected the camera centre points deviates more from the original path than for gentler turns. After the 50m radius turn in Figure 6.9d, the camera position ends up with a significant deviance of 50m away from the desired path. While this most likely would correct itself if the path was simulated further, it is a result of how the cost function is weighted. The weight on the camera position is very low compared to the weight put on control rates, as well as speed and altitude, which means that the deviance from the path has a smaller cost than correcting it.

A more surprising result is seen in Figures 6.9c and 6.9c. Even though the MPC is able to optimize the turn with 50m radius, it is not able to return a stable flight path for the turn with 100m radius. The camera position path is similar to previous failures: instead of optimizing the UAV position to track the camera path it uses the bank angle to achieve a satisfying short-term solution.

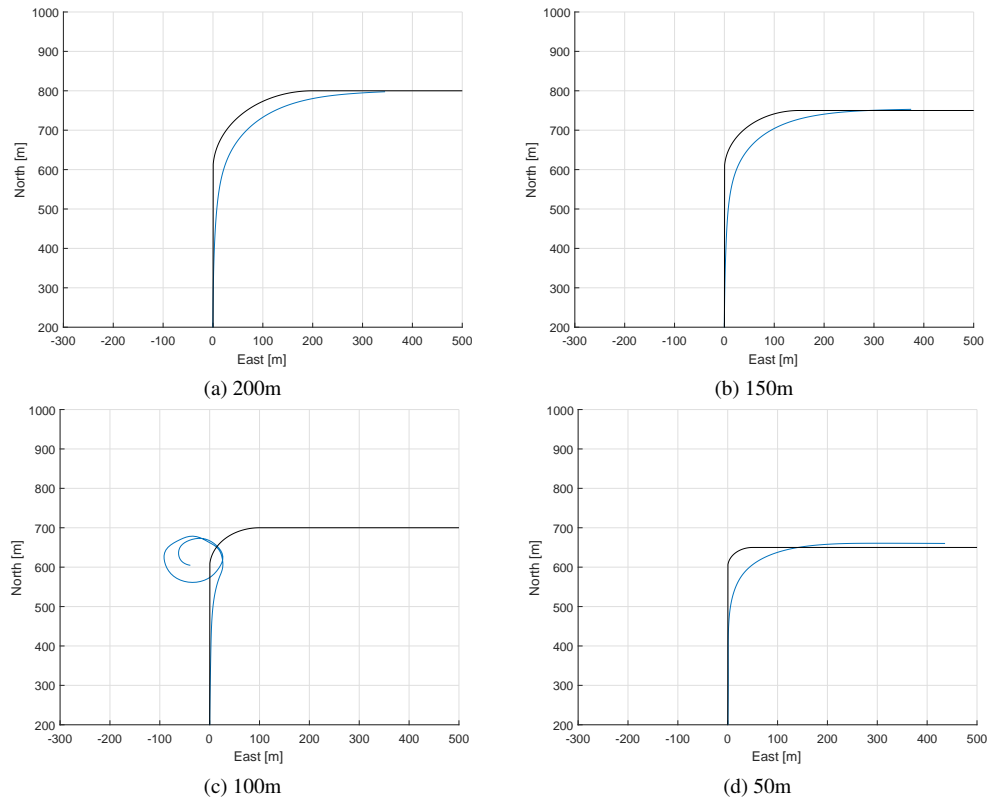


Figure 6.8: The position of the UAV when optimizing a curved 90° turn with varying radius.

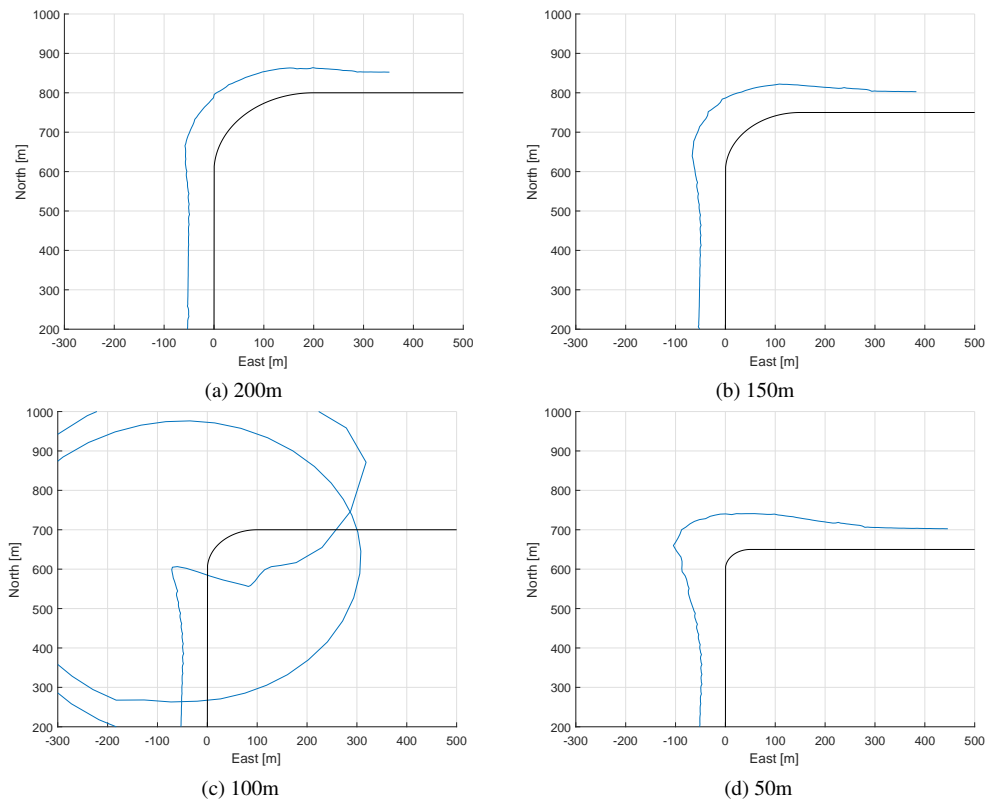
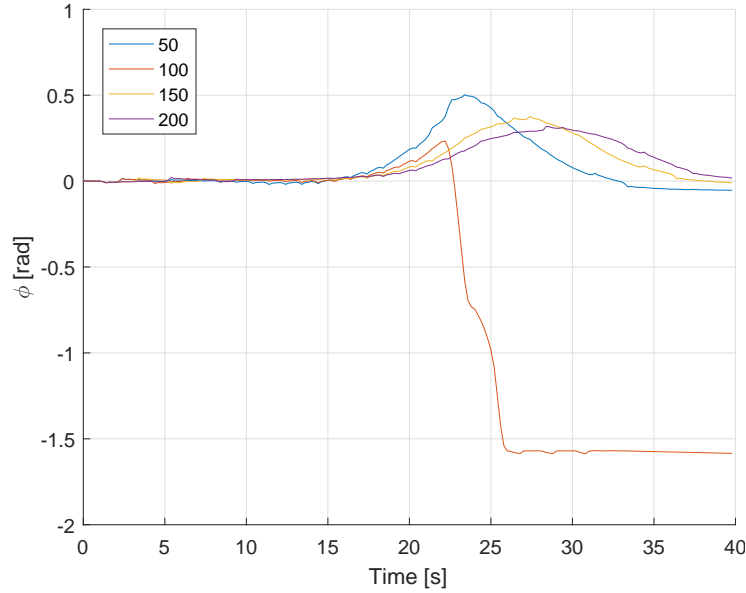


Figure 6.9: The position of the camera when optimizing a curved 90° turn with varying radius.

Figure 6.10: The roll angle ϕ during the 90° turns.

Linear 90° Turn

Since the MPC struggles to track a 70° corner, it is no surprise that it fails to track a 90° corner as seen in Figure 6.11. Once again the MPC ends up with solving a difficult path using roll instead of the position, which ends with a bad solution. Different weightings on the position was tested without success.

6.2.3 180° Turn

Curved 180° Turn

When optimizing a 180° turn the radius plays a big role, as can be seen in Figures 6.12 and 6.13. The figures show optimizations of six 180° turns with radius ranging from 300m to 50m.

Unsurprisingly, broader turns give better solutions. For the turn with 300m radius the tracking is very good. And while the tracking is not as good for the turns with 250m and 200m, they return a smooth stable path that puts the camera centre not too far away from the path. Notice in Figures 6.13b and 6.13c that the tracking not only is worse throughout the turn, there is also more overshoot at the end of the turn. The MPC most likely accepts this overshoot as the deviance from the camera path is a lower cost than using more control input to sharpen the turn. Figure 6.14 shows that the 250m and

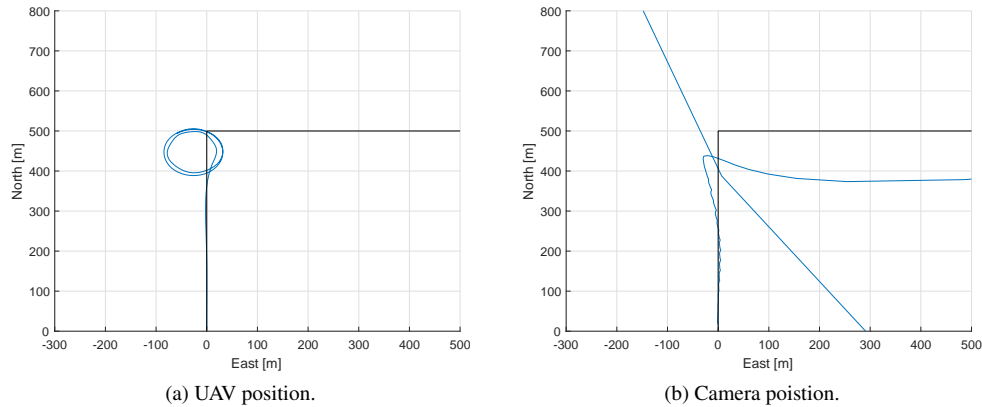


Figure 6.11: Result of attempting to optimize a linear 90° turn.

200m turns already have higher roll angles, and opposed to the 300m turn they do not flatten out during the turn.

For the radii 150m, 100m, and 50m the result is not as good. For the turns with 150m and 100m radius the result is similar to previous paths where the path is too sharp: the MPC uses roll to compensate for the sharp turn, which causes the aircraft to enter a spiral. In Figure 6.12e it may appear as the aircraft is about to recover and continue tracking the ground path, but the height plots show that at this point the aircraft is only about 20m above ground and still descending.

For the 50m turn in Figure 6.12f the MPC fails differently. About 250m before the turn even begins the aircraft drifts off to the left, the opposite direction of the turn. In Figure 6.13f it can be seen that the camera point is still close to the ground path. However, it is swinging rapidly from side to side a few times before it completely drifts off.

6.3 Path

1. Curved
2. Linear
3. Two opposite turns
4. Three turns?

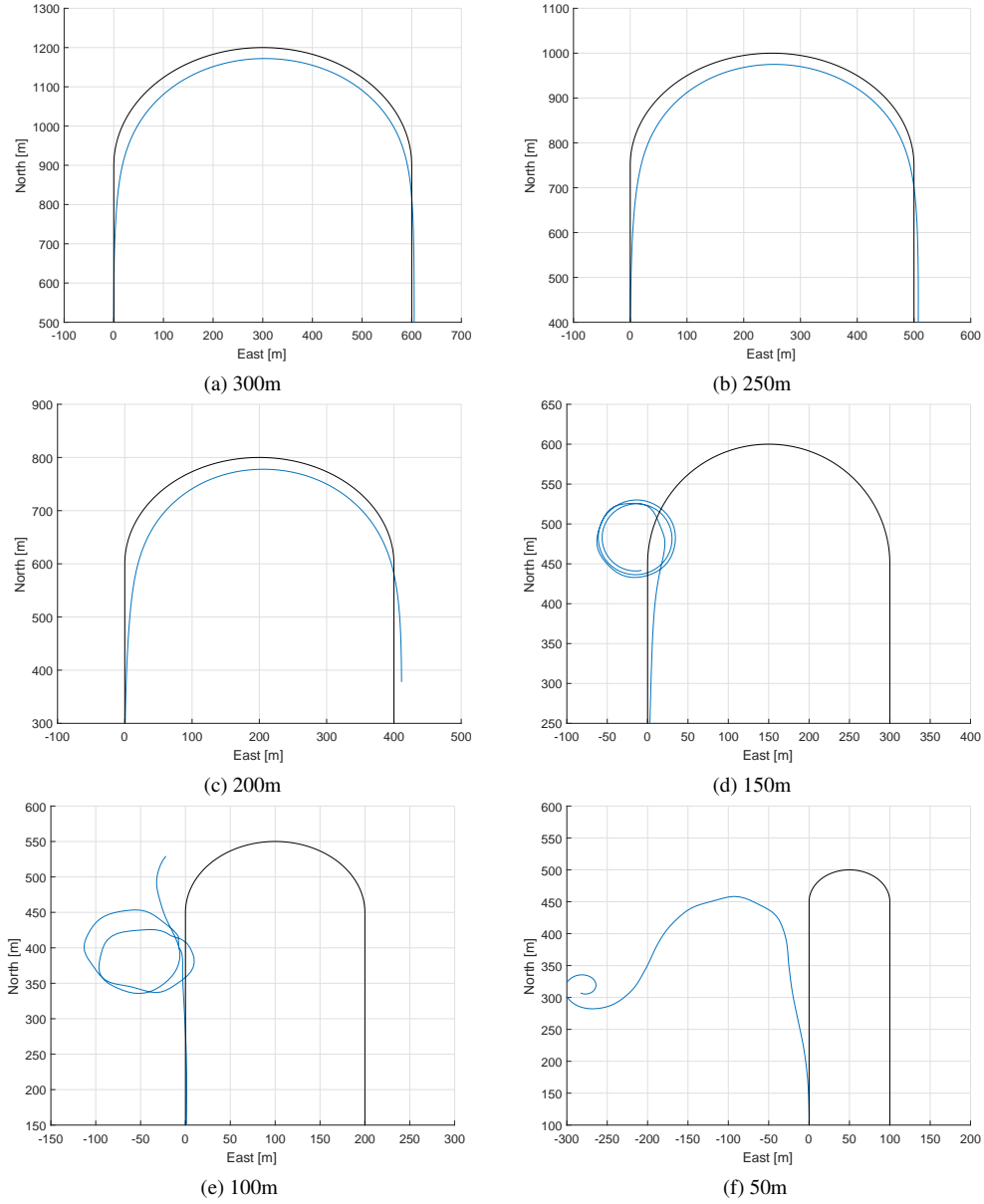


Figure 6.12: The position of the UAV when optimizing a curved 180° turn with varying radius.

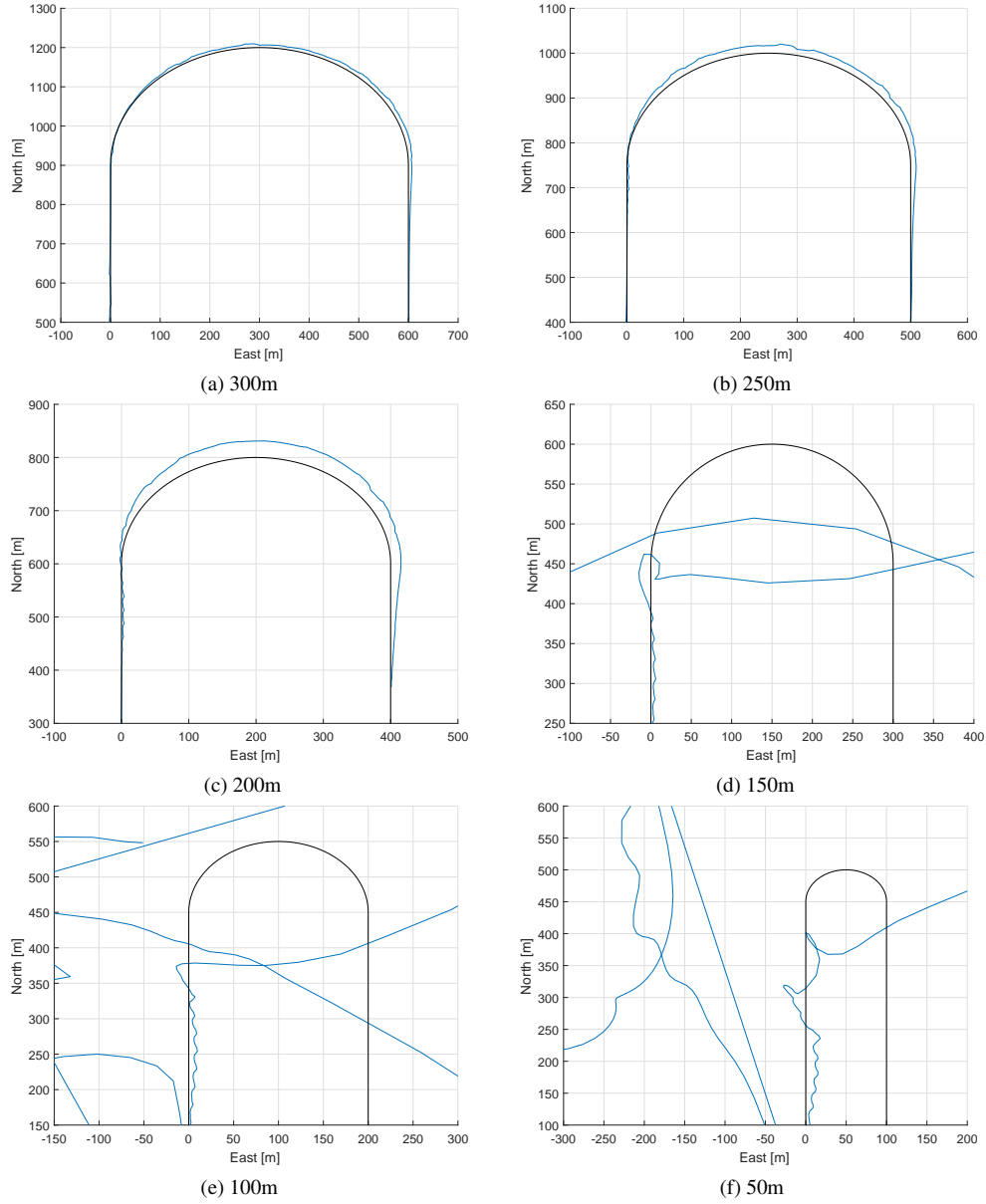


Figure 6.13: The position of the camera when optimizing a curved 180° turn with varying radius.

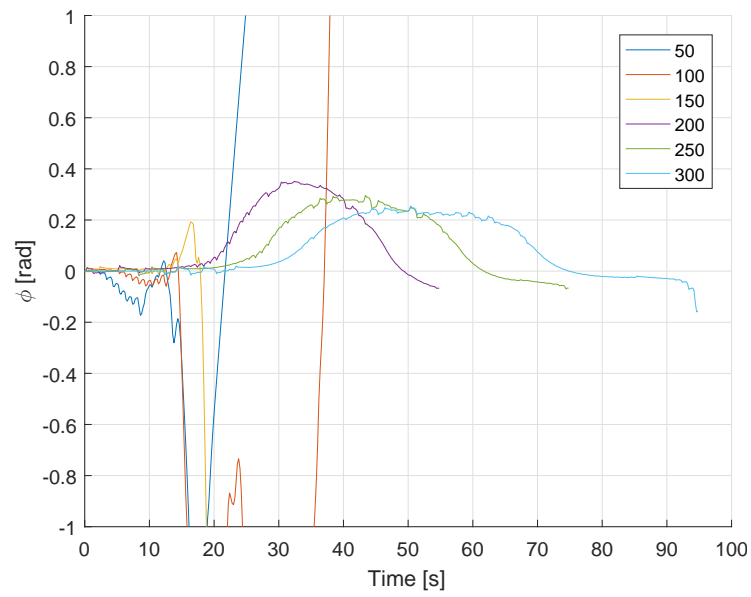


Figure 6.14: The roll angle ϕ during the 180° turns.

Chapter **7**

Simulating the Optimized Path

7.1 Curved paths

7.2 Linear paths

Chapter 8

Conclusion

Appendices

Appendix A

ACADO Code

Appendix B

MPC Code

B.1 Algorithms

Algorithm 1 Offline Intervalwise MPC Algorithm

procedure MPC

$path \leftarrow$ path from file
 $timestep \leftarrow$ duration of timestep [s]
 $horizonlen \leftarrow$ number of $timestep$ in horizon
 $intervallen \leftarrow$ number of $timestep$ in interval
 $intervals \leftarrow$ number of intervals needed to cover $path$
 $x_0 \leftarrow$ initial values of states
 $u_0 \leftarrow$ initial values of control states
 $\Delta u_0 \leftarrow$ initial values of control rates
 $results[] \leftarrow$ empty list to store result from optimization
for each $interval$ **do**
 $\mathbf{c}^n \leftarrow$ calculate camera centre position using equation 2.14
 $trajectory \leftarrow$ GENERATEHORIZON($path, timestep, horizonlen, \mathbf{c}^n$)
 Solve optimization with initial states $x_0, u_0, \Delta u_0$ for current $horizon$
 $x_0 \leftarrow$ last x value in the $interval$
 $u_0 \leftarrow$ last u value in the $interval$
 $\Delta u_0 \leftarrow$ last Δu value in the $interval$
 $result[] \leftarrow$ the first $intervallen$ number of $timesteps$ from $horizon$

Algorithm 2 Generate horizon

```

procedure GENERATEHORIZON(path, timestep, horizonlen,  $\mathbf{c}^n$ )
  distance  $\leftarrow$  distance travelled during one timestep
  pos  $\leftarrow$  find the point in path that is closes to current camera position  $\mathbf{c}^n$ 
  trajectory[]  $\leftarrow$  empty list to store the generated trajectory
  for each timestep in horizonlen do
    Find point postemp on path with the given distance away from current pos
    trajectory[]  $\leftarrow pos_{temp}$ 
    pos  $\leftarrow pos_{temp}$ 
  return trajectory

```

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