

# **A tree-ring based reconstruction of early summer precipitation in southwestern Virginia (1750-1981)**

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59 **Abstract**

60 In a closed-canopy forest, stand dynamics play an important role in shaping the forest, and it has been  
61 hypothesized that dense forests are not sufficiently limited by climate to warrant climate  
62 reconstruction. We collected *Quercus prinus* tree-ring data from a dense forest in the Appalachians,  
63 and after removal of stand dynamics and age trends we found strong correlations between annual tree  
64 growth and early summer precipitation. To strengthen the climate signal, we include additional  
65 southeastern US *Quercus prinus* chronologies in a nested principal component analysis (PCA).  
66 Correlation between the growth proxy and early summer precipitation was increased through PCA, and  
67 assessment of reconstruction skill was favorable. Our May-June precipitation reconstruction was  
68 modeled using Bayesian regression, which allowed uncertainty to be quantified. The reconstruction  
69 covered the period 1750-1981, and extended the instrumental record by 150 years. It also showed key  
70 drought years identified by other regional reconstructions, as well as an 11-year periodicity, possibly  
71 related to solar variability. This reconstruction establishes a baseline precipitation record that can be  
72 used to measure changes brought about by global climate change.

73  
74 **Keywords:** reconstruction, precipitation, Virginia, tree rings, climate, principal components, forest,  
75 dendrochronology

76

77 **1 Introduction**

78 Global circulation models project an increase in average global surface temperatures of 1.0 - 3.5 °C by  
79 the end of this century due to continued increases in greenhouse-gas emissions (IPCC 2013). However  
80 the influence of increased radiative forcing on precipitation regimes is not well understood, and this is  
81 particularly the case for the southeastern United States (US). The 24 models used to make predictions  
82 about climate change in the Intergovernmental Panel on Climate Change Fourth Assessment Report  
83 were not in consensus with respect to drought frequency in this region (IPCC 2007, Seager et al. 2009).  
84 Uncertainty in climate projections makes it difficult to predict water usage. The ability to do so is  
85 crucial because the southeastern US has experienced substantial increases in population and energy  
86 consumption over the last decade (Seager et al. 2009, Sobolowski et al. 2012). Recent droughts have  
87 had major socioeconomic impacts as a result of devastation to both agriculture and crop production  
88 (Wang et al. 2010). To deal with these issues, it is important that both urban and water planners in the  
89 southeast have access to information regarding climate change projections and mitigation. Through the  
90 use of tree-ring based climate reconstructions, we can better understand past precipitation regimes at  
91 both decadal- and centennial time-scales, improving projections of future precipitation patterns.

92 In order to reduce uncertainty in climate model projections and to extend meteorological records  
93 further back in time, tree-ring data are commonly used as regional proxies, particularly in regions  
94 where drought (e.g. the American Southwest, Cook et al. 2004) or summer temperature (e.g. the  
95 European Alps, Büntgen et al. 2007) is the limiting tree growth factor. Tree-ring data have also  
96 successfully been used for climate reconstructions in temperate climate regions characterized by high  
97 humidity such as the eastern US (LeBlanc 1993, Stahle et al. 1993, Cook et al. 1999). Tree growth in  
98 these regions is typically less sensitive to drought variability than trees in semiarid regions (Phipps  
99 1997). The amount of environmental variability recorded in tree-ring time series from a certain area

thus generally depends on the degree to which environmental factors are limiting tree growth in that area (Fritts 1976). Furthermore, it is traditionally understood that trees in a closed-canopy forest are not limited by climate to the same extent as trees growing on the forest border. Within a dense forest, stand dynamics play an important role in shaping the forest structure through their influence on radial tree growth and tree survival. As these interactions between individuals increase in strength, the climatic influence on tree growth becomes less dominant.

Despite these challenges to capturing a strong climate signal in tree-ring time series from southeastern US forests, numerous studies have identified significant climate-growth relationships (Pan et al. 1997, Speer et al. 2009, Rubino & McCarthy 2000) and several tree-ring based precipitation reconstructions have been developed for the southeastern US. The most prominent of these reconstructions is likely a spring rainfall reconstruction for the past 1000 years based on Bald cypress (*Taxodium distichum*) tree-ring chronologies from North Carolina, South Carolina, and Georgia (Stahle & Cleaveland 1992). Bald cypress trees grow in excessively wet swamps, yet tree growth is strongly positively correlated with spring and early summer precipitation. At drier sites in Virginia, Pan et al. (1997) demonstrated for four deciduous species that both annual ring-width and basal area increments were positively correlated with precipitation from the prior summer and autumn, and current summer. They also report negative correlations with air temperature of the current growing season. Speer et al. (2009) found similar correlations between precipitation and temperature and annual tree growth for oak chronologies from closed canopy forests in the Southern Appalachian Mountains.

In this study, we determine the presence of a significant relationship between chestnut oak (*Quercus prinus*; QUPR from here on) annual growth series in the southern Appalachian Mountains and early summer precipitation and develop a regional QUPR tree-ring chronology. We then use the QUPR chronology to reconstruct early summer precipitation using Bayesian methods. Finally, we evaluated

the reliability of the reconstruction by comparing it to other verified regional climate reconstructions.

## 2 Materials and Methods

### 2.1 Tree ring data

The study site is an Upland Oak-Pine forest located on the north facing slope of Brush Mountain in Southern-West Virginia (37 ° 22.2' N, 80 ° 14.8' W), with a site elevation of 558 m (BM in Figure 1). This region is classified as either humid continental or mountain temperate, and characterized by warm, humid summers and winters that are predominantly cool with intermittent warm spells. The mean annual precipitation (1901-2010) at the nearby Blacksburg weather station is 1073 mm and the mean annual temperature is 10.9 ° C.

The study site supports dominant QUPR trees amongst a canopy of many species, including scarlet oak (*Quercus coccinea*), northern red oak (*Quercus rubra*), red maple (*Acer rubrum*), Virginia pine (*Pinus virginiana*), pitch pine (*Pinus pungens*), and eastern white pine (*Pinus strobus*). Site access was adjacent to the Appalachian trail but the site was selected to minimize human interference. The steepness of this slope suggested that climate may be a limiting growth factor, but the closed canopy and stand density suggested that stand dynamics may also play a significant role in shaping the forest structure (Fritts 1976).

We sampled 56 QUPR trees and collected two increment cores per tree. Samples were dried, mounted, and sanded according to standard procedures (Stokes & Smiley 1996). Crossdating was performed based on the list method, which makes use of marker years that signify relatively favorable or unfavorable growth years in a stand (Yamaguchi 1991). All samples were measured using a LINTAB measurement stage with 0.01mm precision, and visual crossdating was checked using COFECHA software (Holmes 1983). We used inter-series correlation, a measure of stand-level signal, and mean

145 sensitivity, to select a total of 76 tree-ring series from 53 trees to be used for site chronology  
146 development.

147 Non-climatic age and stand dynamics related trends were removed from the individual tree-ring series  
148 using smoothing splines with a 50 % cutoff at 50 years using ARSTAN software (Cook & Peters  
149 1997). This method allowed us the flexibility to remove the episodic-like interaction effects from the  
150 time series, while retaining the high-frequency climatic variability. Note that as with any filtering  
151 technique, inevitably some portion of the climatic signal will be lost through the removal of these non-  
152 climatic trends (Cook & Peters 1981). We assume that the loss of climatic signal was negligible, and  
153 comparison of the detrended time series with climatic data ultimately determined if the strength of the  
154 remaining signal was sufficient to perform further analyses. The Brush Mountain site chronology was  
155 then calculated by averaging the individually detrended series using a biweight robust mean (Cook and  
156 Peters 1997), and will hereafter be referred to as BM.

157 We computed the expressed population signal (EPS) to measure the common variability in our  
158 chronology at an annual resolution. EPS depends on both signal coherence and annual sample-depth,  
159 and EPS values that fall below a predetermined cutoff (0.85) indicate that the chronology is not  
160 dominated by a coherent signal, and is therefore deemed less than ideal for climate reconstruction  
161 (Wigley et al. 1984).

## 162 **2.2 Principal component analysis**

163 Water availability may not be the primary limiting factor to tree growth in southeastern US sites, but a  
164 large sample size may be sufficient to compensate for this effect and help to identify the common  
165 climate signals despite site and individual variability. In regions that are subject to site heterogeneity,  
166 where significant climatic variance cannot be identified for a standard sample size, principal  
167 component analysis (PCA) can be an effective means to extract the common signal in multiple sites

168 and thus overcome the lack of strength of climate signal in individual sites (Peters et al. 1981,  
 169 Anchukaitis et al. 2006, Jacoby et al. 1989). Through the application of PCA, tree-ring data collected  
 170 from a network of regional sites can be combined to reduce site level noise through the identification  
 171 of a common climate signal across sites.

172 To increase the strength of the precipitation signal in our chronology, we combined the BM  
 173 chronology with four eastern US *Quercus* chronologies (3 QUPR and 1 *Quercus alba*) in a nested  
 174 singular value decomposition PCA (Wold et al. 1987, Cook et al. 2007; Table 1, Figs. 1 and 2). These  
 175 four chronologies extended back to at least 1845 (the length of the BM chronology) and were  
 176 significantly correlated with regional precipitation anomalies (see below). Tree-ring data for the four  
 177 *Quercus* sites were downloaded from the International Tree-Ring Database (ITRDB;  
 178 <http://www.ncdc.noaa.gov/paleo/treering.html>) and individual ring-width time series were detrended  
 179 using a smoothing spline with 50% cutoff at 50 years (Cook & Peters 1981), and subsequently used to  
 180 develop site chronologies. Chronology reliability for each of the four chronologies was assessed based  
 181 on the mean sensitivity, inter-series correlation, EPS, and the first-order autocorrelation.

182 We applied a nested PCA approach to make use of the chronologies that extended prior to 1845: we  
 183 combined the BM chronology with all four *Quercus* chronologies in a first PCA run (PCA<sub>1845</sub>) and  
 184 then added a second PCA run (PCA<sub>1750</sub>) that included only the 3 chronologies that extended back to  
 185 1750 (LH, WD, and OC). For each PCA run, the PCA1 axes explaining the largest amount of common  
 186 variance were retained for further analysis and were included in a climate correlation analysis.

187 PCA<sub>1845</sub> and PCA<sub>1750</sub> resulted in two potential reconstructions each with its own set of skill and  
 188 accuracy statistics, as described in section 2.5. We merged the two reconstructions at the year 1845  
 189 (1845-1981 from PCA<sub>1845</sub> and 1750-1844 from PCA<sub>1750</sub>) for the final SWV (for southwest Virginia)  
 190 chronology. We highlight that the BM chronology is determined for a single point, but that the SWV



chronology is representative of the climate in the surrounding region as a result of being constructed from a PCA on growth series from sites spatially distributed around this point.

### 2.3 Climate data

We applied a two-tier approach to select the optimal climate target for reconstruction. In a first step, we used monthly climate values from the Blacksburg climate station (37 ° 12' N, 80 ° 24' W; elevation 634 m; 1901-2006) in a correlation function analysis with BM chronology. For this purpose, monthly precipitation sums and average temperatures and Palmer Drought Severity Index (PDSI) values were computed from daily measurements. Pearson's correlation coefficients were calculated for all months starting in April of the year previous to the growing season through current December, as well as for various seasons (Apr-June, July-Sep, Oct-Dec, Jan-Mar) and annual means. This analysis showed that the BM chronology was most strongly correlated with average May and June precipitation ( $r=0.53$ ,  $p<0.01$ ).

This result was then used in a second step as guidance for a spatial correlation analysis between the SWV chronology and a gridded ( $0.5^{\circ} \times 0.5^{\circ}$ ) monthly climate data set for the period 1901-2006 [CRUTS3.10; Harris et al. 2014]. Spatial correlations were calculated using the KNMI climate explorer [<http://climexp.knmi.nl>; Trouet & van Oldenborgh 2013]. The grid point showing the strongest correlation between the SWV chronology and May-June precipitation was then selected as a target for further climate-growth analysis and for reconstruction.

### 2.4 Reconstruction methods

Precipitation was modeled using a Bayesian linear regression model, with the first PCA chronology (1750-1981) as a predictor. The precipitation model is written as

$$y_t \sim \text{Normal}(\mu_t, \sigma^2) \quad (1)$$

$$\mu_t = \beta_0 + \beta_1 x_t, \quad (2)$$

212 where  $y_t$  represents precipitation values for the identified grid cell and  $x_t$  is the first PCA value at year  
 213  $t$ . Uninformative priors were placed on all three parameters as follows:  $\beta_i \sim \text{Normal}(0, 1000)$ , and  $\sigma^2 \sim$   
 214  $\text{Uniform}(0, 100)$ . Posterior distributions for all three parameters ( $\beta_0$ ,  $\beta_1$ , and  $\sigma^2$ ) were sampled using an  
 215 adaptive Markov Chain Monte Carlo (MCMC) algorithm with a Metropolis step method, in which  
 216 proposal distributions were adjusted accordingly when acceptance rates fell outside the ideal range of  
 217 0.2-0.5. The algorithm was run for 100,000 iterations with a burn-in of 50,000. Parameter estimates  
 218 were thinned so that only every tenth estimate was saved. The 0.025, 0.5 and 0.975 quantiles of these  
 219 estimates were determined to define an upper and lower bound for a 95% credible interval, as well as  
 220 the median. At each iteration, parameter estimates were used to compute estimated precipitation. A  
 221 95% predictive interval was computed from these precipitation estimates using the 0.025, 0.5 and  
 222 0.975 quantiles. Note that frequentist methods could have been used with similar results, but we  
 223 preferred the simple interpretation of the Bayesian credible interval.

## 224 **2.5 Model calibration and verification**

225 To assess the accuracy of the modeled precipitation anomalies, we used a split-period (1901-1941 and  
 226 1941-1981) calibration/verification scheme. Both the 1901-1940 and 1941-1981 periods of climate  
 227 data were used in turn as the calibration period (denoted by  $y_t$  in 1), to determine if the accuracy of the  
 228 reconstruction was sufficient to warrant further analysis. Data from the period not used for calibration  
 229 served as verification data, and for both calibration/verification pairs we computed the mean squared  
 230 error (MSE), reduction of error (RE) (Fritts 1976), coefficient of efficiency (CE) (Cook et al. 1994),

and the squared correlation ( $r^2$ ) (See the National Research Council report Surface Temperature Reconstructions for the Last 2,000 Years (North et al. 2006) for further details on assessing reconstruction skill).

## 2.6 Reconstruction analysis

We compared our precipitation reconstruction to six published regional precipitation and drought reconstructions based on tree-rings as external validation (Table 2). One drought reconstruction was obtained from the North American Drought Atlas (NADA) (Cook et al. 1999), a gridded reconstruction of PDSI values for June through August over the last 2,000 years. The second drought reconstruction was a July PDSI reconstruction (JT) for Virginia and North Carolinian coastal regions (Stahle et al. 1998). The next set of reconstructions were precipitation reconstructions for the North Carolina (NC), South Carolina (SC), and Georgia (GA) regions for the months of April through June for NC, and March through June for SC and GA (Stahle & Cleaveland 1992). The final reconstruction for early summer anomalies for the Montpelier region (MP) was based on tree-rings as well as a meteorological diary (Druckenbrod et al. 2003).

Reconstructions that were significantly correlated with our reconstruction were compared using 31-year window running correlations, which facilitated the identification of periods of pattern dissimilarity.

Furthermore, we used a spectral wavelet analysis to identify dominant cyclical behavior in our reconstruction (Torrence & Compo 1998). Spectrum values were averaged with 2 frequencies per bin to simplify interpretation.

### 3 Results

The BM chronology covered the period 1764-2010 CE, had an interseries correlation of 0.556, and a mean sensitivity of 0.208 (Table 1). The EPS was higher than 0.85 for 1845-1981 and we thus used the chronology over this period. A spatial correlation analysis between climate variables and BM identified the grid point 37.5 - 38 ° N, 80.5 - 81 ° E as the location that correlated most strongly with our chronology (Fig. 3). The BM chronology was significantly positively correlated with monthly PDSI values from April of the previous year to December of the current year (Fig. 4B), except for previous May and previous October. We found particularly strong correlations between BM and monthly PDSI over the May through August growing season, with the highest correlation being with average June and July PDSI (jjPDSI;  $r = 0.55$ ,  $p < 0.01$ ). Furthermore, we found significant, positive correlations with precipitation of the previous year June and current year May and June (Fig. 4A). When averaging monthly precipitation values over the months May and June (mjPR), correlation increased to  $r = 0.5$  ( $p < 0.01$ ). The BM chronology did not correlate with monthly temperature values (Fig. 4C).

Based on this climate-growth analysis, mjPR and jjPDSI were considered as candidate targets for reconstruction. An assessment of the calibration/verification statistics for a reconstruction based on the BM chronology alone (results not shown), however, suggested that the climate signal was not sufficient to warrant adequate reconstruction skill. We therefore combined the BM chronology with four existing oak chronologies from nearby sites (Fig. 1, 2) in a nested PCA approach. All four chronologies were significantly positively correlated with the mjPR and jjPDSI values from the monthly data set obtained from the spatial correlation analysis (mjPR  $r$ : 0.38-0.55; jjPDSI  $r$ : 0.48-0.59; see Table 1).

The first PC axis (PC1<sub>1845</sub>) of PCA<sub>1845</sub> explained 57% of the common variance, with the second axis

explaining 15.2%. All oak chronologies had a positive loading on PC1<sub>1845</sub>, thus reflecting the correspondence between the time series. PC1 of the PCA<sub>1750</sub> run (PC1<sub>1750</sub>) explained 56% of the common variance and PC2<sub>1750</sub> explained 28.3%. PC1<sub>1845</sub> and PC1<sub>1750</sub> were strongly positively correlated over the period of overlap ( $r = 0.93$ ,  $p < 0.001$ ) and we merged the PC1 time series at the year 1845 (PCA<sub>1750</sub>: 1750-1844, PCA<sub>1845</sub>: 1845-1981) to form a single chronology extending from 1750 to 1981. When comparing SWV with monthly climate variables, we generally find higher correlations than for the individually contributing tree-ring series (Fig. 3) and this is particularly true for mjPR ( $r = 0.61$ ,  $p < 0.01$ ) and jjPDSI ( $r = 0.63$ ,  $p < 0.01$ ). We thus tested mjPR and jjPDSI as potential reconstruction targets in a split calibration/verification scheme (Table 3). RE and CE values were negative for jjPDSI when using the later calibration period (1942-1981), indicating a poor fit of the reconstruction model. RE and CE are key statistics to determine the skill of a reconstruction, and our decision to reconstruct mjPR rather than jjPDSI was based on these values. Our final mjPR reconstruction, now referred to as rSWV, was scaled against the entire 1901-1981 interval. Estimates of rSWV and corresponding 95% predictive intervals were computed for each year of the period of reconstruction using posterior parameter draws (Fig. 5).

We compared rSWV to other regional moisture reconstructions (Table 4) and found positive correlations across the board. The strongest correlation was found with the NADA summer drought reconstruction ( $r = 0.53$ ,  $p < 0.01$ ), but we note that the LH chronology was used in the construction of both rSWV and NADA, thus implying that these records are not completely independent. A spectral analysis shows a periodicity in the rSWV reconstruction with peaks at 11, 17, and 24 years (Fig. 6).

## 4 Discussion

We investigated the relationship between climate and annual radial QUPR growth at a closed canopy site in the southeastern US. After removing the portion of the signal attributed to stand dynamics and

intrinsic age trends, we found that early summer (May through June) moisture was the strongest positive influence on radial growth. Similar climate-growth relationships have been identified by previous studies on oak in the southeastern US (Speer et al. 2009, Li 2011) and can be explained by ecophysiological mechanisms. Radial growth of oak species typically starts in April or May after leaf-out and is 90% complete by the end of July even in years with adequate moisture (Robertson 1992). In the first months of the growing season, carbon is allocated predominantly to radial thickening, whereas later in the season the focus of this allocation is shifted to carbohydrate storage (Zweifel et al. 2006). Under severe moisture stress, oak carbon allocation is shifted from shoot to root, thereby increasing the root/shoot ratio (Dickson et al. 1996). QUPR is considered to be more tolerant to drought stress than other oak species and exhibits several morphological adaptations in order to better cope with moisture stress events (Dickson et al. 1996). However, we found that its radial growth was strongly influenced by moisture availability, suggesting that in years with inadequate moisture, radial growth is not a priority and carbon allocation is likely focused on maintenance or root development. The identifiable moisture response in the detrended BM chronology demonstrates that oaks in a closed-canopy forest can be used as paleoclimate proxies if the non-climatic portion of the low-frequency signal in the tree-ring time series is removed with great care (Cook 1985, Cook et al. 1990). The development of a biologically motivated trend removal algorithm may improve current practices in dendroclimatology (Melvin & Briffa 2008). In addition, care must be taken in closed canopy forests when attempting to use growth series as proxy records as younger stands in the stem-exclusion phase may be dominated by the effects of competition rather than of climate (Oliver 1980).

To isolate and strengthen the moisture-growth relationship of the BM chronology, we performed a nested PCA including five regional summer moisture sensitive *Quercus* chronologies. The spatial pattern of the relationship between the resulting SWV chronology and early summer precipitation indicates that SWV is positively correlated with moisture in the Great Appalachian Valley (Figure 3).

Mountains play an important role in the hydrological cycle for several reasons, one of which being that they are the points of origin of most rivers (Beniston 1997). Increases in precipitation in mountainous regions leads to increased stream flow volumes and surface runoff, which in turn increases soil moisture in the Appalachian watershed.

Our reconstruction generally shows similar variability as other reconstructions of moisture variability in the southeastern US (Table 4). The strongest similarity was found with the NADA PDSI reconstruction (Figure 7), but we note the lack of full independence between these two records. Despite the overall strong agreement between both records, a 31-year windowed correlation between rSWV and NADA PDSI indicated that these records were not consistent for the period 1853-1866.

All five chronologies contributing to SWV show a pattern of reduced correlation with the NADA PDSI reconstruction during this period, which coincides with La Niña conditions occurring from 1855-1863 (Cole et al. 2002). La Niña events typically have strong impacts on the West Coast, but affect weather patterns throughout North America, and have even been shown to affect the Atlantic hurricane season (Pielke et al. 1999). During this large-scale ocean-atmosphere phenomenon, temperature anomalies in the southeastern US (National Oceanic and Atmospheric Administration 2014) in combination with low moisture availability likely led to a change in the otherwise stationary precipitation-growth relationship.

The rSWV reconstruction showed anomalies consistent with the instrumental precipitation record for 1901-1981 (Figure 3). In particular, the reconstruction correctly identifies the severe US nation-wide dust bowl era drought in the 1930s as well as the drought year 1956, the single worst drought year of the 1950s drought (Fye et al. 2003). However, when compared at a decadal scale after application of a 10-year smoothing spline, the rSWV reconstruction and instrumental record are less consistent before 1950 (Figure 5). This is likely a result of the changing variability in the reconstruction – in some

periods the reconstruction is quite variable as seen by the exaggerated response to extreme precipitation values, while in other periods there is less variability and this response is less exaggerated. This is indicative that the trees are either responding to a combination of other factors or are experiencing inconsistent lagged precipitation effects.

In years prior to the instrumental record, the rSWV reconstruction identifies several dry periods in 1760-1776, 1867-1874, and 1894-1902. There is evidence of a dry period from 1884-1902 in the NADA, JT, and MP reconstructions. The other dry periods are less pronounced in the compared drought or precipitation reconstructions, or are not apparent.

The rSWV reconstruction shows an 11-year cyclicity (Figure 6), a periodicity that has been observed in both instrumental and paleo-reconstructed temperature and moisture indices, such as the Northern Hemisphere annual average land air temperature record extending from 1951-1980, the Northern Hemisphere annual temperature anomalies reconstructed from proxy data for 1579-1880, as well as for many of the contiguous states using state-averaged instrumental temperature and precipitation records (Hancock & Yarger 1979, Lassen & Friis-Christensen 1995). In particular, this cyclic pattern has been identified in June precipitation in the southeastern US (Hancock & Yarger 1979), but was not apparent in western US tree-ring based PDSI reconstructions (Cook et al. 1997). This observed 11-year periodicity is a characteristic of the solar cycle, which is reflected in terrestrial climate, and identified as one of the contributing factors that determine global temperature (Lassen & Friis-Christensen 1995, Reid 2002, National Research Council 1994). Solar periods of high and low activity can be measured by the number of sunspots or the solar cycle length (Friis-Christensen & Lassen 1991, Usoskin et al. 2003). A larger number of sunspots indicates greater solar activity, and the magnetic fields in these sunspots have the ability to release large amounts of stored energy as solar flares or coronal mass ejections. These changes in released energy in turn affect the realized weather patterns. Studies have



shown that these changes in released energy may also influence hydroclimate (Hancock & Yarger 1979, Nichols & Huang 2012). However, despite the presence of strong correlations between terrestrial climate records and solar cycles, physical mechanisms that explain the effects of external solar forcing on global circulation patterns have yet to be fully understood (Franks 2002).

We have shown that QUPR growth in the southern Appalachians is positively influenced by early summer precipitation and we successfully reconstructed May-June precipitation for the period 1750-1981 and thus extended the instrumental record by 150 years. Long records of climatic variability and its influence on ecosystems are particularly important in the Southern Appalachians, one of the most biologically diverse temperate forest systems. Extending the climate record will allow scientists to have more information as to how climate affects tree growth and shapes ecosystems. This will better prepare us for predicting future vegetation changes that may occur with a changing climate. This region has supported continuous forest communities longer than any other area on the North American continent, and hosts many rare, endemic species (NCNHP 2012). Additionally, it harbors many disjunct species populations. The southern Appalachians also provide ecosystem services such as carbon storage, watershed and water quality protection, and serve as a timber source (Zipper et al. 2011). Understanding past climate-ecosystem relationships in this region will enable scientists and landowners to better manage natural resources in our current changing climate.

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Chron	Lat (N), Long (W)	SIC	MS	N	MSL	mjPR	jjPDSI	Period	Citation
BM	37.37, 80.24	0.556	0.208	76	128.3	0.50*	0.55*	1845 - 2010	This study
LH	35.62, 85.43	0.609	0.171	19	181.4	0.55*	0.48*	1750 - 1997	Stahle, D.W. & Therrell, M.D. 2005
WD	38.50, 78.35	0.523	0.163	26	250.8	0.43*	0.59*	1735 - 1981	Cook, E.R. 1994
CC	37.35, 80.37	0.592	0.218	20	194.1	0.38*	0.50*	1800 - 2001	Copenheaver, C.A. 2010
OC	39.88, 76.40	0.575	0.169	18	260.2	0.24**	0.19	1745 - 1981	Cook, E.R. 1994

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Table 1: Site-specific details for the Brush Mountain (BM), Lynn Hollow (LH), Watchdog Mountain (WD), Craig Creek (CC), and Otter Creek (CC) sites, including location, series intercorrelation (SIC), mean sensitivity (MS), number of series (N), mean segment length (MSL), correlations between the chronology with both the averaged May-June precipitation (mjPR) and averaged June-July PDSI (jjPDSI), the period for which the EPS is greater than 0.85, and the data citation. All correlation statistics between the chronologies and the weather data were significant ( $p < 0.01$  indicated by \*,  $p < 0.05$  indicated by \*\*), except for one ( $p = 0.19$ ).

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Site	Location	Variable	Range	Data type	Variance
			(years)		explained ( $R^2$ ) <sup>A</sup>
NADA [11]	37° 30' N, 80° 0' W	Jun-Aug PDSI	1185-2006	Tree rings	0.55*
	VA				
JT [35 ]	Coastal NC and VA	July PDSI	1700-1984	Tree rings	0.44
NC [36]	Statewide NC	Apr-Jun precip	933-1985	Tree rings	0.54
SC [36]	Statewide SC	Mar-Jun precip	1005-1985	Tree rings	0.58
GA [36]	Statewide GA	Mar-Jun precip	933-1985	Tree rings	0.68
MP [37]	38° 13' N, 78° 10' W;	Early summer	1784-1966	Tree rings &	0.39
	VA	precip		Meteorological diary	

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406 <sup>A</sup>  $R^2$  values as reported in cited references; may or may not be adjusted.

407 \* Median value of  $R^2$  for all gridpoints in the PDSI reconstruction grid (see [11]).

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409 Table 2: Details for the six southeastern US moisture reconstructions compared to the southwest  
410 Virginia reconstruction (rSWV).

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	Calibration			
	mjPR		jjPDSI	
	1901-1941	1942-1981	1901-1941	1942-1981
RE	0.10 (0.20)	0.30 (0.42)	0.44 (0.26)	-0.41 (-0.66)
CE	0.10 (0.19)	0.30 (0.41)	0.39 (0.20)	-0.60 (-0.88)
Calibration $R^2$	0.64 (0.75)	0.56 (0.55)	0.58 (0.62)	0.74 (0.59)
Verification $R^2$	0.56 (0.55)	0.64 (0.75)	0.74 (0.59)	0.59 (0.62)

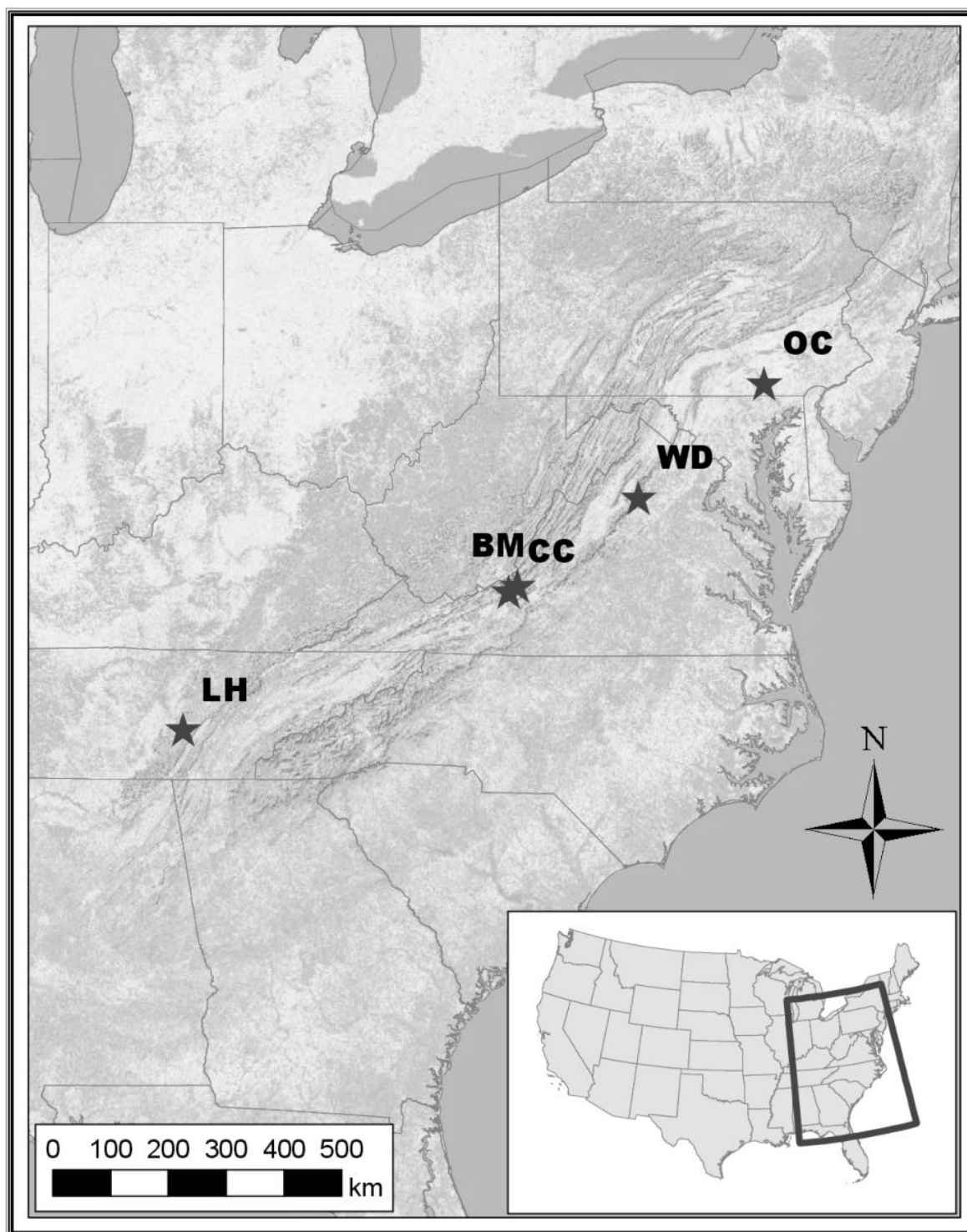
Table 3: PCA<sub>1845</sub> and SWV (or PCA<sub>1750</sub>; in brackets) reconstruction skill statistics for average May-June precipitation (mjPR) and average June-July PDSI (jjPDSI). Statistics include the reduction of error (RE), coefficient of efficiency (CE), and the calibration and verification period  $R^2$ .

	Recon	PDSI		Precip			
	rSWV	JT	NADA	NC	SC	GA	MP <sup>A</sup>
rSWV	1						
JT	0.215*	1					
NADA	0.593*	0.502*	1				
NC	0.227*	0.396*	0.424*	1			
SC	0.118	0.178*	0.352*	0.581*	1		
GA	0.079	0.196*	0.345*	0.474*	0.766*	1	
MP <sup>A</sup>	0.378*	0.288*	0.499*	0.132	0.090	0.109	1

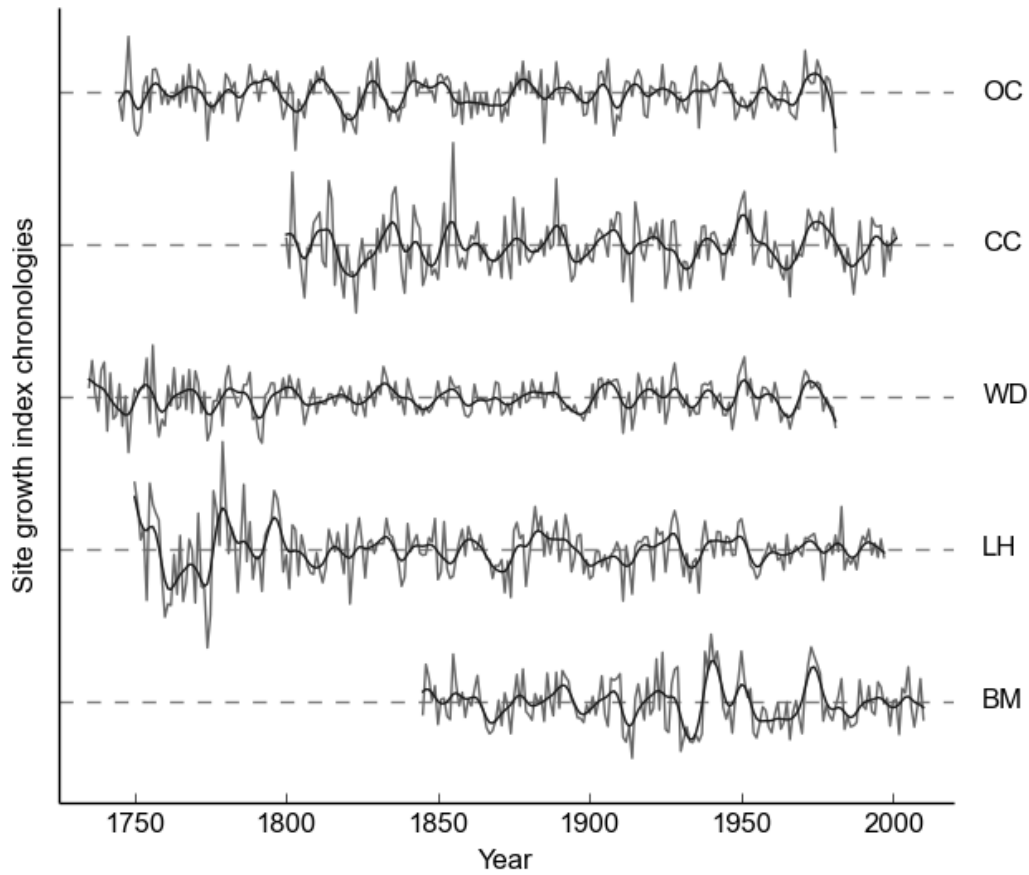
Table 4: Annual correlation (1750-1981) between the southwest Virginia reconstruction (rSWV) and other reconstructions including the NADA and JT drought reconstructions; and the NC, SC, GA, and MP precipitation reconstructions. All values indicated by \* indicate significant correlations at the  $p < 0.01$  level.

<sup>A</sup> Reconstruction covers only the period 1764 - 1966.

435 Figure 1: Regional chronology sample locations.

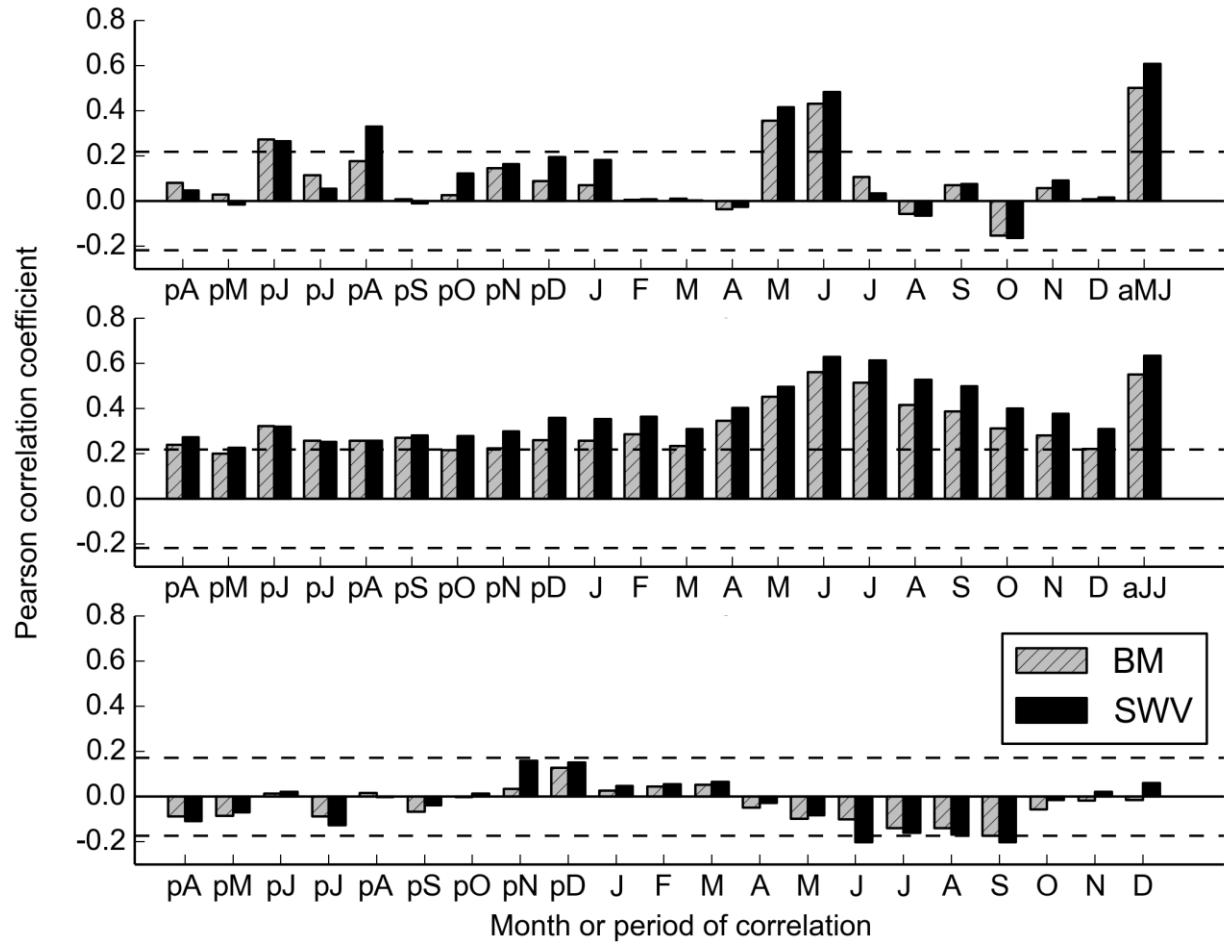


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438 Figure 2: The five chronologies used in PCA<sub>1850</sub>. The chronology built from the sample data at Brush  
 439 Mountain (BM) appears at the bottom, while the others are the regional chronologies from Lynn  
 440 Hollow (LH), Watchdog Mountain (WD), Craig Creek (CC), and Otter Creek (OC).



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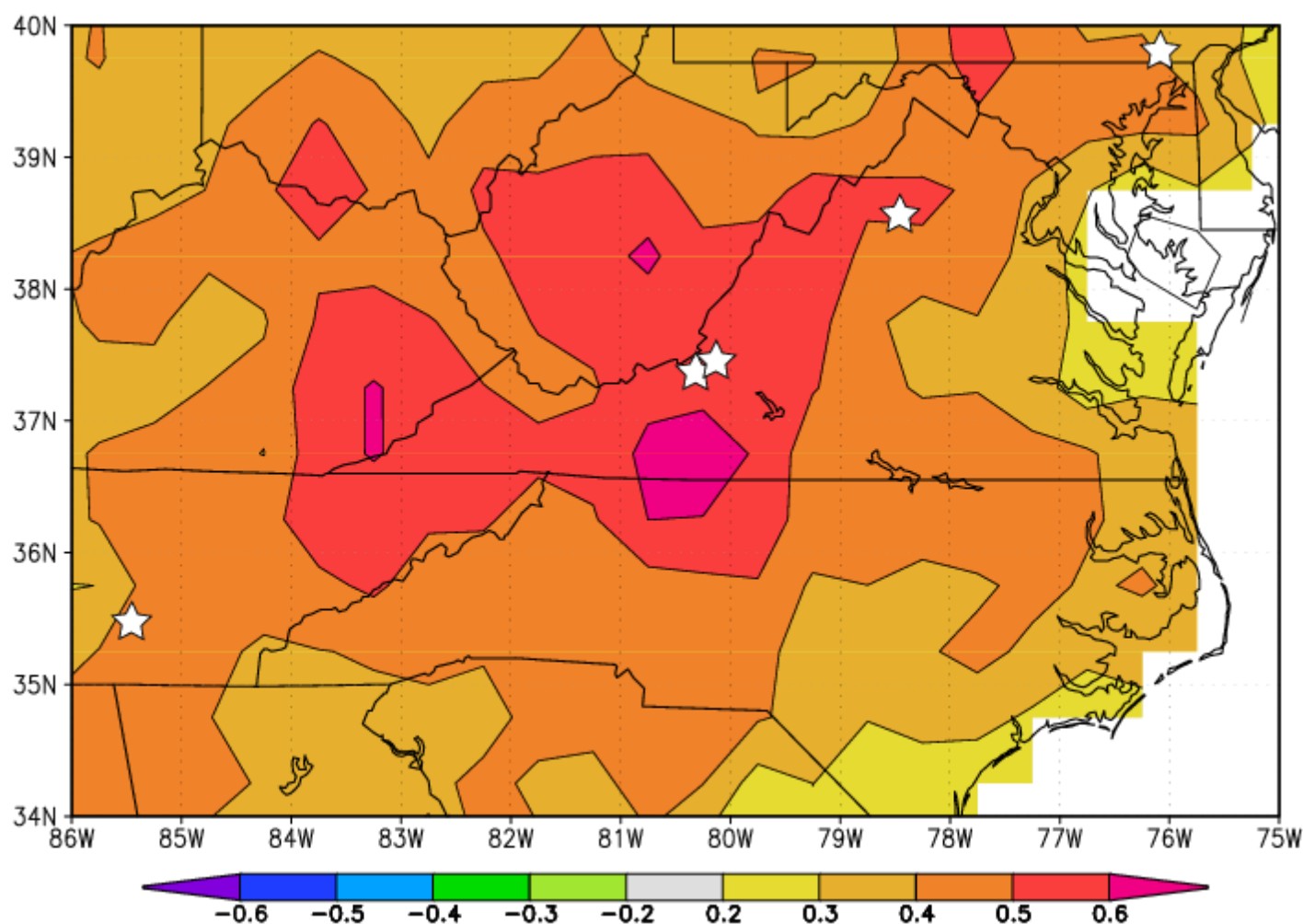
442 Figure 4: Correlation between the Bruch Mountain (BM) and southwest Virginia (SWV) chronologies  
 443 and the gridded (Top) monthly precipitation from previous April (pA) through December (D) as well  
 444 as for average May and June (aMJ); (Center) average PDSI from previous April (pA) through  
 445 December (D) as well as for average June and July (aJJ); and (bottom) average monthly temperature  
 446 from previous April (pA) through December (D).

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451 Figure 3: Correlation map (1901-1981) between the southwest Virginia chronology (SWV) and  
 452 gridded average May-June precipitation (CRUTS3.10). Stars indicate the sample sites of the  
 453 chronologies included in the nested principal component analysis resulting in the growth series  
 454 extending from 1750-1981 (PCA<sub>1750</sub>).

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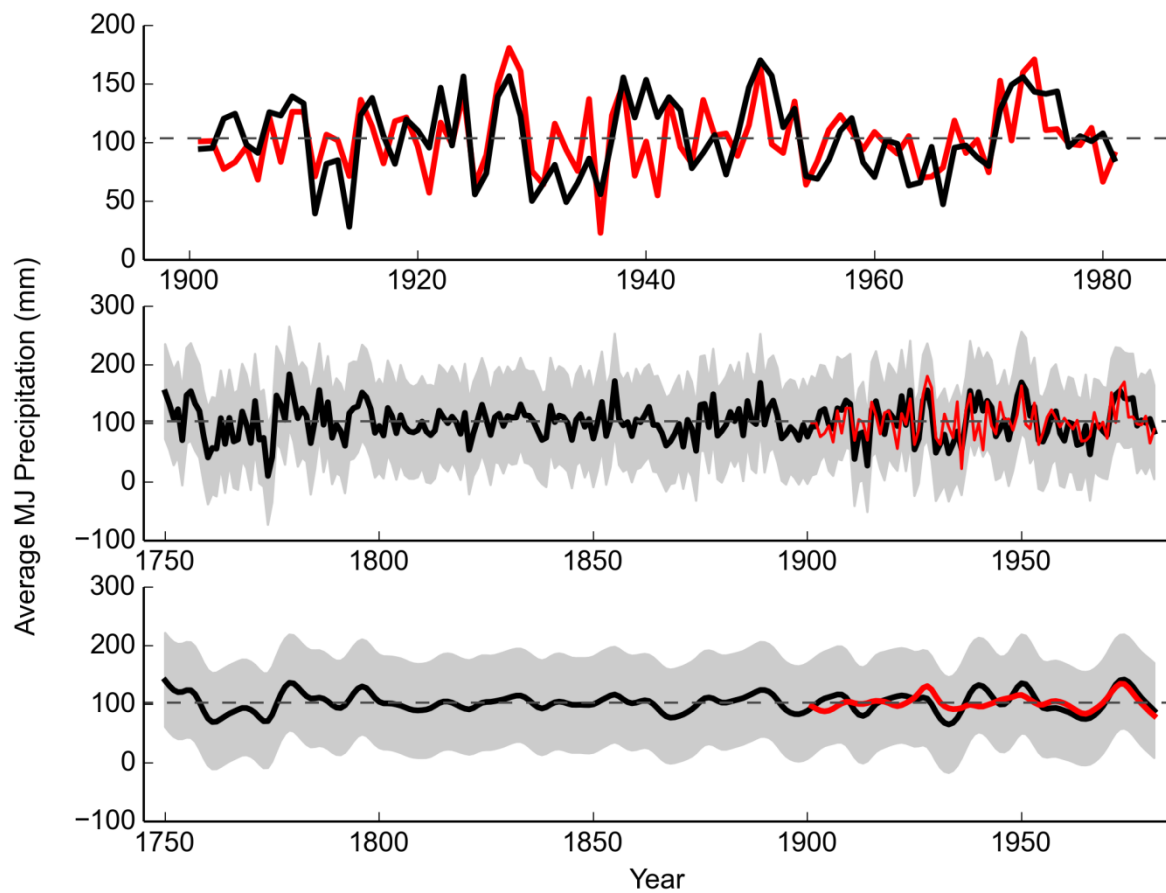


Figure 5: Top panel: southwest Virginia reconstruction (rSWV, black curve) and the average May-June precipitation (red curve; 1901-1981). Middle panel: rSWV reconstruction (black curve), 95% credibility interval (shaded grey area), and average May-June precipitation (red curve) for the 1750-1981 period of reconstruction. Bottom panel: Smoothed (10-year) rSWV reconstruction (black curve), 95% credible interval (shaded grey area), and average May-June precipitation (red curve). Smoothing performed using a 10-year smoothing spline to highlight decadal-scale variability.

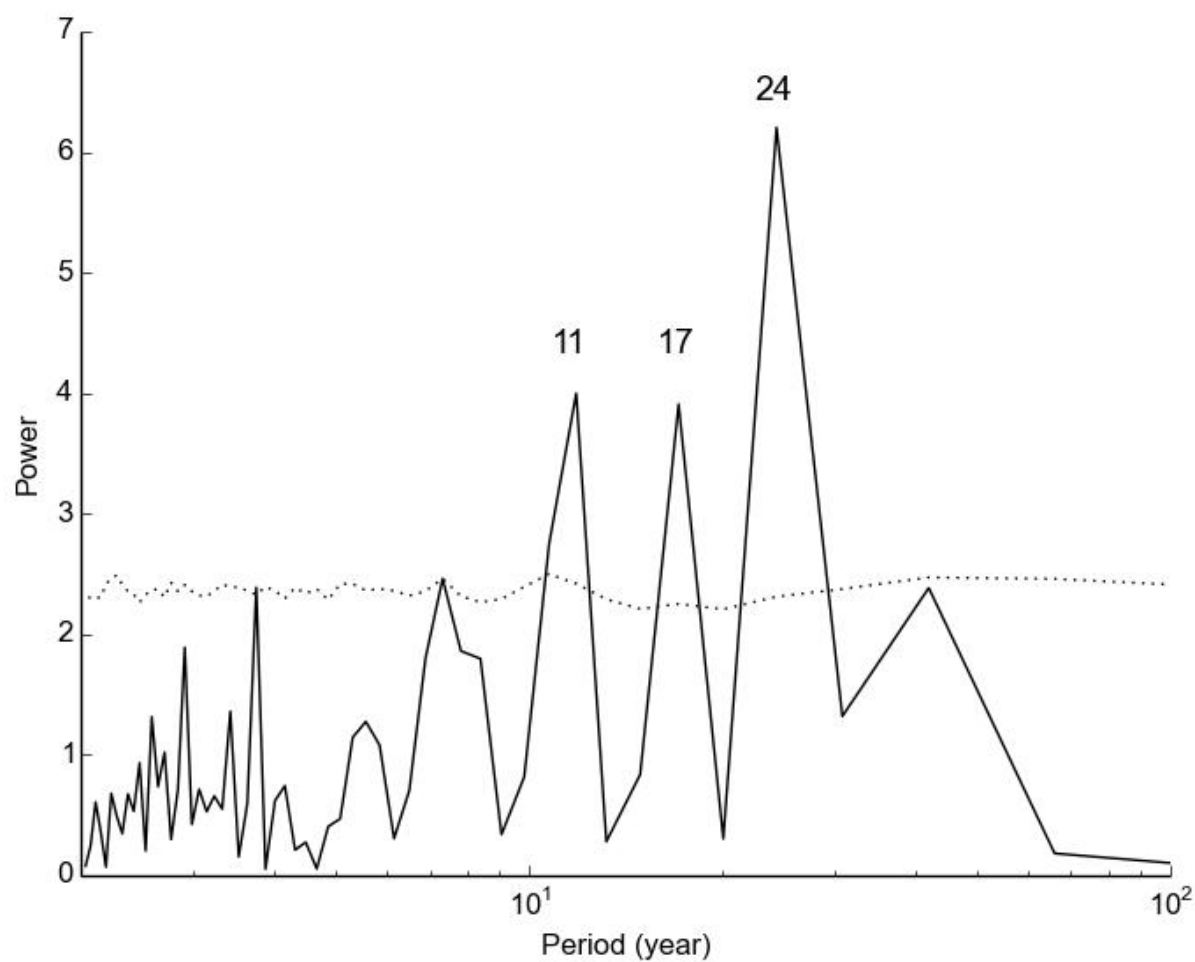
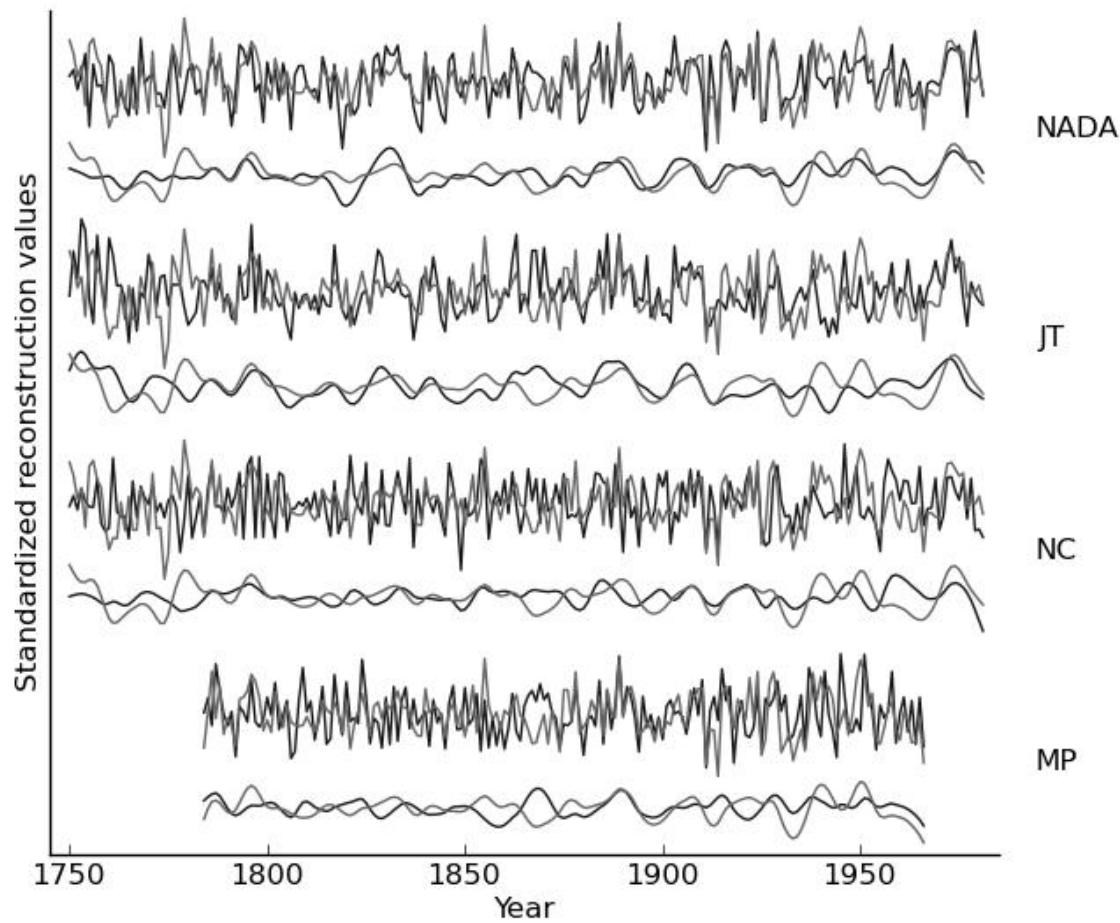


Figure 6: Periodogram of the southwest Virginia reconstruction (rSWV) showing spectral power peaks at approximately 11, 17, and 24 years.



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474 Figure 7: The standardized average May-June precipitation southwest Virginia reconstruction (rSWV,  
 475 grey lines) is plotted on top of the other moisture reconstructions (NADA, JT, NC, and MP; black  
 476 lines) which were significantly correlated to rSWV. Standardized reconstructions are shown at an  
 477 annual scale and at a decadal-scale obtained by application of a 10-year smoothing spline. Particularly  
 478 notable differences between rSWV and the other reconstructions are the year 1774 and the interval  
 479 1863-1872.

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