

# Enhanced LZMA and BZIP2 for Improved Energy Data Compression

Zaid Bin Tariq, Naveed Arshad and Muhammad Nabeel  
*Department of Computer Science, School of Science and Engineering,  
Lahore University of Management Sciences, Lahore, Pakistan  
{zaid.tariq, naveedarshad, muhammad.nabeel}@lums.edu.pk*

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**Abstract:** Smart grid is the next generation of electricity production, transmission and distribution system. This is possible through an overlaid communication layer with the power delivery layer. Due to this communication layer smart grids produce enormous amounts of data. This data may be analyzed for improving the quality of service of smart grids. However, handling such enormous amount of data is a challenge. LZMA and BZIP2 are two industrial strength compression techniques. In this paper we present an enhanced version of these two schemes specifically targeted to smart grid data through a pre-processing step. Our results show that while the original LZMA is able to compress the data size to around 80% our enhanced scheme using the pre-processing is able to reduce the size of the smart grid data to 98% on average.

## 1 INTRODUCTION

Smart Grid is futuristic electricity system which uses modern technology to come up with ways for efficient production, transmission and distribution of electricity. Characterization of data in smart grids is an important activity (Tcheou et al. 2013; Styvaktakis 2013; Ribeiro et al. 2007; Bollen et al. 2009; Bollen et al. 2009) since different communication, sensing and embedded devices generates data that is to be analysed for various applications like demand side management, load forecasting, load monitoring and so on (Tcheou et al 2013; Amin 2005; Vu et al 1997; Vojdan et al. 2008; Ipakchi et al. 2009; Nabeel et al. 2013).

The rate of collection of data may vary according to the application but aggregation interval as short as 200ms may also be needed by an application (Kraus et al. 2009). For example, applications like non-intrusive load monitoring (NILM) may require data generated at a frequency of 16 KHz (Nabeel et al. 2013). Although the data size is relatively small as smart meter data only contains meter id, time stamp and meter reading. But the fast rate of data measurement can result in large accumulation of data. For example, meter data from a set of 10,000 houses can reach data size of terabytes in less than a year (Tcheou et al. 2013; Nabeel et al. 2013). Storage for

such large amount of data becomes a problem. But one can argue that the storage is so cheap that it is affordable for even large amounts of data. However, query processing on large data sets takes a long time and even a small query over a large data set could take hours or even days. Therefore, there is a need to use compression algorithms, which along with providing better compression, are able to decompress data to its original state.

LZMA and BZIP2 are two compression algorithms usually used in smart grid data compression. LZMA provides a compression percentage of nearly 80% while BZIP2 gives a compression percentage of 78%. We have added a pre-processing to these algorithms that enhances the data compression of these algorithms. In this paper we provide details of this step and evaluate it on real smart grid data.

The rest of the paper is organized as follows: section 2 gives the overview of common compression algorithms. Section 3 explains the methodology and justification of our technique and in section 4 the experiment, evaluation and analysis of our technique is explained along with analysis of incorporating our technique with other compression algorithms. Section 5 summarizes the paper along with the possible future work.

## 2 RELATED WORK

For the purpose of comparing our results, we have used LZMA and BZIP-2. Among the different compression algorithms that have been used for compression of power quality data, LZMA and BZIP-2 are considered among the best for compression of power quality data as discussed in (Techeou et al. 2013; Kraus 2009; Azoff 1994). A brief explanation of the features of these algorithms along with selected other compression methods is given below:

### 2.1 LZMA

LZMA is a type of dictionary lossless compression algorithm that uses a large dictionary size for coming up with a compression scheme. The basic idea is to use various dictionary data structures to come up with different symbols within the original file and then use range encoder to encode these repeating symbols. This is a variant of LZ family differs in terms of the construction and size of the dictionary used for compression. As illustrated in section 4, LZMA compresses a smart grid data archive up to 83 percent on average.

### 2.2 BZIP-2

The reason of using this library is because of its prominence in compressing power quality data as discussed in (Kraus et al. 2009). It is a type of block sorting algorithm that uses Burrows-Wheeler block sorting text compression algorithm along with integrating Huffman encoding. It gives relatively faster compression and decompression rate compared to the conventional LZ77 which is precursor to LZMA (LZMA SDK web. 2014). However, BZIP-2 needs better computing resources because of its usage of block sorting mechanism. BZIP-2 gives 79 percent compression on average as illustrated in section 4.

### 2.3 Differential Compression

This technique uses the similarity with the previous readings to compress the data. It looks for the relation between the previous value in the data and the current value and based on this relation it takes the decision of placing the data in its compressed format which reduces the overall data size. This type of technique is useful for the types of data sets which have do not have much variations in its readings and in ideal situations, this technique can give up to 98% compression (Cormack et al. 1987).

### 2.4 PPM

Prediction by partial matching (PPM) is a technique that uses statistical modelling of the data to predict the upcoming values of the data and compress it accordingly. The predictions in PPM are essentially the symbols rankings. The technique uses previous 'n' symbols to come up with the statistical model and if the prediction is not possible with the help of previous 'n' symbols, then previous n-1 symbols are utilized for coming up with a prediction. This process is continued until the end of the data. The results as in (Moffat 1990) shows that the technique is able to compress 2.4b/character for English text at a very high speed.

Our proposed strategy implements a pre-processing to convert a smart grid archive into a format which greatly enhances the results produced using LZMA and BZIP2 compression technique. The method converts an archive into a format which best suits the LZMA and BZIP2 methods of compression. Hence when implementing the overall compression, LZMA or BZIP2 are considered as a black box. Using this pre-processing the overall time and compression efficiency are greatly improved.

## 3 METHODOLOGY

In this section, we provide the methodology for pre-processing the smart grid data archive. A record of reading from a smart meter consists of a valid time stamp, meter id and the corresponding current reading (Nabeel et al. 2013). For any smart grid data at high frequency the time series is the main component that takes the most space in the data (Azoff 1994). Figure 1 shows the plot for thirty sets of smart grid data. This data show that times series consists of up to 70% of the total smart grid data and hence can be smartly compressed to reduce the overall data size.

A basic property of smart grid data which helped us to come up with our enhanced technique is the time interval in time series data. Although the time interval may vary from application to application in smart grids, but for a particular application, for example for load forecasting, the time interval between the previous and next reading is fixed (Nabeel et al. 2013). To demonstrate the firmness with which this time interval property is followed, figure 2 shows the plot of the number of readings for which the time interval property

was followed. It shows that the anomaly in the interval of the time stamp between a previous and next time stamp is less than two percent. Using this property of time interval of recording a reading, we can save the compressed file such that we can easily retrieve the time series from it. The following subsection provided the implementation and details of our technique.

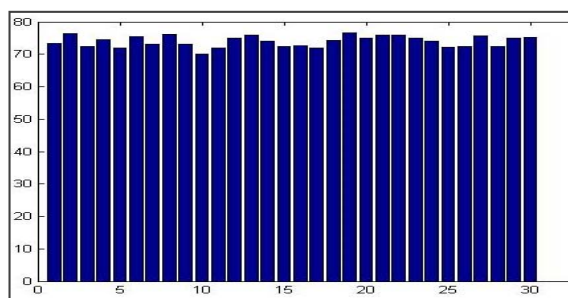


Figure 1 : Percentage of time stamps across thirty smart grid data archives.

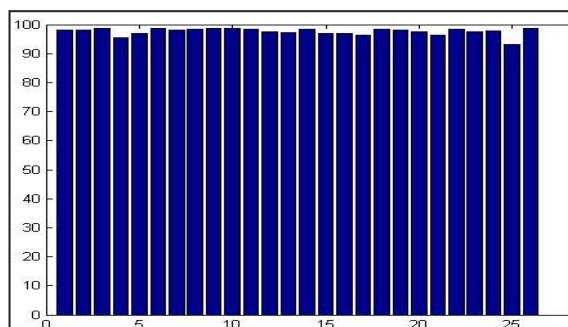


Figure 2 : Plot of percentage of time stamps for which the time interval property was followed for thirty archives from REDD.

### 3.1 Compression using Time based Lossless Encoding (Taled)

As mentioned above, it can be inferred that we only need to save the first time stamp of the data. After it the next time stamp can be calculated by the addition of previous timestamp and time interval. The rest of the time series can be predicted by the time interval property of smart grid data. Since the time interval of one record of data is fixed it could be one second or half a second or even a millisecond.

After the first timestamp is recorded the algorithm looks for time intervals by subtracting one timestamp reading from the previous one. If the difference is equal to the time interval then we do not record any timestamp of the later data. However, if the

subtracted interval is not equal to the expected time interval then it means that we have a missing reading in the data. Our technique records the time stamp before and after the missing reading. This allows us in decompressing the correct data back into its original form. Finally, the algorithm also saves the last timestamp of the original data. Using this technique the new compressed file has timestamps of start, end and before and after missed readings. The Pseudo algorithm for this technique is given below.

#### 3.1.1 Algorithm

The following algorithm is used to pre-process the data to remove the time stamps from the data.

Input: File, Interval

Output: New file containing a column of Electric current readings and a row of timestamps where the interval property was not followed

```
Taled_Compression(file_name, interval){
    previous_time = 0
    current_time=filename>first_TimeStamp;
    store_timestamp_in_NewFile(current_time)
    while (! end_of_file)
    while(abs(previous_time-
    current_time)!>Interval)
        store_timestamp_in_NewFile(current_
        time,previous_time);
        Last_reading =current_time;
}
```

### 3.2 Decompression using Taled

As mentioned earlier, the compressed file will contain the time stamps and current readings. There is a high possibility of time stamp being more than two (two stamps will always be there, one representing the first and second representing the last time stamp recorded) because of the anomalous disturbance in interval or date change as discussed previously. So we can get the first time stamp and start saving the corresponding current reading in a new file until our current counter of time reaches the second time stamp saved in the compressed file. At this point we will get the next saved time stamp and continue saving the time and current readings in the decompression file by again incrementing by interval procedure as mentioned above while still taking care of the anomalies. This

process will be repeated until we reach the final time stamp. Note that the current readings extracted from the compressed file are saved in the same order such that the first time stamp corresponds to first current reading and next reading to the next time stamp saved in decompression file (the occurrence of anomaly in time stamps can easily be adjusted for current reading). The pseudo algorithm for decompression is given as under.

### 3.2.1 Algorithm

The following algorithm is used for decompressing the file compresses using the method explained in section 3.1 for removing timestamps from a smart grid data archive

```

Input: file from the output of algorithm in
section 3.1.1. Interval

Output: Decompressed file containing a column
of timestamps and Electric current readings

Taled_Decompression(filename, Interval)
listTimeStamp=get_Time(filename);
list.electricCurrent=getCurrent(filename);

timeStampPtr=listTimeStamp>head();
while (timeStampPtr!=NULL)
{
    while (timeStampPtr->time!=
timeStampPtr->nxt->time)
    {
        timeStampPtr->time+=interval;

        putinNewFile(timeStamp_ptr
->time,ElectricPtr->current);
        ElectricPtr=&ElectricPtr-
>next;
    }
    timeStampPtr=timeStampPtr->next;
}

```

### 3.3 Enhanced LZMA and BZIP2

Along with the time interval property there are other features in smart grid data that can be used to our advantage. One of the feature is very less fluctuation of electric current readings. This is because at any particular time in a household, a specific number of appliances will be under use. The time for which these specific appliances are turned on is not short. For example, if an air condition is turned on then there

is a high possibility that it is going to remain in ‘on’ state for a longer period. So a specific electric current reading is going to repeat and fluctuate more around a particular electric current value hence resulting in high repetition of these current values.

Taking the difference of an electric current reading from its previous reading converts the electric current readings part of the data into high frequency repeating symbols. In order to show this particular trend, figure3 plots four archives taken from REDD data set (Kolter et al. 2011). The plot shows the percentage of each of the repeating symbols like ‘0’, ‘1’, ‘-1’ after the delta manipulation is applied to the current readings. It can be inferred from figure 3 that these repetitions of symbols consists of high majority of the compressed file when time based lossless encoding along with difference of consecutive electric current readings are taken. In all of the four archives used, the occurrence of symbol “0” is very high thus indicating that electric current readings do not vary a lot in smart grids data. Also another important observation is the very low occurrence of the symbols other than the symbol “0” or “1” as can be seen in all the four figures of figure 3 that the sector showing the symbols under the “other” heading is less than 3% for all the four archives. This particular inference sets up the basis for the author to use LZMA and BZIP2 on this manipulated archive. LZMA as mentioned earlier, uses range encoder to encode the repeating symbols in a file and BZIP2 also uses a dictionary of symbols to compress a file. The Taled algorithm along with difference of electric current readings helps to increase the compression rate of the data.

## 4 EXPERIMENTS & EVALUATION

To demonstrate the validity and usefulness of the proposed technique, the power quality data provided by REDD was used to perform the experiments (Kolter et al. 2011). As mentioned above, the timestamp forms the majority of the power quality data. As already shown above in Figure 1 the time stamps consist of around 72 – 76 percent of the power quality data.

### 4.1 Results for Time Stamp Removal and Difference of Electric Current Readings

For evaluating the time based compression of the

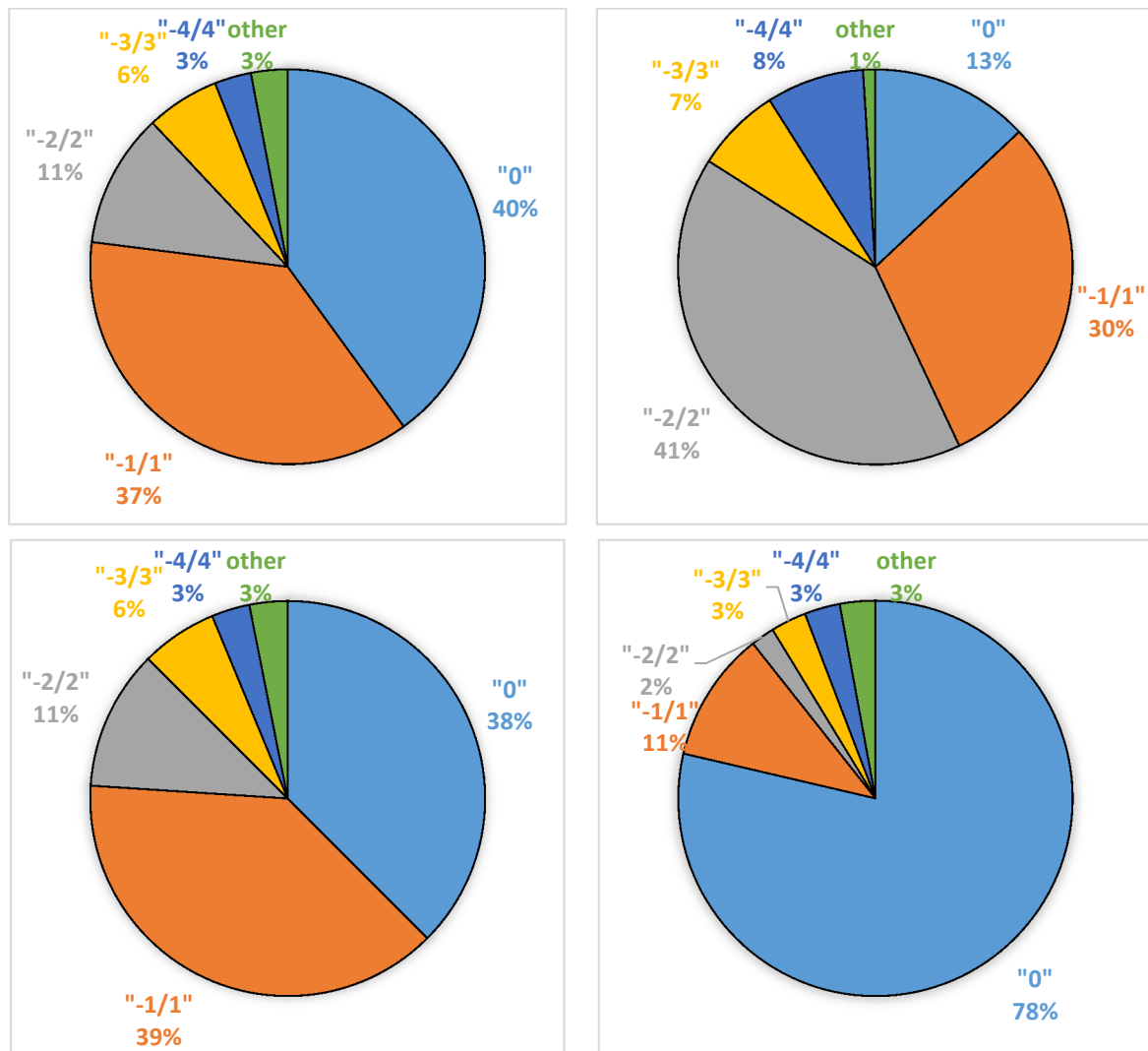


Figure 3: Plot of the percentage of symbol wise occurrence in four archives taken from REDD data set after applying Taled technique along with the difference of the proceeding electric current readings. Each sector of the pie chart above shows the offset of the electric current readings from its previous reading forming a symbol of form “\*/-\*”.

smart grid data, the technique was applied to six archives provided in REDD data and the results are tabulated in table 1. The parameters on which the results were based are: compression ratio, percentage of the original data compressed and the rate of compression and rate of decompression. The compression ratio is defined as under:

$$CR = \frac{\text{Size of Original file}}{\text{Size of compressed file}}$$

The results show the consistency of the compression strategy for various data sets. On average 73% compression is achieved with a variation of only 3%. The actual time used for compression and decompression are shown rather than the

compression and decompression rate, which may vary according to the size of the original file. This has been deliberately done to show that the majority of the compression and decompression time is spent in loading and unloading the file into the memory. The technique (as seen from the Pseudo algorithm before), uses time based encoding, while utilizing very less memory thus making it a good option for the applications which involve embedded devices or otherwise have constrained memory.

## 4.2 Comparison of Simple LZMA and BZIP2 with Their Enhanced Versions for Smart Grids

After pre-processing with Taled the LZMA and BZIP-2 are applied to get even better compression rate. Because Taled involve the loading a constant amount of the data into the memory, differential delta compression is also incorporated to deal with the current readings in smart grid data. The results of the compression by this incorporation of differential delta encoding is tabulated in table 2. One thing to note is that the compression ratio and percentage compression have increase to 83% on average while still maintaining a comparable time of compression without incorporating the differential compression. This idea led the author to incorporate well-known compression techniques with Taled algorithm. The detail of this incorporation is already discussed in section 3.3. The evaluation of using the proposed technique by comparing the results with well-known compression techniques are discussed as under:

Table 1: Lossless Taled applied on six different archive.

| Uncompress<br>d File<br>size/KBs | Compress<br>d file<br>size/KBs | Compres<br>sion ratio | Percentage<br>reduction in<br>size | Compress<br>ion/MB<br>per sec |
|----------------------------------|--------------------------------|-----------------------|------------------------------------|-------------------------------|
| 27,042                           | 7,216                          | 3.75                  | 73.3%                              | 7.7                           |
| 19,944                           | 4,729                          | 4.22                  | 76.3 %                             | 6.6                           |
| 25,058                           | 6,938                          | 3.61                  | 72.3 %                             | 7.7                           |
| 28,676                           | 7,350                          | 3.90                  | 74.4 %                             | 7.6                           |
| 5,336                            | 1,501                          | 3.55                  | 71.9%                              | 7.9                           |
| 14,918                           | 3,651                          | 4.09                  | 75.5 %                             | 7.8                           |

Table 2: Results of Taled + manipulated Differential delta.

| Uncompress<br>ed File<br>size/KBs | Compress<br>d file<br>size/KBs | Percentage<br>reduction in<br>size | Time<br>Compres<br>sion/s | Time<br>decompre<br>ss-ion/s |
|-----------------------------------|--------------------------------|------------------------------------|---------------------------|------------------------------|
| 27,042                            | 5360                           | 80.2%                              | 4.5                       | 4.2                          |
| 26,456                            | 5113                           | 80.8%                              | 4.4                       | 4.1                          |
| 11,664                            | 2216                           | 81.0%                              | 4.0                       | 3.9                          |
| 11,663                            | 2230                           | 80.9%                              | 4.1                       | 3.9                          |
| 12,032                            | 2334                           | 80.6%                              | 3.9                       | 4.0                          |
| 11,721                            | 2262                           | 80.7%                              | 4.2                       | 3.7                          |

As discussed earlier that Taled along with taking the difference of the electric current readings converts the smart grid data archive into a stream of high frequency repeating symbols which sets up the base for enhancing LZMA and BZIP2. LZMA and BZIP2 were used to compress the file along with applying

Taled algorithm. The resulting method, for the purpose of short referral lets call it LZMA++ and BZIP2++ respectively. The library used for implementing LZMA and BZIP2 are in (Mofat 1990), and the results are confirmed from 7-zip library. REDD archives were used for confirming the author's method with the file size as follow: Large-211MB, Medium-27MB, Small-11MB.

The results for the compression ratio and percentage reduction in file size are show figure 4 and 5 respectively. The results show the benefit of using LZMA++ and BZIP2++. Both show an increase in percentage compression up to 99% compared to simple LZMA and Bzip2, which compressed 80% on average for the three types of file as seen from figure 5. Figure 4 shows the compression ratio of three files again confirms the effectiveness of LZMA++ and BZIP2++ for compressing the smart grid data. For both LZMA++ and BZIP2++, the compression ratio is more than 45. Another benefit of using LZMA++ can be seen from table 3 which also shows the results for the time taken to compress a large file (211MB).

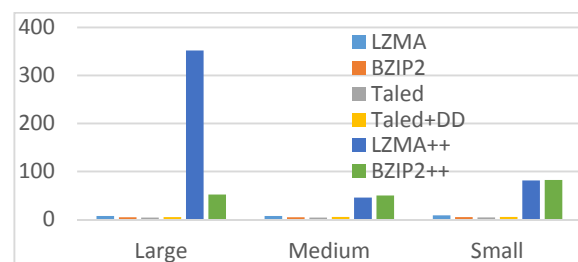


Figure 4: Compression of ratio of the selected techniques along with the author's method.

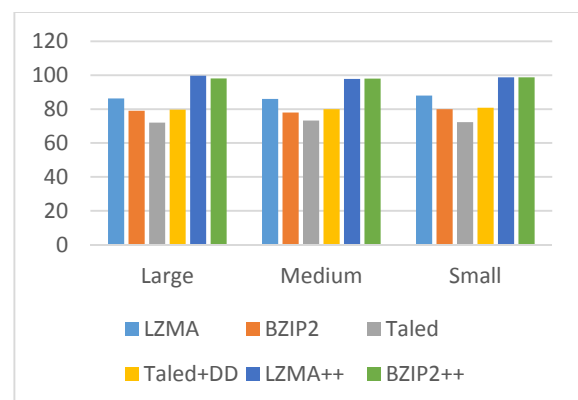


Figure 5: Percentage compression for the selected compression techniques.

Table 3: Comparison of compression and decompression rate of simple LZMA and BZIP2 with enhanced LZMA and BZIP2.

| Method  | Compression time/sec | Percentage reduction in size | Decompression time/s |
|---------|----------------------|------------------------------|----------------------|
| LZMA    | 172                  | 86.3%                        | 6                    |
| BZIP2   | 25                   | 79.0%                        | 5                    |
| LZMA++  | 61                   | 99.7%                        | 38                   |
| BZIP2++ | 38                   | 98.1%                        | 37                   |

Table 4: Results for the decompression time in seconds the six archives from REDD.

| File Size/KBs | LZMA | LZMA++ | BZIP2 | BZIP2++ |
|---------------|------|--------|-------|---------|
| 27042         | 2.0  | 4.2    | 1.1   | 4.2     |
| 26456         | 2.1  | 4.1    | 1.1   | 4.0     |
| 11664         | 1.9  | 4.0    | 0.9   | 4.0     |
| 11663         | 1.9  | 4.0    | 0.9   | 3.9     |
| 12032         | 1.9  | 3.9    | 0.9   | 3.9     |
| 11721         | 1.9  | 4.0    | 0.9   | 3.9     |

Table 3 shows that in case of LZMA++ for a file size of 211MB, the time for compressing a file reduces by 3 times while still achieving 13% more compression than simple LZMA. Table 5 gives a more elaborated comparison of compression for wide range of file sizes and archives for LZMA++ and BZIP2++ by giving the compression time for wide range of file sizes. It can be seen from Table 4 that BZIP2++ increases the compression percentage but the compression rate is reduced because of incorporating Taled. Table 4 also shows the comparison of decompression rate of LZMA++ and BZIP2++ with simple LZMA and BZIP2 for different sizes of data archives. It can be seen that the decompression rate of LZMA++ and BZIP2++ is considerably less than the simple LZMA and BZIP2. From this it can be inferred that the technique will not be useful for applications requiring fast decompression. When deciding the use of a specific compression algorithm, the need/weightage of each compression percentage, compression and decompression rate should be considered. LZMA++ and BZIP2 will be helpful in increasing the compression percentage (rate of compression as well in case of LZMA++). The stability of LZMA++ and BZIP2++ can also be seen from the discussion above that it maintains a percentage compression of 98% on average.

Table 5: Comparison of the time taken in seconds to compress a file for LZMA and BZIP2 and their enhanced versions applicable for smart grid data.

| File Size/KBs | LZMA | LZMA++ | BZIP2 | BZIP2++ |
|---------------|------|--------|-------|---------|
| 27042         | 17.7 | 7.5    | 4.3   | 4.6     |
| 26456         | 15.2 | 5.4    | 4.2   | 4.5     |
| 11664         | 5.6  | 3.2    | 2.2   | 4.4     |
| 11663         | 6.7  | 3.1    | 3.1   | 4.0     |
| 12032         | 6.2  | 3.3    | 1.7   | 3.9     |
| 11721         | 8.1  | 3.7    | 2.6   | 3.9     |

## 5 CONCLUSION AND FUTURE WORK

The author observed that the smart grid data mainly consists of a time stamp and current reading. It can also be observed that around 73% of the data consists of time stamp reading which combined with a particular current reading is useful for the analysis of power quality data and coming up with novel techniques for data compression in smart grids. The author also observes that most of the time, these time stamps follow a particular pattern in a way that the interval of next and previous time stamp remains same leading to the idea of saving the compressed power quality data file in a way such that there is no need to compress the time stamps and hence leading to the possibility of getting rid of 73 % (on average) of the data before even applying compression on a particular Smart Grid data archive. The time stamp is saved in a way such that the interval is used to retrieve the time stamps back when the file is decompressed. The usefulness of this initial work is demonstrated by improving the already available compression technique in terms of their compression results for smart grid data archives. For demonstrating this, the technique was used to improve LZMA and BZIP2 to get LZMA++ and BZIP2++ respectively. The results show an increase in compression up to 98% on average for both BZIP2 and LZMA. For the case of LZMA, the time taken to compress a particular power quality data file decreases by 3 times hence improving its rate of compression. The current method needs a data archive to be present at the server or somewhere in the memory to compress the file, in future work, there is still a need for real time compression of the incoming readings from a smart meter recorded readings from a particular appliance. Our future work will address this issue.

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