

EN.601.482/682 Deep Learning

# Network Architectures

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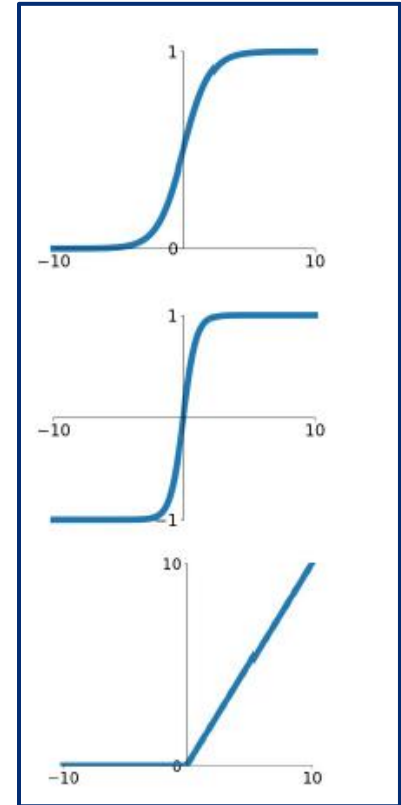
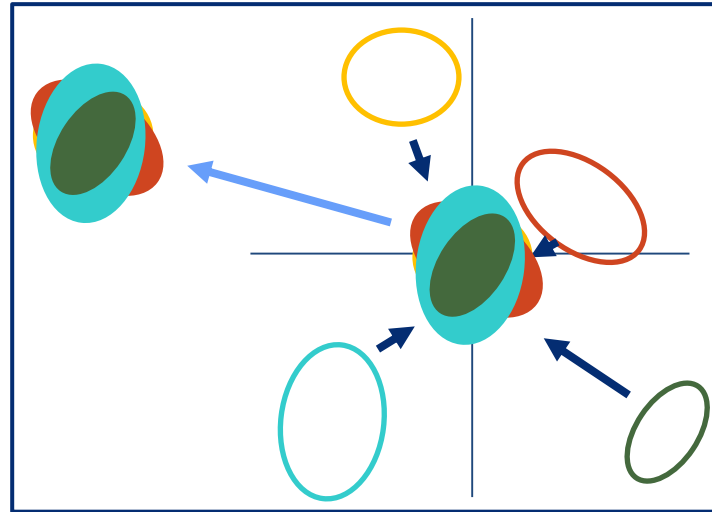
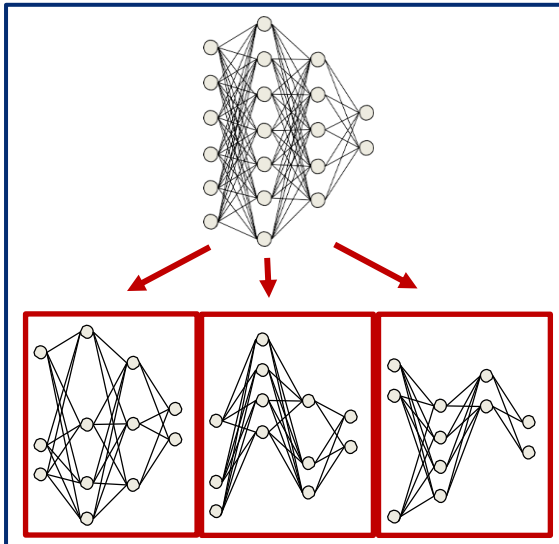
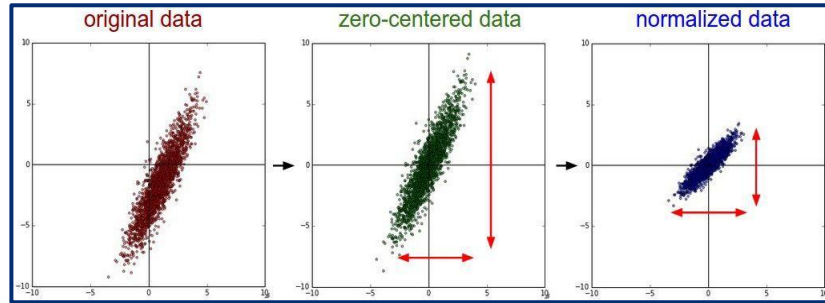


# Reminder

## ConvNets

- One-time setup
  - Architecture (**TODAY**)
  - Activation functions (sigmoid, ReLU, ...)
  - Regularization (batch norm, dropout)
- Training
  - Data collection: Preprocessing, Augmentation
  - Training via SGD (update rules)
- Transfer learning

# Reminder



# Reminder

$$g_t = \nabla_W L(W_t)$$

Bias correction

Momentum

$$S_i^{(1)} = (\rho_1 S_i^{(1)} + (1 - \rho_1)(g_t)_i) (1 - \rho_1^t)^{(-1)}$$

RMSProp

$$S_i^{(2)} = (\rho_2 S_i^{(2)} + (1 - \rho_2)(g_t)_i^2) (1 - \rho_2^t)^{(-1)}$$

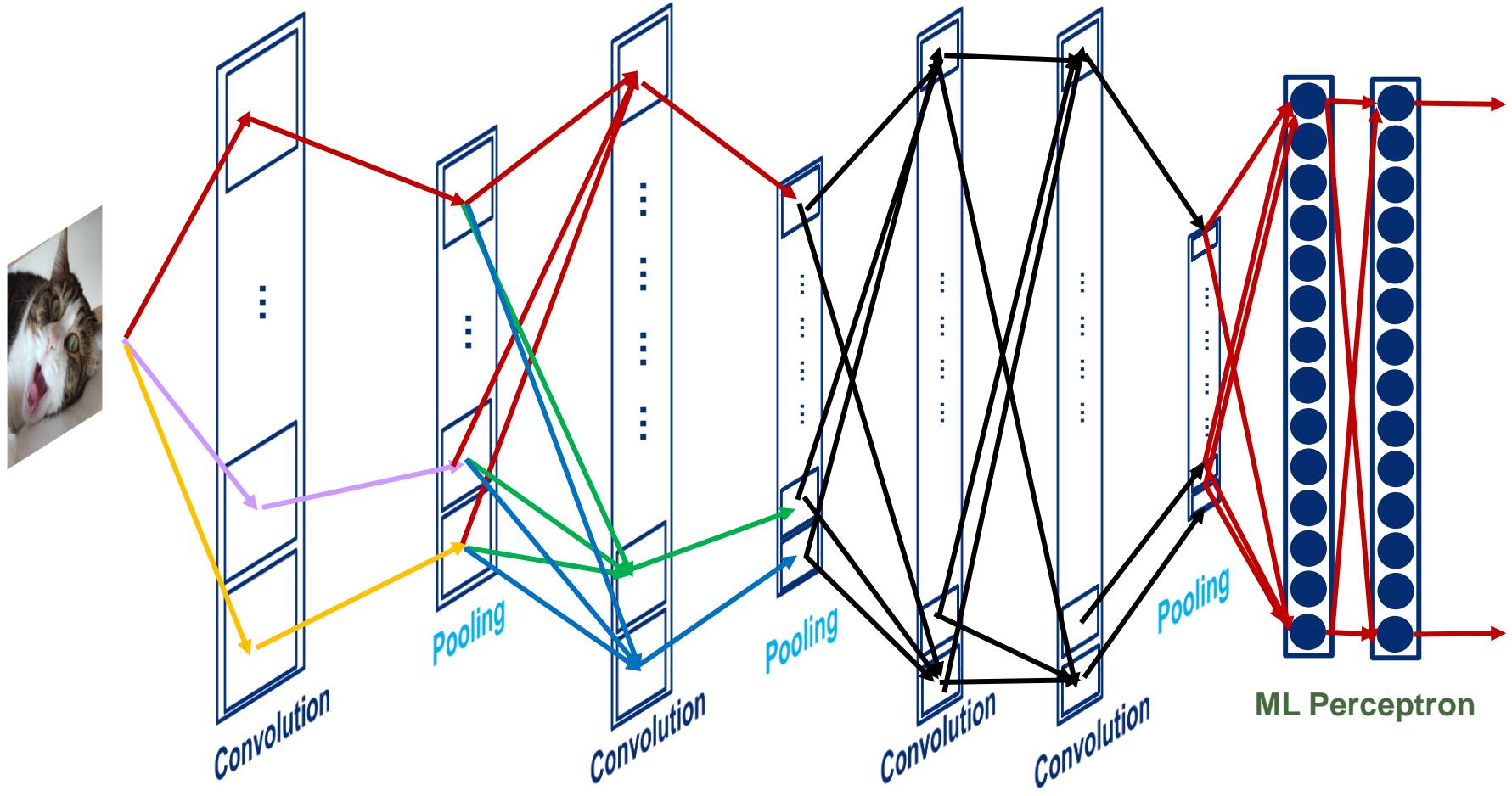
$$(dW_t)_i = \frac{\alpha}{\sqrt{S_i^{(2)} + \epsilon}} S_i^{(1)}$$

$$W_{t+1} = W_t - dW_t$$

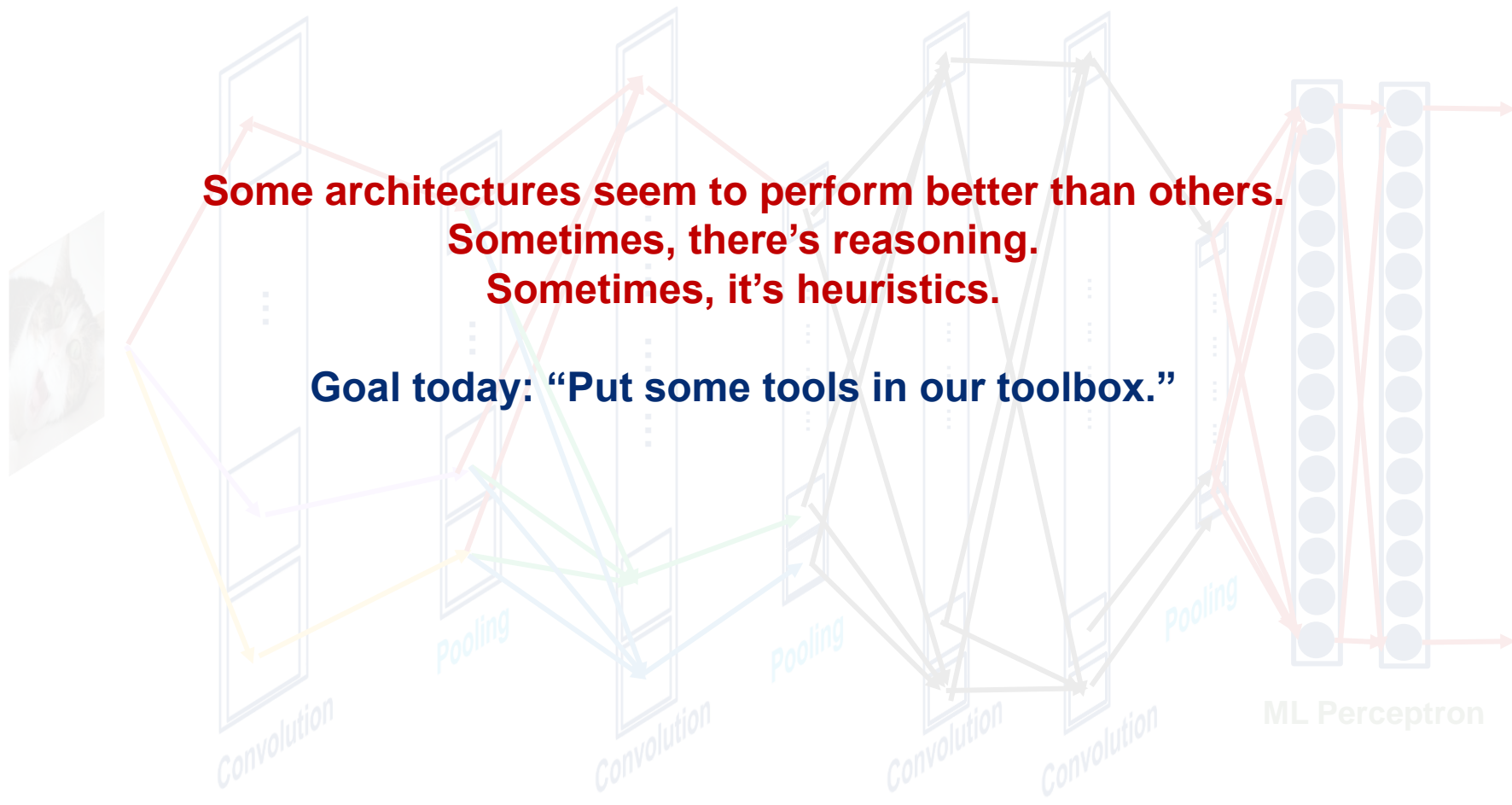
Adam: Combines SGD + Momentum and RMSProp

- Optimization is complicated largely due to saddle points (not local minima)
- 1<sup>st</sup> moment helps for minima, 2<sup>nd</sup> moment helps for saddle points

# The General Architecture of ConvNets



# The General Architecture of ConvNets



# Today's Lecture

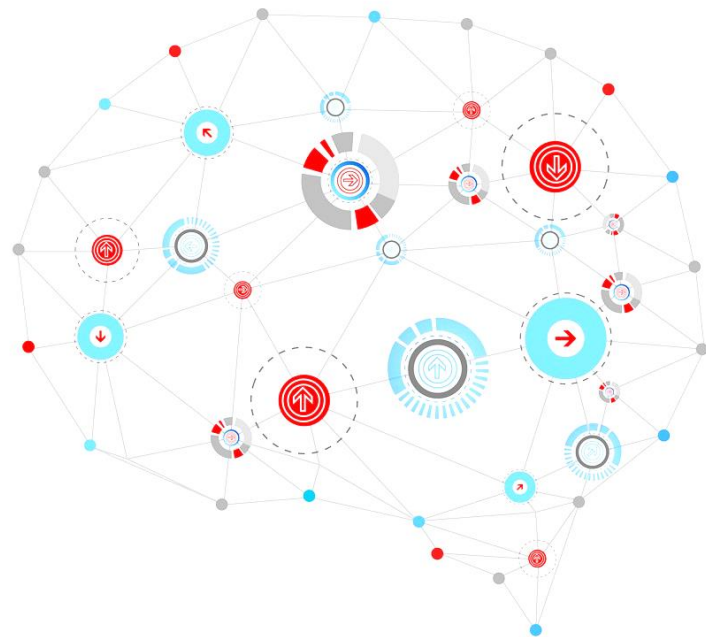
AlexNet

VGG

ResNet

U-Net

Transfer Learning



# The Humble Beginnings

## LeNet-5

State-of-the-art performance on MNIST digit recognition ( $< 1\%$ )

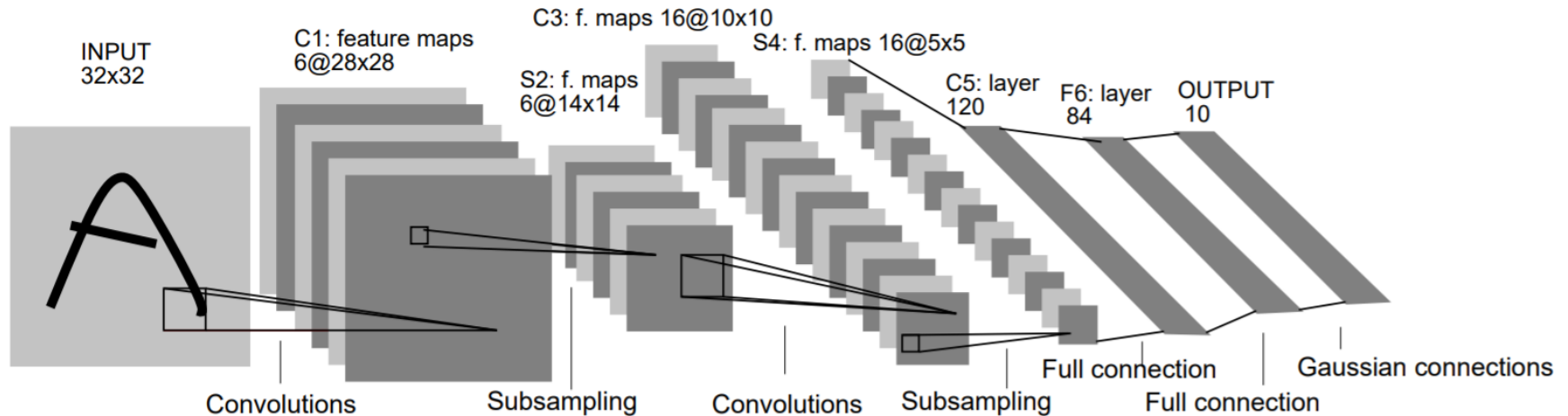


Fig. 2. Architecture of LeNet-5, a Convolutional Neural Network, here for digits recognition. Each plane is a feature map, i.e. a set of units whose weights are constrained to be identical.

[LeCun, Y., Bottou, L., Bengio, Y., & Haffner, P. \(1998\). Gradient-based learning applied to document recognition. Proceedings of the IEEE, 86\(11\), 2278-2324.](#)



Network Architectures

# AlexNet

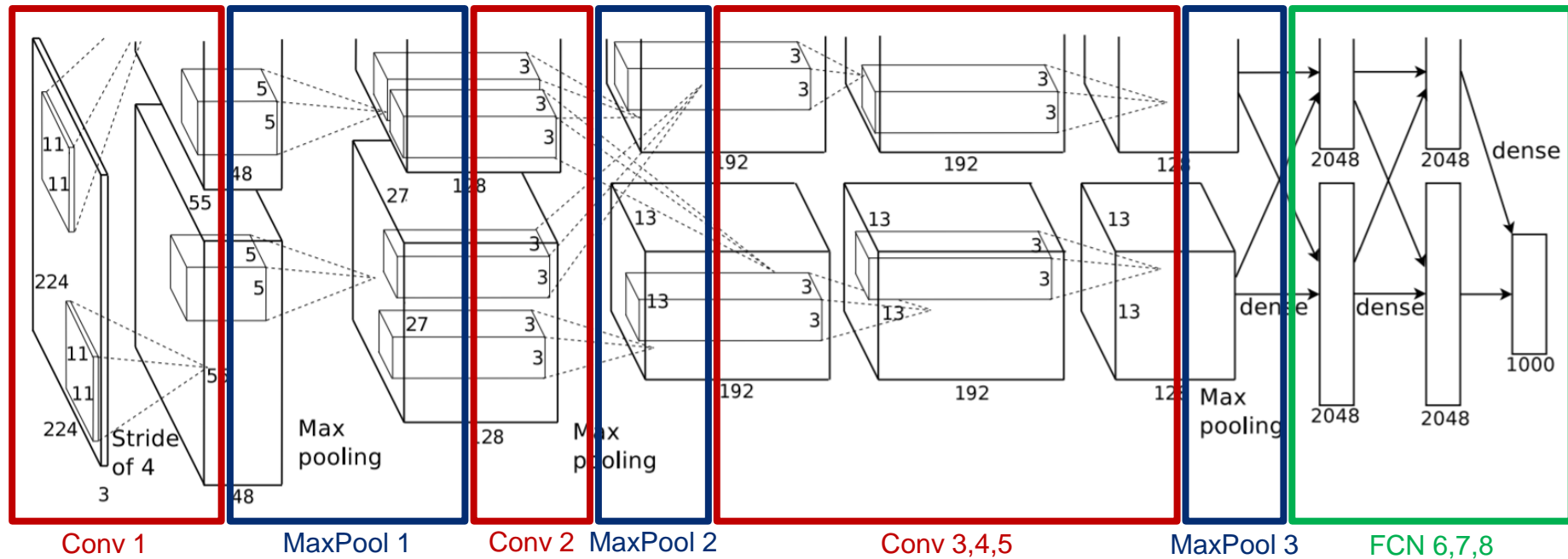


## The start of the Deep Learning hype

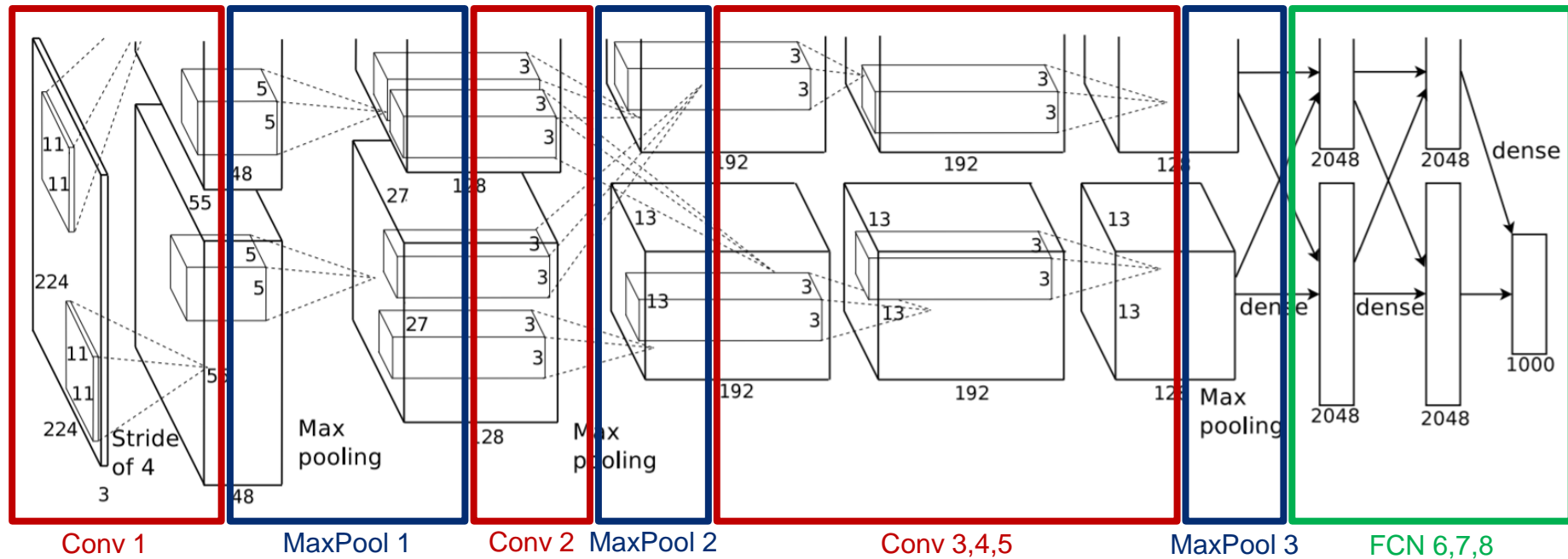
Krizhevsky, A., Sutskever, I., & Hinton, G. E. (2012). Imagenet classification with deep convolutional neural networks. *NeurIPS* (pp. 1097-1105).

Table 1: Comparison of results on ILSVRC-2010 test set. In *italics> are best results achieved by others.*





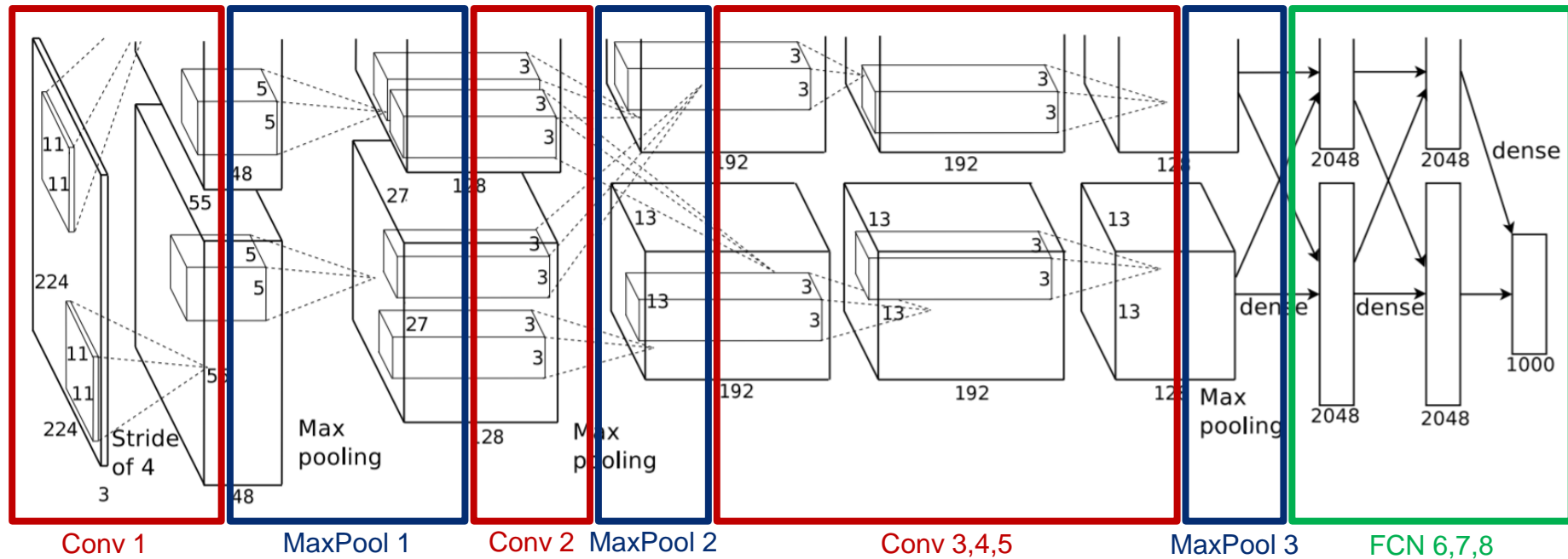
[Krizhevsky, A., Sutskever, I., & Hinton, G. E. \(2012\). Imagenet classification with deep convolutional neural networks. NeurIPS \(pp. 1097-1105\).](#)



**Input:** 227 x 227 x 3

**Conv 1:** 96 11 x 11 filters applied at stride 4.

What is the output size?



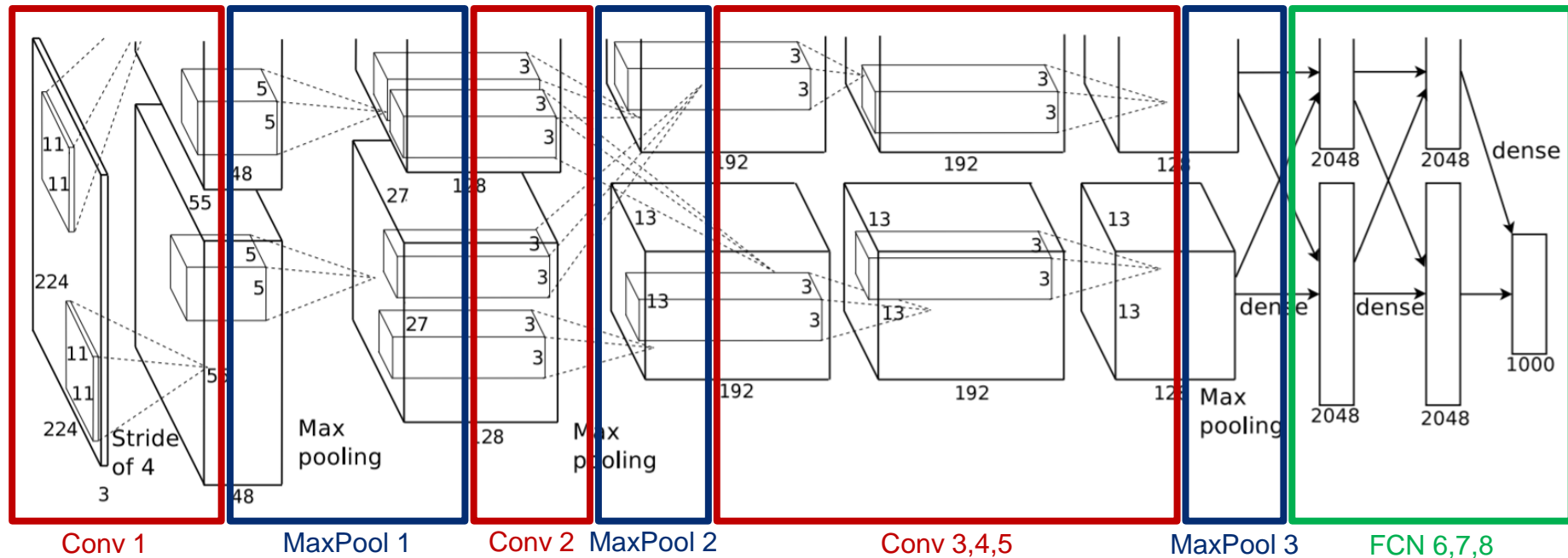
**Input:** 227 x 227 x 3

**Conv 1:** 96 11 x 11 filters applied at stride 4.

What is the output size? **55 x 55 x 96**

How many parameters?

[Krizhevsky, A., Sutskever, I., & Hinton, G. E. \(2012\). Imagenet classification with deep convolutional neural networks. NeurIPS \(pp. 1097-1105\).](#)



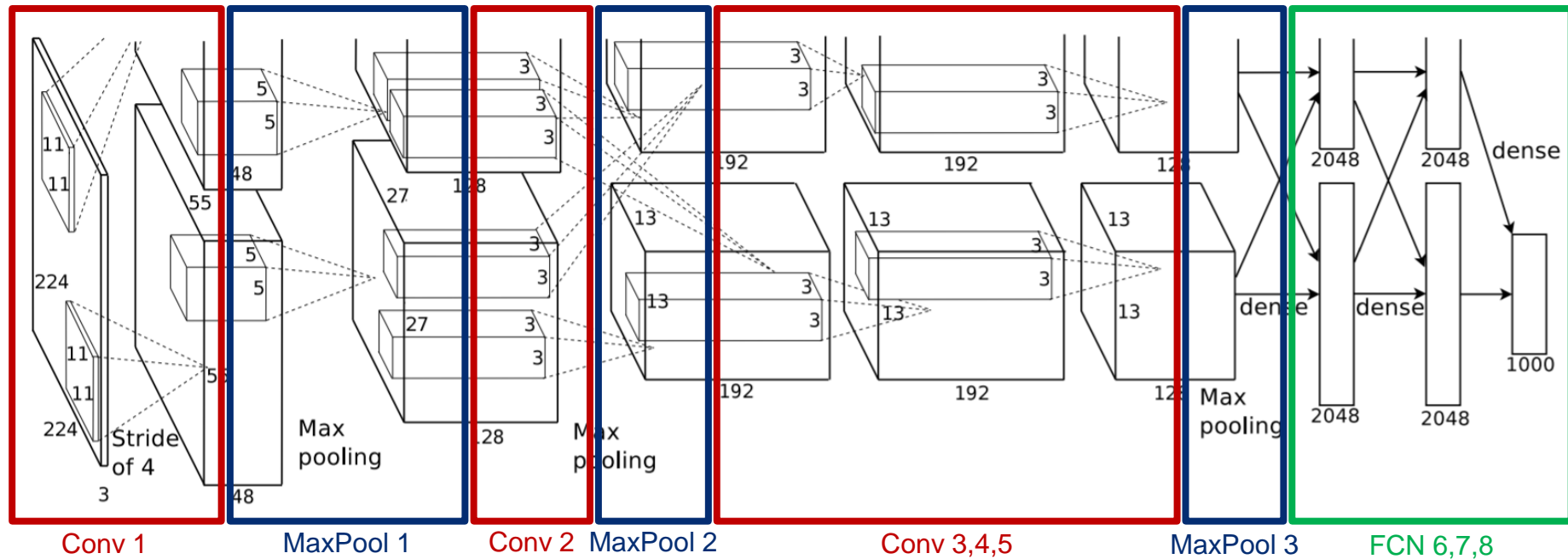
**Input:** 227 x 227 x 3

**Conv 1:** 96 11 x 11 filters applied at stride 4.

What is the output size? **55 x 55 x 96**

How many parameters? **34,944**

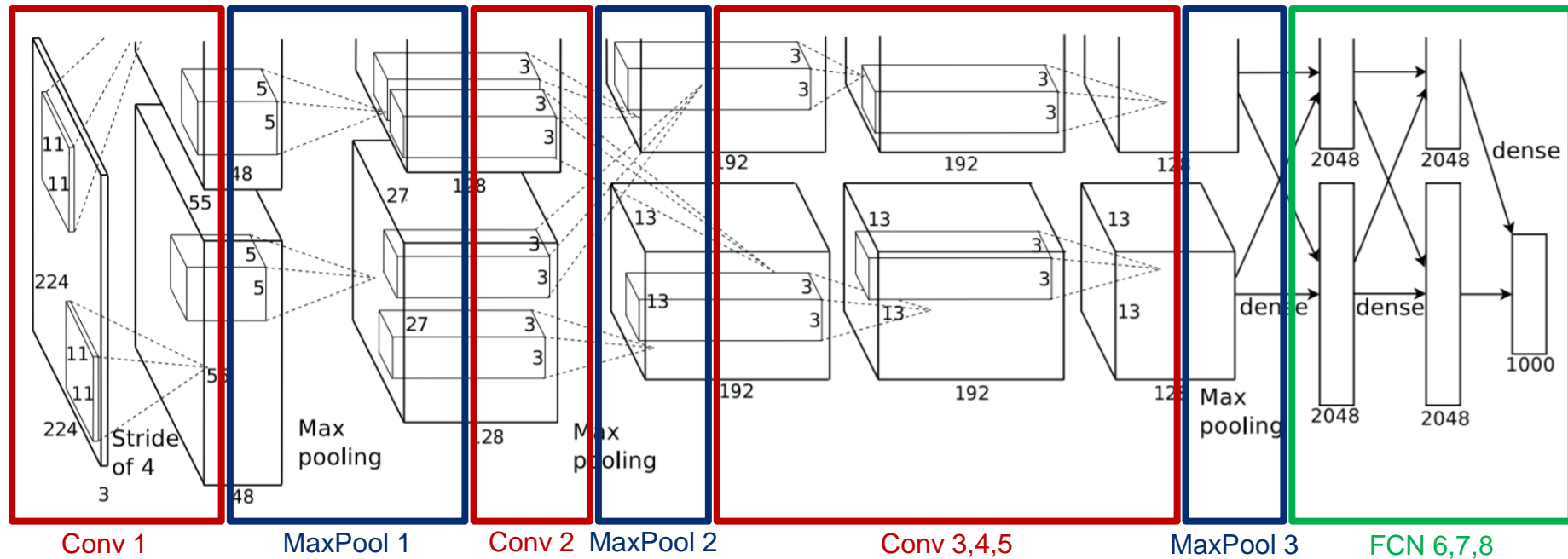
[Krizhevsky, A., Sutskever, I., & Hinton, G. E. \(2012\). Imagenet classification with deep convolutional neural networks. NeurIPS \(pp. 1097-1105\).](#)



**After Conv 1:** 55 x 55 x 96

**Max Pool 1:** 3 x 3 filters applied at stride 2.

What is the output size?



**After Conv 1:** 55 x 55 x 96

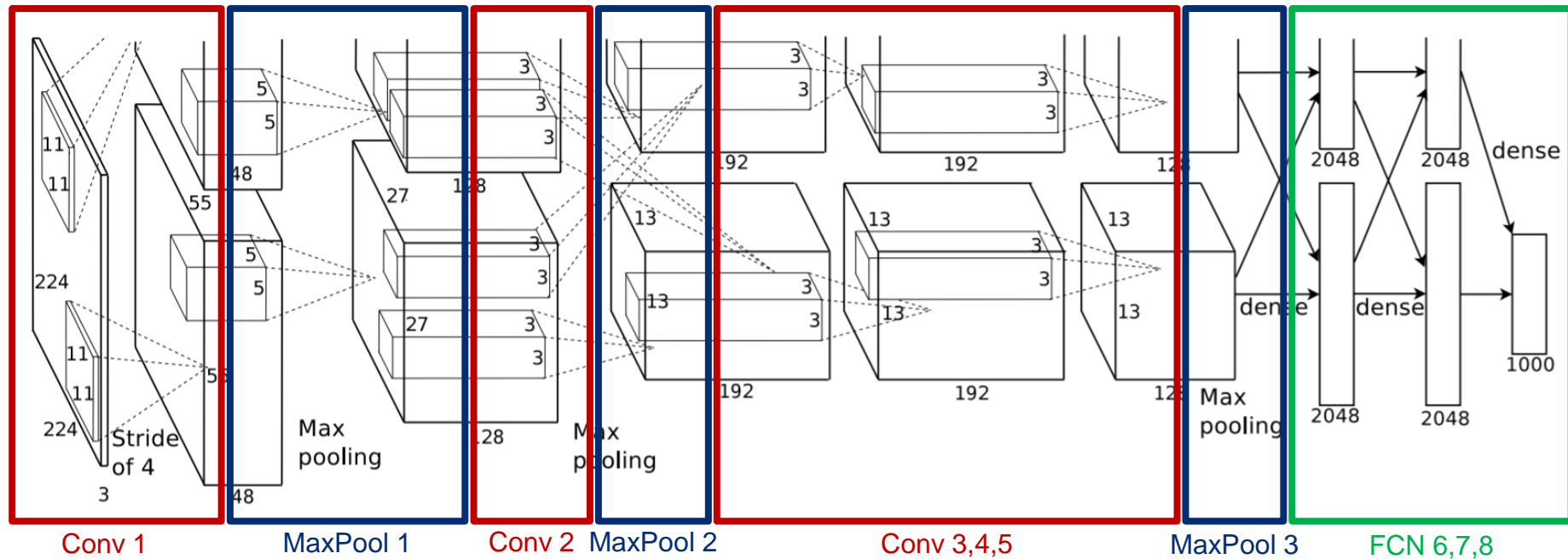
**Max Pool 1:** 3 x 3 filters applied at stride 2.

What is the output size? **27 x 27 x 96**

How many parameters?

[Krizhevsky, A., Sutskever, I., & Hinton, G. E. \(2012\). Imagenet classification with deep convolutional neural networks. NeurIPS \(pp. 1097-1105\).](#)





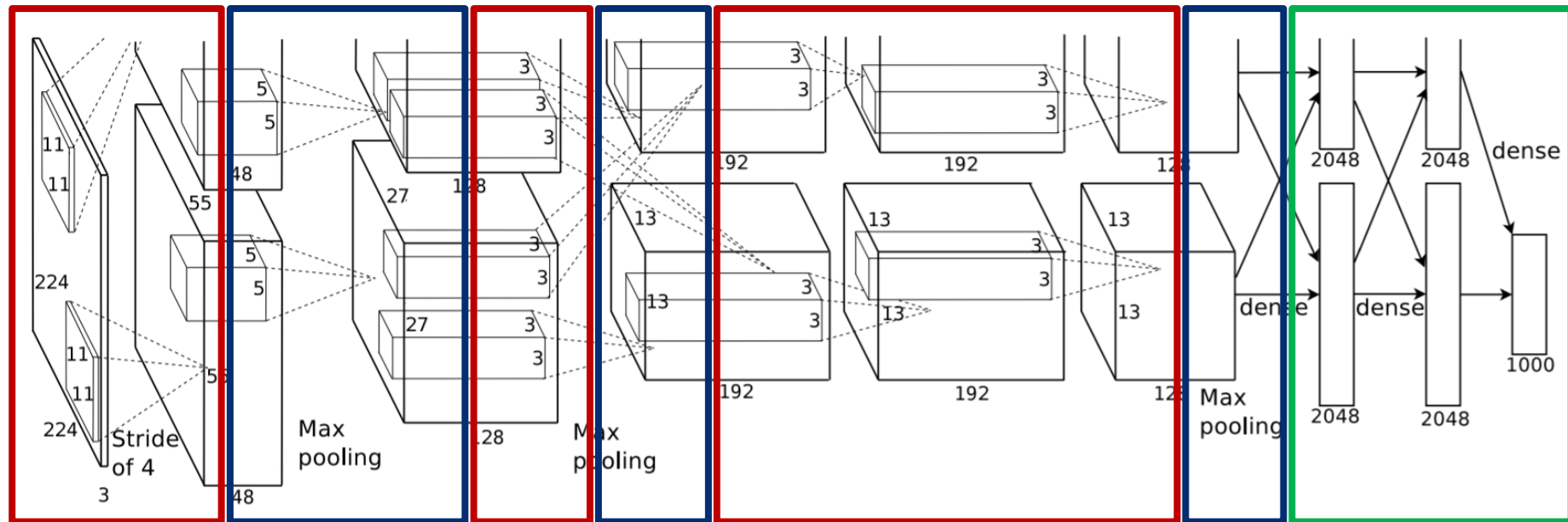
**After Conv 1:** 55 x 55 x 96

**Max Pool 1:** 3 x 3 filters applied at stride 2.

What is the output size? **27 x 27 x 96**

How many parameters? **0**

[Krizhevsky, A., Sutskever, I., & Hinton, G. E. \(2012\). Imagenet classification with deep convolutional neural networks. NeurIPS \(pp. 1097-1105\).](#)



Conv 1

MaxPool 1

Conv 2

MaxPool 2

Conv 3,4,5

MaxPool 3

FCN 6,7,8

96 11x11  
Stride 4  
Pad 0

3x3  
Stride 2

256 5x5  
Stride 1  
Pad 2

3x3  
Stride 2

384 3x3  
Stride 1  
Pad 1

384 3x3  
Stride 1  
Pad 1

256 3x3  
Stride 1  
Pad 1

3x3  
Stride 2

4096 4096 1000

55x55x96

27x27x96

27x27x256

13x13x256

13x13x384

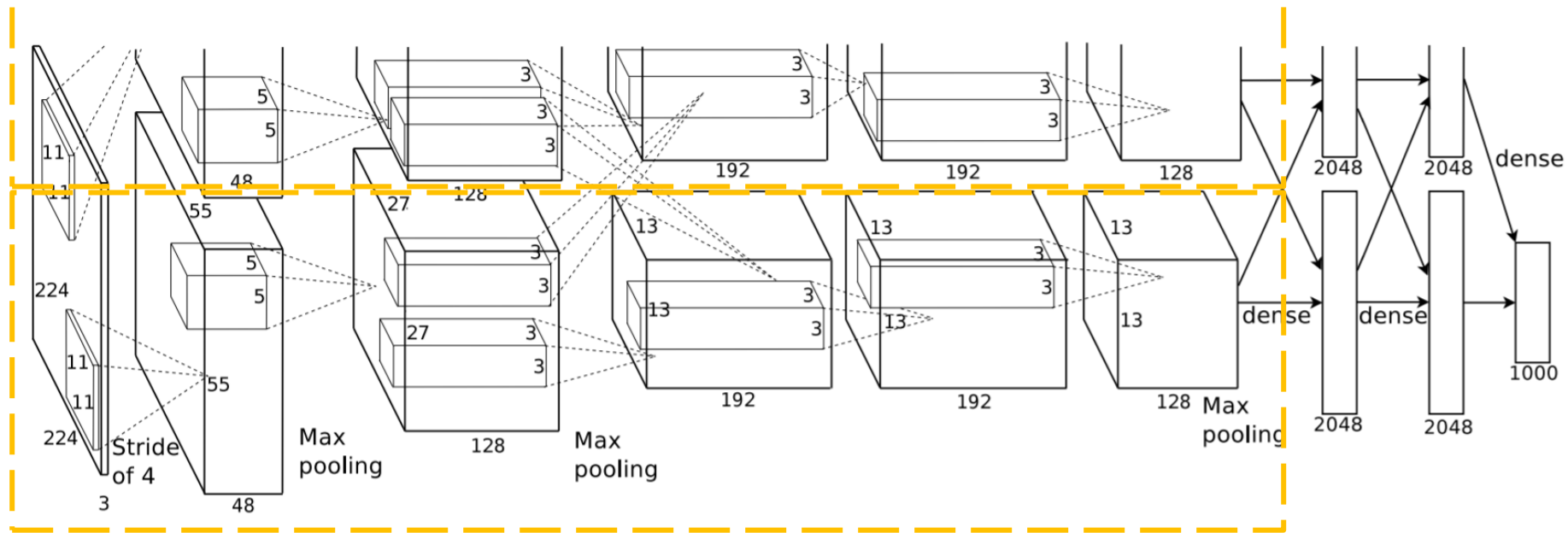
13x13x384

13x13x256

6x6x256

4096 4096 1000





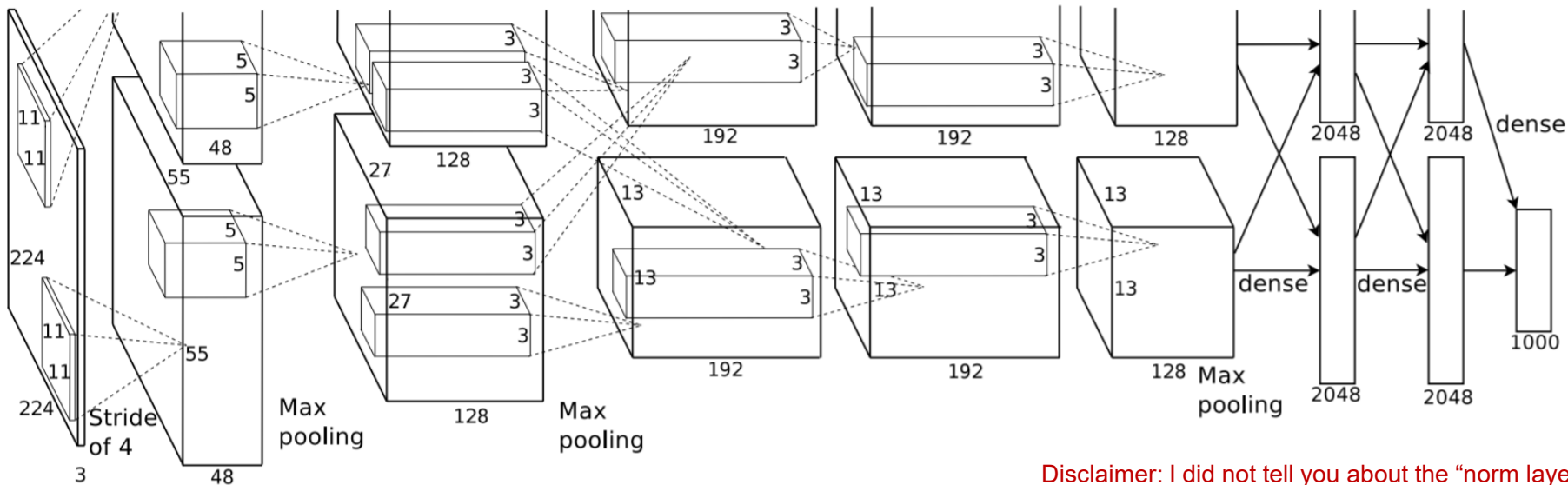
Conv 1

96 11x11  
Stride 4  
Pad 0

55x55x96

**Actually: (55x55x48) x 2!**

Historical reason: Trained on GTX 580 with 3GB memory  
 → Did not fit! Network spread over 2 GPUs  
 → Conv 1,2,4,5 only with feature maps on same GPU  
 → Conv 3, FC 6,7,8 connection with all feature maps



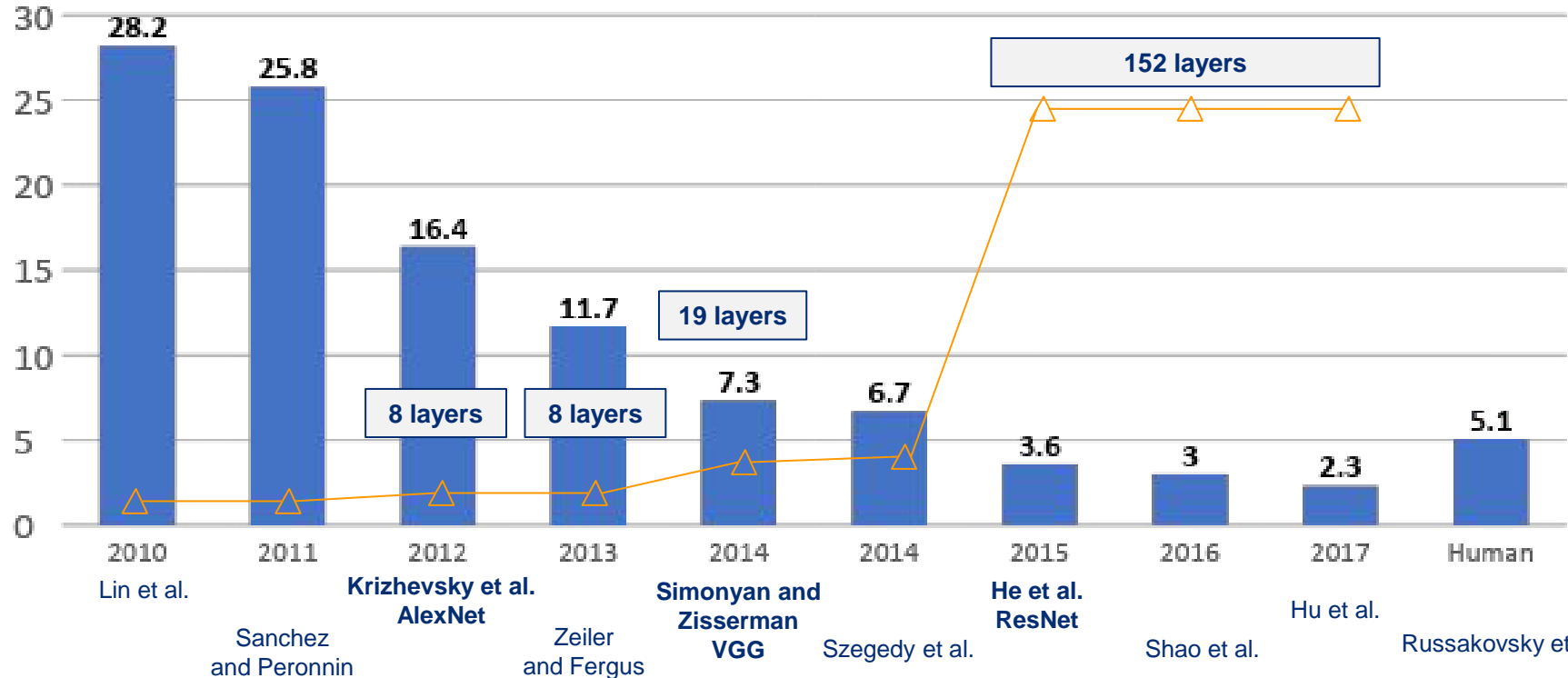
Disclaimer: I did not tell you about the “norm layers” but these are not important and not used anymore.

## Details and interesting aspects

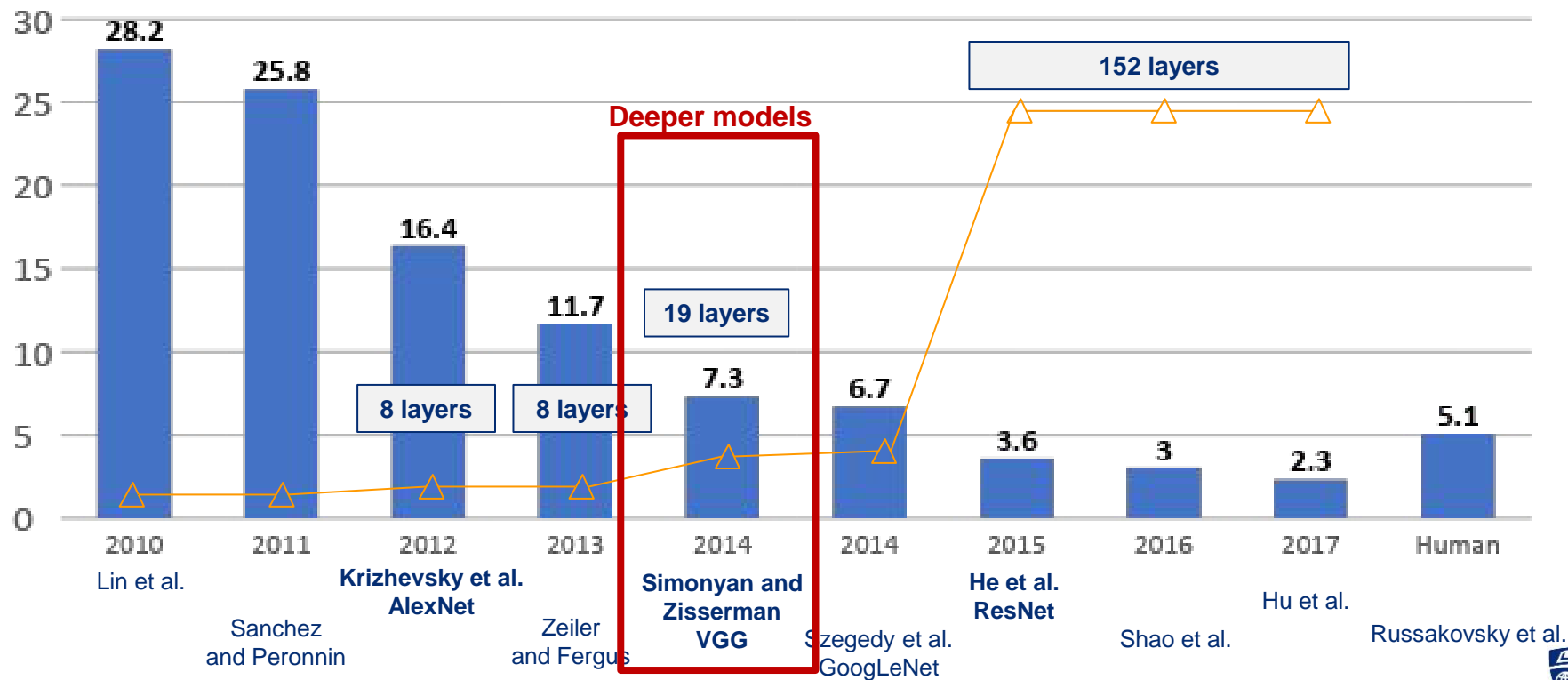
- First use of **ReLU**
- Already used **many tricks of the trade**: Heavy augmentation, dropout, SGD with momentum and manual learning rate decay, L2 weight decay (regularization!)
- Output is ensemble prediction over 7 CNNs: From 18.2% down to 16.4%

[Krizhevsky, A., Sutskever, I., & Hinton, G. E. \(2012\). Imagenet classification with deep convolutional neural networks. NeurIPS \(pp. 1097-1105\).](#)

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



Network Architectures

**VGG**



# VGG

**AlexNet** (16.4% Top-5 error ILSVRC12)

8 layers

11x11 – 5x5 – 3x3

**VGG** (7.3% Top-5 error ILSVRC14)

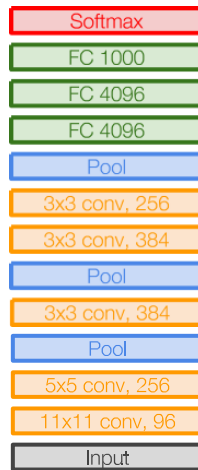
16 – 19 layers

3x3 conv with stride 1, pad 1

2x2 max pool, stride 2

→ **Smaller filters, deeper networks!**

**Q: Why use smaller filter?**



**AlexNet**



**VGG16**

**VGG19**

[Simonyan, K., & Zisserman, A. \(2014\). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556.](#)



# VGG

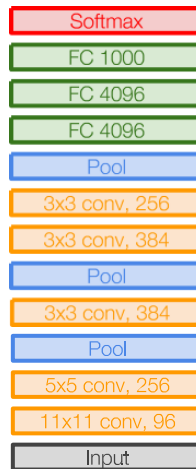
## Q: Why use smaller filter?

Let's do the other way around: Why use larger filters?

→ Receptive field!

Stack of 3 3x3 stride 1 convolutional layers has **same effective receptive field** as 7x7 layer!

But deeper → **More non-linearities**



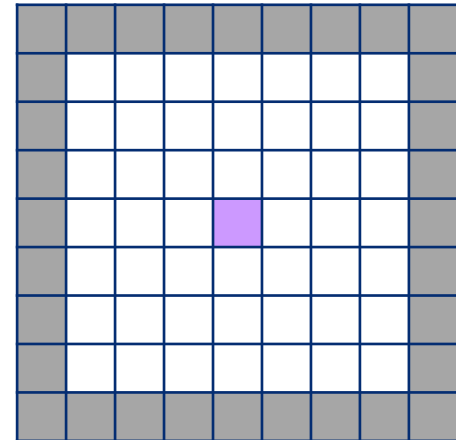
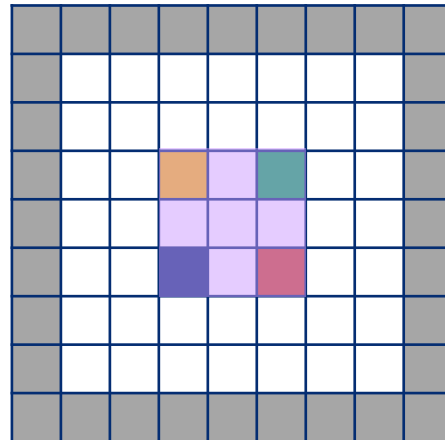
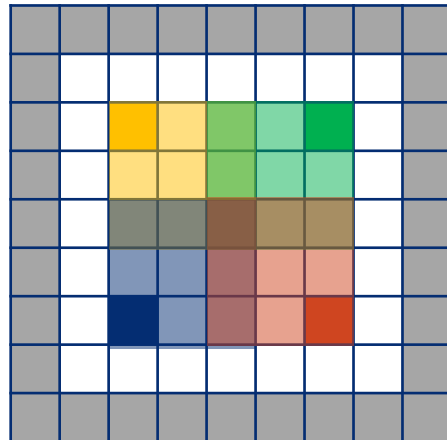
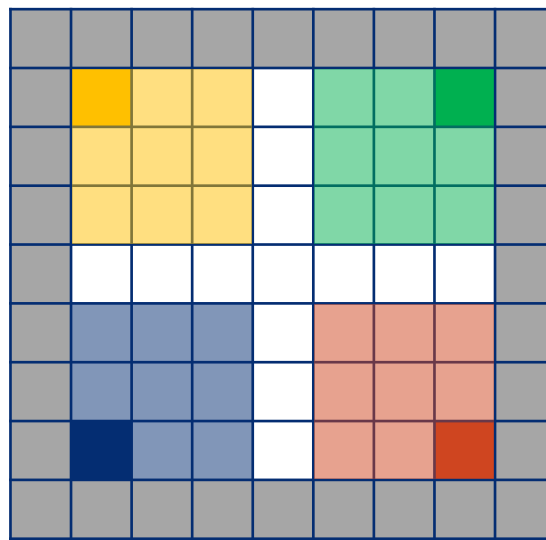
AlexNet



VGG16

VGG19

[Simonyan, K., & Zisserman, A. \(2014\). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556.](#)



# VGG

## Q: Why use smaller filter?

Let's do the other way around: Why use larger filters?

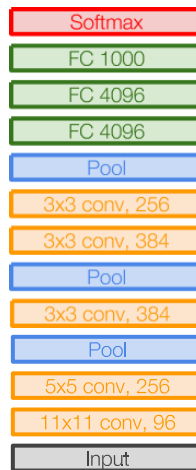
→ Receptive field!

Stack of 3 3x3 stride 1 convolutional layers has **same effective receptive field** as 7x7 layer!

But deeper → **More non-linearities**

**Fewer parameters!**

$3 \cdot (3^2 C^2)$  vs  $7^2 C^2$  for  $C$  channels per layer



AlexNet



VGG16

VGG19

[Simonyan, K., & Zisserman, A. \(2014\). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556.](#)

## Disclaimer: Not counting biases

Input: [224x224x3]	memory: 224*224*3=150K	params: 0
CONV3-64: [224x224x64]	memory: 224*224*64=3.2M	params: (3*3*3)*64 = 1,728
CONV3-64: [224x224x64]	memory: 224*224*64=3.2M	params: (3*3*64)*64 = 36,864
POOL2: [112x112x64]	memory: 112*112*64=800K	params: 0
CONV3-128: [112x112x128]	memory: 112*112*128=1.6M	params: (3*3*64)*128 = 73,728
CONV3-128: [112x112x128]	memory: 112*112*128=1.6M	params: (3*3*128)*128 = 147,456
POOL2: [56x56x128]	memory: 56*56*128=400K	params: 0
CONV3-256: [56x56x256]	memory: 56*56*256=800K	params: (3*3*128)*256 = 294,912
CONV3-256: [56x56x256]	memory: 56*56*256=800K	params: (3*3*256)*256 = 589,824
CONV3-256: [56x56x256]	memory: 56*56*256=800K	params: (3*3*256)*256 = 589,824
POOL2: [28x28x256]	memory: 28*28*256=200K	params: 0
CONV3-512: [28x28x512]	memory: 28*28*512=400K	params: (3*3*256)*512 = 1,179,648
CONV3-512: [28x28x512]	memory: 28*28*512=400K	params: (3*3*512)*512 = 2,359,296
CONV3-512: [28x28x512]	memory: 28*28*512=400K	params: (3*3*512)*512 = 2,359,296
POOL2: [14x14x512]	memory: 14*14*512=100K	params: 0
CONV3-512: [14x14x512]	memory: 14*14*512=100K	params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512]	memory: 14*14*512=100K	params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512]	memory: 14*14*512=100K	params: (3*3*512)*512 = 2,359,296
POOL2: [7x7x512]	memory: 7*7*512=25K	params: 0
FC: [1x1x4096]	memory: 4096	params: 7*7*512*4096 = 102,760,448
FC: [1x1x4096]	memory: 4096	params: 4096*4096 = 16,777,216
FC: [1x1x1000]	memory: 1000	params: 4096*1000 = 4,096,000



[Simonyan, K., & Zisserman, A. \(2014\). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556.](#)



Input: [224x224x3]	memory: $224*224*3=150k$	params: 0
CONV3-64: [224x224x64]	memory: $224*224*64=3.2M$	params: $(3*3*3)*64 = 1,728$
CONV3-64: [224x224x64]	memory: $224*224*64=3.2M$	params: $(3*3*64)*64 = 36,864$
POOL2: [112x112x64]	memory: $112*112*64=800K$	params: 0
CONV3-128: [112x112x128]	memory: $112*112*128=1.6M$	params: $(3*3*64)*128 = 73,728$
CONV3-128: [112x112x128]	memory: $112*112*128=1.6M$	params: $(3*3*128)*128 = 147,456$
POOL2: [56x56x128]	memory: $56*56*128=400K$	params: 0
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CONV3-256: [56x56x256]	memory: $56*56*256=800K$	params: $(3*3*256)*256 = 589,824$
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POOL2: [28x28x256]	memory: $28*28*256=200K$	params: 0
CONV3-512: [28x28x512]	memory: $28*28*512=400K$	params: $(3*3*256)*512 = 1,179,648$
CONV3-512: [28x28x512]	memory: $28*28*512=400K$	params: $(3*3*512)*512 = 2,359,296$
CONV3-512: [28x28x512]	memory: $28*28*512=400K$	params: $(3*3*512)*512 = 2,359,296$
POOL2: [14x14x512]	memory: $14*14*512=100K$	params: 0
CONV3-512: [14x14x512]	memory: $14*14*512=100K$	params: $(3*3*512)*512 = 2,359,296$
CONV3-512: [14x14x512]	memory: $14*14*512=100K$	params: $(3*3*512)*512 = 2,359,296$
CONV3-512: [14x14x512]	memory: $14*14*512=100K$	params: $(3*3*512)*512 = 2,359,296$
POOL2: [7x7x512]	memory: $7*7*512=25K$	params: 0
FC: [1x1x4096]	memory: 4096	params: $7*7*512*4096 = 102,760,448$
FC: [1x1x4096]	memory: 4096	params: $4096*4096 = 16,777,216$
FC: [1x1x1000]	memory: 1000	params: $4096*1000 = 4,096,000$

**Total Memory:**  $24M * 4 \text{ bytes} \sim 96 \text{ MB}$  / image (forward  $\rightarrow \sim * 2$  for backward!)

**Total parameters:** 138 Mio parameters



**VGG16**

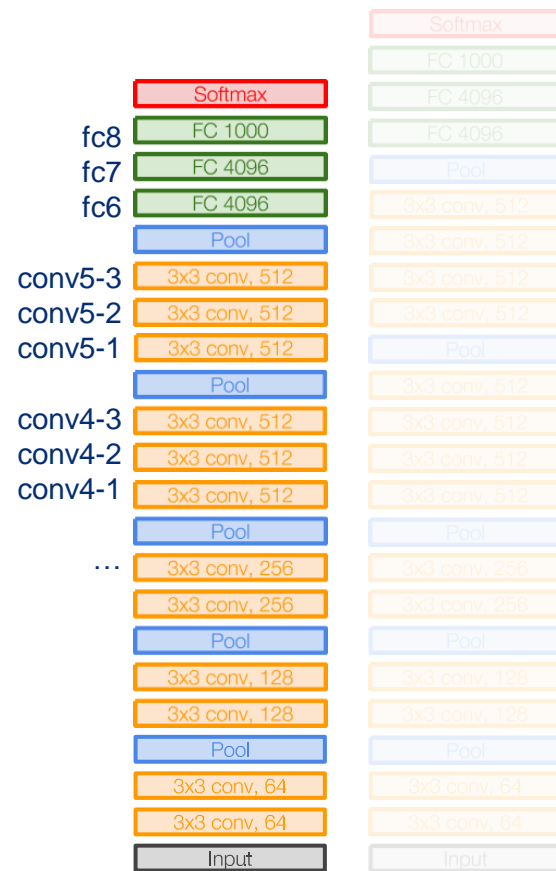
**VGG19**





Input: [224x224x3]	memory: $224*224*3=150k$	params: 0
CONV3-64: [224x224x64]	<b>memory: <math>224*224*64=3.2M</math></b>	params: $(3*3*3)*64 = 1,728$
CONV3-64: [224x224x64]	<b>memory: <math>224*224*64=3.2M</math></b>	params: $(3*3*64)*64 = 36,864$
POOL2: [112x112x64]	memory: $112*112*64=800K$	params: 0
CONV3-128: [112x112x128]	memory: $112*112*128=1.6M$	params: $(3*3*64)*128 = 73,728$
CONV3-128: [112x112x128]	memory: $112*112*128=1.6M$	params: $(3*3*128)*128 = 147,456$
POOL2: [56x56x128]	memory: $56*56*128=400K$	params: 0
CONV3-256: [56x56x256]	memory: $56*56*256=800K$	params: $(3*3*128)*256 = 294,912$
CONV3-256: [56x56x256]	memory: $56*56*256=800K$	params: $(3*3*256)*256 = 589,824$
CONV3-256: [56x56x256]	memory: $56*56*256=800K$	params: $(3*3*256)*256 = 589,824$
POOL2: [28x28x256]	memory: $28*28*256=200K$	params: 0
CONV3-512: [28x28x512]	memory: $28*28*512=400K$	params: $(3*3*256)*512 = 1,179,648$
CONV3-512: [28x28x512]	memory: $28*28*512=400K$	params: $(3*3*512)*512 = 2,359,296$
CONV3-512: [28x28x512]	memory: $28*28*512=400K$	params: $(3*3*512)*512 = 2,359,296$
POOL2: [14x14x512]	memory: $14*14*512=100K$	params: 0
CONV3-512: [14x14x512]	memory: $14*14*512=100K$	params: $(3*3*512)*512 = 2,359,296$
CONV3-512: [14x14x512]	memory: $14*14*512=100K$	params: $(3*3*512)*512 = 2,359,296$
CONV3-512: [14x14x512]	memory: $14*14*512=100K$	params: $(3*3*512)*512 = 2,359,296$
POOL2: [7x7x512]	memory: $7*7*512=25K$	params: 0
FC: [1x1x4096]	memory: 4096	<b>params: <math>7*7*512*4096 = 102,760,448</math></b>
FC: [1x1x4096]	memory: 4096	<b>params: <math>4096*4096 = 16,777,216</math></b>
FC: [1x1x1000]	memory: 1000	<b>params: <math>4096*1000 = 4,096,000</math></b>

### Common layer names



VGG16

VGG19

**Total Memory:**  $24M * 4 \text{ bytes} \sim 96 \text{ MB}$  / image (forward  $\rightarrow \sim * 2$  for backward!)

**Total parameters:** 138 Mio parameters



# VGG: Details

- ILSVRC'14: 2<sup>nd</sup> in classification and 1<sup>st</sup> in localization
- VGG19 only slightly better performance than VGG16, but more memory  
→ Use VGG16
- Ensembles for better results (see AlexNet)
- fc7 features generalize well to other tasks  
→ VGG19 is often used in transfer learning

[Simonyan, K., & Zisserman, A. \(2014\). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556.](#)



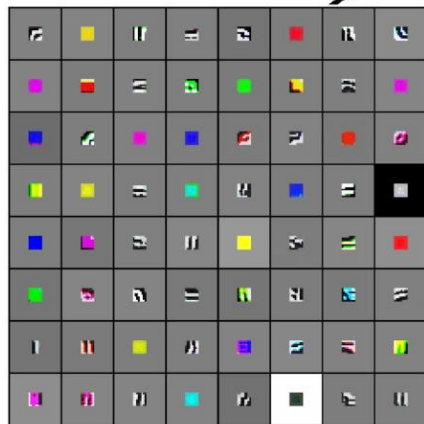


Low-level  
features

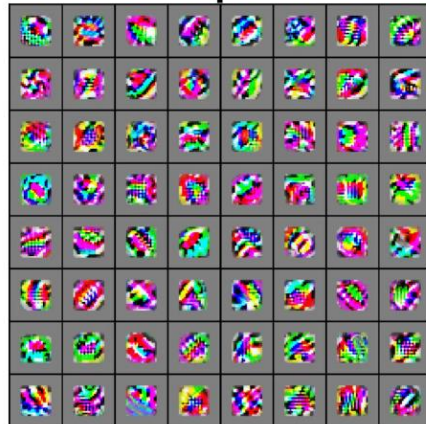
Mid-level  
features

High-level  
features

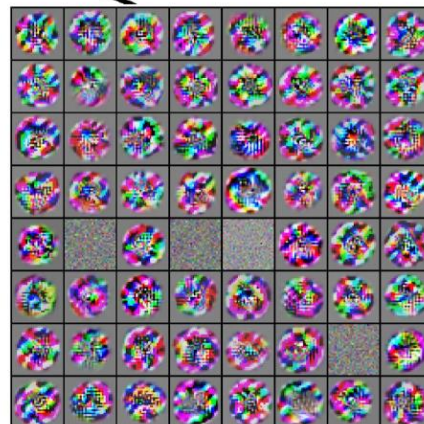
Linearly  
separable  
classifier



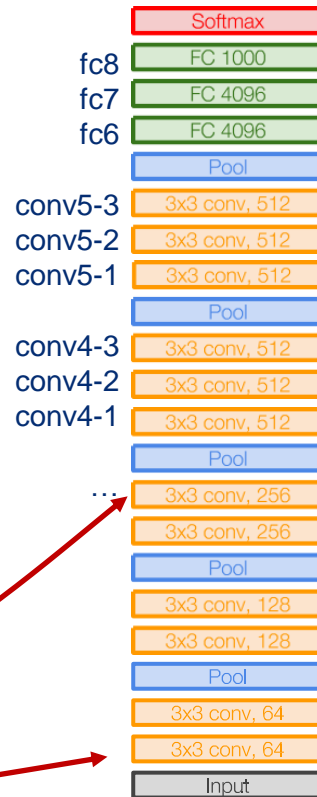
VGG-16 Conv1\_1



VGG-16 Conv3\_2



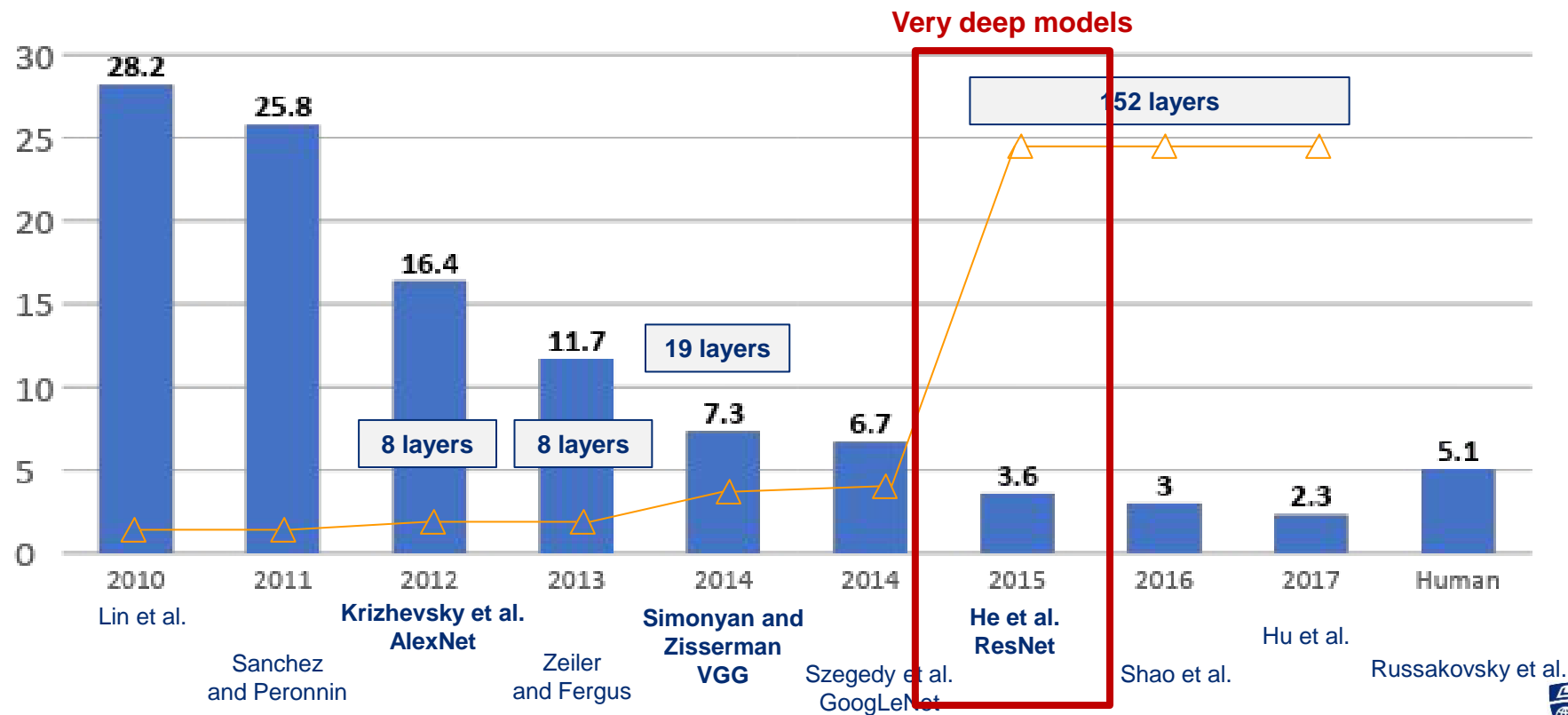
VGG-16 Conv5\_3



VGG16



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



Network Architectures

# ResNet



# ResNet

**So far:** Increasing depth → increasing performance!

E.g. AlexNet with 8 layers to VGG19 with 19 layers

**An idea: If the above is true, then let's stack more layers to get even better!  
Let's start with stacking 56 layers.**

**Q: What do you think? How does the performance of a 56 layer network compare to a 20 layer network?**

**First, on test data.**

[He, K., Zhang, X., Ren, S., & Sun, J. \(2016\). Deep residual learning for image recognition. IEEE conference on computer vision and pattern recognition \(pp. 770-778\).](#)

# ResNet

Q: What do you think? How does the performance of a 56 layer network compare to a 20 layer network?

**First, on test data.**

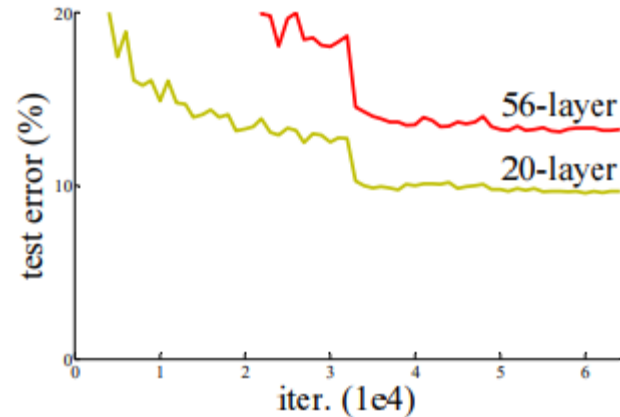
Worse performance!

Hypothesis:

The amount of data is the same,  
but the deeper network has more  
free parameters.

→ **Strong overfitting**

→ **Check training data**

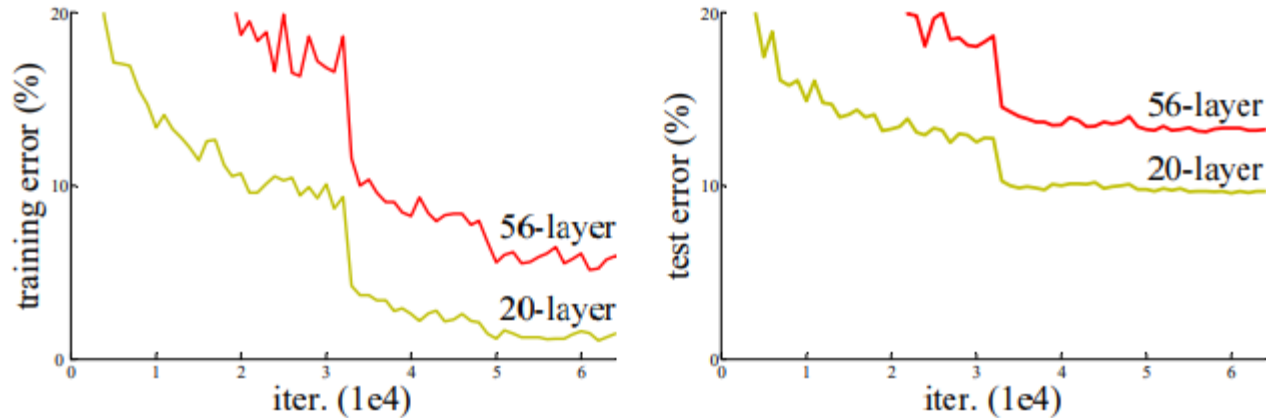


[He, K., Zhang, X., Ren, S., & Sun, J. \(2016\). Deep residual learning for image recognition. IEEE conference on computer vision and pattern recognition \(pp. 770-778\).](#)

# ResNet

Q: What do you think? How does the performance of a 56 layer network compare to a 20 layer network?

**Training error also worse. This is not overfitting!**



[He, K., Zhang, X., Ren, S., & Sun, J. \(2016\). Deep residual learning for image recognition. IEEE conference on computer vision and pattern recognition \(pp. 770-778\).](#)

# ResNet

## Another hypothesis

This observation is due to an optimization problem.  
Deeper models are harder to optimize.

## What intuition tells us

Deeper models should perform at least as well as the shallower model.

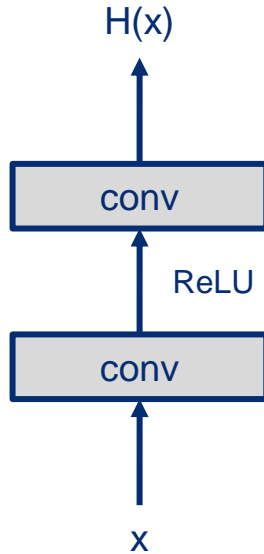
## Designing such solution

Copy learned layers from shadow model and set additional layers to identity

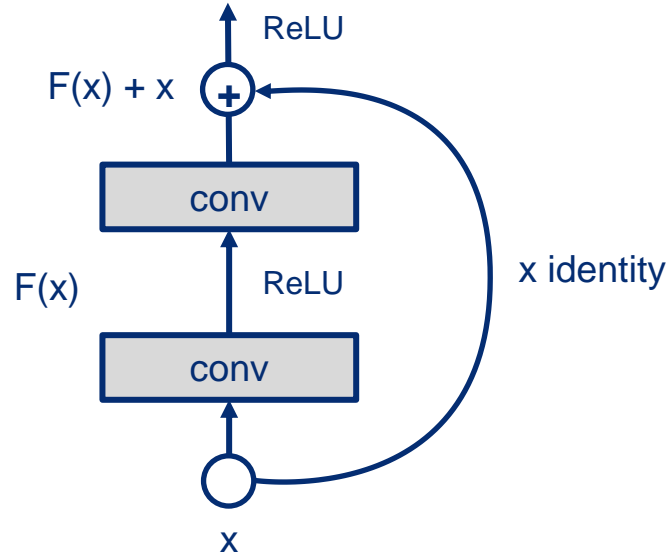
# ResNet

## Solution

Use network layers to fit residual mapping (rather than desired mapping directly)



“Plain” conv layers



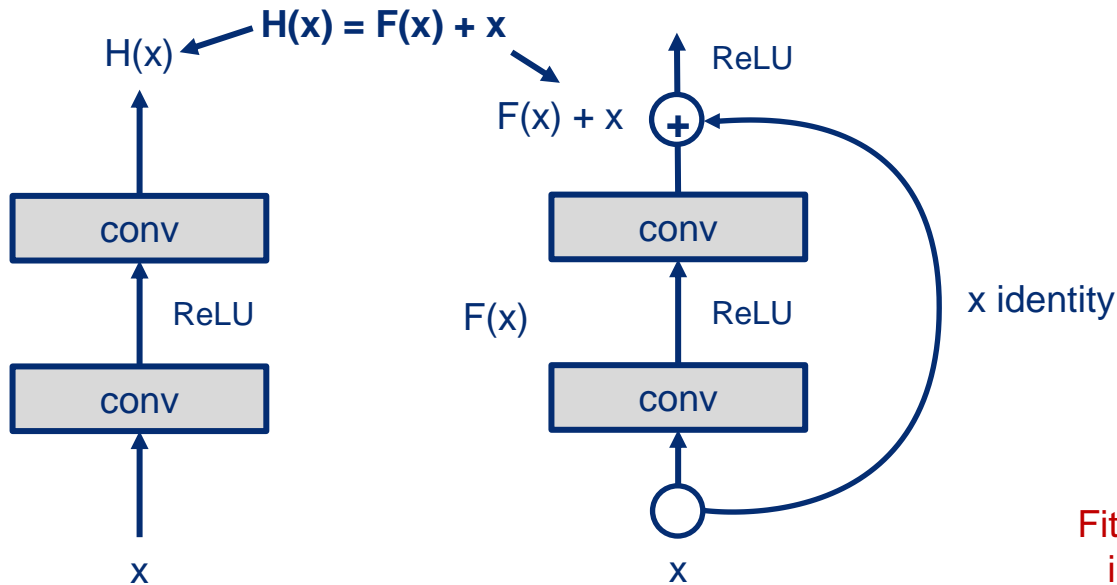
Residual block



# ResNet

## Solution

Use network layers to fit residual mapping (rather than desired mapping directly)



"Plain" conv layers

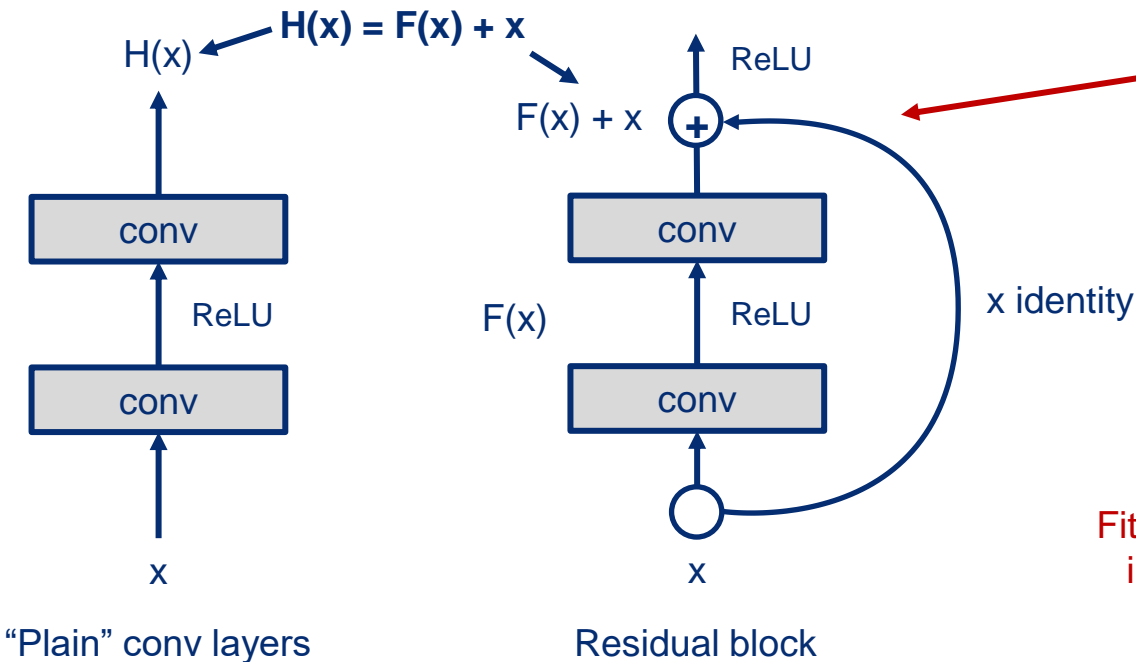
Residual block

Fit residual  $F(x) = H(x) - x$   
instead of  $H(x)$  directly

# ResNet

## Solution

Use network layers to fit residual mapping (rather than desired mapping directly)



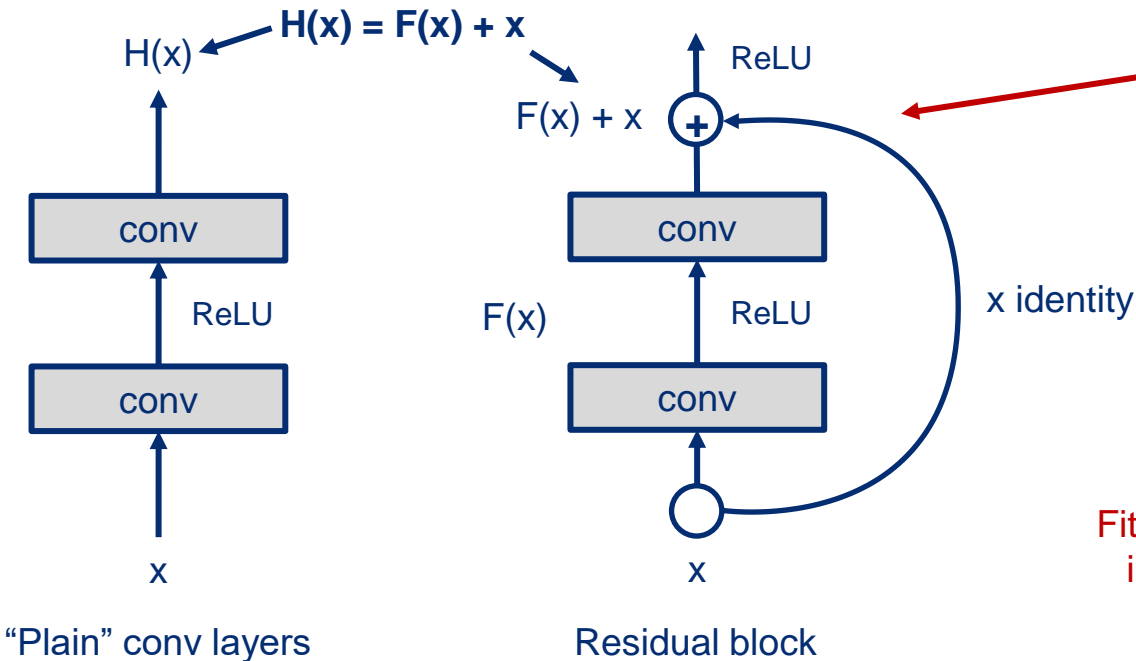
**Q: What about gradients at addition nodes?**

**Fit residual  $F(x) = H(x) - x$  instead of  $H(x)$  directly**

# ResNet

## Solution

Use network layers to fit residual mapping (rather than desired mapping directly)



**Q: What about gradients at addition nodes?**

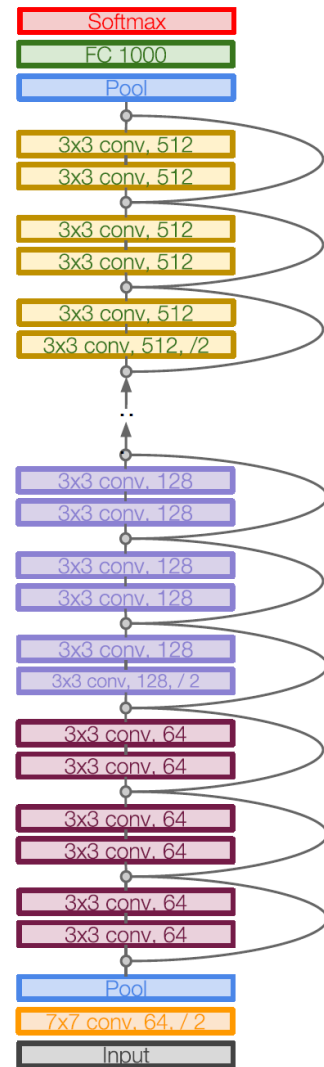
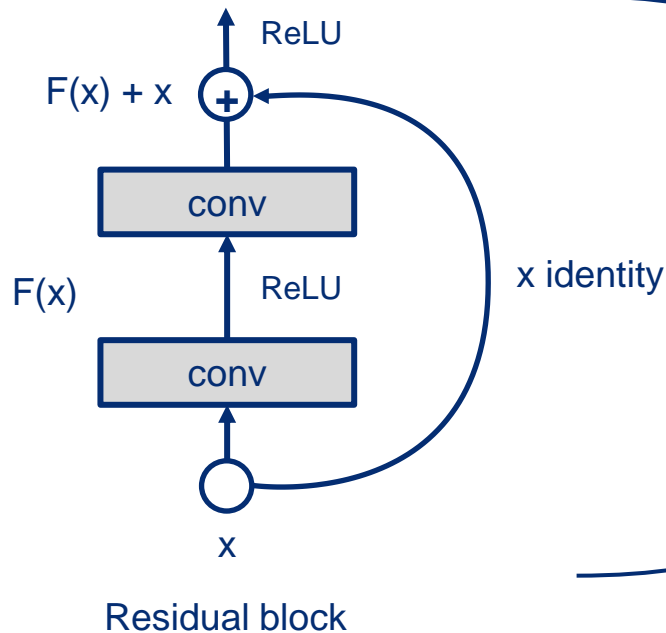
- Add: Distribute gradient!
  - Gradient flows unhindered
  - "Gradient highway"
- Makes training easier!

Fit residual  $F(x) = H(x) - x$   
instead of  $H(x)$  directly

# ResNet

## Full architecture

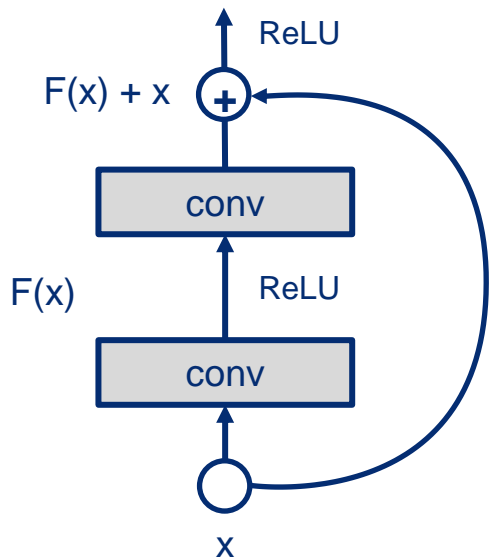
- Stack residual blocks
- Residual block has two 3x3 conv layers



# ResNet

## Full architecture

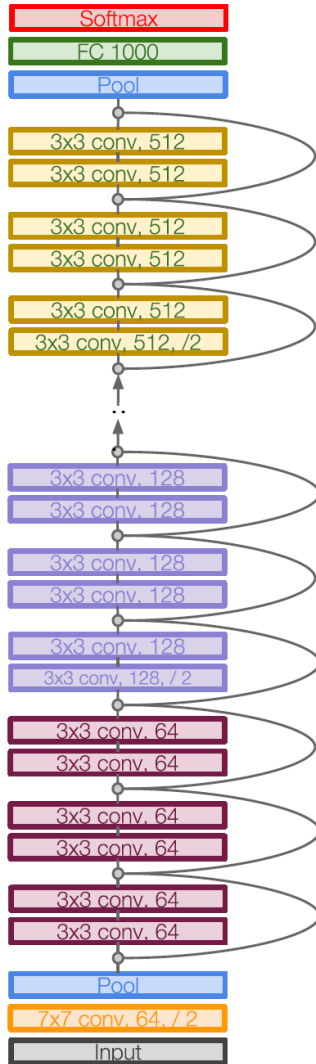
- Stack residual blocks
- Residual block has two 3x3 conv layers
- Periodically, double # filters and down-sample with stride 2



Residual block

3x3 conv  
128 filters  
/2 spatially

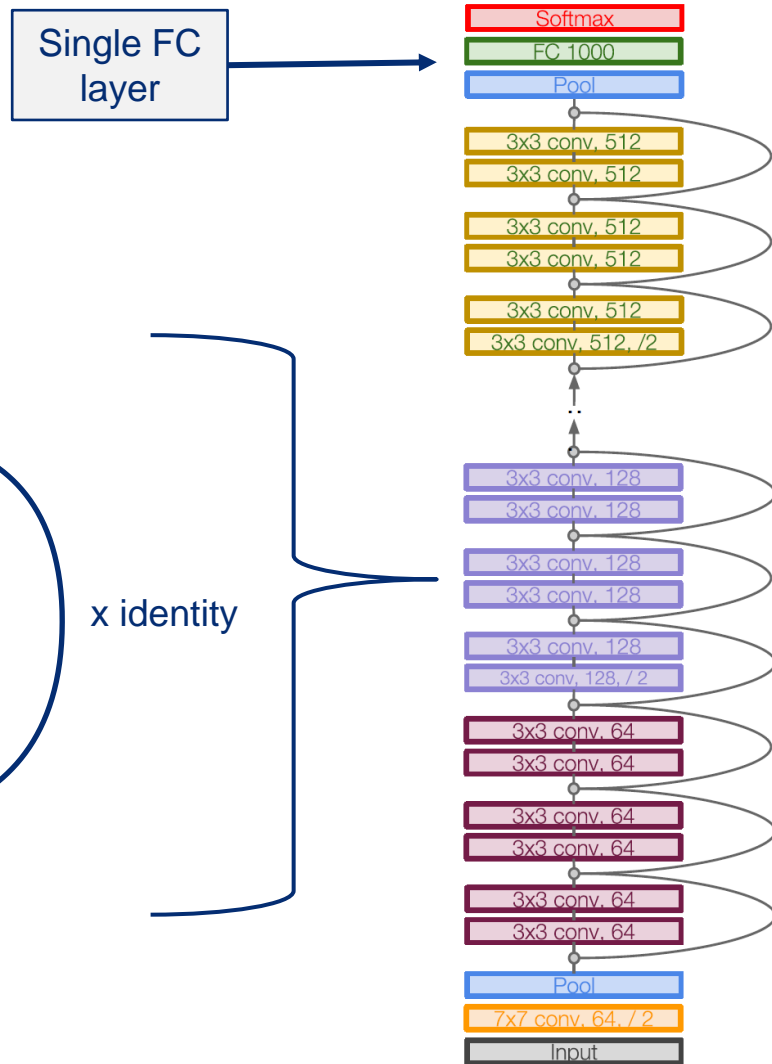
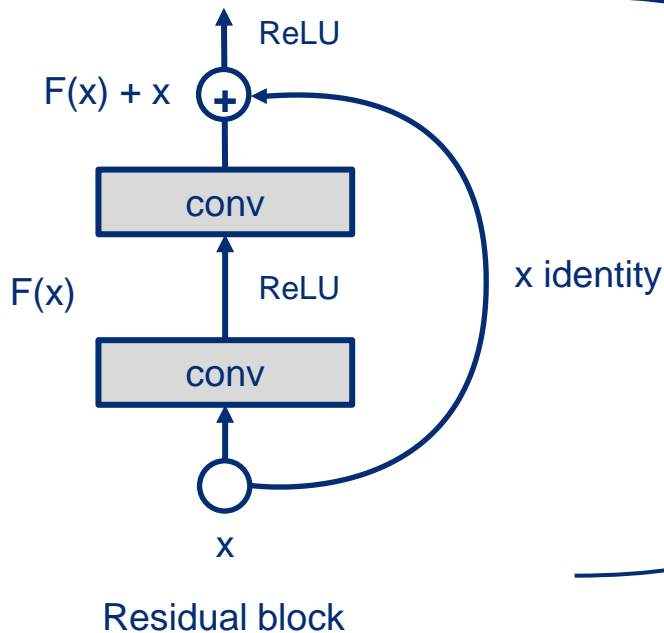
3x3 conv  
64 filters



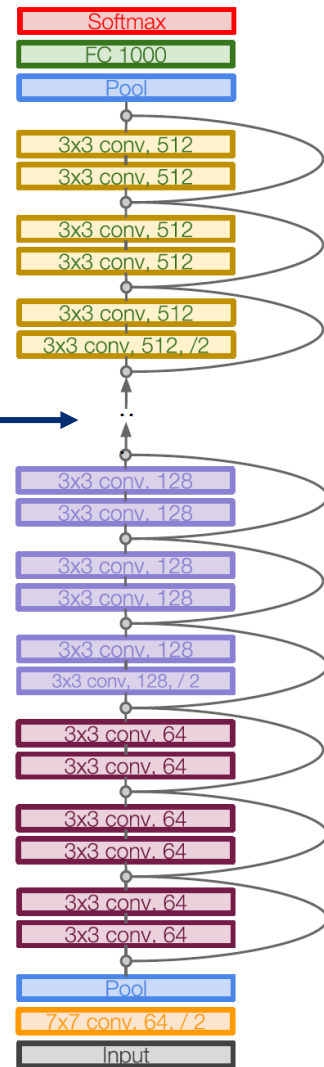
# ResNet

## Full architecture

- Stack residual blocks
- Residual block has two 3x3 conv layers
- Periodically, double # filters and down-sample with stride 2
- Additional conv layer at beginning
- Only one FC layer

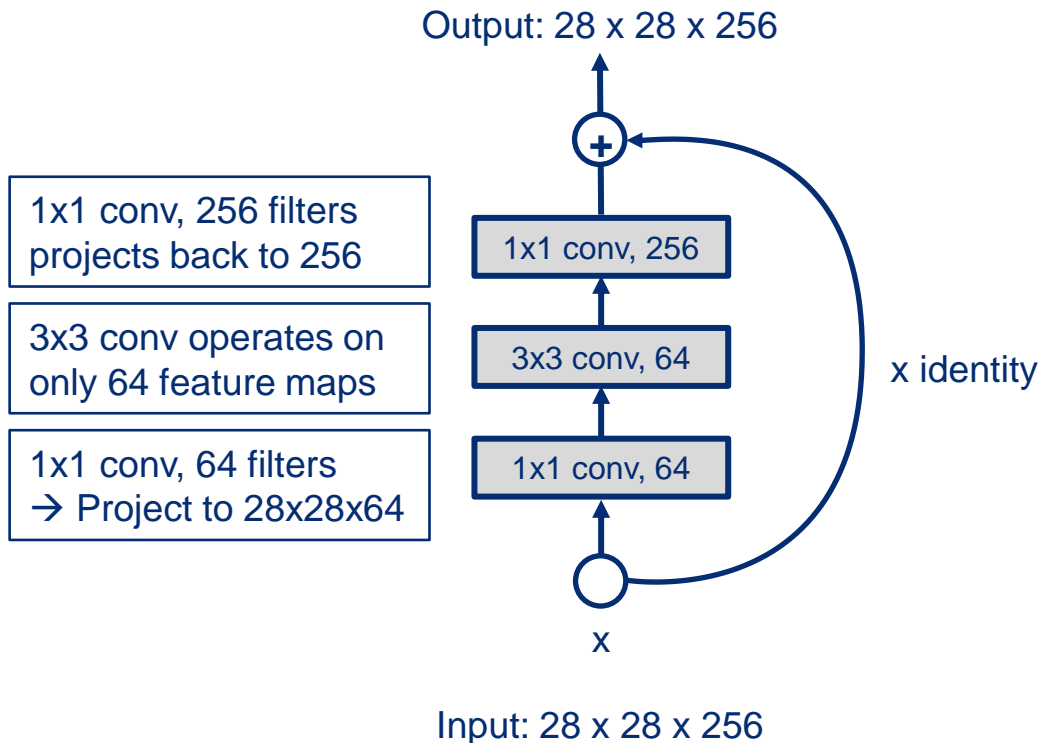


# ResNet



# ResNet

For very deep networks ( $> 50$  layers)  $\rightarrow$  “Bottleneck” layers to improve efficiency



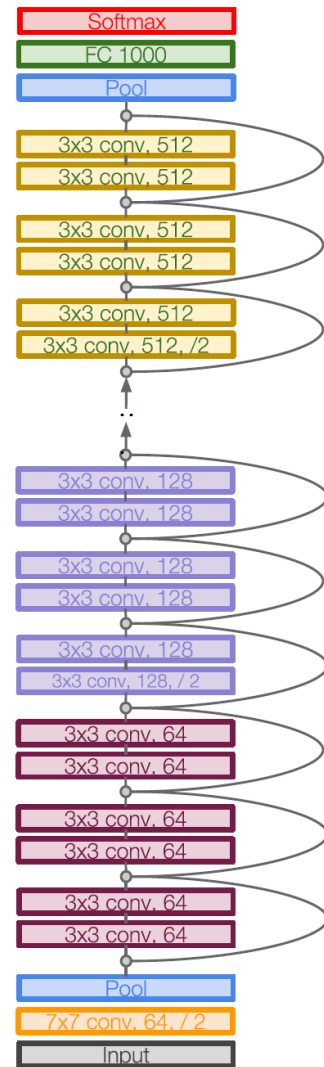


# ResNet

## Training ResNet and more details

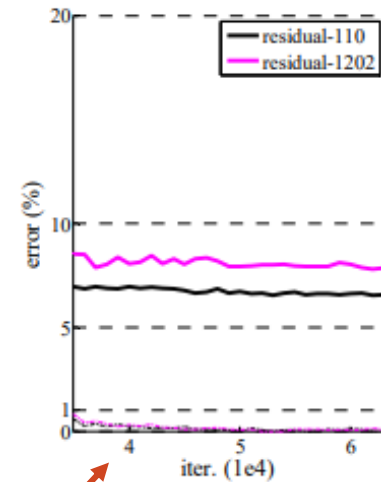
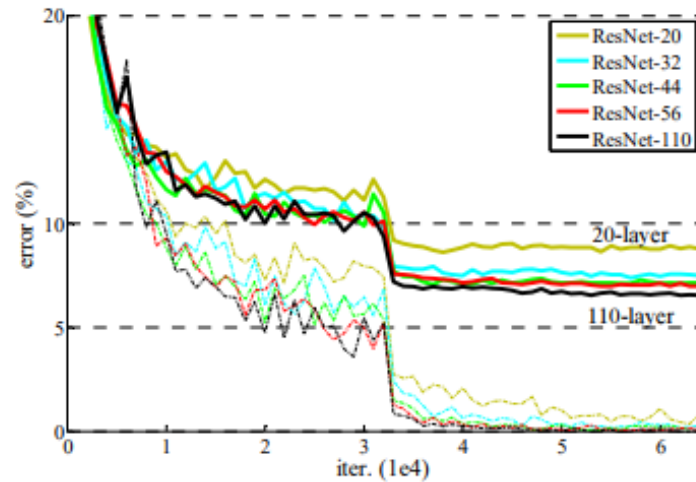
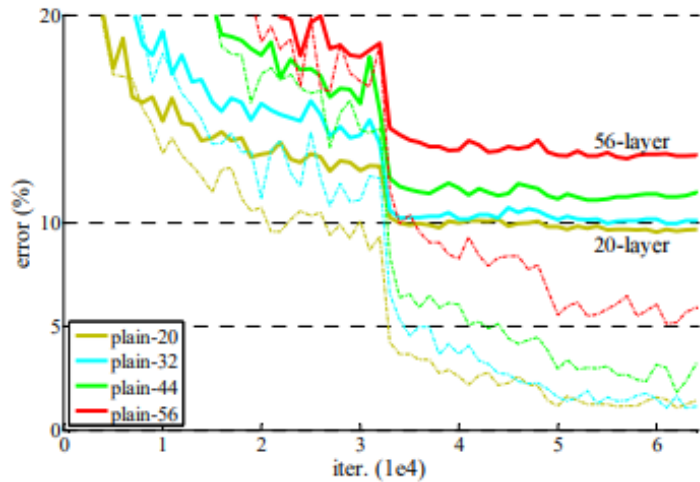
- Batch normalization after every conv layer
- Xavier initialization with factor 2 for ReLU (He initialization)
- SGD + momentum (0.9)
- Learning rate of 0.1 divided by 10 when validation plateaus
- Mini-batch size of 256
- Weight decay of  $1e-5$
- **No dropout**

[He, K., Zhang, X., Ren, S., & Sun, J. \(2016\). Deep residual learning for image recognition IEEE CVPR \(pp. 770-778\).](#)



# ResNet

We finally see what is intuitive: Deeper networks perform better!



Q: What happens here?

[He, K., Zhang, X., Ren, S., & Sun, J. \(2016\). Deep residual learning for image recognition IEEE CVPR \(pp. 770-778\).](#)

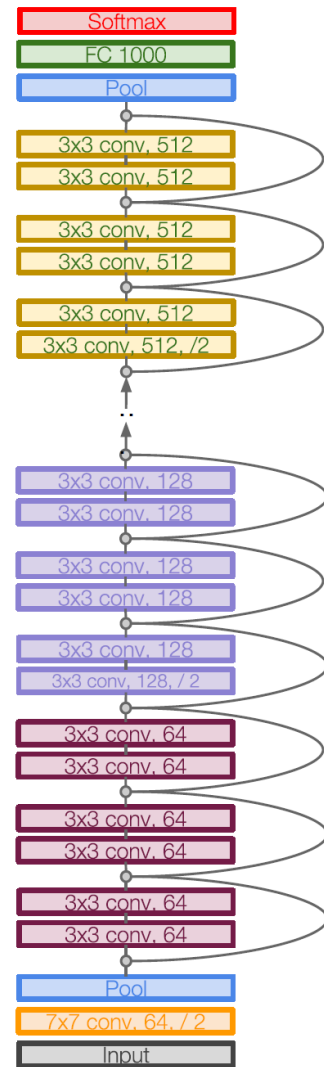
# ResNet

## It is a very powerful architecture!

## MSRA @ ILSVRC &amp; COCO 2015 Competitions

- **1st places** in all five main tracks
  - ImageNet Classification: “*Ultra-deep*” (quote Yann) **152-layer** nets
  - ImageNet Detection: **16%** better than 2nd
  - ImageNet Localization: **27%** better than 2nd
  - COCO Detection: **11%** better than 2nd
  - COCO Segmentation: **12%** better than 2nd

He, K., Zhang, X., Ren, S., & Sun, J. (2016). Deep residual learning for image recognition IEEE CVPR (pp. 770-778).



Network Architectures

# U-Net



# Towards Instance Segmentation with ConvNets

**So far:** ConvNets are used for classification / regression

High-dimensional input in → classification / regression values out

Much lower dimension  
No spatial information

## Classification



CAT

# Towards Instance Segmentation with ConvNets

**Now:** ConvNets for instance segmentation

High-dimensional input in  $\rightarrow$  high-dimensional output out

Same size as input data!

**Classification**



CAT

**Instance Segmentation**



CAT, DOG, DUCK

**Q: How do we achieve segmentation?**

# The Sliding-window Approach to Segmentation

A fairly early idea:

Classification is “understood”, so why not classify the central pixel of an image?

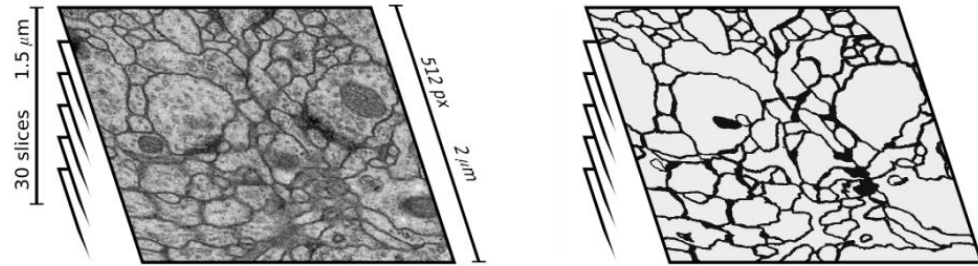


Figure 1: Left: the training stack (one slice shown). Right: corresponding ground truth; black lines denote neuron membranes. Note complexity of image appearance.

You may find it ironic, that this paper uses Artificial Neural Networks to analyze Anatomical Neural Networks.

[Ciresan, D., Giusti, A., Gambardella, L. M., & Schmidhuber, J. \(2012\). Deep neural networks segment neuronal membranes in electron microscopy images. NeurIPS \(pp. 2843-2851\).](#)

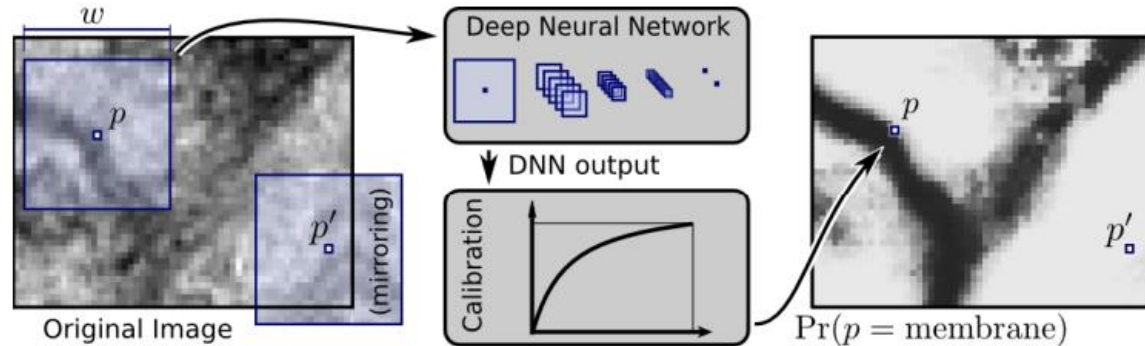
# The Sliding-window Approach to Segmentation

## A fairly early idea:

Classification is “understood”, so why not classify the central pixel of an image?

## Some refinements:

- Images are large  
→ Apply on small patches
- Skewed class balance  
→ Polynomial calibration

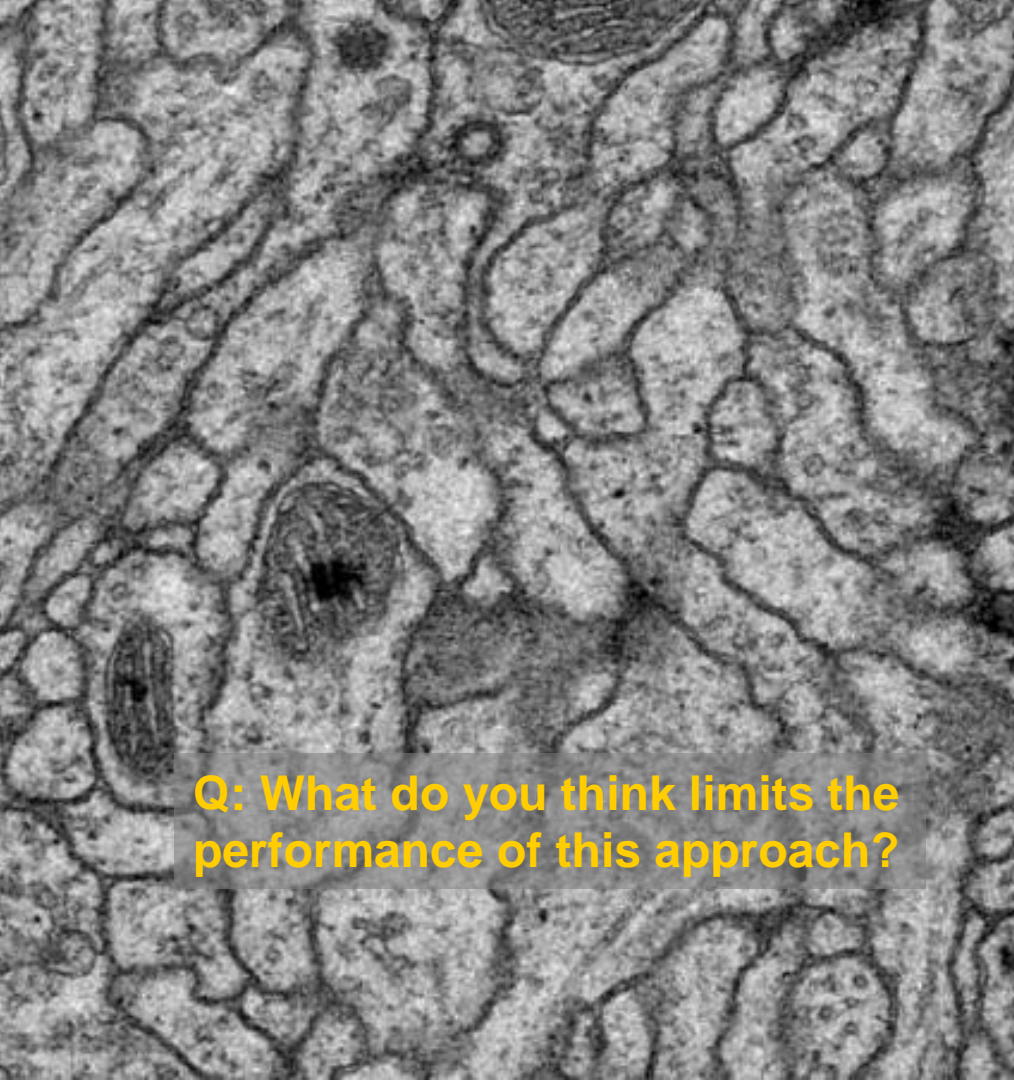


- Averaging over the output of 4 slightly different network architectures

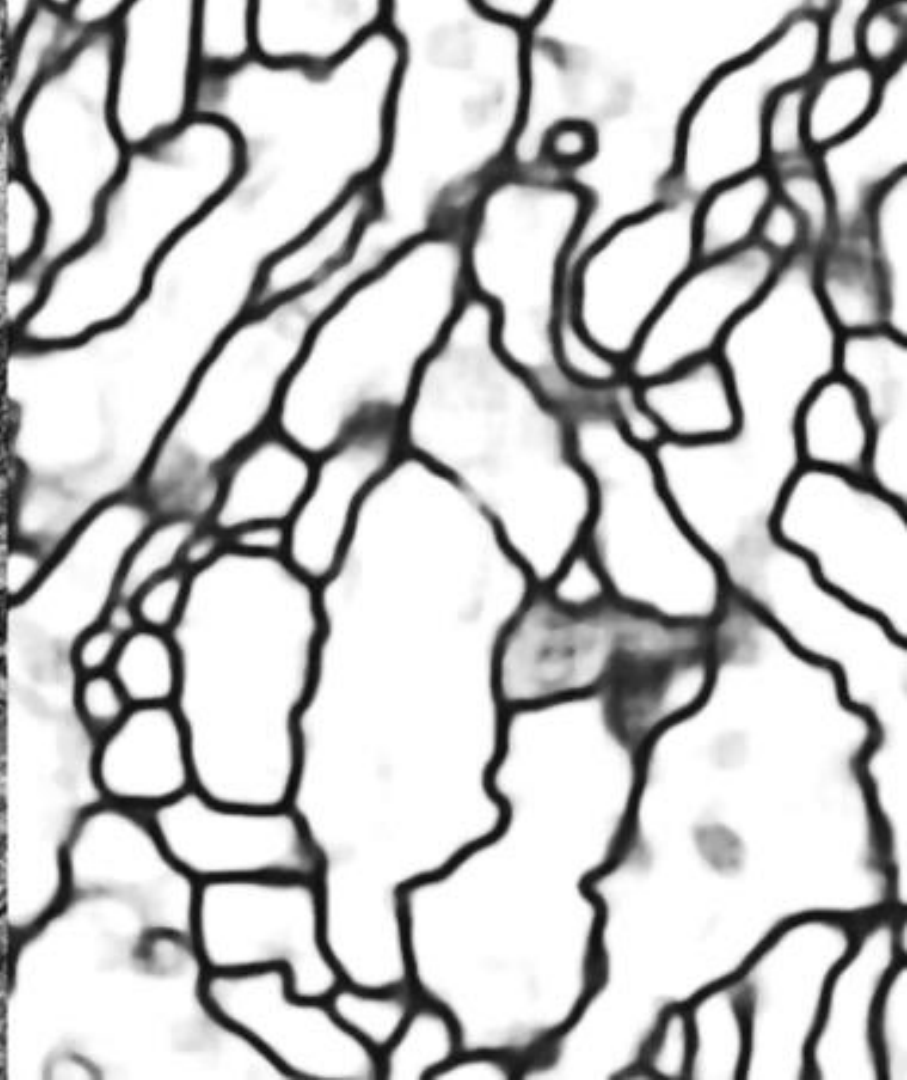
You may find it ironic, that this paper uses Artificial Neural Networks to analyze Anatomical Neural Networks.

[Ciresan, D., Giusti, A., Gambardella, L. M., & Schmidhuber, J. \(2012\). Deep neural networks segment neuronal membranes in electron microscopy images. NeurIPS \(pp. 2843-2851\).](#)





Q: What do you think limits the performance of this approach?

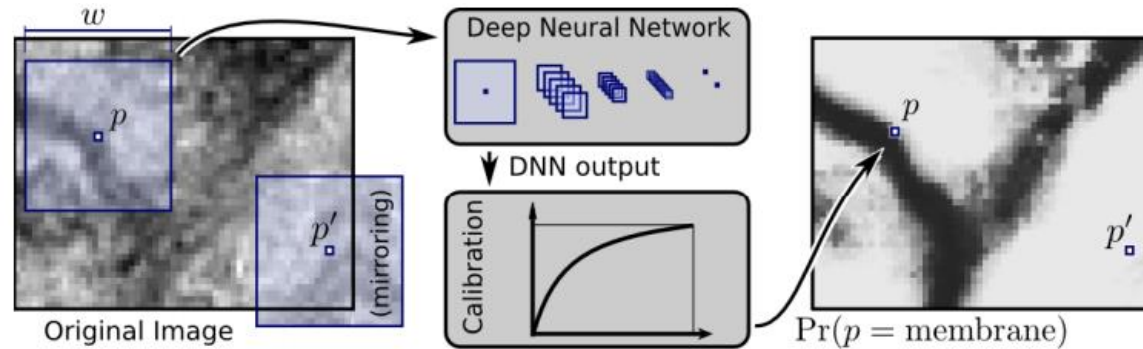


# The Sliding-window Approach to Segmentation

“Inherent tension between semantics and location”

→ Global information: Resolves what

→ Local information: Resolves where



## Sliding-window

- Small models restricting capacity and receptive fields
- Application to every patch → Slow
- Pooling somewhat prevents “fast change” in output signal → Blurry edges

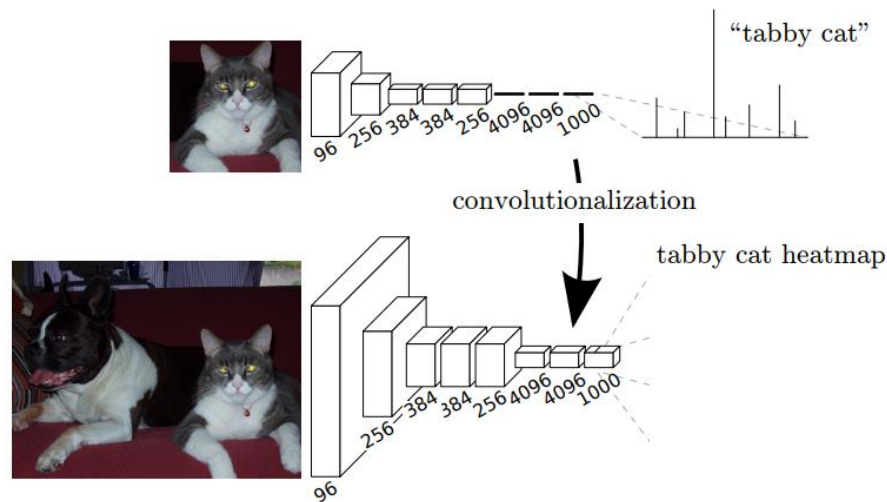
# Fully Convolutional Neural Networks and the U-Net

## An interesting observation

- Fully connected layers are no different from conv layers
- Convolutionize FC layers  
→ Kernels that cover entire input region

- Spatially resolved classification
- Substantial speedups during both forward and backward pass

**Q: Is this all?**



# Fully Convolutional Neural Networks and the U-Net

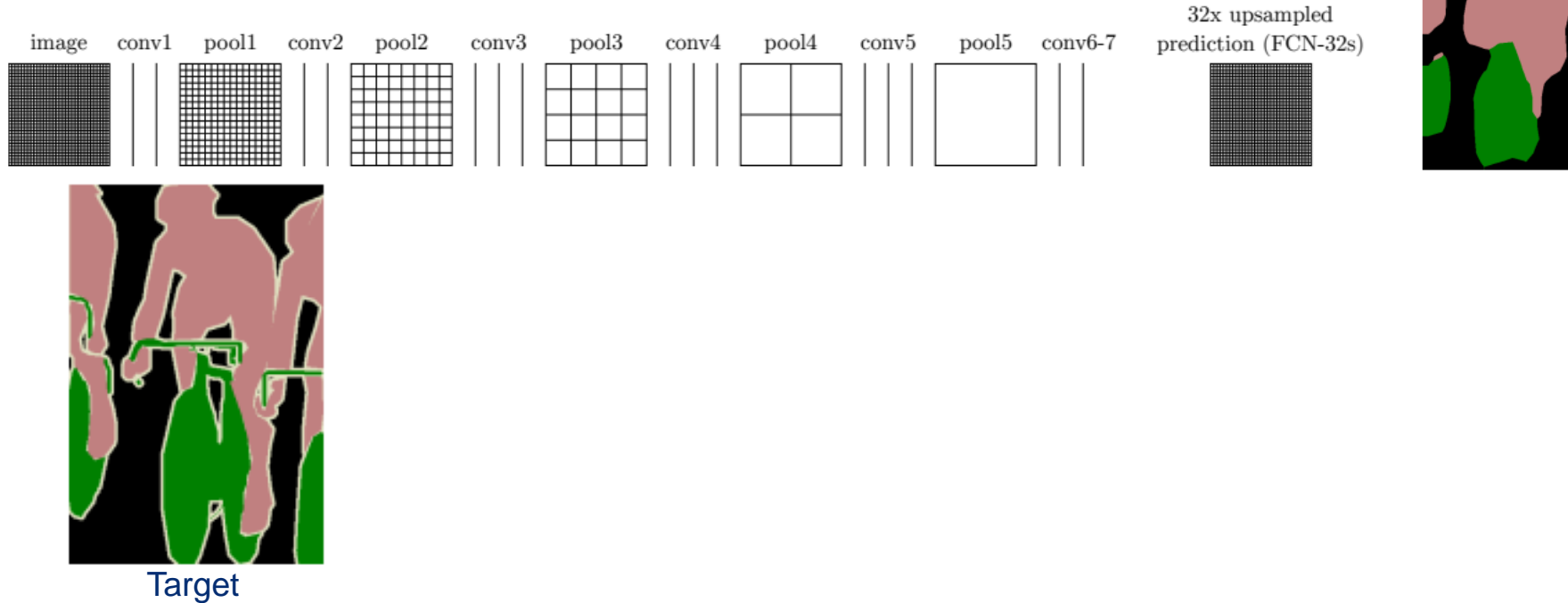
**Remember:** “Inherent tension between semantics and location”

→ Global information: Resolves what

→ Local information: Resolves where

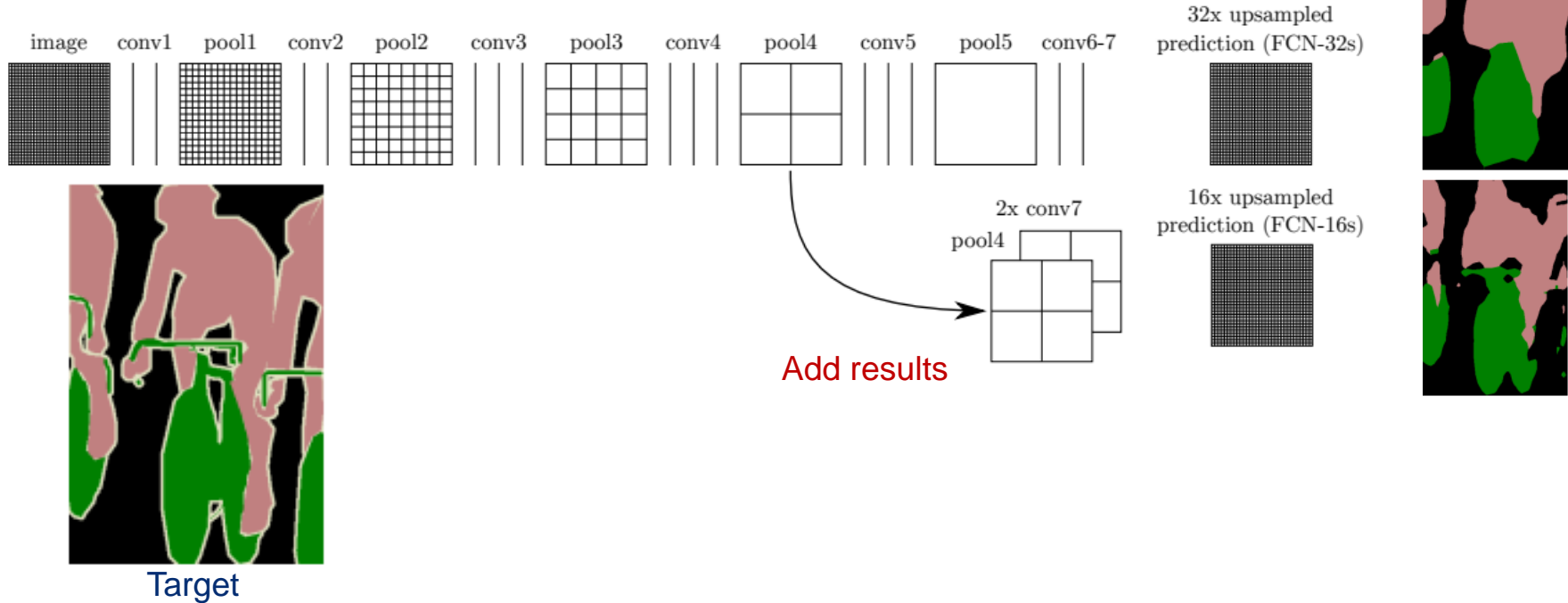
# Fully Convolutional Neural Networks and the U-Net

**Remember:** “Inherent tension between semantics and location”



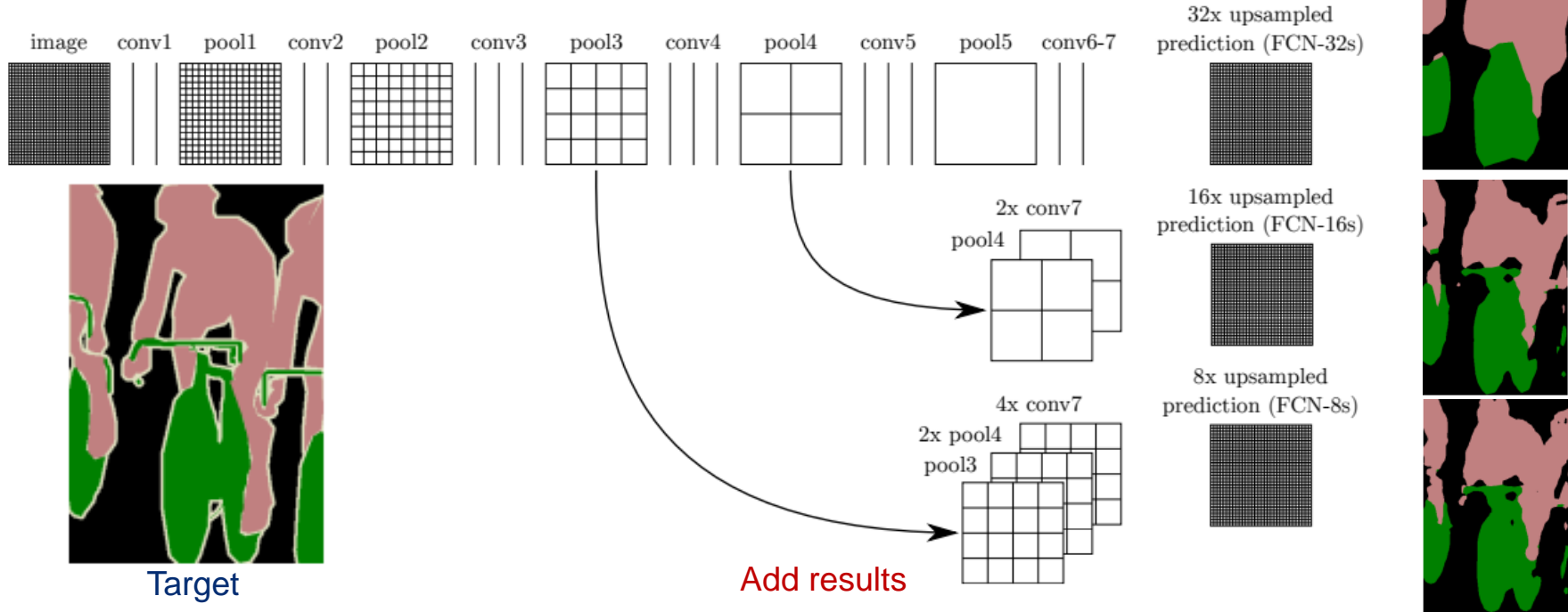
# Fully Convolutional Neural Networks and the U-Net

**Remember:** “Inherent tension between semantics and location”



# Fully Convolutional Neural Networks and the U-Net

**Remember:** “Inherent tension between semantics and location”





# Fully Convolutional Neural Networks and the U-Net

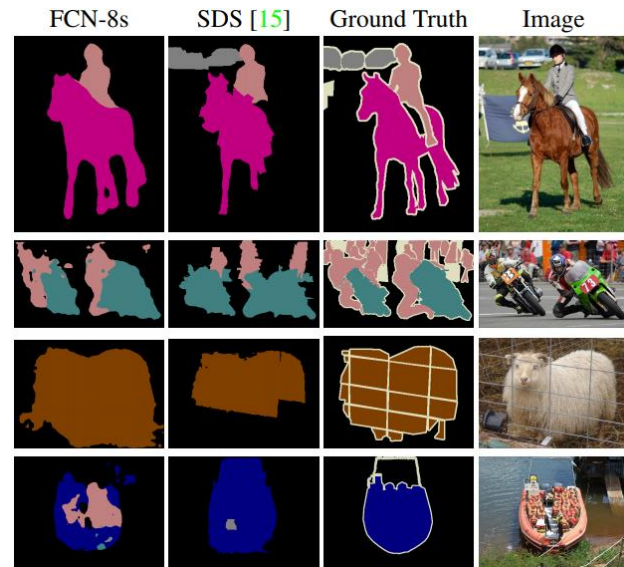
**Remember:** “Inherent tension between semantics and location”

Combining layers of feature hierarchy to refine spatial precision!

Can be trained end-to-end, achieves state-of-the-art results!

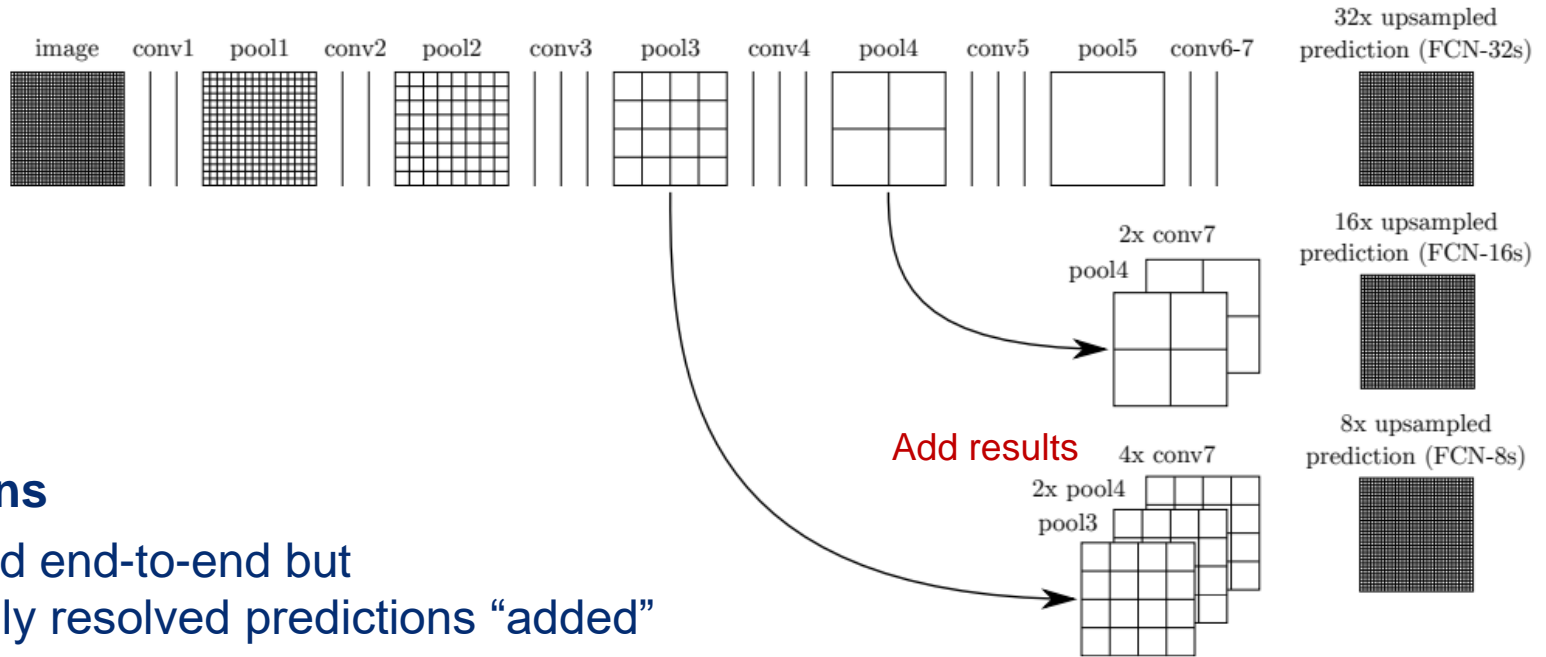
Table 2. Comparison of skip FCNs on a subset<sup>7</sup> of PASCAL VOC 2011 segval. Learning is end-to-end, except for FCN-32s-fixed, where only the last layer is fine-tuned. Note that FCN-32s is FCN-VGG16, renamed to highlight stride.

	pixel acc.	mean acc.	mean IU	f.w. IU
FCN-32s-fixed	83.0	59.7	45.4	72.0
FCN-32s	89.1	73.3	59.4	81.4
FCN-16s	90.0	75.7	62.4	83.0
FCN-8s	<b>90.3</b>	<b>75.9</b>	<b>62.7</b>	<b>83.2</b>





# Fully Convolutional Neural Networks and the U-Net



## Limitations


- Trained end-to-end but spatially resolved predictions “added”
- Still fairly “low resolution”

# Fully Convolutional Neural Networks and the U-Net

## Enter the U-Net

- Designed for medical image segmentation
- Anecdotally:
  - Developed as “baseline” method adapted from Long et al. Fully Convolutional Networks
  - Ended up out-performing all “actually developed” methods
  - Paper then focused on the baseline method
- Now the most cited paper of MICCAI (>17k on google scholar as of 08/30/20)  
The second most cited: Frangi, A. et al. 1998 (3908 as of same day)

→ **Why all the fuzz?**

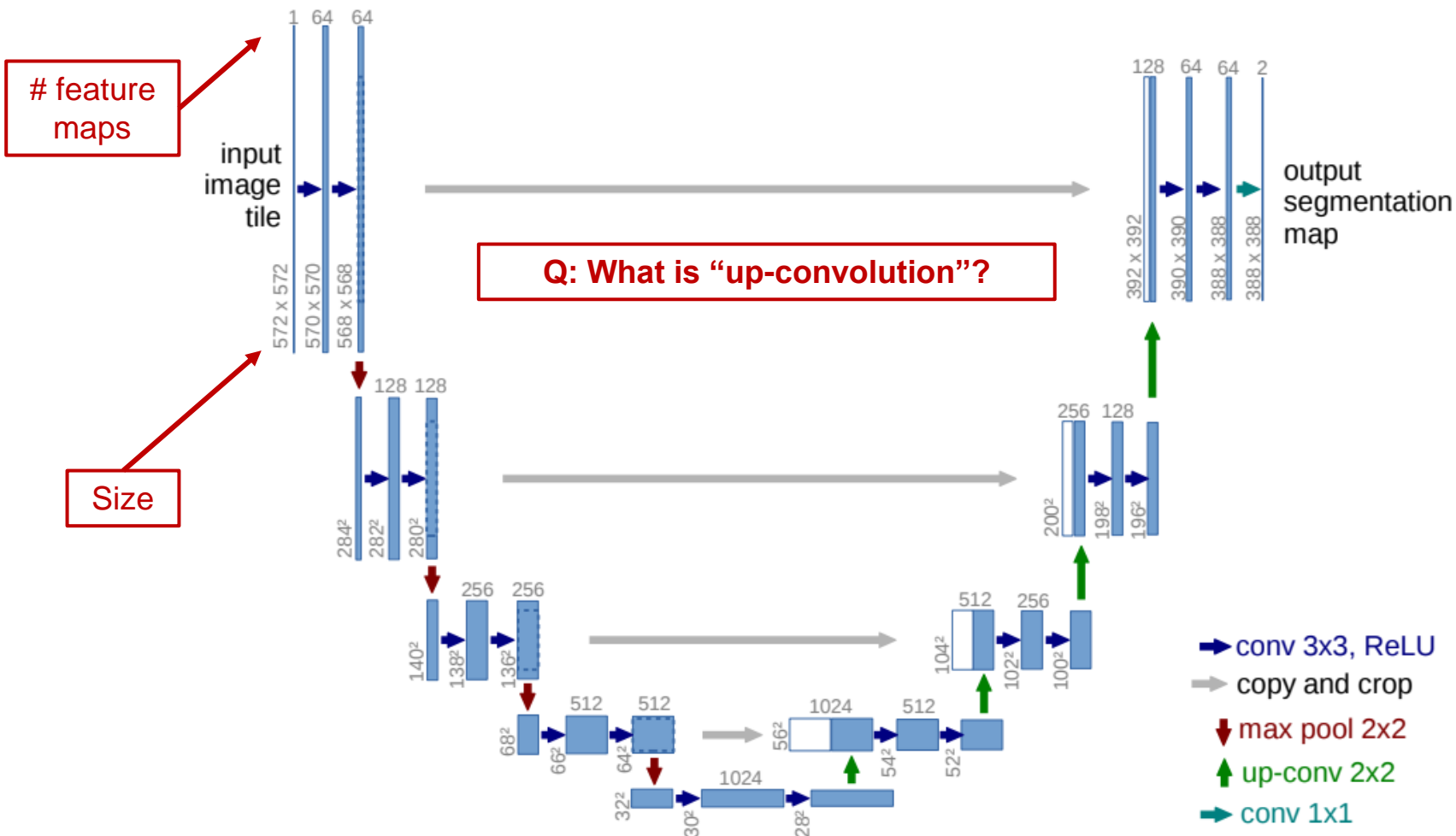


Prof Olaf Ronneberger

**The U-net does its job – so what next?**

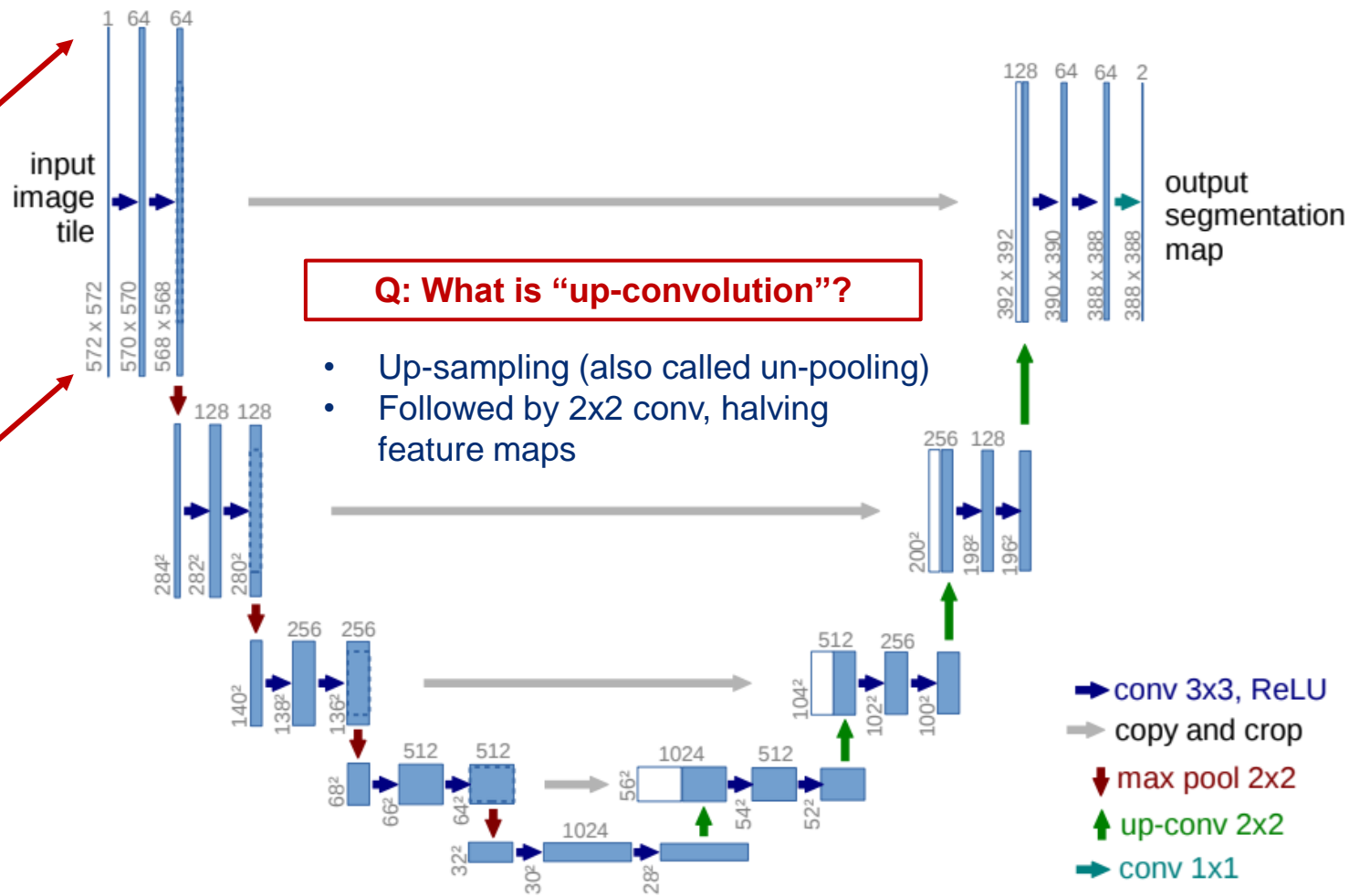
The U-net is currently the second-most successful paper (in terms of citations) in the 21 years MICCAI history. U-net based architectures have demonstrated very high performance in a wide range of medical image segmentation tasks, but a powerful segmentation architecture alone is only one part of building clinically applicable tools. In my talk I'll present three projects from the DeepMind Health Research team that address these challenges.

[Ronneberger, O., Fischer, P., & Brox, T. \(2015, October\). U-net: Convolutional networks for biomedical image segmentation. MICCAI \(pp. 234-241\). Springer, Cham.](#)

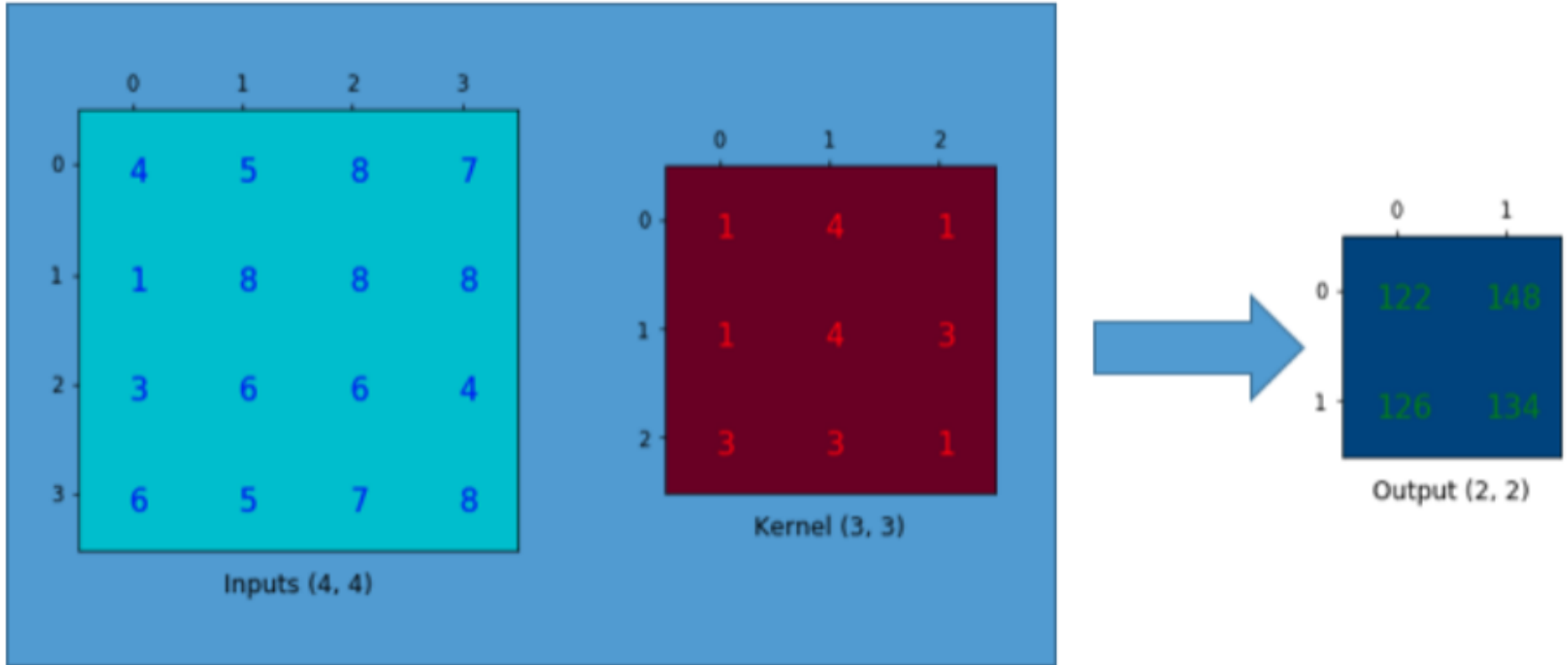


# feature maps

Size



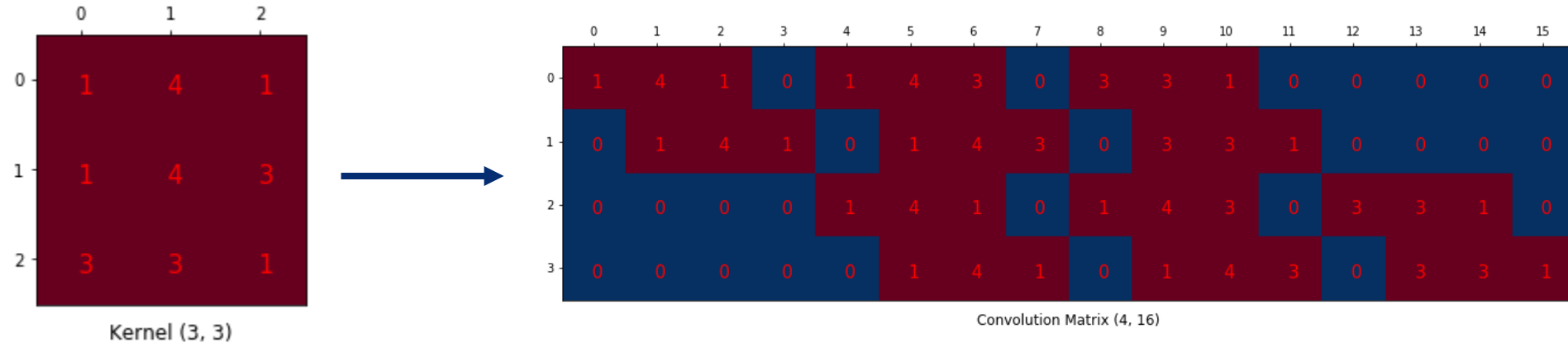
# A Short Aside: Transpose Convolution for Upsampling



Based on [this blog post](#) and [this one, too](#). This also contains references to scientific papers that provide further insight.

# A Short Aside: Transpose Convolution for Upsampling

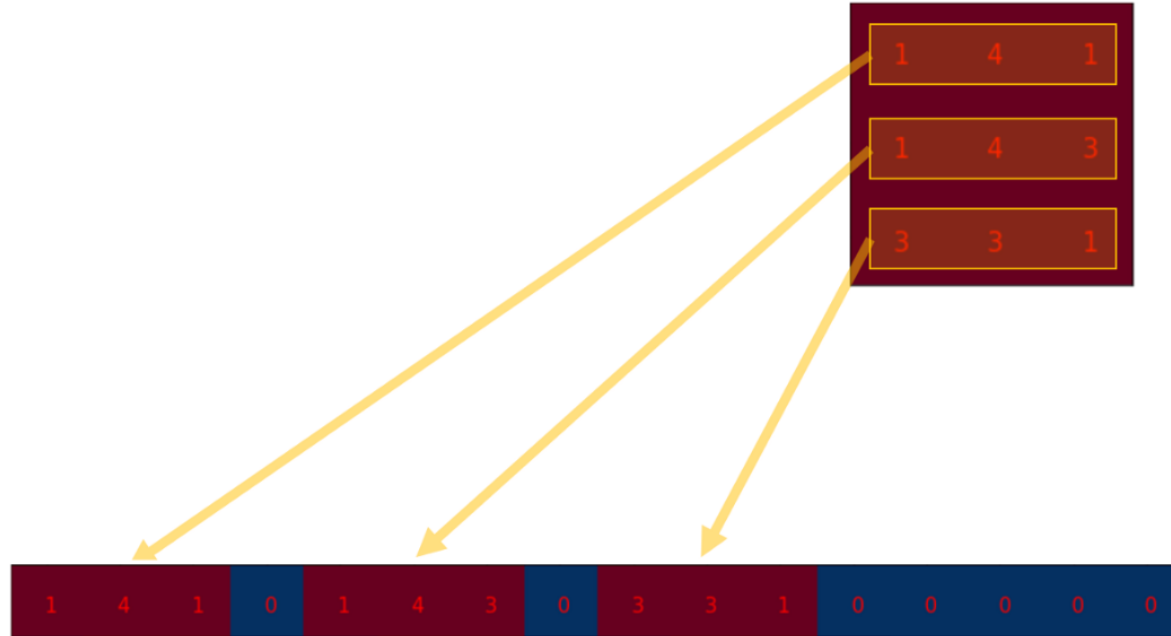
Towards transposed convolutions: Re-arranging the kernel matrix  
Convolution is linear, so can be represented by matrix multiplication!



Based on [this blog post](#) and [this one, too](#). This also contains references to scientific papers that provide further insight.

# A Short Aside: Transpose Convolution for Upsampling

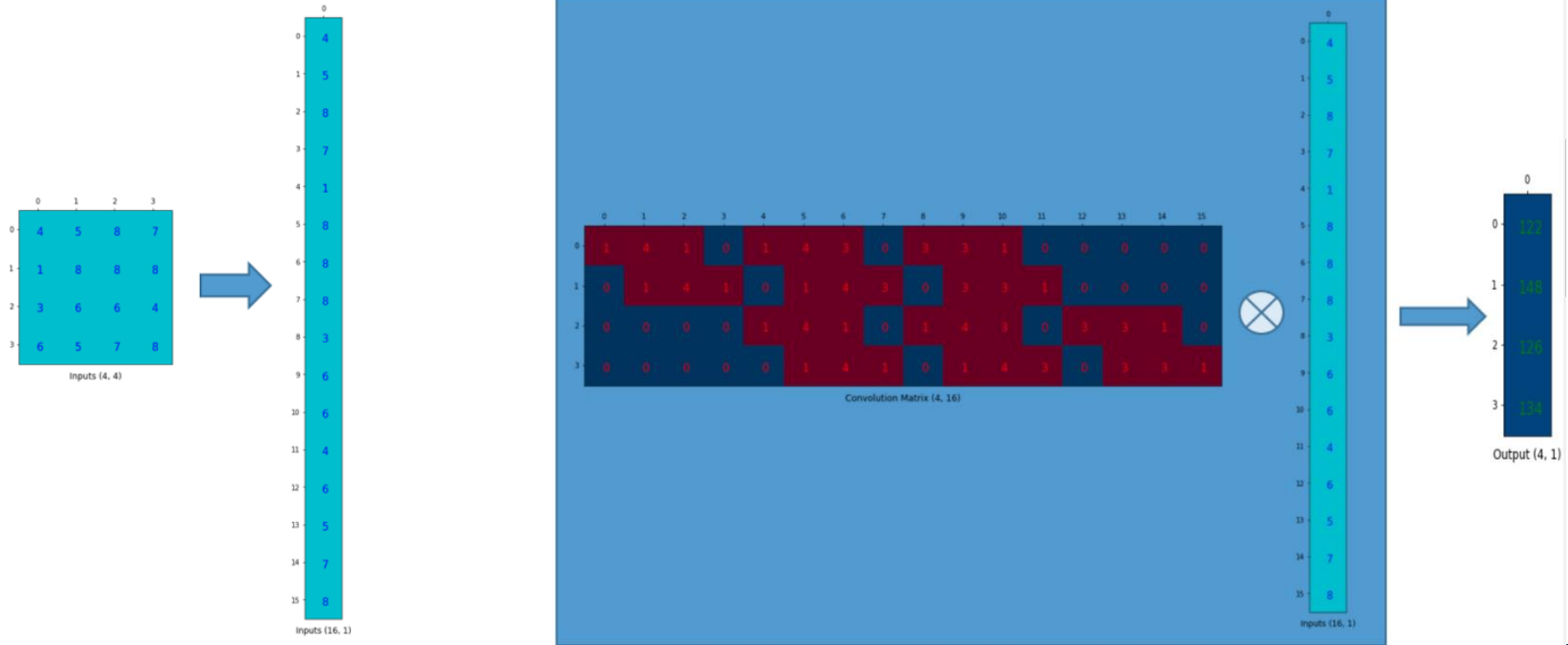
Linearization process in greater detail



Based on [this blog post](#) and [this one, too](#). This also contains references to scientific papers that provide further insight.

# A Short Aside: Transpose Convolution for Upsampling

Linearized operation is now applied to the full flattened input!

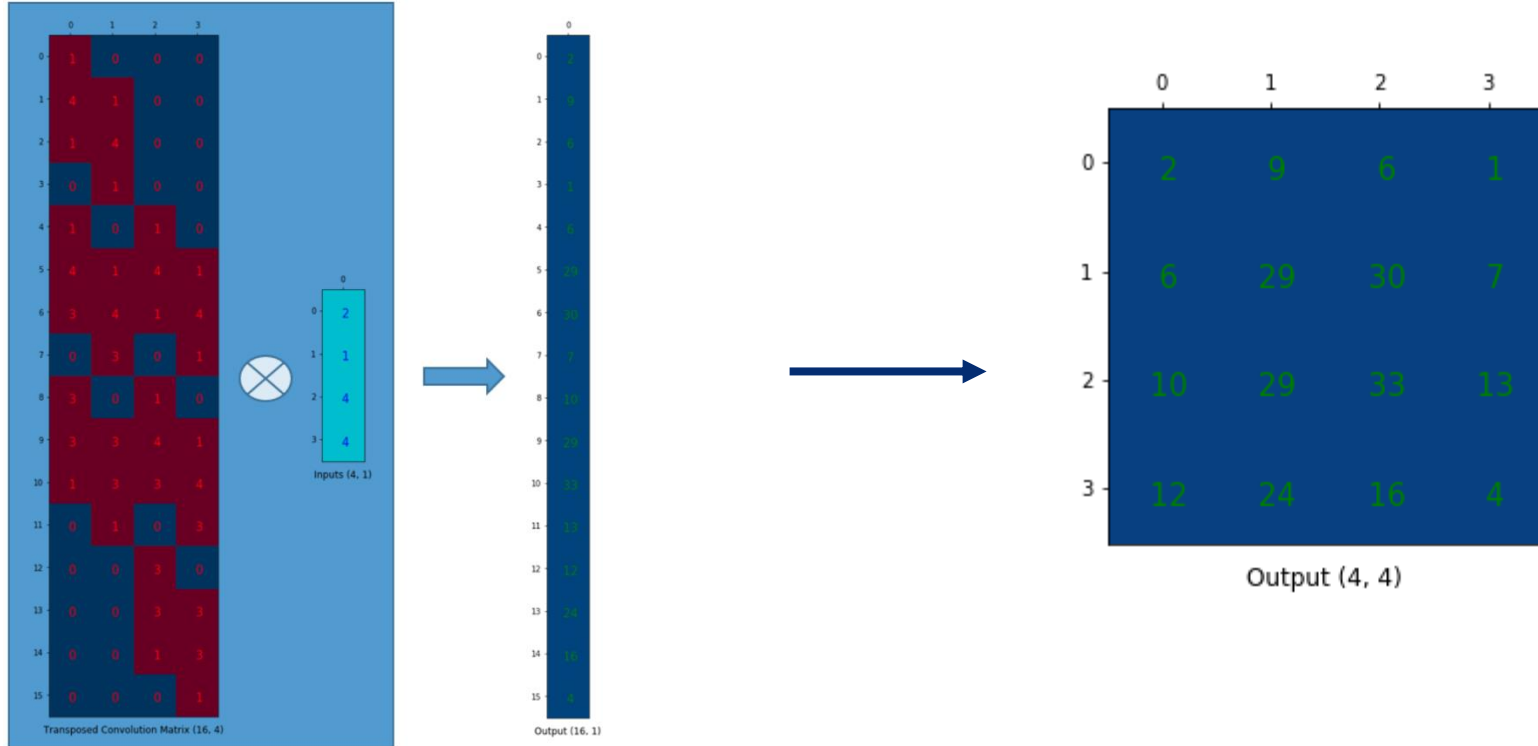


Based on [this blog post](#) and [this one, too](#). This also contains references to scientific papers that provide further insight.



# A Short Aside: Transpose Convolution for Upsampling

Linearized operations can be transposed!



Based on [this blog post](#) and [this one, too](#). This also contains references to scientific papers that provide further insight.

# A Short Aside: Transpose Convolution for Upsampling

This process can generate artifact (see second blog post).

→ Separating upsampling and convolution (as in U-Net) can be a good idea.



Using deconvolution.  
*Heavy checkerboard artifacts.*

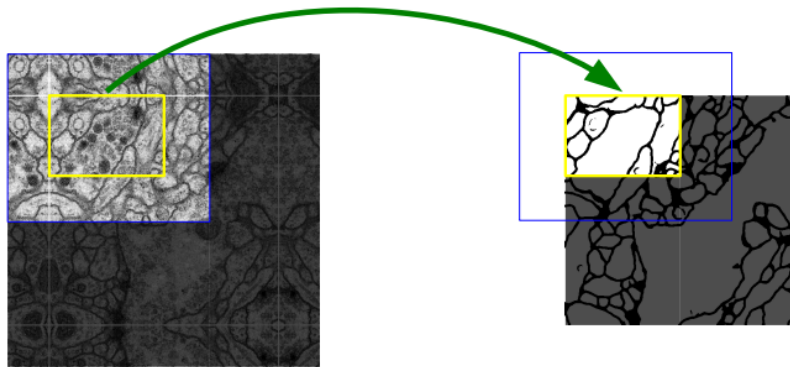


Using resize-convolution.  
*No checkerboard artifacts.*

# Fully Convolutional Neural Networks and the U-Net

## Observations

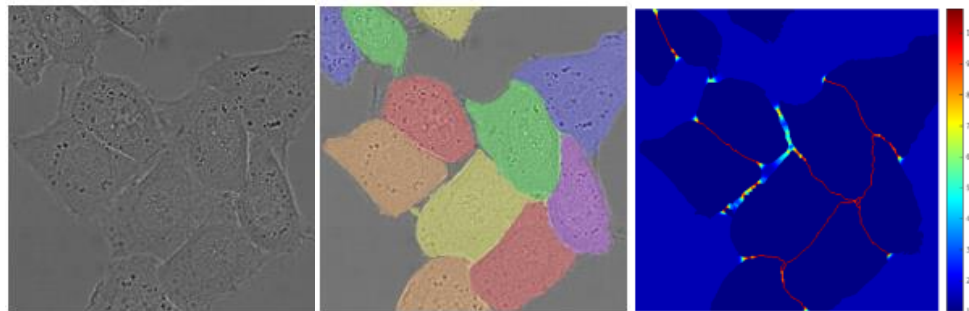
- Fully convolutional neural network: No fully connected layers
- However, input size still important due to “cropping”
  - Skip-ahead connections must crop to central region
  - Border regions in image are processed via “mirroring”



# Fully Convolutional Neural Networks and the U-Net

## Observations

- Fully convolutional neural network: No fully connected layers
- However, input size still important due to “cropping”
  - Skip-ahead connections must crop to central region
  - Border regions in image are processed via “mirroring”
- End-to-end training
  - Penalized cross-entropy loss
  - Weights to account for small/narrow structures and class imbalance

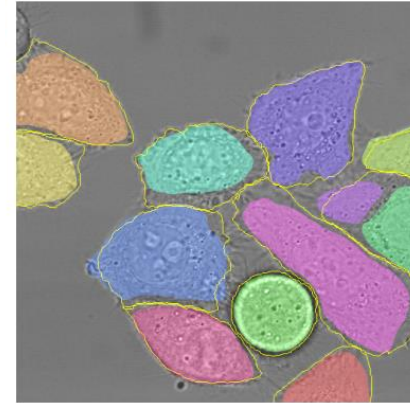
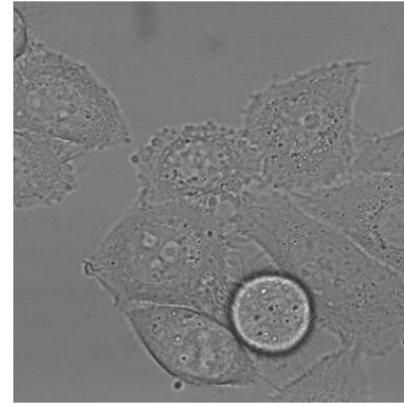
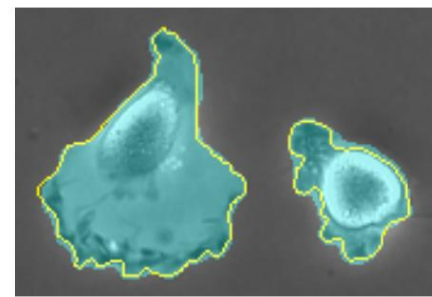
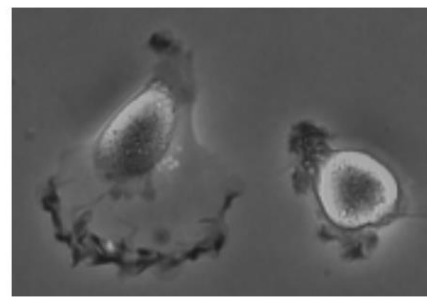


# The U-Net: Why all the Fuzz?

On multiple challenges (some shown here) U-Net outperformed all previous methods by a large margin!

## Why?

- Improvements of CNN architecture
- Meaningful, massive data augmentation



Name	PhC-U373	DIC-HeLa
IMCB-SG (2014)	0.2669	0.2935
KTH-SE (2014)	0.7953	0.4607
HOUS-US (2014)	0.5323	-
second-best 2015	0.83	0.46
u-net (2015)	<b>0.9203</b>	<b>0.7756</b>

# Image Transformations to Use for Augmentation

## Rule of thumb

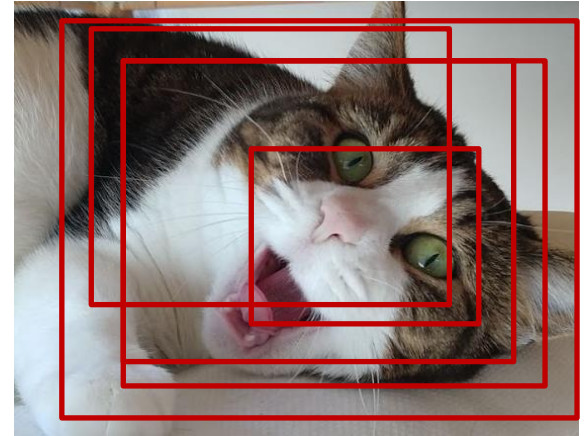
Every transformation that yields a **valid** image.



**Examples:** All these are random (within reasonable ranges)

- Horizontal / vertical flips
- Rotations and translations
- Noise (!)
- Scaling
- Cropping
- Color variations
- Distortions

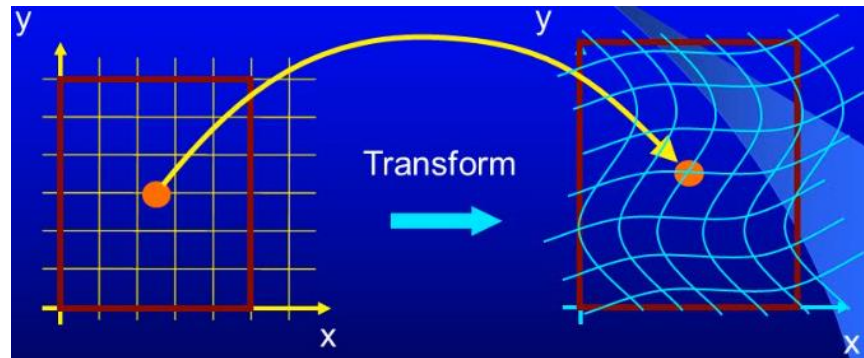
→ We will see an interesting example of this soon!



# State-of-the-art Performance via Data Augmentation

Remember: All transformations that yield a **valid** image

- Horizontal / vertical flips
- Rotations and translations
- Noise (!)
- Scaling
- Cropping
- Color variations
- **Distortions**
  - B-spline transformations

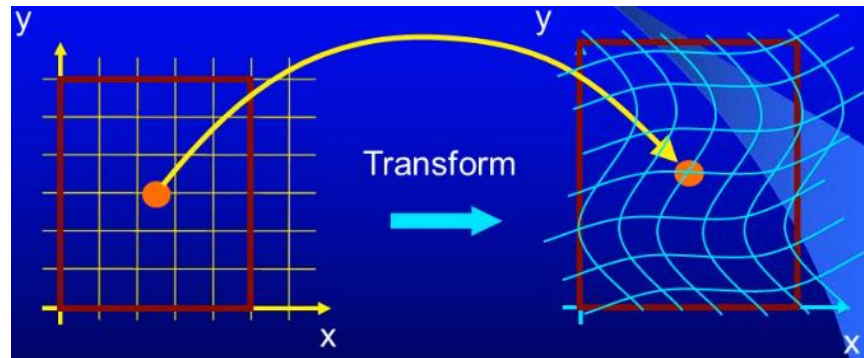




# State-of-the-art Performance via Data Augmentation

## B-spline transformations

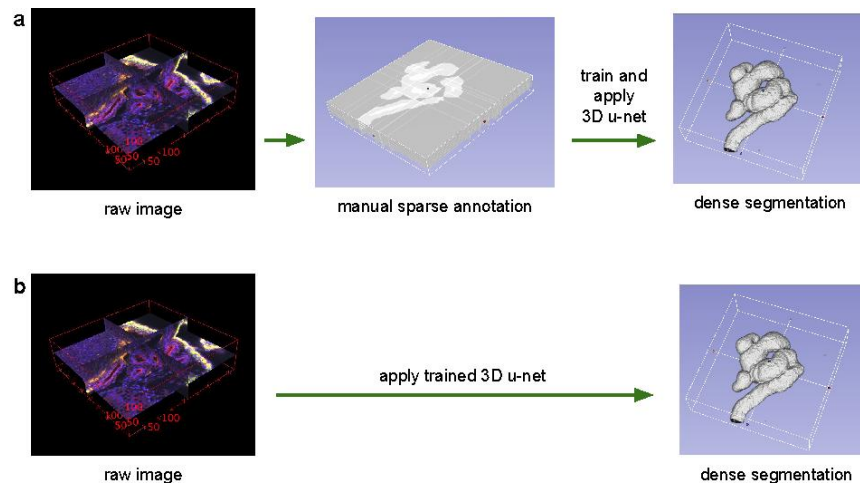
- Random elastic transformations
- Coarse 3x3 grid centered on image
- Random displacements of control points  
Sampled from zero mean Gaussian distribution with 10px standard deviation
- Pixel-level displacements then via bicubic interpolation





# Beyond the Initial U-Net

- Fully convolutional networks (particularly with skip connections) define the state-of-the-art in segmentation
- Probabilistic approaches for ambiguous images
- 3D approaches exist
  - 3D U-Net (sparse annotations)
  - V-net



Network Architectures

**Questions?**

