



STATETRUST
International Bank & Trust LLC

NEW INDIVIDUAL ACCOUNT COMPLIANCE CHECKLIST

Date:

Review by:

Customer Number:

Country:

Portfolio Name:

Office:

Related with #:

Agent Name:

The analysis reflected below is done upon review of the documentation provided by customer:

Documentation Check List

Account Type:

☐ Individual

☐ STL Domiciled

☐ Agent

Relation:

☐ International

☐ Premier

☐ Elite

No.	Documents	Received	Highlight	Comments
1	ACCOUNT APPLICATION			
2	SIGNATURE CARD			
3	ID: PASSPORT			
4				
4				
5	W8-BEN FORM			
6	KYC-NEW CUSTOMER REFERRAL ACK			
15	PROOF OF ADDRESS			
16	BANK REFERENCE ON HIGH MODERATE			
17	KYC-CALL REPORT WRITE UP			
27	AML NOTIFICATION			
28	DEBIT CARD			
29	INTERNET FORM			
30	SUPPORT/MORE DOC.			
34	DECLARATION OF SOURCE FUNDS			



OCCUPATION AND BUSSINESS ACTIVITIES:

AGE OF THE PRINCIPALS OF THE ACCOUNT:

ANNUAL INCOME / OTHER INCOME:

NET WORTH:

SOURCE OF WEALTH:

SOURCE OF ACCOUNT FUNDING AND AMOUNT:

PURPOSE OF THE ACCOUNT:

ESTIMATED AVERAGE
ACTIVITY

- ☐ Monthly
☐ Quarterly
☐ Semiannual
☐ Annual

ACTIVITY

USD

EUR

Number

Amount

Number

Amount

Number

Amount

INBOUND

Incoming Wires Transfers

Check Deposits

Other Credits / Deposits

OUTBOUND

Outgoing Wire Transfers

Back / Official Checks

Debit Card Transactions

Other Debits

INTERNAL

Outgoing Wire Transfers

Third Parties (Accounts / Portfolio

RELATED TO STATETRUST GROUP (Products):

Notes / Observations:

*Low: 0-250,000.00, Medium: 250,000.01 – 1,000,000.00, High: 1,000,000.01 - +

Officer:

Date:

Executive
Manager:

Date:

Comments