



STATE TRUST
International Bank & Trust LLC

NEW CORPORATE ACCOUNT COMPLIANCE CHECKLIST

Date:

Review by:

Customer Number:

STIBT Agent Name:

Name of Entity:

Office:

Country of
Incorporation:

Years in
Business:

Country of
Main Operations:

Line of Business:

Purpose of Business:

The analysis reflected below is done upon review of the documentation provided by customer:

Documentation Check List

Account Type:

☐

Corporate

☐

PHC

☐

Corporate Account
Participant

Relation:

☐

International

☐

Premier

☐

Elite

No.	Documents	Received	Highlight	Comments
1	CUSTOMER APPLICATION			
2	SIGNATURE CARD			
3	RIF / RUC			
4	CORPORATE RESOLUTION			
5	W8-BEN FORM COMPANY			
6	W8-BEN FORM INDIVIDUAL			
7	KYC - CLIENT PROFILE			
8	OFAC SEARCH LIST REQUEST			
9	INTERNET SEARCH ON ALL NAMES			
10	PROOF OF ADDRESS			
11	CERTIFIED ARTICLES OF INCORPORATION			
12	FINANCIAL STATEMENTS			
13	BANK REFERENCES			
14	KYC-CALL REPORT WRITE UP			
15	PATRIOT ACT NOTIFICATION			
16	INTERNET & DEBIT FORM			
17	DECLARATION OF SOURCE OF FUNDS			
18	MORE DOCUMENTS			
19	EXCEPTION			



Shareholders

Name	Shares	Position

1 Signature type (A) - Especial Instructions / Requirements for signatures and authorizations

☐ Yes

☐ No

Financial Information

TOTAL ASSETS IN US DOLLAR (\$)			
TOTAL LIABILITIES IN US DOLLARS			
TOTAL SHAREHOLDER’S EQUITY			
ANNUAL INCOME			
ANNUAL EXPENSES			
NET INCOME			

Notes and observations:



OCCUPATION AND BUSSINESS ACTIVITIES:								
AGE OF THE PRINCIPALS OF THE ACCOUNT:								
ANNUAL INCOME / OTHER INCOME:								
NET WORTH:								
SOURCE OF WEALTH:								
SOURCE OF ACCOUNT FUNDING AND AMOUNT:								
PURPOSE OF THE ACCOUNT:								
ESTIMATED AVERAGE ACTIVITY <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semiannual <input type="checkbox"/> Annual	ACTIVITY		USD		EUR			
			Number	Amount	Number	Amount	Number	Amount
	INBOUND	Incoming Wires Transfers						
		Check Deposits						
		Other Credits / Deposits						
	OUTBOUND	Outgoing Wire Transfers						
		Back / Official Checks						
		Debit Card Transactions						
		Other Debits						
	INTERNAL	Outgoing Wire Transfers						
Third Parties (Accounts / Portfolio								
RELATED TO STATETRUST GROUP (Products):								
Notes / Observations:								

*Low: 0-250,000.00, Medium: 250,000.01 – 1,000,000.00, High: 1,000,000.01 - +

Officer: <input type="text"/>		Date: <input type="text"/>		Executive Manager: <input type="text"/>		Date: <input type="text"/>	
Compliance Officer Comments				Executive Manager Comments			