Natural Language Processing

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Part 1: Ambiguity

Context Free Grammars and Ambiguity

$$
\begin{array}{lcl}
S & \rightarrow & NP\ VP \\
VP & \rightarrow & V\ NP \\
VP & \rightarrow & VP\ PP \\
PP & \rightarrow & P\ NP \\
NP & \rightarrow & NP\ PP \\
NP & \rightarrow & Calvin \\
NP & \rightarrow & monsters \\
NP & \rightarrow & school \\
V & \rightarrow & imagined \\
P & \rightarrow & in
\end{array}
$$

What is the analysis using the above grammar for:
Calvin imagined monsters in school

Context Free Grammars and Ambiguity

Calvin imagined monsters in school

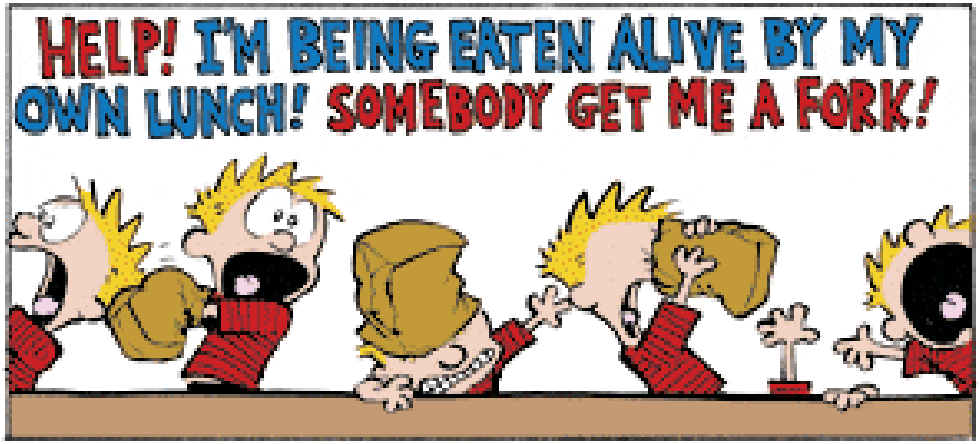
```
(S (NP Calvin)
   (VP (V imagined)
       (NP (NP monsters)
           (PP (P in)
               (NP school)))))

(S (NP Calvin)
   (VP (VP (V imagined)
           (NP monsters))
       (PP (P in)
           (NP school))))
```

Which one is more plausible?

Context Free Grammars and Ambiguity

Calvin imagined monsters in school



Calvin imagined monsters in school

Ambiguity Kills (your parser)

natural language learning course
(run demos/parsing-ambiguity.py)

```
((natural language) (learning course))
(((natural language) learning) course)
((natural (language learning)) course)
(natural (language (learning course)))
(natural ((language learning) course))
```

- Some difficult issues:
  - Which one is more plausible?
  - How many analyses for a given input?
  - Computational complexity of parsing language

Number of derivations

CFG rules $\{ \ \mathtt{N} \rightarrow \mathtt{N}\ \mathtt{N}\ ,\ \mathtt{N} \rightarrow \mathtt{a}\ \}$

$n : a^n$	number of parses
1	1
2	1
3	2
4	5
5	14
6	42
7	132
8	429
9	1430
10	4862
11	16796

CFG Ambiguity

- Number of parses in previous table is an integer series, known as the Catalan numbers
- Catalan numbers have a closed form:

$$Cat(n) = \frac{1}{n+1} \left(\begin{array}{c} 2n \\ n \end{array} \right)$$

- $\left(\begin{array}{c} a \\ b \end{array} \right)$ is the *binomial coefficient*

$$\left(\begin{array}{c} a \\ b \end{array} \right) = \frac{a!}{(b!(a-b)!)}$$

Catalan numbers

- Why Catalan numbers? Cat(n) is the number of ways to parenthesize an expression of length n with two conditions:
  1. there must be equal numbers of open and close parens
  2. they must be properly nested so that an open precedes a close
- `((ab)c)d (a(bc))d (ab)(cd) a((bc)d) a(b(cd))`
- For an expression of with n ways to form constituents there are a total of $2n$ choose n parenthesis pairs. Then divide by $n + 1$ to remove invalid parenthesis pairs.

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Part 2: Context Free Grammars

Context-Free Grammars

- A CFG is a 4-tuple: (N, T, R, S), where
  - N is a set of non-terminal symbols,
  - T is a set of terminal symbols which can include the empty string ϵ. T is analogous to Σ the alphabet in FSAs.
  - R is a set of rules of the form $A \rightarrow \alpha$, where $A \in N$ and $\alpha \in \{N \cup T\}^*$
  - S is a set of start symbols, $S \in N$

Context-Free Grammars

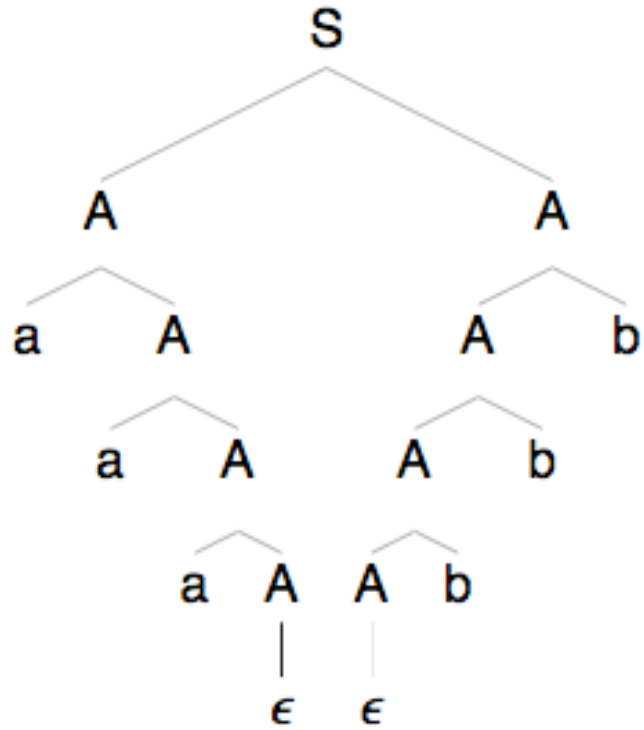
- Here's an example of a CFG, let's call this one G:
  1. $S \rightarrow a\ S\ b$
  2. $S \rightarrow \epsilon$
- What is the language of this grammar, which we will call $L(G)$, the set of strings *generated* by this grammar How? Notice that there cannot be any FSA that corresponds exactly to this set of strings $L(G)$ Why?
- What is the *tree set* or derivations produced by this grammar?

Context-Free Grammars

- This notion of generating both the strings and the trees is an important one for Computational Linguistics
- Consider the trees for the grammar G':
  $P = \{S \rightarrow A\ A, A \rightarrow aA, A \rightarrow A\ b, A \rightarrow \epsilon\}$,
  $\Sigma = \{a, b\}, N = \{S, A\}, T = \{a, b, \epsilon\}, S = \{S\}$
- Why is it called *context-free* grammar?

Context-Free Grammars

- Can the grammar G' produce only trees with equal height subtrees on the left and right?

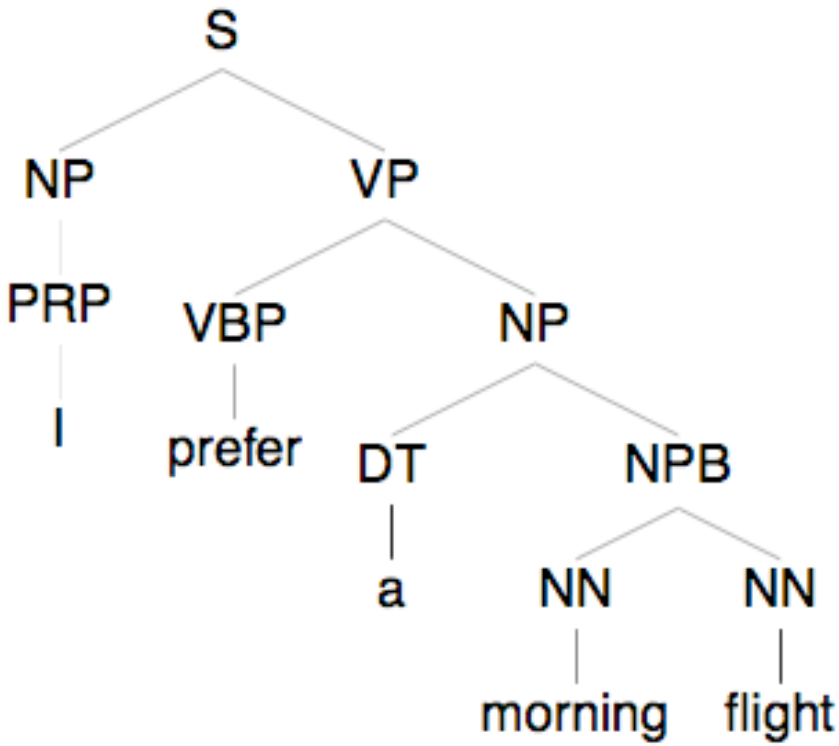


Parse Trees

Consider the grammar with rules:

$$
\begin{aligned}
S &\rightarrow NP\ VP \\
NP &\rightarrow PRP \\
NP &\rightarrow DT\ NPB \\
VP &\rightarrow VBP\ NP \\
NPB &\rightarrow NN\ NN \\
PRP &\rightarrow I \\
VBP &\rightarrow prefer \\
DT &\rightarrow a \\
NN &\rightarrow morning \\
NN &\rightarrow flight
\end{aligned}
$$

Parse Trees



Parse Trees: Equivalent Representations

- (S (NP (PRP I)) (VP (VBP prefer) (NP (DT a) (NPB (NN morning) (NN flight)))))
- [$_S$ [$_{NP}$ [$_{PRP}$ I]] [$_{VP}$ [$_{VBP}$ prefer] [$_{NP}$ [$_{DT}$ a] [$_{NPB}$ [$_{NN}$ morning] [$_{NN}$ flight]]]]]

Ambiguous Grammars

- $S \rightarrow S\ S$
- $S \rightarrow a$
- Given the above rules, consider the input *aaa*, what are the valid parse trees?
- Now consider the input *aaaa*

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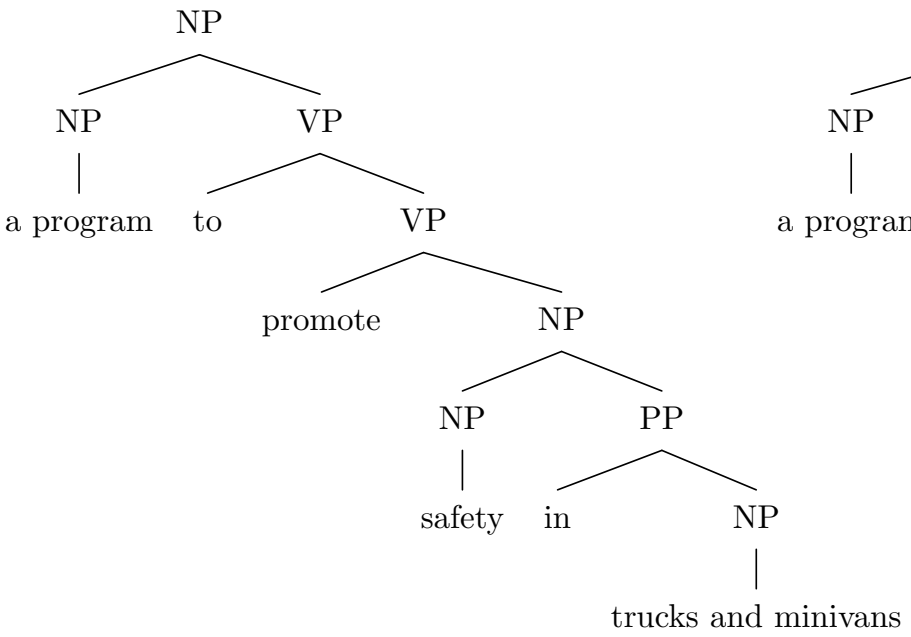
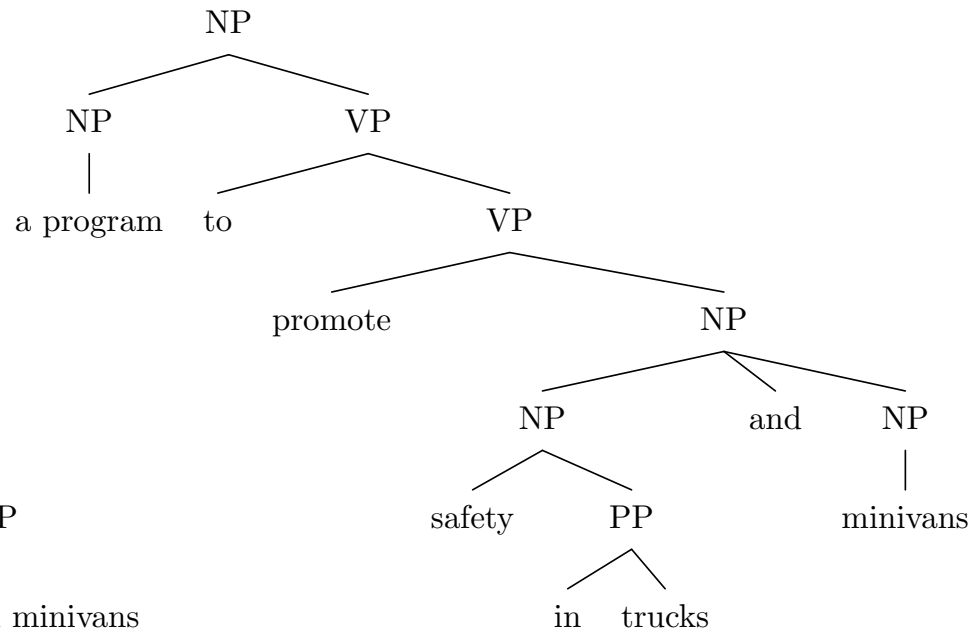
Part 3: Structural Ambiguity

Ambiguity

- Part of Speech ambiguity
  `saw` → noun
  `saw` → verb
- Structural ambiguity: Prepositional Phrases
  `I saw (the man) with the telescope`
  `I saw (the man with the telescope)`
- Structural ambiguity: Coordination
  `a program to promote safety in ((trucks) and (minivans))`
  `a program to promote ((safety in trucks) and (minivans))`
  `((a program to promote safety in trucks) and (minivans))`

Ambiguity ← attachment choice in alternative parses





Ambiguity in Prepositional Phrases

- noun attach: *I bought the shirt with pockets*
- verb attach: *I washed the shirt with soap*
- As in the case of other attachment decisions in parsing: it depends on the meaning of the entire sentence – needs world knowledge, etc.
- Maybe there is a simpler solution: we can attempt to solve it using heuristics or associations between words

Structure Based Ambiguity Resolution

- Right association: a constituent (NP or PP) tends to attach to another constituent immediately to its right (Kimball 1973)
- Minimal attachment: a constituent tends to attach to an existing non-terminal using the fewest additional syntactic nodes (Frazier 1978)
- These two principles make opposite predictions for prepositional phrase attachment
- Consider the grammar:

$$VP \rightarrow V\ NP\ PP \quad (1)$$

$$NP \rightarrow NP\ PP \quad (2)$$

for input: *I* $[_{VP}$ *saw* $[_{NP}$ *the man . . .* $[_{PP}$ *with the telescope* $]$, RA predicts that the PP attaches to the NP, i.e. use rule (2), and MA predicts V attachment, i.e. use rule (1)

Structure Based Ambiguity Resolution

- Garden-paths look structural:
  The emergency crews hate most is domestic violence
- Neither MA or RA account for more than 55% of the cases in real text
- Psycholinguistic experiments using eyetracking show that humans resolve ambiguities as soon as possible in the left to right sequence using the words to disambiguate
- Garden-paths are caused by a combination of lexical and structural effects:
  The flowers delivered for the patient arrived

Ambiguity Resolution: Prepositional Phrases in English

- Learning Prepositional Phrase Attachment: Annotated Data

v	n1	p	n2	Attachment
join	board	as	director	V
is	chairman	of	N.V.	N
using	crocidolite	in	filters	V
bring	attention	to	problem	V
is	asbestos	in	products	N
making	paper	for	filters	N
including	three	with	cancer	N
⋮	⋮	⋮	⋮	⋮

Prepositional Phrase Attachment

Method	Accuracy
Always noun attachment	59.0
Most likely for each preposition	72.2
Average Human (4 head words only)	88.2
Average Human (whole sentence)	93.2

Some other studies

- **Toutanova, Manning, and Ng, 2004**: 87.54% using some external knowledge (word classes)
- **Merlo, Crocker and Berthouzoz, 1997**: test on multiple PPs
  - generalize disambiguation of 1 PP to 2-3 PPs
  - 14 structures possible for 3PPs assuming a single verb
  - all 14 are attested in the Penn WSJ Treebank
  - 1PP: 84.3% 2PP: 69.6% 3PP: 43.6%
- **Belinkov+ TACL 2014**: Neural networks for PP attachment (multiple candidate heads)
  - NN model (no extra data): 86.6%
  - NN model (lots of raw data for word vectors): 88.7%
  - NN model with parser and lots of raw data: 90.1%
- **This experiment is still only part of the real problem faced in parsing English**. Plus other sources of ambiguity in other languages

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Part 4: Weighted Context Free Grammars

Treebanks

- What is the CFG that can be extracted from this single tree:

```
(S   (NP (Det the) (NP man))
     (VP (VP (V played)
             (NP (Det a) (NP game)))
         (PP (P with)
             (NP (Det the) (NP dog)))))
```

PCFG

$$
\begin{array}{lcll}
S & \rightarrow & NP\ VP & c = 1 \\
NP & \rightarrow & Det\ NP & c = 3 \\
NP & \rightarrow & man & c = 1 \\
NP & \rightarrow & game & c = 1 \\
NP & \rightarrow & dog & c = 1 \\
VP & \rightarrow & VP\ PP & c = 1 \\
VP & \rightarrow & V\ NP & c = 1 \\
PP & \rightarrow & P\ NP & c = 1 \\
Det & \rightarrow & the & c = 2 \\
Det & \rightarrow & a & c = 1 \\
V & \rightarrow & played & c = 1 \\
P & \rightarrow & with & c = 1
\end{array}
$$

- We can do this with multiple trees. Simply count occurrences of CFG rules over all the trees.
- A repository of such trees labelled by a human is called a TreeBank.

Probabilistic CFG (PCFG)

S	$\rightarrow$	$NP\ VP$	1
VP	$\rightarrow$	$V\ NP$	0.9
VP	$\rightarrow$	$VP\ PP$	0.1
PP	$\rightarrow$	$P\ NP$	1
NP	$\rightarrow$	$NP\ PP$	0.25
NP	$\rightarrow$	*Calvin*	0.25
NP	$\rightarrow$	*monsters*	0.25
NP	$\rightarrow$	*school*	0.25
V	$\rightarrow$	*imagined*	1
P	$\rightarrow$	*in*	1

$$P(input) = \sum_{tree} P(tree \mid input)$$

$$P(Calvin\ imagined\ monsters\ in\ school) = ?$$

$$\text{Notice that } P(VP \rightarrow V\ NP) + P(VP \rightarrow VP\ PP) = 1.0$$

Probabilistic CFG (PCFG)

$$P(\textit{Calvin imagined monsters in school}) = ?$$

```
(S (NP Calvin)
   (VP (V imagined)
       (NP (NP monsters)
           (PP (P in)
               (NP school)))))

(S (NP Calvin)
   (VP (VP (V imagined)
           (NP monsters))
       (PP (P in)
           (NP school))))
```

Probabilistic CFG (PCFG)

```
(S (NP Calvin)
   (VP (V imagined)
       (NP (NP monsters)
           (PP (P in)
               (NP school)))))
```

$$
\begin{aligned}
P(tree_1) &= P(S \rightarrow NP\ VP) \times P(NP \rightarrow Calvin) \times P(VP \rightarrow V\ NP) \times \\
&\quad P(V \rightarrow imagined) \times P(NP \rightarrow NP\ PP) \times P(NP \rightarrow monsters) \times \\
&\quad P(PP \rightarrow P\ NP) \times P(P \rightarrow in) \times P(NP \rightarrow school) \\
&= 1 \times 0.25 \times 0.9 \times 1 \times 0.25 \times 0.25 \times 1 \times 1 \times 0.25 = .003515625
\end{aligned}
$$

Probabilistic CFG (PCFG)

```
(S (NP Calvin)
   (VP (VP (V imagined)
           (NP monsters))
       (PP (P in)
           (NP school))))
```

$$
\begin{aligned}
P(tree_2) &= P(S \rightarrow NP\ VP) \times P(NP \rightarrow Calvin) \times P(VP \rightarrow VP\ PP) \times \\
&\quad P(VP \rightarrow V\ NP) \times P(V \rightarrow imagined) \times P(NP \rightarrow monsters) \times \\
&\quad P(PP \rightarrow P\ NP) \times P(P \rightarrow in) \times P(NP \rightarrow school) \\
&= 1 \times 0.25 \times 0.1 \times 0.9 \times 1 \times 0.25 \times 1 \times 1 \times 0.25 = .00140625
\end{aligned}
$$

Probabilistic CFG (PCFG)

$$
\begin{aligned}
P(\textit{Calvin imagined monsters in school}) &= P(\textit{tree}_1) + P(\textit{tree}_2) \\
&= .003515625 + .00140625 \\
&= .004921875 \\
\text{Most likely tree is } \textit{tree}_1 &= \operatorname*{arg\,max}_{\textit{tree}} P(\textit{tree} \mid \textit{input})
\end{aligned}
$$

```
(S (NP Calvin)
   (VP (V imagined)
       (NP (NP monsters)
           (PP (P in)
               (NP school)))))
```

```
(S (NP Calvin)
   (VP (VP (V imagined)
           (NP monsters))
       (PP (P in)
           (NP school))))
```

Probabilistic Context-Free Grammars (PCFG)

- A PCFG is a 4-tuple: (N, T, R, S), where
  - N is a set of non-terminal symbols,
  - T is a set of terminal symbols which can include the empty string ϵ. T is analogous to Σ the alphabet in FSAs.
  - R is a set of rules of the form $A \rightarrow \alpha$, where $A \in N$ and $\alpha \in \{N \cup T\}^*$
  - $P(R)$ is the probability of rule $R : A \rightarrow \alpha$ such that $\sum_\alpha P(A \rightarrow \alpha) = 1.0$
  - S is a set of start symbols, $S \in N$

PCFG

- Central condition: $\sum_{\alpha} P(A \rightarrow \alpha) = 1$
- Called a *proper* PCFG if this condition holds
- Note that this means $P(A \rightarrow \alpha) = P(\alpha \mid A) = \frac{f(A,\alpha)}{f(A)}$
- $P(T \mid S) = \frac{P(T,S)}{P(S)} = P(T,S) = \prod_i P(RHS_i \mid LHS_i)$

PCFG

- What is the PCFG that can be extracted from this single tree:

```
(S   (NP (Det the) (NP man))
     (VP (VP (V played)
             (NP (Det a) (NP game)))
         (PP (P with)
             (NP (Det the) (NP dog)))))
```

- How many different rhs α exist for $A \rightarrow \alpha$ where A can be *S*, *NP*, *VP*, *PP*, *Det*, *N*, *V*, *P*

PCFG

$$
\begin{array}{lcllll}
S & \rightarrow & NP\ VP & c=1 & p=1/1 & =1.0 \\
NP & \rightarrow & Det\ NP & c=3 & p=3/6 & =0.5 \\
NP & \rightarrow & man & c=1 & p=1/6 & =0.1667 \\
NP & \rightarrow & game & c=1 & p=1/6 & =0.1667 \\
NP & \rightarrow & dog & c=1 & p=1/6 & =0.1667 \\
VP & \rightarrow & VP\ PP & c=1 & p=1/2 & =0.5 \\
VP & \rightarrow & V\ NP & c=1 & p=1/2 & =0.5 \\
PP & \rightarrow & P\ NP & c=1 & p=1/1 & =1.0 \\
Det & \rightarrow & the & c=2 & p=2/3 & =0.67 \\
Det & \rightarrow & a & c=1 & p=1/3 & =0.33 \\
V & \rightarrow & played & c=1 & p=1/1 & =1.0 \\
P & \rightarrow & with & c=1 & p=1/1 & =1.0
\end{array}
$$

- We can do this with multiple trees. Simply count occurrences of CFG rules over all the trees.
- A repository of such trees labelled by a human is called a TreeBank.

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Part 5: Lexicalized Context Free Grammars

Ambiguity

- Part of Speech ambiguity
  `saw` → noun
  `saw` → verb
- Structural ambiguity: Prepositional Phrases
  `I saw (the man) with the telescope`
  `I saw (the man with the telescope)`
- Structural ambiguity: Coordination
  `a program to promote safety in ((trucks) and (minivans))`
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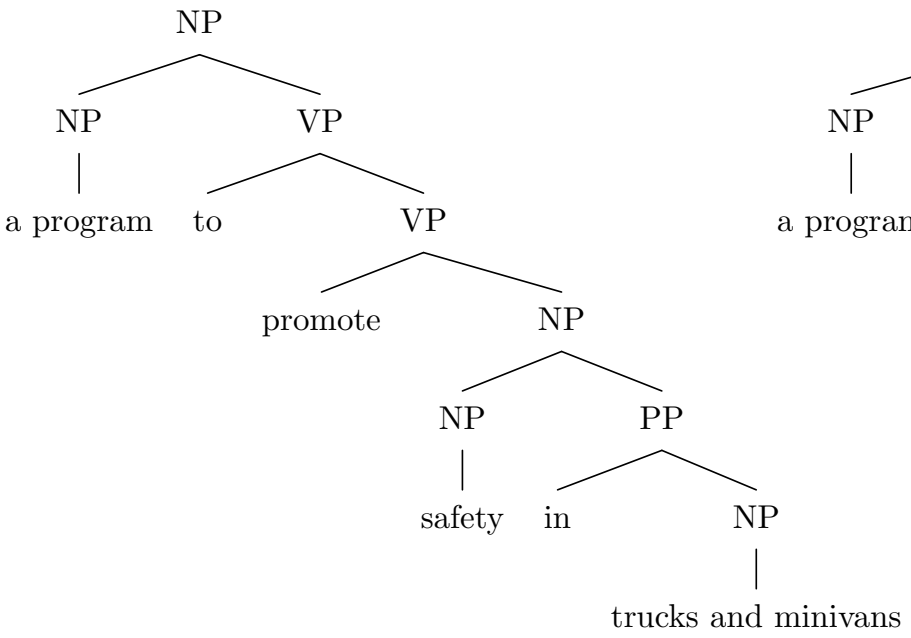
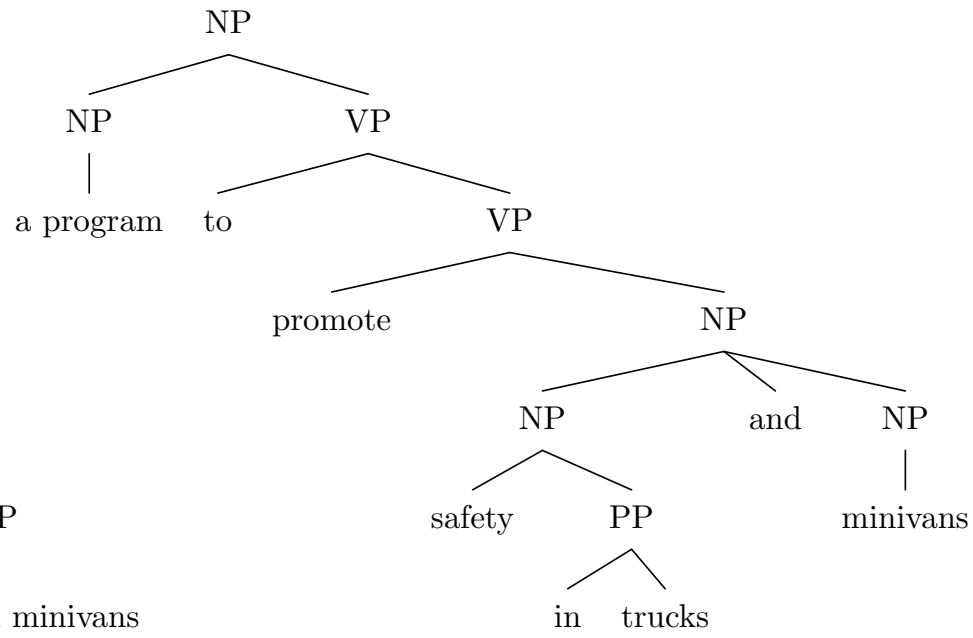
  `((a program to promote safety in trucks) and (minivans))`

Ambiguity ← attachment choice in alternative parses

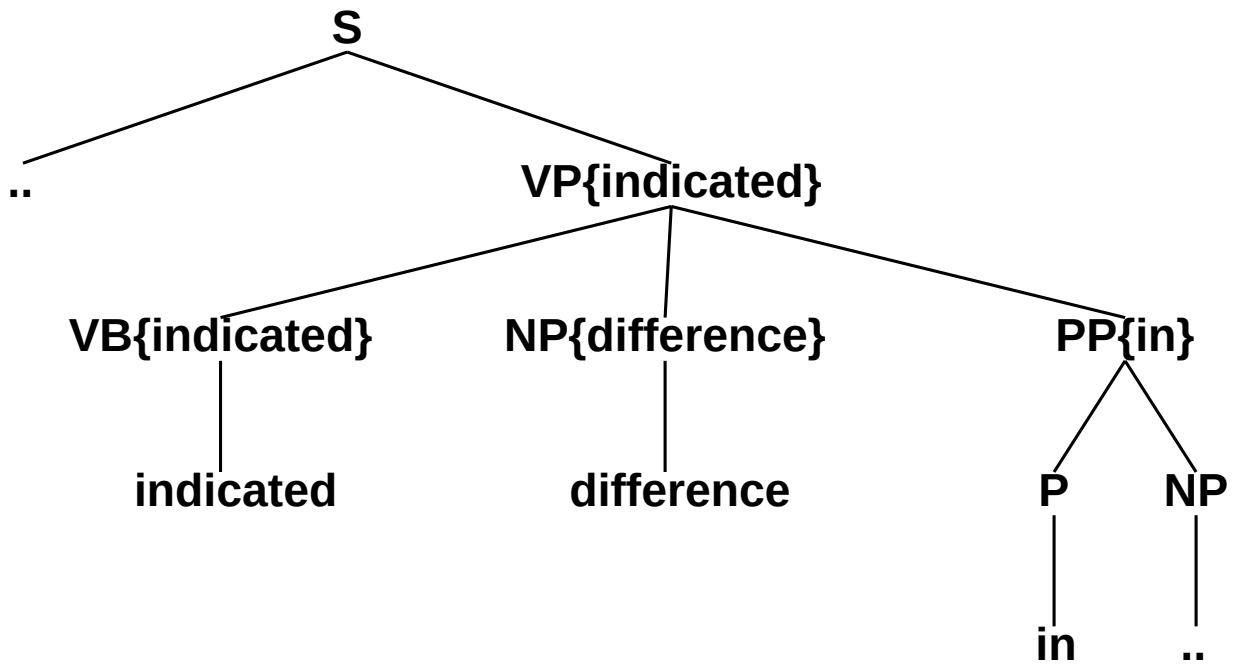




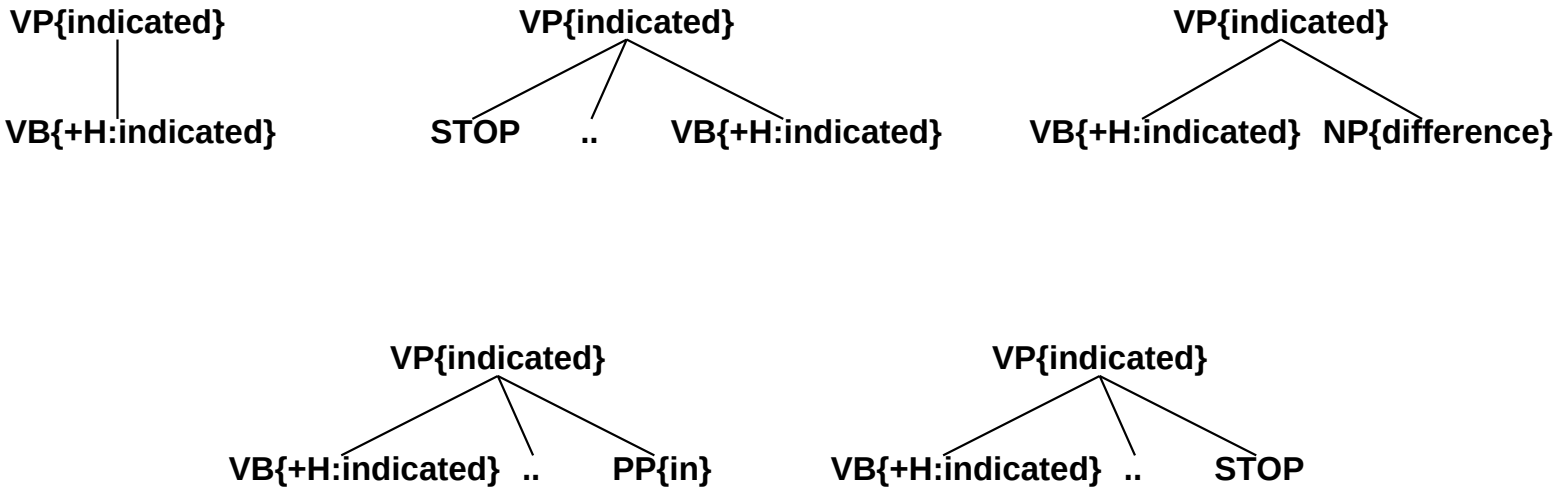
Parsing as a machine learning problem

- S = a sentence
  T = a parse tree
  A statistical parsing model defines $P(T \mid S)$
- Find best parse: $\arg\max_T P(T \mid S)$
- $P(T \mid S) = \frac{P(T,S)}{P(S)} = P(T,S)$
- Best parse: $\arg\max_T P(T,S)$
- e.g. for PCFGs: $P(T,S) = \prod_{i=1\ldots n} P(\mathrm{RHS}_i \mid \mathrm{LHS}_i)$

Adding Lexical Information to PCFG



Adding Lexical Information to PCFG (Collins 99, Charniak 00)



$$P_h(\texttt{VB} \mid \texttt{VP}, \texttt{indicated}) \times P_l(\texttt{STOP} \mid \texttt{VP}, \texttt{VB}, \texttt{indicated}) \times$$
$$P_r(\texttt{NP(difference)} \mid \texttt{VP}, \texttt{VB}, \texttt{indicated}) \times$$
$$P_r(\texttt{PP(in)} \mid \texttt{VP}, \texttt{VB}, \texttt{indicated}) \times$$
$$P_r(\texttt{STOP} \mid \texttt{VP}, \texttt{VB}, \texttt{indicated})$$

Evaluation of Parsing

- Consider a candidate parse to be evaluated against the truth (or gold-standard parse):

```
candidate: (S (A (P this) (Q is)) (A (R a) (T test)))
gold:      (S (A (P this)) (B (Q is) (A (R a) (T test))))
```

- In order to evaluate this, we list all the constituents

Candidate	Gold
(0,4,S)	(0,4,S)
(0,2,A)	(0,1,A)
(2,4,A)	(1,4,B)
	(2,4,A)

- Skip spans of length 1 which would be equivalent to part of speech tagging accuracy.
- Precision is defined as $\frac{\#correct}{\#proposed} = \frac{2}{3}$ and recall as $\frac{\#correct}{\#in\ gold} = \frac{2}{4}$.
- Another measure: crossing brackets,

```
candidate: [ an [incredibly expensive] coat ] (1 CB)
gold:      [ an [incredibly [expensive coat]]
```

Evaluation of Parsing

$$\text{Bracketing recall } R = \frac{\text{num of correct constituents}}{\text{num of constituents in the goldfile}}$$

$$\text{Bracketing precision } P = \frac{\text{num of correct constituents}}{\text{num of constituents in the parsed file}}$$

$$\text{Complete match} = \%\text{ of sents where recall \& precision are both 100\%}$$

$$\text{Average crossing} = \frac{\text{num of constituents crossing a goldfile constituent}}{\text{num of sents}}$$

$$\text{No crossing} = \%\text{ of sents which have 0 crossing brackets}$$

$$\text{2 or less crossing} = \%\text{ of sents which have} \leq 2\text{ crossing brackets}$$

Statistical Parsing Results

$$\text{F1-score} = 2\frac{\textit{precision} \cdot \textit{recall}}{\textit{precision} + \textit{recall}}$$

System	≤ 100*wds* F1-score
Shift-Reduce (Magerman, 1995)	84.14
PCFG with Lexical Features (Charniak, 1999)	89.54
Unlexicalized Berkeley parser (Petrov et al, 2007)	90.10
n-best Re-ranking (Charniak and Johnson, 2005)	91.02
Tree-insertion grammars (Carreras, Collins, Koo, 2008)	91.10
Ensemble *n*-best Re-ranking (Johnson and Ural, 2010)	91.49
Forest Re-ranking (Huang, 2010)	91.70
Unlabeled Data with Self-Training (McCloskey et al, 2006)	92.10
Self-Attention (Kitaev and Klein, 2018)	93.55
Self-Attention with unlabeled data (Kitaev and Klein, 2018)	95.13

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Part 6: Practical Issues in Parsing

Practical Issues: Beam Thresholding and Priors

- Read the parsing slides before this section.
- Probability of nonterminal X spanning $j \ldots k$: $N[X, j, k]$
- Beam Thresholding compares $N[X, j, k]$ with every other Y where $N[Y, j, k]$
- But what should be compared?
- Just the *inside probability*: $P(X \stackrel{*}{\Rightarrow} t_j \ldots t_k)$? written as $\beta(X, j, k)$
- Perhaps $\beta(\texttt{FRAG}, 0, 3) > \beta(\texttt{NP}, 0, 3)$, but `NP`s are much more likely than `FRAG`s in general

Practical Issues: Beam Thresholding and Priors

- The correct estimate is the *outside probability*:

$$P(S \stackrel{*}{\Rightarrow} t_1 \dots t_{j-1}\ X\ t_{k+1} \dots t_n)$$

  written as $\alpha(X, j, k)$
- Unfortunately, you can only compute $\alpha(X, j, k)$ efficiently after you finish parsing and reach $(S, 0, n)$

Practical Issues: Beam Thresholding and Priors

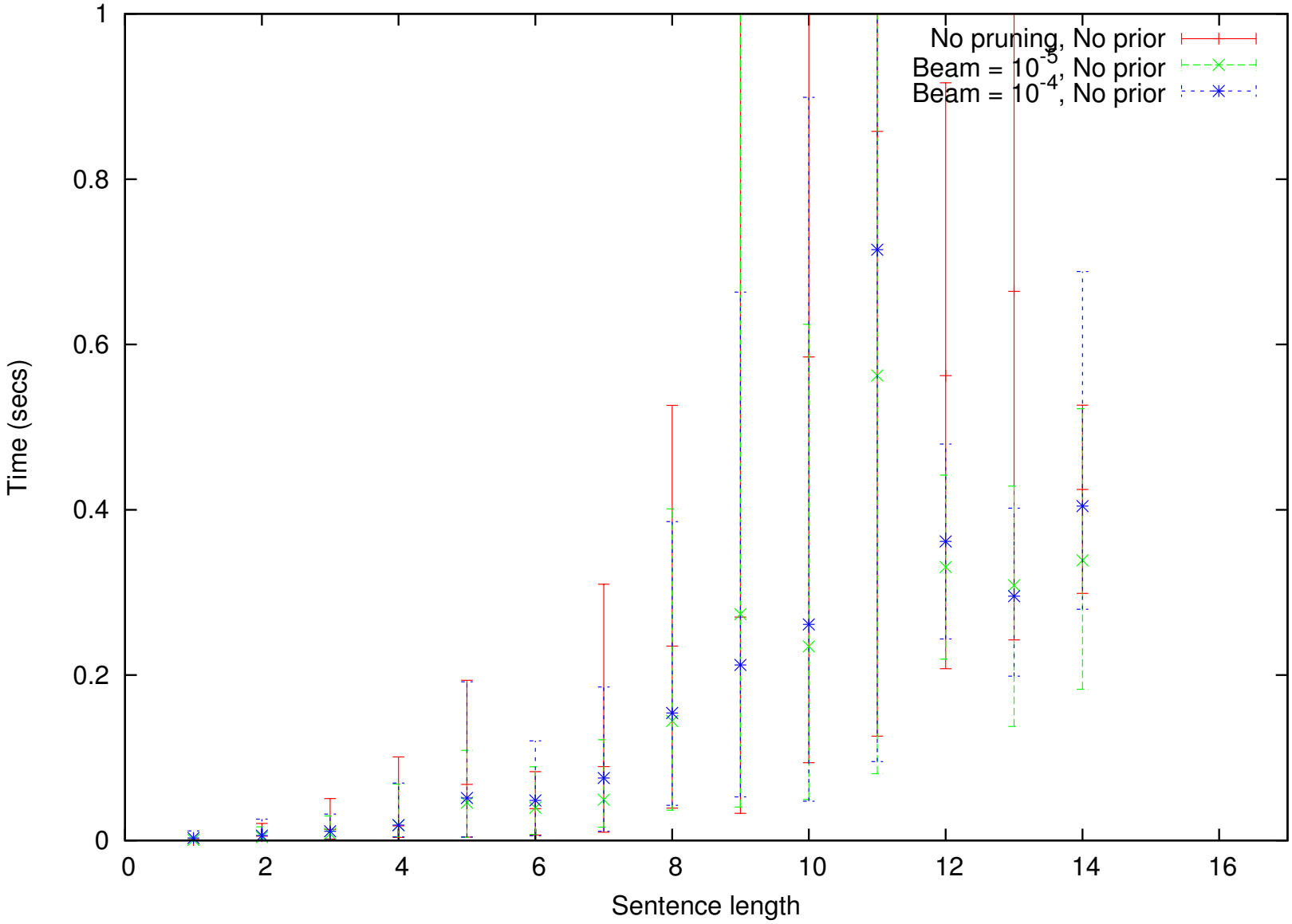
- To make things easier we multiply the prior probability $P(X)$ with the inside probability
- In beam Thresholding we compare every new insertion of X for span j, k as follows:
  Compare $P(X) \cdot \beta(X, j, k)$ with the most probable Y
  $P(Y) \cdot \beta(Y, j, k)$
- Assume Y is the most probable entry in j, k, then we compare

$$\text{beam} \cdot P(Y) \cdot \beta(Y, j, k) \quad (3)$$

$$P(X) \cdot \beta(X, j, k) \quad (4)$$

- If (4) $<$ (3) then we prune X for this span j, k
- beam is set to a small value, say 0.001 or even 0.01.
- As the beam value increases, the parser speed increases (since more entries are pruned).
- A simpler (but not as effective) alternative to using the beam is to keep only the top K entries for each span j, k

Experiments with Beam Thresholding



Experiments with Beam Thresholding