

Construction of MDS matrices combining the Feistel and Lai-Massey schemes

Ramses Rodríguez Aulet¹, Reynier A. de la Cruz Jiménez^{1*}

Abstract In Cryptography maximum distance separable (MDS) matrices are an important structural element to provide the diffusion property in the block ciphers, stream ciphers and hash functions. To discover new kind of transformations that can generate a series of new MDS matrices which could be used in practice is not a trivial task. In this article we propose new methods for constructing such matrices combining the well-known Feistel and Lai-Massey structures.

Keywords

Diffusion — Involutory matrix — Almost involutory matrix — MDS matrix

¹ *Instituto de Criptografía, Universidad de La Habana, La Habana, Cuba, djr.antonio537@gmail.com*

***Autor para Correspondencia**

Introduction

In the work [4] Claude Shannon defines confusion and diffusion as two properties necessary for the construction of block ciphers; these properties are also required for construction of hash functions. One strategy to obtain maximum diffusion and avoid linear and differential attacks is to use global linear mappings with optimal diffusion, combined with the local nonlinear mappings (S-Boxes) (see [9, 10, 11]). The linear transformations choose by designers should be able to spread the internal dependencies as much as possible. Hence, designers commonly used optimal diffusion matrices called Maximum Distance Separable (because they are related to a Maximum Distance Separable code) matrices to maximise the diffusion ability of the diffusion layer. Example of their use can be found not only in the design of block ciphers like AES, TwoFish, KHAZAD, Picaro, etc, but also in the Hash function PHOTON [15], Whirlpool, Grostl, and even in stream ciphers (MUGI).

From practical point of view, is not only desirable that an MDS matrix can be implemented efficiently both in software/hardware but also when encryption and decryption implementations are required and the inverse of the MDS matrix will have to be implemented as well (except for Feistel and Lai-Massey structures, where the inverse of the internal function is not required for decryption [2]). For this reason it is of a great significance that one can use exactly (or almost exactly) the same linear transformation for encryption and decryption. One strategy to achieve this goal is employing involutory MDS matrices and we can found several ciphers like Anubis, Khazad, Iceberg or Prince that using this approach have the same implementation for encryption and decryption.

The construction of MDS matrices, is not an easy problem to solve. There are several ways for constructing such matrices, for instances: using the Cauchy and Hadamard matrices [20]. In [1] it is shown that it is possible to build involutory

binary matrices with a high degree of diffusion by exploiting the properties of the Feistel network and in [19] it is shown that using the general Feistel networks it is possible to build MDS matrices on finite fields, in this case the authors do not build involutory MDS matrices. The aim of this article is to build involutory or almost involutory MDS matrices combining Lai-Massey and Feistel schemes.

This article is structured as follows: In Section 2 we give the basic definitions and some results about MDS matrices. Some constructions which can generate MDS matrices are presented in Section 3. We provide an implementation of a concrete matrix in Section 4. A comparison with the state-of-the-art is performed in Section 5. Our work is concluded in Section 6.

1. Preliminaries and Basic Definitions

Let be $P = GF(2^t) = GF(2)[x]/g(x)$ finite field with 2^t elements, for some irreducible polynomial $g(x)$ of degree t . The vector space of dimension n over P is denoted by P^n . We use the notation $P_{n,n}$ for the ring of $n \times n$ matrices over finite field P . Throughout the article, we shall use the following operations and notations:

- 1 - the neutral element of the multiplicative group P^* ;
- \oplus - addition in $GF(2^t)$;
- $w_H(\vec{a})$ - the Hamming weight of a vector $\vec{a} \in P^n$;
- $\omega(\mathcal{M})$ - the number of 1's in the matrix \mathcal{M} ;
- Ψ^{-1} - the inverse transformation to some invertible mapping Ψ ;
- $I_{n,n}$ - the identical matrix of $P_{n,n}$.
- $O_{n,n}$ - the zero matrix of $P_{n,n}$.
- $|A|$ - the determinant of the matrix of $A \in P_{n,n}$.

In what follows, for the sake of simplicity we shall write a polynomial as its coefficient vector interpreted as a hexadeci-

mal number, for example, $x^8 \oplus x^4 \oplus x^3 \oplus x^2 \oplus 1$ corresponds to 0x11D. We extend the same philosophy to matrices over finite field P , i.e., each coefficient of such matrix will be written in hexadecimal notation.

Definition 1 An transformation $\varphi : P^n \rightarrow P^n$ is called involutive, if $\forall \alpha \in P^n$ the following equality hold $\varphi(\varphi(\alpha)) = \alpha$.

Clearly, if φ is an involutive transformation then for any $\phi : P^n \rightarrow P^n$ the transformation $\hat{\phi} = \phi \circ \varphi \circ \phi^{-1}$ will be an involution too.

Definition 2 An transformation $\varphi : P^n \rightarrow P^n$ is called linear transformation, if the following relation holds

$$\forall \vec{\alpha}, \vec{\beta} \in P^n, a_1, a_2 \in P : \varphi(a_1 \vec{\alpha} + a_2 \vec{\beta}) = a_1 \varphi(\vec{\alpha}) + a_2 \varphi(\vec{\beta}), \quad (1)$$

It is shown in [7] that the composition of linear transformations is again a linear transformation.

Definition 3 Let be $\vec{\alpha} = (\alpha_1, \dots, \alpha_n)$ a basis of the vector space P^n . The matrix $A_{\vec{\alpha}}(\varphi) \in P_{n,n}$ defined as follows

$$A_{\vec{\alpha}}(\varphi) = (\varphi(\alpha_1)_{\vec{\alpha}}^{\downarrow}, \dots, \varphi(\alpha_n)_{\vec{\alpha}}^{\downarrow}) \quad (2)$$

is called the matrix associated with the linear transformation φ in the basis $\vec{\alpha}$.

Definition 4 The branch number ρ of matrix $A \in P_{n,n}$ is defined as

$$\rho(A) = \min_{\vec{a} \neq 0} \{w_H(\vec{a}) + w_H(\vec{a}A)\}. \quad (3)$$

Definition 5 A matrix $A \in P_{n,n}$ is called maximal distance separable (MDS) matrix if $\rho(A) = n + 1$.

Theorem 1 Matrix A is an MDS matrix if and only if every sub-matrix is non-singular.

Proposition 1 [12] Any 4×4 matrix over P with all entries non zero is an MDS matrix if and only if it is a full rank matrix with the inverse matrix having all entries non zero and all of its 4×4 submatrices are full rank.

For efficient implementation of an MDS matrix in software, it is desirable to have maximum number of 1's in the matrix. In [13], authors studied this property and constructed some matrices achieving the maximum number of 1's. Here we restate the definition of the number of occurrences of one, which we will use in our constructions.

Definition 6 Let be $A = (a_{ij})_{n \times n}$ an arbitrary matrix over P . The number of occurrences of one in A denoted by $\mathcal{N}_1(A)$ is the the number of (i, j) pairs such that a_{ij} is equal to one.

It is well known from [13] that for any MDS matrix $A \in P_{4,4}$ we have $\mathcal{N}_1(A) = 9$ and $\mathcal{N}_1(A) = 16$ when A is an MDS matrix of $P_{6,6}$.

Definition 7 Let be $A = (a_{ij})_{n \times n}$ an arbitrary matrix over P . We say that A has the almost involutory property if

1. $A^{-1} \neq A$;
2. All coefficients of A can be found in A^{-1} too.

For example, let be $P = GF(2^4)/0x13$ and

$$\mathcal{M}_{2 \times 2} = \begin{pmatrix} 1 & c \\ c & e \end{pmatrix} \in P_{2,2}.$$

It can be easy checked that $\mathcal{M}_{2 \times 2}^{-1} = \begin{pmatrix} e & c \\ c & 1 \end{pmatrix} \in P_{2,2}$ and the coefficients of $\mathcal{M}_{2 \times 2}$ are present in $\mathcal{M}_{2 \times 2}^{-1}$ too, so this matrix has the almost involutory property. Other example of a matrix having the almost involutory property can be found in the linear layer of the block cipher Kuznyechik which can be expressed as a power of the companion matrix of the following polynomial $h(y) = y^{16} \oplus 94y^{15} \oplus 20y^{14} \oplus 85y^{13} \oplus 10y^{12} \oplus C2y^{11} \oplus C0y^{10} \oplus 01y^9 \oplus FBy^8 \oplus 01y^7 \oplus C0y^6 \oplus C2y^5 \oplus 10y^4 \oplus 85y^3 \oplus 20y^2 \oplus 94y \oplus 01$ over $P = GF(2^8)/0x1C3$.

We can see that involutory and almost involutory MDS matrices can be useful when implementing the inverse of an SPN cipher, because the inverse of these kind of matrices can also be implemented efficiently.

Proposition 2 If $A \in P_{n,n}$ is an involutory MDS matrix and $S \in P_{n,n}$ is permutation matrix then the matrix AS and SA are almost involutory MDS.

Proof: Let be A involutory MDS matrix and S permutation matrix then S^{-1} is permutation matrix. Then we have that $(AS)(S^{-1}A) = A(SS^{-1})A = AA = I_{n,n}$ and taking into account that S permutation matrix we obtain that AS is an MDS matrix which has the almost involutory property.

Definition 8 The characteristic polynomial of a linear transformation of a matrix $A \in P_{n,n}$, denoted by $\chi_A(x)$, is defined as follow

$$\chi_A(x) = |I_{n,n}x \oplus A|. \quad (4)$$

In work [5] the authors showed the possibility of invariant attacks on the cipher type XSL-network (Khazad, Kuznyechik) where for any $a \in P$ and $k \in \mathbb{N}$ $(x+a)^k$ divide the characteristic polynomial of the lineal transformation. For this reason we will study the characteristic polynomial of those matrices generate by our constructions.

Definition 9 The polynomial $m_A(x)$ is called the minimal polynomial of matrix A if and only if $m_A(A) = O_{n,n}$, and for any $h \in P[x]$ such that $h(A) = O_{n,n}$, $\deg(m_A(x)) \leq \deg(h(x))$.

A direct consequence of the above definition is that always $m_A(x) | \chi_A(x)$.

Proposition 3 For any involutory matrix A the following relations holds:

1. $\chi_A(x) = (x \oplus 1)^n$;

$$2. m_A(x) = (x \oplus 1)^2.$$

Proof: Firstly we will determine the minimal polynomial lineal of the involutive transformation φ . Let be

$$\vec{\alpha}_1 = (1, 0, \dots, 0), \dots, \vec{\alpha}_n = (0, 0, \dots, 0, 1),$$

the canonical basis of dimension n . It's well known [7, proposition 31, p. 321] that

$$m_\varphi(x) = \text{lcm}(m_{\alpha_1, \varphi}(x), m_{\alpha_2, \varphi}(x), \dots, m_{\alpha_n, \varphi}(x)), \quad (5)$$

where $\text{lcm}(\cdot)$ denote the least common multiple. Now taking into account that the linear transformation φ is an involution we can use the following auxiliary proposition

Proposition 4 [7, p. 321] *Let be φ —an arbitrary linear transformation of dimension n . Then for any nonzero vector γ there exist a natural number $k = 1, \dots, n$ such that the following vectors*

$$\gamma, \varphi(\gamma), \dots, \varphi^{k-1}(\gamma) \quad (6)$$

are linearly independent and $\varphi^k(\gamma)$ is a linear combination of the previous system. In this case if

$$\varphi^k(\gamma) = \gamma c_0 \oplus \varphi(\gamma) c_1 \oplus \dots \oplus \varphi^{k-1}(\gamma) c_{k-1}, \quad (7)$$

then

$$m_{\gamma, \varphi}(x) = x^k \oplus c_{k-1} x^{k-1} \oplus c_1 x \oplus c_0, \quad (8)$$

from which it follows that $m_{\alpha_i, \varphi}(x) = (x \oplus 1)^2 \forall i = 1, \dots, n$ and hence $m_\varphi(x) = (x \oplus 1)^2$.

Now we shall determine the characteristic polynomial. We know that

$$\deg(\chi_A(x)) = n. \quad (9)$$

For any matrix A we have that $(Ix \oplus A)$ is similar to $\mathcal{H}(Ix \oplus A)$, where $\mathcal{H}(Ix \oplus A)$ is the canonical form $(Ix \oplus A)$. It's well known [7, theorems 10 and 12, p. 342-343] that

$$\mathcal{H}(Ix \oplus A) = \text{diag}(1, \dots, 1, u_1(x), \dots, u_s(x), (x \oplus 1)^2),$$

where $s \in \mathbb{N}$, $u_s(x) \mid (x \oplus 1)^2$. So, using this fact and relation (10) we obtain that $u_1(x) = \dots = u_s(x) = x \oplus 1$, hence $\chi_A(x) = (x \oplus 1)^n$. \square

Every $n \times n$ matrix over P can be written as an $(tn) \times (tn)$ matrix over $GF(2)$. When considering a hardware implementation, it is natural to consider only matrices over $GF(2)$. Measurements of implementation costs will then only involve the number of bit-operations (XORs) needed. It is an interesting question to evaluate the efficiency of a given matrix. The following metrics are useful for estimating the hardware cost of a linear operation.

1. **Direct XOR Count.** Given a matrix $\mathcal{M} \in GF(2)_{t \times n, t \times n}$, the direct XOR count $\text{DXC}(\mathcal{M})$ of \mathcal{M} is $\omega(\mathcal{M}) - tn$. This metric corresponds to counting the number of gates used in a naive implementation of the linear mapping \mathcal{M} .

2. **Global Optimization.** For a matrix $\mathcal{M} \in GF(2)_{t \times n, t \times n}$, it is possible to obtain an estimation of its cost in hardware by finding a good linear straight-line program corresponding to \mathcal{M} with state-of-the-art automatic tools based on certain SLP¹ heuristic [3], and this metric is denoted as $\text{SLP}(\mathcal{M})$.

2. Constructing MDS matrices combining the Lai-Massey and Feistel transformations

Let be $n = 2t$ an even number, in what follows $\vec{x} = (\vec{x}_1 \parallel \vec{x}_2)$ where $\vec{x}_1 = (x_1, \dots, x_t)$ and $\vec{x}_2 = (x_{t+1}, \dots, x_{2t})$. For any $\mathcal{L} \in P_{n,n}$ using the well-known Lai-Massey and Feistel schemes we define the following transformation as follows ;

Lai-Massey-like transformation:

$$\varphi_1(\vec{x}) = (\vec{x}_1 \oplus \mathcal{L}(\vec{x}_1 \oplus \vec{x}_2)) \parallel (\vec{x}_2 \oplus \mathcal{L}(\vec{x}_1 \oplus \vec{x}_2)). \quad (10)$$

Feistel-like transformation:

$$\varphi_2(\vec{x}) = (\vec{x}_1 \oplus \mathcal{L}(\vec{x}_2)) \parallel \vec{x}_2. \quad (11)$$

it is not difficult to see that the transformations given by relations (6) and (7) are involutions

Using the matrix given by relation (2), canonical basis of P^4

$$\vec{\alpha}_4 = \{(1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1)\},$$

and the previous transformations, we construct the following matrices of dimension $n \times n$, $n = 4$, as follows

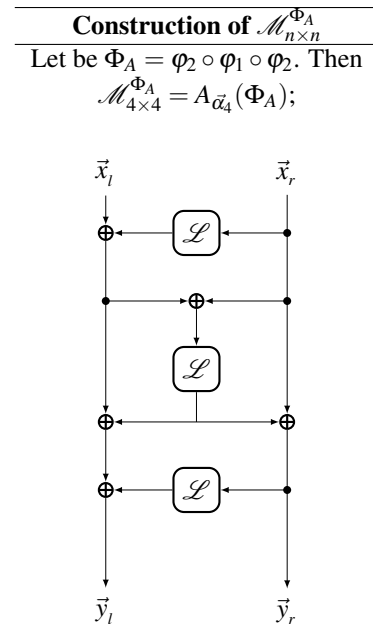


Fig. 1: Structure of Φ_A .

¹Note that this is so far the most accurate estimation that is practical for 32×32 binary matrices.

Construction of $\mathcal{M}_{n \times n}^{\Phi_B}$
 Let be $\Phi_B = \varphi_1 \circ \varphi_2 \circ \varphi_1$. Then
 $\mathcal{M}_{4 \times 4}^{\Phi_B} = A_{\tilde{\alpha}_4}(\Phi_B)$;

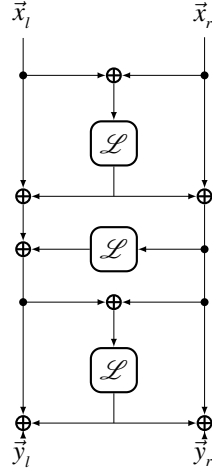


Fig. 2: Structure of Φ_B .

Let be $n = 4$ and $f_1(x) = x^2 \oplus x \oplus 1, f_2(x) = x^3 \oplus x \oplus 1, f_3(x) = x^3 \oplus x^2 \oplus 1, f_4(x) = x^4 \oplus x^3 \oplus 1$ —some polynomials over field P .

Proposition 5 *If there exist an element $a \in P^*$, $a \neq 1$, for which $f_i(a) \neq 0$ where $i = 1, 2, 4$ then the matrix $\mathcal{M}_{4 \times 4}^{\Phi_B}$ of transformation Φ_B with $\mathcal{L} = \begin{pmatrix} a & 1 \\ 1 & a \end{pmatrix}$ is an involutory MDS. For the element $a \in P^*$ for which $f_i(a) \neq 0$, where $i = 1, 2, 3$, the matrix $\mathcal{M}_{4 \times 4}^{\Phi_B}$ with $\mathcal{L} = \begin{pmatrix} 1 & 1 \\ a & 1 \end{pmatrix}$ is also an involutory MDS matrix.*

Proof: The matrix $\mathcal{M}_{n \times n}^{\Phi_B} \in P_{4,4}$, for $\mathcal{L} = \begin{pmatrix} a & 1 \\ 1 & a \end{pmatrix} \in P_{2,2}$, has the following form

$$\mathcal{M}_{4 \times 4}^{\Phi_B} = \begin{pmatrix} a^3 \oplus a^2 \oplus a & a^2 \oplus 1 & a^3 \oplus a & a^2 \oplus 1 \\ a^2 \oplus 1 & a^3 \oplus a^2 \oplus a & a^2 \oplus 1 & a^3 \oplus a \\ a^3 & a^2 & a^3 \oplus a^2 \oplus a & a^2 \oplus 1 \\ a^2 & a^3 & a^2 \oplus 1 & a^3 \oplus a^2 \oplus a \end{pmatrix} \quad (12)$$

Taking into account that $\mathcal{M}_{4 \times 4}^{\Phi_B} = (\mathcal{M}_{4 \times 4}^{\Phi_B})^{-1}$ we only need to check in correspondence with proposition 1 that all minors of order 2 of $\mathcal{M}_{4 \times 4}^{\Phi_B}$ are nonzero over P . These minors are on the following set

$$\{a^2 \oplus 1, a^6 \oplus a^4 \oplus a^2 \oplus 1, a^4 \oplus a^3 \oplus a^2 \oplus a, a^2, a^3, a^4, a^6, a^6 \oplus 1, a^3 \oplus a, a^4 \oplus a^2, a^6 \oplus a^2 \oplus 1, a^6 \oplus a^4, a^6 \oplus a^5 \oplus a^4 \oplus a^3 \oplus a^2 \oplus 1, a^3 \oplus a^2 \oplus a, a^6 \oplus a^5 \oplus a^2\}$$

whose factors are

$$\{(a \oplus 1)^2, (a \oplus 1)^6, a \cdot (a \oplus 1)^3, a^2, a^3, a^4, a^6, (a \oplus 1)^2 \cdot (a^2 \oplus a \oplus 1)^2, a \cdot (a \oplus 1)^2, a^2 \cdot (a \oplus 1)^2, (a^3 \oplus a \oplus 1)^2, (a \oplus 1)^2 \cdot a^4, (a \oplus 1)^2 \cdot (a^4 \oplus a^3 \oplus 1), a \cdot (a^2 \oplus a \oplus 1), a^2 \cdot (a^4 \oplus a^3 \oplus 1)\}$$

Therefore for any nonzero $a \in P$ such that

$$\begin{aligned} \alpha &\neq 0, \\ \alpha \oplus 1 &\neq 0, \\ \alpha^2 \oplus \alpha \oplus 1 &\neq 0, \\ \alpha^3 \oplus \alpha \oplus 1 &\neq 0, \\ \alpha^4 \oplus \alpha^3 \oplus 1 &\neq 0, \end{aligned}$$

the matrix $\mathcal{M}_{4 \times 4}^{\Phi_B}$ is an involutory MDS matrix over P .

For $\mathcal{L} = \begin{pmatrix} 1 & 1 \\ a & 1 \end{pmatrix} \in P_{2,2}$, we have that

$$\mathcal{M}_{4 \times 4}^{\Phi_B} = \begin{pmatrix} 1 & a \oplus 1 & a \oplus 1 & a \oplus 1 \\ a^2 \oplus a & 1 & a^2 \oplus a & a \oplus 1 \\ a & a & 1 & a \oplus 1 \\ a^2 & a & a^2 \oplus a & 1 \end{pmatrix} \quad (13)$$

Here again, by using the fact that $\mathcal{M}_{4 \times 4}^{\Phi_B} = (\mathcal{M}_{4 \times 4}^{\Phi_B})^{-1}$ we only need to check in correspondence with proposition 1 that all minors of order 2 of $\mathcal{M}_{4 \times 4}^{\Phi_B}$ are nonzero over P . These minors are on the following set

$$\{1, a^3, a, a \oplus 1, a^2 \oplus 1, a^3 \oplus 1, a^3 \oplus a^2, a^2 \oplus a, a^2 \oplus a \oplus 1, a^3 \oplus a \oplus 1, a^3 \oplus a^2 \oplus 1, a^3 \oplus a, a^2, a^3 \oplus a^2 \oplus a, a^3 \oplus a^2 \oplus a \oplus 1\}$$

whose factors are

$$\{1, a^3, a, (a \oplus 1), (a \oplus 1)^2, (a \oplus 1) \cdot (a^2 \oplus a \oplus 1), (a \oplus 1) \cdot a^2, a \cdot (a \oplus 1), (a^2 \oplus a \oplus 1), (a^3 \oplus a \oplus 1), (a^3 \oplus a^2 \oplus 1), a \cdot (a \oplus 1)^2, a^2, a \cdot (a^2 \oplus a \oplus 1), (a \oplus 1)^3\}$$

Therefore for any nonzero $a \in P$ such that

$$\begin{aligned} \alpha &\neq 0, \\ \alpha \oplus 1 &\neq 0, \\ \alpha^2 \oplus \alpha \oplus 1 &\neq 0, \\ \alpha^3 \oplus \alpha \oplus 1 &\neq 0, \\ \alpha^3 \oplus \alpha^2 \oplus 1 &\neq 0, \end{aligned}$$

the matrix $\mathcal{M}_{4 \times 4}^{\Phi_B}$ is an involutory MDS matrix over P .

Proposition 6 *If there exist an element $a \in P^*$, $a \neq 1$ for which $f_i(a) \neq 0$ where $i = 1, 3$ then the matrix $\mathcal{M}_{4 \times 4}^{\Phi_A}$ of transformation Φ_A with $\mathcal{L} = \begin{pmatrix} a & 1 \\ 1 & a \end{pmatrix}$ is an involutory MDS matrix.*

Proof: The matrix $\mathcal{M}_{n \times n}^{\Phi_A} \in P_{4,4}$, for $\mathcal{L} = \begin{pmatrix} a & 1 \\ 1 & a \end{pmatrix} \in P_{2,2}$, has the following form

$$\mathcal{M}_{4 \times 4}^{\Phi_A} = \begin{pmatrix} a^2 \oplus a & 1 & a & 1 \\ 1 & a^2 \oplus a & 1 & a \\ a^3 & a^2 & a^2 \oplus a & 1 \\ a^2 & a^3 & 1 & a^2 \oplus a \end{pmatrix} \quad (14)$$

Taking into account that $\mathcal{M}_{4 \times 4}^{\Phi_A} = (\mathcal{M}_{4 \times 4}^{\Phi_A})^{-1}$ we only need to check according with proposition 1 that all minors of order 2 of $\mathcal{M}_{4 \times 4}^{\Phi_A}$ are nonzero over P . These minors are on the following set

$$\{1, a^5 \oplus a^4 \oplus a^2, a, a^2, a^3, a^4, a^4 \oplus 1, a^2 \oplus a, a^3 \oplus a^2 \oplus 1, a^4 \oplus a^2 \oplus 1, a^6 \oplus a^4, a^2 \oplus 1, a^3 \oplus a^2 \oplus a\}$$

Matrix	Involutory	Almost involutory	SLP
\mathcal{M}_{AES} [21]	✗	✗	97
$\mathcal{M}_{\text{KLSW}}$ [17]	✓	✗	84
$\mathcal{M}_{\text{SSCZL}}$ [23]	✓	✓	80
\mathcal{M}_{SG} [6]	✗	✗	78
\mathcal{M}_{MM} [22]	✗	✓	83
\mathcal{M}_A [this work]	✓	✓	99

Table 3: A comparison with the state-of-the-art.

these structures we provide involutory and almost involutory MDS matrices which can be implemented efficiently. We have found some matrices having the MDS property which are very attractive for the so-called lightweight schemes. In the future, we aim to further optimise the search for constructing MDS matrices of size $2k, k \geq 3$ using our approach.

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