



goAML Web User's Guide

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Foreword

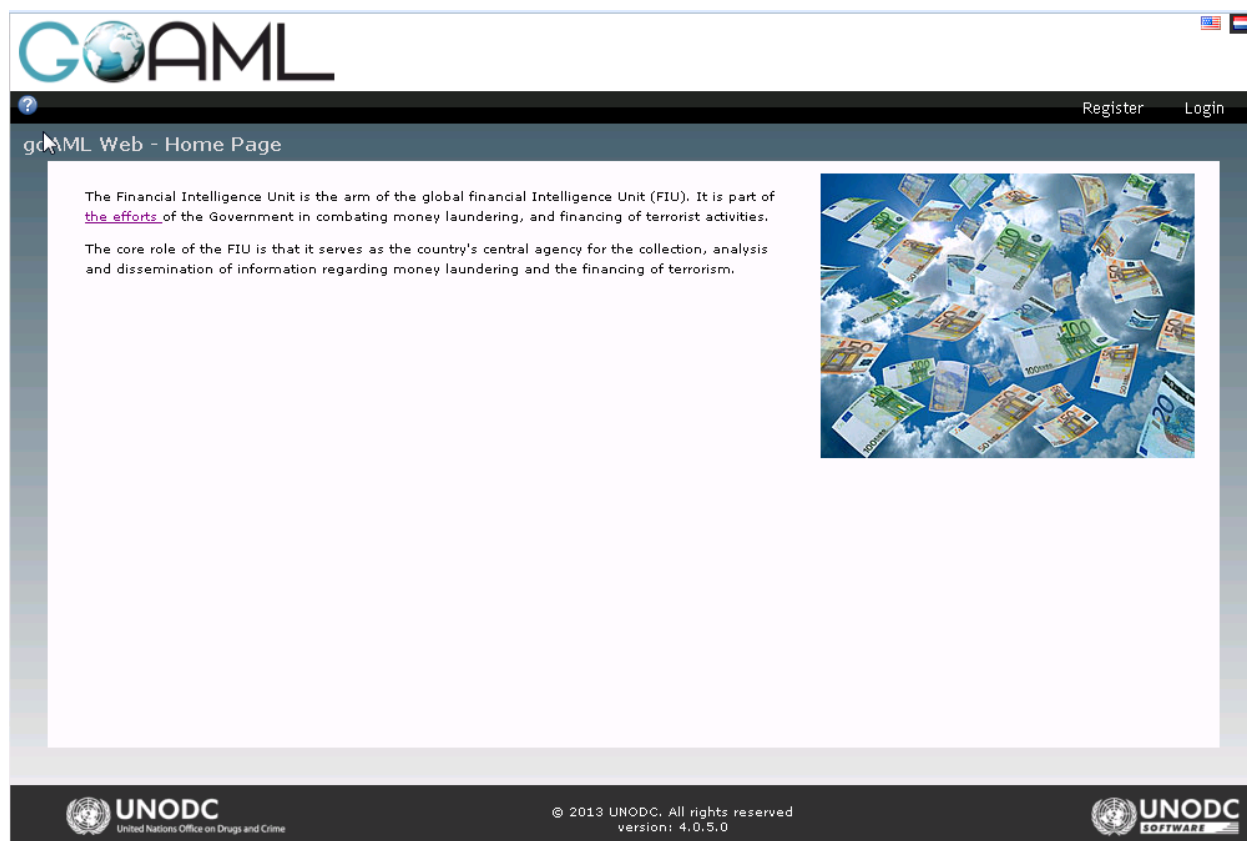
goAML is an integrated and modular system designed to fit the needs of any Financial Intelligence Unit. The goAML solution is executed in the following steps – collection, collation, analysis (rule-based, risk score and profiling), case workflow and intelligence dissemination. The data sent by the financial institutions goes to a common database and becomes accessible to the FIU compliance and analysis staff. The goAML system then permits data for analysis. goAML processes and analyzes high volumes of reports on suspicious transactions or cash transactions of any kind. The reports are fully populated with all the information needed for analysis to begin, from full customer details through to transactional details for multiple time frames. The system also permits electronic data collection and dissemination from other Sources such as Tax and Law Enforcement authorities, Property Registrars, Vehicle Registrars etc.

The audience of this guide are persons from collaborating agencies with access to the goAML Web application. The functionality of the goAML desktop application is not part of this document. Please refer to the goAML User's Guide for such information.

1 Getting Started

The goAML Web application (“goAML Web”) is not freely accessible; you must have special access permissions for being able to work with it.

When you enter the URL into your Web browser window, the goAML Home Page is launched. Click on Login on the top-right corner of the screen to log in.

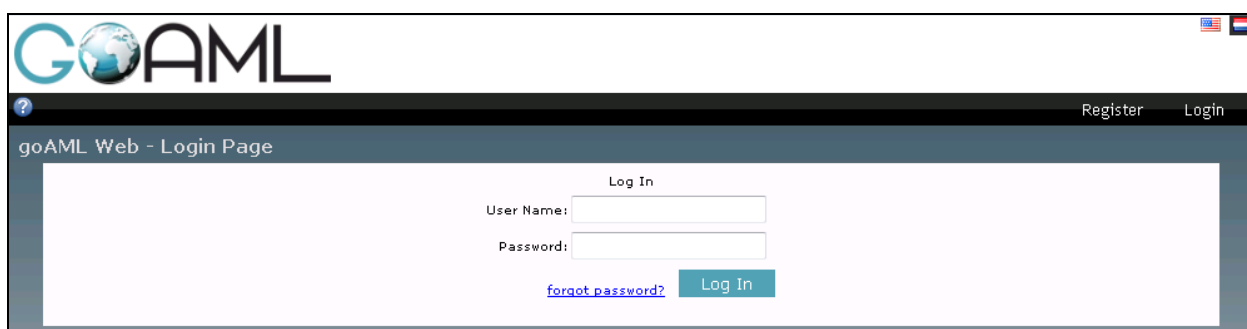


If you already have your user credentials, you can just log in with them (see section 1.1: [Login to goAML Web](#)). If not, you have to register as a user first (see section 1.2: [Register for goAML Web](#)).

1.1 Login to goAML Web

To log in and start a goAML Web session:

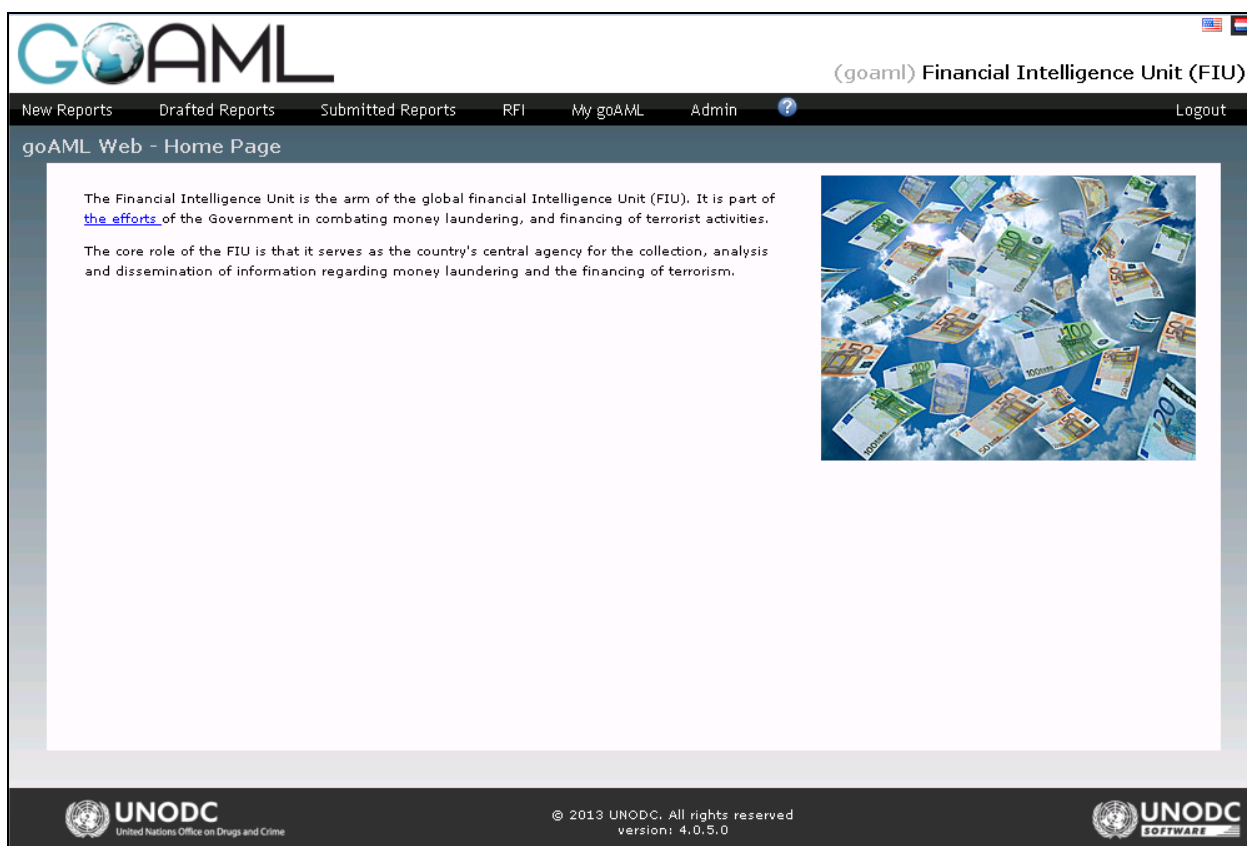
1. Click on **Login** in the navigation bar, if the login screen is not active.
2. Enter your login name into the field **User Name** and your password into the field **Password**:



The screenshot shows the goAML Web Login Page. At the top left is the goAML logo. On the top right, there are links for 'Register' and 'Login'. Below the header, the page title is 'goAML Web - Login Page'. The main content area contains a 'Log In' section with two input fields: 'User Name:' and 'Password:'. Below these fields is a blue 'Log In' button and a link for 'forgot password?'.

3. Click on **Login**.

The application's home page is loaded and displayed:



The screenshot shows the goAML Web Home Page. At the top left is the goAML logo. On the top right, it says '(goaml) Financial Intelligence Unit (FIU)'. Below the header, there is a navigation bar with links: 'New Reports', 'Drafted Reports', 'Submitted Reports', 'RFI', 'My goAML', 'Admin', and 'Logout'. The main content area has the title 'goAML Web - Home Page'. It contains two paragraphs of text about the Financial Intelligence Unit (FIU) and its role. To the right of the text is a large image showing various Euro banknotes floating in the air. At the bottom of the page, there is a footer with the UNODC logo and text: '© 2013 UNODC. All rights reserved version: 4.0.5.0'.

Note: The contents of the home page are widely configurable and thus might look different from the above screenshot. See section 1.4.4 [Attachments](#)

You can select any file to upload from your respective File Browser window and click on the Upload button to upload it in the system.

Attachments		
File Name	File Size	
BankTransactions - April 2013.xls	257024	✗
Bank Transactions_2.xls	241152	✗
Bank Transactions_1.xls	241152	✗

No file selected.

1.1.1 Captcha

Before submitting the request the user has to type the Captcha (sequence of digits that appears on the screen in this case) into the box without making any errors.

Once the registration form has been submitted, a notification will appear to the user to this effect.

1.2 The User Interface

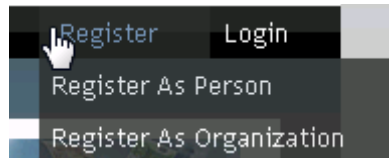
The goAML WEB user interface is divided into several sections.

for a description of the user interface details and section 8.1: [Customiz](#) for details on configuring them.

1.3 Register for goAML Web

In order to apply for a goAML user account, you have to register yourself as a Person or as an Organization.

Click on **Register** in the navigation bar. The registration screen is loaded and displayed.



1. Select if you want to register as a **Person** or an **Organization** (Reporting Entity, Stake Holder or Supervisory Body). The registration form is adjusted accordingly.
2. Fill in the fields of the form with your data (* = mandatory field).
3. Enter the security code from the image into the field at the bottom of the form.
4. Click on **Submit Request..** The data is sent to the goAML administrators.

As soon as your application is either accepted or rejected, you get an email notification from goAML.

1.4 Registration Form: Individual User

GOAML

Register Login

Registration Type

Please select if you are registering as an individual not belonging to an organization, and then enter your personal details:

☐ Individual User

Registering Person

Organization ID*

User Name* Email*

Password* Confirm Password*

Gender Title

First Name* Last Name*

Birth Date SSN

Nationality Occupation

Phones +

Addresses +

Attachments

File Name	File Size
<input type="button" value="Browse..."/> No file selected. <input type="button" value="Upload"/>	

918757

- **Organization ID** – It should be an integer (b/w 0 and 10000000)
- **User name:** The login name you want to use (b/w 4 and 50 characters)
- **Password / Confirm password:** The password you want to use for your login.
- **First name / Last name:** Your real name.
- **Email:** The email address you want to use for communication with goAML
- **Occupation:** Your current occupation
- **Nationality :** Your current nationality
- **Birth Date :** Your date of birth
- **SSN :** Your Social Security number (alphanumeric code)

1.4.1 Phones


- **Contact Type** : It can be Business, Operational, Private, Public, Unknown
- **Communication Type** : It can be Landline, Mobile, Satellite, Pager etc.
- **Comments** : if any

You can add any number of phone records associated with you(new reporting person) by clicking on the **Add** button. The phone records will appear in a grid on the Registration form. You can delete any of these records by clicking on

Type	Country Prefix	Number	
2		88768878	
2	IND	788	

1.4.2 Addresses

- **Type** : It can be Business, Operational, Private, Registered etc.
- **City** : name of the city
- **Country** : name of the country of residence
- **Address**: details like street name, house number etc.
- **Zip** : the Zip Code of the city
- **State** : state of residence
- **Comments** : if any

Addresses 

Address

Type*

City*

Country*

BRUNEI DARUSSALAM

Comments


Address*


Zip



State

Cancel

Add

You can add any number of Address records associated with you (new reporting person) by clicking on the **Add** button.. The address records will appear in a grid. You can delete any of these records by clicking on 

Addresses 

Address	City	State	Zip	Country	
4, Waagramerstrasse	BEILBA			CHINA	
5, Mayfair	CAMERONS CREEK			CAMEROON	

1.5 Registration Form: Organization (Reporting Entity, Stake Holder, Supervisory Body)

- **Organization Business Type :** It can be a Bank, Life Insurance Company, Postal Office, Credit Unions etc.

1.5.1 Phones


Please refer to [1.3.1 Phones](#)

1.5.2 Addresses

Please refer to [1.3.2 Addresses](#)




1.5.3 Reporting Obligation

Reporting Entities are required to report threshold transactions, suspicious transactions etc. You can choose the relevant reporting obligations and the selected options will be displayed in the grid.

Reporting Obligation				
ID	Schedule	Item	Description	
2	schedule1	item2	Lending institution	
Close				
ID	Schedule	Item	Description	
2	schedule1	item2	Lending institution	
3	schedule2	item1	selling items over 1000 dollars	
4	schedule2	item2	anonymous accounts	

1.5.4 Attachments

You can select any file to upload from your respective File Browser window and click on the Upload button to upload it in the system.

Attachments		
File Name	File Size	
BankTransactions - April 2013.xls	257024	
Bank Transactions_2.xls	241152	
Bank Transactions_1.xls	241152	
<div> <input type="button" value="Browse..."/> No file selected. <input type="button" value="Upload"/> </div>		

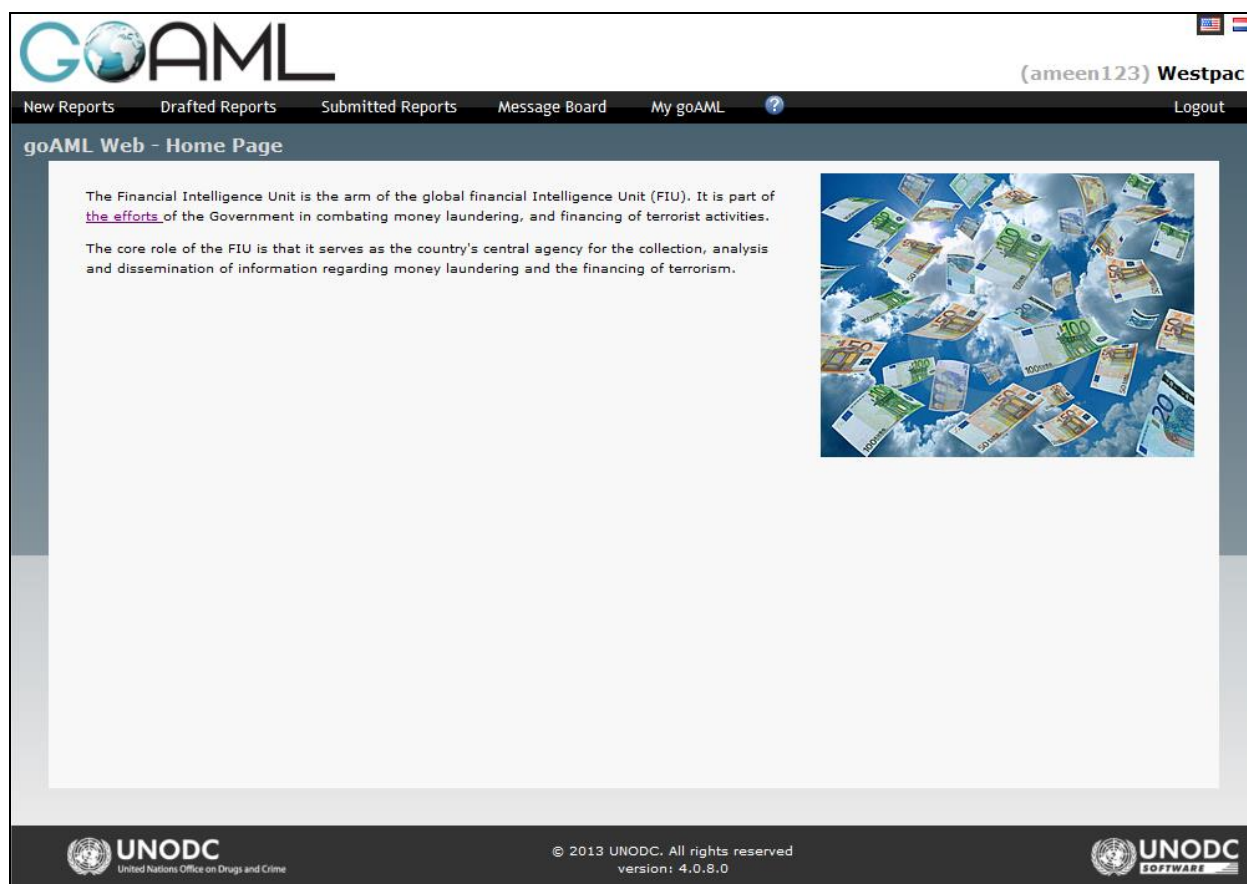
1.5.5 Captcha

Before submitting the request the user has to type the Captcha (sequence of digits that appears on the screen in this case) into the box without making any errors.

Once the registration form has been submitted, a notification will appear to the user to this effect.

1.6 The User Interface

The goAML WEB user interface is divided into several sections.



- **Header:** Contains the application's logo with the Home link. Click on the logo to return to the application's home page. It also displays the country flags corresponding to the language options available in the application. You can click on the flag to switch to the required application language.
- **Menu bar:** The element for navigating to the goAML Web functions. Hover over a link in the menu bar with the mouse pointer to see the functions it contains.
- **Information bar:** Shows the title of the current application page, a link to the online help and your login name and reporting entity name.
- **Footer:** Provides a link to the UNODC home page plus the copyright and version information.
- **Body:** The largest part of the application window; contains the functional elements of the current working context.

***Note:** The availability of the different menu entries depends on your access permissions. Furthermore, goAML Web administrators can customize the looks of the application (see section 8.1: [Customiz](#)). Therefore, while the basic setup is the same, the window can nevertheless look quite different from the default settings as depicted above.*

1.7 Logout

To log off from goAML:

1. Click on **Logout** in the navigation bar. Your goAML session is terminated.

Note: *After some time without activity you are logged off automatically (default: one hour, but configurable by the FIU).*

2 New Reports

One of the main reasons for working with goAML Web is submitting financial reports to the goAML system. Reporting entities and persons, who do not have direct access to the goAML database can use goAML Web to provide their data.

Currently, reports can either be uploaded as XML files (see section 2.1: [Upload XML report files](#)) or entered directly into the Web interface (see section 2.2: [Create Web Report](#)).

Note: Only reporting entities can upload XML reports by default. Reporting individuals have to apply for this permission or create Web reports instead.

2.1 Upload XML report files

If you have your financial transaction reports available as goAML-compliant XML files, then you can simply upload them to the goAML database.

Note: To learn more about the XML structure of these reports, seek help from a goAML application administrator for the latest version of the goAML Schema.

1. Select **New Reports ▶ XML Upload** from the menu bar. The upload screen is loaded and displayed:

The screenshot displays the goAML Web interface for XML uploads. At the top, the goAML logo is visible on the left, and the user's name '(ameen123) Westpac' is on the right. A navigation bar contains links for 'New Reports', 'Drafted Reports', 'Submitted Reports', 'Message Board', 'My goAML', and a 'Logout' button. The main heading is 'goAML Web - XML Upload'. Below this, a box contains two notes: 'Note: file size is restricted to 10 Mbytes and limited to XML files or XML in .zip format' and 'Note: Attachment files can be selected for .xml reports'. The 'Report File (.xml or .zip)' section features a 'Browse...' button and the text 'ameen123, choose your file and upload it' followed by 'No file selected.'. An 'Upload' button is located at the bottom right of the form area, and a link 'go to XML Upload List' is at the bottom left.

2. Click on **Browse** and select the XML file to be uploaded. You can select either a plain XML file or a zipped file. In both cases, the maximum file size is 10 MB.
3. Click on **Upload**. The data is uploaded to the goAML database in the name of your reporting entity or, if you work as an individual, in your name. A goAML user will check your submitted data and either accept or reject it.
4. Click on the **XML Upload List** to see the list of all the uploaded XML Reports.

XML Reports

Start Date End Date Report Type

Created By Report Entity Report Status

[Filter](#)

Report ID	Report Type	File	Created By	Created On	Transactions	Status	Actions
2000283-0-0	undefined	new microsoft word docume	ameen123	10/02/2013	0	Failed Validation	X Eye

Page 1 of 1 View 1 - 1 of 1

Note: Whether the file is accepted or rejected, you will be notified via the goAML message board (see section 6: [Message Board](#)).

2.2 Create Web Report

If you want to submit a report to goAML but do not have the data as goAML-compliant XML files at hand (see section 2.1: [Upload XML report files](#) for details), you can enter the report directly into goAML Web.

1. Select **New Reports > Web Reports** from the menu bar. The form for creating new reports is loaded and displayed.

Note : Entity ID and the Reporting Person field is automatically filled in and cannot be changed.

2. Select the **Report Type** you want to submit (STR, CTR...) from the **Type** drop-down field. The fields in the page are adjusted to match the input requirements for this kind of report.
3. Fill in the data fields of the **Report Cover** (see below for details; fields marked with an asterisk are mandatory).
4. **Save** the report.

AIF / CTR / IFT cover:

- **Submission date:** Automatically generated once the report is submitted.
- **Reporting Entity Reference:** The internal reference number of the Reporting Entity.

STR / UTR cover:

- **Reason:** Enter an explanation why you are suspicious about the reported information.
- **Action:** (STR only) The actions already taken for this suspicious report.
- **Reporting Entity Reference :** The internal reference number of the reporting entity

Location :


- **Type /Address/ City / Country:** These are mandatory fields and need to be entered.

Report Indicators:

1. To add certain report indicators (e.g. “confidential”), select from the Indicators grid. You can choose the relevant code/indicator and select the check-box next to it.
2. To remove a report indicator, select it in the list and click on ✕.


Transaction:

As soon as the report cover is filled and saved, you can add transactions to the Web report.

1. Click on  to add Transactions to the Web Report
2. Fill in all the relevant transaction details on the Transaction form.

3. Click on **Add Transaction and Save Report**.

Transaction

Click on this icon to generate Transaction Number 

Number* TRNWEB0287 02 OCT 13

Transmode Code* ATM

Local Amount* 90000

Late Deposit? ☐ Yes ☐ No

Teller

Location

Description

Internal Reference Number

Transmode Comment

Date* 10/02/2013

authorized


Transaction Type: ☐ MultiParty ☒ BiParty

From Type ☐ My Client ☐ Not My Client

To Type ☐ My Client ☒ Not My Client


To *

Person / Account / Entity	Name	Identification	Date	
Person	Adam Smith	SSN:	DoB:	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>

Goods and Services 



Add Transaction and Save Report Cancel

4. All the Transactions that have been added and saved appear in the Transactions grid.

Transactions * 

#	Number	Date	Local Amount	Transmode Code	Status	
1	TRNWEB0286 02 OCT 13	10/03/2013	3000000	Electronic transaction	Require at least one party	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>
2	TRNWEB0290 02 OCT 13	10/02/2013	90000	ATM	Missing From Party	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>
3	TRNWEB0291 02 OCT 13	10/17/2013	348989434890890234	Electronic transaction	Require at least one party	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>

1. Fill in the transaction details (fields marked with an asterisk are mandatory):


- Transaction type:** Define whether the transaction is **bi-party** or **multi-party** (the screen layout is adjusted accordingly).
- Transaction No.:** Enter a unique identification number for the transaction or generate one automatically by clicking on .
- Transaction date:** Select the transaction date (DD/MM/YYYY) into the field or select it using the calendar pop-up .
- Transmode Code:** Select one of the available transaction types (e.g. "Courier") from the drop-down list.

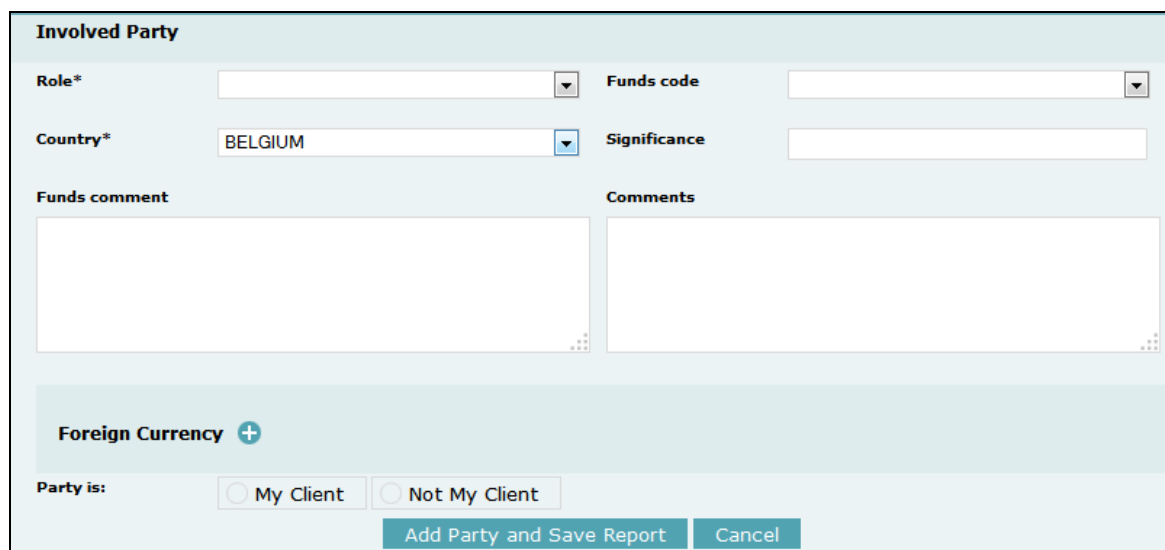
- v. **Transaction mode comment:** If you want, add a comment to the transaction mode – especially if your choice is “other” or “unknown”.
- vi. **Amount (local):** The exact amount in the local currency of the Financial Investigation Unit using this installation of goAML Web.
- vii. **Late deposit:** Specify whether the transaction was a late deposit or not.
- viii. **Date posting:** If the transaction is a late deposit, fill in this field with the appropriate date.
- ix. **Location:** The branch or location where the transaction took place.
- x. **Description:** Free text field for describing the purpose of the transaction.


3. Click on **Save Transaction** to add the data to the Web report.

Involved Parties:

For every submitted transaction, the involved parties have to be defined.

1. In the transaction window, click on the  icon for the **Involved parties**. An input window is shown:



4. Enter the details for the involved party (fields marked with an asterisk are mandatory):
 - i. **Role:** Define which role the party plays in the transaction (sender, receiver...).
 - ii. **Funds Comment:** If you want, add a comment about the type of funds transferred.
 - iii. **Country:** Select the country of this transaction party.
 - iv. **Foreign Currency:** Click on the  icon to open up the Foreign Currency window
 - v. **Currency Code :** The currency in which the transaction was at this state. Select one from the drop-down list
 - vi. **Amount:** The amount of the transaction in the foreign currency
 - vii. **Exchange rate:** The exchange rate between the foreign currency and the default currency of your FIU's country
5. Click on **Save** to add it to Involved Parties transaction.

Foreign Currency

Currency Code*

Amount*

Exchange Rate*







Save


Cancel


6. Select one of the check-boxes for **Party is : My Client** or **Not My Client** as the case maybe.
7. Select the Party Type checkbox : **Person**, **Account** or **Entity**
8. Depending on the **Party Type** selection, the corresponding form for the details will open up.


Transaction Person:


If the transaction party (see above) is a person, the following input window appears:


Person			
Title	<input type="text"/>	Gender	<input type="text"/>
First Name*	<input type="text"/>	Last Name*	<input type="text"/>
Middle Name	<input type="text"/>	Prefix	<input type="text"/>
DOB	<input type="text"/>	Birth Place	<input type="text"/>
Mothers Name	<input type="text"/>	Alias	<input type="text"/>
SSN	<input type="text"/>	Id Number	<input type="text"/>
Nationality 1	<input type="text"/>	Nationality 2	<input type="text"/>
Nationality 3	<input type="text"/>	Residence	<input type="text"/>
Occupation	<input type="text"/>	Employer Name	<input type="text"/>
Tax Number	<input type="text"/>	Tax Reg. Number	<input type="text"/>
Source of Wealth	<input type="text"/>		
Passport?	<input checked="" type="radio"/> No <input type="radio"/> Yes		
Deceased?	<input checked="" type="radio"/> No <input type="radio"/> Yes		
Phones 			
Addresses 			
Identification 			
Emails 			
Employer Address 			
Employer Phone 			
Comments			
<div></div>			


1. Click on  icon to add a new Person (Party) to the transaction
2. Enter the **Person Details** (fields marked with an asterisk are mandatory; however, try to submit as much information as possible):
 - i. **Gender:** If you know it, select whether the person is male or female.
 - ii. **First / Middle / Last name:** The name of the person.
 - iii. **Title:** The form of address.


- iv. **Prefix:** Any name prefix (e.g. titles of nobility).
- v. **SSN:** The social security number of the person.
- vi. **Mother's name:** Can be used as father, mother, second name, other name etc. as per country's regulation.
- vii. **Date of birth:** Enter the date of birth of the person (using the United Nations date format: DD/MM/YYYY) into the field or select it using the calendar pop-up ().
- viii. **Place of birth:** The reported place of birth of the person.
- ix. **Nationality:** Select the nationality that the person currently uses from the drop-down list.
- x. **Residence:** Select the current residence country of the person.
- xi. **Occupation:** The person's reported occupation.
- xii. **Email:** A reported email address of this person.

3. If you have an identity document of the person, click on the  icon to add an Identification.

Identification			
Type*	<input type="text"/>	Number*	<input type="text"/>
Issue Date	<input type="text"/>	Expiry Date	<input type="text"/>
Issued by	<input type="text"/>	Issue Country*	BANGLADESH 
Comments	<input type="text"/>		
Save		Cancel	



- i. **Type :** Select the type of the document from the drop-down list (e.g. a passport).
- ii. **Number :** The identification number of the document.
- iii. **Issue Date :** Enter the issue date of the document into the field or select it using the calendar pop-up ().
- iv. **Expiry Date:** The last date of validity of this document.
- v. **Issue Country:** Select the country issuing the document.

4. If you know the address of the person, click on  icon to unfold this section of the window. Fill in the mandatory fields with the address details.

Address			
Type*	<input type="text"/>	Address*	<input type="text"/>
Town	<input type="text"/>	City*	<input type="text"/>
Zip	<input type="text"/>	Country*	BANGLADESH 
State	<input type="text"/>	Comments	<input type="text"/>
Save		Cancel	

- i. **Type :** Select the type of address : Business, Private etc.

- ii. **Address**: Address of the selected Type i.e. House #, Street name etc.
- iii. **City/Country** : Name of the city, country etc.

5. For available Email of the person, click on  icon to unfold this section. Enter the known Email address of the person in the given field.
6. If you have details about the employer of the person, click on the  icon of the **Employer Address** and/or **Employer Phone** to unfold the appropriate sections of the window. Fill in the mandatory fields and also other fields with the known details.
7. Click on **Save**. The person information is added to the transaction and the dialogue window closes.
8. Save the transaction again.


Goods and Services :

If the transaction includes items of any kind, then these items have to be defined here as well.

1. In the transaction window, click on the  icon for **Transaction items**. An input window is shown:

Transaction Item

Item Type*	<input type="text"/>	Item Make	<input type="text"/>
Description	<input type="text"/>	Previously Registered To	<input type="text"/>
Presently Registered To	<input type="text"/>	Estimated Value	<input type="text"/>
Status Code	<input type="text"/>	Disposed Value	<input type="text"/>
currency Code	<input type="text"/>	Size	<input type="text"/>
Size UOM	<input type="text"/>	Registration Date	<input type="text"/>
Registration Number	<input type="text"/>	Indentification Number	<input type="text"/>
Comments	<input type="text"/>		

Address 

Status Comments

Save


Cancel

2. Enter the details for the item (fields marked with an asterisk are mandatory):

- i. **Item Type:** Select the type of item (e.g. a weapon) from the drop-down list.
- ii. **Description:** Describe the item (e.g. a “Walther PPK”).
- iii. **Previously Registered To / Presently Registered To:** Enter the name of the previous and current owners here.
- iv. **Status:** Select the status of the item (donated, sold, destroyed...).
- v. **Size:** The measure and unit of the item.

***Note:** Available units can be anything from square meters for real estate to calibre for weapons and are defined via customization. If you need an additional unit, contact your goAML Web representative.*

- i. **Registration number / Registration date:** If the item is registered, enter the number and date of the registration here.
- ii. **Item make:** The manufacturer of the item.
- iii. **Comments:** Add personal comments here.
- iv. **Estimated value:** Assign a reasonable value to the item.
- v. **Disposed value:** The effective value for this item in the transaction.

3. If the item is a property, click on the  icon to unfold the **Address** section.
4. Enter all the available address details. Click on **Save**.
5. You can add comments in the **Status Comments** field if you have any. It can be anywhere b/w 0 and 500 characters.
6. Click on **Save Item**. The item information is added to the transaction and the dialogue window closes.
7. Save the transaction again.

Submit Report :

After you have completed the Web Report, added all the transactions, the transaction parties and items associated with the transactions, you can save all the details and **Preview** it before clicking on the Submit button.

1. Click on the **Submit Report** link. After a security check, the report is added to the submitted reports in the goAML Web database.

3 Drafted Reports

The Drafted Reports menu allows you quick access to the report you are currently working on (see section 3.1: [Current Report](#)) and a list of all Not Submitted Web Reports (see section 3.2).

3.1 Current Report

The term “current report” refers to the unfinished goAML Web report you were last working on. To get back to this report:

1. Select **Drafted Reports ▶ Current Report** from the menu bar. The report sheet is loaded and displayed.

Note: See section 2.2: [Create Web Report](#) for details on creating Web reports.

3.2 Not Submitted Web Reports

While working with goAML Web, you will most probably have a number of yet unfinished reports that are shown as a list. These reports can be viewed, resumed or deleted. Furthermore, the list view can be filtered, if necessary.

1. Select **Drafted Reports ▶ Not Submitted Web Reports** from the menu bar. The list is loaded and displayed:

Report ID	Report Type	Created By	Created On	Transactions	Status	Actions
2000294-0-0	Unusual Transaction Report	ameen123	10/03/2013	2	Not submitted	[Edit] [Delete] [View]
2000284-0-1	Unusual Transaction Report	ameen123	10/03/2013	1	Not submitted	[Edit] [Delete] [View]
2000287-0-0	Unusual Transaction Report	ameen123	10/03/2013	1	Not submitted	[Edit] [Delete] [View]
2000286-0-0	Unusual Transaction Report	ameen123	10/03/2013	2	Not submitted	[Edit] [Delete] [View]
2000285-0-0	STR	ameen123	10/02/2013	3	Not submitted	[Edit] [Delete] [View]

Filter view:

You can filter the list of incomplete Web reports view by selecting any of the given filter criteria like Start Date, Created By, End Date, Report Entity, Report Type and Report Status.

2. Apply the necessary filter conditions (leave the others empty):
 - i. **Created on:** Enter the **Start date** and the **End date** of the time span you want to investigate.
 - ii. **Created by:** Enter the name of a goAML Web user to show only reports of this particular user.
 - iii. **Report type:** Select one from the drop-down list, if you want to search only for one certain type of report (e.g. STR).
3. Click on **Filter** button. The list is updated accordingly.

Resume editing a report:


To proceed with an unfinished report:

1. Click on icon or on the report's ID hyperlink. The report sheet is loaded and displayed.
2. Continue editing as required.

Note: See section 2.2: [Create Web Report](#) for details on creating Web reports.

Preview report document:

To preview the document of an unfinished report as it would look right now:

1. Click on **preview**  The report form is generated and displayed. Click on the **Expand All** link to see all the report in its entirety or you click on **Collapse All** link to see the abridged form.

[Expand All](#) | [Collapse All](#) | [Print](#)

Report: 2000287-0-0

Entity ID Westpac	Reporting Entity Branch	Type Unusual Transaction Report	Reporting Entity Reference
FIU Reference	Submission Date		
Reason		Action	

Reporting Person
 Person: safadi, ameen

Location

Indicators

Transactions
 Transaction: TRNWEB0299 03 OCT 13

2. Click on **Print** link to make a printout.

Delete a report:

To delete an unfinished report:

1. Click on . After a security check, the report is deleted.

4 Submitted Reports

Every user can view his or her already submitted reports in their current state. goAML Web separates them into two lists containing uploaded XML reports (see section 4.1: [Submitted XML reports](#)) and manually created Web Reports (see section 4.2: [Submitted Web Reports](#)) respectively.

4.1 Submitted XML reports

To view the uploaded XML reports and their current state:

1. Select **Submitted Reports ▶ XML Reports** from the menu bar. The list is loaded and displayed:

Filter view:

To filter the uploaded reports list (e.g. because the list is rather long):

1. Apply the necessary filter conditions (leave the others empty):
 - i. **Uploaded:** Enter the **Start Date** and the **End Date** of the time span you want to investigate.
 - ii. **Created By:** Enter the name of a goAML Web user to show only reports of this particular user.
 - iii. **Report Status:** Select one of the available states from the drop-down list, if you want to search only for one certain state (e.g. failed validations).
2. Click on **Refresh** (🔄). The list is updated accordingly.

Preview report document:

To preview the document of an unfinished report as it would look right now:

1. Click on The report form is generated and displayed
2. Click on **Print this page** to make a printout.

Check failures:

To view the reason for a failed upload:

Message Board

1. Click on the failure link in the **Status** column. The error message is displayed:

The screenshot displays the goAML Message Board interface. At the top, there is a navigation bar with links: New Reports, Drafted Reports, Submitted Reports, Message Board (active), My goAML, and a help icon. A LogOut link is in the top right corner. Below the navigation bar is a section titled "XML Reports" containing filter fields for Start Date, End Date, Report Type, Created By, Report Entity, and Report Status (set to "Failed Validation"). A "Filter" button is centered below these fields. Below the filters is a table with columns: Report ID, Report Type, File, Created By, Created On, Transactions, Status, and Actions. The first row shows a report with ID "2000305-0-0" and status "Failed Validation". A modal window is open over the "Failed Validation" link, displaying the error message: "Report: 2000305-0-0" and "error getting report type from xml". The modal has a close button (X) in the top right corner. In the bottom right corner of the table area, it says "View 1 - 1 of 1".

Report ID	Report Type	File	Created By	Created On	Transactions	Status	Actions
2000305-0-0	undefin					Failed Validation	Failed Validation

4.2 Submitted Web Reports

To view the submitted Web Reports and their current state:

1. Select **Submitted Reports ▶ Web Reports** from the menu bar. The list is loaded and displayed:

The screenshot shows the 'Web Reports' section of the goAML system. At the top, there is a navigation bar with links: New Reports, Drafted Reports, Submitted Reports, Message Board, My goAML, and a Logout button. Below the navigation bar, the 'Web Reports' section contains a filter form with the following fields: Start Date, End Date, Report Type (dropdown), Created By, Report Entity, and Report Status (dropdown). A 'Filter' button is located below the filter form. Below the filter form, there is a table with the following columns: Report ID, Report Type, Created By, Created On, Transactions, Status, and Actions. The table contains one row of data: Report ID 2000284-0-0, Report Type Unusual Transaction Report, Created By ameen123, Created On 10/02/2013, Transactions 1, Status Archived, and Actions (with a delete icon). At the bottom of the table, there is a pagination bar showing 'Page 1 of 1' and 'View 1 - 1 of 1'.

Filter view:

To filter the submitted reports list (e.g. because the list is rather long):

1. Apply the necessary filter conditions (leave the others empty):
 - i. **Created on:** Enter the **Start date** and the **End date** of the time span you want to investigate.
 - ii. **Created by:** Enter the name of a goAML Web user to show only reports of this particular user.
 - iii. **Report type:** Select one from the drop-down list, if you want to search only for one certain type of report (e.g. STR).
 - iv. **Report status:** Select one of the available states from the drop-down list, if you want to search only for one certain state (e.g. failed validations).
2. Click on the **Filter** button. The list is updated accordingly.

Preview report document:

To preview the document of a submitted report:

1. Click on . The report form is generated and displayed:
2. Click on **Print this page** to make a printout.

Delete a report:

To delete submitted report:


1. Click on . After a security check, the report is deleted.

Note: When deleting, the submitted report is just removed from this view. No action is taken in the goAML database.

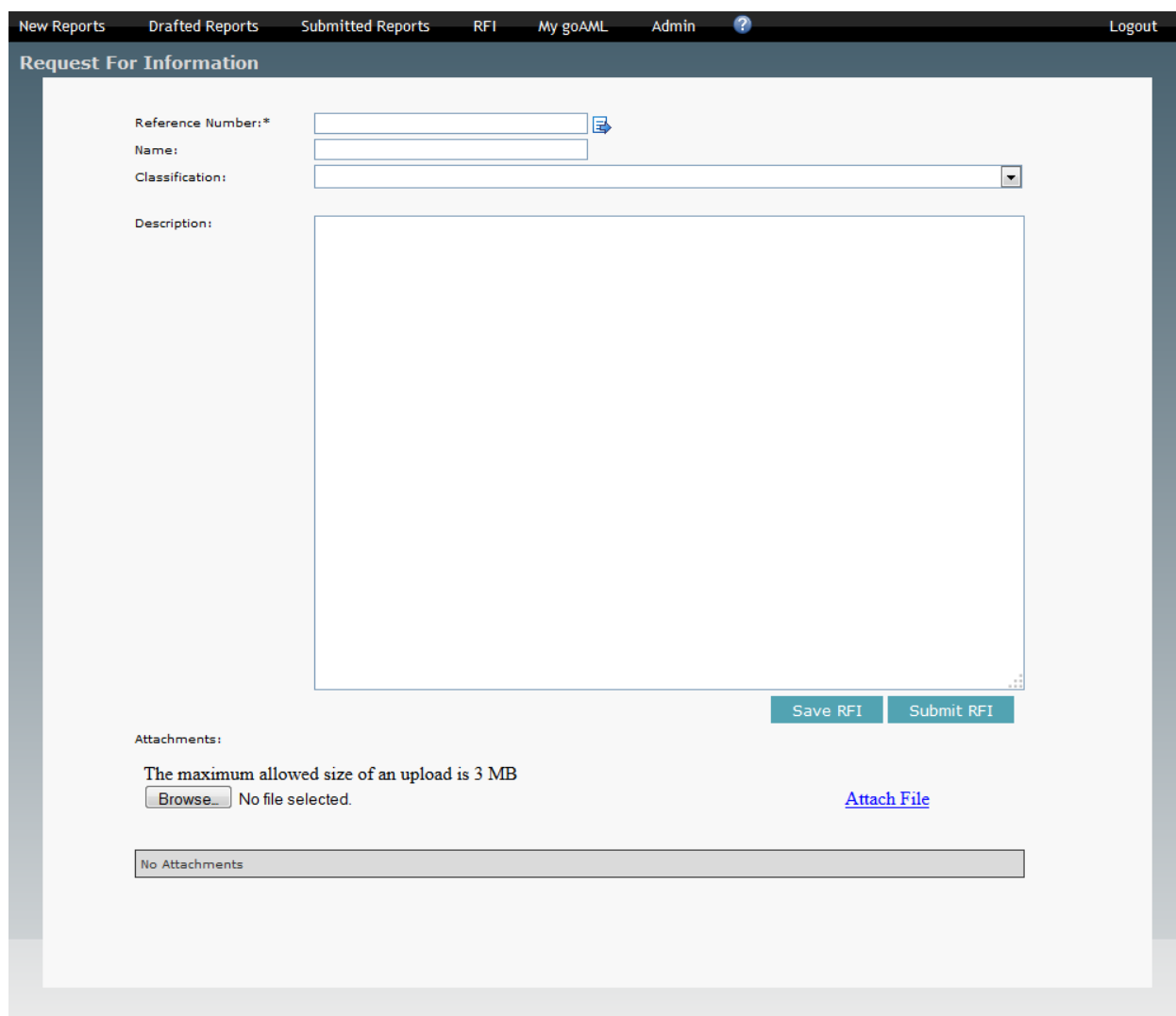
5 RFI (Request for Information)

5.1 New RFI

You can submit a request for information (RFI) report.

1. Select **RFI > New RFI**
2. A new RFI (Request for Information) form opens up.
3. Click on  to auto-generate a **Reference Number** or enter it manually
4. Select the **Classification** from the drop-down menu and enter a brief description in the **Description** field.
5. Attach a file by selecting it in your browser file upload window and click on **Attach File** link.

***Note :** You can attach more than one file. The maximum allowed size of the upload is 3 MB*



The screenshot shows the 'Request For Information' form in the goAML system. The form is titled 'Request For Information' and has a navigation bar at the top with links: New Reports, Drafted Reports, Submitted Reports, RFI, My goAML, Admin, and Logout. The form fields are as follows:

- Reference Number:***: A text input field with an auto-generate icon (a document with a right-pointing arrow) to its right.
- Name:**: A text input field.
- Classification:**: A dropdown menu.
- Description:**: A large text area for entering a brief description.
- Attachments:**: A section with the text 'The maximum allowed size of an upload is 3 MB', a 'Browse...' button, and the text 'No file selected.'.
- Buttons:** 'Save RFI' and 'Submit RFI' buttons are located below the Description field.
- Attach File:** A blue link is located below the Attachments section.
- No Attachments:** A text box at the bottom of the Attachments section.

6. Once the RFI report is ready, you can either save it by clicking on the **Save RFI** button or submit it by clicking on the **Submit RFI** button.

5.2 RFI List

You can view all the RFI reports by selecting **RFI List**.

6 Message Board

The goAML message board is the internal means of communication between goAML users. The intention is to connect the users of the goAML application with the reporting entities and stakeholders using the goAML Web Portal.

The advantage of an internal communication channel is that the two parties mentioned above can communicate from within the system. Reporting entities and stakeholders are notified immediately and automatically if their reports are accepted or rejected.

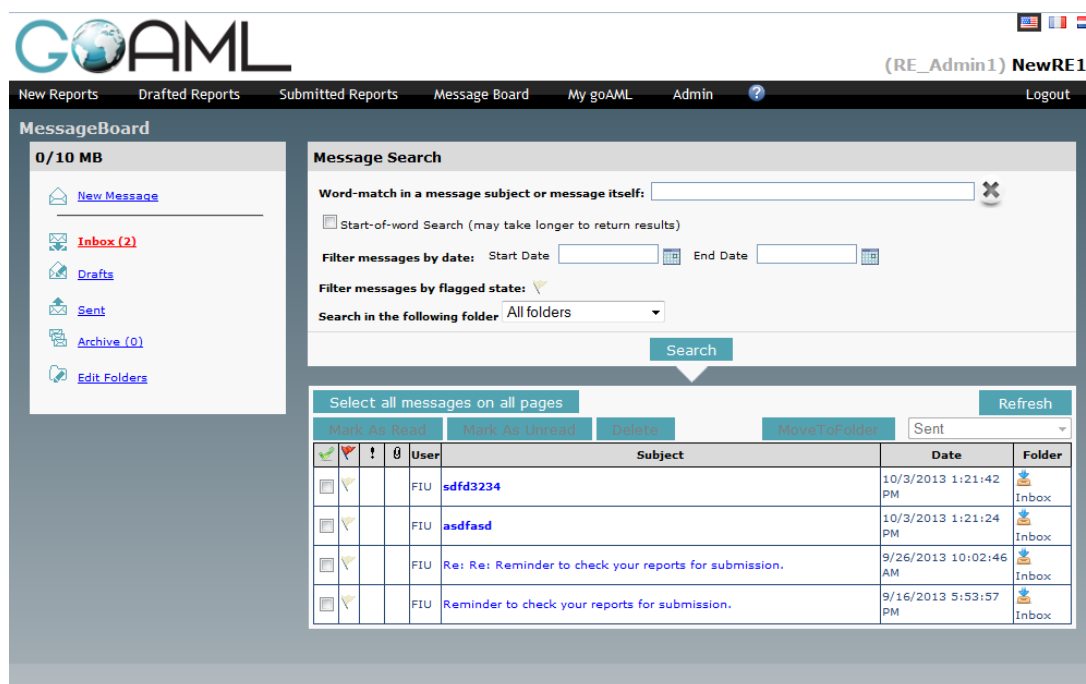
Properties:

- For practical reasons, the message board is organized like an email client.
- However, messages can only be sent from the users to the Financial Investigation Unit they report to and vice versa. Using it as an email replacement between the users of a task force or work group is not an application scenario.
- All users of a reporting entity or a stakeholder see the same messages. There are no individual message boxes.

Load Message Board:

To view your messages:

1. Select **Message Board** from the menu bar. The goAML message board is loaded and the **Inbox** displayed:



The user interface:

The message board interface consists of a navigation panel and a tabular list of messages. The navigation panel offers hyperlinks for the following views:

- **New message:** Write a new message.
- **Inbox:** All incoming messages.
- **Manual:** All manually created messages in the inbox.
- **Report fully accepted / partially accepted / rejected:** Different views for automated messages regarding goAML reports in the inbox, filtering for different states.
- **Drafts:** All outgoing messages which are ready or in preparation, but not yet dispatched.
- **Sent:** All already sent messages.
- **Archive :** Remove/Hide the messages from the Inbox view but keep them for future reference.

Note: The number in brackets indicates the number of unread messages in the inbox or the number of unsent drafts respectively.

2. Click on a link to load the corresponding view.

The messages in the list provide the following information:

- **Check (✓):** The functional checkbox for the message (see below).
- **Flag (♥):** An optical reminder you can set if you want to draw your attention to that message (no processing features).
- **Priority (!):** Can be either high (↑), normal (default; no icon) or low (↓).
- **Attachment (📎):** Shows the paper clip icon, if the message contains an attachment.
- **Subject:** The subject of the message. Automated messages regarding reports have a fixed subject structure Report – (attached file's name) – status.

- **Received:** Time stamp when the message in the inbox has arrived.
 - **Last updated:** Time stamp when the message in the drafts view was last updated.
 - **Sent:** Time stamp when the message in the sent view was dispatched.
3. Click into the **Flag** column of a message to set or remove the flag symbol (🚩).
 4. To change the view state of a message, activate its checkbox and click on **Mark as Read** or **Mark as Unread** respectively.

You can read messages (see section 6.1:

[Read Message](#)), reply to incoming messages (see section 6.2:_) or write new messages (see section 6.3:

[Write](#) Message).

6.1 Read Message

To read a message:

1. Click on the message subject. The message is displayed:

The screenshot displays a web interface for a message board. At the top left, there is a link labeled "<-back to list". Below this, a message header shows a gear icon, the text "Financial Intelligence Unit (FIU)", the ID "sdfd3234", and the timestamp "10/3/2013 1:21:42 PM". The message subject is "WEBSERVICE_cleaned_messageboard_message_substitution". Below the header, there is a yellow section for replying. It starts with "Post a Reply" and a speech bubble icon. Underneath, there are radio buttons for "Priority: High", "Normal" (which is selected), and "Low". To the right of these is a link "edit attachments:" with a paperclip icon. Below the priority options is a large white text area for the reply. At the bottom of the yellow section are two buttons: "Send" and "Save Draft".

2. Click on **the attachment link(s)** to view the attachment(s) and to the **<- back to list link** to go back to all the messages in the Inbox.

6.2 Reply to Messages

To reply to an incoming message:

1. Click on **Post a reply**. An input form is loaded and displayed:

The screenshot displays the goAML Message Board interface. At the top, the goAML logo is on the left, and the user is logged in as (RE_Admin1) NewRE1 on the right. A navigation bar includes links for New Reports, Drafted Reports, Submitted Reports, Message Board, My goAML, Admin, and Logout. The main content area is titled 'MessageBoard' and shows '0/10 MB' of storage used. On the left, there are links for New Message, Inbox (1), Drafts, Sent, Archive (0), and Edit Folders. The central 'Message Search' section includes a search bar, a checkbox for 'Start-of-word Search', date filters, a 'Filter messages by flagged state' dropdown, and a 'Search' button. Below the search section, a message from 'Financial Intelligence Unit (FIU)' with ID 'sdfd3234' is shown, dated '10/3/2013 1:21:42 PM'. The subject is 'WEBSERVICE_cleaned_messageboard_message_substitution'. At the bottom, the 'Post a Reply' form is highlighted in yellow, featuring a 'Priority' selection (High, Normal, Low), an 'edit attachments' link, a large text input field, and 'Send' and 'Save Draft' buttons.

2. Select the **Priority** of your reply (high – normal – low).
3. Enter your reply into the text field.
4. If you want to attach a file, click on **edit attachments** and select the file you want to send with the reply.
5. Click on **Send** to dispatch the message or on **Save Draft** to save it for later use.

6.3 Write Message

To write a new message:

1. Click on **New message** in the message board. An input window pops up:

The screenshot displays the goAML Message Board interface. At the top, the goAML logo is on the left, and the user is logged in as (RE_Admin1) NewRE1. The navigation bar includes links for New Reports, Drafted Reports, Submitted Reports, Message Board, My goAML, Admin, and Logout. The main content area is titled 'MessageBoard' and shows a sidebar with folders: New Message, Inbox (1), Drafts, Sent, Archive (0), and Edit Folders. A 'Message Search' panel is visible, allowing users to search by word-match, start-of-word, date, and flagged state. Overlaid on this is the 'Compose Message' dialog box. This dialog has fields for 'To:' (filled with 'Financial Intelligence Unit (FIU)'), 'Priority:' (radio buttons for High, Normal, and Low, with Normal selected), 'Ref. Num', 'Subject:', and a large 'Message:' text area. There is an 'add attachment' link with a paperclip icon. At the bottom of the dialog are 'Send', 'Cancel', and 'Save as Draft' buttons. In the background, a 'Send' and 'Save Draft' button are also visible on the main interface.

2. Select the **Priority** of your reply (high – normal – low).
3. Type a meaningful subject in to the field **Subject**.
4. Enter your message into the text field **Message**.
5. If you want to attach a file, click on **edit attachment** and select the file you want to send with the message.
6. Click on **Send** to dispatch the message or on **Save Draft** to save it for later use.

6.4 Search Message

Any message that has been written, sent or archived can be searched using the **Message Search** feature.

Message Board

You can filter the search results by date, by flagged state as well as the search folder. Advanced Search options are also available by typing a word in the **word-match in a message text or message** itself field.

Message Search

Word-match in a message subject or message itself:

☐ Start-of-word Search (may take longer to return results)

Filter messages by date: Start Date End Date

Filter messages by flagged state: ☐

Search in the following folder

Search

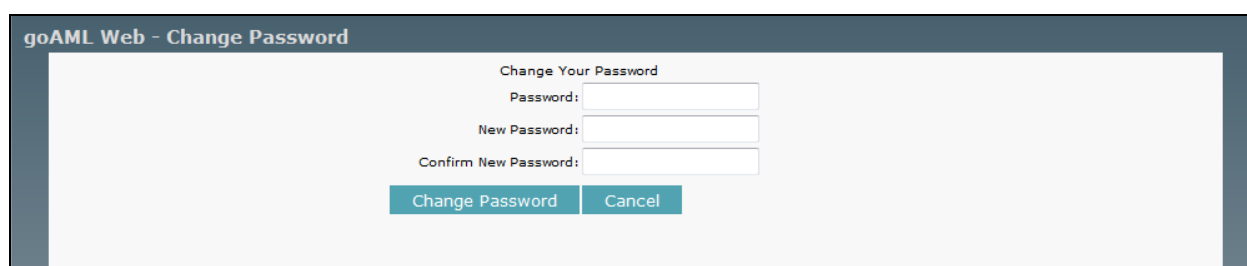
7 My goAML

My goAML is the personal maintenance section for the individual goAML Web users. Here you can change your password (see section : 6.1 [Change password](#)), modify your personal data (see section : [Edit user details](#)) and read the release notes for the current goAML Web version (see section : [Read release notes](#)).

7.1 Change password

To change your goAML Web password:

1. Select **My goAML ► Change Password** from the menu bar. The password maintenance screen is loaded and displayed:



2. Enter your current password into the field **Password**.
3. Enter your new password into the fields **New Password** and **Confirm New Password** (at least 5 characters).
4. Click on **Change Password**. The new password is stored in the database and available for your next login.

***Note:** If your password has been reset by a goAML administrator, then changing the password is the only action you can do right after your next login. After changing the system password to your own one, your full functionality is available again.*

7.2 My User Details

When something in your user data changes (e.g. you get a new phone number or change in the office address), you have to update your goAML Web user data accordingly:

1. Select **My goAML > My User Details** from the menu bar. The user details screen with your user data is loaded and displayed:

The screenshot shows the 'My User Details' form. The top navigation bar has links: New Reports, Drafted Reports, Submitted Reports, RFI, My goAML, Admin, and LogOut. The form is titled 'Registering Person'. It contains the following fields:

- Organization ID: 1
- User Name*: goaml
- Email*: scott.fulford@unodc.org
- Gender: Male
- Title:
- First Name*: goaml
- Last Name*: goaml
- Birth Date:
- SSN:
- Nationality:
- Occupation:

Below these fields are sections for 'Phones' and 'Addresses'. The 'Phones' section has a table with one entry:

Type	Country Prefix	Number
UNKNOWN		0

The 'Addresses' section is empty. At the bottom, there is an 'Attachments' section with a file upload area showing 'No file selected.' and a 'Submit Request' button.

2. Adjust the data in the fields as necessary.
3. Click on **Save**. The changes are stored in the goAML Web database.

Note: You cannot change your login name or form of address this way.

7.3 My Org Details

If there is a change in the registering organization details (e.g. change in the phone number or address), you have to update your goAML Web user data accordingly :

1. Select **My goAML > My Org Details** from the menu bar. The Registering Organization details screen is loaded and displayed:

[New Reports](#) [Drafted Reports](#) [Submitted Reports](#) [RFI](#) [My goAML](#) [Admin](#) [?](#) [LogOut](#)

Registering Organization

Change Delegation ☐

Organization ID

1

Delegate Organization ID

is financial

☐ yes ☐ no

Name*

Financial Intelligence Unit (FIU)

acronym*

FIU

Incorp. Num

FIU

Swift/Bic*

FIU

Incorp. City

Incorp. State

Incorp. Country

Name of holding company

Contact Person

Email*

URL

Phones +

Addresses +

Attachments

File Name	File Size
<input type="button" value="Browse..."/>	No file selected.

7.4 Release Notes

Every major and minor goAML release comes with release notes, depicting the changes and improvements. You can view them as follows:

1. Select **My goAML ▶ Release Notes** from the menu bar. The release notes for the current goAML Web version are loaded and displayed:

The screenshot displays the 'goAMLWeb - Release Notes' page. At the top, there is a header with the Moroccan coat of arms and text in Arabic and French. Below this is a navigation bar with links: 'New Reports', 'Drafted Reports', 'Submitted Reports', 'RFI', 'My goAML' (highlighted), 'Admin', and 'LogOut'. The main content area is titled 'goAMLWeb - Release Notes' and lists several release notes:

- goAMLWeb 3.4.38.0 Release notes:**
 - Bug Fixes, and workarounds:
 - my_client xml node generation now working correctly
 - reusing parties on forms actually links the parties, rather than copying
- goAMLWeb 3.4.37.0 Release notes:**
 - Bug Fixes, and workarounds:
 - nav-away warning message now acts correctly on signatory page
 - rejection of reports - can now enter a reason on web
 - management of error copies of data - for unexpected error files kept from inside a zip file is now transparent to admins and users
- goAMLWeb 3.4.36.0 Release notes:**
 - Bug Fixes, and workarounds:
 - web attachments can now be configured up to 20MB (previously only 10MB was the hardcoded limit)
 - filtering submitted reports on report status was not returning the correct results
 - messageboard paging optimization and cleanup job fix for account objects (was throwing error for certain types of accounts)
- goAMLWeb 3.4.35.0 Release notes:**
 - Bug Fixes, and workarounds:
 - xml upload refresh button didn't show interactivity- no indication it was pressed. now show the time and waiting gif again.
 - unsaved navigation warning popup suppressed on report homepage when indicator link/popup is clicked/shown
- goAMLWeb 3.4.34.0 Release notes:**
 - Bug Fixes, and workarounds:
 - report upload, unsubmitted report, and submitted web report grids function faster - sql improvement

Note: Experienced users should read the release notes carefully so not to miss any improvements or important issue fixes.

8 Administration

For goAML Web users with administration rights, an additional menu **Admin** is available in the menu bar. Here you can customize the looks of the user interface (see section 8.1: [Customiz](#)), and get access to the role (see section 8.3: [Role Management](#)) and user management (see section **Error! Reference source not found.**: [Error! Reference source not found.](#)).

***Note:** If you see the menu but not all entries mentioned above, then you simply do not have access permissions for all of them.*

8.1 Customization

Although goAML comes with a standardized layout complying with the UNODC design regulations, you are free to adjust it to your needs: You can change the base colours, replace the logos and provide your own content for the application's home page.

1. Select **Admin ► Customization** from the menu bar. The website customization page is loaded and displayed:

goAML Web - Customization

Page Colors:

Header Section:

Footer Section:

Background Gradient Start:

Background Gradient End:

Page Images: Please abide by Image dimensions recommended.

Top Left Image: No file selected. 390 x 60px, alpha blended png
current: [images/customization/morocco.png](#)

Bottom Left Image: No file selected. 277 x 62px, alpha blended png
current: [images/UNODC/go_footer_left.png](#)

Bottom Left Link: url

Bottom Right Image: No file selected. 190 x 62px, alpha blended png
current: [images/UNODCsoftware_footer_right.png](#)

Bottom Right Link: url

Home Page:

Image: No file selected.
current: [images/money.jpg](#)

HTML Text:

The Financial Intelligence Unit is the arm of the global financial intelligence Unit (FIU). It is part of [the efforts](#) of the Government in combating money laundering, and financing of terrorist activities.

The core role of the FIU is that it serves as the country's central agency for the collection, analysis and dissemination of information regarding money laundering and the financing of terrorism.

Logged-in HTML Text:

The Financial Intelligence Unit is the arm of the global financial intelligence Unit (FIU). It is part of [the efforts](#) of the Government in combating money laundering, and financing of terrorist activities.

The core role of the FIU is that it serves as the country's central agency for the collection, analysis and dissemination of information regarding money laundering and the financing of terrorism.

Email Settings:

Validation Email Subject Prefix:

Validation Email Body Prefix:

Validation Email Body Postfix:

2. If you want to change the base colouring, adjust the values in the **Page Colours** fields (a colour picker tool appears as soon as you click into the field; otherwise enter the hexadecimal RGB value of the colour directly into the field).
3. Replace logos by clicking on **Browse** and selecting your preferred graphical files.
4. Create your own content for the goAML Web home page in the field **HTML Text** and upload a matching image, if you want.
5. Click on **Save** to apply your changes or on **Restore Defaults** to discard all current and earlier changes and reset goAML Web to the original look.

Page colours:

- **Header section:** The base colour of the page header's colour gradient.
- **Footer section:** The background colour of the page footer.

Page images:

- **Top left image:** The image in the top left corner of the window, being a link to the goAML Web home page (default: a goAML Web logo).
- **Top right image:** The image in the background of the top right corner of the window (default: a transparent placeholder).
- **Bottom left image:** The image in the bottom left corner of the window (default: a UNODC logo being a link to the UNODC home page).
- **Bottom right image:** The image in the bottom right corner of the window (default: a UNODC software logo).
- **Logo image:** The image for the top right corner (default: a transparent placeholder).

Home page:

- **Image:** The image to appear in the top right corner of the goAML Web home page body (should not be wider than some 700 pixels – preferably less – to leave enough room for the text).
- **HTML Text:** The text content to be shown on the goAML Web home page. Can be formatted with the most common HTML text properties.

***Note:** goAML Web comes with a placeholder text for the home page. So at least this customization step is mandatory.*

8.2 Cleanup

An important issue in goAML is data security. This also covers the Web reports created and submitted via goAML Web. You can control how long they should stay online, before goAML disposes of the data.

1. Select **Admin ► Cleanup** from the menu bar. The security settings page is loaded and displayed:

The screenshot shows the 'goAML Web - Report Cleanup Settings' page. The top navigation bar includes links for 'New Reports', 'Drafted Reports', 'Submitted Reports', 'RFI', 'My goAML', 'Admin', and 'LogOut'. The main content area is divided into several sections:

- Dispose of web-report information** (1 days after creation and not yet submitted): Includes a checkbox 'Do not delete report information based on creation date'.
- Dispose of web-report information** (1 days after submission and acceptance): Includes a checkbox 'Do not delete reports that have been accepted'.
- Dispose of web-report information** (1 days after submission and rejection or failed validation - waiting to be reverted): Includes a checkbox 'Do not delete reports that are waiting to be reverted'.
- Dispose of web-report information** (1 days after being reverted): Includes a checkbox 'Do not delete reports that are in a reverted state'.
- For messages of type:** (dropdown menu) **Dispose of message-board information** (-1 days after sending, and having been received by the FIU): Includes a checkbox 'Do not delete messages of this type' and an 'Add Setting' button.
- Message Type** and **Days Before Cleanup** table:

Message Type	Days Before Cleanup
Dissemination (Automated Process)	1
Manual	1
Report Fully Accepted	1
Report Partially Accepted	1
Report Rejected	1

The bottom of the page features the UNODC logo and text: '© 2013 UNODC. All rights reserved version: 4.0.9.0'.

2. Adjust the settings as needed (see below for details).
3. Click on **Save** to store the changes in the goAML Web database.

Dispose of Web report information:

- **Dispose of web-report information [n] days after creation:** Set a value in the input field if you want to get rid of created but unfinished (i.e. not submitted) Web reports after a certain period of time (n days).
- : Activate the checkbox (☒) , if you do not want to check for outdated submitted reports.

- **Dispose of web-report information [n] days after submission and acceptance:** Set a value in the input field if you want to get rid of already submitted and accepted Web reports after a certain period of time (n days). Only recommended if you have strict approval procedures.
- **Dispose of web-report information days after submission and rejection or failed validation- waiting to be reverted:** Specify in the input field if you want to get rid of already submitted and rejected Web reports after a certain period of time. Activate the check-box if you do not want to delete reports that are waiting to be reverted.
- **Dispose of web-report information days after being reverted:** Specify in the input field if you want to get rid of the web-reports after a certain period of being reverted. Activate the checkbox (☒) if you do not want to delete reports that are in a reverted state.
- **Dispose of message-board information[n] days after sending and having been received by FIU:** Activate the check-box if you do not want to delete messages of this type.

8.3 Role Management

Roles in goAML are permission groups. In order to fulfil certain tasks, a person needs a certain set of access permissions, while other functions are not necessary for him or her. For instance, a controller needs wide access, but not to the maintenance modules, as this is intended for administrators only.

The role maintenance page is the location where such permission groups (“controller”, “administrator” etc.) are set up. Every role defines specific permissions for goAML Web. These roles are assigned to user accounts and thus define the users’ permissions (see section **Error! Reference source not found.:** [Error! Reference source not found.](#) for details on users).

1. Select **Admin ► Role Management** from the menu bar. The role management page is loaded and displayed:

The screenshot shows the goAML Web - Role Management interface. At the top, there is a header with the goAML logo and the text "(goaml) Financial Intelligence Unit (FIU)". Below the header, there is a navigation bar with links: New Reports, Drafted Reports, Submitted Reports, RFI, My goAML, Admin, and Logout. The main content area is titled "goAML Web - Role Management". It features a "Collaborating Agency" dropdown menu set to "Financial Intelligence Unit (FIU)". Below this is a table with two columns: "Role Name" and "Permissions". The "Role Name" column lists various roles, including "Individual", "RE_admin", "Stakeholder_admin", "RE_user", "Stakeholder_admin", "Supervisory Body_admin", "Supervisory Body user", "FIU_admin", "FIU user", "re_universal_role_test", "re_universal222", "universal_RE_role333", "NewRE1_normalUsers", and "newrole". The "Permissions" column lists various permissions, each with a checkbox: "create delegation", "enter web reports", "FIU Manage All Reports", "Manage Organization Change Requests", "registration statistics", "Release Notes", "reporting statistics", "RFI", "role management", "Security Cleanup", "Site Customization", "submit web reports", "upload XML reports", "user management", "Manage User Change Requests", "view all RE reports", and "view message board". There are also links for "+Add role", "Save", and "Delete".

2. If you are a goAML Web Super administrator, select the **Collaborating Agency** you want to handle the roles for from the drop-down list. The role names are displayed.

Note: "Ordinary" administrators can only edit the roles of their own reporting entity; the field is visible but deactivated for them.

3. Click on a **Role name** to view the **Permissions** of that role:

Role Name	Permissions
+Add role	Save Delete
Individual	<input checked="" type="checkbox"/> create delegation
RE_admin	<input checked="" type="checkbox"/> enter web reports
Stakeholder_admin	<input type="checkbox"/> FIU Manage All Reports
RE_user	<input checked="" type="checkbox"/> Manage Organization Change Requests
Stakeholder_admin	<input type="checkbox"/> registration statistics
Supervisory Body_admin	<input type="checkbox"/> Release Notes
Supervisory Body_user	<input checked="" type="checkbox"/> reporting statistics
FIU_admin	<input type="checkbox"/> RFI
FIU_user	<input checked="" type="checkbox"/> role management
re_universal_role_test	<input type="checkbox"/> Security Cleanup
re_universal222	<input type="checkbox"/> Site Customization
universal_RE_role333	<input checked="" type="checkbox"/> submit web reports
NewRE1_normalUsers	<input checked="" type="checkbox"/> upload XML reports
newrole	<input checked="" type="checkbox"/> user management
	<input checked="" type="checkbox"/> Manage User Change Requests
	<input checked="" type="checkbox"/> view all RE reports
	<input checked="" type="checkbox"/> view message board
	<input checked="" type="checkbox"/> View My Org Details
	<input checked="" type="checkbox"/> View My User Details

By default, three roles are available for every reporting entity: One for minimum access, one general permissions package and one for the reporting entity's administrators. The permissions for these roles are part of the goAML Web set-up and thus cannot be modified. However, administrators can create their own roles with tailor-made access permissions at any time.

Add Role:

To create a new role:

1. Click on **Add role**. An input form is loaded and displayed.
2. Enter a name for the new role into the field **New role**.
3. Select the check-box **role is universal** if the new role created is the same for other collaborating agencies as well.

Collaborating Agency	
Financial Intelligence Unit (FIU) ▼	
Role Name	Permissions
+Add role new role: <input type="text"/> <input type="checkbox"/> role is universal Individual RE_admin Stakeholder_admin Stakeholder_admin Supervisory_Body_admin Supervisory_Body_user FIU_admin FIU_user re_universal222 normal_user NewRE1_normalUsers newrole	Save Cancel <input type="checkbox"/> create delegation <input type="checkbox"/> enter web reports <input type="checkbox"/> FIU Manage All Reports <input type="checkbox"/> Manage Organization Change Requests <input type="checkbox"/> registration statistics <input type="checkbox"/> Release Notes <input type="checkbox"/> reporting statistics <input type="checkbox"/> RFI <input type="checkbox"/> role management <input type="checkbox"/> Security Cleanup <input type="checkbox"/> Site Customization <input type="checkbox"/> submit web reports <input type="checkbox"/> upload XML reports <input type="checkbox"/> user management <input type="checkbox"/> Manage User Change Requests

- Click on **Save**. The new role is created in the goAML Web database and added to the list.

Edit Role:

To edit a role:

- Select the role by clicking on its **Role name**.
- Add permissions by activating (☒) or remove them by deactivating (☐) the respective checkboxes.
- Click on **Save**. The role is updated accordingly in the goAML Web database.

Delete Role:

To delete an obsolete role from the database:

- Select the role by clicking on its **Role name**.
- Click on **Delete**. After a security check, the role is deleted and removed from all users having it.

Note: When a role is deleted, which was the last role a user had, then the user cannot login to goAML Web until a new role is assigned.

8.4 User Management

The user management module allows administrators to maintain the registered goAML Web users. Basically, this covers resetting their passwords if necessary and assigning or removing user roles (see section 8.3: [Role Management](#) for details on roles and permissions).

You can configure the roles and the permissions associated with it here.

1. Select **Admin ► User Management** from the menu-bar. The User Management page is loaded and displayed.

Collaborating Agency				Financial Intelligence Unit (FIU) ▼	
User				Roles	Permissions Preview
Reset Password				Save User updated successfully	
Select	FIU_User1	scott	fulford	<input type="checkbox"/> Individual <input type="checkbox"/> RE admin <input type="checkbox"/> Stakeholder admin <input type="checkbox"/> Stakeholder admin <input type="checkbox"/> Supervisory Body admin <input type="checkbox"/> Supervisory Body user <input checked="" type="checkbox"/> FIU admin <input checked="" type="checkbox"/> FIU user <input type="checkbox"/> re_universal222 <input type="checkbox"/> normal user <input type="checkbox"/> Test <input type="checkbox"/> NewRE1_normalUsers <input type="checkbox"/> newrole	<input type="checkbox"/> create delegation <input checked="" type="checkbox"/> enter web reports <input checked="" type="checkbox"/> FIU Manage All Reports <input checked="" type="checkbox"/> Manage Organization Change Requests <input checked="" type="checkbox"/> registration statistics <input checked="" type="checkbox"/> Release Notes <input checked="" type="checkbox"/> reporting statistics <input checked="" type="checkbox"/> RFI <input checked="" type="checkbox"/> role management <input checked="" type="checkbox"/> Security Cleanup <input checked="" type="checkbox"/> Site Customization <input checked="" type="checkbox"/> submit web reports <input checked="" type="checkbox"/> upload XML reports <input checked="" type="checkbox"/> user management <input checked="" type="checkbox"/> Manage User Change Requests <input checked="" type="checkbox"/> view all RE reports <input type="checkbox"/> view message board
Select	goaml	goaml	goaml	<input checked="" type="checkbox"/> User is enabled	

2. Select the **Collaborating Agency** from the drop-down menu.
3. Select the **User** in the left-most column by clicking on the **Select** link to see the associated **Roles** and **Permissions** configured with the selected user.
4. You can update the roles and permissions of the selected user by activating/de-activating some of the checkboxes in the Roles and Permissions Preview column.
5. After making these changes, click on Save to save the changes made.
6. A message appears that the user has been updated successfully.

Note: “Ordinary” administrators can only edit the users of their own reporting entity; the field is visible but deactivated for them.

goAML Web - User Management
RE_Admin1

Collaborating Agency

User	Roles	Permissions Preview
Reset Password		Save User updated successfully
Select RE_Admin1 RE_Admin1 RE_Admin1 Select RE_NORM1 RE_NORM1 RE_NORM1 Select scottRE9 scott fulford	<input checked="" type="checkbox"/> RE admin <input type="checkbox"/> normal user <input checked="" type="checkbox"/> NewRE1_normalUsers <input type="checkbox"/> newrole <hr/> <input checked="" type="checkbox"/> User is enabled	<input checked="" type="checkbox"/> enter web reports <input checked="" type="checkbox"/> reporting statistics <input type="checkbox"/> RFI <input checked="" type="checkbox"/> role management <input checked="" type="checkbox"/> submit web reports <input checked="" type="checkbox"/> upload XML reports <input checked="" type="checkbox"/> user management <input checked="" type="checkbox"/> Manage User Change Requests

- Click on a **User** in the list to view the active (☒) **Roles** and **Permissions** of that user and if the user is currently active (☒) and thus can login to goAML Web or disabled (☐)

Collaborating Agency				Financial Intelligence Unit (FIU) ▼	
User				Roles	Permissions Preview
Reset Password				Save	
Select Select	FIU_User1 goaml	scott goaml	fulford goaml	<input type="checkbox"/> Individual <input type="checkbox"/> RE admin <input type="checkbox"/> Stakeholder admin <input type="checkbox"/> Stakeholder admin <input type="checkbox"/> Supervisory Body admin <input type="checkbox"/> Supervisory Body user <input type="checkbox"/> FIU admin <input type="checkbox"/> FIU user <input type="checkbox"/> re_universal222 <input type="checkbox"/> normal user <input type="checkbox"/> Test <input type="checkbox"/> <input type="checkbox"/> NewRE1_normalUsers <input type="checkbox"/> newrole <hr/> <input type="checkbox"/> User is enabled	<input type="checkbox"/> create delegation <input type="checkbox"/> enter web reports <input type="checkbox"/> FIU Manage All Reports <input type="checkbox"/> Manage Organization Change Requests <input type="checkbox"/> registration statistics <input type="checkbox"/> Release Notes <input type="checkbox"/> reporting statistics <input type="checkbox"/> RFI <input type="checkbox"/> role management <input type="checkbox"/> Security Cleanup <input type="checkbox"/> Site Customization <input type="checkbox"/> submit web reports <input type="checkbox"/> upload XML reports <input type="checkbox"/> user management <input type="checkbox"/> Manage User Change Requests <input type="checkbox"/> view all RE reports <input type="checkbox"/> view message board <input type="checkbox"/> View My Org Details <input type="checkbox"/> View My User Details

Edit role assignments:

To modify the roles and, as a consequence, the access permissions of a user:

1. Select the user by clicking on it in the **User** column.
2. Add roles by activating (☒) or remove them by deactivating (☐) the respective checkboxes in the **Roles** column. The permissions in the **Permission Preview** are updated accordingly.
3. Click on **Save**. The role is updated accordingly in the goAML Web database.

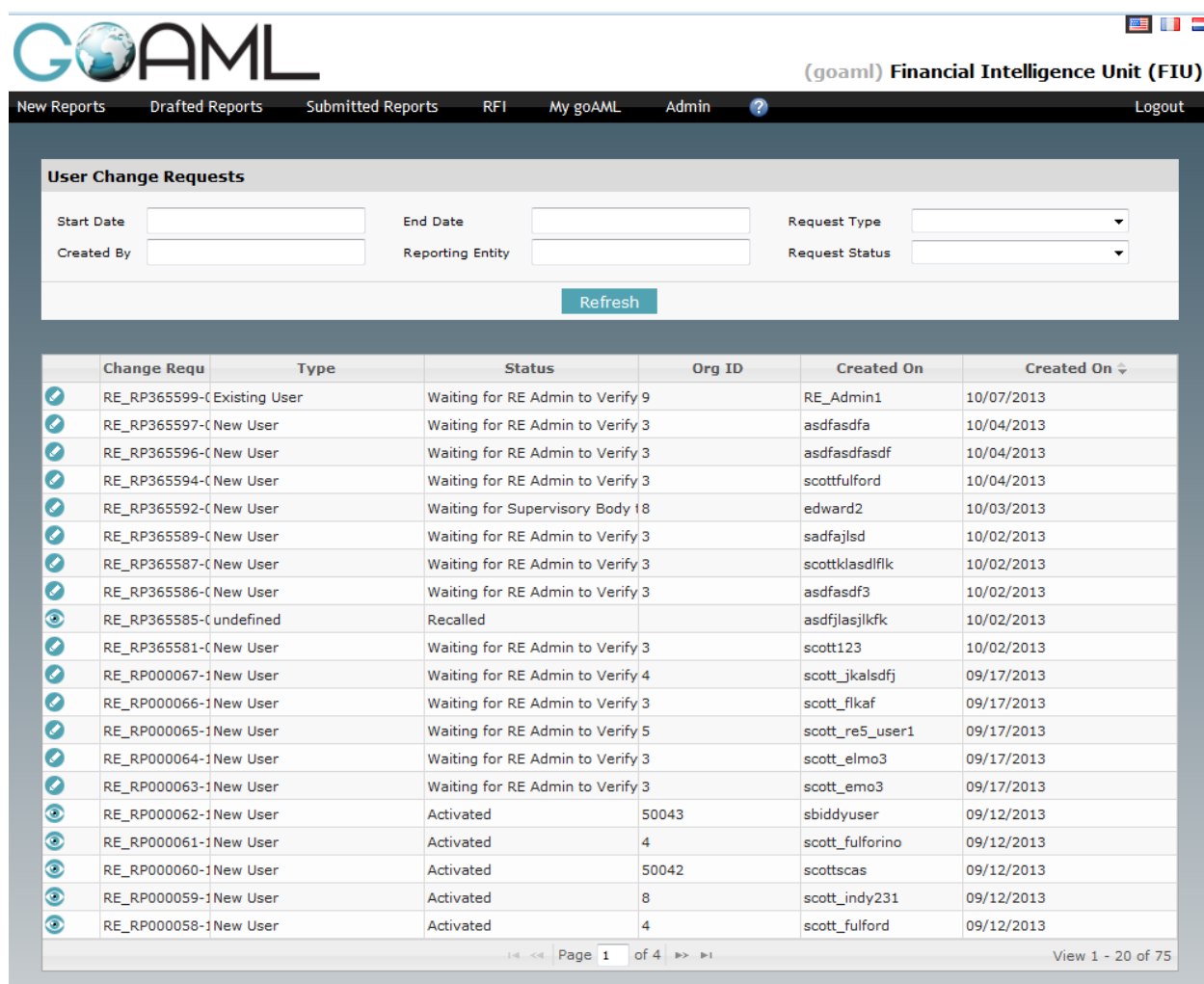
Reset password:

To reset the password for a user:

1. Select the user by clicking on it in the **User** column.
2. Click on **Reset Password**. The password is reset to the default value.

8.5 User Request Management

This allows you to view all the change requests made by the user. It is possible to filter these requests by **Start Date** , **End Date**, **Request Type**, **Request Status**, **Reporting Entity** etc.



User Change Requests

Start Date: End Date: Request Type:

Created By: Reporting Entity: Request Status:

Change Requ	Type	Status	Org ID	Created On	Created On
RE_RP365599-(Existing User		Waiting for RE Admin to Verify 9		RE_Admin1	10/07/2013
RE_RP365597-(New User		Waiting for RE Admin to Verify 3		asdfsdfa	10/04/2013
RE_RP365596-(New User		Waiting for RE Admin to Verify 3		asdfsdfasdf	10/04/2013
RE_RP365594-(New User		Waiting for RE Admin to Verify 3		scottfulford	10/04/2013
RE_RP365592-(New User		Waiting for Supervisory Body 18		edward2	10/03/2013
RE_RP365589-(New User		Waiting for RE Admin to Verify 3		sadfajlsd	10/02/2013
RE_RP365587-(New User		Waiting for RE Admin to Verify 3		scottkladflk	10/02/2013
RE_RP365586-(New User		Waiting for RE Admin to Verify 3		asdfsdf3	10/02/2013
RE_RP365585-(undefined		Recalled		asdfjlasjlkfk	10/02/2013
RE_RP365581-(New User		Waiting for RE Admin to Verify 3		scott123	10/02/2013
RE_RP000067-1 New User		Waiting for RE Admin to Verify 4		scott_jkalsdfj	09/17/2013
RE_RP000066-1 New User		Waiting for RE Admin to Verify 3		scott_flkaf	09/17/2013
RE_RP000065-1 New User		Waiting for RE Admin to Verify 5		scott_re5_user1	09/17/2013
RE_RP000064-1 New User		Waiting for RE Admin to Verify 3		scott_elmo3	09/17/2013
RE_RP000063-1 New User		Waiting for RE Admin to Verify 3		scott_emo3	09/17/2013
RE_RP000062-1 New User		Activated	50043	sbddyuser	09/12/2013
RE_RP000061-1 New User		Activated	4	scott_fulforino	09/12/2013
RE_RP000060-1 New User		Activated	50042	scottscas	09/12/2013
RE_RP000059-1 New User		Activated	8	scott_indy231	09/12/2013
RE_RP000058-1 New User		Activated	4	scott_fulford	09/12/2013

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8.6 Org Request Management

This allows you to view all the change requests made by the organization. It is possible to filter these requests by **Type**, **Status**, **Org Name**, **Org ID**, **Agency Type**, **Created By** and **Created On**. A reporting entity who is delegated to report on behalf of other REs should first login to goAML web application. After login any RE (Reporting) should be able to fill-in a new RE (Re-A) form to request delegation on behalf of that RE (RE-A). Reporting RE should be able to enter the details of other REs, without specifying the RP details. The same reporting person will be copied to be reporting for other REs as well.

1. Select the **Create New Delegating Organization** button to create a new Delegating Organization
2. You can change the Selected Delegating Organization from the drop-down menu.

Organization Change Requests

Start Date End Date Request Type

Created By Reporting Entity Request Status

Change R#	Type	Status	Org Name	Org ID	agency type	Created By	Created On
RE_RP3656	Existing Entity	Waiting for Supervisor	NewRE1	9	BANK	RE_Admin1	10/08/2013
RE_RP3656	Existing Entity	Recalled	NewRE1	9	BANK	RE_Admin1	10/07/2013
TRNWEB00	New Org. w. Delegation	Activated	ScottyBank	50017	BANK	RE_Admin1	08/21/2013
	New Entity	Activated	NewRE1	9	BANK	__sys	08/12/2013

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8.7 Reporting Statistics

This allows for an overview of all the Report Types (EFT, STR, UTR etc.) uploaded in goAML and they can be displayed in any of the available formats. The different Report Types can be filtered and displayed in a Pivot grid by **Username**, **Report Status**, **Reporting Entity** and **Agency Business Type**. The graphic representation of the data is also possible in one of the options available in the **Chart Type** drop-down. This can be used for presenting the data for analytical purposes.

