

PREDICTING SOCIAL DYNAMICS IN INTERACTIONS USING KEYSTROKE PATTERNS

ADAM GOODKIND

DEPARTMENT OF COMMUNICATION STUDIES

PHD PROGRAM IN MEDIA, TECHNOLOGY, AND SOCIETY

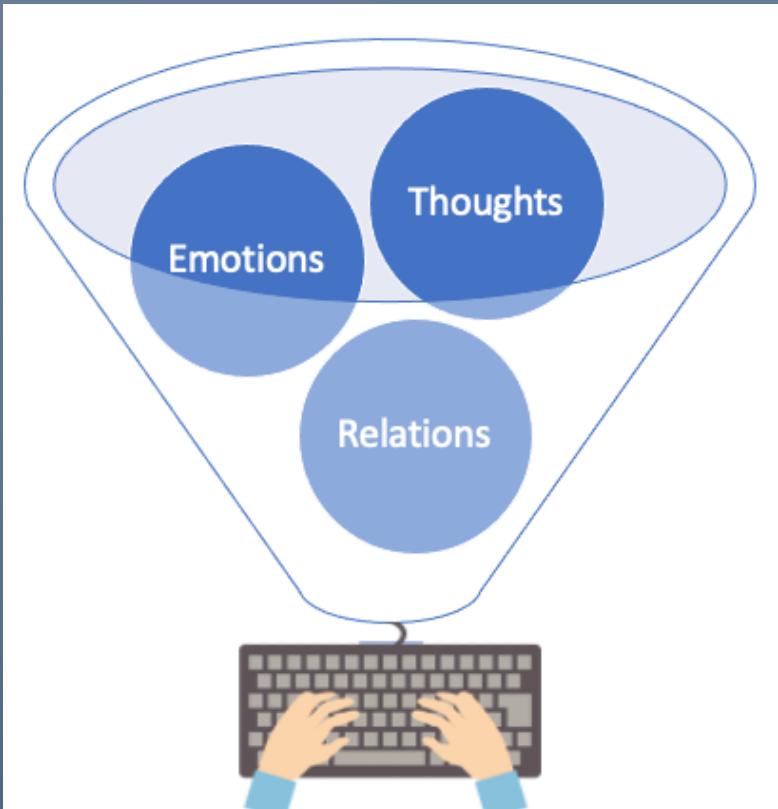
COMMITTEE: PROF. DARREN GERGLE (CHAIR), PROF. ANNE MARIE PIPER, PROF. DAVID-GUY BRIZAN



OUTLINE

1. Motivation
2. Research Questions
3. Data Collection
4. Background Work
5. Studies 1, 2, and 3
6. Future Directions and Possibilities

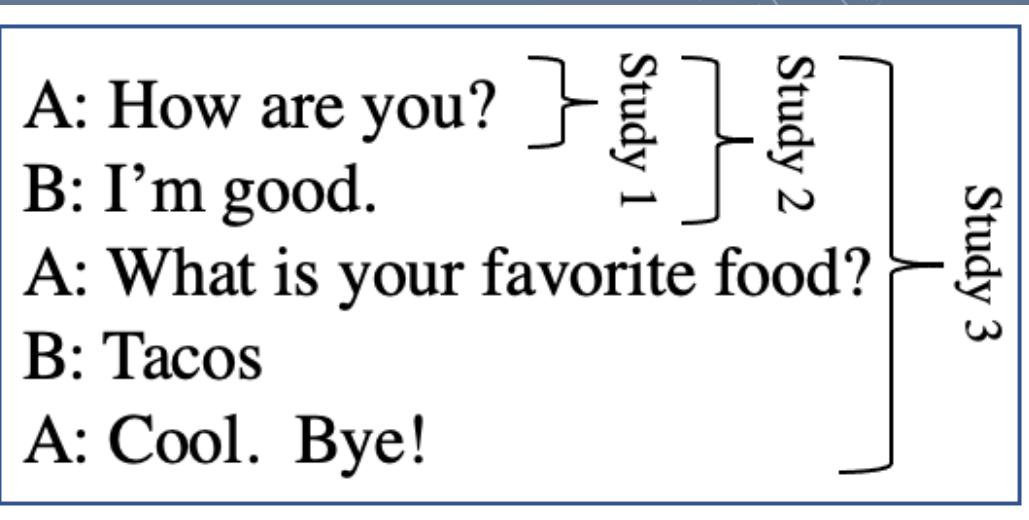
OVERALL – MOTIVATION



- Advance *affective computing* by understanding not just the literal words of a user, but their emotional content as well (Picard, 2000)
- Make text-based conversations more multi-dimensional
- Improve experiences like virtual healthcare (telehealth) and remote work

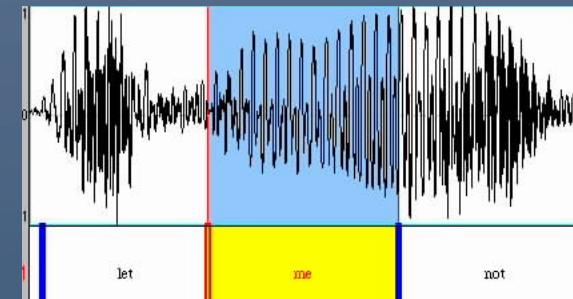
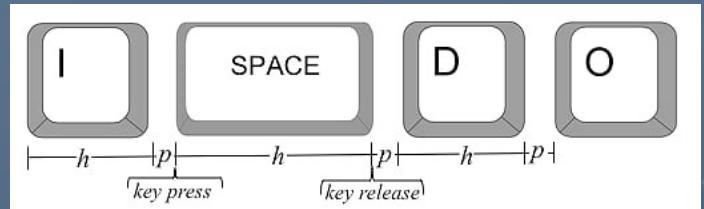
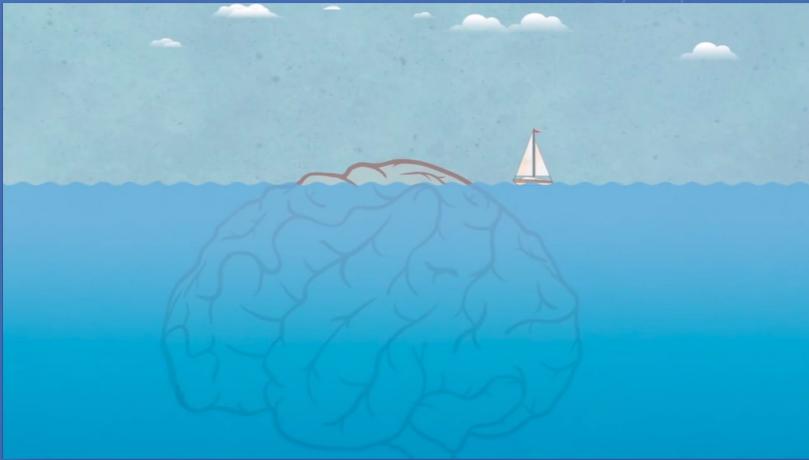
OVERALL – INTRODUCTION

- Conversation analysis at 3 levels
 - Study 1 – Individual utterances
 - Study 2 – Adjacency pairs
 - Study 3 – Entire conversation
- Model the relationship between underlying intentions and keystroke timing



KEYSTROKE DYNAMICS

- *Keystroke dynamics* - detailed timing information about typing, when every key was pressed and released, to understand the manner and rhythm of keystroke production
- Why is it interesting?
 - Language production is a window onto the mind
 - Typing is precise and relatively easy to measure as compared to speech



OVERALL – RESEARCH QUESTIONS

Study 1

Can keystrokes detect the function of an utterance, e.g., whether it's functioning to clarify previous context or advance the conversation?

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-
- Study 2 Can keystrokes detect sentiment changes between messages?
 Are keystrokes sensitive to the sentiment of a specific utterance and the overall opinions?

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Study 1

Can keystrokes detect the function of an utterance, e.g., whether it's functioning to clarify previous context or advance the conversation?

Study 2

Can keystrokes detect sentiment changes between messages?
Are keystrokes sensitive to the sentiment of a specific utterance and the overall opinions?

Study 3

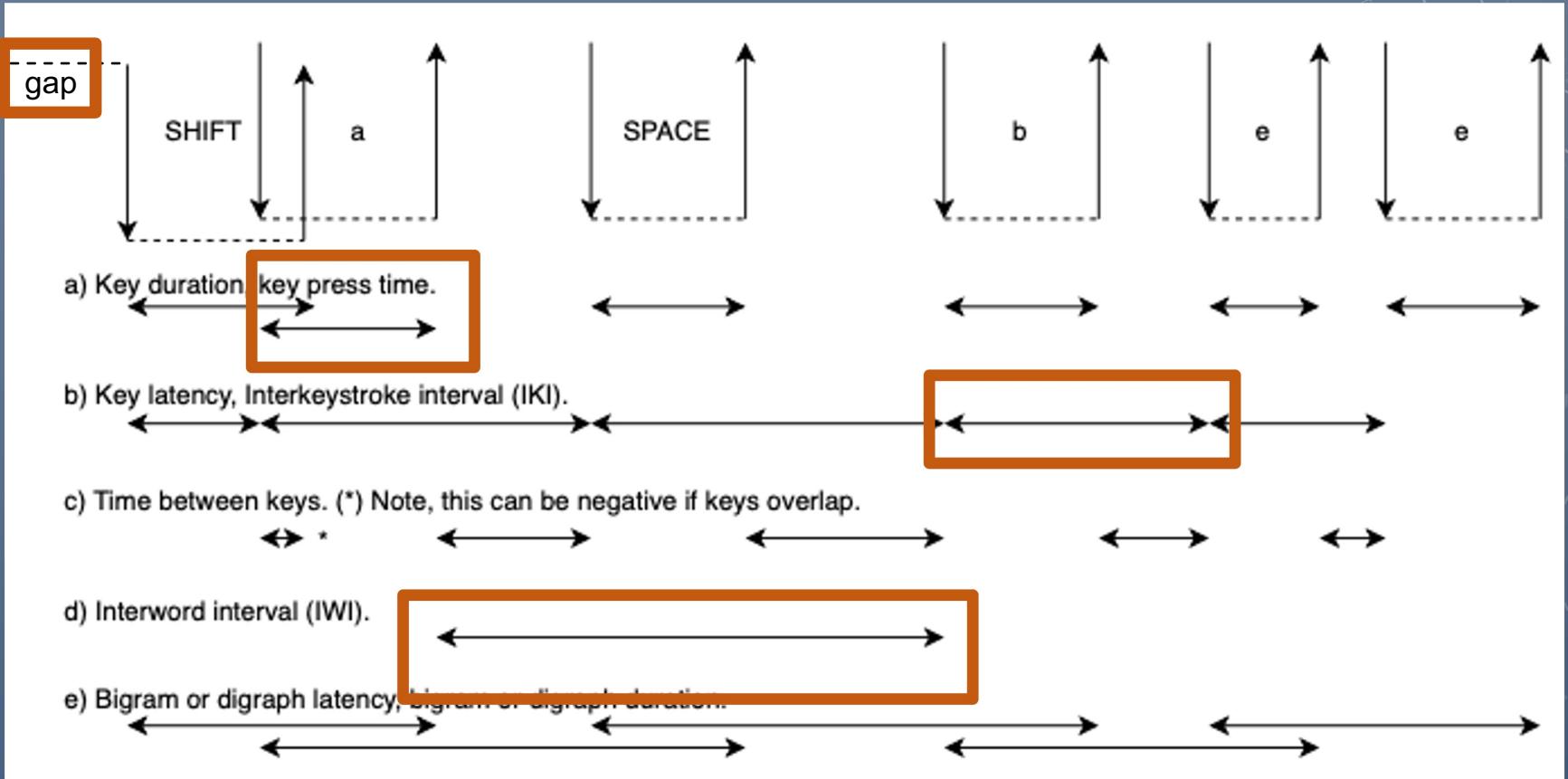
Can keystrokes predict when users feel a low level of rapport with their partner?

KEYSTROKE FEATURES

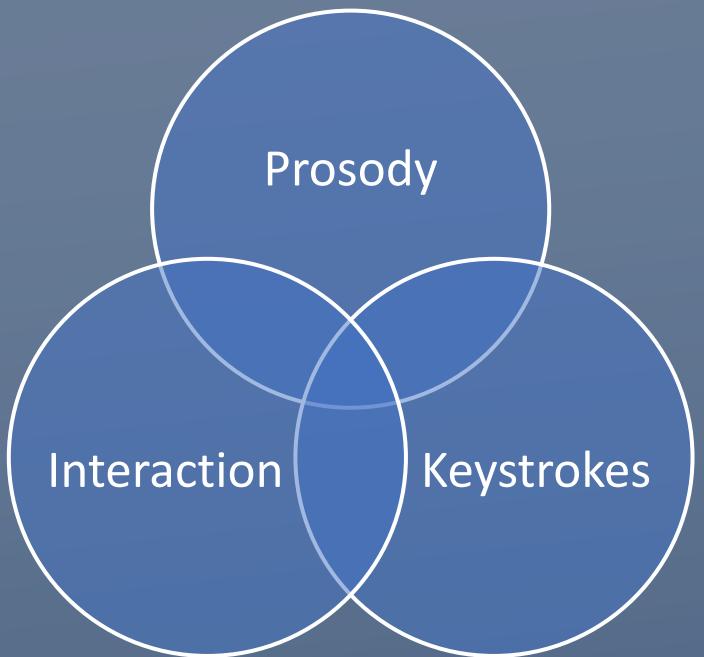
“A bee”

KEYSTROKE FEATURES

“A bee”



BACKGROUND WORK



- *Speech prosody* - the patterns of stress and intonation in a language
- Prosody is determined by a number of social factors (Pierrehumbert & Hirschberg, 1990)
- The vast majority of prosody-related work studies *explicit* prosody
- Study typing using *implicit* or *silent* prosody (Fodor, 2002)
- Keystroke timing has been shown correspond to speech timing at both the syllable level and syntactic unit level (Ballier, et al., 2019; Goodkind & Rosenberg, 2015; Plank, 2016)
- My thesis looks at keystrokes as an element of an interaction, and how this reflects not only the user themselves, but the relationship between partners

DATA COLLECTION

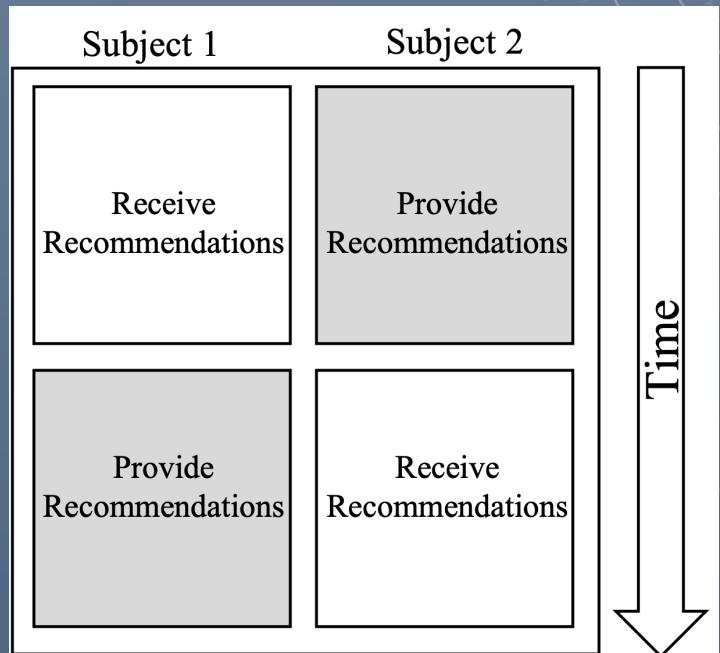
Goal: Elicit strong opinions in a conversation

Procedure:

- Discussed movie and TV show recommendations for 16 minutes
 - 1st half: Subject 1 received recommendations from Subject 2
 - 2nd half: Switched roles, prompted to discuss different genre
- Followed by questionnaire asking participant to rate aspects of their partner as well as the overall conversation

Dataset

- 102 conversations
- ~4,800 messages
- ~327,000 keystrokes



STUDY 1 – DIALOGUE ACTS

A: How are you? B: I'm good.

A: What is your favorite food? B: Tacos

A: Cool. Bye!

The diagram illustrates the grouping of dialogue acts. The first two acts, "A: How are you?" and "B: I'm good.", are bracketed together under the heading "Study 1". The third act, "A: What is your favorite food?", is bracketed under "Study 2". The final two acts, "B: Tacos" and "A: Cool. Bye!", are bracketed together under the heading "Study 3".

STUDY 1 – DIALOGUE ACTS

A: How are you? [Study]

STUDY 1 – DIALOGUE ACTS BACKGROUND

- Models the conversational function an utterance can perform (Ivanovic, 2005)

Albert: *She works at Apple.*

Backward

Forward

Beth: *Who works at Apple?* Beth: *And she enjoys kayaking.*

- Different dialogue acts have different amounts of cognitive complexity (Gnjatović, 2013)
- Better dialogue act classification can lead to better human-computer interactions, such as improved experiences with chatbots (Bawden et al., 2016)

STUDY 1 – DIALOGUE ACTS METHODOLOGY

- Dialogue act classification performed in 2 ways
 - Automatically classified
 - I used the DialogTag library
 - Manually coded (considered “gold standard”)
 - Performed by a research assistant and me
- Approximately 15% of labels were different

STUDY 1 – DIALOGUE ACTS

EXP. 1A – DIFFERENTIATING DIALOGUE ACTS

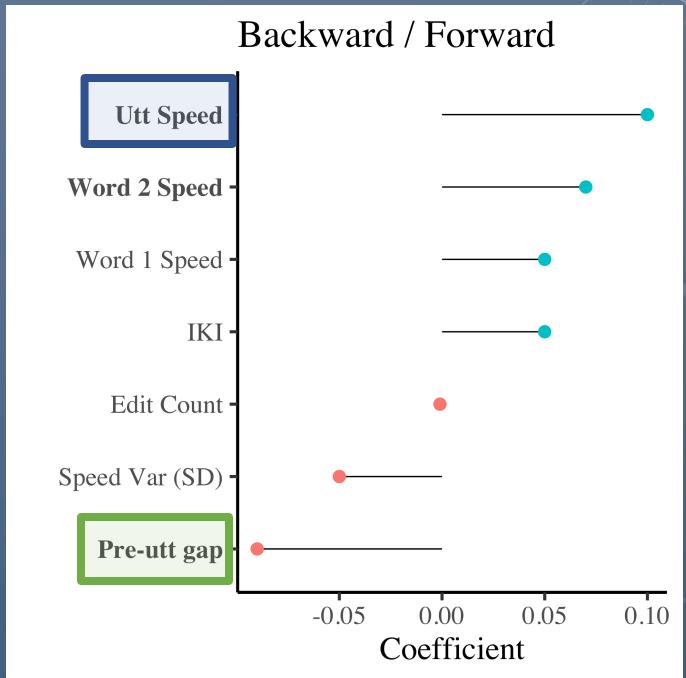
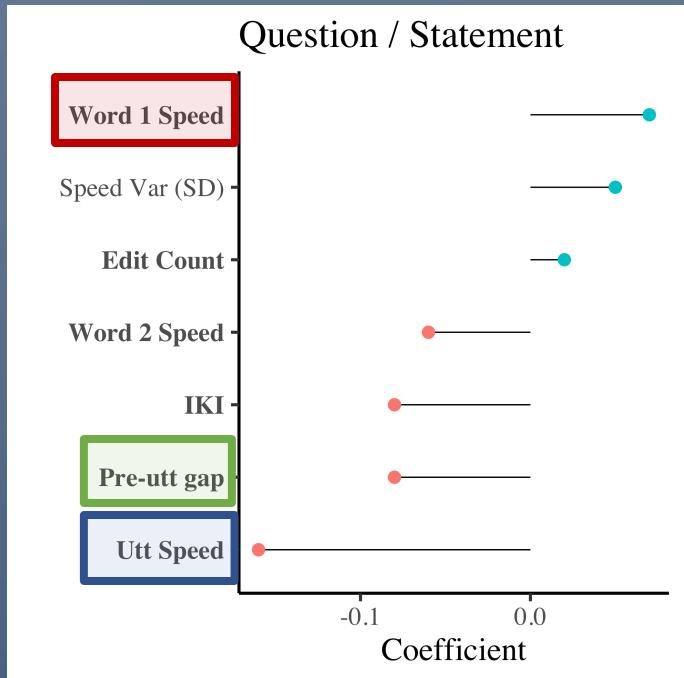
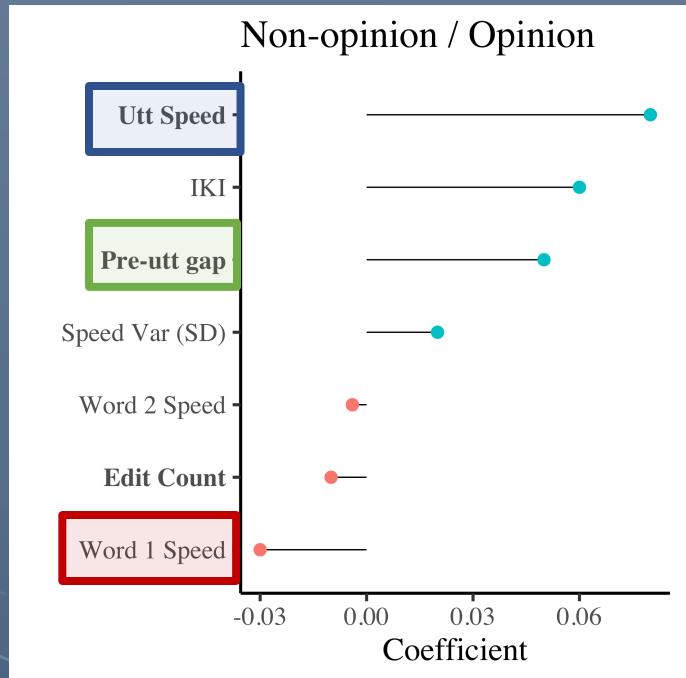
RQ 1a. Can typing patterns predict differences in pairs of dialogue acts, where each member of the pair would require a very different response?

- Binary classifications
 - Non-opinion/Opinion
 - Question/Statement
 - Backward/Forward
- Keystroke metrics
 - Pre-utterance gap
 - Overall mean typing speed
 - Overall typing speed variability (SD)
 - Edit count
 - Word 1 and 2 typing speeds
 - Make early predictions?
 - Various interactions

$$\text{dialogue_act_binary} \sim \text{keystroke_metric}_1 + \dots + \text{keystroke_metric}_n + (1 \mid \text{subject})$$

STUDY 1 – DIALOGUE ACTS

EXP. 1A – RESULTS



STUDY 1 – DIALOGUE ACTS

EXP. 1B – CONSISTENCY OF TYPING METRICS WITHIN DA

RQ 1b. Does each dialogue act have a consistent set of typing patterns associated with it?

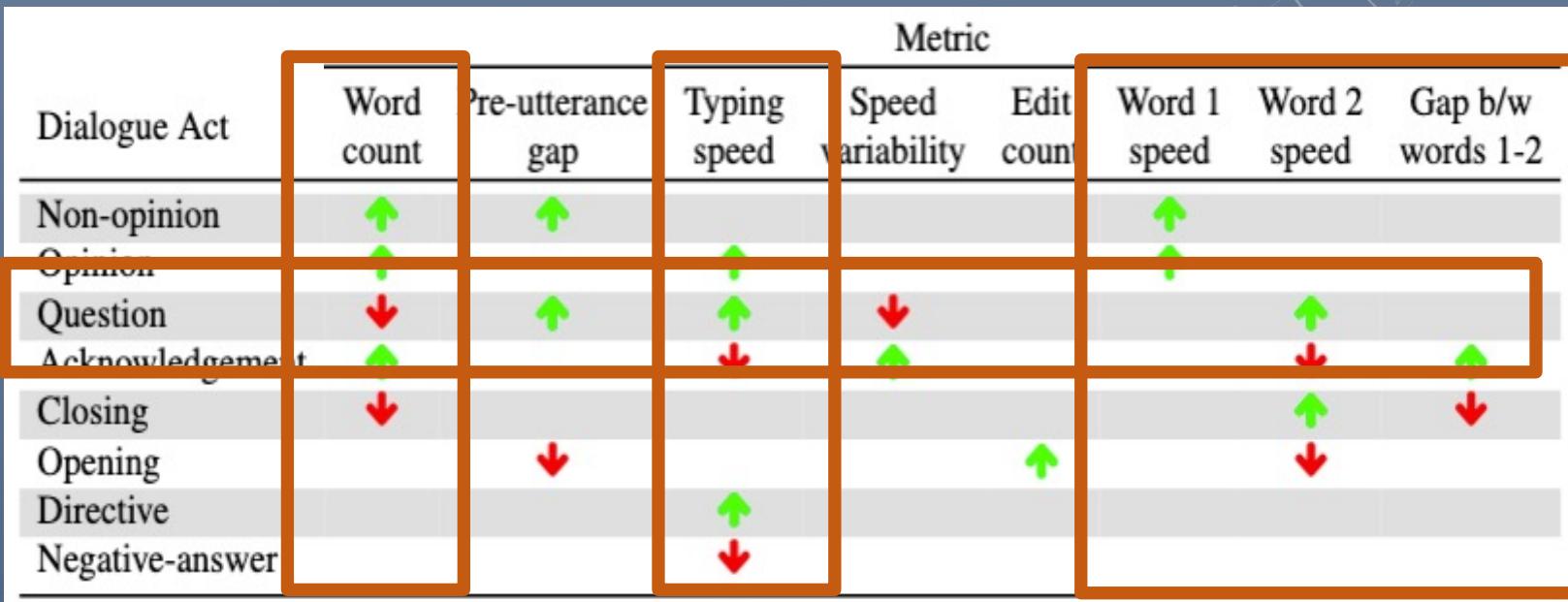
- Unlike in Exp. 1a, typing metrics do not need to be unique (just consistent within a DA)
- Used same features as Exp. 1a, and all DAs
- But flipped dependent and independent variables

$$keystroke_metric \sim dialogue_act_1^n + (1 | word_count)$$

STUDY 1B – DIALOGUE ACTS

EXP 1B - RESULTS

Dependent Variable	Dialogue act
Word count	19.57****
Utterance speed	9.55****
Edit count	6.29****
Speed variability	5.09****
Pre-utterance gap	3.89****
Word 1 - word 2 gap	1.87+
Word 1 speed	2.53*
Word 2 speed	4.45****



STUDY 1 – DIALOGUE ACTS RESEARCH QUESTIONS REVISITED

- RQ 1a. Can typing patterns predict differences in pairs of dialogue acts, where each member of the pair would require a very different response?
 - Yes
 - Differentiation of opinions and non-opinions is especially useful
- RQ 1b. Does each dialogue act have a consistent set of typing patterns associated with it?
 - Maybe
 - Supports the notion that DAs differ in cognitive complexity

STUDY 2 – SENTIMENT AND OPINIONS

A: How are you?
B: I'm good.

A: What is your favorite food?
B: Tacos

A: Cool. Bye!

The diagram illustrates the distribution of messages across three studies. Study 1 contains two messages (A: How are you? and B: I'm good.). Study 2 contains one message (A: What is your favorite food?). Study 3 contains three messages (B: Tacos and A: Cool. Bye!). Brackets on the right side group the messages by study.

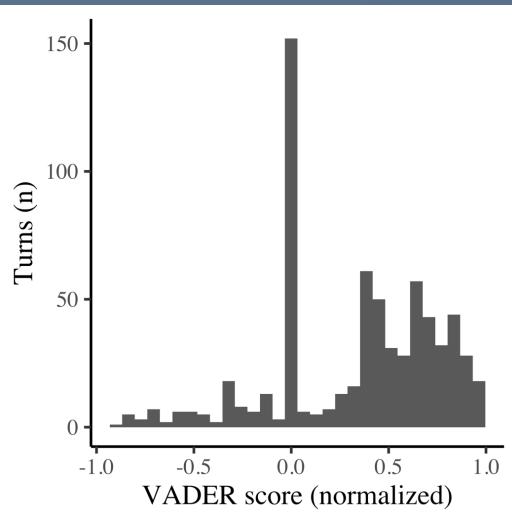
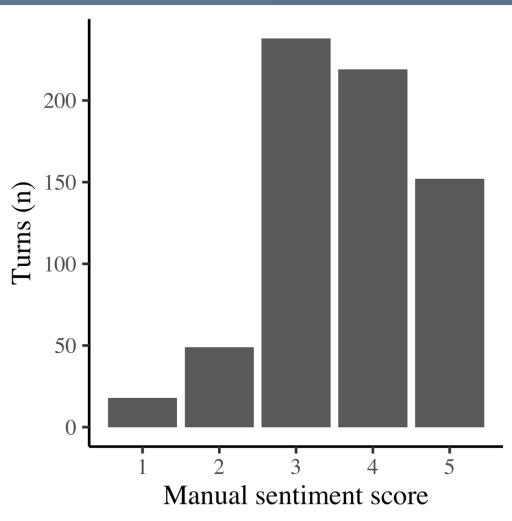
STUDY 2 – SENTIMENT AND OPINIONS

A: How are you?
B: I'm good.

Study 1 Study 2

SENTIMENT IN THE DATA

- 2 ways of labeling sentiment
 - Manually with human annotators (“gold standard”)
 - Algorithmically (used **VADER**)



	Following Utt		
Current Utt	Negative	Neutral	Positive
Negative	65%	9%	26%
Neutral	12%	68%	20%
Positive	10%	7%	83%

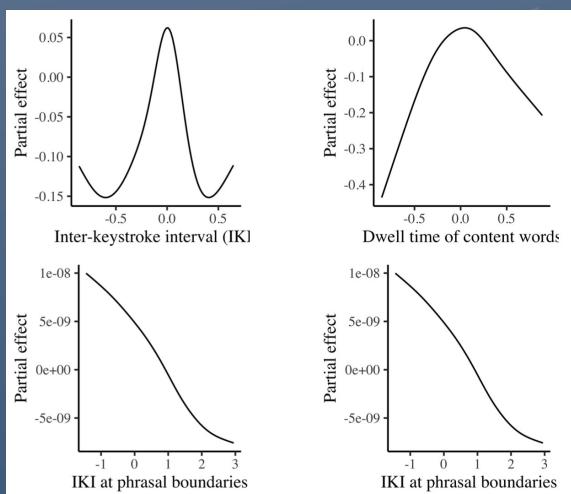
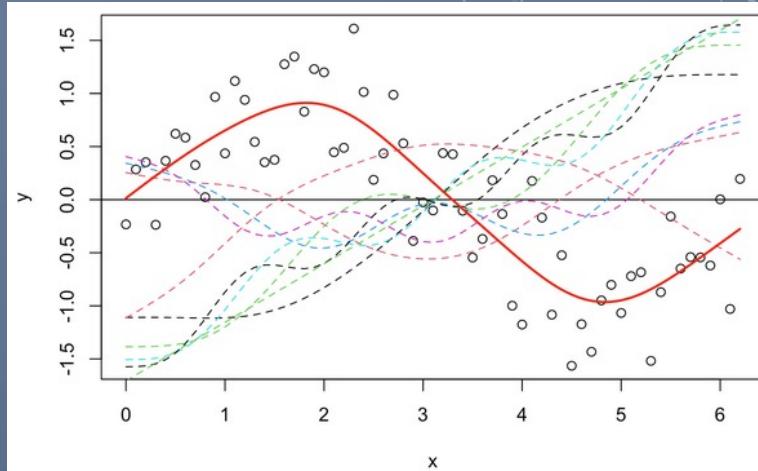
- Utterance sentiment in conversations is not independent, but is simultaneously sensitive to individual-, group-, and network-level properties (Gergle, 2017; Kenny et al., 2020)

GENERALIZED ADDITIVE MODELS: GAMs

- Generalized Additive Models (GAMs) have been used for complex sentiment detection from scant data (Qi & Li, 2014)
- Linear models ($y \sim x\beta$), but with functions instead of coefficients

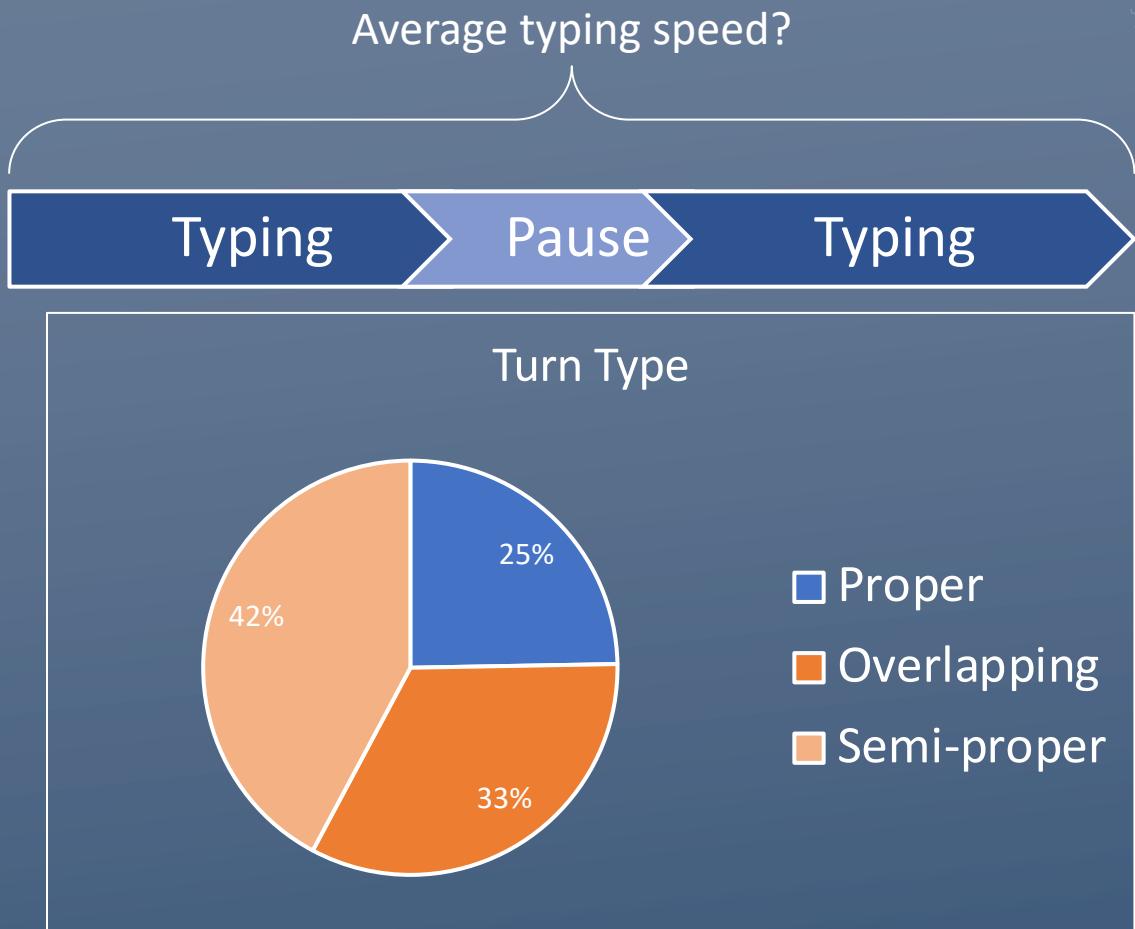
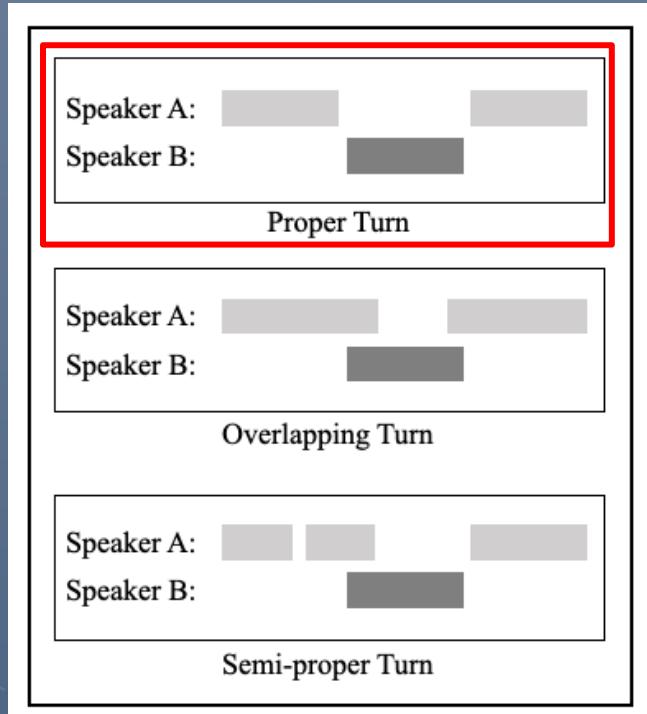
$$y = \beta_0 + x_1\beta_1 + \varepsilon, \rightarrow g(\text{E}(Y)) = \beta_0 + f_1(x_1) + f_2(x_2)$$

- Advantage: Can fit non-linear effects
- Disadvantage: Direction and magnitude of effect aren't straightforward



STUDY 2 – SENTIMENT IN DIALOGUE

TURN TYPES



STUDY 2A – SENTIMENT IN DIALOGUE METHODOLOGY

RQ 1a. Does keystroke information provide additional information about sentiment and sentiment change above lexical information?

Base: $g(E(\text{gold standard})) \sim f(VADER \text{ prediction})$

Combined: $g(E(\text{gold standard})) \sim f(VADER \text{ prediction}) + f(\text{keystroke features})$

STUDY 2 – SENTIMENT IN DIALOGUE

EXP 2A – RESULTS (4 TASKS)

Sentiment Rating



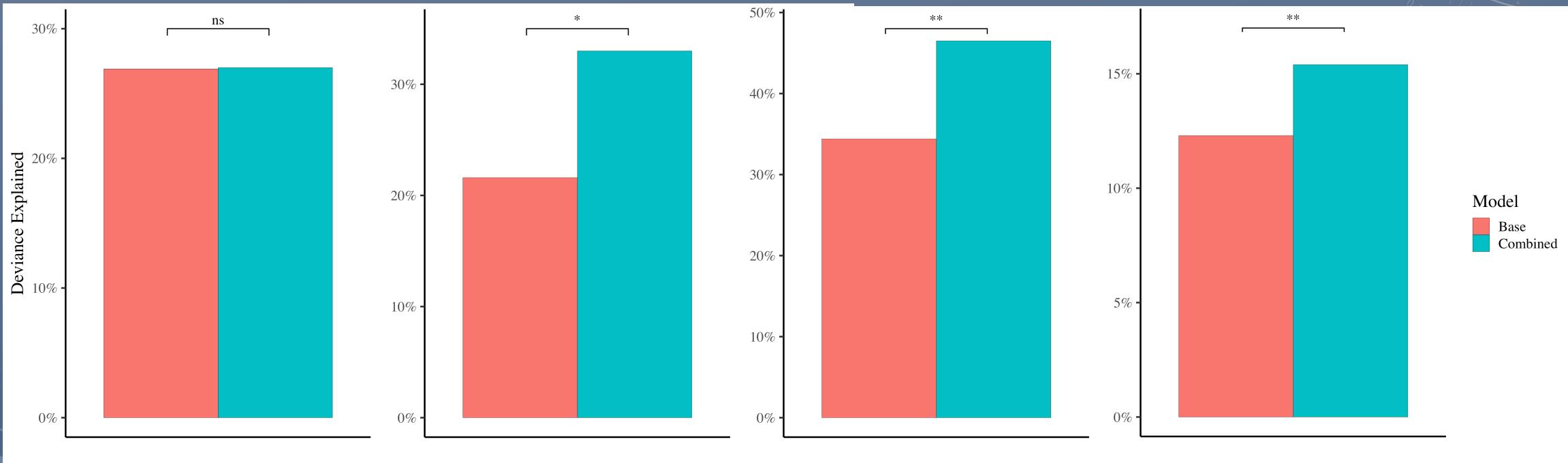
Negative v. Positive



Extreme v. Neutral



Sentiment Change



STUDY 2 – SENTIMENT AND OPINION IN DIALOGUE

EXP 2B - METHODOLOGY

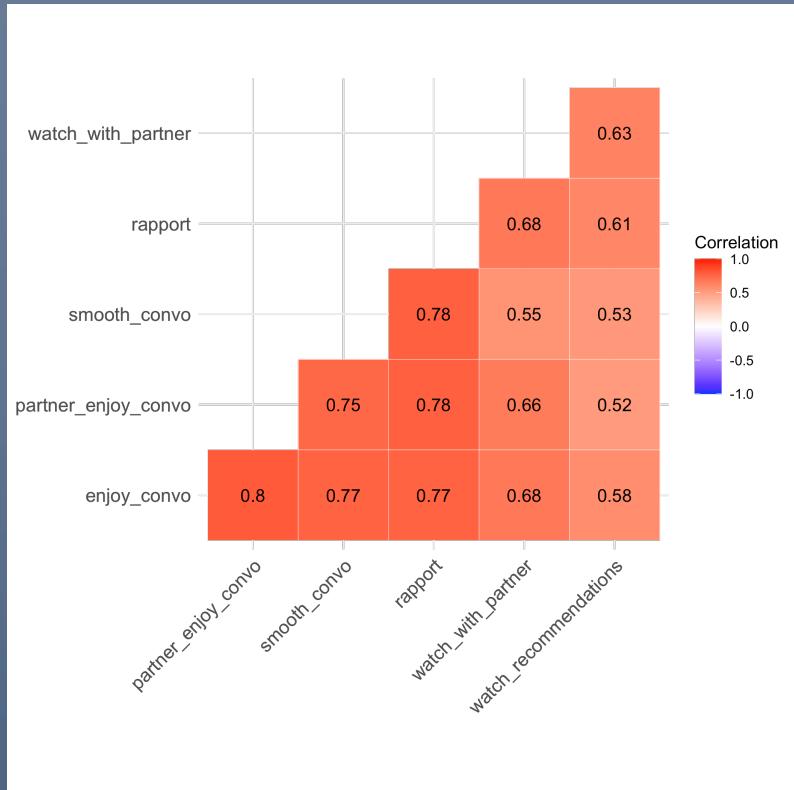
RQ 2b. Are typing patterns independently sensitive to both a user's overall opinion of their partner and the sentiment of a specific utterance?

Base: $g(E(\text{keystroke feature})) \sim f(\text{gold standard sentiment})$

Combined: $g(E(\text{keystroke feature})) \sim f(\text{gold standard sentiment}) + f(\text{opinion})$

- Flipped independent and dependent variables
- Keystroke features were the same as the predictors in Exp. 2a
- Example opinion questions (from post-conversation questionnaire):
 - *How likely are you to watch a recommendation?*
 - *How smooth do you feel the conversation was?*

STUDY 2B – SENTIMENT IN DIALOGUE RESULTS



Keystroke Metric	Significance of opinion rating
Pre-turn pause	$p < .0001$ ***
IKI	$p < .0001$ ***
Dwell time	$p = .08$ +
Edit count	$p = .09$ +
Pause before send	$p = .09$ +
Phrase boundary pause	$p = .14$
Pre-word pause	$p = .28$

STUDY 2 – SENTIMENT IN DIALOGUE RESEARCH QUESTIONS REVISITED

RQ 2a. Does keystroke information provide additional information about user sentiment and sentiment change, above lexical information?

- Yes

RQ 2b. Are typing patterns sensitive to a user's opinion of their partner, when considered independently from the sentiment of a user's utterances?

- Somewhat

STUDY 3 – LOW RAPPORT

A: How are you? B: I'm good.

A: What is your favorite food? B: Tacos

A: Cool. Bye!

The diagram illustrates the structure of the conversation. It consists of five lines of text in a white box with a blue border. The first two lines, "A: How are you?" and "B: I'm good.", are bracketed together with a brace labeled "Study 1". The next two lines, "A: What is your favorite food?" and "B: Tacos", are also bracketed together with a brace labeled "Study 2". Finally, all five lines are grouped together by a large brace on the right labeled "Study 3".

STUDY 3 – LOW RAPPORT

A: How are you? B: I'm good.

A: What is your favorite food? B: Tacos

A: Cool. Bye!

The diagram illustrates the grouping of speech acts into three studies. The first two speech acts, "A: How are you?" and "B: I'm good.", are bracketed together under the heading "Study 1". The third speech act, "A: What is your favorite food?", is bracketed under "Study 2". All three speech acts are then grouped together under the heading "Study 3".

STUDY 3 – RAPPORT IN DIALOGUE RESEARCH QUESTIONS

- a. Can typing patterns over an entire conversation be used to predict low levels of rapport between partners in an interaction?
- b. How do subsets of keystroke data compare at predicting low rapport?

STUDY 3 – RAPPORT IN DIALOGUE BACKGROUND

- Rapport is tough to define succinctly:

“...an individual’s experience of harmonious interaction with another person, often described as ‘clicking’ or ‘having chemistry’”

Tickle-Degen & Rosenthal (1990)

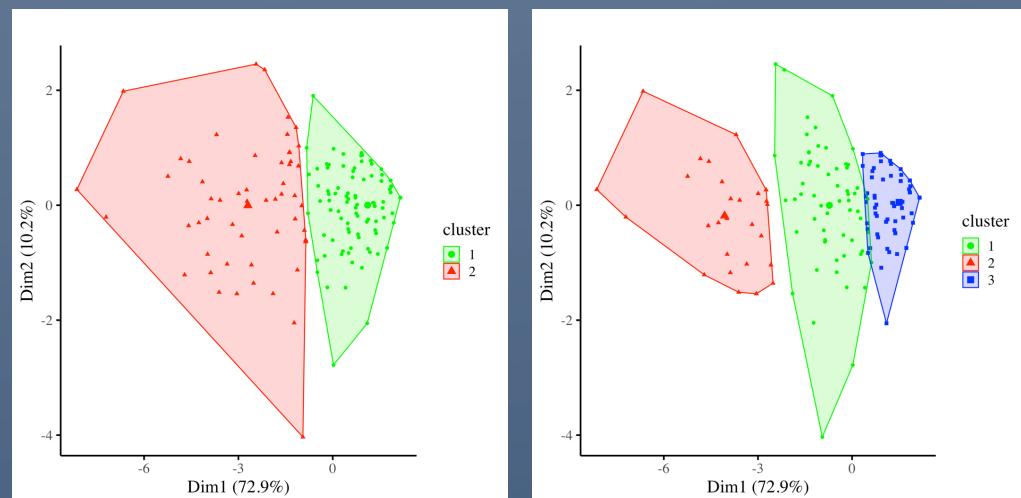
- Rapport is critical for improved cognitive function (Barnett et al., 2020)
- Rapport can be detected from very thin slices of an interaction (Carney et al., 2007)

STUDY 3 – PREDICTING RAPPORT LEVELS CLUSTERING THE PARTICIPANTS

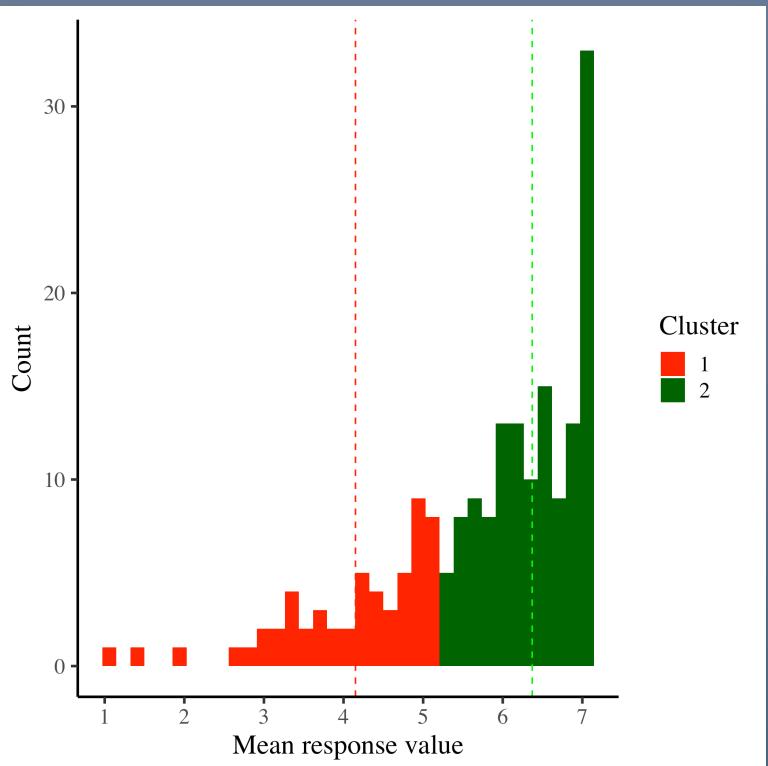
- Created a 6-dimensional vector from questionnaire ratings
- An ensemble of distance metrics recommended 2 clusters

$$\overrightarrow{rapport} = [5, 6, 7, 5, 4, 5]$$

Watch? Enjoy?



STUDY 3 – PREDICTING RAPPORT LEVELS CLUSTERING THE PARTICIPANTS

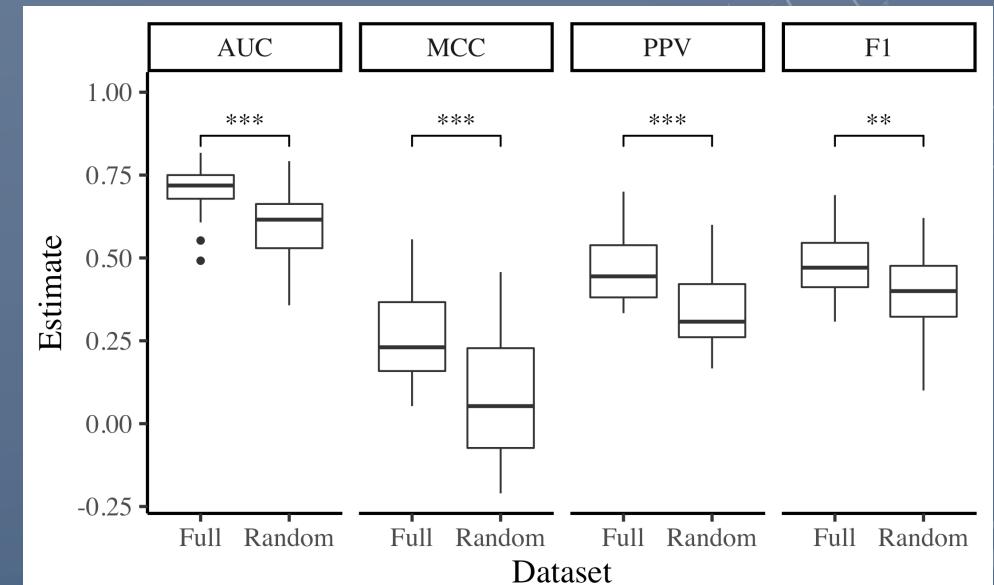
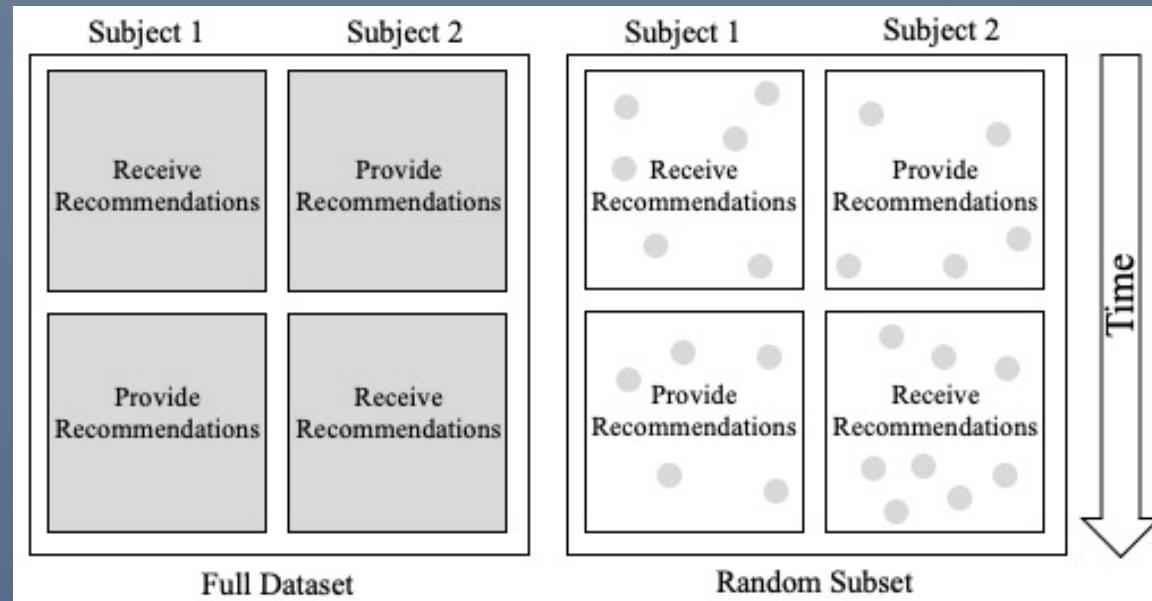


- Cluster characteristics
 - Low-mid rapport
 - 56 subjects (of 192)
 - High rapport
 - 136 subjects (of 192)
 - Mean questionnaire rating: 6.38 (of 7)

STUDY 3 – RAPPORT IN DIALOGUE METHODOLOGY – MODEL AND METRICS

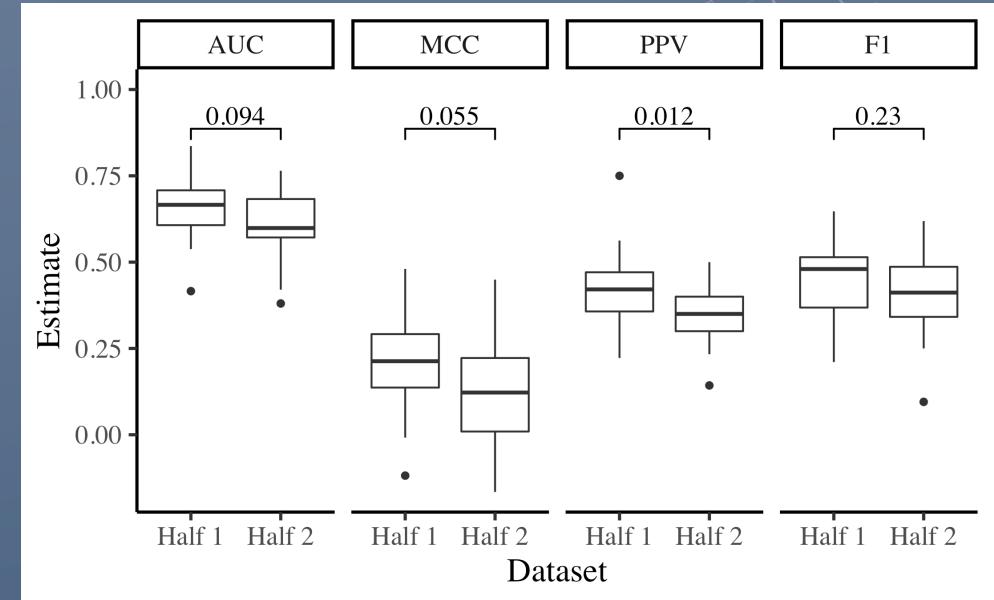
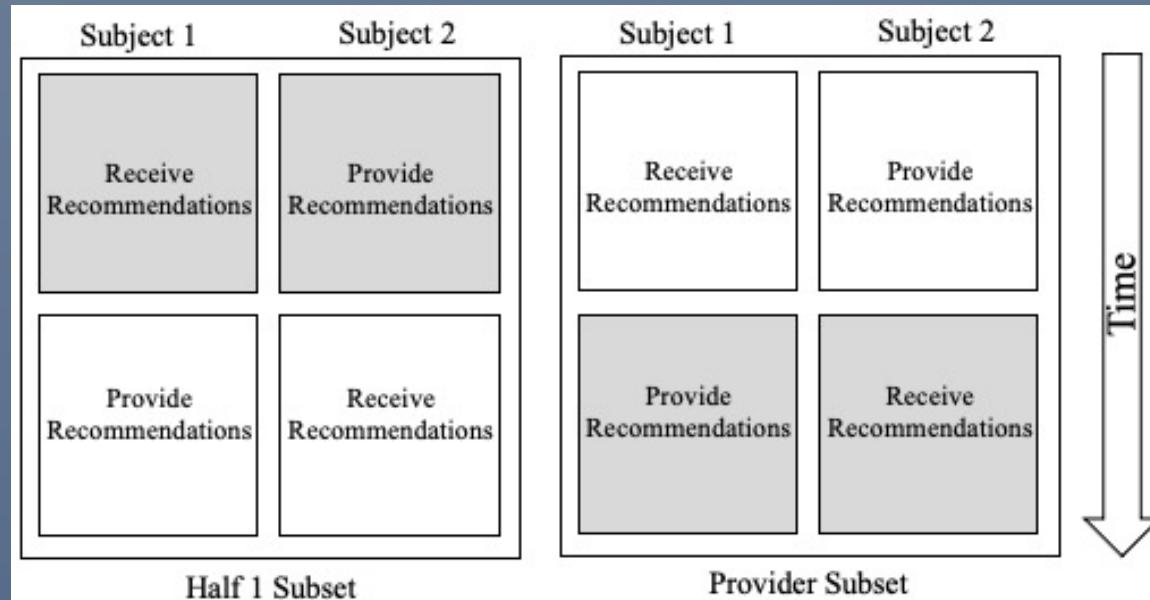
- Tested a random forest, boosted tree, and neural network
 - A multilayer perceptron, with 10 hidden units performed best on a validation set
- Metrics were selected for their sensitivity to correct predictions of the minority class (~~low rapport~~)
 - ~~Accuracy~~ – Would be dominated by the majority class
 - Area under the ROC curve (AUC)
 - Matthews Correlation Coefficient (MCC)
 - Positive Predictive Value (PPV)
 - F1 Score

STUDY 3 – PREDICTING RAPPORT FULL DATASET VS RANDOM SUBSET



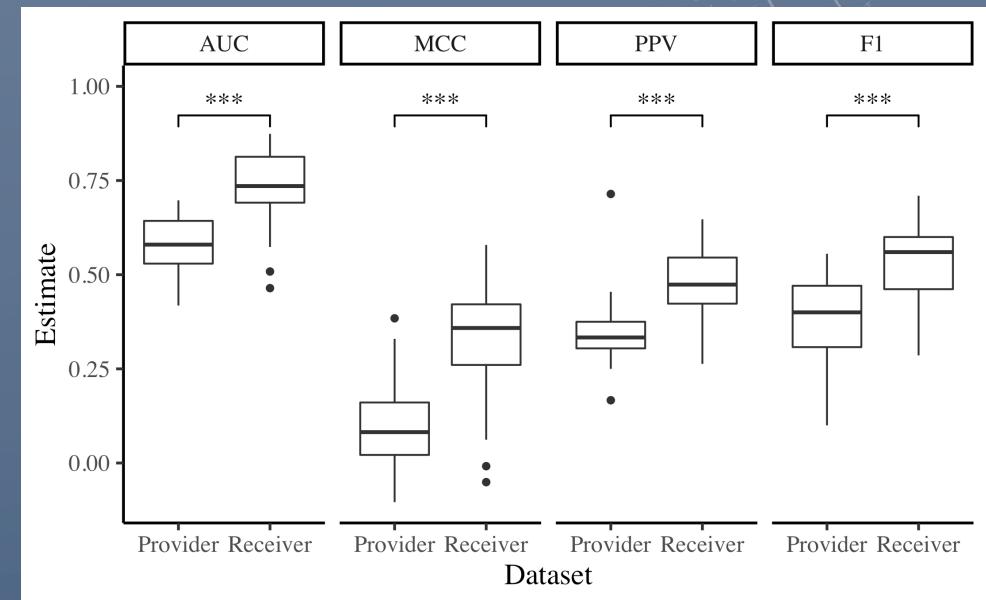
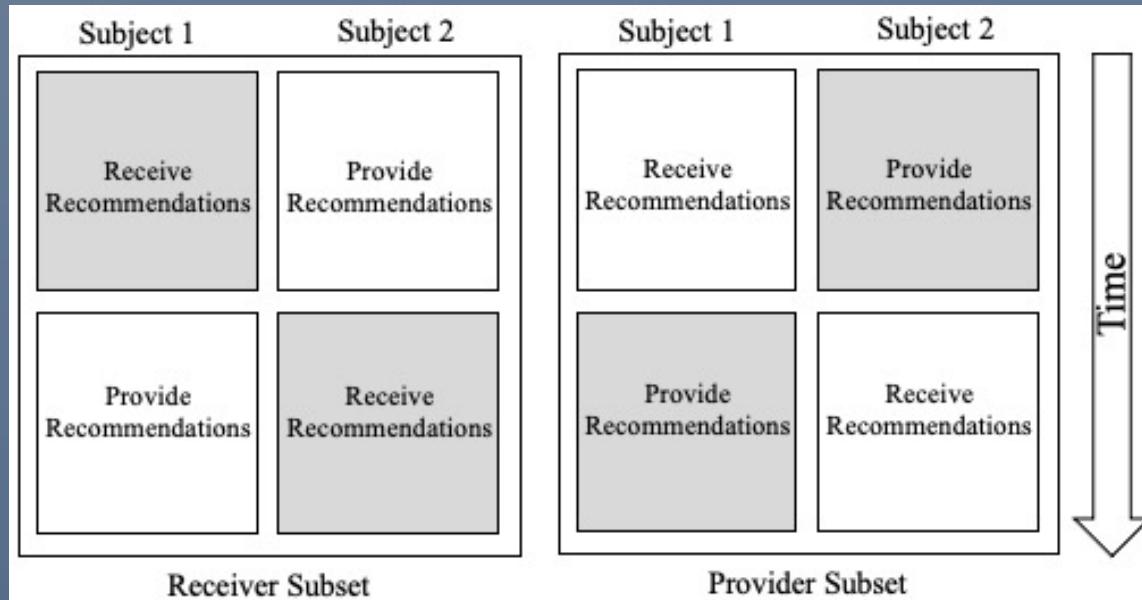
- Randomization needs to be redone using repeated subsampling

STUDY 3 – PREDICTING RAPPORT 1ST HALF VS 2ND HALF SUBSET



- Temporal halves not significantly different
- But first impressions matter (Tolmeijer et al., 2021)

STUDY 3 – PREDICTING RAPPORT PROVIDER VS RECEIVER SUBSET

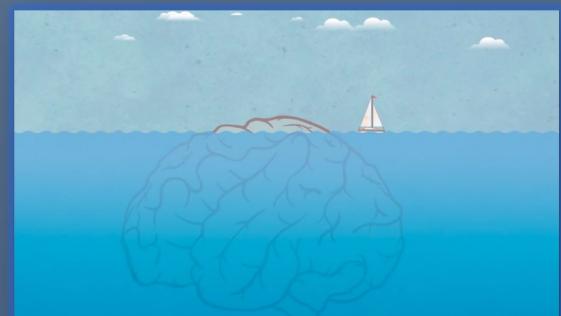
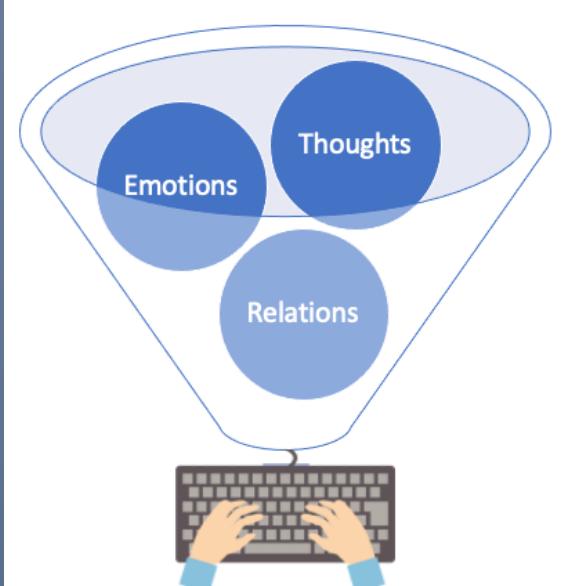


- Intriguing how much more useful receiver is versus provider
- Also extremely useful for the larger aim of my thesis

OVERALL

OVERALL TAKEAWAYS

- Keystroke patterns are:
 - Complex
 - Associated with different underlying intentions, where those intentions may not be evident from word choice alone.
- Evidence that prosody is also realized implicitly, not just for a partner to hear
- Combining keystrokes and HCI holds a lot of possibilities



FUTURE DIRECTIONS AND POSSIBILITIES

- Human-to-Human
 - Visualizing typing to make it useful
- Human-to-Computer
 - Augment lexical information for computer agents (chatbots)
 - Ethical implications must be accounted for when using keystroke data

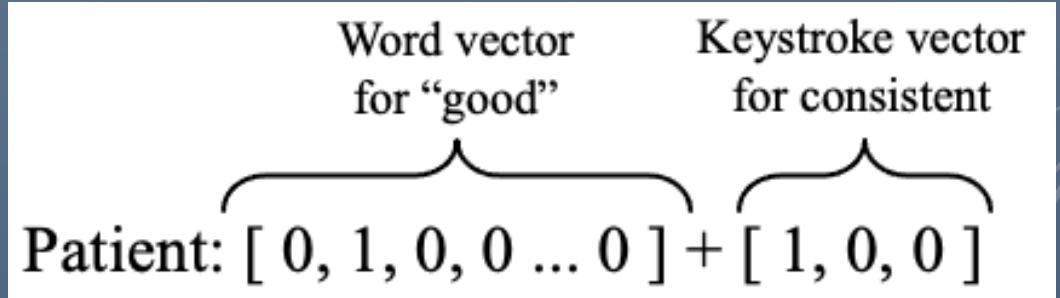
Doctor: How are you feeling?

Patient: I feel pretty good.

vs

Doctor: How are you feeling?

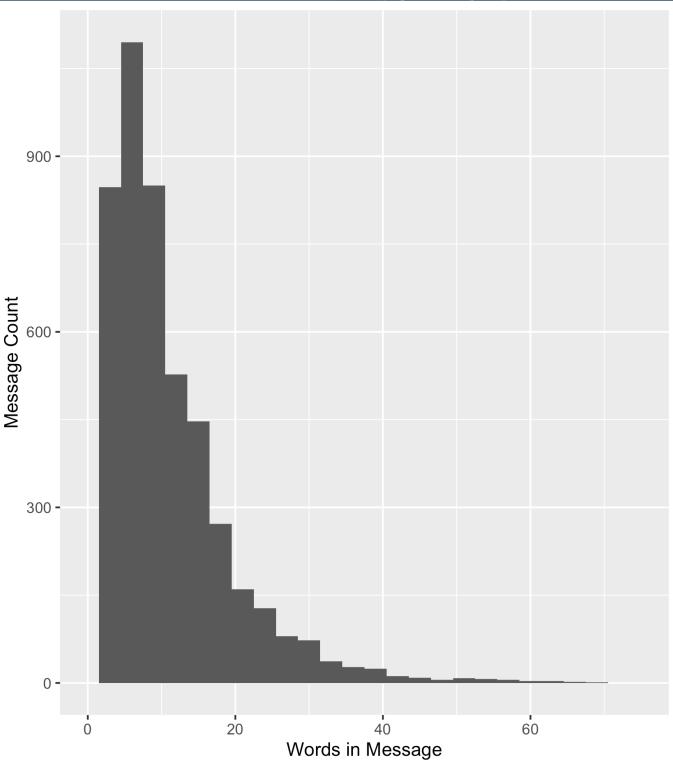
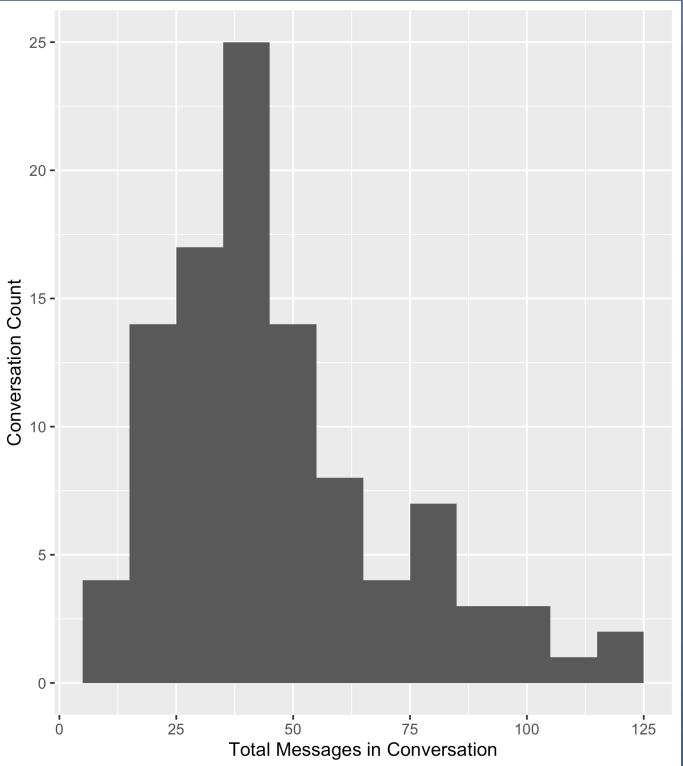
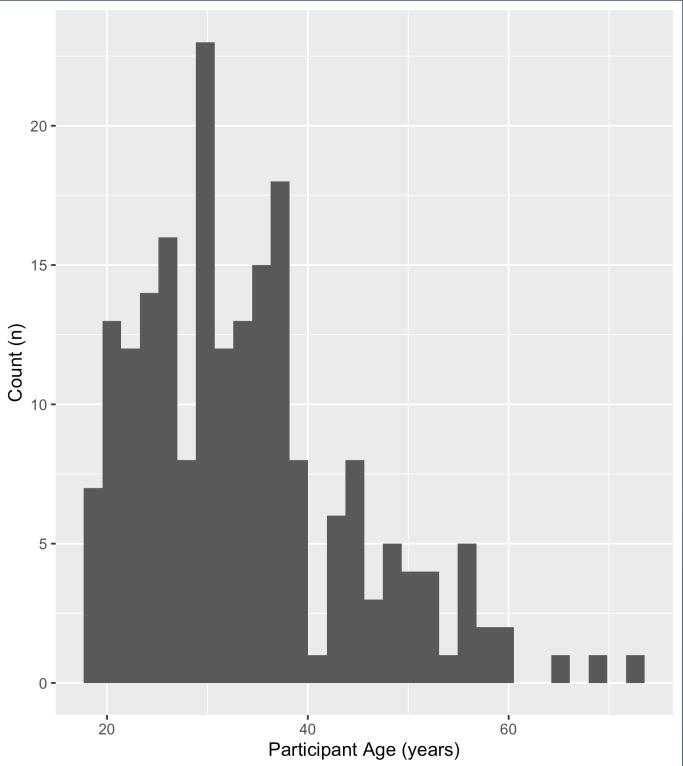
Patient: I feel pretty good.



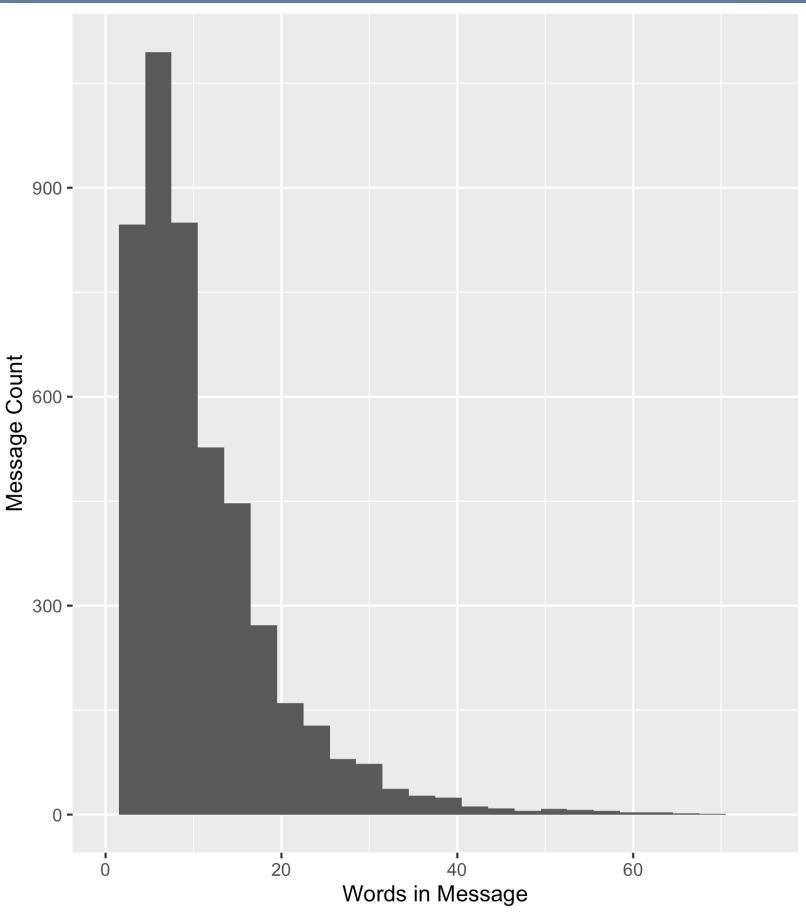
Thank you!

- Research assistant: Elana Laski
- Committee: Darren Gergle, Anne Marie Piper, David-Guy Brizan
- Members of the CollabLab and Language & Computation Lab

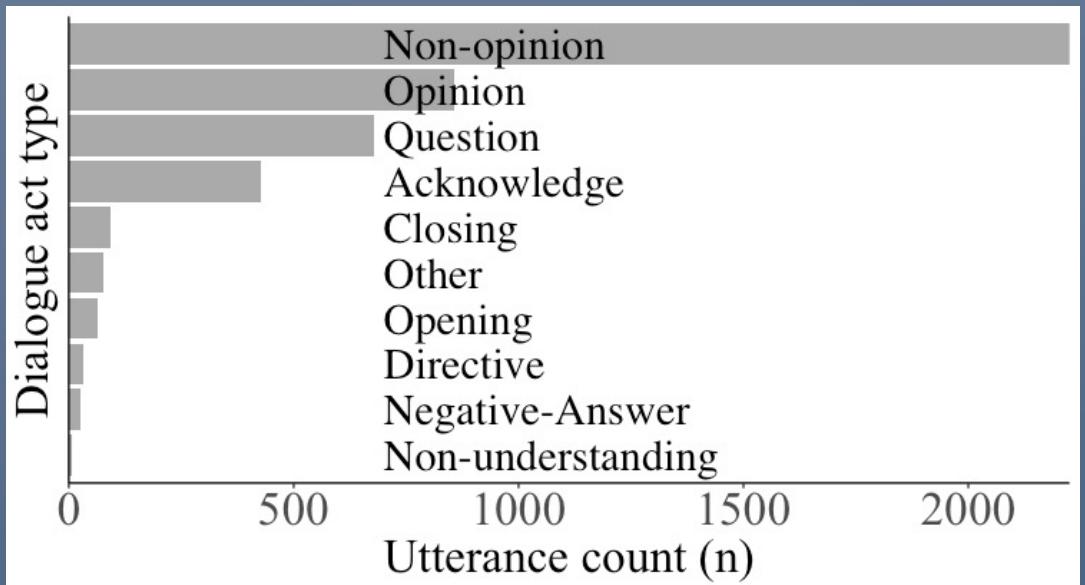
OVERALL – DATA COLLECTION



OVERALL - UTTERANCE LENGTHS

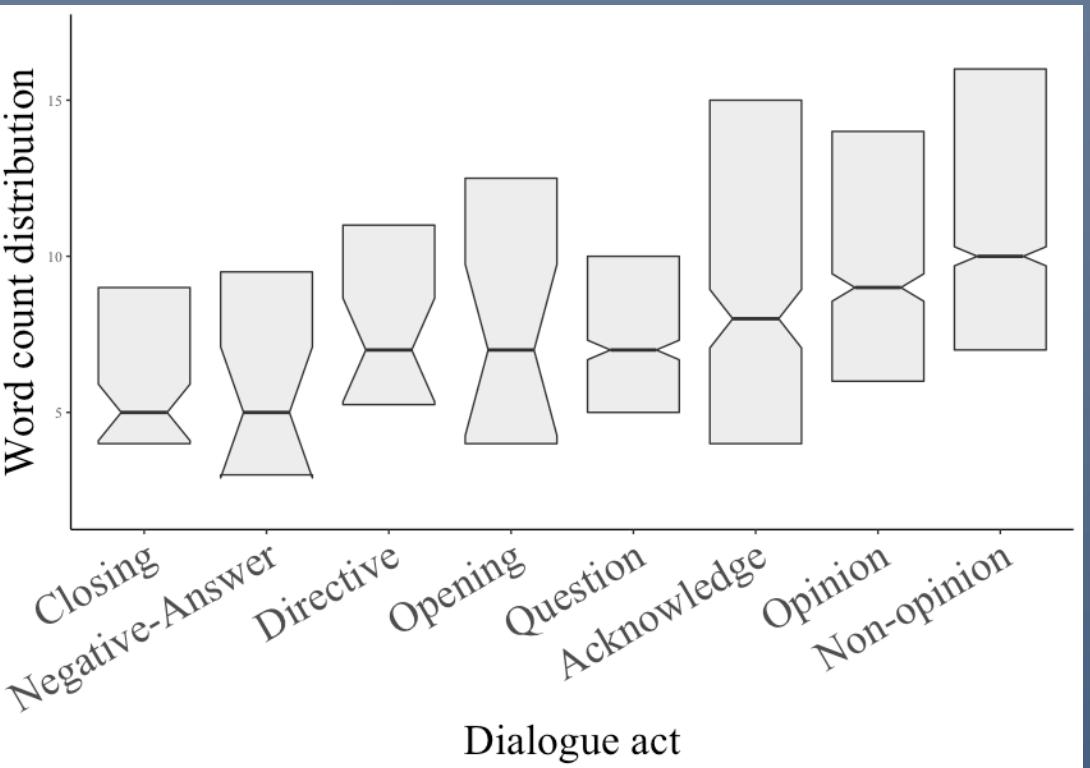


STUDY 1 – DIALOGUE ACTS DISTRIBUTION AND EXAMPLES

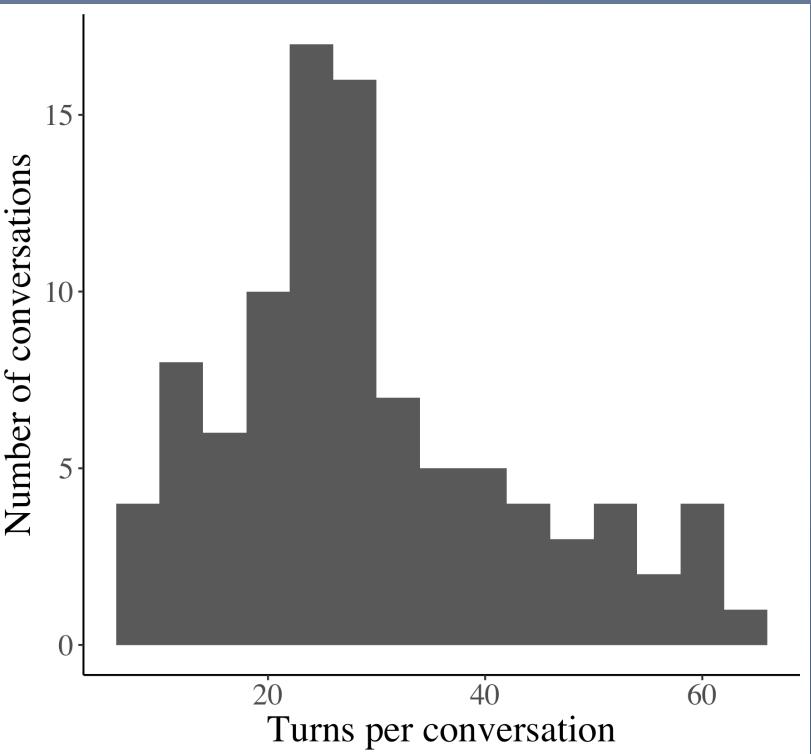


Dialogue Act	Example
Non-opinion	<i>It's on Netflix</i>
Opinion	<i>The whole premise is so good!</i>
Acknowledge	<i>Oh definitely.</i>
Directive	<i>Check out the trailer</i>
Negative-Answer	<i>No, not really</i>
Non-understanding	<i>Who?</i>

DIALOGUE ACT WORD COUNTS

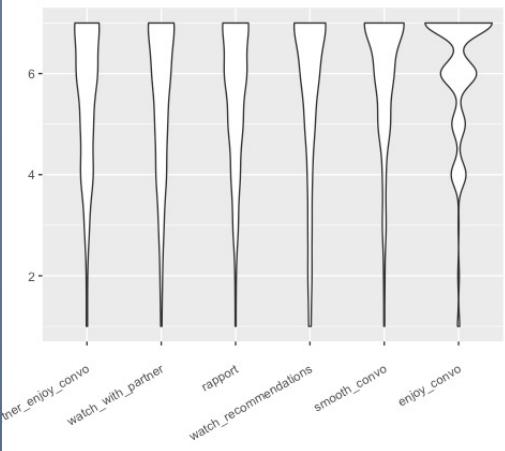


STUDY 2 – TURNS PER CONVERSATION



STUDY 2: OPINIONS

- Opinion questions
 - **To what degree did you enjoy the conversation?**
 - To what degree did the conversation go smoothly?
 - Hypothetically, how much do you think you'd enjoy watching a movie with your partner?
 - How would you rate the level of rapport established between you and your partner?
 - How likely do you think it is that you'll end up watching one of the movies your partner recommended?
 - To what degree do you think your partner enjoyed chatting with you? **(self-awareness)**

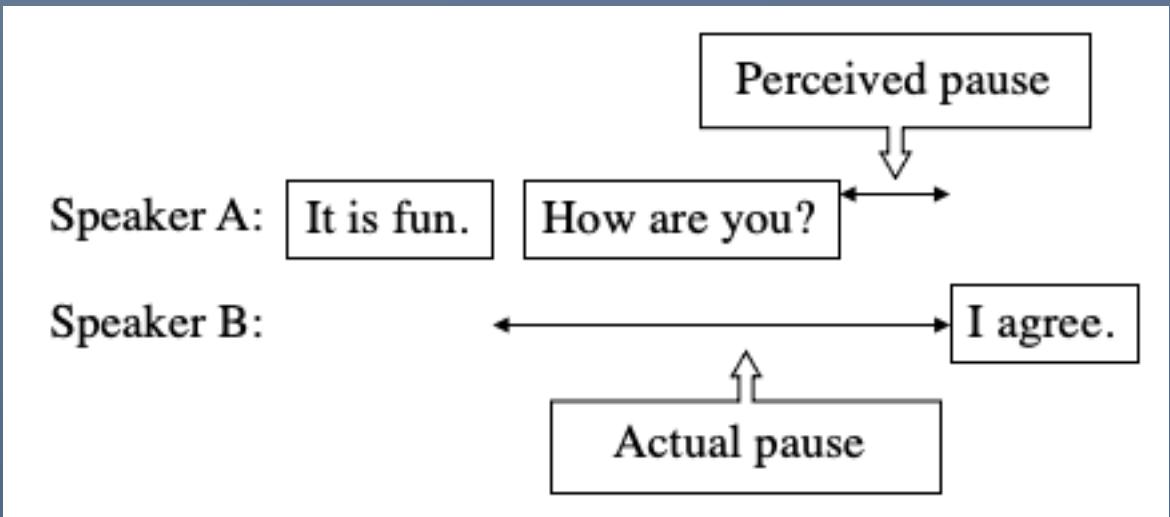


STUDY 2B – SENTIMENT IN DIALOGUE RESULTS

Keystroke Feature	Opinion Question						
	Watch with partner	Smooth convo	Enjoy convo	Watch recommendations	Rapport	Mean	Self-opinion
Pre-turn pause	$p = 0.38$	$p = 0.78$	$p = 0.12$	$p = 1.0$	$p = 0.85$	$p < 0.0001^{***}$	$p = 0.62$
IKI	$p = 0.20$	$p < 0.0001^{***}$	$p < 0.0001^{***}$	$p = 0.11$	$p < 0.0001^{***}$	$p < 0.0001^{***}$	$p = 0.16$
Dwell	$p = 0.09^{\dagger}$	$p < 0.0001^{***}$	$p < 0.0001^{***}$	$p = 0.18$	$p < 0.0001^{***}$	$p = 0.08^{\dagger}$	$p = 0.17$
Edit ct	$p = 0.01^*$	$p = 0.15$	$p = 0.38$	$p = 0.08^{\dagger}$	$p = 0.09^{\dagger}$	$p = 0.09^{\dagger}$	$p = 0.07^{\dagger}$
Pre-word pause	$p = 0.19$	$p = 0.10$	$p = 1.0$	$p < 0.0001^{***}$	$p = 0.32$	$p = 0.28$	$p = 0.29$
Boundary pause	$p = 0.22$	$p = 0.08^{\dagger}$	$p = 0.43$	$p < 0.0001^{***}$	$p = 1.0$	$p = 0.14$	$p = 0.13$
Before send pause	$p = 0.98$	$p = 1.0$	$p = 1.0$	$p < 0.0001^{***}$	$p = 0.11$	$p = 0.10$	$p < 0.0001^{***}$

Signif. codes: *** – $p < 0.001$, ** – $p < 0.01$, * – $p < 0.05$, † – $p < 0.1$

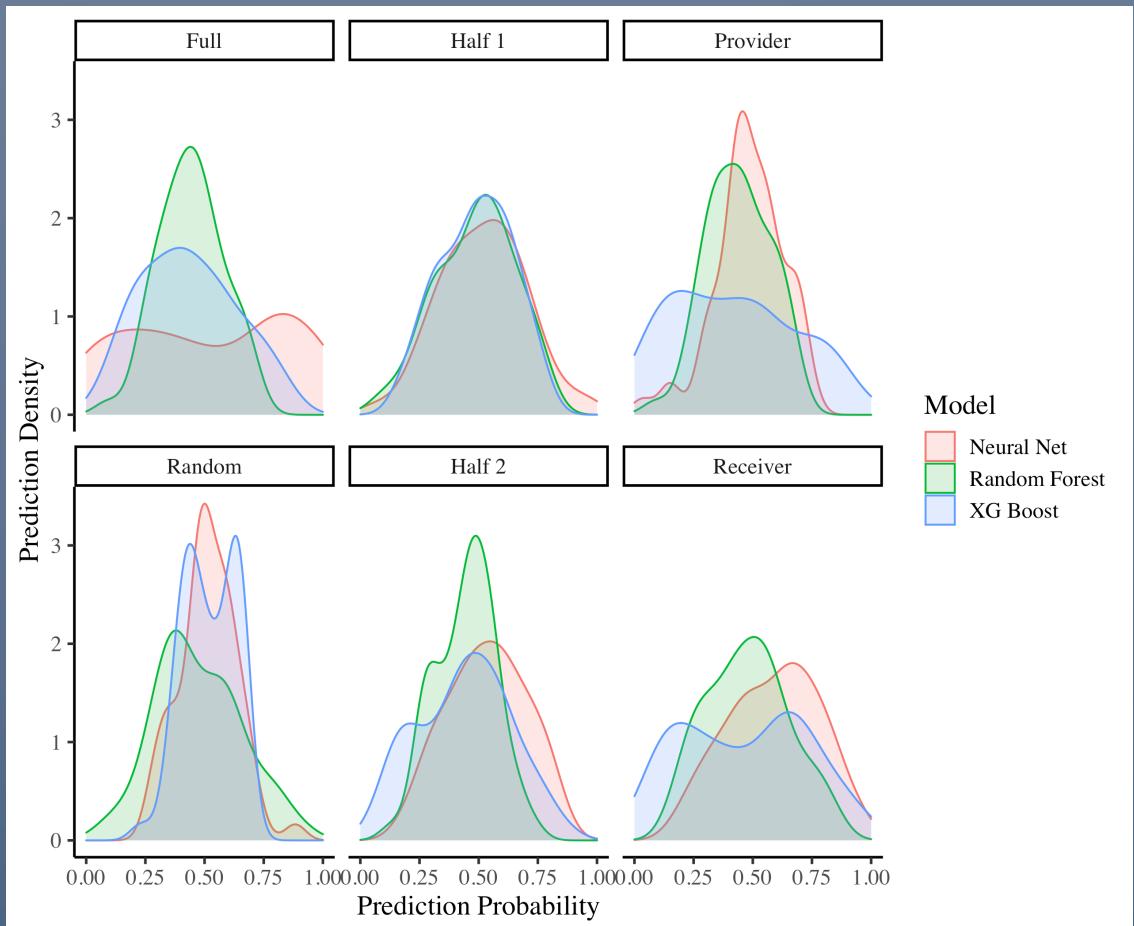
STUDY 2 – SENTIMENT IN DIALOGUE



STUDY 3 – RAPPORT IN DIALOGUE METHODOLOGY – METRICS

- Area under the ROC curve (AUC) – The proportion of true-positives to false-positives
- Matthews Correlation Coefficient (MCC) – A numeric representation of an entire confusion matrix: all 4 quadrants need to be accurate
- Positive Predictive Value (PPV) – The proportion of positive cases (*actual low rapport*) against the predicted class members, but accounting for *prevalence*, which is the proportion of the class of interest within the entire dataset
- F1 Score – The harmonic mean of precision and recall; NOT ACCURACY

STUDY 3: MODEL COMPARISONS



STUDY 3: MINORITY CLASS PREDICTIONS

Model	Dataset	Correct Predictions	Mean Certainty
Neural Net	Receiver	36	0.59
Neural Net	Half 2	32	0.53
XG Boost	Random	31	0.52
Neural Net	Half 1	30	0.52
Neural Net	Full	28	0.52
Neural Net	Random	30	0.51
XG Boost	Half 1	27	0.49
Random Forest	Half 1	27	0.49
Neural Net	Provider	24	0.48
Random Forest	Receiver	26	0.48
Random Forest	Random	26	0.47
XG Boost	Receiver	27	0.47
Random Forest	Half 2	19	0.44
Random Forest	Full	18	0.44
XG Boost	Half 2	22	0.44
Random Forest	Provider	20	0.44
XG Boost	Full	20	0.43
XG Boost	Provider	22	0.42

ETHICAL ISSUES

- Every major browser allows you to write an extension that logs keystrokes
- Keystrokes can predict demographics
 - Age
 - Gender
 - Education level
 - Personal identity
- BUT keystrokes can be anonymized and still be helpful

EXPERIMENTAL APPARATUS

Hi Alex!

Hi Pat!

Let's talk about movies

What are your

Time left in experiment: 14:41

- Pat, first get to know Alex's tastes. What kinds of movies or TV shows do they like and dislike? If you agree or disagree, why do you feel that way?
- Do not hesitate to express strong opinions about genres, actors, etc. you especially like or don't like. Thoroughly engaging with your partner is the whole point, so have fun!
- You will have 8 minutes to discuss the prompt below. Please make sure to make FULL use of ALL 8 minutes. Keep the conversation active and lively, with shorter messages, as if you were texting a friend!

Alex has had a long week at work, and would like to relax and watch a movie or TV show to unwind. Pat, what movies or TV shows would you recommend and why?

EXPERIMENT PIPELINE

