



# **Database Management System**

By,

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# Outline

- o Normalization basics
- o Normal Forms
  1. 1NF (First Normal Form)
  2. 2NF
  3. 3NF
  4. BCNF (Boyce Codd Normal Form)



# Normalization

Process of analyzing the given relation schemas based on the FD's and candidate keys to achieve the desirable properties of

- 1) Minimizing the redundancy.
- 2) Minimizing insertion, deletion and update anomalies.

# Insertion anomalies

It is difficult to insert a new department that has no employees as yet in the EMP\_DEPT relation. The only way to do is to place NULL values in the attributes for employee. This causes a problem because SSN is primary key of EMP\_DEPT and each tuple is supposed to represent an employee entity - not department entity.



# Deletion anomalies

If we delete from EMP\_DEPT an employee tuple that happens to represent the last employee working for a particular department, the information concerning the department is lost from the database.

# Update anomalies

In EMP\_DEPT, if we change the value of one of the attributes of a particular department- say the manager of department 5- we must update all employee who work in that department; otherwise the database will be inconsistent.

# Normal Forms

- 1NF (Atomic)
- 2NF (No partial dependencies)
- 3NF (No transitive dependencies)
- BCNF (Stronger than 3NF)



# First Normal Form (1NF)

The relation is in 1NF if we say domains of all attributes of R are atomic.



# Example of 1NF

Roll No	Name	Phone No.
1	Harsh	9890385807
		9920297878
2	Kumar	9561922284
3	Prashant	9819740847
		9920625758

# Conversion in 1NF

Roll No	Name	Phone No.
1	Harsh	9890385807
1	Harsh	9920297878
2	Kumar	9561922284
3	Prashant	9819740847
3	Prashant	9920625758



# Second Normal Form

A relation schema  $R$  is in 2NF if it is in 1NF and every nonprime attribute  $A$  in  $R$  is fully functionally dependent on Candidate key of  $R$ .

# Example 1 on 2NF

Student ( sid, sname, cname)

F.D. = { sid, cname  $\rightarrow$  sname, sid  $\rightarrow$  sname }

Then here **Candidate key is (sid ,cname)**

But sname is dependent on part of the candidate key sid for second F.D. Hence it is not in 2NF.

o 2NF decomposition =

Table 1 (sid, sname) and

Table 2 (sid, cname)



# Example 2 on 2NF

- Guest (phone, name, address, room, floor, stay)

F.D. = { phone , name --> address,  
phone --> room,  
name --> floor, stay}

- Not in 2NF
- Decomposition in 2NF is:  
Table1 (phone,name, address)  
Table 2 (phone, room)  
Table 3 (name, floor, stay)

# Example 3 on 2NF

o Report (rid, title, author,subject)

F.D. = { rid  $\rightarrow$  title, rid  $\rightarrow$  author,  
rid  $\rightarrow$  subject, author  $\rightarrow$  subject }

o In 2NF



# Third Normal Form

A relation schema  $R$  is in 3NF if it is in 2NF and there should not be any transitive dependencies present inside the relation.

# Conditions of 3NF

A relational schema R is in 3NF if for every F.D.  $X \rightarrow A$  associated with R either

- A should be a subset of X (i.e. trivial F.D.) or
- X is superkey of R or
- A is a part of some key (not just superkey)



# Example 1 on 3NF

Sup\_city (sid, status, city) and F.D. are  
{ sid  $\rightarrow$  status, sid  $\rightarrow$  city and city  $\rightarrow$  status }

- o It is already in 2NF
- o After applying 3 conditions, it is not in 3NF
- o Hence decomposition in 3NF is  
Table 1 (sid,city) and Table 2 (city, status)

# Example 2 on 3NF

dept\_advisor ( sid, iid, dname)

F.D. = { sid, dname  $\rightarrow$  iid, iid  $\rightarrow$  dname }

- o C.K. are (sid,dname) and (sid,iid)
- o Hence super keys are : (sid,dname) and (sid,iid)
- o Hence after applying conditions the relation is in 3NF.



# Boyce Codd Normal Form (BCNF)

A relation schema is in BCNF if whenever a nontrivial functional dependency  $X \rightarrow A$  holds in  $R$ , then  $X$  is a super key of  $R$ .

# Example 1 of BCNF

- $R(A, B, C)$  and F.D. =  $\{A \twoheadrightarrow B, B \twoheadrightarrow C\}$
- Not in BCNF
- Decomposition is
  - Table 1 (A,B)
  - Table 2 (B, C)

# Example 2 of BCNF

- $R(A, B, C)$  and  
F.D. =  $\{A \twoheadrightarrow B, B \twoheadrightarrow C, C \twoheadrightarrow A\}$
- In BCNF





End of the Lecture 9