1.1 Chain complexes

Definition 1 (category of chain complexes). Let \mathcal{A} be an abelian category. A <u>chain complex</u> C_{\bullet} in \mathcal{A} is a collection of objects $C_n \in \mathcal{A}$, $n \in \mathbb{Z}$, together with morphisms $\overline{d_n \colon C_n \to C_{n-1}}$ such that $d_{n-1} \circ d_n = 0$.

The category of chain complexes in \mathcal{A} , denoted $\operatorname{Ch}(\mathcal{A})$, is the category whose objects are chain complexes and whose morphisms are morphisms $C_{\bullet} \to D_{\bullet}$ of chain complexes, i.e. for each $n \in \mathbb{Z}$ a map $f_n \colon C_n \to D_n$ such that all of the squares form commute.

$$\cdots \xrightarrow{d_{n+2}^C} C_{n+1} \xrightarrow{d_{n+1}^C} C_n \xrightarrow{d_n^C} C_{n-1} \xrightarrow{d_{n-1}^C} \cdots$$

$$\downarrow^{f_{n+1}} \downarrow^{f_n} \downarrow^{f_n} \downarrow^{f_{n-1}} \downarrow^{f_{n-1}} \downarrow^{d_{n-1}^D} \cdots$$

$$\cdots \xrightarrow{d_{n+2}^D} D_{n+1} \xrightarrow{d_{n+1}^D} D_n \xrightarrow{d_n^D} D_{n-1} \xrightarrow{d_{n-1}^D} \cdots$$

We also define $Ch^+(\mathcal{A})$ to be the category of <u>bounded-below</u> chain complexes (i.e. the full subcategory consisting of chain complexes C_{\bullet} such that $C_n = 0$ for small enough n), $Ch^-(\mathcal{A})$ to be the category of <u>bounded-above</u> chain complexes, and $Ch^{\geq 0}(\mathcal{A})$ and $Ch^{\leq 0}(\mathcal{A})$ similarly.

Theorem 2. The category Ch(A) is abelian.

Proof. We check each of the conditions.

The zero object is the zero chain complex, the **Ab**-enrichment is given level-wise, and the product is given level-wise as the direct sum. That this satisfies the universal property follows almost immediately from the universal property in \mathcal{A} : given a diagram of the form

$$C_{\bullet} \xleftarrow{f_{\bullet}} C_{\bullet} \times D_{\bullet} \xrightarrow{g_{\bullet}} D_{\bullet}$$

the only thing we need to check is that the map ϕ produced level-wise is really a chain

map, i.e. that

$$\phi_{n-1} \circ d_n^Q = d_n^C \oplus d_n^D \circ \phi_n.$$

By the above work, the RHS can be re-written as

$$(d_n^C \oplus d_n^D) \circ (i_1 \circ f_{n-1} + i_2 \circ g_{n-1}) = i_1 \circ d_n^C \circ f_n + i_2 \circ d_n^D \circ g_n$$

$$= i_1 \circ f_{n-1} \circ d_n^Q + i_2 \circ g_{n-1} \circ d_n^Q$$

$$= (i_1 \circ f_{n-1} + i_2 \circ g_{n-1}) \circ d_n^Q,$$

which is equal to the LHS.

The kernel is also defined level-wise. The standard diagram chase shows that the induced morphisms between kernels make this into a chain complex; to see that it satisfies the universal property, we need only show that the map ϕ below is a chain map.

$$\begin{array}{c}
Q_{\bullet} \\
\downarrow g_{\bullet} \\
\downarrow g_{\bullet}
\end{array}$$

$$\begin{array}{c}
\downarrow g_{\bullet} \\
\downarrow g_{\bullet}
\end{array}$$

$$A_{\bullet} \xrightarrow{f_{\bullet}} B_{\bullet}$$

That is, we must have

$$\phi_{n-1} \circ d_n^Q = d_n^{\ker f} \circ \phi_n. \tag{1.1}$$

The composition

$$Q_n \xrightarrow{g_n} A_n \xrightarrow{f} B_n \xrightarrow{d_n^B} B_{n-1}$$

gives zero by assumption, giving us by the universal property for kernels a unique map

$$\psi \colon Q_n \to \ker f_{n-1}$$

such that

$$\iota_{n-1}\circ\psi=d_n^A\circ g_n.$$

We will be done if we can show that both sides of Equation 1.1 can play the role of ψ . Plugging in the LHS, we have

$$\iota_{n-1} \circ \phi_{n-1} \circ d_n^Q = g_{n-1} \circ d_n^Q$$
$$= d_n^A \circ g_n$$

as we wanted. Plugging in the RHS we have

$$\iota_{n-1}\circ d_n^{\ker f}\circ\phi_n=d_n^A\circ g_n.$$

The case of cokernels is dual.

Since a chain map is a monomorphism (resp. epimorphism) if and only if it is a monomor-

phism (resp. epimorphism), we have immediately that monomorphisms are the kernels of their cokernels, and epimorphisms are the cokernels of their kernels. \Box

The categories $Ch^+(A)$, etc., are also abelian.

1.2 Homology

We would like to replicate the notion of the homology of a chain complex C_{\bullet} in the context of abelian categories.

$$\cdots \xrightarrow{d_{n+2}} C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n_1} \xrightarrow{d_{n-1}} \cdots$$

This will mean finding appropriate notions of every symbol appearing in the equation.

$$H_n(C_{\bullet}) = \frac{\ker d_n}{\operatorname{im} d_{n+1}}.$$

We already have a notion of the kernel, which we can use to define a notion of the image in the following way. Given a map $f: A \to B$, we heuristically think of the cokernel of f as being B/im f. Thus, taking the kernel of the cokernel should give us the image of f.

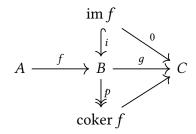
Definition 3 (image). Given a morphism $f: A \to B$, the image of f is im $f = \ker \operatorname{coker} f$.

Now we need to figure out what it means to quotient the image of one map by the kernel of the next. Again, the cokernel saves our necks; we just need a map from im d_{n+1} into ker d_n . The homology will be the cokernel of this map.

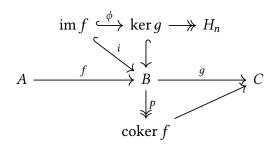
Definition 4 (homology). Let

$$A \xrightarrow{f} B \xrightarrow{g} C$$

be morphisms such that $g \circ f = 0$. Using the universal properties of the kernel and cokernel, we can build the following commutative diagram.



Since $g \circ i = 0$, i factors through ker g, giving us a monomorphism ϕ : im $f \to \ker g$.



The homology of the sequence $A \to B \to C$ at B is then $H_n = \operatorname{coker} \phi$.

Definition 5 (exact sequence). Let

$$A_1 \xrightarrow{f_1} A_2 \xrightarrow{f_2} \cdots \xrightarrow{f_{n-1}} A_n$$

be objects and morphisms in an abelian category. We say that the above sequence is $\underline{\text{exact}}$ if ϕ_i is an isomorphism, i.e. if the homology is zero. We say that a complex (C_{\bullet}, d) is exact if it is exact at all positions.

A short exact sequence is an exact sequence of the form

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

Proposition 6. Homology extends to a family of additive functors

$$H_n \colon \mathbf{Ch}(\mathcal{A}) \to \mathcal{A}$$
.

Proof. We need to define H_n on morphisms. To this end, let $f_{\bullet}: C_{\bullet} \to D_{\bullet}$ be a morphism of chain complexes.

$$C_{n+1} \xrightarrow{d_{n+1}^{C}} C_{n} \xrightarrow{d_{n}^{C}} C_{n-1}$$

$$f_{n+1} \downarrow \qquad \qquad \downarrow f_{n} \qquad \downarrow f_{n-1}$$

$$D_{n+1} \xrightarrow{d_{n+1}^{D}} D_{n} \xrightarrow{d_{n}^{D}} D_{n-1}$$

We need a map $H_n(C_{\bullet}) \to H_n(D_{\bullet})$. This will come from a map $\ker d_n^C \to \ker d_n^D$. In fact, f_n gives us such a map, essentially by restriction. We need to show that this descends to a map between cokernels.

We can also go the other way, by defining a map

$$\iota_n \colon \mathcal{A} \to \mathbf{Ch}(\mathcal{A})$$

which sends an object A to the chain complex

$$\cdots \rightarrow 0 \longrightarrow A \longrightarrow 0 \cdots \rightarrow$$

concentrated in degree n.

Example 7. In some situations, homology is easy to compute explicitly. Let

$$\cdots \longrightarrow C_1 \stackrel{f}{\longrightarrow} C_0 \longrightarrow 0$$

be a chain complex in $Ch_{>0}(A)$. Then $H_0(C_{\bullet}) = \operatorname{coker} f$.

Similarly, if

$$0 \longrightarrow C_0 \stackrel{f}{\longrightarrow} C_{-1} \longrightarrow \cdots$$

is a chain complex in $\mathbf{Ch}_{\leq 0}(\mathcal{A})$, then $H_0(C_{\bullet}) = \ker f$.

1.3 Diagram lemmas

1.3.1 The splitting lemma

Lemma 8 (Splitting lemma). Consider the following solid short exact sequence in an abelian category A.

$$0 \longrightarrow A \xrightarrow{i_A} B \xrightarrow{\pi_C} C \longrightarrow 0$$

The following are equivalent.

- 1. The sequence *splits from the left*, i.e. there exists a morphism $\pi_A \colon B \to A$ such that $\pi_A \circ i_A = \mathrm{id}_A$
- 2. The sequence *splits from the right*, i.e. there exists a morphism $i_C \colon C \to B$ such that $\pi_C \circ i_C = \mathrm{id}_C$
- 3. *B* is a direct sum $A \oplus C$ with the obvious canonical injections and projections.

Proof. That $3 \Rightarrow 1$ and $3 \Rightarrow 2$ is obvious. We now show that $1 \Rightarrow 2$.

By exactness, $i_A : A \to B$ is a kernel of π_C . Consider the map $\phi = \mathrm{id}_B - i_C \circ \pi_C$. Since

$$\pi_C \circ \phi = \pi_C - \pi_C \circ i_C \circ \pi_C$$
$$= \pi_C - \mathrm{id} \circ \pi_C$$
$$= 0$$

the universal property for the kernel guarantees us a map $\psi: B \to A$ such that $i_A \circ \psi = \phi$.

Now we use the same universal property in a different situation. Consider the following diagram.

$$A \xrightarrow{\bowtie_{i_A}} B \xrightarrow{\pi_C} C$$

The universal property guarantees us a unique dashed map making everything commute. But id_A and $i_A \circ \psi$ both do the job since

$$i_A \circ \psi \circ i_A = i_A - i_C \circ \pi_C \circ i_A$$

= i_A .

1.3.2 The snake lemma

Lemma 9. Given a square

$$\begin{array}{ccc}
A & \xrightarrow{f} & B \\
\downarrow g & & \downarrow h \\
C & \xrightarrow{k} & D
\end{array}$$

in any abelian category, consider the sequence

$$A \xrightarrow{(f,-g)} B \oplus C \xrightarrow{(h,k)} D$$
.

We have the following results.

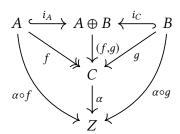
- 1. The composition $(h, k) \circ (f, -g) = 0$ if and only if the square commutes.
- 2. The morphism $(f, -g) = \ker(h, k)$ if and only if the square is a pullback.
- 3. The morphism $(h, k) = \operatorname{coker}(f, -g)$ if and only if the square is a pushout.

Proof.

- 1. Obvious.
- 2.

Lemma 10. Let $f: A \to C$ be an epimorphism, and let $g: B \to C$ be any morphism. Then $(f,g): A \oplus B \to C$ is an epimorphism.

Proof. Let $\alpha: C \to Z$ such that $\alpha \circ (f, g) = 0$. Consider the following diagram.



We need to show that $\alpha = 0$. Certainly, we have that

$$\alpha \circ (f, q) \circ \iota_A = 0.$$

But this means that $\alpha \circ f = 0$; since f is an epimorphism this means that $\alpha = 0$.

Lemma 11. Let A be an abelian category, and let the diagram

$$\begin{array}{ccc}
A \times_C B & \xrightarrow{f} & B \\
\downarrow g & & \downarrow h \\
A & \xrightarrow{k} & C
\end{array}$$

be a pullback in \mathcal{A} . Then f is an epimorphism.

Proof. Since the above square is a pullback, the morphism $(f, -g) = \ker(h, k)$. But (h, k) is an epimorphism, so it is the cokernel of its kernel, implying that the square is also a pushout square. Since pushouts reflect epimorphisms, f is an epimorphism.

Lemma 12. Let $f: B \to C$ be an epimorphism, and let $g: D \to C$ be any morphism. Then the kernel of f functions as the kernel of the pullback of f along g, in the sense that we have the following commuting diagram in which the right-hand square is a pullback square.

$$\ker f' \xrightarrow{i} S \xrightarrow{f'} D$$

$$\parallel \qquad \qquad g' \downarrow \qquad \qquad \downarrow g$$

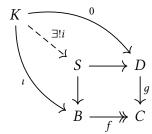
$$\ker f \xrightarrow{\iota} B \xrightarrow{f} C$$

Proof. Consider the following pullback square, where f is an epimorphism.

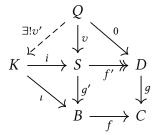
$$\begin{array}{ccc}
S & \xrightarrow{f'} & D \\
g' \downarrow & & \downarrow g \\
B & \xrightarrow{f} & C
\end{array}$$

By Lemma 11 the pullback f' is also an epimorphism. Denote the kernel of f by K.

The claim is that K is also a kernel of f'. Of course, in order for this statement to make sense we need a map $i: K \to S$. This is given to us by the universal property of the pullback as follows.



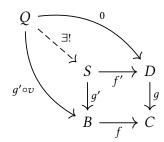
Next we need to verify that (K, i) is actually the kernel of f', i.e. satisfies the universal property. To this end, let $v: Q \to S$ be a map such that $f' \circ v = 0$. We need to find a unique factorization of v through K.



By definition $f' \circ v = 0$. Thus,

$$f \circ g' \circ v = g \circ f' \circ v$$
$$= g \circ 0$$
$$= 0$$

so $g' \circ v$ factors uniquely through K as $g' \circ v = \iota \circ v'$. It remains only to check that the triangle formed by v' commutes, i.e. $v = v' \circ i$. To see this, consider the following diagram, where the bottom right square is the pullback from before.



By the universal property, there exists a unique map $Q \to S$ making this diagram commute. However, both v and $i \circ v'$ work, so $v = i \circ v'$.

Thus we have shown that, in a precise sense, the kernel of an epimorphism functions as the kernel of its pullback, and we have the following commutative diagram, where the right hand square is a pullback.

$$\ker f' \xrightarrow{i} S \xrightarrow{f'} D$$

$$\downarrow \downarrow g' \qquad \downarrow g$$

$$\ker f \xrightarrow{\iota} B \xrightarrow{f} C$$

At least in the case that g is mono, when phrased in terms of elements, this result is more or less obvious; we can imagine the diagram above as follows.

Theorem 13 (snake lemma). Consider the following commutative diagram with exact rows.

$$0 \longrightarrow A \xrightarrow{m} B \xrightarrow{e} C \longrightarrow 0$$

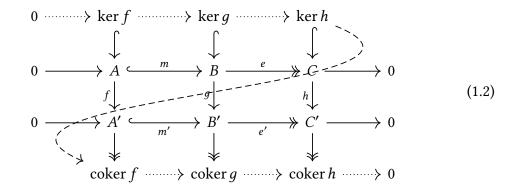
$$f \downarrow \qquad g \downarrow \qquad \downarrow h$$

$$0 \longrightarrow A' \xrightarrow{m'} B' \xrightarrow{e'} C' \longrightarrow 0$$

This gives us an exact sequence

$$0 \to \ker f \to \ker g \to \ker h \to \operatorname{coker} f \to \operatorname{coker} g \to \operatorname{coker} h \to 0.$$

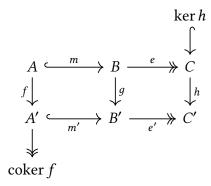
Proof. We provide running commentary on the diagram below.



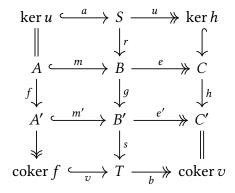
We will see why the dotted portions are exact later.

The only thing left is to define the dashed connecting homomorphism, and to prove exactness at $\ker h$ and $\operatorname{coker} f$.

Extract from the data of Diagram 1.2 the following diagram.



Take a pullback and a pushout, and using Lemma 12 (and its dual), we find the following.



Consider the map

$$\delta_0 = S \xrightarrow{r} B \xrightarrow{g} B' \xrightarrow{s} T$$
.

By commutativity, $\delta \circ a = 0$, hence we get a map

$$\delta_1$$
: ker $h \to T$.

Composing this with b gives 0, hence we get a map

$$\delta$$
: coker $f \to \ker h$.

There is another version of the snake lemma which does not have the first and last zeroes.

Theorem 14 (snake lemma II). Given the following commutative diagram with exact rows,

$$\begin{array}{cccc}
A & \xrightarrow{m} & B & \xrightarrow{e} & C & \longrightarrow & 0 \\
\downarrow f & & \downarrow g & & \downarrow h \\
0 & \longrightarrow & A' & \xrightarrow{m'} & B' & \xrightarrow{e'} & C'
\end{array}$$

we get a exact sequence

$$\ker f \to \ker g \to \ker h \to \operatorname{coker} f \to \operatorname{coker} g \to \operatorname{coker} h.$$

1.3.3 The long exact sequence on homology

Corollary 15. Given an exact sequence of complexes

$$0 \longrightarrow A_{\bullet} \xrightarrow{f_{\bullet}} B_{\bullet} \xrightarrow{g_{\bullet}} C_{\bullet} \longrightarrow 0$$

we get a long exact sequence on homology

$$\begin{array}{cccc}
& \cdots & \longrightarrow & H_{n+1}(C) \\
& \delta & \longrightarrow & \\
& H_n(A) & \xrightarrow{H_n(f)} & H_n(B) & \xrightarrow{H_n(g)} & H_n(C) \\
& & \delta & \longrightarrow & \\
& H_{n-1}(A) & \xrightarrow{H_{n-1}(f)} & H_{n-1}(B) & \xrightarrow{H_{n-1}(g)} & H_{n-1}(C) \\
& & \delta & \longrightarrow & \\
& H_{n-2}(A) & \longrightarrow & \cdots
\end{array}$$

Proof. For each *n*, we have a diagram of the following form.

$$0 \longrightarrow A_{n+1} \longrightarrow B_{n+1} \longrightarrow C_{n+1} \longrightarrow 0$$

$$\downarrow^{d_{n+1}^{A}} \qquad \downarrow^{d_{n+1}^{C}} \qquad \downarrow^{d_{n+1}^{C}}$$

$$0 \longrightarrow A_{n} \longrightarrow B_{n} \longrightarrow C_{n} \longrightarrow 0$$

Applying the snake lemma (Theorem 13) gives us, for each n, two exact sequences as follows.

$$0 \longrightarrow \ker d_{n+1}^A \longrightarrow \ker d_{n+1}^B \longrightarrow \ker d_{n+1}^C$$

and

$$\operatorname{coker} d_n^A \longrightarrow \operatorname{coker} d_n^B \longrightarrow \operatorname{coker} d_n^C \longrightarrow 0$$

We can put these together in a diagram with exact rows as follows.

$$\operatorname{coker} d_n^A \longrightarrow \operatorname{coker} d_n^B \longrightarrow \operatorname{coker} d_n^C \longrightarrow 0$$

$$0 \longrightarrow \ker d_{n-1}^A \longrightarrow \ker d_{n-1}^B \longrightarrow \ker d_{n-1}^C$$

Recall that for each n, we get a map

$$\phi_{n-1}^A \colon \operatorname{im} d_{n-1}^A \to \ker d_n^A,$$

and similarly for B_{\bullet} and C_{\bullet} .

Adding these in gives the following.

$$\operatorname{coker} d_{n}^{A} \longrightarrow \operatorname{coker} d_{n}^{B} \longrightarrow \operatorname{coker} d_{n}^{C} \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow \ker d_{n-1}^{A} \longrightarrow \ker d_{n-1}^{B} \longrightarrow \ker d_{n-1}^{C}$$

A second application of the snake lemma then gives a long exact sequence

$$\ker \phi_f \to \ker \phi_g \to \ker \phi_h \to \operatorname{coker} \phi_f \to \operatorname{coker} \phi_g \to \operatorname{coker} \phi_h$$

Lemma 16. Let \mathcal{A} be an abelian category, and let f be a morphism of short exact sequences in $Ch(\mathcal{A})$ as below.

$$0 \longrightarrow A'_{\bullet} \xrightarrow{\alpha_{\bullet}} A_{\bullet} \xrightarrow{\alpha'_{\bullet}} A''_{\bullet} \longrightarrow 0$$

$$\downarrow f'_{\bullet} \qquad \downarrow f_{\bullet} \qquad \downarrow f''_{\bullet}$$

$$0 \longrightarrow B'_{\bullet} \xrightarrow{\beta_{\bullet}} B_{\bullet} \xrightarrow{\beta'_{\bullet}} B''_{\bullet} \longrightarrow 0$$

This gives us the following morphism of long exact sequences on homology.

$$\cdots \longrightarrow H_n(A) \xrightarrow{H_n(\alpha')} H_n(A'') \xrightarrow{\delta} H_{n-1}(A') \xrightarrow{H_{n-1}(\alpha)} H_{n-1}(A) \longrightarrow \cdots$$

$$\downarrow^{H_n(f)} \downarrow \qquad \downarrow^{H_n(f'')} \qquad \downarrow^{H_n(f')} \downarrow^{H_n(f)}$$

$$\cdots \longrightarrow H_n(B) \xrightarrow{H_n(\beta')} H_n(B'') \xrightarrow{\delta} H_{n-1}(B') \xrightarrow{H_{n-1}(\beta)} H_{n-1}(B) \longrightarrow \cdots$$

In particular, the connecting morphism δ is functorial.

1.3.4 The five lemma

Lemma 17 (four lemmas).

1. Let

be a commutative diagram with exact rows, with monomorphisms and epimorphisms as marked. Then c is an epimorphism.

2. Let

$$\begin{array}{ccc}
A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & D \\
\downarrow a & & & \downarrow c & & \downarrow d \\
A' & \longrightarrow & B' & \longrightarrow & C' & \longrightarrow & D'
\end{array}$$

be a commutative diagram with exact rows, with monomorphisms and epimorphisms as marked. Then c is a monomorphism.

Proof.

1. Expand the diagram with image-kernel factorization as follows.

$$B \longrightarrow I_1 \longrightarrow C \longrightarrow I_2 \longrightarrow D \longrightarrow I_3 \longrightarrow E$$

$$\downarrow^b \qquad \downarrow^i \qquad \downarrow^c \qquad \downarrow^j \qquad \downarrow^d \qquad \downarrow^k \qquad \downarrow^e$$

$$B' \longrightarrow I'_1 \longrightarrow C' \longrightarrow I'_2 \longrightarrow D' \longrightarrow I'_3 \longrightarrow E'$$

It is immediate that i is epi, and that k is mono. Now, consider the following morphism of short exact sequences.

$$0 \longrightarrow I_2 \longrightarrow D \longrightarrow I_3 \longrightarrow 0$$

$$\downarrow^j \qquad \qquad \downarrow^d \qquad \downarrow^k$$

$$0 \longrightarrow I'_2 \longrightarrow D' \longrightarrow I'_3 \longrightarrow 0$$

The snake lemma (Theorem 13) tells us that we have a short exact sequence

$$0 = \ker k \longrightarrow \operatorname{coker} i \longrightarrow \operatorname{coker} d = 0$$
,

implying that coker j = 0, i.e. that j is epi.

We have another morphism of short exact sequences.

$$0 \longrightarrow I_{1} \longrightarrow C \longrightarrow I_{2} \longrightarrow 0$$

$$\downarrow_{i} \qquad \downarrow_{c} \qquad \downarrow_{j}$$

$$0 \longrightarrow I'_{1} \longrightarrow C' \longrightarrow I'_{2} \longrightarrow 0$$

Using the information that i and j are epi, the snake lemma tells us that j is epi as required.

2. Dual.

Theorem 18 (five lemma). Let

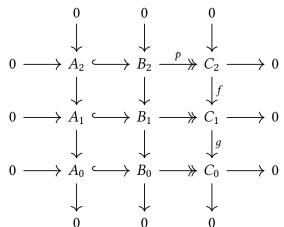
$$\begin{array}{cccc}
A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & D & \longrightarrow & E \\
\downarrow & & & \downarrow c & & \downarrow \cong & & \downarrow \downarrow \\
A' & \longrightarrow & B' & \longrightarrow & C' & \longrightarrow & D' & \longrightarrow & E'
\end{array}$$

be a commutative diagram with exact rows, with monomorphisms, epimorphisms, and isomorphisms as marked. Then c is an isomorphism.

Proof. The first part of Lemma 17 tells us that c is epi, and the second part tells us that c is mono.

1.3.5 The nine lemma

Theorem 19 (nine lemma). Given the following commuting diagram whose rows are exact sequences,



we have the following results. Note that we do not assume that the columns are chain complexes.

- 1. If the first and the second columns A_{\bullet} and B_{\bullet} are exact sequences, then third column C_{\bullet} is also an exact sequence.
- 2. If B_{\bullet} and C_{\bullet} are exact sequence, then A_{\bullet} is an exact sequence.
- 3. If A_{\bullet} and C_{\bullet} are exact and B_{\bullet} is a chain complex, then B_{\bullet} is exact.

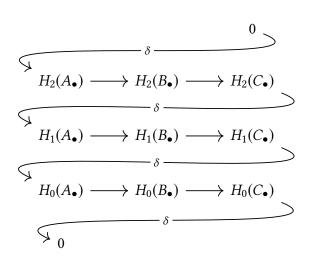
Proof.

1. First, we show that C_{\bullet} is a chain complex, i.e. that $g \circ f = 0$. Since p is an epimorphism, it suffices to show that $g \circ f \circ p = 0$. But this is zero by commutativity of the diagram and the fact that B_{\bullet} is a chain complex.

Thus, the diagram above is a short exact sequence

$$0 \longrightarrow A_{\bullet} \hookrightarrow B_{\bullet} \longrightarrow C_{\bullet} \longrightarrow 0$$

of chain complexes, and we get a long exact sequence on homology.



If the first two columns are zeroes, then $H_n(C_{\bullet}) = 0$ by exactness; that is, C_{\bullet} is exact.

- 2. Formally dual.
- 3. Identical.

1.4 Exact functors

Definition 20 (exact functor). Let $F: \mathcal{A} \to \mathcal{B}$ be a functor, and let

$$0 \longrightarrow A \stackrel{f}{\longrightarrow} B \stackrel{g}{\longrightarrow} C \longrightarrow 0$$

be an exact sequence. We use the following terminology.

• We call *F* left exact if

$$0 \longrightarrow F(A) \stackrel{f}{\longrightarrow} F(B) \stackrel{g}{\longrightarrow} F(C)$$

is exact.

• We call F right exact if

$$F(A) \xrightarrow{f} F(B) \xrightarrow{g} F(C) \longrightarrow 0$$

is exact.

• We call *F* exact if it is both left exact and right exact.

Example 21. Let

$$0 \longrightarrow A \stackrel{f}{\longleftrightarrow} B \stackrel{g}{\longrightarrow} C \longrightarrow 0$$

be an exact sequence. First, we show that Hom(M, -) is left exact, i.e. that

$$0 \longrightarrow \operatorname{Hom}(M,A) \xrightarrow{f_*} \operatorname{Hom}(M,B) \xrightarrow{g_*} \operatorname{Hom}(M,C)$$

is an exact sequence of abelian groups.

First, we show that f_* is injective. To see this, let $\alpha \colon M \to A$ such that $f_*(\alpha) = 0$. By definition, $f_*(\alpha) = f \circ \alpha$, and

$$f \circ \alpha = 0 \implies \alpha = 0$$

because f is a monomorphism.

Similarly, im $f \subseteq \ker g$ because

$$(q_* \circ f_*)(\beta) = g \circ f \circ \beta = 0.$$

It remains only to check that $\ker g \subseteq \operatorname{im} f$. To this end, let $\gamma: M \to B$ such that $g_*\gamma = 0$.

$$0 \longrightarrow A \xrightarrow{\exists!\delta} B \xrightarrow{g} C \longrightarrow 0$$

Then im $\gamma \subset \ker g = \operatorname{im} f$, so γ factors through A; that is to say, there exists a $\delta \colon M \to A$ such that $f_*\delta = \gamma$.

One can also check that the functor $\operatorname{Hom}(-, B)$ is left exact via the same sort of arguments. However, we will see in the next section that one can make a much more general statement by relating left and right exactness to preservation of limits. The next theorem gives us a taste of this.

Lemma 22. Let $F: \mathcal{A} \to \mathcal{B}$ be a functor between abelian categories which is either left or right exact. Then F is additive, i.e. preserves finite (co)products.

Proof. First, assume that F is left exact. By Corollary $\ref{eq:corollary:eq:c$

$$0 \longrightarrow F(A) \xrightarrow{F(i_A)} F(A \oplus B) \xrightarrow{F(\pi_B)} F(B) \longrightarrow 0$$

Because we still have the identities

$$F(\pi_A) \circ F(i_A) = \mathrm{id}_{F(A)}, \qquad F(\pi_B) \circ F(i_B) = \mathrm{id}_{F(B)},$$

the morphism $F(\pi_B)$ is still epic; hence the splitting lemma (Theorem 8) implies that the sequence is still split exact. Thus, F preserves direct sums.

The case in which *F* is right exact is dual.

1.4.1 Exactness and preservation of (co)limits

The above definitions of left and right exactness are evocative, but one can cast them in a more useful way. One starting point is to notice that they are related to the preservation of limits.

Proposition 23. Let $F: A \to \mathcal{B}$ be a functor between abelian categories.

- If *F* preserves finite limits, then *F* is left exact.
- If *F* preserves finite colimits, then *F* is right exact.

Proof. Let $f: A \to B$ be a morphism in an abelian category. The universal property for the kernel of f is equivalent to the following: (K, ι) is a kernel of f if and only if the following diagram is a pullback.

$$\begin{array}{ccc}
K & \longrightarrow & 0 \\
\downarrow & & \downarrow \\
A & \xrightarrow{f} & B
\end{array}$$

Any functor between abelian categories which preserves limits must in particular preserve pullbacks. Any such functor also sends initial objects to initial objects, and since initial objects are zero objects, such a functor preserves zero objects. Thus, any complete functor between abelian categories takes kernels to kernels.

Dually, any functor which preserves colimits preserves cokernels.

Next, note that the exactness of the sequence

$$0 \longrightarrow A \stackrel{f}{\longrightarrow} B \stackrel{g}{\longrightarrow} C \longrightarrow 0$$

is equivalent to the following three conditions.

- 1. Exactness at A means that f is mono, i.e. $0 \to A$ is a kernel of f.
- 2. Exactness at *B* means that im $f = \ker g$.
 - If f is mono, this is equivalent to demanding that (A, f) is a kernel of g.

• If g is epi, this is equivalent to demanding that (C, g) is a cokernel of f.

3. Exactness at *C* means that *g* is epi, i.e. $C \rightarrow 0$ is a cokernel of *g*.

As may now be clear, the converse is also true; any functor which is left exact preserves finite limits, and any functor which is right exact preserves finite colimits.

Proposition 24. Let $F: A \to \mathcal{B}$ be a functor between abelian categories.

- If *F* is left-exact, then *F* preserves finite limits.
- If *F* is right-exact, then *F* preserves finite colimits.

Proof. Suppose F is left exact. Then F preserves kernels and products, hence all finite limits. If F is right exact, then F preserves all cokernels and coproducts, hence all finite colimits.

Our definition of homology now allows us to cleanly note the following.

Proposition 25. Exact functors preserve homology; that is, $H_n(F(C_{\bullet})) = F(H_n(C_{\bullet}))$.

Proof. Exact functors preserve kernels and cokernels.

Example 26. Consider the category \mathcal{D} with objects and morphisms as follows.



Let $\mathcal A$ be an abelian category. By Example ??, the category of functors $\mathcal D\to\mathcal A$ is an abelian category.

Consider a functor $\mathcal{D} \to \mathcal{A}$ which yields the following diagram in \mathcal{A} .

$$A \xrightarrow{f} B$$

Taking the pullback of the above diagram yields the kernel of f.

A natural transformation ϕ between functors $\mathcal{D} \to \mathcal{A}$ consists of the data of a commuting square as follows.

$$\begin{array}{ccc}
A & \xrightarrow{f} & B \\
\phi_A \downarrow & & \downarrow \phi_B \\
A' & \xrightarrow{f'} & B'
\end{array}$$

That is, we may view taking kernels as a functor from the category of morphisms in \mathcal{A} to \mathcal{A} . Furthermore, because this functor is the result of a limiting procedure it preserves limits. Thus, given an exact sequence of morphisms in \mathcal{A}

$$0 \longrightarrow A \hookrightarrow B \longrightarrow C \longrightarrow 0$$

$$\downarrow g \qquad \downarrow h$$

$$0 \longrightarrow A \hookrightarrow B \longrightarrow C \longrightarrow 0$$

one gets an exact sequence of kernels

$$0 \longrightarrow \ker f \hookrightarrow \ker g \longrightarrow \ker h .$$

Conversely, taking cokernels is cocomplete, hence right exact, so one has also an exact sequence of cokernels

$$\operatorname{coker} f \longrightarrow \operatorname{coker} g \longrightarrow \operatorname{coker} h \longrightarrow 0$$

Note that the data of a kernel

Example 27. Let J be a filtered category, and let \mathcal{A} be an abelian category. Then the category \mathcal{A}^J is abelian (see Example ??).

1.4.2 Exactness of the hom functor and tensor products

We have already seen that the hom functor $\text{Hom}_{\mathcal{A}}(-,-)$ is left exact in both slots. Now we understand precisely why this is true: the hom functor preserves limits in both slots!¹

Since any functor which is a right adjoint preserves limits, any right adjoint functor between abelian categories must be left exact. For example, there is an adjunction

$$-\otimes_R N : \mathbf{Mod} - R \longleftrightarrow \mathbf{Ab} : \mathbf{Hom}_{\mathbf{Ab}}(N, -)$$

which comes from replacing a map out of the tensor product by its corresponding bilinear map, and currying along the first entry. Thus, the map $- \otimes_R N$ is a left adjoint, hence preserves colimits, hence is right exact.

We can use this to check exactness (hence limit preservation) of other functors using the following beefed-up version of the Yoneda lemma.

Lemma 28. Let A be an abelian category, and let

$$A \xrightarrow{f} B \xrightarrow{g} C$$

 $^{^{1}}$ In the first slot, one must take the limits in the category \mathcal{A}^{op} .

be objects and morphisms in A. If for all X the abelian groups and homomorphisms

$$\operatorname{Hom}_{\mathcal{A}}(X,A) \xrightarrow{f_*} \operatorname{Hom}_{\mathcal{A}}(X,B) \xrightarrow{g_*} \operatorname{Hom}_{\mathcal{A}}(X,C)$$

form an exact sequence of abelian groups, then $A \to B \to C$ is exact.

Proof. To see this, take X = A, giving the following sequence.

$$\operatorname{Hom}_{\mathcal{A}}(A,A) \xrightarrow{f_*} \operatorname{Hom}_{\mathcal{A}}(A,B) \xrightarrow{g_*} \operatorname{Hom}_{\mathcal{A}}(A,C)$$

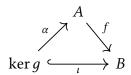
Exactness implies that

$$0 = (q_* \circ f_*)(id) = (q \circ f)(id) = q \circ f,$$

so im $f \subset \ker g$. Now take $X = \ker g$.

$$\operatorname{Hom}_{\mathcal{A}}(\ker g, A) \xrightarrow{f_*} \operatorname{Hom}_{\mathcal{A}}(\ker g, B) \xrightarrow{g_*} \operatorname{Hom}_{\mathcal{A}}(\ker g, C)$$

The canonical inclusion ι : $\ker g \to B$ is mapped to zero under g_* , hence is mapped to under f_* by some α : $\ker g \to A$. That is, we have the following commuting triangle.



Thus im $\iota = \ker g \subset \operatorname{im} f$.

1.5 Quasi-isomorphism

One of the organizing principles of homological algebra is that the fundamental data contained in a chain complex is the level-wise homology of that chain complex, rather than the chain complex itself. We will see many examples (especially in Part ??, on algebraic topology) in which one can perform a drastic simplification of a problem by replacing a chain complex by a better-behaved one, together with a homology-preserving map between them.

For this reason, one would like to understand morphisms of chain complexes $f_{\bullet} : C_{\bullet} \to D_{\bullet}$ which induce isomorphisms on homology. One even has a special name for such a morphism.

Definition 29 (quasi-isomorphism). Let $f_{\bullet} \colon C_{\bullet} \to D_{\bullet}$ be a chain map. We say that f is a quasi-isomorphism if it induces isomorphisms on homology; that is, if $H_n(f)$ is an isomorphism for all n.

Quasi-isomorphisms are a surprisingly fiddly concept. Since they induce isomorphisms on homology, and isomorphisms are invertible, one might naïvely hope that quasi-isomorphisms themselves were invertible; that is, that one could find a *quasi-inverse*. Unfortunately, we are not so lucky. Consider the following morphism of chain complexes.

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \longrightarrow 0$$

$$\downarrow id$$

$$0 \longrightarrow 0 \longrightarrow \mathbb{Z}/2\mathbb{Z} \longrightarrow 0$$

This map induces an isomorphism on homology, but there is no non-trivial map in the other direction.

1.6 Chain Homotopies

Chain homotopies are, at this point, best thought of as a technical tool which allow us to better understand quasi-isomorphisms. The interested reader should look forward to Part ??, where we will reach an understanding of chain homotopies as an algebraic analog of a homotopy between maps of topological spaces.

Definition 30 (chain homotopy). Let f_{\bullet} , $g_{\bullet}: C_{\bullet} \to D_{\bullet}$ be morphisms of chain complexes. A chain homotopy between f_{\bullet} and g_{\bullet} is a family of maps

$$h_n\colon C_n\to D_{n+1}$$

such that

$$d_{n+1}^D\circ h_n+h_{n-1}\circ d_n^C=f-g.$$

Chain homotopies will help us to understand the slippery notion of quasi-isomorphism because chain homotopic maps will turn out to induce the same maps on homology.

Proposition 31. Let $f, g: C_{\bullet} \to D_{\bullet}$ be chain homotopic maps via a homotopy h. Then for all n,

$$H_n(f) = H_n(g)$$
.

Proof. Since homology is additive, it suffices to show that any map which is homotopic to zero induces the zero map on homology, i.e. that if we can write

$$f = d_{n+1}^D \circ h_n + h_{n-1} \circ d_n^C,$$

then $H_n(f) = 0$ for all n.

This is very useful, both theoretically and practically. We will often exploit this in order to prove that a complex is exact by showing that the identity morphism is homotopic to the zero morphism.

Lemma 32. Let f_{\bullet} , $g_{\bullet} : C_{\bullet} \to D_{\bullet}$ be a homotopic chain complexes via a homotopy h, and let F be an additive functor. Then F(h) is a homotopy between $F(f_{\bullet})$ and $F(g_{\bullet})$.

Proof. We have

$$df + fd = h,$$

so

$$F(d)F(f) + F(f)F(d) = F(h).$$

Lemma 33. Homotopy of morphisms is an equivalence relation.

Proof.

• Reflexivity: the zero morphism provides a homotopy between $f \sim f$.

• Symmetry: If $f \stackrel{h}{\sim} g$, then $g \stackrel{-h}{\sim} f$

• Transitivity: If $f \stackrel{h}{\sim} f'$ and $f' \stackrel{h'}{\sim} f''$, then $f \stackrel{h+h'}{\sim} f''$.

Lemma 34. Homotopy respects composition. That is, let $f \stackrel{h}{\sim} g$ be homotopic morphisms, and let r be another morphism with appropriate domain and codomain.

- $f \circ r \stackrel{hr}{\sim} g \circ r$
- $r \circ f \stackrel{rh}{\sim} r \circ g$.

Proof. Obvious.

1.7 Projectives and injectives

One of the drawbacks of diagrammatic reasoning in abelian categories (which is to say, reasoning on elements in R-Mod) is that not every maneuver one can perform on elements has a diagrammatic analog. One of the most egregious examples of this is the property of lifting against epimorphisms. Given an epimorphism $f: A \twoheadrightarrow B$ and an element of B, one can always find an element of A which maps to it under f. Unfortunately, it is *not* in general the case that given a map $X \to B$, one can lift it to a map $X \to A$ making the triangle formed commute.



However, certain objects X do have this property. For example, if X is a free R-module, then we can find a lift by lifting generators; this is always possible. It will be interesting to find objects X which allow this. Such objects are called *projective*.

We will eventually be interested in projective objects because of the control they give us over quasi-isomorphisms.

1.7.1 Basic properties

Definition 35 (projective, injective). An object P in an abelian category \mathcal{A} is <u>projective</u> if for every epimorphism $f: B \to C$ and every morphism $p: P \to C$, there exists a morphism $\tilde{p}: P \to B$ such that the following diagram commutes.

$$B \xrightarrow{\exists \tilde{p}} P$$

$$\downarrow p$$

$$C$$

Dually, Q is injective if for every monomorphism $g: A \to B$ and every morphism $q: A \to Q$ there exists a morphism $\tilde{q}: B \to Q$ such that the following diagram commutes.

$$\begin{array}{c}
A & \xrightarrow{g} & B \\
\downarrow & \downarrow & \exists \tilde{q} \\
O
\end{array}$$

Since the hom functor $\operatorname{Hom}(A, -)$ is left exact for every A, it is very easy to see simply by unwrapping the definitions that an object P in an abelian category is projective if the functor $\operatorname{Hom}(P, -)$ is exact, and injective if $\operatorname{Hom}(-, Q)$ is exact.

1.7.2 Enough projectives

A recurring theme of homological algebra is that projective and injective objects are extremely nice to work with, and whenever possible we would like to be able to trade in an object or chain complex for an object or chain complex of projectives which is (quasi-)isomorphic. We will go into more detail about precisely what we mean by this later. This will be possible when our abelian category has *enough projectives*. Dually, we can replace objects or chain complexes by injectives when we have *enough injectives*. As the abstract theory of projectives is dual to that of injectives, we will for the remainder of this chapter confine ourselves to the study of projectives.

Definition 36 (enough projectives). Let \mathcal{A} be an abelian category. We say that \mathcal{A} has enough projectives if for every object M there exists a projective object P and an epi-

morphism $P \rightarrow M$.

The category in which we have mainly been interested is *R*-**Mod**. We will now see that *R*-**Mod** has enough projectives; we need only prove the following lemma.

Lemma 37. Let

$$0 \longrightarrow A \hookrightarrow B \xrightarrow{f} P \longrightarrow 0$$

be a short exact sequence with *P* projective. Then the sequence splits.

Proof. We can add another copy of *P* artfully as follows.

$$0 \longrightarrow A \longrightarrow B \xrightarrow{f} P \xrightarrow{\text{id}} P \longrightarrow 0$$

By definition, we get a morphism $P \rightarrow B$ making the triangle commute.

$$0 \longrightarrow A \hookrightarrow B \xrightarrow{\exists g} P$$

$$\downarrow^{\text{id}}$$

$$P \longrightarrow 0$$

But this says precisely that $f \circ g = \mathrm{id}_P$, i.e. the sequence splits from the right. The result follows from the splitting lemma (Lemma 8).

Proposition 38. The category *R*-Mod has enough projectives.

We see this by proving the following characterization of projectives in R-**Mod**: P is projective if and only if it is a direct summand of a free module, i.e. if there exists an R-module M and a free R-module F such that

$$F \simeq P \oplus M$$
.

This will be sufficient because any module can be written as a quotient of a free module.

First, suppose that P is projective. We can always express P in terms of a set of generators and relations. Denote by F the free R-module over the generators, and consider the following short exact sequence.

$$0 \longrightarrow \ker \pi \hookrightarrow F \xrightarrow{\pi} P \longrightarrow 0$$

An easy consequence of the splitting lemma is that any short exact sequence with a projective in the final spot splits, so

$$F \simeq P \oplus \ker \pi$$

as required.

Conversely, suppose that $P \oplus M \simeq F$, for F free, and P and M arbitrary. Certainly, the following lifting problem has a solution (by lifting generators).

$$P \oplus M$$

$$\exists (j,k) \qquad \qquad \downarrow (f,g)$$

$$N \xrightarrow{\swarrow} M$$

In particular, this gives us the following solution to our lifting problem,

$$\begin{array}{ccc}
P \\
\downarrow f \\
N & \longrightarrow M
\end{array}$$

exhibiting *P* as projective.

1.7.3 Here be dragons

There are a few subtleties to the theory of projectives and injectives of which one should be aware.

- We have said that the abstract theory of injectives is dual to that of projectives. This is true, but does not imply that projectives and injectives are somehow on equal footing. For example, in *R*-Mod we have given a complete classification of projective modules: they are direct summands of free modules. There is no such classification of injective modules. Despite this, *R*-Mod does turn out to have enough injectives.
- We have so far mostly avoided the use of element-theoretic arguments, which are possible thanks to the Freyd-Mitchell embedding theorem. This is partially due to an aesthetic distaste, and partially because they can be tricky.

1.7.4 Projective resolutions

We are now ready to enact a part of our goal of replacing an object or chain complex with a (quasi-)isomorphic chain complex of projectives: if we are working in an abelian category with enough projectives, we can replace an object A with a quasi-isomorphic chain complex of projectives.

More concretely, let A be an abelian category, and denote by ι the functor which takes an object of A and returns a chain complex with that object concentrated in degree zero.

Clearly, the homology of $\iota(A)$ is

$$H_n(\iota(A)) = \begin{cases} A, & n = 0 \\ 0, & n \neq 0 \end{cases}.$$

Our goal, namely replacing A by a chain complex which contains the same homological data, can certainly be achieved by finding a chain complex with the same homology, and a quasi-isomorphism from it to $\iota(A)$. Such an object is known as a *resolution*.

Definition 39 (resolution). Let *A* be an object in an abelian category. A <u>resolution</u> of *A* consists of a chain complex C_{\bullet} together with a quasi-isomorphism $C_{\bullet} \stackrel{\simeq}{\to} \iota(A)$.

What we want is a *projective resolution*, i.e. a resolution made out of projective objects.

Definition 40 (projective, injective resolution). Let \mathcal{A} be an abelian category, and let $A \in \mathcal{A}$. A projective resolution of A is a quasi-isomorphism $P_{\bullet} \stackrel{\simeq}{\to} \iota(A)$, where P_{\bullet} is a complex of projectives. Similarly, an <u>injective resolution</u> is a quasi-isomorphism $\iota(A) \stackrel{\simeq}{\to} Q_{\bullet}$ where Q_{\bullet} is a complex of injectives.

We said that our success in our goal would be predicated on the existence of enough projectives. Indeed, this is the case. In order to see this, we change our view on projective resolutions. Rather than a quasi-isomorphism between chain complexes whose homology is concentrated in degree zero, we should look at a projective resolution as a single, exact chain complex.

Lemma 41. Let $f_{\bullet}: P_{\bullet} \to \iota(A)$ be a chain map. Then f is a projective resolution if and only if the sequence

$$\cdots P_2 \longrightarrow P_1 \longrightarrow P_0 \xrightarrow{f_0} A \longrightarrow 0$$

is exact.

Proposition 42. Let \mathcal{A} be an abelian category with enough projectives. Then every object $A \in \mathcal{A}$ has a projective resolution.

Proof. Pick a projective which surjects onto A, and

1.7.5 Lifting data to projective resolutions

We have seen that for every object in in abelian category \mathcal{A} with enough projectives, we can find a *projective resolution*, i.e. a complex P_{\bullet} of projectives and a quasi-isomorphism $P_{\bullet} \stackrel{\simeq}{\to} \iota(A)$. We would like to be able to extend this to a functor. This idea turns out not to work precisely as we would like, but it is nonetheless helpful to pursue it for a while.

The first thing we need is a way of lifting morphisms in A to morphisms between projective resolutions.

Lemma 43. Let P_{\bullet} be a chain complex of projectives with $P_n = 0$ for $n \leq 0$, and let D_{\bullet} be a chain complex with $D_n = 0$ for $n \leq 0$ such that $H_n(D) = 0$ for n > 0. Let $f: P_{\bullet} \to D_{\bullet}$ such that $f_0 = 0$.

- 1. For any $f_0: P_0 \to D_0$, there exists a lift to a chain map $f: P \to D$.
- 2. Any such lifts $f, f': P \rightarrow D$ are chain homotopic.

Proof. 1. We construct such a lift inductively.

2. It suffices to show that any lift of the zero morphism is chain homotopic to zero.

Proposition 44. Let A be an abelian category, let M and M' be objects of A, and

$$P_{\bullet} \to M$$
 and $P'_{\bullet} \to M'$

be projective resolutions. Then for every morphism $f\colon M\to M'$ there exists a lift $\tilde f\colon P_\bullet\to P'_\bullet$ making the diagram

$$P_{\bullet} \xrightarrow{\tilde{f}_{\bullet}} P'_{\bullet}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\iota M \xrightarrow{\iota f} \iota M'$$

commute, which is unique up to homotopy.

Proof. We construct a lift inductively.

Theorem 45 (horseshoe lemma). Let \mathcal{A} be an abelian category with enough projectives, and let $P'_{\bullet} \to M'$ and $P''_{\bullet} \to M''$ be projective resolutions. Then given an exact sequence

$$0 \longrightarrow M' \stackrel{f}{\longleftrightarrow} M \stackrel{g}{\longrightarrow} M'' \longrightarrow 0$$

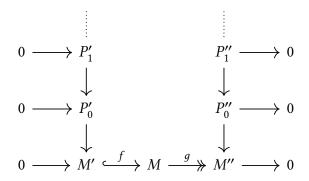
there is a projective resolution $P_{\bullet} \to M$ and maps \tilde{f} and \tilde{g} such that the following diagram has exact rows and commutes.

$$0 \longrightarrow P'_{\bullet} \xrightarrow{\tilde{f}} P_{\bullet} \xrightarrow{\tilde{g}} P''_{\bullet} \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow M' \xrightarrow{f} M \xrightarrow{\tilde{g}} M'' \longrightarrow 0$$

Proof. We construct P_{\bullet} inductively. We have specified data of the following form.



We define $P_0 = P'_0 \oplus P''_0$. We get the maps to and from P_0 from the canonical injection and projection respectively.

$$0 \longrightarrow P'_0 \xrightarrow{\iota} P'_0 \oplus P''_0 \xrightarrow{\pi} P''_0 \longrightarrow 0$$

$$\downarrow p' \qquad \downarrow p'' \qquad \downarrow$$

We get the (dashed) map $P_0' \to M$ by composition, and the (dashed) map $P_0'' \to M$ by projectivity of P_0'' (since p'' is an epimorphism). From these the universal property for coproducts gives us the (dotted) map $p \colon P_0' \oplus P_0'' \to M$.

At this point, the innocent reader may believe that we are in the clear, and indeed many books leave it at this. Not so! We don't know that the diagram formed in this way commutes. In fact it does not; there is nothing in the world that tells us that $q \circ \pi = p$.

However, this is but a small transgression, since the *squares* which are formed still commute. To see this, note that we can write

$$p=f\circ p_0'\circ \pi_{P_0'}+q\circ \pi;$$

composing this with

The snake lemma guarantees that the sequence

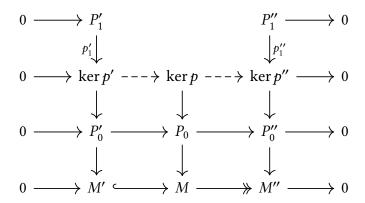
$$\operatorname{coker} p' \simeq 0 \longrightarrow \operatorname{coker} p \longrightarrow 0 \simeq \operatorname{coker} p''$$

is exact, hence that p is an epimorphism.

One would hope that we could now repeat this process to build further levels of P_{\bullet} . Unfortunately, this doesn't work because we have no guarantee that $d_1^{P''}$ is an epimorphism, so we can't use the projectiveness of P_1'' to produce a lift. We have to be clever.

The trick is to add an auxiliary row of kernels; that is, to expand the relevant portion of

our diagram as follows.



The maps p_1' and p_1'' come from the exactness of P_{\bullet}' and P_{\bullet}'' . In fact, they are epimorphisms, because they are really cokernel maps in disguise. We get the dashed map $\ker p' \to \ker p''$

That means that we are in the same situation as before, and are justified in saying "we proceed inductively".

Theorem 46 (horseshoe lemma for morphisms). Given a morphism of short exact sequences

$$0 \longrightarrow A' \longrightarrow A \longrightarrow A'' \longrightarrow 0$$

$$\downarrow^{f'} \qquad \downarrow^{f} \qquad \downarrow^{f''}$$

$$0 \longrightarrow B' \longrightarrow B \longrightarrow B'' \longrightarrow 0$$

Theorem 47. Let \mathcal{A} be an abelian category with enough projectives, and let $C_{\bullet} \in \mathbf{Ch}_{\geq 0}(\mathcal{A})$. Then there exists a

1.8 The homotopy category

In the previous section, we proved many theorems which were schematically of the following form:

Given some data in an abelian category, we can lift it to data between projective resolutions.

One might hope that this data would assemble itself functorially, but this is far too much to ask; we have no reason to believe, having picked lifts \tilde{f} for our morphisms f, that $\widetilde{f \circ g} = \tilde{f} \circ \tilde{g}$.

In this, however, we are saved by the notion of homotopy! While $\widetilde{f} \circ \widetilde{g}$ and $\widetilde{f} \circ \widetilde{g}$ may not be equal, they are certainly homotopic, thanks to Proposition 44. Thus, we will have a shot at functoriality, if we formally invert all homotopies.

And this we can do!

Definition 48 (homotopy category). Let \mathcal{A} be an abelian category. The <u>homotopy category</u> $\mathcal{K}(\mathcal{A})$, is the category whose objects are those of $\mathbf{Ch}(\mathcal{A})$, and whose morphisms are equivalence classes of morphisms in \mathcal{A} up to homotopy.

This is well-defined by Lemma 33 and Lemma 34.

We will in general not be interested in the full homotopy category, but instead in the bounded-below homotopy category $\mathcal{K}^+(\mathcal{A})$ of bounded-below chain complexes up to homotopy.

Lemma 49. The homotopy category $\mathcal{K}^+(\mathcal{A})$ is an additive category, and the quotienting functor $\mathbf{Ch}_{\geq 0}(\mathcal{A}) \to \mathcal{K}^+(\mathcal{A})$ is an additive functor.

The upshot of the above discussion is that for any abelian category with enough projectives, there are projective resolution functors $\mathcal{A} \to \mathcal{K}^+(\mathcal{A})$ defined as follows.

- 1. Pick a projective resolution of every object in A.
- 2. Pick a lift of every morphism of A, and take equivalence classes.

This functor has

1.9 Mapping cones

Mapping cones are a technical tool, borrowed from algebraic topology. We will use them to great profit in the next section. For now,

Definition 50 (shift functor). For any chain complex C_{\bullet} and any $k \in \mathbb{Z}$, define the k-shifted chain complex $C_{\bullet}[k]$ by

$$C[k]_i = C_{k+i};$$
 $d_i^{C[k]} = (-1)^k d_{i+k}^C.$

Definition 51 (mapping cone). Let $f: C_{\bullet} \to D_{\bullet}$ be a chain map. Define a new complex cone(f) as follows.

• For each *n*, define

$$cone(f)_n = C_{n-1} \oplus D_n.$$

• Define $d_n^{\text{cone}(f)}$ by

$$d_n^{\operatorname{cone}(f)} = \begin{pmatrix} -d_{n-1}^C & 0 \\ -f_{n-1} & d_n^D \end{pmatrix},$$

which is shorthand for

$$d_n^{\text{cone}(f)}(x_{n-1},y_n) = (-d_{n-1}^C x_{n-1}, d_n^D y_n - f_{n-1} x_{n-1}).$$

Lemma 52. The complex cone(f) naturally fits into a short exact sequence

$$0 \longrightarrow D_{\bullet} \stackrel{\iota}{\longrightarrow} \operatorname{cone}(f)_{\bullet} \stackrel{\pi}{\longrightarrow} C[-1]_{\bullet} \longrightarrow 0 .$$

Proof. We need to specify ι and π . The morphism ι is the usual injection; the morphism π is given by minus the usual projection.

Corollary 53. Let $f_{\bullet} : C_{\bullet} \to D_{\bullet}$ be a morphism of chain complexes. Then f is a quasi-isomorphism if and only if cone(f) is an exact complex.

Proof. By Corollary 15, we get a long exact sequence

$$\cdots \to H_n(C) \xrightarrow{\delta} H_n(D) \to H_n(\operatorname{cone}(f)) \to H_{n-1}(C) \to \cdots$$

We still need to check that $\delta = H_n(f)$. This is not hard to see; picking $x \in \ker d^X$, the zig-zag defining δ goes as follows.

$$\begin{array}{ccc}
(x,0) & & \downarrow \\
\downarrow & & \downarrow \\
f(x) & & \downarrow \\
f(x) & & \downarrow \\
\downarrow & & \downarrow \\
[f(x)] & & \downarrow \\
[f(x)] & & \downarrow \\
\end{array}$$

If cone(f) is exact, then we get a very short exact sequence

$$0 \longrightarrow H_n(X) \xrightarrow{H_n(f)} H_n(Y) \longrightarrow 0$$

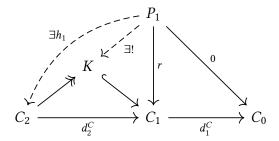
implying that $H_n(f)$ must be an isomorphism. Conversely, if $H_n(f)$ is an isomorphism for all n, then the maps to and from $H_n(\text{cone}(f))$ must be the zero maps, implying $H_n(\text{cone}(f)) = 0$ by exactness.

Proposition 54. Let \mathcal{A} be an abelian category, and let C_{\bullet} be a chain complex in \mathcal{A} . Let P_{\bullet} be a bounded below chain complex of projectives. Then any quasi-isomorphism $g: P_{\bullet} \to C_{\bullet}$ has a quasi-inverse.

Proof. First we show that any morphism from a projective, bounded below complex into

an exact complex is homotopic to zero. We do so by constructing a homotopy.

Consider the following solid commuting diagram, where K is the kernel of d_1^C and $r = f_1 - h_0 \circ d_1^P$ is *not* the map f_1 .



Because

$$d_{1}^{C} \circ r = d_{1}^{C} \circ f_{1} - d_{1}^{C} \circ h_{0} \circ d_{1}^{P}$$
$$= d_{1}^{C} \circ f_{1} - d_{1}^{C} \circ f_{1}$$
$$= 0.$$

the morphism r factors through K. This gives us a morphism from a projective onto the target of an epimorphism, which we can lift to the source. This is what we call h_1 .

It remains to check that h_1 is a homotopy from f to 0. Plugging in, we find

$$d_2^C \circ h_1 + h_0 \circ d_1^P = r + h_0 \circ d_1^P$$

= f_1

as required.

Iterating this process, we get a homotopy between 0 and f.

Now let $f: C_{\bullet} \to P_{\bullet}$ be a quasi-isomorphism, where P_{\bullet} is a bounded-below projective complex. Since f is a quasi-isomorphism, cone(f) is exact, which means that $\iota: P \to cone(f)$ is homotopic to the zero morphism.

$$P_{i+1} \xrightarrow{d_{i+1}^P} P_i \xrightarrow{d_i^P} P_{i-1}$$

$$0 \downarrow \downarrow^{\iota_{i+1}} \downarrow^{\tilde{h}_i} \downarrow^{\tilde{h}_{i-1}} 0 \downarrow \downarrow^{\iota_i} \downarrow^{\tilde{h}_{i-1}} 0 \downarrow \downarrow^{\iota_{i-1}}$$

$$C_i \oplus P_{i+1} \xrightarrow{d_{i+1}^{\operatorname{cone}(f)}} C_{i-1} \oplus P_i \xrightarrow{d_i^{\operatorname{cone}(f)}} C_{i-2} \oplus P_{i-1}$$

That is, there exist $\tilde{h}_i \colon P_i \to \text{cone}(f)_{i+1}$ such that

$$d_{i+1}^{\operatorname{cone} f} \circ \tilde{h}_i + \tilde{h}_{i-1} \circ d_i^P = \iota. \tag{1.3}$$

Writing \tilde{h}_i in components as $\tilde{h}_i = (\beta_i, \gamma_i)$, where

$$\beta_i \colon P_i \to C_i$$
 and $\gamma_i \colon P_{i-1} \to P_i$,

we find what looks tantalizingly like a chain map $\beta_{\bullet} \colon P_{\bullet} \to C_{\bullet}$. Indeed, writing Equation 1.3 in components, we find the following.

$$\begin{pmatrix} -d_{i}^{C} & 0 \\ -f_{i} & d_{i+1}^{P} \end{pmatrix} \begin{pmatrix} \beta_{i} \\ \gamma_{i} \end{pmatrix} + \begin{pmatrix} \beta_{i-1} \\ \gamma_{i-1} \end{pmatrix} \begin{pmatrix} d_{i}^{P} \end{pmatrix} = \begin{pmatrix} 0 \\ \mathrm{id}_{P_{i}} \end{pmatrix}$$
$$\begin{pmatrix} -d_{i}^{C} \circ \beta_{i} + \beta_{i-1} \circ d_{i}^{P} \\ -f_{i} \circ \beta_{i} + d_{i+1}^{P} \circ \gamma_{i} + \gamma_{i-1} \circ d_{i}^{P} \end{pmatrix} = \begin{pmatrix} 0 \\ \mathrm{id}_{P_{i}} \end{pmatrix}$$

The first line tells us that β is a chain map. The second line tells us that

$$d_{i+1}^P \circ \gamma_i + \gamma_{i-1} \circ d_i^P = \mathrm{id}_{P_i} - f_i \circ \beta_i,$$

i.e. that γ is a homotopy $\mathrm{id}_{P_i} \sim f_i \circ \beta_i$. But homology collapses homotopic maps, so

$$H_n(\mathrm{id}_{P_i})=H_n(f_i)\circ H_n(\beta_i),$$

which imples that

$$H_n(\beta_i) = H_n(f_i)^{-1}.$$

1.10 Derived functors

1.10.1 Derived categories

Hopefully, the reader will now agree that chain complexes are interesting enough to study, and that given a chain complex, the level-wise homology (and therefore the slippery notion of quasi-isomorphism) is an interesting invariant. Of course, one considers the category of chain complexes, but this category has the annoying feature that quasi-isomorphisms do not necessarily have quasi-inverses. That is, it is difficult to view quasi-isomorphism as a notion of equivalence because is it is not invertible.

The way out of this is to replace the category $Ch_{\geq 0}(\mathcal{A})$ by a category in which all quasi-isomorphisms have quasi-inverses. There is a fiddly and conceptually unclear way to

do that, namely by forming the categorical localization.

$$Ch_{\geq 0}(A) \to Ch_{\geq 0}(A)[\{\text{quasi-isomorphisms}\}^{-1}].$$

Unfortunately, localization is not at all a trivial process, and one can get hurt if one is not careful. For that reason, actually constructing the above localization and then working with it is not a profitable approach to take.

However, note that we can get what we want by making a more draconian identification: collapsing all homotopy equivalences. Homotopy equivalence is friendlier than quasi-isomorphism in the sense that one can take the quotient by it; that is, there is a well-defined additive functor

$$Ch_{>0}(\mathcal{A}) \to \mathcal{K}(\mathcal{A})$$

which is the identity on objects and sends morphisms to their equivalence classes modulo homotopy; this sends precisely homotopy equivalences to isomorphisms. By

1.10.2 Derived functors

Definition 55 (derived functor). Let $F: \mathcal{A} \to \mathcal{B}$ be a functor between abelian categories.

• If F is right exact and A has enough projectives, we declare the <u>left derived functor</u> to be the homological δ -functor $\{L_nF\}$ defined by

$$L_n F = \mathcal{A} \xrightarrow{P} \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{A})) \xrightarrow{F} \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{B})) \xrightarrow{H_n} \mathcal{B}$$

where $P: \mathcal{A} \to \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{A}))$ is a projective resolution functor.

• If F is left exact and A has enough injectives, we define the <u>right derived functor</u> to be the cohomological δ -functor

$$R^n F(X) = H^n \circ F \circ Q$$

where *Q* is an injective resolution functor.

Definition 56 (homological *δ*-functor). A <u>homological *δ*-functor</u> between abelian categories \mathcal{A} and \mathcal{B} consists of the following data.

1. For each $n \in \mathbb{Z}$, an additive functor

$$T_n: \mathcal{A} \to \mathcal{B}$$
.

2. For every short exact sequence

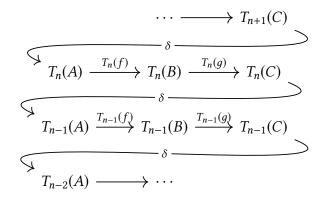
$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

in \mathcal{A} and for each $n \in \mathbb{Z}$, a morphism

$$\delta_n \colon T_n(C) \to T_{n-1}(A).$$

This data is subject to the following conditions.

- 1. For n < 0, we have $T_n = 0$.
- 2. For every short exact sequence as above, there is a long exact sequence



3. For every morphism of short exact sequences

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

$$\downarrow g \qquad \qquad \downarrow h$$

$$0 \longrightarrow A' \longrightarrow B' \longrightarrow C' \longrightarrow 0$$

and every n, the diagram

$$T_{n}(C) \xrightarrow{\delta_{n}} T_{n-1}(A)$$

$$T_{n}(h) \downarrow \qquad \qquad \downarrow T_{n}(f)$$

$$T_{n}(C') \xrightarrow{\delta_{n}} T_{n-1}(A')$$

commutes; that is, a morphism of short exact sequences leads to a morphism of long exact sequences.

A morphism $S \to T$ of δ -functors consists of, for each n, a natural transformation $S_n \to T_n$ compatible with the δ .

This seems like an inelegant definition, and indeed it is.

Example 57. The prototypical example of a homological δ -functor is homology: the collection H_n : $Ch(\mathcal{A}) \to \mathcal{A}$, together with the collection of connecting homomorphisms, is a homological delta-functor. In fact, the most interesting homological delta functors called *derived functors*, come from homology.

Definition 58 (cohomological δ -functors). Dual to Definition 56.

We still need to show that derived functors are in fact homological delta-functors.

Theorem 59. For any right exact functor $F: \mathcal{A} \to \mathcal{B}$ between abelian categories \mathcal{A} and \mathcal{B} , where \mathcal{A} has enough projectives, the left derived functor $\{L_n F\}$ is a homological δ -functor.

Proof. We check each condition separately.

- 1. L_nF is computed by taking the *n*th homology of a chain complex concentrated in positive degree.
- 2. Start with a short exact sequence

$$0 \longrightarrow A \hookrightarrow B \longrightarrow C \longrightarrow 0$$

in A.

We compute the image of this sequence under the derived functors L_nF by using our chosen projective resolution functor to find projective resolutions and lift maps, and take homology. In general, we cannot be guaranteed that we can lift our exact sequence up to an exact sequence of projective resolutions

$$0 \longrightarrow P^A_{\bullet} \longrightarrow P^B_{\bullet} \longrightarrow P^C_{\bullet} \longrightarrow 0 .$$

However, we have shown that, up to isomorphism, it doesn't matter which projective resolutions we choose, so we can choose those given by the horseshoe lemma (Theorem 45), giving us such an exact sequence. Applying F then gives us another exact sequence, and taking homology gives a long exact sequence.

3. We do the same thing, but now apply the horseshoe lemma on morphisms (Theorem 46).

Proposition 60. Let $F: \mathcal{A} \to \mathcal{B}$ be a right exact functor between abelian categories. We have that

$$L_0F\simeq F$$
.

Proof. Let $P_{\bullet} \to X$ be a projective resolution. By Lemma 41, the following sequence is exact.

$$P_1 \xrightarrow{f} P_0 \longrightarrow X \longrightarrow 0$$

Since *F* is right exact, the following sequence is exact.

$$F(P_1) \xrightarrow{F(f)} F(P_0) \longrightarrow F(X) \longrightarrow 0$$

This tells us that $F(X) \simeq \operatorname{coker}(F(f))$. But

$$\operatorname{coker}(H(f)) \simeq H_0(P_{\bullet}) = L_0 F(X).$$

Given a right exact functor F, the above theorem shows that, at the lowest level, the left derived functor gives F itself. It turns out that $\{L_nF\}$ is the *universal* homological δ -functor with this property:

Proposition 61. Let $F: \mathcal{A} \to \mathcal{B}$ be a right exact functor between abelian categories where \mathcal{A} has enough projectives, let $\{T_n\}$ be a homological δ -functor, and let $\phi_0: T_0 \Rightarrow F = L_0 F$ be a natural transformation. Then there exists a unique lift of ϕ_0 to a morphism $\{\phi_i: T_i \to L_i F\}$.

Proof. First we construct $\phi_1: T_1 \Rightarrow L_1F$. For each object $A \in \mathcal{A}$, pick a projective which surjects onto it and take a kernel, giving the following short exact sequence.

$$0 \longrightarrow K \hookrightarrow P \longrightarrow A \longrightarrow 0$$

Applying each of our homological δ -functors and using the fact that $L_iFP = 0$ for i > 0, we get the following data.

$$T_{1}A \xrightarrow{\delta} T_{0}K \xrightarrow{} T_{0}P \xrightarrow{} T_{0}A \xrightarrow{} 0$$

$$\downarrow^{(\phi_{0})_{K}} \qquad \downarrow^{(\phi_{0})_{P}} \qquad \downarrow^{(\phi_{0})_{A}}$$

$$0 \xrightarrow{} L_{1}FA \xrightarrow{\delta} FK \xrightarrow{} FP \xrightarrow{} FA \xrightarrow{} 0$$

Since $L_1FA \to FK$ is a kernel of $T_0K \to T_0P$, we get a unique map $T_1A \to L_1FA$, which we declare to be $(\phi_1)_A$.

It remains to show that these are really the components of a natural transformation. Let $f: A \to A'$ be a morphism in \mathcal{A} . Consider any induced morphisms of short exact sequences.

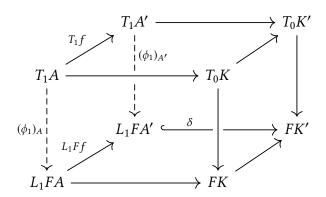
$$0 \longrightarrow K \hookrightarrow P \longrightarrow A \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow f$$

$$0 \longrightarrow K' \hookrightarrow P' \longrightarrow A' \longrightarrow 0$$

Since $\{L_n F\}$ and $\{T_n\}$ are homological δ -functors, this gives us the following cube, where the components $(\phi_1)_A$ and $(\phi_1)_{A'}$ are dashed. All faces except the one on the left commute

by definition.



In order to check that the $(\phi_1)_A$ form the components of a natural transformation, we need to check that the left face of the above cube commutes. Because $\delta: L_1FA' \to FK'$ is a monomorphism, it suffices to check that

$$\delta \circ (\phi_1)_{A'} \circ T_1 F = \delta \circ L_1 F f \circ (\phi_1)_A.$$

But given the commutativity of the other faces of the cube, this is clear.

We now continue inductively, constructing ϕ_2 , etc.

The last step is to show that the collection $\{\phi_n\}$ is really a morphism of homological δ -functors, i.e. that for any short exact sequence

$$0 \longrightarrow A'' \hookrightarrow A \longrightarrow A' \longrightarrow 0$$

the squares

$$T_{n}A'' \xrightarrow{\delta} T_{n-1}A'$$

$$\downarrow (\phi_{n})_{A''} \downarrow \qquad \qquad \downarrow (\phi_{n-1})_{A'}$$

$$L_{n}FA'' \xrightarrow{\delta} L_{n-1}FA'$$

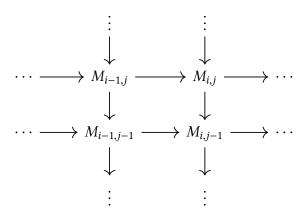
commute

1.11 Double complexes

Given any abelian category \mathcal{A} , we have considered the category of chain complexes in \mathcal{A} , and shown (in Theorem 2) that this is an abelian category. It is therefore natural to consider chain complexes in the category of chain complexes.

$$\left(\cdots \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow M_{-1,\bullet} \longrightarrow \cdots \right) \in \mathbf{Ch}(\mathbf{Ch}(\mathcal{A})),$$

Such a beast is a lattice of commuting squares.



However, when manipulating these complexes it turns out to convenient to do something slightly different, namely work with complexes whose squares anticommute rather than commute. This is not a fundamental difference, as one can make from any lattice of commuting squares a lattice of anticommuting squares by multiplying the differentials of every other column by -1. This is known as the *sign trick*.

Definition 62 (double complex). Let \mathcal{A} be an abelian category. A <u>double complex</u> in \mathcal{A} consists of an array $M_{i,j}$ of objects together with, for every i and $j \in \mathbb{Z}$, morphisms

$$d_{i,j}^h \colon M_{i,j} \to M_{i-1,j}, \qquad d_{i,j}^v \colon M_{i,j} \to M_{i,j-1}$$

subject to the following conditions.

- $(d^h)^2 = 0$
- $(d^v)^2 = 0$
- $d^h \circ d^v + d^v \circ d^h = 0$

We say that a double complex is $M_{\bullet,\bullet}$ is first-quadrant if $M_{i,j} = 0$ for i, j < 0.

Given any complex of complexes, one can construct a double complex by multiplying the differentials in every other row or column by -1.

Definition 63 (total complex). Let $M_{\bullet,\bullet}$ be a first-quadrant² double complex. The <u>total</u> complex $Tot(M)_{\bullet}$ is defined level-wise by

$$Tot(M)_n = \bigoplus_{i+j=n} M_{i,j},$$

with differential given by $d_{\text{Tot}} = d + \delta$.

²This restriction is not strictly necessary, but then one has to deal with infinite direct sums, and hence must decide whether one wants the direct sum or the direct product. We only need first-quadrant double complexes, so all of our sums will be finite.

Lemma 64. The total complex really is a complex, i.e.

$$d_{\mathrm{Tot}} \circ d_{\mathrm{Tot}} = 0.$$

Proof. Hand-wavily, we have

$$d_{\text{Tot}} \circ d_{\text{Tot}} = (d + \delta) \circ (d + \delta) = d^2 + d \circ \delta + \delta \circ d + \delta^2 = 0.$$

Example 65. Let $C_{\bullet} \in \operatorname{Ch}(\operatorname{\mathsf{Mod-}} R)$ and $D_{\bullet} \in \operatorname{\mathsf{Ch}}(R\operatorname{\mathsf{-Mod}})$ be chain complexes. We can form a double complex by sticking C_{\bullet} into the first slot of the tensor product, D_{\bullet} into the second, and using the sign trick to make the squares anticommute. The total complex of this double complex is known as the *tensor product* of C_{\bullet} and D_{\bullet} .

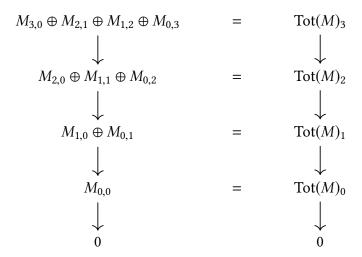
In general, computing the homology of a double complex is very difficult; we will explore some techniques for this in Chapter ??. However, under some special conditions, we can say something.

Theorem 66. Let $M_{i,j}$ be a first-quadrant double complex. Then if either the rows $M_{\bullet,j}$ or the columns $M_{i,\bullet}$ are exact, then the total complex $Tot(M)_{\bullet}$ is exact.

Proof. Let $M_{i,j}$ be a first-quadrant double complex with exact rows.

$$\begin{array}{c} M_{3,0} & \ddots & & \\ d_{3,0} \downarrow & & & \\ M_{2,0} & \longleftarrow & M_{2,1} & \ddots & \\ d_{2,0} \downarrow & & \downarrow d_{2,1} & & \\ M_{1,0} & \longleftarrow & M_{1,1} & \longleftarrow & M_{1,2} & & \\ M_{1,0} \downarrow & & \downarrow d_{1,1} & & \downarrow d_{1,2} \\ M_{0,0} & \longleftarrow & M_{0,1} & \longleftarrow & M_{0,2} & \longleftarrow & M_{0,3} \end{array}$$

We want to show that the total complex



is exact.

The proof is inductive on the degree of the total complex. At level 0 there is nothing to show; the morphism d_1^{Tot} is manifestly surjective since $\delta_{0,1}$ is. Since numbers greater than 1 are for all intents and purposes interchangable, we give the inductive step for n = 2.

We have a triple $m=(m_{2,0},m_{1,1},m_{0,2})\in \operatorname{Tot}(M)_2$ which maps to 0 under d_2^{Tot} ; that is,

$$d_{2.0}m_{2.0} + \delta_{1.1}m_{1.1} = 0,$$
 $d_{11}m_{1.1} + \delta_{0.2}m_{0.2} = 0.$

Our goal is to find

$$n = (n_{3,0}, n_{2,1}, n_{1,2}, n_{0,3}) \in \text{Tot}(M)_3$$

such that $d_3^{\text{Tot}}n = m$.

It turns out that we can make our lives easier by choosing $n_{3,0}=0$. By exactness, we can always $n_{2,1}$ such that $\delta n_{2,1}=m_{2,0}$. Thus, by anti-commutativity,

$$d\delta n_{2,1} = -\delta dn_{2,1}$$
.

But $\delta n_{2,1} = m_{2,0} = -\delta m_{1,1}$, so

$$\delta(m_{1\,1}-dn_{2\,1})=0.$$

This means that $m_{1,1}-dn_{2,1}\in\ker\delta$, i.e. that there exists $n_{1,2}$ such that $\delta n_{1,2}=m_{1,1}-dn_{2,1}$. Thus

$$d\delta n_{1,2} = dm_{1,1} = -\delta m_{0,2}$$
.

But

$$d\delta n_{12} = -\delta dn_{12},$$

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so

$$\delta(m_{0,2} - dn_{1,2}) = 0.$$

Now we repeat this process, finding $n_{1,2}$ such that

$$\delta n_{1,2} = m_{1,1} - dn_{2,1},$$

and $n_{0,3}$ such that

$$\delta n_{0,3} = m_{0,2} - dn_{1,2}$$
.

Then

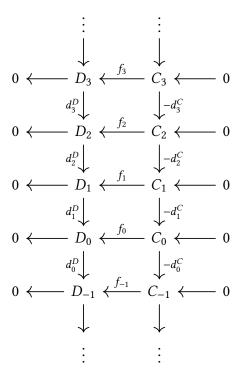
$$d^{\text{Tot}}(n_{3,0} + n_{2,1} + n_{1,2} + n_{0,3}) = 0 + (d + \delta)n_{2,1} + (d + \delta)n_{1,2} + (d + \delta)n_{0,3}$$

$$= 0 + 0 + m_{2,0} + dn_{2,1} + (m_{1,1} - dn_{2,1}) + dn_{1,2} + (m_{0,2} - dn_{1,2}) + 0$$

$$= m_{2,0} + m_{1,1} + m_{0,2}$$

as required.

Example 67. Let $f_{\bullet}: C_{\bullet} \to D_{\bullet}$ be a morphism of chain complexes. Form from this a double complex by multiplying the differential of C_{\bullet} by -1 and padding by zeroes on either side.



The total complex of this is simply cone(f).

Now suppose that f_{\bullet} is an isomorphism, so the rows are exact. Then by Theorem 66, Tot(f) = cone(f) is exact. We have already seen this (in one direction) in Corollary 53.

Consider any morphism α in $Ch_{\geq 0}(Ch_{\geq 0}(\mathcal{A}))$ to a complex concentrated in degree 0. We

can view this in two ways.

· As a chain

$$\cdots \longrightarrow C_{2,\bullet} \longrightarrow C_{1,\bullet} \longrightarrow C_{0,\bullet} \stackrel{\alpha}{\longrightarrow} D_{\bullet}$$

hence (by inserting appropriate minus signs) a double complex $C_{\bullet,\bullet}^D$;

• As a morphism between double complexes, hence between totalizations

$$\operatorname{Tot}(\alpha)_{\bullet} : \operatorname{Tot}(C)_{\bullet} \to \operatorname{Tot}(D)_{\bullet}.$$

Lemma 68. These two points of view agree in the sense that

$$Tot(C^D) = Cone(Tot(\alpha)).$$

Proof. Write down the definitions.

Theorem 69. Let $A_{\bullet} \in \mathbf{Ch}_{>0}(\mathcal{A})$, and let

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \alpha_{\bullet}$$

$$\cdots \longrightarrow 0 \longrightarrow 0 \longrightarrow A_{\bullet}$$

be a resolution of A_{\bullet} . Then Tot(M) is quasi-isomorphic to A.

Proof. The sequence

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow A_{\bullet} \longrightarrow 0$$

is exact. By Theorem 66, the total complex $Tot(M^A)$ is exact. But by Lemma 68 the total complex is equivalently the cone of α_{\bullet} . However, we have seen (in Corollary 53) that exactness of $cone(\alpha_{\bullet})$ means that α_{\bullet} is a quasi-isomorphism.

Corollary 70. Let

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow 0$$

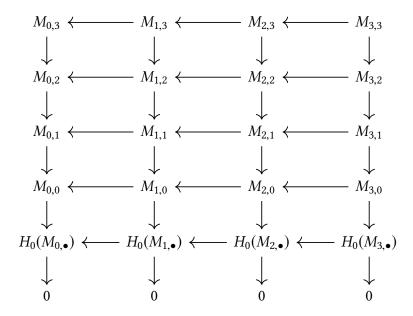
be a complex in $Ch_{\geq 0}(Ch_{\geq 0}(A))$ such that $H_0(M_{i,\bullet}) = 0$ for $i \neq 0$. Then Tot(M) is quasi-isomorphic to the sequence

$$\cdots \longrightarrow H_0(M_{2\bullet}) \longrightarrow H_0(M_{1\bullet}) \longrightarrow H_0(M_{0\bullet}) \longrightarrow 0$$

Proof. For each *i* we can write

$$H_0(M_{i,\bullet}) = \operatorname{coker} d_1^{M_i}.$$

In particular, we have a double complex



Flipping along the main diagonal, we have the following resolution.

$$M_{\bullet,2} \longrightarrow M_{\bullet,1} \longrightarrow M_{\bullet,0} \longrightarrow H_0(M_{\bullet,\bullet})$$

Now Theorem 69 gives us the result we want.

1.11.1 Tensor-hom adjunction for chain complexes

In a general abelian category, there is no notion of a tensor product. However, in the category *R*-**Mod**, there *is* a canonical tensor product, and we would like to be able to use homological algebra to understand it.

One of the fundamental properties of the tensor product (indeed, the property that justifies giving a universal construction the name tensor product) is that there is an adjunction between the tensor product and the hom functor. In this section, we will define that the tensor product on R-Mod extends to a tensor product on Ch(R-Mod), and that this is left adjoint to an internal hom functor on Ch(R-Mod).

Definition 71 (tensor product of chain complexes). Let C_{\bullet} , D_{\bullet} be chain complexes in A. We define the tensor product of C_{\bullet} and D_{\bullet} by

$$(C \otimes D)_{\bullet} = \text{Tot}(C_{\bullet} \otimes D_{\bullet}),$$

where $\text{Tot}(C_{\bullet} \otimes D_{\bullet})$ is the totalization of the double complex $C_{\bullet} \otimes D_{\bullet}$.

The next step is to introduce the notion of the internal hom. The way to do this is the

obvious one: we should have

$$\operatorname{Hom}(C_{\bullet}, D_{\bullet}) = \operatorname{Tot}(\operatorname{Hom}(C_{\bullet}, D_{\bullet})).$$

Unfortunately, even if C_{\bullet} and D_{\bullet} are bounded below, the double complex above will be second-quadrant rather than first, so our definition Definition 63 of the total complex will not do. The reason for this mismatch is that, for double complexes which are not first quadrant, one must choose between the product and the coproduct, which no longer agree for infinite indexing sets.

In our case, the product will be the correct choice. For this reason, we make the following definition.

Definition 72 (internal hom). Let C_{\bullet} , D_{\bullet} be chain complexes in \mathcal{A} . The <u>internal hom</u> functor on $Ch(\mathcal{A})$ is the functor

$$\operatorname{Hom}_{\mathcal{A}} : \operatorname{Ch}(\mathcal{A})^{\operatorname{op}} \times \operatorname{Ch}(\mathcal{A}) \to \operatorname{Ch}(\mathcal{A})$$

is defined by

$$(\operatorname{Hom}_{\mathcal{A}})_n = \prod_{p+q=n} \operatorname{Hom}(C_{-p}, D_q),$$

and

$$d = d^C + (-1)^p d^D,$$

where the factor of $(-1)^p$ implements the sign trick.

Theorem 73. There is an isomorphism

$$\operatorname{Hom}_{\mathcal{A}}(C_{\bullet} \otimes_{R} D_{\bullet}, E_{\bullet}) \cong \operatorname{Hom}_{\mathcal{A}}(C_{\bullet}, \operatorname{Hom}(D_{\bullet}, E_{\bullet})),$$

natural in everything.

Proof. This is clear level-wise, since

$$\operatorname{Hom}((C_{\bullet} \otimes_{R} D_{\bullet})_{-n}, E_{m}) \cong \operatorname{Hom}(\coprod_{p+q=-n} C_{p} \otimes_{R} D_{q}, E_{m})$$

$$\cong \prod_{p+q=-n} \operatorname{Hom}(C_{p} \otimes_{R} D_{q}, E_{m})$$

$$\cong \prod_{p+q=-n} \operatorname{Hom}(C_{p}, \operatorname{Hom}(D_{q}, E_{m}))$$

1.11.2 The Künneth formula

This section takes place in **Ab**. The same result holds in *R*-**Mod**, for *R* a PID, but we will only need the **Ab** case.

Theorem 74 (Künneth). Let C_{\bullet} , $D_{\bullet} \in \mathbf{Ch}(\mathbf{Ab})$, and suppose that C_{\bullet} is free, that is, that C_n is free for all n. Then there is a short exact sequence

$$0 \longrightarrow \bigoplus_{p+q=n} H_p(C_{\bullet}) \otimes H_q(D_{\bullet}) \hookrightarrow H_n(C_{\bullet} \otimes D_{\bullet}) \longrightarrow \bigoplus_{p+q=n-1} \operatorname{Tor}_1(H_p(C_{\bullet}), H_q(D_{\bullet})) \longrightarrow 0.$$

Proof. Denote by Z_{\bullet}^{C} the chain complex given level-wise by $Z_{n}^{C} = Z_{n}(C_{\bullet})$, and with trivial differentials. Define B_{\bullet}^{C} and H_{\bullet}^{C} similarly. Then, essentially by definition, we have the following short exact sequences of chain complexes.

$$0 \longrightarrow Z_{\bullet}^{C} \hookrightarrow C_{\bullet} \longrightarrow B^{C}[-1]_{\bullet} \longrightarrow 0 \tag{1.4}$$

$$0 \longrightarrow B_{\bullet}^{C} \hookrightarrow Z_{\bullet}^{C} \longrightarrow H_{\bullet}^{C} \longrightarrow 0 \tag{1.5}$$

We happily note that Sequence 1.5, taken level-wise, gives a free resolution of H^C_{ullet} .

Since Sequence 1.4 is level-wise split, applying $-\otimes D$ takes the rows to short exact sequences. Since a short exact sequence of chain complexes is simply a sequence of chain complexes whose rows are short exact, the following sequence of chain complexes is short exact.

$$0 \, \longrightarrow \, (Z^C \otimes D)_{\bullet} \, \longleftarrow \, (C \otimes D)_{\bullet} \, \longrightarrow \, (B^C[-1] \otimes D)_{\bullet} \, \longrightarrow \, 0 \ .$$

Thus, we get a long exact sequence on homology.

Consider several terms of the chain complex $(B^C[-1] \otimes D)_{\bullet}$.

$$\cdots \longrightarrow \bigoplus_{p+q=n+1} B_{p-1}^{C} \otimes D_{q} \longrightarrow \bigoplus_{p+q=n} B_{p-1}^{C} \otimes D_{q} \longrightarrow \cdots$$

Because of the triviality of the differential of $B^{C}[-1]$, the above morphisms are a direct

sum of morphisms

$$B_{p-1}^C \otimes D_q \xrightarrow{\mathrm{id}_B \otimes d^D} B_{p-1}^C \otimes D_{q-1}$$
.

Hence, because B_{p-1}^C is free, the homology of $(B^C[-1] \otimes D)_{ullet}$ is

$$H_n(B[-1] \otimes D) = \bigoplus_{p+q=n} B_{p-1} \otimes H_n(D)$$
$$= \bigoplus_{p+q=n=1} B_p \otimes H_n(D)$$
$$= (B^C \otimes H^D)_{n-1},$$

where we have defined H^D_{\bullet} in analogy with H^C_{\bullet} .

Exactly the same reasoning as above shows that

$$H_n(Z \otimes D) = (Z \otimes H^D)_n.$$

Making these replacements in our long exact sequence gives us the following.

$$\begin{array}{cccc}
& \cdots & \longrightarrow (B^C \otimes H^D)_n \\
& \delta & \longrightarrow \\
(Z^C \otimes H^D)_n & \longrightarrow H_n(C \otimes D) & \longrightarrow (B^C \otimes H^D)_{n-1} \\
& \delta & \longrightarrow \\
(Z^C \otimes H^D)_{n-1} & \longrightarrow \cdots
\end{array}$$

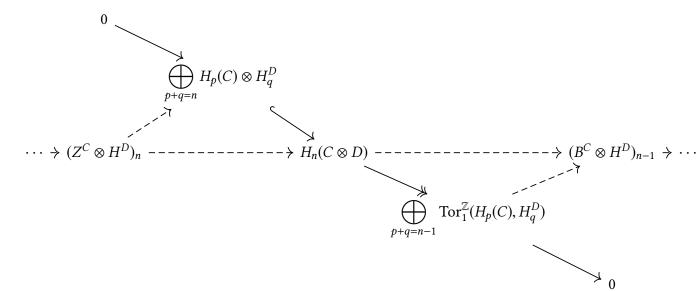
A quick computation shows that

$$\delta = \bigoplus_{p+q=n} (B_p^C \hookrightarrow Z_p^C) \otimes \mathrm{id}_{H_q^D}.$$

The kernel and cokernel of the connecting homomorphism are refreshingly familiar. The kernel corresponds precisely to our definition of Tor coming from the projective resolution from Sequence (1.5), and the cokernel is the definition of nth homology.

1 Homological algebra in abelian categories

We can decorate our long exact sequence with these to find the following solid short exact sequence.



Replacing H_n^C by $H_n(C)$ and H_n^D by $H_n(D)$, we find precisely the sequence we were looking for.

Proposition 75. The short exact sequence in the Künneth formula splits, but not canonically.

Corollary 76 (universal coefficient theorem). Let C_{\bullet} be a chain complex of free abelian groups, and let A be an abelian group. Then there is a short exact sequence

$$0 \longrightarrow H_n(C) \otimes A \hookrightarrow H_n(C \otimes A) \longrightarrow \operatorname{Tor}(H_{n-1}(C), A) \longrightarrow 0.$$