

# 1 Abelian categories

## 1.1 Basics

### 1.1.1 Building blocks

#### Ab-enriched categories

In this chapter we recall some basic results.

**Definition 1** (Ab-enriched category). A category  $\mathcal{C}$  is called *Ab-enriched* if it is enriched over the symmetric monoidal category  $(\mathbf{Ab}, \otimes_{\mathbb{Z}})$ .

**Lemma 2.** Let  $\mathcal{C}$  be an Ab-enriched category with finite products. Then the product  $X_1 \times \cdots \times X_n$  satisfies the universal property for coproducts. In particular, initial objects and terminal objects coincide.

*Proof.* First, we show that initial and terminal objects coincide. Let  $\emptyset$  be initial and  $*$  terminal in  $\mathcal{C}$ .

Since  $\text{Hom}_{\mathcal{C}}(\emptyset, \emptyset)$  has only one element, it must be both the identity morphism on  $\emptyset$  and the identity element of  $\text{Hom}_{\mathcal{C}}(\emptyset, \emptyset)$  as an abelian group. Similarly,  $\text{id}_* = 0$  in  $\text{Hom}_{\mathcal{C}}(*, *)$ .

Since  $\emptyset$  is initial and  $*$  is final,  $\text{Hom}_{\mathcal{C}}(\emptyset, *)$  has exactly one element  $f$ . We are thus guaranteed that  $\text{Hom}_{\mathcal{C}}(*, \emptyset)$  has at least one element,  $g$ . Then  $g \circ f = 0 = \text{id}$  and  $f \circ g = 0 = \text{id}$ , so  $f: \emptyset \rightarrow *$  is an isomorphism. Thus, initial and terminal objects coincide, so  $\mathcal{C}$  has a zero object  $0$ .

We prove the lemma for binary products. The general case is precisely the same.

For each  $X, Y \in \mathcal{C}$ , we can define  $i_X: X \rightarrow X \times Y$  using the universal property for products as follows.

$$\begin{array}{ccccc} & & X & & \\ & \swarrow \text{id} & \downarrow i_X & \searrow 0 & \\ X & \longleftarrow & X \times Y & \longrightarrow & Y \end{array}$$

Similarly, get  $i_Y: Y \rightarrow X \times Y$ .

The claim is that  $X \times Y$ , together with  $i_X$  and  $i_Y$ , satisfies the universal property for co-

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products; that is, that we can find a unique map  $\phi$  making the below diagram commute.

$$\begin{array}{ccccc} X & \xrightarrow{i_X} & X \times Y & \xleftarrow{i_Y} & Y \\ & \searrow f & \downarrow \phi & \swarrow g & \\ & & Z & & \end{array}$$

In fact,  $\phi = f \circ \pi_X + g \circ \pi_Y$  satisfies the universal property. It is clear that this makes the diagram commute since  $\pi_X \circ i_X = \text{id}$ ,  $\pi_X \circ i_Y = 0$ , and similarly for  $Y$ .

Note that, by the universal property for products, there is a unique downward map. But both  $\text{id}_{X \times Y}$  and  $i_X \circ \pi_X + i_Y \circ \pi_Y$  make it commute, so they must be equal.

$$\begin{array}{ccccc} & & X \times Y & & \\ \pi_Y \swarrow & & \downarrow & & \searrow \pi_X \\ X & \xleftarrow{\pi_X} & X \times Y & \xrightarrow{\pi_Y} & Y \end{array}$$

Now we are ready to show that  $\phi$  is unique. Suppose we have another candidate  $\psi$  which also makes the above diagram commute. Then

$$\psi = \psi \circ \text{id}_{X \times Y} = f \circ \pi_X + g \circ \pi_Y = \phi.$$

□

## Additive categories

**Definition 3** (additive category). A category  $\mathcal{C}$  is additive if it has products and is Ab-enriched.

**Definition 4** (additive functor). Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor between additive categories. We say that  $F$  is additive if for each  $X, Y \in \text{Obj}(\mathcal{C})$  the map

$$\text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{D}}(F(X), F(Y))$$

is a homomorphism of abelian groups.

## Pre-abelian categories

**Definition 5** (kernel, cokernel). Let  $f: A \rightarrow B$  be a morphism in an abelian category.

- A pair  $(K, \iota)$ , where  $\iota: K \rightarrow A$  is a morphism, is a kernel of  $f$  if for every object  $X$  and morphism  $g: X \rightarrow A$  such that  $f \circ g = 0$ , there exists a unique morphism

$\alpha: X \rightarrow K$  such that  $f \circ \alpha = 0$ .

$$\begin{array}{ccccc} & & X & & \\ & \swarrow \exists! & \downarrow g & \searrow 0 & \\ K & \xrightarrow{\iota} & A & \xrightarrow{f} & B \end{array}$$

- A pair  $(C, \pi)$ , where  $\pi: B \rightarrow C$  is a morphism, is a cokernel of  $f$  if for every object  $Y$  and morphism  $g: B \rightarrow Y$  such that  $g \circ f = 0$ , there exists a unique morphism  $\beta: C \rightarrow Y$  such that  $\beta \circ \pi = g$ .

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{\pi} & C \\ & \searrow 0 & \downarrow g & \swarrow \exists! & \\ & & Y & & \end{array}$$

**Definition 6** (pre-abelian category). A pre-abelian category is an additive category such that every morphism has a kernel and a cokernel.

**Lemma 7.** Pre-abelian categories have all finite limits and colimits.

*Proof.* In a pre-abelian category, the equalizer of  $f$  and  $g$  is the kernel of  $f - g$ , and the coequalizer is the cokernel of  $f - g$ . Thus, pre-abelian categories have finite products and equalizers, and finite coproducts and coequalizers.  $\square$

**Proposition 8.** In a pre-abelian category, kernels are monic and cokernels are epic.

*Proof.* Let  $f: A \rightarrow B$  be a morphism in an abelian category, and let  $\iota: K \rightarrow A$  be a kernel of  $f$ . Let  $\alpha: X \rightarrow K$  be a morphism such that  $\alpha \circ \iota = 0$ .

$$\begin{array}{ccccc} & & X & & \\ & \swarrow \alpha & \downarrow 0 & \searrow 0 & \\ K & \xrightarrow{\iota} & A & \xrightarrow{f} & B \end{array}$$

The universal property for kernels tells us that  $\alpha$  is the *unique* morphism such that  $0 = \iota \circ \alpha$ . But setting  $\alpha = 0$  certainly satisfies this equality, so we must have that  $\alpha = 0$ .

The cokernel case is dual.  $\square$

**Proposition 9.** Let  $\mathcal{C}$  be a pre-abelian category, and let  $f: A \rightarrow B$  be a morphism.

1. The morphism  $f$  is a monomorphism if and only if  $\ker f = 0$ .
2. The morphism  $f$  is an epimorphism if and only if  $\operatorname{coker} f = 0$ .

*Proof.*

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1. Suppose  $f$  is a monomorphism, and consider a morphism  $g$  making the following diagram commute.

$$\begin{array}{ccccc} & & Z & & \\ & & \downarrow g & \searrow 0 & \\ \ker f & \xrightarrow{\iota} & A & \xrightarrow{f} & B \end{array}$$

Because  $f$  is a monomorphism, we have  $g = 0$ . By the universal property for kernels, there exists a unique map  $Z \rightarrow \ker f$  making the above diagram commute.

$$\begin{array}{ccccc} & & Z & & \\ & \swarrow \exists! & \downarrow g & \searrow 0 & \\ \ker f & \xrightarrow{\iota} & A & \xrightarrow{f} & B \end{array}$$

But this remains true if we replace  $\ker f$  by  $0$ , so by definition we must have  $\ker f = 0$ .

Now suppose that  $\ker f = 0$ , and let  $g: X \rightarrow A$  such that  $f \circ g = 0$ . The universal property guarantees us a morphism  $\alpha: X \rightarrow K$  making the left-hand triangle below commute.

$$\begin{array}{ccccc} & & Z & & \\ & \swarrow \exists! & \downarrow g & \searrow 0 & \\ \ker f = 0 & \xrightarrow{\iota} & A & \xrightarrow{f} & B \end{array}$$

However, the only way the left-hand triangle can commute is if  $g = 0$ . Thus,  $f$  is mono.

2. Dual.

□

### 1.1.2 Abelian categories

**Definition 10** (abelian category). A pre-abelian category is said to be abelian if every monomorphism is the kernel of its cokernel, and every epimorphism is the cokernel of its kernel.

**Proposition 11.** Let  $\mathcal{A}$  be an abelian category, and let  $f: A \rightarrow B$  be a morphism in  $\mathcal{A}$ . Then  $f$  is an isomorphism if and only if  $\ker f = 0$  and  $\operatorname{coker} f = 0$ .

*Proof.* If  $f$  is an isomorphism, then it is mono and epi, and we are done by [Proposition 9](#).

Conversely, if  $\ker f = 0$  and  $\operatorname{coker} f = 0$ , then  $f$  is mono and epi. We need only to show that any morphism in an abelian category which is monic and epic is an isomorphism.

Let  $f$  be monic and epic. Then  $f$  is the kernel of its cokernel and the cokernel of its

kernel, and both of these are zero.

$$\begin{array}{ccccc}
 & & B & \xrightarrow{0} & 0 = \text{coker } f \\
 & \exists! f_R^{-1} \swarrow & \parallel & & \\
 \ker f = 0 & \longrightarrow & A & \xrightarrow{f} & B \\
 & \nwarrow 0 & \parallel & \nearrow \exists! f_L^{-1} & \\
 & & A & & 
 \end{array}$$

This gives us morphisms  $f_L^{-1}$  and  $f_R^{-1}$  such that

$$f \circ f_R^{-1} = \text{id}_A, \quad f_L^{-1} \circ f = \text{id}_B.$$

The usual trick shows that  $f_L^{-1} = f_R^{-1}$ . □

As the following proposition shows, additive functors from additive categories preserve direct sums.

**Lemma 12.** Let  $A$  and  $B$  be objects in an additive category  $\mathcal{A}$ , and let  $X$  be an object in  $\mathcal{A}$  equipped with morphisms as follows,

$$\begin{array}{ccc}
 A & & A \\
 & \searrow i_A & \nearrow \pi_A \\
 & X & \\
 & \nearrow i_B & \searrow \pi_B \\
 B & & B
 \end{array}$$

such that the following equations hold.

$$\begin{aligned}
 i_A \circ \pi_A + i_B \circ \pi_B &= \text{id}_X \\
 \pi_A \circ i_B &= 0 = \pi_B \circ i_A \\
 \pi_A \circ i_A &= \text{id}_A \\
 \pi_B \circ i_B &= \text{id}_B
 \end{aligned}$$

Then the  $\pi$ s and  $i$ s exhibit  $X \cong A \oplus B$ .

*Proof.* It suffices to show that  $X$  is a product of  $A$  and  $B$ . Let  $f: Z \rightarrow A$  and  $g: Z \rightarrow B$ . We need to show that there exists a unique  $\phi: Z \rightarrow X$  making the following diagram commute.

$$\begin{array}{ccccc}
 & & Z & & \\
 & \searrow f & \downarrow \phi & \swarrow g & \\
 A & \xleftarrow{\pi_A} & X & \xrightarrow{\pi_B} & B
 \end{array}$$

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That is, we need

$$\pi_A \circ \phi = f, \quad \pi_B \circ \phi = g.$$

Thus, we need

$$\begin{aligned} i_A \circ f + \pi_B \circ g &= i_A \circ \pi_A \circ \phi + i_B \circ \pi_B \circ \phi \\ &= \phi, \end{aligned}$$

so  $\phi$  exists and is unique. □

**Corollary 13.** Let  $F: \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor between additive categories. Then  $F$  preserves direct sums in the sense that there is a natural isomorphism

$$F(a \oplus b) \simeq F(a) \oplus F(b).$$

Once it is known that a category  $\mathcal{A}$  is abelian, a number of other categories are immediately known to be abelian.

- The category  $\mathbf{Ch}(\mathcal{A})$  of chain complexes in  $\mathcal{A}$  is abelian, as we will see in
- For any small category  $I$ , the category  $\mathbf{Fun}(I, \mathcal{A})$  of  $I$ -diagrams in  $\mathcal{A}$  is abelian.

## 1.2 Embedding theorems

In ordinary category theory, when manipulating a locally small category it is often helpful to pass through the Yoneda embedding, which gives a (fully) faithful rendition of the category under consideration in the category  $\mathbf{Set}$ . One of the reasons that this is so useful is that the category  $\mathbf{Set}$  has a lot of structure which can use to prove things about the subcategory of  $\mathbf{Set}$  in which one lands. Having done this, one can then use the fully faithfulness to translate results to the category under consideration.

This sort of procedure, namely embedding a category which is difficult to work with into one with more desirable properties and then translating results back and forth, is very powerful. In the context of (small) Abelian categories one has essentially the best possible such embedding, known as the Freyd-Mitchell embedding theorem, which we will revisit at the end of this section. However, for now we will content ourselves with a simpler categorical embedding, one which we can work with easily.

In abelian categories, one can define kernels and cokernels slickly, as for example the equalizer along the zero morphism. However, in full generality, we can define both kernels and cokernels in any category with a zero object:  $f$  is a kernel for  $g$  if and only if the diagram below is a pullback, and  $g$  is a cokernel of  $f$  if and only if the diagram

below is a pullback.

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow & & \downarrow g \\ 0 & \longrightarrow & C \end{array}$$

This means that kernels and cokernels are very general, as categories with zero objects are a dime a dozen. For example, the category  $\mathbf{Set}_*$  of pointed sets has the singleton  $\{*\}$  as a zero object. This means that one can speak of the kernel or the cokernel of a map between pointed sets, and talk about an exact sequence of such maps.

Given an abelian category  $\mathcal{A}$ , suppose one could find an embedding  $\mathcal{H}: \mathcal{A} \rightarrow \mathbf{Set}_*$  which reflected exactness in the sense that if one started with a sequence  $A \rightarrow B \rightarrow C$  in  $\mathcal{A}$ , mapped it into  $\mathbf{Set}_*$  finding a sequence  $\mathcal{H}(A) \rightarrow \mathcal{H}(B) \rightarrow \mathcal{H}(C)$ , and found that this sequence was exact, one could be sure that  $A \rightarrow B \rightarrow C$  had been exact to begin with. Then every time one wanted to check the exactness of a sequence, one could embed that sequence in  $\mathbf{Set}_*$  using  $\mathcal{H}$  and check exactness there. Effectively, one could check exactness of a sequence in  $\mathcal{A}$  by manipulating the objects making up the sequence as if they had elements.

Or, suppose one could find an embedding as above as above which sent only zero morphisms to zero morphisms. Then one could check that a diagram commutes (equivalently, that the difference between any two different ways of getting between objects is equal to 0) by checking the that the image of the diagram under our functor  $\mathcal{H}$  commutes.

In fact, for  $\mathcal{A}$  small, we will find a functor which satisfies both of these and more. Then, just as we are feeling pretty good about ourselves, we will state the Freyd-Mitchell embedding theorem, which blows our pitiful result out of the water.

We now construct our functor to  $\mathbf{Set}_*$ .

**Definition 14** (category of contravariant epimorphisms). Let  $\mathcal{A}$  be a small abelian category. Define a category  $\mathcal{A}_\leftarrow$  with  $\text{Obj}(\mathcal{A}_\leftarrow) = \text{Obj}(\mathcal{A})$ , and whose morphisms are defined by

$$\text{Hom}_{\mathcal{A}_\leftarrow}(X, Y) = \{f: Y \rightarrow X \text{ in } \mathcal{A} \mid f \text{ epimorphism}\}.$$

Note that this is indeed a category since the identity morphism is an epimorphism and epimorphisms are closed under composition.

Now for each  $A \in \mathcal{A}$ , we define a functor

$$\mathcal{H}_A: \mathcal{A}_\leftarrow \rightarrow \mathbf{Set}_*; \quad Z \mapsto \text{Hom}_{\mathcal{A}}(Z, A)$$

and sends a morphism  $f: Z_1 \rightarrow Z_2$  in  $\mathcal{A}_\leftarrow$  (which is to say, an epimorphism  $\tilde{f}: Z_2 \twoheadrightarrow Z_1$  in  $\mathcal{A}$ ) to the map

$$\mathcal{H}_A(f): \text{Hom}_{\mathcal{A}}(Z_1, A) \rightarrow \text{Hom}_{\mathcal{A}}(Z_2, A); \quad (\alpha: Z_1 \rightarrow A) \mapsto (\alpha \circ \tilde{f}: Z_2 \rightarrow A).$$

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Note that the distinguished point in the hom sets above is given by the zero morphism.

**Definition 15** (member functor). Let  $\mathcal{A}$  be a small abelian category. We define a functor  $\mathcal{M}: \mathcal{A} \rightarrow \mathbf{Set}_*$  on objects by

$$A \mapsto \mathcal{M}(A) = \operatorname{colim} \mathcal{H}_A.$$

On morphisms, functoriality comes from the functoriality of the colimit and the co-Yoneda embedding.

Strictly speaking, we have finished our construction, but it doesn't do us much good as stated. It turns out that the sets  $\mathcal{M}(\mathcal{A})$  have a much simpler interpretation.

**Proposition 16.** for any  $A \in \mathcal{A}$ , the value of the member functor  $\mathcal{M}(A)$  is

$$\mathcal{M}(A) = \bigsqcup_{X \in \mathcal{A}} \operatorname{Hom}(X, A) / \sim,$$

where  $g \sim g'$  if there exist epimorphisms  $f$  and  $f'$  making the below diagram commute.

$$\begin{array}{ccc} Z & \xrightarrow{f} & X \\ f' \downarrow & & \downarrow g \\ X' & \xrightarrow{g'} & A \end{array}$$

*Proof.* The colimit can be computed using the following coequalizer.

$$\bigsqcup_{\substack{f \in \operatorname{Morph}(\mathcal{A}_{\leftarrow}) \\ \tilde{f}: Y \rightarrow X}} \operatorname{Hom}_{\mathcal{A}}(X, A) \xrightarrow[\sim]{\substack{\operatorname{id} \\ - \circ \tilde{f}}} \bigsqcup_{Z \in \operatorname{Obj}(\mathcal{A}_{\leftarrow})} \operatorname{Hom}(Z, A) \xrightarrow{\operatorname{coeq}} \mathcal{M}(A)$$

On elements, we have the following.

$$\begin{array}{ccc} & & (g: X \rightarrow A) \\ & \nearrow \operatorname{id} & \parallel \\ (g: X \rightarrow A) & & \\ & \searrow - \circ \tilde{f} & \\ & & (g \circ \tilde{f}: Y \rightarrow A) \end{array}$$

Thus,

$$\mathcal{M}(A) = \bigsqcup_{Z \in \operatorname{Obj}(\mathcal{A}_{\leftarrow})} \operatorname{Hom}(Z, A) / \sim,$$

where  $\sim$  is the equivalence relation generated by the relation

$$(g: X \rightarrow A) R (g': X' \rightarrow A) \iff \exists f: X' \twoheadrightarrow X \text{ such that } g' = g \circ \tilde{f}.$$



The above relation is reflexive and transitive, but not symmetric. The smallest equivalence relation containing it is the following.

$$(g: X \rightarrow A) \sim (g': X' \rightarrow A) \iff \exists f: Z \twoheadrightarrow X, f': Z \twoheadrightarrow X' \text{ such that } g' \circ f' = g \circ f.$$

That is,  $g \sim g'$  if there exist epimorphisms making the below diagram commute.

$$\begin{array}{ccc} Z & \xrightarrow{f} & X \\ f' \downarrow & & \downarrow g \\ X' & \xrightarrow{g'} & A \end{array}$$

□

In summary, the elements of  $\mathcal{M}(A)$  are equivalence classes of morphisms into  $A$  modulo the above relation, and for a morphism  $f: A \rightarrow B$  in  $\mathcal{A}$ ,  $\mathcal{M}(f)$  acts on an equivalence class  $[g]$  by

$$\mathcal{M}(f): [g] \mapsto [g \circ f].$$

**Lemma 17.** Let  $f: A \rightarrow B$  be a morphism in a small abelian category  $\mathcal{A}$  such that  $f \sim 0$ . Then  $f = 0$ .

*Proof.* We have that  $f \sim 0$  if and only if there exists an object  $Z$  and epimorphisms making the following diagram commute.

$$\begin{array}{ccc} Z & \xrightarrow{g} & A \\ \downarrow & & \downarrow f \\ 0 & \longrightarrow & B \end{array}$$

But by the universal property for epimorphisms,  $f \circ g = 0$  implies  $f = 0$ . □

Now we introduce some load-lightening notation: we write  $\hat{(-)} = \mathcal{M}(-)$ .

**Lemma 18.** Let  $f: A \rightarrow B$  be a morphism in a small abelian category  $\mathcal{A}$ . Then  $f = 0$  if and only if  $\hat{f}: \hat{A} \rightarrow \hat{B} = 0$ .

*Proof.* Suppose that  $f = 0$ . Then for any  $[g] \in \hat{A}$

$$\hat{f}([g]) = [g \circ 0] = [0].$$

Thus,  $\hat{f}([g]) = [0]$  for all  $g$ , so  $\hat{f} = 0$ .

Conversely, suppose that  $\hat{f} = 0$ . Then in particular  $\hat{f}([\text{id}_A]) = [0]$ . But

$$\hat{f}([\text{id}_A]) = [\text{id}_A \circ f] = [f].$$

By Lemma 17,  $[f] = [0]$  implies  $f = 0$ .  $\square$

**Corollary 19.** A diagram commutes in  $\mathcal{A}$  if and only if its image in  $\mathbf{Set}_*$  under  $\mathcal{M}$  commutes.

*Proof.* A diagram commutes in  $\mathcal{A}$  if and only if any two ways of going from one object to another agree, i.e. if the difference of any two

I actually don't see this right now.  $\square$

**Lemma 20.** Let  $f: A \rightarrow B$  be a morphism in a small abelian category.

- The morphism  $f$  is a monomorphism if and only if  $\mathcal{M}(f)$  is an

## 1.3 Chain complexes

**Definition 21** (category of chain complexes). Let  $\mathcal{A}$  be an abelian category. A chain complex  $C_\bullet$  in  $\mathcal{A}$  is a collection of objects  $C_n \in \mathcal{A}$ ,  $n \in \mathbb{Z}$ , together with morphisms  $d_n: C_n \rightarrow C_{n-1}$  such that  $d_{n-1} \circ d_n = 0$ .

The category of chain complexes in  $\mathcal{A}$ , denoted  $\mathbf{Ch}(\mathcal{A})$ , is the category whose objects are chain complexes and whose morphisms are morphisms  $C_\bullet \rightarrow D_\bullet$  of chain complexes, i.e. for each  $n \in \mathbb{Z}$  a map  $f_n: C_n \rightarrow D_n$  such that all of the squares form commute.

$$\begin{array}{ccccccc} \cdots & \xrightarrow{d_{n+2}^C} & C_{n+1} & \xrightarrow{d_{n+1}^C} & C_n & \xrightarrow{d_n^C} & C_{n-1} \xrightarrow{d_{n-1}^C} \cdots \\ & & \downarrow f_{n+1} & & \downarrow f_n & & \downarrow f_{n-1} \\ \cdots & \xrightarrow{d_{n+2}^D} & D_{n+1} & \xrightarrow{d_{n+1}^D} & D_n & \xrightarrow{d_n^D} & D_{n-1} \xrightarrow{d_{n-1}^D} \cdots \end{array}$$

We also define  $\mathbf{Ch}^+(\mathcal{A})$  to be the category of bounded-below chain complexes (i.e. the full subcategory consisting of chain complexes  $C_\bullet$  such that  $C_n = 0$  for small enough  $n$ ),  $\mathbf{Ch}^-(\mathcal{A})$  to be the category of bounded-above chain complexes, and  $\mathbf{Ch}^{\geq 0}(\mathcal{A})$  and  $\mathbf{Ch}^{\leq 0}(\mathcal{A})$  similarly.

**Proposition 22.** The category  $\mathbf{Ch}(\mathcal{A})$  is abelian.

*Proof.* We check each of the conditions.

The zero object is the zero chain complex, the  $\mathbf{Ab}$ -enrichment is given level-wise, and the product is given level-wise as the direct sum. That this satisfies the universal property follows almost immediately from the universal property in  $\mathcal{A}$ : given a diagram of the form

$$\begin{array}{ccccc} & & Q_\bullet & & \\ & \swarrow f_\bullet & \downarrow \exists! \phi_\bullet & \searrow g_\bullet & \\ C_\bullet & \xleftarrow{p_1} & C_\bullet \times D_\bullet & \xrightarrow{p_2} & D_\bullet \end{array}$$

the only thing we need to check is that the map  $\phi$  produced level-wise is really a chain map, i.e. that

$$\phi_{n-1} \circ d_n^Q = d_n^C \oplus d_n^D \circ \phi_n.$$

By the above work, the RHS can be re-written as

$$\begin{aligned} (d_n^C \oplus d_n^D) \circ (i_1 \circ f_{n-1} + i_2 \circ g_{n-1}) &= i_1 \circ d_n^C \circ f_n + i_2 \circ d_n^D \circ g_n \\ &= i_1 \circ f_{n-1} \circ d_n^Q + i_2 \circ g_{n-1} \circ d_n^Q \\ &= (i_1 \circ f_{n-1} + i_2 \circ g_{n-1}) \circ d_n^Q, \end{aligned}$$

which is equal to the LHS.

The kernel is also defined level-wise. The standard diagram chase shows that the induced morphisms between kernels make this into a chain complex; to see that it satisfies the universal property, we need only show that the map  $\phi$  below is a chain map.

$$\begin{array}{ccccc} & & Q_\bullet & & \\ & \swarrow \phi_\bullet & \downarrow g_\bullet & \searrow 0 & \\ \ker(f_\bullet) & \xrightarrow{\iota_\bullet} & A_\bullet & \xrightarrow{f_\bullet} & B_\bullet \end{array}$$

That is, we must have

$$\phi_{n-1} \circ d_n^Q = d_n^{\ker f} \circ \phi_n. \quad (1.1)$$

The composition

$$Q_n \xrightarrow{g_n} A_n \xrightarrow{f} B_n \xrightarrow{d_n^B} B_{n-1}$$

gives zero by assumption, giving us by the universal property for kernels a unique map

$$\psi: Q_n \rightarrow \ker f_{n-1}$$

such that

$$\iota_{n-1} \circ \psi = d_n^A \circ g_n.$$

We will be done if we can show that both sides of Equation 1.1 can play the role of  $\psi$ . Plugging in the LHS, we have

$$\begin{aligned} \iota_{n-1} \circ \phi_{n-1} \circ d_n^Q &= g_{n-1} \circ d_n^Q \\ &= d_n^A \circ g_n \end{aligned}$$

as we wanted. Plugging in the RHS we have

$$\iota_{n-1} \circ d_n^{\ker f} \circ \phi_n = d_n^A \circ g_n.$$

The case of cokernels is dual.

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Since a chain map is a monomorphism (resp. epimorphism) if and only if it is a monomorphism (resp. epimorphism), we have immediately that monomorphisms are the kernels of their cokernels, and epimorphisms are the cokernels of their kernels.  $\square$

The categories  $\mathbf{Ch}^+(\mathcal{A})$ , etc., are also abelian.

**Definition 23** (image, coimage). Given a morphism  $f: A \rightarrow B$ , the image of  $f$  is  $\text{im } f = \ker \text{coker } f$  and the coimage of  $f$  is  $\text{coker } \ker f$ .

**Definition 24** (exact sequence). Let

$$A \xrightarrow{f} B \xrightarrow{g} C$$

be morphisms such that  $g \circ f = 0$ . We can build the following commutative diagram.

$$\begin{array}{ccccc} & & \text{im } f & & \\ & & \downarrow i & \searrow 0 & \\ A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ & & \downarrow p & \nearrow & \\ & & \text{coker } f & & \end{array}$$

Since  $g \circ i = 0$ ,  $i$  factors through  $\ker g$ , giving us a morphism  $\phi: \text{im } f \rightarrow \ker g$ .

$$\begin{array}{ccccccc} & & \text{im } f & \xrightarrow{\phi} & \ker g & \longrightarrow & H_n \\ & & \downarrow i & & \downarrow & & \\ A & \xrightarrow{f} & B & \xrightarrow{g} & C & & \\ & & \downarrow p & & \nearrow & & \\ & & \text{coker } f & & & & \end{array}$$

We say that the sequence  $A \rightarrow B \rightarrow C$  above is exact if  $\phi$  is an isomorphism. We say that a complex  $(C_\bullet, d)$  is exact if it is exact at all positions.

**Definition 25** (homology). Let  $(C_\bullet, d)$  be a complex. The  $n$ th homology of  $C_\bullet$  is the cokernel of the map  $\phi: \text{im } d_{n+1} \rightarrow \ker d_n$  described in [Definition 24](#), denoted  $H_n(C_\bullet)$ .

It is useful to have the following picture in mind.

**Proposition 26.** Homology extends to a family of additive functors

$$H_n: \mathbf{Ch}(\mathcal{A}) \rightarrow \mathcal{A}.$$

*Proof.* We need to define  $H_n$  on morphisms. To this end, let  $f_\bullet: C_\bullet \rightarrow D_\bullet$  be a morphism of chain complexes.

$$\begin{array}{ccccc} C_{n+1} & \xrightarrow{d_{n+1}^C} & C_n & \xrightarrow{d_n^C} & C_{n-1} \\ f_{n+1} \downarrow & & \downarrow f_n & & \downarrow f_{n-1} \\ D_{n+1} & \xrightarrow{d_{n+1}^D} & D_n & \xrightarrow{d_n^D} & D_{n-1} \end{array}$$

We need a map  $H_n(C_\bullet) \rightarrow H_n(D_\bullet)$ . This will come from a map  $\ker d_n^C \rightarrow \ker d_n^D$ . In fact,  $f_n$  gives us such a map, essentially by restriction. We need to show that this descends to a map between cokernels.  $\square$

We can also go the other way, by defining a map

$$\iota_n: \mathcal{A} \rightarrow \mathbf{Ch}(\mathcal{A})$$

which sends an object  $A$  to the chain complex

$$\cdots \longrightarrow 0 \longrightarrow A \longrightarrow 0 \longrightarrow \cdots$$

concentrated in degree  $n$ .

**Example 27.** In some situations, homology is easy to compute explicitly. Let

$$\cdots \longrightarrow C_1 \xrightarrow{f} C_0 \longrightarrow 0$$

be a chain complex which is exact except in degree zero. Then  $H_0(C_\bullet) = \operatorname{coker} f$ .

Similarly, if

$$0 \longrightarrow C_0 \xrightarrow{f} C_{-1} \longrightarrow \cdots$$

is a chain complex whose non-trivial homology is in degree 0, then  $H_0(C_\bullet) = \ker f$ .

**Definition 28** (quasi-isomorphism). Let  $f_\bullet: C_\bullet \rightarrow D_\bullet$  be a chain map. We say that  $f$  is a quasi-isomorphism if it induces isomorphisms on homology; that is, if  $H_n(f)$  is an isomorphism for all  $n$ .

Quasi-isomorphisms are a fiddly concept. Since they induce isomorphisms on homology, and isomorphisms are invertible, one might naïvely hope quasi-isomorphisms themselves were invertible. Unfortunately, we are not so lucky. Consider the following

By [Example 27](#), we

**Definition 29** (resolution). Let  $A$  be an object in an abelian category. A resolution of  $A$  consists of a chain complex  $C_\bullet$  together with a quasi-isomorphism  $C_\bullet \rightarrow \iota(A)$ .

## 1.4 Diagram lemmas

### 1.4.1 The splitting lemma

**Lemma 30** (Splitting lemma). Consider the following solid exact sequence in an abelian category  $\mathcal{A}$ .

$$0 \longrightarrow A \xrightarrow{i_A} B \xrightarrow{\pi_C} C \longrightarrow 0$$

$\nwarrow \pi_A \quad \nearrow i_C$

The following are equivalent.

- There exists a morphism  $\pi_A: B \rightarrow A$  such that  $\pi_A \circ i_A = \text{id}_A$
- There exists a morphism  $i_C: C \rightarrow B$  such that  $\pi_C \circ i_C = \text{id}_C$
- $B$  is a direct sum  $A \oplus C$  with the obvious canonical injections and projections.

### 1.4.2 The snake lemma

**Lemma 31.** Let  $f: B \twoheadrightarrow C$  be an epimorphism, and let  $g: D \rightarrow C$  be any morphism. Then the kernel of  $f$  functions as the kernel of the pullback of  $f$  along  $g$ , in the sense that we have the following commuting diagram in which the right-hand square is a pullback square.

$$\begin{array}{ccccc} \ker f' & \xrightarrow{i} & S & \xrightarrow{f'} & D \\ \parallel & & \downarrow g' & \ulcorner & \downarrow g \\ \ker f & \xrightarrow{\iota} & B & \xrightarrow{f} & C \end{array}$$

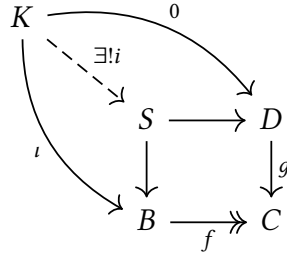
*Proof.* Consider the following pullback square, where  $f$  is an epimorphism.

$$\begin{array}{ccc} S & \xrightarrow{f'} & D \\ \downarrow g' & \ulcorner & \downarrow g \\ B & \xrightarrow{f} & C \end{array}$$

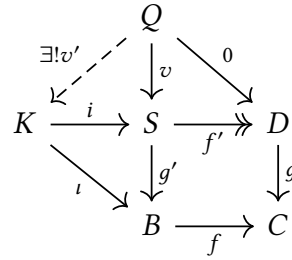
Since we are in an abelian category, the pullback  $f'$  is also an epimorphism. Denote the kernel of  $f$  by  $K$ .

The claim is that  $K$  also functions as the kernel of  $f'$ . Of course, in order for this statement to make sense we need a map  $i: K \rightarrow S$ . This is given to us by the universal

property of the pullback as follows.



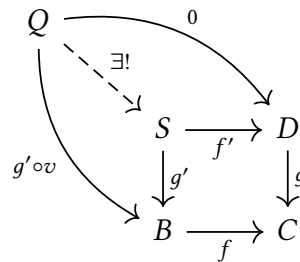
Next we need to verify that  $(K, i)$  is actually the kernel of  $f'$ , i.e. satisfies the universal property. To this end, let  $v: Q \rightarrow S$  be a map such that  $f' \circ v = 0$ . We need to find a unique factorization of  $v$  through  $K$ .



By definition  $f' \circ v = 0$ . Thus,

$$\begin{aligned} f \circ g' \circ v &= g \circ f' \circ v \\ &= g \circ 0 \\ &= 0, \end{aligned}$$

so  $g' \circ v$  factors uniquely through  $K$  as  $g' \circ v = \iota \circ v'$ . It remains only to check that the triangle formed by  $v'$  commutes, i.e.  $v = v' \circ i$ . To see this, consider the following diagram, where the bottom right square is the pullback from before.



By the universal property, there exists a unique map  $Q \rightarrow S$  making this diagram commute. However, both  $v$  and  $i \circ v'$  work, so  $v = i \circ v'$ .

Thus we have shown that, in a precise sense, the kernel of an epimorphism functions as the kernel of its pullback, and we have the following commutative diagram, where the

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right hand square is a pullback.

$$\begin{array}{ccccc} \ker f' & \xrightarrow{i} & S & \xrightarrow{f'} & D \\ \parallel & & \downarrow g' & & \downarrow g \\ \ker f & \xrightarrow{i} & B & \xrightarrow{f} & C \end{array}$$

□

At least in the case that  $g$  is mono, when phrased in terms of elements, this result is more or less obvious; we can imagine the diagram above as follows.

$$\begin{array}{ccccc} \left\{ \begin{array}{l} \text{elements of pullback} \\ \text{which map to } 0 \end{array} \right\} & \hookrightarrow & \left\{ \begin{array}{l} \text{elements of } B \\ \text{which map to } C \end{array} \right\} & \twoheadrightarrow & D \\ \parallel & & \downarrow & & \downarrow \\ \left\{ \begin{array}{l} \text{elements of } B \\ \text{which map to } 0 \end{array} \right\} & \hookrightarrow & B & \twoheadrightarrow & C \end{array}$$

**Theorem 32** (snake lemma). Consider the following commutative diagram with exact rows.

$$\begin{array}{ccccccccc} 0 & \longrightarrow & A & \xrightarrow{m} & B & \xrightarrow{e} & C & \longrightarrow & 0 \\ & & f \downarrow & & g \downarrow & & h \downarrow & & \\ 0 & \longrightarrow & A' & \xrightarrow{m'} & B' & \xrightarrow{e'} & C' & \longrightarrow & 0 \end{array}$$

This gives us an exact sequence

$$0 \rightarrow \ker f \rightarrow \ker g \rightarrow \ker h \rightarrow \operatorname{coker} f \rightarrow \operatorname{coker} g \rightarrow \operatorname{coker} h \rightarrow 0.$$

*Proof.* We provide running commentary on the diagram below.

$$\begin{array}{ccccccc} 0 & \cdots \twoheadrightarrow & \ker f & \cdots \twoheadrightarrow & \ker g & \cdots \twoheadrightarrow & \ker h \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & A & \xrightarrow{m} & B & \xrightarrow{e} & C \longrightarrow 0 \\ & & f \downarrow & & g \downarrow & & h \downarrow \\ 0 & \longrightarrow & A' & \xrightarrow{m'} & B' & \xrightarrow{e'} & C' \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & \operatorname{coker} f & \cdots \twoheadrightarrow & \operatorname{coker} g & \cdots \twoheadrightarrow & \operatorname{coker} h \longrightarrow 0 \end{array} \quad (1.2)$$

The dotted arrows come immediately from the universal property for kernels and cokernels, as do exactness at  $\ker g$  and  $\operatorname{coker} g$ . The argument for kernels appeared on the previous homework sheet, and the argument for cokernels is dual.

The only thing left is to define the dashed connecting homomorphism, and to prove



exactness at  $\ker h$  and  $\operatorname{coker} f$ .

Extract from the data of [Diagram 1.2](#) the following diagram.

$$\begin{array}{ccccc}
 & & & \ker h & \\
 & & & \downarrow & \\
 A & \xhookrightarrow{m} & B & \xrightarrow{e} & C \\
 f \downarrow & & \downarrow g & & \downarrow h \\
 A' & \xhookrightarrow{m'} & B' & \xrightarrow{e'} & C' \\
 \downarrow & & & & \\
 \Downarrow & & & & \\
 \operatorname{coker} f & & & & 
 \end{array}$$

Take a pullback and a pushout, and using [Lemma 31](#) (and its dual), we find the following.

$$\begin{array}{ccccc}
 \ker u & \xhookrightarrow{a} & S & \xrightarrow{u} & \ker h \\
 \parallel & & \downarrow r & & \downarrow \\
 A & \xhookrightarrow{m} & B & \xrightarrow{e} & C \\
 f \downarrow & & \downarrow g & & \downarrow h \\
 A' & \xhookrightarrow{m'} & B' & \xrightarrow{e'} & C' \\
 \downarrow & & \downarrow s & & \parallel \\
 \operatorname{coker} f & \xhookrightarrow[v]{} & T & \xrightarrow[b]{} & \operatorname{coker} v
 \end{array}$$

Consider the map

$$\delta_0 = S \xrightarrow{r} B \xrightarrow{g} B' \xrightarrow{s} T .$$

By commutativity,  $\delta \circ a = 0$ , hence we get a map

$$\delta_1: \ker h \rightarrow T.$$

Composing this with  $b$  gives 0, hence we get a map

$$\delta: \operatorname{coker} f \rightarrow \ker h.$$

□

There is another version of the snake lemma which does not have the first and last zeroes.

**Theorem 33** (snake lemma II). Given the following commutative diagram with exact

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rows,

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \xrightarrow{m} & B & \xrightarrow{e} & C \longrightarrow 0 \\ & & \downarrow f & & \downarrow g & & \downarrow h \\ 0 & \longrightarrow & A' & \xrightarrow{m'} & B' & \xrightarrow{e'} & C' \longrightarrow 0 \end{array}$$

we get a exact sequence

$$\ker f \rightarrow \ker g \rightarrow \ker h \rightarrow \operatorname{coker} f \rightarrow \operatorname{coker} g \rightarrow \operatorname{coker} h.$$

### 1.4.3 The long exact sequence on homology

**Corollary 34.** Given an exact sequence of complexes

$$0 \longrightarrow A_{\bullet} \xrightarrow{f_{\bullet}} B_{\bullet} \xrightarrow{g_{\bullet}} C_{\bullet} \longrightarrow 0$$

we get a long exact sequence on homology

$$\begin{array}{c} \dots \longrightarrow H_{n+1}(C) \\ \searrow \delta \\ H_n(A) \xrightarrow{H_n(f)} H_n(B) \xrightarrow{H_n(g)} H_n(C) \\ \searrow \delta \\ H_{n-1}(A) \xrightarrow{H_{n-1}(f)} H_{n-1}(B) \xrightarrow{H_{n-1}(g)} H_{n-1}(C) \\ \searrow \delta \\ H_{n-2}(A) \longrightarrow \dots \end{array}$$

*Proof.* For each  $n$ , we have a diagram of the following form.

$$\begin{array}{ccccccc} 0 & \longrightarrow & A_{n+1} & \longrightarrow & B_{n+1} & \longrightarrow & C_{n+1} \longrightarrow 0 \\ & & \downarrow d_{n+1}^A & & \downarrow d_{n+1}^B & & \downarrow d_{n+1}^C \\ 0 & \longrightarrow & A_n & \longrightarrow & B_n & \longrightarrow & C_n \longrightarrow 0 \end{array}$$

Applying the snake lemma ([Theorem 32](#)) gives us, for each  $n$ , two exact sequences as follows.

$$0 \longrightarrow \ker d_{n+1}^A \longrightarrow \ker d_{n+1}^B \longrightarrow \ker d_{n+1}^C$$

and

$$\operatorname{coker} d_n^A \longrightarrow \operatorname{coker} d_n^B \longrightarrow \operatorname{coker} d_n^C \longrightarrow 0$$

We can put these together in a diagram with exact rows as follows.

$$\begin{array}{ccccccc}
 & & \text{coker } f_{n+1} & & \text{coker } g_{n+1} & & \text{coker } h_{n+1} & & 0 \\
 & & & & & & & & \\
 0 & & \text{ker } f_n & & \text{ker } g_n & & \text{ker } h_n & & 
 \end{array}$$

Recall that for each  $n$ , we get a map

$$\phi: \text{im } f_{n+1} \rightarrow \text{ker } f_n$$

□

**Lemma 35.** Let  $\mathcal{A}$  be an abelian category, and let  $f$  be a morphism of short exact sequences in  $\text{Ch}(\mathcal{A})$  as below.

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & A'_\bullet & \xrightarrow{\alpha_\bullet} & A_\bullet & \xrightarrow{\alpha'_\bullet} & A''_\bullet & \longrightarrow & 0 \\
 & & \downarrow f'_\bullet & & \downarrow f_\bullet & & \downarrow f''_\bullet & & \\
 0 & \longrightarrow & B'_\bullet & \xrightarrow{\beta_\bullet} & B_\bullet & \xrightarrow{\beta'_\bullet} & B''_\bullet & \longrightarrow & 0
 \end{array}$$

This gives us the following morphism of long exact sequences on homology.

$$\begin{array}{ccccccccccc}
 \cdots & \longrightarrow & H_n(A) & \xrightarrow{H_n(\alpha')} & H_n(A'') & \xrightarrow{\delta} & H_{n-1}(A') & \xrightarrow{H_{n-1}(\alpha)} & H_{n-1}(A) & \longrightarrow & \cdots \\
 & & \downarrow H_n(f) & & \downarrow H_n(f'') & & \downarrow H_n(f') & & \downarrow H_n(f) & & \\
 \cdots & \longrightarrow & H_n(B) & \xrightarrow{H_n(\beta')} & H_n(B'') & \xrightarrow{\delta} & H_{n-1}(B') & \xrightarrow{H_{n-1}(\beta)} & H_{n-1}(B) & \longrightarrow & \cdots
 \end{array}$$

In particular, the connecting morphism  $\delta$  is functorial.

#### 1.4.4 The five lemma

**Lemma 36** (four lemmas). Let

$$\begin{array}{ccccccc}
 B & \longrightarrow & C & \longrightarrow & D & \longrightarrow & E \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 B' & \longrightarrow & C' & \longrightarrow & D' & \longrightarrow & E'
 \end{array}$$

be a commutative diagram with exact rows, with monomorphisms and epimorphisms as marked. Then

**Theorem 37** (five lemma).

### 1.4.5 The nine lemma

**Theorem 38** (nine lemma).

## 2 Homological algebra in abelian categories

### 2.1 Exactness in abelian categories

**Definition 39** (exact functor). Let  $F: \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor, and let

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

be an exact sequence. We use the following terminology.

- We call  $F$  left exact if

$$0 \longrightarrow F(A) \xrightarrow{f} F(B) \xrightarrow{g} F(C)$$

is exact

- We call  $F$  right exact if

$$F(A) \xrightarrow{f} F(B) \xrightarrow{g} F(C) \longrightarrow 0$$

is exact

- We call  $F$  exact if it is both left exact and right exact.

**Example 40.** We showed in Lemma ?? that both hom functors  $\text{Hom}(A, -)$  and  $\text{Hom}(-, B)$  are left exact.

According to the Yoneda lemma, to check that two objects are isomorphic it suffices to check that their images under the Yoneda embedding are isomorphic. In the context of abelian categories, the following result shows that we can also check the exactness of a sequence by checking the exactness of the image of the sequence under the Yoneda embedding.

**Lemma 41.** Let  $\mathcal{A}$  be an abelian category, and let

$$A \xrightarrow{f} B \xrightarrow{g} C$$

## 2 Homological algebra in abelian categories

be objects and morphisms in  $\mathcal{A}$ . If for all  $X$  the abelian groups and homomorphisms

$$\mathrm{Hom}_{\mathcal{A}}(X, A) \xrightarrow{f_*} \mathrm{Hom}_{\mathcal{A}}(X, B) \xrightarrow{g_*} \mathrm{Hom}_{\mathcal{A}}(X, C)$$

form an exact sequence of abelian groups, then  $A \rightarrow B \rightarrow C$  is exact.

*Proof.* To see this, take  $X = A$ , giving the following sequence.

$$\mathrm{Hom}_{\mathcal{A}}(A, A) \xrightarrow{f_*} \mathrm{Hom}_{\mathcal{A}}(A, B) \xrightarrow{g_*} \mathrm{Hom}_{\mathcal{A}}(A, C)$$

Exactness implies that

$$0 = (g_* \circ f_*)(\mathrm{id}) = (g \circ f)(\mathrm{id}) = g \circ f,$$

so  $\mathrm{im} f \subset \ker g$ . Now take  $X = \ker g$ .

$$\mathrm{Hom}_{\mathcal{A}}(\ker g, A) \xrightarrow{f_*} \mathrm{Hom}_{\mathcal{A}}(\ker g, B) \xrightarrow{g_*} \mathrm{Hom}_{\mathcal{A}}(\ker g, C)$$

The canonical inclusion  $\iota: \ker g \rightarrow B$  is mapped to zero under  $g_*$ , hence is mapped to under  $f_*$  by some  $\alpha: \ker g \rightarrow A$ . That is, we have the following commuting triangle.

$$\begin{array}{ccc} & A & \\ \alpha \nearrow & & \searrow f \\ \ker g & \xrightarrow{\iota} & B \end{array}$$

Thus  $\mathrm{im} \iota = \ker g \subset \mathrm{im} f$ . □

**Proposition 42.** Let  $F: \mathcal{A} \rightarrow \mathcal{B}$  be a functor between abelian categories.

- If  $F$  preserves finite limits, then  $F$  is left exact.
- If  $F$  preserves finite colimits, then  $F$  is right exact.

*Proof.* Let  $f: A \rightarrow B$  be a morphism in an abelian category. The universal property for the kernel of  $f$  is equivalent to the following:  $(K, \iota)$  is a kernel of  $f$  if and only if the following diagram is a pullback.

$$\begin{array}{ccc} K & \longrightarrow & 0 \\ \iota \downarrow & & \downarrow \\ A & \xrightarrow{f} & B \end{array}$$

Any functor between abelian categories which preserves limits must in particular preserve pullbacks. Any such functor also sends initial objects to initial objects, and since

initial objects are zero objects, such a functor preserves zero objects. Thus, any complete functor between abelian categories takes kernels to kernels.

Dually, any functor which preserves colimits preserves cokernels.

Next, note that the exactness of the sequence

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

is equivalent to the following three conditions.

1. Exactness at  $A$  means that  $f$  is mono, i.e.  $0 \rightarrow A$  is a kernel of  $f$ .
2. Exactness at  $B$  means that  $\text{im } f = \ker g$ .
  - If  $f$  is mono, this is equivalent to demanding that  $(A, f)$  is a kernel of  $g$ .
  - If  $g$  is epi, this is equivalent to demanding that  $(C, g)$  is a cokernel of  $f$ .
3. Exactness at  $C$  means that  $g$  is epi, i.e.  $C \rightarrow 0$  is a cokernel of  $g$ .

Any functor  $G$  which is a right adjoint preserves limits. By the above reasoning,  $G$  certainly preserves zero objects and kernels. Thus,  $G$  preserves the first two conditions, which means precisely that  $G$  is left exact.

Dually, any functor  $F$  which is a left adjoint preserves colimits, hence zero objects and cokernels. Thus,  $F$  preserves the last two conditions, which means that  $F$  is right exact.  $\square$

Note that the previous theorem makes no demand that  $F$  be additive, which may seem surprising; after all, by [Definition 39](#), only exact functors are allowed the honor of being called additive. However, it turns out that a functor which preserves either finite limits or finite colimits is always additive. To see this, note that by applying any functor which is either left or right exact to a split exact sequence gives a split exact sequence.

This is often useful when trying to check the exactness of a functor which is a left or right adjoint, by the following proposition.

**Lemma 43.** Let

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

be an exact sequence, and let  $X$  be any object. Then the sequence

$$\text{Corollary 44. } 0 \longrightarrow \text{Hom}(X, A) \xrightarrow{f_*} \text{Hom}(X, B) \xrightarrow{g_*} \text{Hom}(X, C)$$

is an exact sequence of abelian groups.

*Proof.* The hom functor  $\square$

## 2.2 Chain Homotopies

**Definition 45** (chain homotopy). Let  $f_\bullet, g_\bullet: C_\bullet \rightarrow D_\bullet$  be morphisms of chain complexes. A chain homotopy

**Lemma 46.** Let  $f_\bullet, g_\bullet: C_\bullet \rightarrow D_\bullet$  be a homotopic chain complexes via a homotopy  $h$ , and let  $F$  be an additive functor. Then  $F(h)$  is a homotopy between  $F(f_\bullet)$  and  $F(g_\bullet)$ .

*Proof.* We have

$$df + fd = h,$$

so

$$F(d)F(f) + F(f)F(d) = F(h).$$

□

**Lemma 47.** Homotopy of morphisms is an equivalence relation.

*Proof.*

- Reflexivity: the zero morphism provides a homotopy between  $f \sim f$ .
- Symmetry: If  $f \stackrel{h}{\sim} g$ , then  $g \stackrel{-h}{\sim} f$
- Transitivity: If  $f \stackrel{h}{\sim} f'$  and  $f' \stackrel{h'}{\sim} f''$ , then  $f \stackrel{h+h'}{\sim} f''$ .

□

**Lemma 48.** Homotopy respects composition. That is, let  $f \stackrel{h}{\sim} g$  be homotopic morphisms, and let  $r$  be another morphism with appropriate domain and codomain.

- $f \circ r \stackrel{hr}{\sim} g \circ r$
- $r \circ f \stackrel{rh}{\sim} r \circ g$ .

*Proof.* Obvious.

□

**Definition 49** (homotopy category). Let  $\mathcal{A}$  be an abelian category. The homotopy category  $\mathcal{K}(\mathcal{A})$ , is the category whose objects are those of  $\mathbf{Ch}(\mathcal{A})$ , and whose morphisms are equivalence classes of morphisms in  $\mathcal{A}$  up to homotopy.

**Lemma 50.** The homotopy category  $\mathcal{K}(\mathcal{A})$  is an additive category, and the quotienting functor  $\mathbf{Ch}(\mathcal{A}) \rightarrow \mathcal{K}(\mathcal{A})$  is an additive functor.

*Proof.* Trivial.

□

In fact, the homotopy category satisfies the following universal property.



**Proposition 51.** Let  $F: \mathbf{Ch}(\mathcal{A}) \rightarrow \mathcal{C}$  be an additive functor such that  $\mathcal{F}(f)$  is an isomorphism for all quasi-isomorphisms  $f$ . Then there exists a unique functor  $\mathcal{K}(\mathcal{A}) \rightarrow \mathcal{C}$  making the following diagram commute.

**Proposition 52.** Let  $\mathcal{F}: \mathbf{Ch}(\mathcal{A}) \rightarrow \mathcal{B}$  be an additive functor which takes quasi-isomorphisms to isomorphisms. Then for homotopic morphisms  $f \sim g$  in  $\mathbf{Ch}(\mathcal{A})$ , we have  $\mathcal{F}(f) = \mathcal{F}(g)$ .

## 2.3 Projectives and injectives

**Definition 53** (projective, injective). An object  $P$  in an abelian category is said to be projective if the functor  $\mathrm{Hom}(P, -)$  is exact, and injective if  $\mathrm{Hom}(-, Q)$  is exact.

Since the hom functor  $\mathrm{Hom}(A, -)$  is left exact for every  $A$ , it is very easy to see that an object  $P$  in an abelian category  $\mathcal{A}$  is projective if for every epimorphism  $f: B \rightarrow C$  and every morphism  $p: P \rightarrow C$ , there exists a morphism  $\tilde{p}: P \rightarrow B$  such that the following diagram commutes.

$$\begin{array}{ccc} & P & \\ \exists \tilde{p} \swarrow & \downarrow p & \\ B & \xrightarrow{f} & C \end{array}$$

Dually,  $Q$  is injective if for every monomorphism  $g: A \rightarrow B$  and every morphism  $q: A \rightarrow Q$  there exists a morphism  $\tilde{q}: B \rightarrow Q$  such that the following diagram commutes.

$$\begin{array}{ccc} A & \xrightarrow{g} & B \\ q \downarrow & \nwarrow \exists \tilde{q} & \\ Q & & \end{array}$$

**Definition 54** (enough projectives). Let  $\mathcal{A}$  be an abelian category. We say that  $\mathcal{A}$  has enough projectives if for every object  $M$  there exists a projective object  $P$  and an epimorphism  $P \twoheadrightarrow M$ .

**Proposition 55.** Let

$$0 \longrightarrow A \hookrightarrow B \xrightarrow{f} P \longrightarrow 0$$

be a short exact sequence with  $P$  projective. Then the sequence splits.

*Proof.* We can add another copy of  $P$  artfully as follows.

$$\begin{array}{ccccccc} & & & & P & & \\ & & & & \downarrow \mathrm{id} & & \\ 0 & \longrightarrow & A & \hookrightarrow & B & \xrightarrow{f} & P \longrightarrow 0 \end{array}$$

## 2 Homological algebra in abelian categories

By definition, we get a morphism  $P \rightarrow B$  making the triangle commute.

$$\begin{array}{ccccccc}
 & & & & P & & \\
 & & & \swarrow \exists g & \downarrow \text{id} & & \\
 0 & \longrightarrow & A & \hookrightarrow & B & \xrightarrow{f} & P \longrightarrow 0
 \end{array}$$

But this says precisely that  $f \circ g = \text{id}_P$ , i.e. the sequence splits from the right. The result follows from the splitting lemma (Lemma 30).  $\square$

**Corollary 56.** Let  $F$  be an additive functor, and let

$$0 \longrightarrow A \hookrightarrow B \xrightarrow{f} P \longrightarrow 0$$

be an exact sequence with  $P$  projective. Then  $F$  applied to the sequence gives an exact sequence.

*Proof.* Applying  $F$  to a split sequence gives a split sequence, and all split sequences are exact.  $\square$

**Corollary 57.** The category  $R\text{-Mod}$  has enough projectives.

*Proof.* By Proposition ??, free modules are projective, and every module is a quotient of a free module.  $\square$

**Proposition 58.** Let  $\mathcal{A}$  be an abelian category with enough projectives. Then every object  $A \in \mathcal{A}$  has a projective resolution.

Dually, any abelian category with enough injectives has injective resolutions.

*Proof.* We provide running commentary on the following diagram.  $\square$

**Definition 59** (projective, injective resolution). Let  $\mathcal{A}$  be an abelian category, and let  $A \in \mathcal{A}$ . A projective resolution of  $A$  is a quasi-isomorphism  $P_\bullet \rightarrow \iota(A)$ , where  $P_\bullet$  is a complex of projectives. Similarly, an injective resolution is a quasi-isomorphism  $\iota(A) \rightarrow Q_\bullet$  where  $Q_\bullet$  is a complex of injectives.

**Lemma 60.** Let  $f: P_\bullet \rightarrow \iota(M)$  be a projective resolution. Then  $f: P_0 \rightarrow M$  is an epimorphism.

*Proof.* We know that  $H_0(f): H_0(P) \rightarrow H_0(\iota(M))$  is an isomorphism. But  $H_0(\iota(M)) \simeq \iota_M$ , and that  $\square$

In fact, we can say more.

**Lemma 61.** Let  $f_\bullet: P_\bullet \rightarrow \iota(A)$  be a chain map. Then  $f$  is a projective resolution if and only if the sequence

$$\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \xrightarrow{f_0} A \rightarrow 0$$

is exact.

**Theorem 62** (extended horseshoe lemma). Let  $\mathcal{A}$  be an abelian category with enough projectives, and let  $P'_\bullet \rightarrow M'$  and  $P''_\bullet \rightarrow M''$  be projective resolutions. Then given an exact sequence

$$0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$$

there is a projective resolution  $P_\bullet \rightarrow M$  and maps  $\tilde{f}$  and  $\tilde{g}$  such that the following diagram has exact rows and commutes.

$$\begin{array}{ccccccc} 0 & \rightarrow & P'_\bullet & \xrightarrow{\tilde{f}} & P_\bullet & \xrightarrow{\tilde{g}} & P''_\bullet \rightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & M' & \xrightarrow{f} & M & \xrightarrow{\tilde{g}} & M'' \rightarrow 0 \end{array}$$

Furthermore, given a morphism  $M \rightarrow N$

*Proof.* We construct  $P_\bullet$  inductively. We have specified data of the following form.

$$\begin{array}{ccccccc} & & \vdots & & \vdots & & \\ 0 & \rightarrow & P'_1 & & P''_1 & \rightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & P'_0 & & P''_0 & \rightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & M' & \xrightarrow{f} & M & \xrightarrow{g} & M'' \rightarrow 0 \end{array}$$

We define  $P_0 = P'_0 \oplus P''_0$ . We get the maps to and from  $P_0$  from the canonical injection and projection respectively.

$$\begin{array}{ccccccc} 0 & \rightarrow & P'_0 & \xrightarrow{\iota} & P'_0 \oplus P''_0 & \xrightarrow{\pi} & P''_0 \rightarrow 0 \\ & & \downarrow p' & \searrow f \circ p'_0 & \downarrow p & \swarrow \exists q & \downarrow p'' \\ 0 & \rightarrow & M' & \xrightarrow{f} & M & \xrightarrow{g} & M'' \rightarrow 0 \end{array}$$

We get the (dashed) map  $P'_0 \rightarrow M$  by composition, and the (dashed) map  $P''_0 \rightarrow M$  by projectivity of  $P''_0$  (since by Lemma 60  $p''$  is an epimorphism). From these the universal

property for coproducts gives us the (dotted) map  $p: P'_0 \oplus P''_0 \rightarrow M$ .

At this point, the innocent reader may believe that we are in the clear, and indeed many books leave it at this. Not so! We don't know that the diagram formed in this way commutes. In fact it does not; there is nothing in the world that tells us that  $q \circ \pi = p$ .

However, this is but a small transgression, since the *squares* which are formed still commute. To see this, note that we can write

$$p = f \circ p'_0 \circ \pi_{P'_0} + q \circ \pi;$$

composing this with

The snake lemma guarantees that the sequence

$$\text{coker } p' \simeq 0 \longrightarrow \text{coker } p \longrightarrow 0 \simeq \text{coker } p''$$

is exact, hence that  $p$  is an epimorphism.

One would hope that we could now repeat this process to build further levels of  $P_\bullet$ . Unfortunately, this doesn't work because we have no guarantee that  $d_1^{P''}$  is an epimorphism, so we can't use the projectiveness of  $P''_1$  to produce a lift. We have to be clever.

The trick is to add an auxiliary row of kernels; that is, to expand the relevant portion of our diagram as follows.

$$\begin{array}{ccccccc} 0 & \longrightarrow & P'_1 & & & P''_1 & \longrightarrow 0 \\ & & \downarrow p'_1 & & & \downarrow p''_1 & \\ 0 & \longrightarrow & \ker p' & \longrightarrow & \ker p & \longrightarrow & \ker p'' \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & P'_0 & \longrightarrow & P_0 & \longrightarrow & P''_0 \longrightarrow 0 \end{array}$$

The maps  $p'_1$  and  $p''_1$  come from the exactness of  $P'_\bullet$  and  $P''_\bullet$ . In fact, they are epimorphisms, because they are really cokernel maps in disguise. That means that we are in the same situation as before, and are justified in saying “we proceed inductively”.  $\square$

**Proposition 63.** Let  $\mathcal{A}$  be an abelian category, let  $M$  and  $M'$  be objects of  $\mathcal{A}$ , and

$$P_\bullet \rightarrow M \quad \text{and} \quad P'_\bullet \rightarrow M'$$

be projective resolutions. Then for every morphism  $f: M \rightarrow M'$  there exists a lift

$\tilde{f}: P_\bullet \rightarrow P'_\bullet$  making the diagram

$$\begin{array}{ccc} P_\bullet & \xrightarrow{\tilde{f}_\bullet} & P'_\bullet \\ \downarrow & & \downarrow \\ \iota M & \xrightarrow{\iota f} & \iota M' \end{array}$$

commute, which is unique up to homotopy.

*Proof.* We construct a lift inductively.  $\square$

**Corollary 64.** For any abelian category with enough projectives, there are projective resolution functors  $\mathcal{A} \rightarrow \mathcal{K}(\mathbf{Ch}_{\geq 0}\mathcal{A})$ .

## 2.4 Mapping cones

**Definition 65** (shift functor). For any chain complex  $C_\bullet$  and any  $k \in \mathbb{Z}$ , define the  $k$ -shifted chain complex  $C_\bullet[k]$  by

$$C[k]_i = C_{k+i}; \quad d_i^{C[k]} = (-1)^k d_{i+k}^C.$$

**Definition 66** (mapping cone). Let  $f: C_\bullet \rightarrow D_\bullet$  be a chain map. Define a new complex  $\text{cone}(f)$  as follows.

- For each  $n$ , define

$$\text{cone}(f)_n = C_{n-1} \oplus D_n.$$

- Define  $d_n^{\text{cone}(f)}$  by

$$d_n^{\text{cone}(f)} = \begin{pmatrix} -d_{n-1}^C & 0 \\ -f_{n-1} & d_n^D \end{pmatrix},$$

which is shorthand for

$$d_n^{\text{cone}(f)}(x_{n-1}, y_n) = (-d_{n-1}^C x_{n-1}, d_n^D y_n - f_{n-1} x_{n-1}).$$

**Lemma 67.** The complex  $\text{cone}(f)$  naturally fits into a short exact sequence

$$0 \longrightarrow C_\bullet \xrightarrow{\iota} \text{cone}(f)_\bullet \xrightarrow{\pi} D_\bullet \longrightarrow 0.$$

*Proof.* We need to specify  $\iota$  and  $\pi$ . The morphism  $\iota$  is the usual injection; the morphism  $\pi$  is given by minus the usual projection.  $\square$

**Corollary 68.** Let  $f_\bullet: C_\bullet \rightarrow D_\bullet$  be a morphism of chain complexes. Then  $f$  is a quasi-isomorphism if and only if  $\text{cone}(f)$  is an exact complex.

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*Proof.* By [Corollary 34](#), we get a long exact sequence

$$\cdots \rightarrow H_n(X) \xrightarrow{\delta} H_n(Y) \rightarrow H_n(\text{cone}(f)) \rightarrow H_{n-1}(X) \rightarrow \cdots$$

We still need to check that  $\delta = H_n(f)$ . This is not hard to see (although I'm missing a sign somewhere); picking  $x \in \ker d^X$ , the zig-zag defining  $\delta$  goes as follows.

$$\begin{array}{ccccc} & & & x & \\ & & & \downarrow & \\ & & (x, 0) & \xrightarrow{\quad} & -x \\ & & \downarrow & & \\ f(x) & \xrightarrow{\quad} & (0, f(x)) & & \\ \downarrow & & & & \\ [f(x)] & & & & \end{array}$$

If  $\text{cone}(f)$  is exact, then we get a very short exact sequence

$$0 \longrightarrow H_n(X) \xrightarrow{H_n(f)} H_n(Y) \longrightarrow 0$$

implying that  $H_n(f)$  must be an isomorphism. Conversely, if  $H_n(f)$  is an isomorphism for all  $n$ , then the maps to and from  $H_n(\text{cone}(f))$  must be the zero maps, implying  $H_n(\text{cone}(f)) = 0$  by exactness.  $\square$

**Proposition 69.** Let  $\mathcal{A}$  be an abelian category, and let  $C_\bullet$  be a chain complex in  $\mathcal{A}$ . Let  $P_\bullet$  be a bounded below chain complex of projectives. Then any quasi-isomorphism  $g: P_\bullet \rightarrow C_\bullet$  has a quasi-inverse.

*Proof.* First we show that any morphism from a projective, bounded below complex into an exact complex is homotopic to zero. We do so by constructing a homotopy.

$$\begin{array}{ccccccc} \cdots & P_1 & \xrightarrow{\quad} & P_0 & \xrightarrow{\quad} & 0 & \cdots \\ & \downarrow & \swarrow h_1 & \downarrow & \swarrow h_0 & \downarrow & \\ \cdots & C_1 & \xrightarrow{\quad} & C_0 & \xrightarrow{\quad} & C_{-1} & \cdots \end{array}$$

Consider the following solid commuting diagram, where  $K$  is the kernel of  $d_1^C$  and  $r =$

$f_1 - h_0 \circ d_1^P$  is not the map  $f_1$ .

$$\begin{array}{ccccc}
 & & P_1 & & \\
 & \swarrow \exists h_1 & \downarrow r & \searrow 0 & \\
 & K & & & \\
 C_2 & \xrightarrow{d_2^C} & C_1 & \xrightarrow{d_1^C} & C_0
 \end{array}$$

Because

$$\begin{aligned}
 d_1^C \circ r &= d_1^C \circ f_1 - d_1^C \circ h_0 \circ d_1^P \\
 &= d_1^C \circ f_1 - d_1^C \circ f_1 \\
 &= 0,
 \end{aligned}$$

the morphism  $r$  factors through  $K$ . This gives us a morphism from a projective onto the target of an epimorphism, which we can lift to the source. This is what we call  $h_1$ .

It remains to check that  $h_1$  is a homotopy from  $f$  to 0. Plugging in, we find

$$\begin{aligned}
 d_2^C \circ h_1 + h_0 \circ d_1^P &= r + h_0 \circ d_1^P \\
 &= f_1
 \end{aligned}$$

as required.

Iterating this process, we get a homotopy between 0 and  $f$ .

Now let  $f: C_\bullet \rightarrow P_\bullet$  be a quasi-isomorphism, where  $P_\bullet$  is a bounded-below projective complex. Since  $f$  is a quasi-isomorphism,  $\text{cone}(f)$  is exact, which means that  $\iota: P \rightarrow \text{cone}(f)$  is homotopic to the zero morphism.

$$\begin{array}{ccccc}
 P_{i+1} & \xrightarrow{d_{i+1}^P} & P_i & \xrightarrow{d_i^P} & P_{i-1} \\
 \downarrow \iota_{i+1} & \searrow \tilde{h}_i & \downarrow \iota_i & \searrow \tilde{h}_{i-1} & \downarrow \iota_{i-1} \\
 C_i \oplus P_{i+1} & \xrightarrow{d_{i+1}^{\text{cone}(f)}} & C_{i-1} \oplus P_i & \xrightarrow{d_i^{\text{cone}(f)}} & C_{i-2} \oplus P_{i-1}
 \end{array}$$

That is, there exist  $\tilde{h}_i: P_i \rightarrow \text{cone}(f)_{i+1}$  such that

$$d_{i+1}^{\text{cone}(f)} \circ \tilde{h}_i + \tilde{h}_{i-1} \circ d_i^P = \iota. \quad (2.1)$$

Writing  $\tilde{h}_i$  in components as  $\tilde{h}_i = (\beta_i, \gamma_i)$ , where

$$\beta_i: P_i \rightarrow C_i \quad \text{and} \quad \gamma_i: P_{i-1} \rightarrow P_i,$$

we find what looks tantalizingly like a chain map  $\beta_\bullet: P_\bullet \rightarrow C_\bullet$ . Indeed, writing [Equation 2.1](#) in components, we find the following.

$$\begin{pmatrix} -d_i^C & 0 \\ -f_i & d_{i+1}^P \end{pmatrix} \begin{pmatrix} \beta_i \\ \gamma_i \end{pmatrix} + \begin{pmatrix} \beta_{i-1} \\ \gamma_{i-1} \end{pmatrix} \begin{pmatrix} d_i^P \\ \end{pmatrix} = \begin{pmatrix} 0 \\ \text{id}_{P_i} \end{pmatrix}$$

$$\begin{pmatrix} -d_i^C \circ \beta_i + \beta_{i-1} \circ d_i^P \\ -f_i \circ \beta_i + d_{i+1}^P \circ \gamma_i + \gamma_{i-1} \circ d_i^P \end{pmatrix} = \begin{pmatrix} 0 \\ \text{id}_{P_i} \end{pmatrix}$$

The first line tells us that  $\beta$  is a chain map. The second line tells us that<sup>1</sup>

$$d_{i+1}^P \circ \gamma_i + \gamma_{i-1} \circ d_i^P = \text{id}_{P_i} - f_i \circ \beta_i,$$

i.e. that  $\gamma$  is a homotopy  $\text{id}_{P_i} \sim f_i \circ \beta_i$ . But homology collapses homotopic maps, so

$$H_n(\text{id}_{P_i}) = H_n(f_i) \circ H_n(\beta_i),$$

which implies that

$$H_n(\beta_i) = H_n(f_i)^{-1}.$$

□

## 2.5 Localization at weak equivalences: a love story

We can embed any abelian category  $\mathcal{A}$  into the corresponding category  $\text{Ch}(\mathcal{A})$  of chain complexes via inclusion into degree zero. This is obviously a lossless procedure, in the sense that we recover  $\mathcal{A}$  by restricting to degree 0.

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{\iota} & \text{Ch}(\mathcal{A}) \\ & \xleftarrow{(-)_0} & \end{array}$$

Equivalently, we recover our category  $\mathcal{A}$  by taking zeroth homology.

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{\iota} & \text{Ch}(\mathcal{A}) \\ & \xleftarrow{H_0} & \end{array}$$

However, we notice that there are much better-behaved embeddings of  $\mathcal{A}$  into  $\text{Ch}(\mathcal{A})$  such that we recover  $\mathcal{A}$  when taking zeroth homology. For example, taking projective resolutions of objects and lifting morphisms using [Proposition 63](#) will do the trick. Unfortunately, this is not in general uniquely defined: one can take many different pro-

<sup>1</sup>Actually, it doesn't tell us this, but I suspect that it would if I were a little better at algebra.



jective resolutions, and lift morphisms in many different ways. There is no reason to expect these data to assemble themselves functorially.

However, if we were to modify  $\mathbf{Ch}(\mathcal{A})$  in such a way that all quasi-isomorphisms became bona-fide isomorphisms, then we would have this functoriality, thanks to [Proposition 52](#).

Thus we find ourselves in a common situation: we have a category  $\mathbf{Ch}(\mathcal{A})$ , and identified a collection of morphisms inside this category which we would like to view as weak equivalences, namely the quasi-isomorphisms. In an ideal world, we would simply promote quasi-isomorphisms to bona fide isomorphisms. That is, we would like to form the localization

$$\mathbf{Ch}(\mathcal{A}) \rightarrow \mathbf{Ch}(\mathcal{A})[\{\text{quasi-isomorphisms}\}^{-1}].$$

Unfortunately, localization is not at all a trivial process, and one can get hurt if one is not careful. For that reason, actually constructing the above localization and then working with it is not a profitable approach to take.

However, note that we can get what we want by making a more draconian identification: collapsing all homotopy equivalences. Homotopy equivalence is friendlier than quasi-isomorphism in the sense that one can take the quotient by it; that is, there is a well-defined additive functor

$$\mathbf{Ch}(\mathcal{A}) \twoheadrightarrow \mathcal{K}(\mathcal{A})$$

which is the identity on objects and sends morphisms to their equivalence classes modulo homotopy; this sends precisely homotopy equivalences to isomorphisms. In fact, this is universal in the sense that every other additive functor sending quasi-isomorphisms to isomorphisms factors through it. In particular, the functor

$$\mathbf{Ch}(\mathcal{A}) \rightarrow \mathbf{Ch}(\mathcal{A})[\{\text{quasi-isomorphisms}\}^{-1}]$$

factors through it.

But now we are in a really wonderful position, as long as  $\mathcal{A}$  has enough projectives: we can (by REF we have already proved) find a projective resolution functor

$$P: \mathcal{A} \rightarrow \mathcal{K}^+(\mathcal{A}).$$

In fact, this functor is an equivalence of categories.

## 2.6 Homological $\delta$ -functors and derived functors

We have noticed that we can

**Definition 70** (derived functor). Let  $F: \mathcal{A} \rightarrow \mathcal{B}$  be a functor between abelian categories.

- If  $F$  is right exact and  $\mathcal{A}$  has enough projectives, we declare the left derived functor to be the cohomological  $\delta$ -functor  $\{L_n F\}$  defined by

$$L_n F = \mathcal{A} \xrightarrow{P} \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{A})) \xrightarrow{F} \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{B})) \xrightarrow{H_n} \mathcal{B}$$

where  $P: \mathcal{A} \rightarrow \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{A}))$  is a projective resolution functor.

- If  $F$  is left exact and  $\mathcal{A}$  has enough injectives, we define the right derived functor to be the cohomological  $\delta$ -functor

$$R^n F(X) = H^n \circ F \circ Q$$

where  $Q$  is an injective resolution functor.

It may seem that we still have something left to prove; after all, we have not shown that the result of our composition is independant of the projective/injective resolution functor we used. However,

Because  $\{H_n\}$  is a homological  $\delta$ -functor, it is trivial that  $L_n F$  is a homological delta-functor. Note that it really does extend  $F$  in the following sense.

**Proposition 71.** Let  $F: \mathcal{A} \rightarrow \mathcal{B}$  be a right exact functor between abelian categories. We have that

$$L_0 F \simeq F.$$

*Proof.* Let  $P_\bullet \rightarrow X$  be a projective resolution. By [Lemma 61](#), the following sequence is exact.

$$P_2 \longrightarrow P_1 \xrightarrow{f} P_0 \twoheadrightarrow X \longrightarrow 0$$

Since  $F$  is right exact, the following sequence is exact.

$$F(P_2) \longrightarrow F(P_1) \xrightarrow{F(f)} F(P_0) \twoheadrightarrow F(X) \longrightarrow 0$$

This tells us that  $F(X) \simeq \text{coker}(F(f))$ . But

$$\text{coker}(H(f)) \simeq H_0(P_\bullet) = L_0 F(X).$$

Similarly, on morphisms □

**Definition 72** (homological  $\delta$ -functor). A homological  $\delta$ -functor between abelian categories  $\mathcal{A}$  and  $\mathcal{B}$  consists of the following data.

1. For each  $n \in \mathbb{Z}$ , an additive functor

$$T_n: \mathcal{A} \rightarrow \mathcal{B}.$$

2. For every short exact sequence

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

in  $\mathcal{A}$  and for each  $n \in \mathbb{Z}$ , a morphism

$$\delta_n: T_n(C) \rightarrow T_{n-1}(A).$$

This data is subject to the following conditions.

1. For  $n < 0$ , we have  $T_n = 0$ .
2. For every short exact sequence as above, there is a long exact sequence

$$\begin{array}{ccccccc}
 & & & & \cdots & \longrightarrow & T_{n+1}(C) \\
 & & & & & \searrow & \delta \\
 & & & & & & \downarrow \\
 & & & & T_n(A) & \xrightarrow{T_n(f)} & T_n(B) \xrightarrow{T_n(g)} T_n(C) \\
 & & & & & \searrow & \delta \\
 & & & & & & \downarrow \\
 & & & & T_{n-1}(A) & \xrightarrow{T_{n-1}(f)} & T_{n-1}(B) \xrightarrow{T_{n-1}(g)} T_{n-1}(C) \\
 & & & & & \searrow & \delta \\
 & & & & & & \downarrow \\
 & & & & T_{n-2}(A) & \longrightarrow & \cdots
 \end{array}$$

3. For every morphism of short exact sequences

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & 0 \\
 & & f \downarrow & & g \downarrow & & h \downarrow & & \\
 0 & \longrightarrow & A' & \longrightarrow & B' & \longrightarrow & C' & \longrightarrow & 0
 \end{array}$$

and every  $n$ , the diagram

$$\begin{array}{ccc}
 T_n(C) & \xrightarrow{\delta_n} & T_{n-1}(A) \\
 T_n(h) \downarrow & & \downarrow T_n(f) \\
 T_n(C') & \xrightarrow{\delta_n} & T_{n-1}(A')
 \end{array}$$

commutes; that is, a morphism of short exact sequences leads to a morphism of long exact sequences.

This seems like an inelegant definition, and indeed it is.

**Definition 73** (cohomological  $\delta$ -functors). Dual to [Definition 72](#).

**Example 74.** The prototypical example of a homological  $\delta$ -functor is homology: the collection  $H_n: \mathbf{Ch}(\mathcal{A}) \rightarrow \mathcal{A}$ , together with the collection of connecting homomorphisms,

is a homological delta-functor. In fact, the most interesting homological delta functors called *derived functors*, come from homology.

## 2.7 Double complexes

**Definition 75** (double complex). Let  $\mathcal{A}$  be an abelian category. A double complex in  $\mathcal{A}$  consists of an array  $M_{i,j}$  of objects together with, for every  $i$  and  $j \in \mathbb{Z}$ , morphisms

$$d_{i,j}: M_{i,j} \rightarrow M_{i-1,j}, \quad \delta_{i,j}: M_{i,j} \rightarrow M_{i,j-1}$$

subject to the following conditions.

- $d^2 = 0$
- $\delta^2 = 0$
- $d\delta + \delta d = 0$

We say that  $M_{\bullet,\bullet}$  is first-quadrant if  $M_{i,j} = 0$  for  $i, j < 0$ .

That is, for  $M$  a double complex the following diagram anticommutes rather than commutes.

$$\begin{array}{ccccccc} M_{0,3} & \longleftarrow & M_{1,3} & \longleftarrow & M_{2,3} & \longleftarrow & M_{3,3} \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ M_{0,2} & \longleftarrow & M_{1,2} & \longleftarrow & M_{2,2} & \longleftarrow & M_{3,2} \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ M_{0,1} & \longleftarrow & M_{1,1} & \longleftarrow & M_{2,1} & \longleftarrow & M_{3,1} \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ M_{0,0} & \longleftarrow & M_{1,0} & \longleftarrow & M_{2,0} & \longleftarrow & M_{3,0} \end{array}$$

Given any complex of complexes

$$\left( \cdots \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow M_{-1,\bullet} \longrightarrow \cdots \right) \in \mathbf{Ch}(\mathbf{Ch}(\mathcal{A})),$$

one can construct a double complex by multiplying every other differential by  $-1$ .

**Definition 76** (total complex). Let  $M_{\bullet,\bullet}$  be a first-quadrant<sup>2</sup> double complex. The total

<sup>2</sup>This restriction is not strictly necessary, but then one has to deal with infinite direct sums, and hence must decide whether one wants the direct sum or the direct product. We only need first-quadrant double complexes, so all of our sums will be finite.

complex  $\text{Tot}(M)_\bullet$  is defined level-wise by

$$\text{Tot}(M)_n = \bigoplus_{i+j=n} M_{i,j},$$

with differential given by  $d_{\text{Tot}} = d + \delta$ .

**Lemma 77.** The total complex really is a complex, i.e.

$$d_{\text{Tot}} \circ d_{\text{Tot}} = 0.$$

*Proof.* Hand-wavily, we have

$$d_{\text{Tot}} \circ d_{\text{Tot}} = (d + \delta) \circ (d + \delta) = d^2 + d \circ \delta + \delta \circ d + \delta^2 = 0.$$

□

**Theorem 78.** Let  $M_{i,j}$  be a first-quadrant double complex. Then if either the rows  $M_{\bullet,j}$  or the columns  $M_{i,\bullet}$  are exact, then the total complex  $\text{Tot}(M)_\bullet$  is exact.

*Proof.* Let  $M_{i,j}$  be a first-quadrant double complex, without loss of generality with exact rows.

$$\begin{array}{ccccccc}
 & & M_{3,0} & & & & \\
 & & \downarrow d_{3,0} & & & & \\
 & & M_{2,0} & \xleftarrow{\delta_{2,1}} & M_{2,1} & & \\
 & & \downarrow d_{2,0} & & \downarrow d_{2,1} & & \\
 & & M_{1,0} & \xleftarrow{\delta_{1,1}} & M_{1,1} & \xleftarrow{\delta_{1,2}} & M_{1,2} \\
 & & \downarrow d_{1,0} & & \downarrow d_{1,1} & & \downarrow d_{1,2} \\
 & & M_{0,0} & \xleftarrow{\delta_{0,1}} & M_{0,1} & \xleftarrow{\delta_{0,2}} & M_{0,2} & \xleftarrow{\delta_{0,3}} & M_{0,3}
 \end{array}$$

We want to show that the total complex

$$\begin{array}{ccc}
 M_{3,0} \oplus M_{2,1} \oplus M_{1,2} \oplus M_{0,3} & = & \text{Tot}(M)_3 \\
 \downarrow & & \downarrow \\
 M_{2,0} \oplus M_{1,1} \oplus M_{0,2} & = & \text{Tot}(M)_2 \\
 \downarrow & & \downarrow \\
 M_{1,0} \oplus M_{0,1} & = & \text{Tot}(M)_1 \\
 \downarrow & & \downarrow \\
 M_{0,0} & = & \text{Tot}(M)_0 \\
 \downarrow & & \downarrow \\
 0 & & 0
 \end{array}$$

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is exact.

The construction is inductive on the degree of the total complex. At level 0 there is nothing to show; the morphism  $d_1^{\text{Tot}}$  is manifestly surjective since  $\delta_{0,1}$  is. Since numbers greater than 1 are for all intents and purposes interchangeable, we construct the inductive step for  $n = 2$ .

We have a triple  $m = (m_{2,0}, m_{1,1}, m_{0,2}) \in \text{Tot}(M)_2$  which maps to 0 under  $d_2^{\text{Tot}}$ ; that is,

$$d_{2,0}m_{2,0} + \delta_{1,1}m_{1,1} = 0, \quad d_{1,1}m_{1,1} + \delta_{0,2}m_{0,2} = 0.$$

Our goal is to find

$$n = (n_{3,0}, n_{2,1}, n_{1,2}, n_{0,3}) \in \text{Tot}(M)_3$$

such that  $d_3^{\text{Tot}}n = m$ .

We make our lives easier by choosing  $n_{3,0} = 0$ . By exactness, we can always find  $n_{2,1}$  such that  $\delta n_{2,1} = m_{2,0}$ . Thus, by anti-commutativity,

$$d\delta n_{2,1} = -\delta dn_{2,1}.$$

But  $\delta n_{2,1} = m_{2,0} = -\delta m_{1,1}$ , so

$$\delta(m_{1,1} - dn_{2,1}) = 0.$$

This means that  $m_{1,1} - dn_{2,1} \in \ker \delta$ , i.e. that there exists  $n_{1,2}$  such that  $\delta n_{1,2} = m_{1,1} - dn_{2,1}$ . Thus

$$d\delta n_{1,2} = dm_{1,1} = -\delta m_{0,2}.$$

But

$$d\delta n_{1,2} = -\delta dn_{1,2},$$

so

$$\delta(m_{0,2} - dn_{1,2}) = 0.$$

Now we repeat this process, finding  $n_{1,2}$  such that

$$\delta n_{1,2} = m_{1,1} - dn_{2,1},$$

and  $n_{0,3}$  such that

$$\delta n_{0,3} = m_{0,2} - dn_{1,2}.$$

Then

$$\begin{aligned} d^{\text{Tot}}(n_{3,0} + n_{2,1} + n_{1,2} + n_{0,3}) &= 0 + (d + \delta)n_{2,1} + (d + \delta)n_{1,2} + (d + \delta)n_{0,3} \\ &= 0 + 0 + m_{2,0} + dn_{2,1} + (m_{1,1} - dn_{2,1}) + dn_{1,2} + (m_{0,2} - dn_{1,2}) + 0 \\ &= m_{2,0} + m_{1,1} + m_{0,2} \end{aligned}$$

as required.  $\square$

Consider any morphism  $\alpha$  in  $\mathbf{Ch}_{\geq 0}(\mathbf{Ch}_{\geq 0}(\mathcal{A}))$  to a complex concentrated in degree 0. We can view this in two ways.

- As a chain

$$C_{2,\bullet} \longrightarrow C_{1,\bullet} \longrightarrow C_{0,\bullet} \xrightarrow{\alpha} D_{\bullet}$$

hence (by inserting appropriate minus signs) a double complex  $C_{\bullet,\bullet}^D$ ;

- As a morphism between double complexes, hence between totalizations

$$\mathrm{Tot}(\alpha)_{\bullet}: \mathrm{Tot}(C)_{\bullet} \rightarrow \mathrm{Tot}(D)_{\bullet}.$$

**Lemma 79.** These two points of view agree in the sense that

$$\mathrm{Tot}(C^D) = \mathrm{Cone}(\mathrm{Tot}(\alpha)).$$

*Proof.* Write down the definitions.  $\square$

**Theorem 80.** Let  $A_{\bullet} \in \mathbf{Ch}_{\geq 0}(\mathcal{A})$ , and let

$$\begin{array}{ccccccc} \cdots & \longrightarrow & M_{2,\bullet} & \longrightarrow & M_{1,\bullet} & \longrightarrow & M_{0,\bullet} \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \alpha_{\bullet} \\ \cdots & \longrightarrow & 0 & \longrightarrow & 0 & \longrightarrow & A_{\bullet} \end{array}$$

be a resolution of  $A_{\bullet}$ . Then  $\mathrm{Tot}(M)$  is quasi-isomorphic to  $A$ .

*Proof.* The sequence

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow A_{\bullet} \longrightarrow 0$$

is exact. By [Theorem 78](#), the total complex  $\mathrm{Tot}(M^A)$  is exact. But by [Lemma 79](#) the total complex is equivalently the cone of  $\alpha_{\bullet}$ . However, we have seen (in [Corollary 68](#)) that exactness of  $\mathrm{cone}(\alpha_{\bullet})$  means that  $\alpha_{\bullet}$  is a quasi-isomorphism.  $\square$

**Corollary 81.** Let

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow 0$$

be a complex in  $\mathbf{Ch}_{\geq 0}(\mathbf{Ch}_{\geq 0}(\mathcal{A}))$  such that  $H_0(M_{i,\bullet}) = 0$  for  $i \neq 0$ . Then  $\mathrm{Tot}(M)$  is quasi-isomorphic to the sequence

$$\cdots \longrightarrow H_0(M_{2,\bullet}) \longrightarrow H_0(M_{1,\bullet}) \longrightarrow H_0(M_{0,\bullet}) \longrightarrow 0$$

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*Proof.* For each  $i$  we can write

$$H_0(M_{i,\bullet}) = \text{coker } d_1^{M_i}.$$

In particular, we have a double complex

$$\begin{array}{ccccccc}
 M_{0,3} & \longleftarrow & M_{1,3} & \longleftarrow & M_{2,3} & \longleftarrow & M_{3,3} \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 M_{0,2} & \longleftarrow & M_{1,2} & \longleftarrow & M_{2,2} & \longleftarrow & M_{3,2} \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 M_{0,1} & \longleftarrow & M_{1,1} & \longleftarrow & M_{2,1} & \longleftarrow & M_{3,1} \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 M_{0,0} & \longleftarrow & M_{1,0} & \longleftarrow & M_{2,0} & \longleftarrow & M_{3,0} \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 H_0(M_{0,\bullet}) & \longleftarrow & H_0(M_{1,\bullet}) & \longleftarrow & H_0(M_{2,\bullet}) & \longleftarrow & H_0(M_{3,\bullet}) \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 0 & & 0 & & 0 & & 0
 \end{array}$$

Flipping along the main diagonal, we have the following resolution.

$$M_{\bullet,2} \longrightarrow M_{\bullet,1} \longrightarrow M_{\bullet,0} \longrightarrow H_0(M_{\bullet,\bullet})$$

Now [Theorem 80](#) gives us the result we want. □

## 2.8 Tensor-hom adjunction for chain complexes

In a general abelian category, there is no notion of a tensor product. However, many interesting abelian categories carry tensor products, and we would like to be able to talk about them. In this section, we let  $\mathcal{A}$  be an abelian category and let

$$- \otimes -: \mathcal{A}^{\text{op}} \otimes \mathcal{A} \rightarrow \mathcal{H},$$

be an additive functor, where  $\mathcal{H}$  is some abelian category equipped with an exact functor to  $\mathbf{Ab}$ . Further suppose that

**Definition 82** (tensor product of chain complexes). Let  $C_\bullet, D_\bullet$  be chain complexes in  $\mathcal{A}$ . We define the tensor product of  $C_\bullet$  and  $D_\bullet$  by

$$(C \otimes D)_\bullet = \text{Tot}(C_\bullet \otimes D_\bullet),$$



where  $\text{Tot}(C_\bullet \otimes D_\bullet)$  is the totalization of the double complex  $C_\bullet \otimes D_\bullet$ .

**Definition 83** (internal hom). Let  $\mathcal{A}$  be an abelian category with an internal hom functor (for example, a category of modules over a commutative ring), and let  $C_\bullet, D_\bullet$  be chain complexes in  $\mathcal{A}$ . We have

## 2.9 The Künneth formula

This section takes place in  $R\text{-Mod}$ , where  $R$  is a PID. For example, everything we are saying holds in **Ab**.

**Lemma 84.** Let  $C_\bullet$  be a chain complex of free  $R$ -modules with trivial differential, and let  $C'_\bullet$  be an arbitrary chain complex. Then there is an isomorphism

$$\lambda: \bigoplus_{p+q=n} H_p(C_\bullet) \otimes H_q(C'_\bullet) \cong H_n(C_\bullet \otimes C'_\bullet).$$

*Proof.* We write

$$Z_p = Z_p(C_\bullet), \quad B_p = B_p(C_\bullet), \quad Z'_p = Z_p(C'_\bullet), \quad B'_p = B_p(C'_\bullet),$$

The sequence

$$0 \longrightarrow Z'_q \hookrightarrow C'_q \twoheadrightarrow B'_{q-1} \longrightarrow 0$$

is exact by definition, and since  $Z_p = C_p$  is by assumption free, the sequence

By definition,  $Z_p = C_p$  is free. Thus the sequence

$$0 \longrightarrow Z_p \otimes Z'_q \hookrightarrow Z_p \otimes C'_q \twoheadrightarrow Z_p \otimes B'_{q-1} \longrightarrow 0$$

is exact.

The differential of the tensor product complex  $C_\bullet \otimes C'_\bullet$  is

$$\begin{aligned} d_n^{\text{tot}} &= \sum_{i=0}^n d_i^C \otimes \text{id}_{C'_{n-i}} + (-1)^i \text{id}_{C_i} \otimes d_{n-i}^{C'} \\ &= \sum_{i=0}^n (-1)^i \text{id}_{C_i} \otimes d_{n-i}^C. \end{aligned}$$

Since  $C_i$  is by assumption free, tensoring with it doesn't change homology, and we have

$$Z_n(C_\bullet \otimes C'_\bullet) = \bigoplus_{p+q=n} Z_p \otimes Z'_q,$$

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and

$$Z_n(C_\bullet \otimes C'_\bullet) = \bigoplus_{p+q=n} Z_p \otimes Z'_q,$$

□