1.1 Chain complexes

Definition 1 (category of chain complexes). Let \mathcal{A} be an abelian category. A <u>chain complex</u> C_{\bullet} in \mathcal{A} is a collection of objects $C_n \in \mathcal{A}$, $n \in \mathbb{Z}$, together with morphisms $\overline{d_n \colon C_n \to C_{n-1}}$ such that $d_{n-1} \circ d_n = 0$.

The category of chain complexes in \mathcal{A} , denoted $\operatorname{Ch}(\mathcal{A})$, is the category whose objects are chain complexes and whose morphisms are morphisms $C_{\bullet} \to D_{\bullet}$ of chain complexes, i.e. for each $n \in \mathbb{Z}$ a map $f_n \colon C_n \to D_n$ such that all of the squares form commute.

$$\cdots \xrightarrow{d_{n+2}^C} C_{n+1} \xrightarrow{d_{n+1}^C} C_n \xrightarrow{d_n^C} C_{n-1} \xrightarrow{d_{n-1}^C} \cdots$$

$$\downarrow^{f_{n+1}} \downarrow^{f_n} \downarrow^{f_n} \downarrow^{f_{n-1}} \downarrow^{f_{n-1}} \downarrow^{d_{n-1}^D} \cdots$$

$$\cdots \xrightarrow{d_{n+2}^D} D_{n+1} \xrightarrow{d_{n+1}^D} D_n \xrightarrow{d_n^D} D_{n-1} \xrightarrow{d_{n-1}^D} \cdots$$

We also define $Ch^+(\mathcal{A})$ to be the category of <u>bounded-below</u> chain complexes (i.e. the full subcategory consisting of chain complexes C_{\bullet} such that $C_n = 0$ for small enough n), $Ch^-(\mathcal{A})$ to be the category of <u>bounded-above</u> chain complexes, and $Ch^{\geq 0}(\mathcal{A})$ and $Ch^{\leq 0}(\mathcal{A})$ similarly.

Theorem 2. The category Ch(A) is abelian.

Proof. We check each of the conditions.

The zero object is the zero chain complex, the **Ab**-enrichment is given level-wise, and the product is given level-wise as the direct sum. That this satisfies the universal property follows almost immediately from the universal property in \mathcal{A} : given a diagram of the form

$$C_{\bullet} \xleftarrow{f_{\bullet}} C_{\bullet} \times D_{\bullet} \xrightarrow{g_{\bullet}} D_{\bullet}$$

the only thing we need to check is that the map ϕ produced level-wise is really a chain

map, i.e. that

$$\phi_{n-1} \circ d_n^Q = d_n^C \oplus d_n^D \circ \phi_n.$$

By the above work, the RHS can be re-written as

$$(d_n^C \oplus d_n^D) \circ (i_1 \circ f_{n-1} + i_2 \circ g_{n-1}) = i_1 \circ d_n^C \circ f_n + i_2 \circ d_n^D \circ g_n$$

$$= i_1 \circ f_{n-1} \circ d_n^Q + i_2 \circ g_{n-1} \circ d_n^Q$$

$$= (i_1 \circ f_{n-1} + i_2 \circ g_{n-1}) \circ d_n^Q,$$

which is equal to the LHS.

The kernel is also defined level-wise. The standard diagram chase shows that the induced morphisms between kernels make this into a chain complex; to see that it satisfies the universal property, we need only show that the map ϕ below is a chain map.

$$\begin{array}{c}
Q_{\bullet} \\
\downarrow g_{\bullet} \\
\downarrow g_{\bullet}
\end{array}$$

$$\begin{array}{c}
\downarrow g_{\bullet} \\
\downarrow g_{\bullet}
\end{array}$$

$$A_{\bullet} \xrightarrow{f_{\bullet}} B_{\bullet}$$

That is, we must have

$$\phi_{n-1} \circ d_n^Q = d_n^{\ker f} \circ \phi_n. \tag{1.1}$$

The composition

$$Q_n \xrightarrow{g_n} A_n \xrightarrow{f} B_n \xrightarrow{d_n^B} B_{n-1}$$

gives zero by assumption, giving us by the universal property for kernels a unique map

$$\psi \colon Q_n \to \ker f_{n-1}$$

such that

$$\iota_{n-1}\circ\psi=d_n^A\circ g_n.$$

We will be done if we can show that both sides of Equation 1.1 can play the role of ψ . Plugging in the LHS, we have

$$\iota_{n-1} \circ \phi_{n-1} \circ d_n^Q = g_{n-1} \circ d_n^Q$$
$$= d_n^A \circ g_n$$

as we wanted. Plugging in the RHS we have

$$\iota_{n-1}\circ d_n^{\ker f}\circ\phi_n=d_n^A\circ g_n.$$

The case of cokernels is dual.

Since a chain map is a monomorphism (resp. epimorphism) if and only if it is a monomor-

phism (resp. epimorphism), we have immediately that monomorphisms are the kernels of their cokernels, and epimorphisms are the cokernels of their kernels. \Box

The categories $Ch^+(A)$, etc., are also abelian.

1.2 Homology

We would like to replicate the notion of the homology of a chain complex C_{\bullet} in the context of abelian categories.

$$\cdots \xrightarrow{d_{n+2}} C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n_1} \xrightarrow{d_{n-1}} \cdots$$

This will mean finding appropriate notions of every symbol appearing in the equation.

$$H_n(C_{\bullet}) = \frac{\ker d_n}{\operatorname{im} d_{n+1}}.$$

We already have a notion of the kernel, which we can use to define a notion of the image in the following way. Given a map $f: A \to B$, we heuristically think of the cokernel of f as being B/im f. Thus, taking the kernel of the cokernel should give us the image of f.

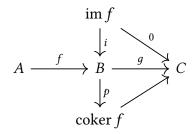
Definition 3 (image). Given a morphism $f: A \to B$, the <u>image</u> of f is im $f = \ker \operatorname{coker} f$.

Now we need to figure out what it means to quotient the image of one map by the kernel of the next. Again, the cokernel saves our necks; we just need a map from im d_{n+1} into ker d_n . The homology will be the cokernel of this map.

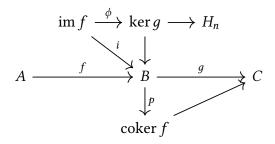
Definition 4 (homology). Let

$$A \xrightarrow{f} B \xrightarrow{g} C$$

be morphisms such that $g \circ f = 0$. We can build the following commutative diagram.



Since $g \circ i = 0$, i factors through ker g, giving us a morphism $\phi \colon \operatorname{im} f \to \ker g$.



The homology of the sequence $A \to B \to C$ at B is then coker ϕ .

Definition 5 (exact sequence). Let

$$A_1 \xrightarrow{f_1} A_2 \xrightarrow{f_2} \cdots \xrightarrow{f_{n-1}} A_n$$

be objects and morphisms in an abelian category. We say that the above sequence is $\underline{\text{exact}}$ if ϕ_i is an isomorphism, i.e. if the homology is zero. We say that a complex (C_{\bullet}, d) is exact if it is exact at all positions.

A short exact sequence is an exact sequence of the form

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

Proposition 6. Homology extends to a family of additive functors

$$H_n \colon \mathbf{Ch}(\mathcal{A}) \to \mathcal{A}$$
.

Proof. We need to define H_n on morphisms. To this end, let $f_{\bullet}: C_{\bullet} \to D_{\bullet}$ be a morphism of chain complexes.

$$C_{n+1} \xrightarrow{d_{n+1}^{C}} C_{n} \xrightarrow{d_{n}^{C}} C_{n-1}$$

$$f_{n+1} \downarrow \qquad \qquad \downarrow f_{n} \qquad \downarrow f_{n-1}$$

$$D_{n+1} \xrightarrow{d_{n+1}^{D}} D_{n} \xrightarrow{d_{n}^{D}} D_{n-1}$$

We need a map $H_n(C_{\bullet}) \to H_n(D_{\bullet})$. This will come from a map $\ker d_n^C \to \ker d_n^D$. In fact, f_n gives us such a map, essentially by restriction. We need to show that this descends to a map between cokernels.

We can also go the other way, by defining a map

$$\iota_n \colon \mathcal{A} \to \mathbf{Ch}(\mathcal{A})$$

which sends an object A to the chain complex

$$\cdots \rightarrow 0 \longrightarrow A \longrightarrow 0 \cdots \rightarrow$$

concentrated in degree n.

Example 7. In some situations, homology is easy to compute explicitly. Let

$$\cdots \longrightarrow C_1 \stackrel{f}{\longrightarrow} C_0 \longrightarrow 0$$

be a chain complex in $Ch_{>0}(A)$. Then $H_0(C_{\bullet}) = \operatorname{coker} f$.

Similarly, if

$$0 \longrightarrow C_0 \stackrel{f}{\longrightarrow} C_{-1} \longrightarrow \cdots$$

is a chain complex in $\mathbf{Ch}_{\leq 0}(\mathcal{A})$, then $H_0(C_{\bullet}) = \ker f$.

1.3 Diagram lemmas

1.3.1 The splitting lemma

Lemma 8 (Splitting lemma). Consider the following solid short exact sequence in an abelian category A.

$$0 \longrightarrow A \xrightarrow{i_A} B \xrightarrow{\pi_C} C \longrightarrow 0$$

The following are equivalent.

- 1. The sequence *splits from the left*, i.e. there exists a morphism $\pi_A \colon B \to A$ such that $\pi_A \circ i_A = \mathrm{id}_A$
- 2. The sequence *splits from the right*, i.e. there exists a morphism $i_C \colon C \to B$ such that $\pi_C \circ i_C = \mathrm{id}_C$
- 3. *B* is a direct sum $A \oplus C$ with the obvious canonical injections and projections.

Proof. That $3 \Rightarrow 1$ and $3 \Rightarrow 2$ is obvious. We now show that $1 \Rightarrow 2$.

By exactness, $i_A : A \to B$ is a kernel of π_C . Consider the map $\phi = \mathrm{id}_B - i_C \circ \pi_C$. Since

$$\pi_C \circ \phi = \pi_C - \pi_C \circ i_C \circ \pi_C$$
$$= \pi_C - \mathrm{id} \circ \pi_C$$
$$= 0$$

the universal property for the kernel guarantees us a map $\psi: B \to A$ such that $i_A \circ \psi = \phi$.

Now we use the same universal property in a different situation. Consider the following diagram.

$$A \xrightarrow{\swarrow_{i_A}} B \xrightarrow{\pi_C} C$$

The universal property guarantees us a unique dashed map making everything commute. But id_A and $i_A \circ \psi$ both do the job since

$$i_A \circ \psi \circ i_A = i_A - i_C \circ \pi_C \circ i_A$$

= i_A .

1.3.2 The snake lemma

Lemma 9. Let A be an abelian category, and let the diagram

$$\begin{array}{ccc}
A \times_C B & \xrightarrow{\tilde{f}} & B \\
\tilde{g} \downarrow & & \downarrow g \\
A & \xrightarrow{f} & C
\end{array}$$

be a pullback in \mathcal{A} . Then \tilde{f} is an epimorphism.

Proof. The pullback is in particular a limit, hence is constructed with products and equalizers as follows.

$$0 \longrightarrow A \times_C B \stackrel{c(\tilde{f},\tilde{g})}{\longleftrightarrow} A \oplus B \stackrel{f-g}{\longrightarrow} C$$

Because f is epic, f - g is epic, so we have a bona fide short exact sequence

$$0 \longrightarrow A \times_C B \xrightarrow{c(\tilde{f}, \tilde{g})} A \oplus B \xrightarrow{f-g} C \longrightarrow 0 .$$

This means that the above square is also a pushout square.

Lemma 10. Let $f: B \twoheadrightarrow C$ be an epimorphism, and let $g: D \to C$ be any morphism. Then the kernel of f functions as the kernel of the pullback of f along g, in the sense that we have the following commuting diagram in which the right-hand square is a pullback square.

$$\ker f' \xrightarrow{i} S \xrightarrow{f'} D$$

$$\parallel \qquad \qquad g' \downarrow \qquad \qquad \downarrow g$$

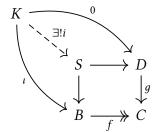
$$\ker f \xrightarrow{\iota} B \xrightarrow{f} C$$

Proof. Consider the following pullback square, where f is an epimorphism.

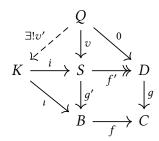
$$\begin{array}{ccc}
S & \xrightarrow{f'} & D \\
g' \downarrow & & \downarrow g \\
B & \xrightarrow{f} & C
\end{array}$$

By Lemma 9 the pullback f' is also an epimorphism. Denote the kernel of f by K.

The claim is that K is also a kernel of f'. Of course, in order for this statement to make sense we need a map $i: K \to S$. This is given to us by the universal property of the pullback as follows.



Next we need to verify that (K, i) is actually the kernel of f', i.e. satisfies the universal property. To this end, let $v: Q \to S$ be a map such that $f' \circ v = 0$. We need to find a unique factorization of v through K.

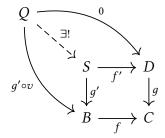


By definition $f' \circ v = 0$. Thus,

$$f \circ g' \circ v = g \circ f' \circ v$$
$$= g \circ 0$$
$$= 0,$$

so $g' \circ v$ factors uniquely through K as $g' \circ v = \iota \circ v'$. It remains only to check that the triangle formed by v' commutes, i.e. $v = v' \circ i$. To see this, consider the following

diagram, where the bottom right square is the pullback from before.



By the universal property, there exists a unique map $Q \to S$ making this diagram commute. However, both v and $i \circ v'$ work, so $v = i \circ v'$.

Thus we have shown that, in a precise sense, the kernel of an epimorphism functions as the kernel of its pullback, and we have the following commutative diagram, where the right hand square is a pullback.

$$\ker f' \xrightarrow{i} S \xrightarrow{f'} D$$

$$\parallel \qquad \qquad \downarrow^{g'} \qquad \downarrow^{g}$$

$$\ker f \xrightarrow{\iota} B \xrightarrow{f} C$$

At least in the case that g is mono, when phrased in terms of elements, this result is more or less obvious; we can imagine the diagram above as follows.

$$\begin{cases} \text{elements of pullback} \\ \text{which map to 0} \end{cases} \longleftrightarrow \begin{cases} \text{elements of } B \\ \text{which map to } C \end{cases} \longrightarrow B$$

Theorem 11 (snake lemma). Consider the following commutative diagram with exact rows.

$$0 \longrightarrow A \xrightarrow{m} B \xrightarrow{e} C \longrightarrow 0$$

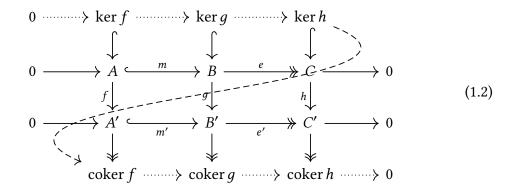
$$f \downarrow \qquad g \downarrow \qquad \downarrow h$$

$$0 \longrightarrow A' \xrightarrow{m'} B' \xrightarrow{e'} C' \longrightarrow 0$$

This gives us an exact sequence

$$0 \to \ker f \to \ker g \to \ker h \to \operatorname{coker} f \to \operatorname{coker} g \to \operatorname{coker} h \to 0.$$

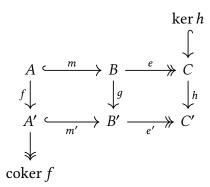
Proof. We provide running commentary on the diagram below.



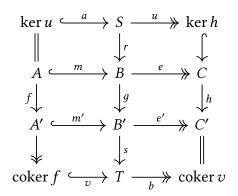
We will see why the dotted portions are exact later.

The only thing left is to define the dashed connecting homomorphism, and to prove exactness at $\ker h$ and $\operatorname{coker} f$.

Extract from the data of Diagram 1.2 the following diagram.



Take a pullback and a pushout, and using Lemma 10 (and its dual), we find the following.



Consider the map

$$\delta_0 = S \xrightarrow{r} B \xrightarrow{g} B' \xrightarrow{s} T$$
.

By commutativity, $\delta \circ a = 0$, hence we get a map

$$\delta_1$$
: ker $h \to T$.

Composing this with b gives 0, hence we get a map

$$\delta$$
: coker $f \to \ker h$.

There is another version of the snake lemma which does not have the first and last zeroes.

Theorem 12 (snake lemma II). Given the following commutative diagram with exact rows,

$$\begin{array}{ccc}
A & \xrightarrow{m} & B & \xrightarrow{e} & C & \longrightarrow & 0 \\
f \downarrow & & g \downarrow & & \downarrow h \\
0 & \longrightarrow & A' & \xrightarrow{m'} & B' & \xrightarrow{e'} & C'
\end{array}$$

we get a exact sequence

$$\ker f \to \ker g \to \ker h \to \operatorname{coker} f \to \operatorname{coker} g \to \operatorname{coker} h.$$

1.3.3 The long exact sequence on homology

Corollary 13. Given an exact sequence of complexes

$$0 \longrightarrow A_{\bullet} \xrightarrow{f_{\bullet}} B_{\bullet} \xrightarrow{g_{\bullet}} C_{\bullet} \longrightarrow 0$$

we get a long exact sequence on homology

$$\begin{array}{cccc}
& \cdots \longrightarrow H_{n+1}(C) \\
& \delta \longrightarrow \\
& H_n(A) \xrightarrow{H_n(f)} H_n(B) \xrightarrow{H_n(g)} H_n(C) \\
& \downarrow & \\
& H_{n-1}(A) \xrightarrow{H_{n-1}(f)} H_{n-1}(B) \xrightarrow{H_{n-1}(g)} H_{n-1}(C) \\
& \downarrow & \\
& H_{n-2}(A) \longrightarrow \cdots
\end{array}$$

Proof. For each *n*, we have a diagram of the following form.

$$0 \longrightarrow A_{n+1} \longrightarrow B_{n+1} \longrightarrow C_{n+1} \longrightarrow 0$$

$$\downarrow d_{n+1}^A \qquad \downarrow d_{n+1}^C \qquad \downarrow d_{n+1}^C$$

$$0 \longrightarrow A_n \longrightarrow B_n \longrightarrow C_n \longrightarrow 0$$

Applying the snake lemma (Theorem 11) gives us, for each n, two exact sequences as follows.

$$0 \longrightarrow \ker d_{n+1}^A \longrightarrow \ker d_{n+1}^B \longrightarrow \ker d_{n+1}^C$$

and

$$\operatorname{coker} d_n^A \longrightarrow \operatorname{coker} d_n^B \longrightarrow \operatorname{coker} d_n^C \longrightarrow 0$$

We can put these together in a diagram with exact rows as follows.

$$\operatorname{coker} d_n^A \longrightarrow \operatorname{coker} d_n^B \longrightarrow \operatorname{coker} d_n^C \longrightarrow 0$$

$$0 \longrightarrow \ker d_{n-1}^A \longrightarrow \ker d_{n-1}^B \longrightarrow \ker d_{n-1}^C$$

Recall that for each n, we get a map

$$\phi_{n-1}^A \colon \operatorname{im} d_{n-1}^A \to \ker d_n^A,$$

and similarly for B_{\bullet} and C_{\bullet} .

Adding these in gives the following.

$$\operatorname{coker} d_n^A \longrightarrow \operatorname{coker} d_n^B \longrightarrow \operatorname{coker} d_n^C \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow \ker d_{n-1}^A \longrightarrow \ker d_{n-1}^B \longrightarrow \ker d_{n-1}^C$$

A second application of the snake lemma then gives a long exact sequence

$$\ker \phi_f \to \ker \phi_g \to \ker \phi_h \to \operatorname{coker} \phi_f \to \operatorname{coker} \phi_g \to \operatorname{coker} \phi_h$$

Lemma 14. Let A be an abelian category, and let f be a morphism of short exact se-

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quences in Ch(A) as below.

$$0 \longrightarrow A'_{\bullet} \xrightarrow{\alpha_{\bullet}} A_{\bullet} \xrightarrow{\alpha'_{\bullet}} A''_{\bullet} \longrightarrow 0$$

$$\downarrow f'_{\bullet} \qquad \downarrow f_{\bullet} \qquad \downarrow f''_{\bullet}$$

$$0 \longrightarrow B'_{\bullet} \xrightarrow{\beta_{\bullet}} B_{\bullet} \xrightarrow{\beta'_{\bullet}} B''_{\bullet} \longrightarrow 0$$

This gives us the following morphism of long exact sequences on homology.

$$\cdots \longrightarrow H_n(A) \xrightarrow{H_n(\alpha')} H_n(A'') \xrightarrow{\delta} H_{n-1}(A') \xrightarrow{H_{n-1}(\alpha)} H_{n-1}(A) \longrightarrow \cdots$$

$$\downarrow_{H_n(f)} \downarrow \qquad \downarrow_{H_n(f'')} \qquad \downarrow_{H_n(f')} \downarrow_{H_n(f)} \downarrow_{H_n(f)} \cdots$$

$$\cdots \longrightarrow H_n(B) \xrightarrow{H_n(\beta')} H_n(B'') \xrightarrow{\delta} H_{n-1}(B') \xrightarrow{H_{n-1}(\beta)} H_{n-1}(B) \longrightarrow \cdots$$

In particular, the connecting morphism δ is functorial.

1.3.4 The five lemma

Lemma 15 (four lemmas). Let

be a commutative diagram with exact rows, with monomorphisms and epimorphisms as marked. Then

Theorem 16 (five lemma).

1.3.5 The nine lemma

Theorem 17 (nine lemma).

1.4 Exact functors

Definition 18 (exact functor). Let $F: \mathcal{A} \to \mathcal{B}$ be an additive functor, and let

$$0 \longrightarrow A \stackrel{f}{\longrightarrow} B \stackrel{g}{\longrightarrow} C \longrightarrow 0$$

be an exact sequence. We use the following terminology.

• We call F left exact if

$$0 \longrightarrow F(A) \stackrel{f}{\longrightarrow} F(B) \stackrel{g}{\longrightarrow} F(C)$$

is exact

• We call *F* right exact if

$$F(A) \xrightarrow{f} F(B) \xrightarrow{g} F(C) \longrightarrow 0$$

is exact

• We call F exact if it is both left exact and right exact.

Example 19. We showed in Lemma ?? that both hom functors Hom(A, -) and Hom(-, B) are left exact.

1.4.1 Exactness and preservation of (co)limits

The above definitions of left and right exactness are evocative, but one can cast them in a more useful way. One starting point is to notice that they are related to the preservation of limits.

Proposition 20. Let $F: A \to \mathcal{B}$ be a functor between abelian categories.

- If *F* preserves finite limits, then *F* is left exact.
- If *F* preserves finite colimits, then *F* is right exact.

Proof. Let $f: A \to B$ be a morphism in an abelian category. The universal property for the kernel of f is equivalent to the following: (K, ι) is a kernel of f if and only if the following diagram is a pullback.

$$\begin{array}{ccc}
K & \longrightarrow & 0 \\
\downarrow & & \downarrow \\
A & \longrightarrow & B
\end{array}$$

Any functor between abelian categories which preserves limits must in particular preserve pullbacks. Any such functor also sends initial objects to initial objects, and since initial objects are zero objects, such a functor preserves zero objects. Thus, any complete functor between abelian categories takes kernels to kernels.

Dually, any functor which preserves colimits preserves cokernels.

Next, note that the exactness of the sequence

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0$$

is equivalent to the following three conditions.

- 1. Exactness at A means that f is mono, i.e. $0 \rightarrow A$ is a kernel of f.
- 2. Exactness at *B* means that im $f = \ker g$.
 - If f is mono, this is equivalent to demanding that (A, f) is a kernel of g.
 - If g is epi, this is equivalent to demanding that (C, g) is a cokernel of f.

3. Exactness at C means that q is epi, i.e. $C \to 0$ is a cokernel of q.

Astonishingly, the converse is also true.

Lemma 21. Let $F: \mathcal{A} \to \mathcal{B}$ be a functor between abelian categories which is either left or right exact. Then F is additive.

Proof. First, assume that F is left exact. By Corollary $\ref{eq:corollary:eq:c$

$$0 \longrightarrow F(A) \xrightarrow{F(i_A)} F(A \oplus B) \xrightarrow{F(\pi_B)} F(B) \longrightarrow 0$$

Because we still have the identities

$$F(\pi_A) \circ F(i_A) = \mathrm{id}_{F(A)}, \qquad F(\pi_B) \circ F(i_B) = \mathrm{id}_{F(B)},$$

the morphism $F(\pi_B)$ is still epic; hence the splitting lemma (Theorem 8) implies that the sequence is still split exact. Thus, F preserves direct sums.

The case in which *F* is right exact is dual.

Proposition 22. Let $F: \mathcal{A} \to \mathcal{B}$ be a functor between abelian categories.

- If *F* is left-exact, then *F* preserves finite limits.
- If *F* is right-exact, then *F* preserves finite colimits.

Proof. Suppose F is left exact. Then F preserves kernels and products, hence all finite limits. If F is right exact, then F preserves all cokernels and coproducts, hence all finite colimits.

Our definition of homology now allows us to cleanly note the following.

Proposition 23. Exact functors preserve homology; that is, $H_n(F(C_{\bullet})) = F(H_n(C_{\bullet}))$.

Proof. Exact functors preserve kernels and cokernels.

Example 24. Consider the category \mathcal{D} with objects and morphisms as follows.

$$\begin{array}{c} \star \\ \downarrow \\ * \longrightarrow \bullet \end{array}$$

Let \mathcal{A} be an abelian category. By Example ??, the category of functors $\mathcal{D} \to \mathcal{A}$ is an abelian category.

Consider a functor $\mathcal{D} \to \mathcal{A}$ which yields the following diagram in \mathcal{A} .

$$A \xrightarrow{f} B$$

Taking the pullback of the above diagram yields the kernel of f.

A natural transformation ϕ between functors $\mathcal{D} \to \mathcal{A}$ consists of the data of a commuting square as follows.

$$\begin{array}{ccc}
A & \xrightarrow{f} & B \\
 \phi_A \downarrow & & \downarrow \phi_B \\
A' & \xrightarrow{f'} & B'
\end{array}$$

That is, we may view taking kernels as a functor from the category of morphisms in \mathcal{A} to \mathcal{A} . Furthermore, because this functor is the result of a limiting procedure it preserves limits. Thus, given an exact sequence of morphisms in \mathcal{A}

$$0 \longrightarrow A \hookrightarrow B \longrightarrow C \longrightarrow 0$$

$$f \downarrow \qquad \downarrow g \qquad \downarrow h$$

$$0 \longrightarrow A \hookrightarrow B \longrightarrow C \longrightarrow 0$$

one gets an exact sequence of kernels

$$0 \longrightarrow \ker f \longrightarrow \ker g \longrightarrow \ker h \ .$$

Conversely, taking cokernels is cocomplete, hence right exact, so one has also an exact sequence of cokernels

$$\operatorname{coker} f \longrightarrow \operatorname{coker} g \longrightarrow \operatorname{coker} h \longrightarrow 0$$

Note that the data of a kernel

1.4.2 Exactness of the hom functor and tensor products

We have already seen that the hom functor $\text{Hom}_{\mathcal{A}}(-,-)$ is left exact in both slots. Now we understand precisely why this is true: the hom functor preserves limits in both slots!¹

Since any functor which is a right adjoint preserves limits, any such functor between abelian categories must be left exact. For example, there is an adjunction

$$-\otimes_R N : \mathbf{Mod} - R \longleftrightarrow \mathbf{Ab} : \mathbf{Hom}_{\mathbf{Ab}}(N, -)$$

which comes from replacing a map out of the tensor product by its corresponding bilinear map, and currying² along the first entry. Thus, the map $- \otimes_R N$ is a left adjoint, hence preserves colimits, hence is right exact.

We can use this to check exactness (hence limit preservation) of other functors using the following beefed-up version of the Yoneda lemma. I don't actually need this right now, but it's a nice result anyway.

Lemma 25. Let A be an abelian category, and let

$$A \xrightarrow{f} B \xrightarrow{g} C$$

be objects and morphisms in A. If for all X the abelian groups and homomorphisms

$$\operatorname{Hom}_{A}(X,A) \xrightarrow{f_{*}} \operatorname{Hom}_{A}(X,B) \xrightarrow{g_{*}} \operatorname{Hom}_{A}(X,C)$$

form an exact sequence of abelian groups, then $A \to B \to C$ is exact.

Proof. To see this, take X = A, giving the following sequence.

$$\operatorname{Hom}_{A}(A,A) \xrightarrow{f_{*}} \operatorname{Hom}_{A}(A,B) \xrightarrow{g_{*}} \operatorname{Hom}_{A}(A,C)$$

Exactness implies that

$$0 = (q_* \circ f_*)(\mathrm{id}) = (q \circ f)(\mathrm{id}) = q \circ f,$$

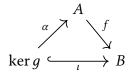
so im $f \subset \ker g$. Now take $X = \ker g$.

$$\operatorname{Hom}_{A}(\ker q, A) \xrightarrow{f_{*}} \operatorname{Hom}_{A}(\ker q, B) \xrightarrow{g_{*}} \operatorname{Hom}_{A}(\ker q, C)$$

¹In the first slot, one must take the limits in the category A^{op} .

²I see what you mean, but where is this term from?

The canonical inclusion ι : $\ker g \to B$ is mapped to zero under g_* , hence is mapped to under f_* by some α : $\ker g \to A$. That is, we have the following commuting triangle.



Thus im $\iota = \ker g \subset \operatorname{im} f$.

1.5 Quasi-isomorphism

One of the organizing principals of homological algebra is that the fundamental data contained in a chain complex is the level-wise homology of that chain complex, rather than the chain complex itself. We will see many examples (especially in Part ??, on algebraic topology) in which one can perform a drastic simplification of a problem by replacing a chain complex by a better-behaved one which has isomorphic homology.

Therefore, one would like to pay special attention to morphisms of chain complexes $f_{\bullet} \colon C_{\bullet} \to D_{\bullet}$ which induce isomorphisms on homology. One even has a special name for such a morphism.

Definition 26 (quasi-isomorphism). Let $f_{\bullet} \colon C_{\bullet} \to D_{\bullet}$ be a chain map. We say that f is a quasi-isomorphism if it induces isomorphisms on homology; that is, if $H_n(f)$ is an isomorphism for all n.

Quasi-isomorphisms are a surprisingly fiddly concept. Since they induce isomorphisms on homology, and isomorphisms are invertible, one might naïvely hope that quasi-isomorphisms themselves were invertible; that is, that one could find a *quasi-inverse*. Unfortunately, we are not so lucky. Consider the following

By Example 7, we

Definition 27 (resolution). Let *A* be an object in an abelian category. A <u>resolution</u> of *A* consists of a chain complex C_{\bullet} together with a quasi-isomorphism $C_{\bullet} \to \iota(A)$.

1.6 Chain Homotopies

Definition 28 (chain homotopy). Let f_{\bullet} , g_{\bullet} : $C_{\bullet} \to D_{\bullet}$ be morphisms of chain complexes. A chain homotopy between f_{\bullet} and g_{\bullet} is a family of maps

$$h_n\colon C_n\to D_{n+1}$$

such that

$$d_{n+1}^D \circ h_n + h_{n-1} \circ d_n^C = f - g.$$

Lemma 29. Let f_{\bullet} , $g_{\bullet} : C_{\bullet} \to D_{\bullet}$ be a homotopic chain complexes via a homotopy h, and let F be an additive functor. Then F(h) is a homotopy between $F(f_{\bullet})$ and $F(g_{\bullet})$.

Proof. We have

$$df + fd = h$$
,

so

$$F(d)F(f) + F(f)F(d) = F(h).$$

Lemma 30. Homotopy of morphisms is an equivalence relation.

Proof.

- Reflexivity: the zero morphism provides a homotopy between $f \sim f$.
- Symmetry: If $f \stackrel{h}{\sim} g$, then $g \stackrel{-h}{\sim} f$
- Transitivity: If $f \stackrel{h}{\sim} f'$ and $f' \stackrel{h'}{\sim} f''$, then $f \stackrel{h+h'}{\sim} f''$.

Lemma 31. Homotopy respects composition. That is, let $f \stackrel{h}{\sim} g$ be homotopic morphisms, and let r be another morphism with appropriate domain and codomain.

- $f \circ r \stackrel{hr}{\sim} q \circ r$
- $r \circ f \stackrel{rh}{\sim} r \circ q$.

Proof. Obvious.

1.7 Projectives and injectives

One of the drawbacks of diagrammatic reasoning in abelian categories (which is to say, reasoning on elements in $R ext{-}\mathbf{Mod}$) is that not every maneuver one can perform on elements has a diagrammatic analog. One of the most egregious examples of this is the property of lifting against epimorphisms. Given an epimorphism f: A o B and an element of B, one can always find an element of A which maps to it under f. Unfortunately, it is *not* in general the case that given a map X o B, one can lift it to a map X o A making the triangle formed commute.



Nowever, certain objects do have this property. For example, if X is a free R-module, then we can find a lift by lifting generators; this is always possible. It will be interesting to find objects X which allow this. Such objects are called *projective*.

Definition 32 (projective, injective). An object P in an abelian category \mathcal{A} is <u>projective</u> if for every epimorphism $f: B \to C$ and every morphism $p: P \to C$, there exists a morphism $\tilde{p}: P \to B$ such that the following diagram commutes.

$$B \xrightarrow{\exists \tilde{p}} P$$

$$\downarrow p$$

$$E \xrightarrow{f} C$$

Dually, Q is injective if for every monomorphism $g: A \to B$ and every morphism $q: A \to Q$ there exists a morphism $\tilde{q}: B \to Q$ such that the following diagram commutes.

$$\begin{array}{c}
A & \xrightarrow{g} & B \\
\downarrow q & \downarrow & \exists \tilde{q} \\
Q
\end{array}$$

Since the hom functor $\operatorname{Hom}(A, -)$ is left exact for every A, it is very easy to see that an object P in an abelian category is said to be <u>projective</u> if the functor $\operatorname{Hom}(P, -)$ is exact, and injective if $\operatorname{Hom}(-, Q)$ is exact.

A recurring theme of homological algebra is that projective and injective objects are extremely nice to work with, and whenever possible we would like to be able to trade in an object for equivalent projective data. We will go into more detail about precisely what we mean by this later. This will be possible when our abelian category has *enough* projectives.

Definition 33 (enough projectives). Let \mathcal{A} be an abelian category. We say that \mathcal{A} has enough projectives if for every object M there exists a projective object P and an epimorphism $P \twoheadrightarrow M$.

Proposition 34. Let

$$0 \longrightarrow A \hookrightarrow B \xrightarrow{f} P \longrightarrow 0$$

be a short exact sequence with P projective. Then the sequence splits.

Proof. We can add another copy of *P* artfully as follows.

$$0 \longrightarrow A \hookrightarrow B \xrightarrow{f} P \longrightarrow 0$$

By definition, we get a morphism $P \rightarrow B$ making the triangle commute.

$$0 \longrightarrow A \longrightarrow B \xrightarrow{\exists g} P$$

$$\downarrow^{\text{id}}$$

$$P \longrightarrow 0$$

But this says precisely that $f \circ g = \mathrm{id}_P$, i.e. the sequence splits from the right. The result follows from the splitting lemma (Lemma 8).

Corollary 35. Let *F* be an additive functor, and let

$$0 \longrightarrow A \hookrightarrow B \xrightarrow{f} P \longrightarrow 0$$

be an exact sequence with P projective. Then F applied to the sequence gives an exact sequence.

Proof. Applying F to a split sequence gives a split sequence, and all split sequences are exact.

Corollary 36. The category *R***-Mod** has enough projectives.

Proof. By Proposition ??, free modules are projective, and every module is a quotient of a free module. □

Proposition 37. Let \mathcal{A} be an abelian category with enough projectives. Then every object $A \in \mathcal{A}$ has a projective resolution.

Dually, any abelian category with enough injectives has injective resolutions.

Proof. Pick a projective which surjects onto A,

Definition 38 (projective, injective resolution). Let \mathcal{A} be an abelian category, and let $A \in \mathcal{A}$. A projective resolution of A is a quasi-isomorphism $P_{\bullet} \to \iota(A)$, where P_{\bullet} is a complex of projectives. Similarly, an <u>injective resolution</u> is a quasi-isomorphism $\iota(A) \to Q_{\bullet}$ where Q_{\bullet} is a complex of injectives.

Lemma 39. Let $f: P_{\bullet} \to \iota(M)$ be a projective resolution. Then $f: P_0 \to M$ is an epimorphism.

Proof. We know that $H_0(f): H_0(P) \to H_0(\iota(M))$ is an isomorphism. But $H_0(\iota(m)) \simeq \iota_M$, and that

In fact, we can say more.

Lemma 40. Let $f_{\bullet}: P_{\bullet} \to \iota(A)$ be a chain map. Then f is a projective resolution if and only if the sequence

$$\cdots P_2 \longrightarrow P_1 \longrightarrow P_0 \xrightarrow{f_0} A \longrightarrow 0$$

is exact.

Theorem 41 (horseshoe lemma). Let \mathcal{A} be an abelian category with enough projectives, and let $P'_{\bullet} \to M'$ and $P''_{\bullet} \to M''$ be projective resolutions. Then given an exact sequence

$$0 \longrightarrow M' \stackrel{f}{\hookrightarrow} M \stackrel{g}{\longrightarrow} M'' \longrightarrow 0$$

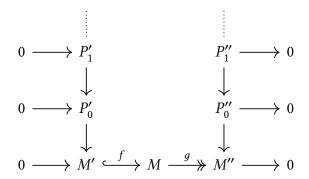
there is a projective resolution $P_{\bullet} \to M$ and maps \tilde{f} and \tilde{g} such that the following diagram has exact rows and commutes.

$$0 \longrightarrow P'_{\bullet} \xrightarrow{\tilde{f}} P_{\bullet} \xrightarrow{\tilde{g}} P''_{\bullet} \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow M' \xrightarrow{f} M \xrightarrow{\tilde{g}} M'' \longrightarrow 0$$

Proof. We construct P_{\bullet} inductively. We have specified data of the following form.



We define $P_0 = P_0' \oplus P_0''$. We get the maps to and from P_0 from the canonical injection and projection respectively.

$$0 \longrightarrow P'_0 \xrightarrow{\iota} P'_0 \oplus P''_0 \xrightarrow{\pi} P''_0 \longrightarrow 0$$

$$\downarrow p' \qquad \downarrow p'' \qquad \downarrow$$

We get the (dashed) map $P_0' \to M$ by composition, and the (dashed) map $P_0'' \to M$ by projectivity of P_0'' (since by Lemma 39 p'' is an epimorphism). From these the universal property for coproducts gives us the (dotted) map $p: P_0' \oplus P_0'' \to M$.

At this point, the innocent reader may believe that we are in the clear, and indeed many

books leave it at this. Not so! We don't know that the diagram formed in this way commutes. In fact it does not; there is nothing in the world that tells us that $q \circ \pi = p$.

However, this is but a small transgression, since the *squares* which are formed still commute. To see this, note that we can write

$$p = f \circ p'_0 \circ \pi_{P'_0} + q \circ \pi;$$

composing this with

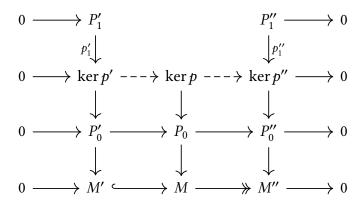
The snake lemma guarantees that the sequence

$$\operatorname{coker} p' \simeq 0 \longrightarrow \operatorname{coker} p \longrightarrow 0 \simeq \operatorname{coker} p''$$

is exact, hence that p is an epimorphism.

One would hope that we could now repeat this process to build further levels of P_{\bullet} . Unfortunately, this doesn't work because we have no guarantee that $d_1^{P''}$ is an epimorphism, so we can't use the projectiveness of P_1'' to produce a lift. We have to be clever.

The trick is to add an auxiliary row of kernels; that is, to expand the relevant portion of our diagram as follows.



The maps p_1' and p_1'' come from the exactness of P_{\bullet}' and P_{\bullet}'' . In fact, they are epimorphisms, because they are really cokernel maps in disguise. We get the dashed map $\ker p' \to \ker p''$

That means that we are in the same situation as before, and are justified in saying "we proceed inductively". □

Theorem 42 (horseshoe lemma for morphisms). Given a morphism of short exact sequences

$$0 \longrightarrow A' \longrightarrow A \longrightarrow A'' \longrightarrow 0$$

$$\downarrow^{f'} \qquad \downarrow^{f} \qquad \downarrow^{f''}$$

$$0 \longrightarrow B' \longrightarrow B \longrightarrow B'' \longrightarrow 0$$

Proposition 43. Let A be an abelian category, let M and M' be objects of A, and

$$P_{\bullet} \to M$$
 and $P'_{\bullet} \to M'$

be projective resolutions. Then for every morphism $f: M \to M'$ there exists a lift $\tilde{f}: P_{\bullet} \to P'_{\bullet}$ making the diagram

$$P_{\bullet} \xrightarrow{\tilde{f}_{\bullet}} P'_{\bullet}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\iota M \xrightarrow{\iota f} \iota M'$$

commute, which is unique up to homotopy.

Proof. We construct a lift inductively.

1.8 The homotopy category

In the previous section, we proved many theorems which were schematically of the following form:

Given some data in an abelian category, we can lift it to data between projective resolutions.

One might hope that this data would assemble itself functorially, but this is far too much to ask; we have no reason to believe, having picked lifts \tilde{f} for our morphisms f, that $\widetilde{f \circ g} = \tilde{f} \circ \tilde{g}$.

In this, however, we are saved by the notion of homotopy! While $\widetilde{f} \circ \widetilde{g}$ and $\widetilde{f} \circ \widetilde{g}$ may not be equal, they are certainly homotopic, thanks to Proposition 43. Thus, we will have a shot at functoriality, if we formally invert all homotopies.

And this we can do!

Definition 44 (homotopy category). Let \mathcal{A} be an abelian category. The <u>homotopy category</u> $\mathcal{K}(\mathcal{A})$, is the category whose objects are those of $Ch(\mathcal{A})$, and whose morphisms are equivalence classes of morphisms in \mathcal{A} up to homotopy.

This is well-defined by Lemma 30 and Lemma 31.

We will in general not be interested in the full homotopy category, but instead in the bounded-below homotopy category $\mathcal{K}^+(\mathcal{A})$ of bounded-below chain complexes up to homotopy.

Lemma 45. The homotopy category $\mathcal{K}(\mathcal{A})$ is an additive category, and the quotienting functor $\mathbf{Ch}(\mathcal{A}) \to \mathcal{K}(\mathcal{A})$ is an additive functor.

Proof. Trivial.

Proposition 46. Let \mathcal{F} : $\mathbf{Ch}(\mathcal{A}) \to \mathcal{B}$ be an additive functor which takes quasi-isomorphisms to isomorphisms. Then for homotopic morphisms $f \sim g$ in $\mathbf{Ch}(\mathcal{A})$, we have F(f) = F(g).

Corollary 47. For any abelian category with enough projectives, there are projective resolution functors $\mathcal{A} \to \mathcal{K}^+(\mathcal{A})$.

1.9 Mapping cones

Definition 48 (shift functor). For any chain complex C_{\bullet} and any $k \in \mathbb{Z}$, define the k-shifted chain complex $C_{\bullet}[k]$ by

$$C[k]_i = C_{k+i};$$
 $d_i^{C[k]} = (-1)^k d_{i+k}^C.$

Definition 49 (mapping cone). Let $f: C_{\bullet} \to D_{\bullet}$ be a chain map. Define a new complex cone(f) as follows.

• For each *n*, define

$$cone(f)_n = C_{n-1} \oplus D_n.$$

• Define $d_n^{\text{cone}(f)}$ by

$$d_n^{\operatorname{cone}(f)} = \begin{pmatrix} -d_{n-1}^C & 0 \\ -f_{n-1} & d_n^D \end{pmatrix},$$

which is shorthand for

$$d_n^{\operatorname{cone}(f)}(x_{n-1}, y_n) = (-d_{n-1}^C x_{n-1}, d_n^D y_n - f_{n-1} x_{n-1}).$$

Lemma 50. The complex cone(f) naturally fits into a short exact sequence

$$0 \longrightarrow D_{\bullet} \stackrel{\iota}{\longrightarrow} \operatorname{cone}(f)_{\bullet} \stackrel{\pi}{\longrightarrow} C[-1]_{\bullet} \longrightarrow 0 \ .$$

Proof. We need to specify ι and π . The morphism ι is the usual injection; the morphism π is given by minus the usual projection.

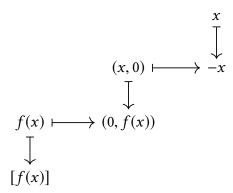
Corollary 51. Let $f_{\bullet} \colon C_{\bullet} \to D_{\bullet}$ be a morphism of chain complexes. Then f is a quasi-isomorphism if and only if cone(f) is an exact complex.

Proof. By Corollary 13, we get a long exact sequence

$$\cdots \to H_n(C) \xrightarrow{\delta} H_n(D) \to H_n(\operatorname{cone}(f)) \to H_{n-1}(C) \to \cdots$$

We still need to check that $\delta = H_n(f)$. This is not hard to see; picking $x \in \ker d^X$, the

zig-zag defining δ goes as follows.



If cone(f) is exact, then we get a very short exact sequence

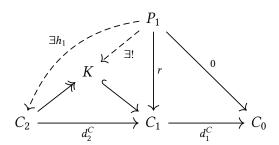
$$0 \longrightarrow H_n(X) \xrightarrow{H_n(f)} H_n(Y) \longrightarrow 0$$

implying that $H_n(f)$ must be an isomorphism. Conversely, if $H_n(f)$ is an isomorphism for all n, then the maps to and from $H_n(\text{cone}(f))$ must be the zero maps, implying $H_n(\text{cone}(f)) = 0$ by exactness.

Proposition 52. Let \mathcal{A} be an abelian category, and let C_{\bullet} be a chain complex in \mathcal{A} . Let P_{\bullet} be a bounded below chain complex of projectives. Then any quasi-isomorphism $g: P_{\bullet} \to C_{\bullet}$ has a quasi-inverse.

Proof. First we show that any morphism from a projective, bounded below complex into an exact complex is homotopic to zero. We do so by constructing a homotopy.

Consider the following solid commuting diagram, where K is the kernel of d_1^C and $r = f_1 - h_0 \circ d_1^P$ is *not* the map f_1 .



Because

$$d_{1}^{C} \circ r = d_{1}^{C} \circ f_{1} - d_{1}^{C} \circ h_{0} \circ d_{1}^{P}$$

$$= d_{1}^{C} \circ f_{1} - d_{1}^{C} \circ f_{1}$$

$$= 0.$$

the morphism r factors through K. This gives us a morphism from a projective onto the target of an epimorphism, which we can lift to the source. This is what we call h_1 .

It remains to check that h_1 is a homotopy from f to 0. Plugging in, we find

$$d_2^C \circ h_1 + h_0 \circ d_1^P = r + h_0 \circ d_1^P$$

= f_1

as required.

Iterating this process, we get a homotopy between 0 and f.

Now let $f: C_{\bullet} \to P_{\bullet}$ be a quasi-isomorphism, where P_{\bullet} is a bounded-below projective complex. Since f is a quasi-isomorphism, cone(f) is exact, which means that $\iota: P \to cone(f)$ is homotopic to the zero morphism.

$$\begin{array}{c} P_{i+1} \xrightarrow{d_{i+1}^P} P_i \xrightarrow{d_i^P} P_{i-1} \\ 0 \swarrow \downarrow^{\iota_{i+1}} & 0 \swarrow \downarrow^{\iota_{i}} & \tilde{h}_{i-1} & 0 \swarrow \downarrow^{\iota_{i-1}} \\ C_i \oplus P_{i+1} \xrightarrow{d_i^{\operatorname{cone}(f)}} C_{i-1} \oplus P_i \xrightarrow{d_i^{\operatorname{cone}(f)}} C_{i-2} \oplus P_{i-1} \end{array}$$

That is, there exist $\tilde{h}_i \colon P_i \to \text{cone}(f)_{i+1}$ such that

$$d_{i+1}^{\operatorname{cone} f} \circ \tilde{h}_i + \tilde{h}_{i-1} \circ d_i^P = \iota. \tag{1.3}$$

Writing \tilde{h}_i in components as $\tilde{h}_i = (\beta_i, \gamma_i)$, where

$$\beta_i \colon P_i \to C_i$$
 and $\gamma_i \colon P_{i-1} \to P_i$,

we find what looks tantalizingly like a chain map $\beta_{\bullet} \colon P_{\bullet} \to C_{\bullet}$. Indeed, writing Equation 1.3 in components, we find the following.

$$\begin{pmatrix} -d_{i}^{C} & 0 \\ -f_{i} & d_{i+1}^{P} \end{pmatrix} \begin{pmatrix} \beta_{i} \\ \gamma_{i} \end{pmatrix} + \begin{pmatrix} \beta_{i-1} \\ \gamma_{i-1} \end{pmatrix} \begin{pmatrix} d_{i}^{P} \end{pmatrix} = \begin{pmatrix} 0 \\ \mathrm{id}_{P_{i}} \end{pmatrix}$$
$$\begin{pmatrix} -d_{i}^{C} \circ \beta_{i} + \beta_{i-1} \circ d_{i}^{P} \\ -f_{i} \circ \beta_{i} + d_{i+1}^{P} \circ \gamma_{i} + \gamma_{i-1} \circ d_{i}^{P} \end{pmatrix} = \begin{pmatrix} 0 \\ \mathrm{id}_{P_{i}} \end{pmatrix}$$

The first line tells us that β is a chain map. The second line tells us that³

$$d_{i+1}^P \circ \gamma_i + \gamma_{i-1} \circ d_i^P = \mathrm{id}_{P_i} - f_i \circ \beta_i,$$

i.e. that γ is a homotopy $\mathrm{id}_{P_i} \sim f_i \circ \beta_i$. But homology collapses homotopic maps, so

$$H_n(\mathrm{id}_{P_i}) = H_n(f_i) \circ H_n(\beta_i),$$

which imples that

$$H_n(\beta_i) = H_n(f_i)^{-1}.$$

1.10 Localization at weak equivalences: a love story

Hopefully, the reader will now agree that chain complexes are interesting enough to study, and that given a chain complex, the level-wise homology (and therefore the slippery notion of quasi-isomorphism) is an interesting invariant. Of course, one considers the category of chain complexes, but this category has the annoying feature that quasi-isomorphisms do not necessarily have quasi-inverses. That is, it is difficult to view quasi-isomorphism as a notion of equivalence because is it is not invertible.

The way out of this is to replace the category $Ch(\mathcal{A})$ by a category in which all quasi-isomorphisms have quasi-inverses. There is a fiddly and conceptually unclear way to do that, namely by forming the categorical localization.

$$Ch_{\geq 0}(\mathcal{A}) \to Ch_{\geq 0}(\mathcal{A})[\{quasi\text{-isomorphisms}\}^{-1}].$$

Unfortunately, localization is not at all a trivial process, and one can get hurt if one is not careful. For that reason, actually constructing the above localization and then working with it is not a profitable approach to take.

However, note that we can get what we want by making a more draconian identification: collapsing all homotopy equivalences. Homotopy equivalence is friendlier than quasi-isomorphism in the sense that one can take the quotient by it; that is, there is a well-defined additive functor

$$Ch_{>0}(A) \to \mathcal{K}(A)$$

which is the identity on objects and sends morphisms to their equivalence classes modulo homotopy; this sends precisely homotopy equivalences to isomorphisms. By

Definition 53 (derived functor). Let $F: \mathcal{A} \to \mathcal{B}$ be a functor between abelian categories.

³Actually, it doesn't tell us this, but I suspect that it would if I were a little better at algebra.

• If F is right exact and A has enough projectives, we declare the <u>left derived functor</u> to be the homological δ -functor $\{L_nF\}$ defined by

$$L_n F = \mathcal{A} \xrightarrow{P} \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{A})) \xrightarrow{F} \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{B})) \xrightarrow{H_n} \mathcal{B}$$

where $P: \mathcal{A} \to \mathcal{K}(\mathbf{Ch}_{\geq 0}(\mathcal{A}))$ is a projective resolution functor.

• If F is left exact and A has enough injectives, we define the <u>right derived functor</u> to be the cohomological δ -functor

$$R^n F(X) = H^n \circ F \circ Q$$

where *Q* is an injective resolution functor.

Definition 54 (homological *δ*-functor). A <u>homological *δ*-functor</u> between abelian categories \mathcal{A} and \mathcal{B} consists of the following data.

1. For each $n \in \mathbb{Z}$, an additive functor

$$T_n: \mathcal{A} \to \mathcal{B}$$
.

2. For every short exact sequence

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

in \mathcal{A} and for each $n \in \mathbb{Z}$, a morphism

$$\delta_n \colon T_n(C) \to T_{n-1}(A)$$
.

This data is subject to the following conditions.

- 1. For n < 0, we have $T_n = 0$.
- 2. For every short exact sequence as above, there is a long exact sequence

3. For every morphism of short exact sequences

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

$$\downarrow g \qquad \qquad \downarrow h$$

$$0 \longrightarrow A' \longrightarrow B' \longrightarrow C' \longrightarrow 0$$

and every n, the diagram

$$T_{n}(C) \xrightarrow{\delta_{n}} T_{n-1}(A)$$

$$T_{n}(h) \downarrow \qquad \qquad \downarrow T_{n}(f)$$

$$T_{n}(C') \xrightarrow{\delta_{n}} T_{n-1}(A')$$

commutes; that is, a morphism of short exact sequences leads to a morphism of long exact sequences.

A morphism $S \to T$ of δ -functors consists of, for each n, a natural transformation $S_n \to T_n$ compatible with the δ .

This seems like an inelegant definition, and indeed it is.

Example 55. The prototypical example of a homological δ -functor is homology: the collection H_n : $Ch(\mathcal{A}) \to \mathcal{A}$, together with the collection of connecting homomorphisms, is a homological delta-functor. In fact, the most interesting homological delta functors called *derived functors*, come from homology.

Definition 56 (cohomological δ -functors). Dual to Definition 54.

We still need to show that derived functors are in fact homological delta-functors.

Theorem 57. For any right exact functor $F: \mathcal{A} \to \mathcal{B}$ between abelian categories \mathcal{A} and \mathcal{B} , where \mathcal{A} has enough projectives, the left derived functor $\{L_n F\}$ is a homological δ -functor.

Proof. We check each condition separately.

- 1. L_nF is computed by taking the nth homology of a chain complex concentrated in positive degree.
- 2. Start with a short exact sequence

$$0 \longrightarrow A \hookrightarrow B \longrightarrow C \longrightarrow 0$$

in A.

We compute the image of this sequence under the derived functors L_nF by using our chosen projective resolution functor to find projective resolutions and lift

maps, and take homology. In general, we cannot be guaranteed that we can lift our exact sequence up to an exact sequence of projective resolutions

$$0 \longrightarrow P^A_{\bullet} \longrightarrow P^B_{\bullet} \longrightarrow P^C_{\bullet} \longrightarrow 0.$$

However, we have shown that, up to isomorphism, it doesn't matter which projective resolutions we choose, so we can choose those given by the horseshoe lemma (Theorem 41), giving us such an exact sequence. Applying F then gives us another exact sequence, and taking homology gives a long exact sequence.

3. We do the same thing, but now apply the horseshoe lemma on morphisms (Theorem 42).

Proposition 58. Let $F: \mathcal{A} \to \mathcal{B}$ be a right exact functor between abelian categories. We have that

$$L_0F\simeq F$$
.

Proof. Let $P_{\bullet} \to X$ be a projective resolution. By Lemma 40, the following sequence is exact.

$$P_1 \xrightarrow{f} P_0 \longrightarrow X \longrightarrow 0$$

Since *F* is right exact, the following sequence is exact.

$$F(P_1) \xrightarrow{F(f)} F(P_0) \longrightarrow F(X) \longrightarrow 0$$

This tells us that $F(X) \simeq \operatorname{coker}(F(f))$. But

$$\operatorname{coker}(H(f)) \simeq H_0(P_{\bullet}) = L_0 F(X).$$

Given a right exact functor F, the above theorem shows that, at the lowest level, the left derived functor gives F itself. It turns out that $\{L_nF\}$ is the *universal* homological δ -functor with this property:

Proposition 59. Let $F: \mathcal{A} \to \mathcal{B}$ be a right exact functor between abelian categories where \mathcal{A} has enough projectives, let $\{T_n\}$ be a homological δ -functor, and let $\phi_0: T_0 \Rightarrow F = L_0 F$ be a natural transformation. Then there exists a unique lift of ϕ_0 to a morphism $\{\phi_i: T_i \to L_i F\}$.

Proof. First we construct $\phi_1 \colon T_1 \Rightarrow L_1 F$. For each object $A \in \mathcal{A}$, pick a projective which surjects onto it and take a kernel, giving the following short exact sequence.

$$0 \longrightarrow K \hookrightarrow P \longrightarrow A \longrightarrow 0$$

Applying each of our homological δ -functors and using the fact that $L_iFP = 0$ for i > 0, we get the following data.

$$T_{1}A \xrightarrow{\delta} T_{0}K \xrightarrow{} T_{0}P \xrightarrow{} T_{0}A \xrightarrow{} 0$$

$$\downarrow^{(\phi_{0})_{K}} \downarrow^{(\phi_{0})_{P}} \downarrow^{(\phi_{0})_{A}}$$

$$0 \xrightarrow{} L_{1}FA \xrightarrow{\delta} FK \xrightarrow{} FP \xrightarrow{} FA \xrightarrow{} 0$$

Since $L_1FA \to FK$ is a kernel of $T_0K \to T_0P$, we get a unique map $T_1A \to L_1FA$, which we declare to be $(\phi_1)_A$.

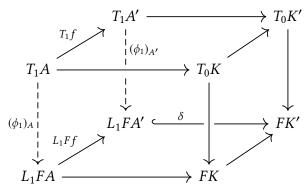
It remains to show that these are really the components of a natural transformation. Let $f: A \to A'$ be a morphism in \mathcal{A} . Consider any induced morphisms of short exact sequences.

$$0 \longrightarrow K \hookrightarrow P \longrightarrow A \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow f$$

$$0 \longrightarrow K' \hookrightarrow P' \longrightarrow A' \longrightarrow 0$$

Since $\{L_n F\}$ and $\{T_n\}$ are homological δ -functors, this gives us the following cube, where the components $(\phi_1)_A$ and $(\phi_1)_{A'}$ are dashed. All faces except the one on the left commute by definition.



In order to check that the $(\phi_1)_A$ form the components of a natural transformation, we need to check that the left face of the above cube commutes. Because $\delta: L_1FA' \to FK'$ is a monomorphism, it suffices to check that

$$\delta \circ (\phi_1)_{A'} \circ T_1 F = \delta \circ L_1 F f \circ (\phi_1)_A.$$

But given the commutativity of the other faces of the cube, this is clear.

We now continue inductively, constructing ϕ_2 , etc.

The last step is to show that the collection $\{\phi_n\}$ is really a morphism of homological δ -functors, i.e. that for any short exact sequence

$$0 \longrightarrow A'' \hookrightarrow A \longrightarrow A' \longrightarrow 0$$

the squares

$$T_{n}A'' \xrightarrow{\delta} T_{n-1}A'$$

$$\downarrow^{(\phi_{n})_{A''}} \downarrow^{(\phi_{n-1})_{A'}}$$

$$L_{n}FA'' \xrightarrow{\delta} L_{n-1}FA'$$

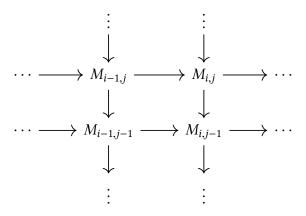
commute

1.11 Double complexes

Given any abelian category \mathcal{A} , we have considered the category of chain complexes in \mathcal{A} , and shown (in Theorem 2) that this is an abelian category. It is therefore natural to consider chain complexes in the category of chain complexes.

$$\left(\cdots \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow M_{-1,\bullet} \longrightarrow \cdots \right) \in \mathbf{Ch}(\mathbf{Ch}(\mathcal{A})),$$

Such a beast is a lattice of commuting squares.



However, when manipulating these complexes it turns out to convenient to do something slightly different, namely work with complexes whose squares anticommute rather than commute. This is not a fundamental difference, as one can make from any lattice of commuting squares a lattice of anticommuting squares by multiplying the differentials of every other column by -1. This is known as the *sign trick*.

Definition 60 (double complex). Let \mathcal{A} be an abelian category. A <u>double complex</u> in \mathcal{A} consists of an array $M_{i,j}$ of objects together with, for every i and $j \in \mathbb{Z}$, morphisms

$$d_{i,j}^h \colon M_{i,j} \to M_{i-1,j}, \qquad d_{i,j}^v \colon M_{i,j} \to M_{i,j-1}$$

subject to the following conditions.

•
$$(d^h)^2 = 0$$

•
$$(d^v)^2 = 0$$

•
$$d^h \circ d^v + d^v \circ d^h = 0$$

We say that a double complex is $M_{\bullet,\bullet}$ is first-quadrant if $M_{i,j}=0$ for i,j<0.

Given any complex of complexes, one can construct a double complex by multiplying the differentials in every other row or column by -1.

Definition 61 (total complex). Let $M_{\bullet,\bullet}$ be a first-quadrant⁴ double complex. The <u>total</u> complex $Tot(M)_{\bullet}$ is defined level-wise by

$$Tot(M)_n = \bigoplus_{i+j=n} M_{i,j},$$

with differential given by $d_{\text{Tot}} = d + \delta$.

Lemma 62. The total complex really is a complex, i.e.

$$d_{\mathrm{Tot}} \circ d_{\mathrm{Tot}} = 0.$$

Proof. Hand-wavily, we have

$$d_{\text{Tot}} \circ d_{\text{Tot}} = (d + \delta) \circ (d + \delta) = d^2 + d \circ \delta + \delta \circ d + \delta^2 = 0.$$

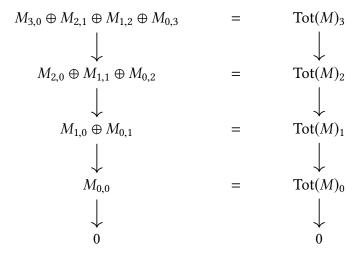
Theorem 63. Let $M_{i,j}$ be a first-quadrant double complex. Then if either the rows $M_{\bullet,j}$ or the columns $M_{i,\bullet}$ are exact, then the total complex $Tot(M)_{\bullet}$ is exact.

Proof. Let $M_{i,j}$ be a first-quadrant double complex with exact rows.

$$\begin{array}{c} M_{3,0} & \ddots & & \\ d_{3,0} \downarrow & & & \\ M_{2,0} & \swarrow^{\delta_{2,1}} & M_{2,1} & \ddots & \\ d_{2,0} \downarrow & & & \downarrow^{d_{2,1}} & \\ M_{1,0} & \swarrow^{\delta_{1,1}} & M_{1,1} & \swarrow^{\delta_{1,2}} & M_{1,2} & \ddots \\ d_{1,0} \downarrow & & & \downarrow^{d_{1,1}} & \downarrow^{d_{1,2}} \\ M_{0,0} & \swarrow^{\delta_{0,1}} & M_{0,1} & \swarrow^{\delta_{0,2}} & M_{0,2} & \swarrow^{\delta_{0,3}} & M_{0,3} \end{array}$$

⁴This restriction is not strictly necessary, but then one has to deal with infinite direct sums, and hence must decide whether one wants the direct sum or the direct product. We only need first-quadrant double complexes, so all of our sums will be finite.

We want to show that the total complex



is exact.

The proof is inductive on the degree of the total complex. At level 0 there is nothing to show; the morphism d_1^{Tot} is manifestly surjective since $\delta_{0,1}$ is. Since numbers greater than 1 are for all intents and purposes interchangable, we give the inductive step for n = 2.

We have a triple $m=(m_{2,0},m_{1,1},m_{0,2})\in \operatorname{Tot}(M)_2$ which maps to 0 under d_2^{Tot} ; that is,

$$d_{2.0}m_{2.0} + \delta_{1.1}m_{1.1} = 0,$$
 $d_{11}m_{1.1} + \delta_{0.2}m_{0.2} = 0.$

Our goal is to find

$$n = (n_{3,0}, n_{2,1}, n_{1,2}, n_{0,3}) \in \text{Tot}(M)_3$$

such that $d_3^{\text{Tot}}n = m$.

It turns out that we can make our lives easier by choosing $n_{3,0}=0$. By exactness, we can always $n_{2,1}$ such that $\delta n_{2,1}=m_{2,0}$. Thus, by anti-commutativity,

$$d\delta n_{2,1} = -\delta dn_{2,1}$$
.

But $\delta n_{2,1} = m_{2,0} = -\delta m_{1,1}$, so

$$\delta(m_{1,1} - dn_{2,1}) = 0.$$

This means that $m_{1,1}-dn_{2,1} \in \ker \delta$, i.e. that there exists $n_{1,2}$ such that $\delta n_{1,2} = m_{1,1}-dn_{2,1}$. Thus

$$d\delta n_{1,2} = dm_{1,1} = -\delta m_{0,2}$$
.

But

$$d\delta n_{1,2} = -\delta dn_{1,2},$$

so

$$\delta(m_{0,2} - dn_{1,2}) = 0.$$

Now we repeat this process, finding $n_{1,2}$ such that

$$\delta n_{1,2} = m_{1,1} - dn_{2,1},$$

and $n_{0,3}$ such that

$$\delta n_{0,3} = m_{0,2} - dn_{1,2}$$
.

Then

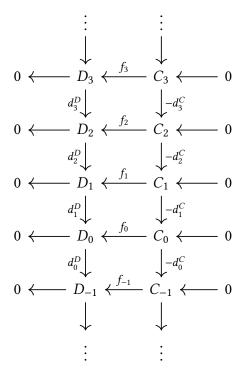
$$d^{\text{Tot}}(n_{3,0} + n_{2,1} + n_{1,2} + n_{0,3}) = 0 + (d + \delta)n_{2,1} + (d + \delta)n_{1,2} + (d + \delta)n_{0,3}$$

$$= 0 + 0 + m_{2,0} + dn_{2,1} + (m_{1,1} - dn_{2,1}) + dn_{1,2} + (m_{0,2} - dn_{1,2}) + 0$$

$$= m_{2,0} + m_{1,1} + m_{0,2}$$

as required.

Example 64. Let $f_{\bullet} : C_{\bullet} \to D_{\bullet}$ be a morphism of chain complexes. Form from this a double complex by multiplying the differential of C_{\bullet} by -1 and padding by zeroes on either side.



The total complex of this is simply cone(f).

Now suppose that f_{\bullet} is an isomorphism, so the rows are exact. Then by Theorem 63, Tot(f) = cone(f) is exact. We have already seen this (in one direction) in Corollary 51.

Consider any morphism α in $Ch_{\geq 0}(Ch_{\geq 0}(\mathcal{A}))$ to a complex concentrated in degree 0. We

can view this in two ways.

· As a chain

$$\cdots \longrightarrow C_{2,\bullet} \longrightarrow C_{1,\bullet} \longrightarrow C_{0,\bullet} \stackrel{\alpha}{\longrightarrow} D_{\bullet}$$

hence (by inserting appropriate minus signs) a double complex $C_{\bullet,\bullet}^D$;

• As a morphism between double complexes, hence between totalizations

$$\operatorname{Tot}(\alpha)_{\bullet} : \operatorname{Tot}(C)_{\bullet} \to \operatorname{Tot}(D)_{\bullet}.$$

Lemma 65. These two points of view agree in the sense that

$$Tot(C^D) = Cone(Tot(\alpha)).$$

Proof. Write down the definitions.

Theorem 66. Let $A_{\bullet} \in \mathbf{Ch}_{>0}(\mathcal{A})$, and let

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \alpha_{\bullet}$$

$$\cdots \longrightarrow 0 \longrightarrow 0 \longrightarrow A_{\bullet}$$

be a resolution of A_{\bullet} . Then Tot(M) is quasi-isomorphic to A.

Proof. The sequence

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow A_{\bullet} \longrightarrow 0$$

is exact. By Theorem 63, the total complex $Tot(M^A)$ is exact. But by Lemma 65 the total complex is equivalently the cone of α_{\bullet} . However, we have seen (in Corollary 51) that exactness of $cone(\alpha_{\bullet})$ means that α_{\bullet} is a quasi-isomorphism.

Corollary 67. Let

$$\cdots \longrightarrow M_{2,\bullet} \longrightarrow M_{1,\bullet} \longrightarrow M_{0,\bullet} \longrightarrow 0$$

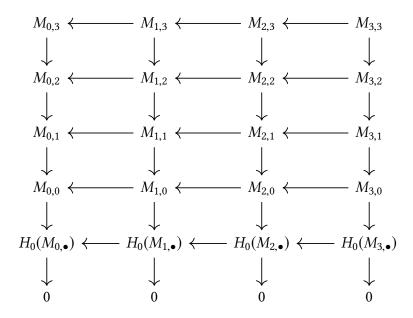
be a complex in $Ch_{\geq 0}(Ch_{\geq 0}(A))$ such that $H_0(M_{i,\bullet}) = 0$ for $i \neq 0$. Then Tot(M) is quasi-isomorphic to the sequence

$$\cdots \longrightarrow H_0(M_{2,\bullet}) \longrightarrow H_0(M_{1,\bullet}) \longrightarrow H_0(M_{0,\bullet}) \longrightarrow 0$$

Proof. For each *i* we can write

$$H_0(M_{i,\bullet}) = \operatorname{coker} d_1^{M_i}.$$

In particular, we have a double complex



Flipping along the main diagonal, we have the following resolution.

$$M_{\bullet,2} \longrightarrow M_{\bullet,1} \longrightarrow M_{\bullet,0} \longrightarrow H_0(M_{\bullet,\bullet})$$

Now Theorem 66 gives us the result we want.

1.12 Tensor-hom adjunction for chain complexes

In a general abelian category, there is no notion of a tensor product. However, many interesting abelian categories carry tensor products, and we would like to be able to talk about them. In this section, we let $\mathcal A$ be an abelian category and let

$$-\otimes -: \mathcal{A}^{\mathrm{op}} \otimes \mathcal{A} \to \mathcal{H}$$
.

be an additive functor, where ${\cal H}$ is some abelian category equipped with an exact functor to ${\bf Ab}.$ Further suppose that

Definition 68 (tensor product of chain complexes). Let C_{\bullet} , D_{\bullet} be chain complexes in A. We define the <u>tensor product</u> of C_{\bullet} and D_{\bullet} by

$$(C \otimes D)_{\bullet} = \text{Tot}(C_{\bullet} \otimes D_{\bullet}),$$

where $\text{Tot}(C_{\bullet} \otimes D_{\bullet})$ is the totalization of the double complex $C_{\bullet} \otimes D_{\bullet}$.

Definition 69 (internal hom). Let \mathcal{A} be an abelian category with an internal hom functor (for example, a category of modules over a commutative ring), and let C_{\bullet} , D_{\bullet} be

chain complexes in A. We have

1.13 The Künneth formula

This section takes place in R-Mod, where R is a PID. For example, everything we are saying holds in Ab.

Lemma 70. Let C_{\bullet} be a chain complex of free R-modules with trivial differential, and let C'_{\bullet} be an arbitrary chain complex. Then there is an isomorphism

$$\lambda : \bigoplus_{p+q=n} H_p(C_{\bullet}) \otimes H_q(C'_{\bullet}) \cong H_n(C_{\bullet} \otimes C'_{\bullet}).$$

Proof. We write

$$Z_p = Z_p(C_{\bullet}), \qquad B_p = B_p(C_{\bullet}), \qquad Z_p' = Z_p(C_{\bullet}'), \qquad B_p' = B_p(C_{\bullet}'),$$

The sequence

$$0 \longrightarrow Z'_q \longrightarrow C'_q \longrightarrow B'_{q-1} \longrightarrow 0$$

is exact by definition, and since $Z_p = C_p$ is by assumption free, the sequence By definition, $Z_p = C_p$ is free. Thus the sequence

$$0 \longrightarrow Z_p \otimes Z'_q \hookrightarrow Z_p \otimes C'_q \longrightarrow Z_p \otimes B'_{q-1} \longrightarrow 0$$

is exact.

The differential of the tensor product complex $C_{\bullet} \otimes C'_{\bullet}$ is

$$d_n^{\text{tot}} = \sum_{i=0}^n d_i^C \otimes \text{id}_{C_{n-i}} + (-1)^i \text{id}_{C_i} \otimes d_{n-i}^{C'}$$
$$= \sum_{i=0}^n (-1)^i \text{id}_{C_i} \otimes d_{n-i}^C.$$

Since C_i is by assumption free, tensoring with it doesn't change homology, and we have

$$Z_n(C_{\bullet} \otimes C'_{\bullet}) = \bigoplus_{p+q=n} Z_p \otimes Z'_q,$$

and

$$Z_n(C_\bullet \otimes C'_\bullet) = \bigoplus_{p+q=n} Z_p \otimes Z'_q,$$