# MODEL CATEGORIES

# 1 Localization of categories

Many areas of mathematics have a notion of *weak equivalence*, i.e. a class of morphisms which are not isomorphisms but which one wants to think of as equivalences.

- In topology, one often wants to study spaces up to homotopy equivalence.
- In homological algebra, one is often interested in chain complexes only up to quasiisomorphism.
- In ordinary category theory, one wants to consider two categories to be the same if they are equivalent.

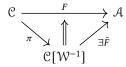
The difficulty in treating these classes of morphisms as equivalences is that we would like equivalences to be invertible, whereas morphisms belonging to the above classes are in general not invertible. Therefore, we would like to solve the following problem: given a category  $\mathcal C$  and a set of morphisms  $\mathcal W$  in  $\mathcal C$ , how can we change  $\mathcal C$  so as to make each morphism in  $\mathcal W$  an isomorphism? There are two ways that one could go about this.

- 1. One could freely add formal inverses for all morphisms in W. This is, modulo issues of size, always possible, but difficult to work with. This is analogous to the localization of a ring.
- 2. One can try to find a replacement for each object of C such that weak equivalences between these objects turn out to be invertible. This is what one does in homological algebra by taking projective resolutions.

Whatever approach one takes, the result is called a the localization of  $\mathcal{C}$  by  $\mathcal{W}$ .

**Definition 1.1** (localization of a category). Let  $\mathcal{C}$  be a category, and  $\mathcal{W}$  a set of morphisms of  $\mathcal{C}$ . The <u>localization of  $\mathcal{C}$  at  $\mathcal{W}$  is a pair  $(\mathcal{C}[\mathcal{W}^{-1}], \pi)$  where  $\mathcal{C}([\mathcal{W}^{-1}])$  is a category and  $\pi \colon \mathcal{C} \to \mathcal{C}[\mathcal{W}^{-1}]$  is a functor, such that the following conditions hold.</u>

- For all  $w \in \mathcal{W}$ ,  $\pi(w)$  is an isomorphism.
- For any category  $\mathcal{A}$  and functor  $F \colon \mathcal{C} \to \mathcal{A}$  such that F(w) is an isomorphism for all  $w \in \mathcal{W}$ , there exists a functor  $\tilde{F} \colon \mathcal{C}[\mathcal{W}^{-1}] \to \mathcal{A}$  and a natural isomorphism  $\tilde{F} \circ \pi \Rightarrow F$ .



Note that the above diagram is not required to commute on the nose.

• For every category A, the pullback functor

$$\pi^* : \mathbf{Cat}(\mathfrak{C}[\mathcal{W}^{-1}], \mathcal{A}) \to \mathbf{Cat}(\mathfrak{C}, \mathcal{A})$$

is fully faithful.

Note that by the third axiom, the functor  $\tilde{F}$  is guaranteed to be unique up to isomorphism: fully faithfulness tells us precisely that functors  $\mathcal{C} \to \mathcal{A}$  and functors  $\mathcal{C}[\mathcal{W}^{-1}] \to \mathcal{A}$  sending  $\mathcal{W}$  to isomorphisms are in bijection up to isomorphism

As previously alluded to, there is an explicit construction of the localization of a category at any set of weak equivalences, but it is difficult to work with. Fortunately, model categories provide a way of computing and working with localizations which is practical and avoids issues of size.

# 2 Model categories

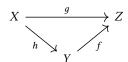
Model categories provide a distillation of the structures appearing in various flavors of homotopy theory.

**Definition 1.2** (model category). A  $\underline{\text{model category}}$  is a category  ${\mathfrak C}$  together with three sets of morphisms

- W, the set of weak equivalences
- Fib, the set of *fibrations*
- Cof, the set of *cofibrations*

subject to the following axioms.

- (M1) The category C has all small limits and colimits.
- (M2) The set W satisfies the so-called two-out-of-three law: if the diagram



(M3) The sets W,  $\mathcal{F}$ ib, and  $\mathcal{C}$ of are all closed under retracts: given the following diagram, if g belongs to any of the above sets, then so does f.

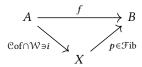
$$\begin{array}{cccc} X & \longrightarrow & Y & \longrightarrow & X \\ f \downarrow & & \downarrow g & & \downarrow f \\ Z & \longrightarrow & W & \longrightarrow & Z \end{array}$$

(M4) We can solve a lifting problem

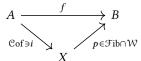
$$\begin{array}{ccc} U & \longrightarrow X \\ \downarrow & & \downarrow p \\ V & \longrightarrow P \end{array}$$

if all of the following conditions hold.

- The morphism i is a cofibration.
- The morphism p is a fibration.
- At least one of *i* and *p* is a weak equivalence.
- (M5) Any morphism  $f: X \to Y$  admits the following factorizations.
  - We can write  $f = p \circ i$ , where p is a fibration and i is both a cofibration and a weak equivalence.



• We can write  $f = p \circ i$ , where p is both a fibration and a weak equivalence, and i is a cofibration.



To simplify terminology, we will use the following terms.

• We will call morphisms which are both weak equivalences and fibrations *trivial fibrations*:

$$W \cap \mathcal{F}ib = \{trivial fibrations\}.$$

• We will call morphisms which are both weak equivalences and cofibrations *trivial cofibrations*:

$$W \cap Cof = \{trivial cofibrations\}.$$

• We will call an object  $X \in \mathcal{C}$  *cofibrant* if the unique morphism  $\emptyset \to X$  is a cofibration.

• We will call an object  $Y \in \mathcal{C}$  fibrant if the unique morphism  $X \to *$  is a fibration.

We will see some examples of model categories after a few lemmas.

**Lemma 1.3** (lifting lemma). Let  $\mathcal{C}$  be any category, and let  $f = p \circ i$  be a morphism in  $\mathcal{C}$ .

- 1. If f has the left lifting property with respect to p, then f is a retract of i.
- 2. If f has the right lifting property with respect to i then f is a retract of p.

*Proof.* Suppose f has the left-lifting property with respect to p. Then the following diagram admits a lift.

$$\begin{array}{ccc}
A & \xrightarrow{i} & X \\
f \downarrow & & \nearrow & \downarrow f \\
B & & & B
\end{array}$$

Expanding, we find the following diagram.

$$\begin{array}{cccc}
A & \longrightarrow & A & \longrightarrow & A \\
f \downarrow & & \downarrow i & & \downarrow f \\
B & \longrightarrow & X & \xrightarrow{p} & B
\end{array}$$

This exhibits f as a retract of i.

The second case is dual.

The axioms for a model category are over-specified, in the sense that providing  $\mathcal{W}$  and either  $\mathcal{F}$ ib and  $\mathcal{C}$ of is sufficient to derive the other one.

**Lemma 1.4.** Let  $\mathcal{C}$  be a model category. We have the following.

- 1.  $Cof_{\perp} = Fib \cap W$
- 2.  $\operatorname{Cof} = {}_{\perp}(\operatorname{Fib} \cap \mathcal{W})$
- 3.  $(\operatorname{Cof} \cap \mathcal{W})_{\perp} = \operatorname{Fib}$
- 4.  $\operatorname{Cof} \cap \mathcal{W} = {}_{\perp}\operatorname{Fib}$

*Proof.* We prove 4. The proof of 1 is the same, mutatis mutandis.

Let 
$$f \in \text{Cof} \cap \mathcal{W}$$
. Then by Axiom (M4),  $f \in {}_{\perp}\mathcal{F}ib$ .

Now suppose  $f \in {}_{\perp}\mathcal{F}$ ib. By Axiom (M5), we can always find a factorization  $f = p \circ i$ , where p is a fibration and i is a trivial cofibration.

By Axiom (M4), f has the left lifting property with respect to p, so by Lemma 1.3, f is a retract of i. But since by Axiom (M3) both  $\mathcal W$  and  $\mathcal C$ of are closed under retracts, f is also a trivial cofibration as needed.

From 4, 3 follows immediately. The proof of 1 is precisely the same as that of 4, and 2 follows from 1 immediately.  $\Box$ 

*Proof.* By Theorem ??, any set which is of the form  $_{\perp}\mathcal{M}$  is saturated.

**Example 1.6.** The following are examples of model structures.

- 1. The category **Top** carries the so-called *Quillen model structure*, with
  - W = weak homotopy equivalences,
  - Fib = Serre fibrations,
  - $\operatorname{Cof} = (\operatorname{Fib} \cap \mathcal{W}).$
- 2. The category  $Set_{\Delta}$  has a model structure called the *Kan model structure* with
  - W = weak homotopy equivalences, i.e. Kan fibrations  $X \to Y$  such that  $\pi_0(X) \to \pi_0(Y)$  is a bijection and  $\pi_n(X, x) \to \pi_n(Y, f(x))$  is an isomorphism for all  $x \in X$ .
  - $\mathcal{F}ib = Kan fibrations$ ,
  - Cof = monomorphisms.

Note that in this case,  $W \cap Cof = {}_{\perp}Fib = \{anodyne morphisms\}.$ 

- 3. For any small ring R, the category  $\mathbf{Ch}_{\geq}(R)$  of bounded-below chain complexes of small left R-modules has a model structure, called the *projective model structure*, with
  - W = Quasi-isomorphisms
  - Fib = morphisms f of complexes such that  $f_n$  is an epimorphism for all  $n \ge 0$
  - Cof = morphisms f of complexes such that  $f_n$  is a monomorphism for all  $n \ge 0$ , and coker  $f_n$  is projective for all  $n \ge 0$ .

**Example 1.7.** Let  $\mathcal{C}$  be a category with model structure  $(\mathcal{W}, \mathcal{F}ib, \mathcal{C}of)$ . Then  $\mathcal{C}^{op}$  is a model category with model structure  $(\mathcal{W}, \mathcal{C}of, \mathcal{F}ib)$ .

# 3 The Homotopy category of a model category

In Subsection 3.1, we define the notion of a *left homotopy* of maps  $A \to B$ , denoted  $\stackrel{l}{\sim}$ , and show that for any cofibrant object A and any object B, left homotopy yields an equivalence relation on  $\mathcal{C}(A,B)$ .

$$\overbrace{A}^{\text{Cof}} \xrightarrow{f} B$$

In Subsection 3.2, we define a dual notion, *right homotopy*, denoted  $\stackrel{r}{\sim}$ , and show that for any object *A* and any fibrant object *B*, right homotopy yields an equivalence relation on  $\mathcal{C}(A, B)$ .

$$A \xrightarrow{f} B$$

In Subsection 3.3, we show that when looking at maps from a cofibrant object to a fibrant object

$$\overbrace{A} \xrightarrow{f} \overbrace{B}$$

a left homotopy  $f \stackrel{l}{\sim} f'$  gives rise to a right homotopy and vice versa, and thus the two notions agree. Thus, for maps from a cofibrant object to a fibrant object, we do not keep track of left- and right homotopies, calling them simply *homotopies*.

This allows us to define, for two maps between fibrant-cofibrant objects, a notion of homotopy equivalence; we say that a map  $f: A \to B$ , where A and B are fibrant-cofibrant, is a homotopy equivalence if it has a homotopy inverse g, i.e. a map g such that

$$f \circ g \sim \mathrm{id}_B$$
, and  $g \circ f \sim \mathrm{id}_A$ .

Next, we show that this notion is preserved under composition: if f and g are homotopy equivalences, then so is  $g \circ f$ . This allows us to prove Whitehead's theorem, which tells us that any weak equivalence between fibrant-cofibrant objects is a homotopy equivalence.

Finally, we define the homotopy category  $Ho(\mathcal{C})$  of a model category, whose objects are the fibrant-cofibrant objects of  $\mathcal{C}$ , and whose morphisms  $X \to Y$  are the homotopy classes of morphisms  $X \to Y$ .

### 3.1 Left homotopy

**Definition 1.8** (cylinder object). Let  $\mathcal{C}$  be a model category, and let  $A \in \mathcal{C}$ . A <u>cylinder</u> object for A is an object C together with a factorization

$$A \coprod A \xrightarrow{\text{(id,id)}} A$$

$$i=(i_0,i_1) \downarrow \qquad \sigma$$

such that *i* is a cofibration and  $\sigma$  is a weak equivalence.

In any model category, every object A admits a cylinder object. Axiom (M5) guarantees that we can find an object C and maps i and c as above where i is a cofibration and  $\sigma$  is a trivial fibration, hence certainly a fibration. However, many model categories have an object such I such that any object A has a cylinder object built from A and I, for example by taking the product  $A \times I$ .

**Example 1.9.** In the category **Top** with the Quillen model structure of Example 1.6, for each object X there is a cylinder object  $X \times I$ , where I is the unit interval [0, 1].

**Example 1.10.** In Set<sub> $\Lambda$ </sub> equipped with the Kan model structure, for each simplicial set S

there is a cylinder object, given by  $\Delta^1 \times S$ .

In this case, i is a cofibration because it is an inclusion (hence a monomorphism as required) and  $\pi_2$  is a weak equivalence (i.e. a homotopy equivalence) with homotopy inverse  $s \mapsto (0, s)$ .

**Example 1.11.** In the model category on categories, any category C has cylinder object  $\mathcal{C} \times I$ , taken with the below factorization.

$$\begin{array}{c}
\mathbb{C} \coprod \mathbb{C} & \xrightarrow{\text{(id,id)}} \mathbb{C} \\
i = (\text{id} \times \{*\}, \text{id} \times \{\bullet\}) \downarrow & \pi
\end{array}$$

$$\mathbb{C} \times I$$

The map i is obviously injective on objects, and the map  $\pi$  is an equivalence since the map  $c \mapsto (c, 0)$  is a weak inverse.

**Example 1.12.** Let R be a small ring, and consider the category  $Ch_{>}(R)$  with the projective model structure of CITE. Denote by  $I_{\bullet}$  the chain complex<sup>1</sup>

$$\cdots \longrightarrow 0 \longrightarrow R \xrightarrow{(\mathrm{id},-\mathrm{id})} R \oplus R .$$

Then each chain complex  $A_{\bullet}$  has a cylinder object

$$A \oplus A \xrightarrow{\text{(id,id)}} A$$

$$A \otimes I$$

**Definition 1.13** (left homotopy). Let  $\mathcal{C}$  be a model category, and let  $f, g: A \to B$  be two morphsims in C. A left homotopy between f and q consists of a cylinder object C for A (Definition 1.8) and a map  $H: C \to B$  such that the following diagram commutes.

$$A \coprod A \xrightarrow{(f,g)} B$$

$$\downarrow \qquad \qquad \downarrow$$

$$C$$

In this case, we will write

$$f \stackrel{l}{\sim} g$$
.

**Example 1.14.** In the projective model structure on  $Ch_{>0}(R)$ , a left homotopy with respect to the cylinder object of Example 1.11 is a chain homotopy.

**Example 1.15.** In the Quillen model structure on Top with cylinder objects as in Example 1.9, a left homotopy between f and g is a homotopy in the standard sense.

<sup>&</sup>lt;sup>1</sup> This is the chain complex corresponding, under the Dold-Kan correspondence, to the unit interval.

**Example 1.16.** In the Kan model structure on  $\mathbf{Set}_{\Delta}$  with cylinder objects as in Example 1.10, left homotopy reproduces Definition ??.

**Lemma 1.17.** Let *A* be a cofibrant object. If *C* is a cylinder object for *A*, then the maps

$$i_0, i_1: A \rightarrow C$$

are trivial cofibrations.

*Proof.* Since *A* is cofibrant, by definition the map  $\emptyset \to A$  is a cofibration. Consider the following pushout square.

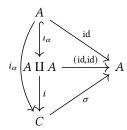
$$\emptyset \longrightarrow A$$

$$\downarrow \qquad \qquad \downarrow$$

$$A \longrightarrow A \coprod A$$

Since by Corollary 1.5 cofibrations are closed under pushout, the canonical injections  $\iota_{\alpha} \colon A \to A \coprod A$ ,  $\alpha = 0$ , 1, are also cofibrations. Since  $i_{\alpha} = i \circ \iota_{\alpha}$  is a composition of cofibrations, it is again a cofibration by closure under countable composition.

Since the diagram



commutes, we can write  $\mathrm{id}_A = \sigma \circ i_\alpha$  (with  $\mathrm{id}_A$  a weak equivalence because it is an isomorphsim and  $\sigma$  a weak equivalence by assumption), so by the two-out-of-three law  $i_\alpha$  is a weak equivalence.

**Proposition 1.18.** If *A* is a cofibrant object, then left homotopy of maps  $A \to B$  is an equivalence relation.

*Proof.* To see that left homotopy is an equivalence relation, let *C* be any cylinder object over *A*.

$$A \coprod A \xrightarrow{\text{(id,id)}} A$$

$$i=(i_0,i_1) \downarrow \qquad \sigma$$

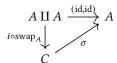
Then the following diagram commutes.

$$A \coprod A \xrightarrow{(f,f)} B$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad$$

This means that  $f \circ \sigma$  is a left homotopy from f to itself.

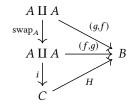
Next, we show that left homotopy is symmetric. First, we note that in any category with coproducts, there is a natural isomorphism swap<sub>A</sub>:  $A \coprod A \rightarrow A \coprod A$  which switches the components. Because Cof is saturated and thus contains all isomorphisms, swap<sub>A</sub> is a cofibration. This gives us a new factorization exhibiting C as a cylinder object of A.



Let *H* be a left homotopy  $f \stackrel{l}{\sim} g$  as follows.



The outer triangle in the diagram

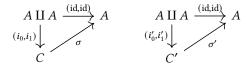


exhibits a left homotopy  $g \stackrel{l}{\sim} f$ .

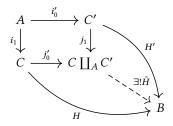
It remains only to show that left homotopy is transitive. To this end, suppose  $f \stackrel{l}{\sim} g$  and  $q \stackrel{l}{\sim} h$ ,

$$\begin{array}{ccccc}
A \coprod A \xrightarrow{(f,g)} & B & A \coprod A \xrightarrow{(g,h)} & B \\
\downarrow (i_0,i_1) & & & \downarrow & \\
C & & & & C'
\end{array}$$

where C and C' are cylinder objects for A with the following factorizations.



Consider the following diagram, where the top left square is a pushout square.



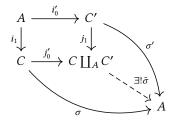
The following diagram commutes.

$$A \coprod A \stackrel{(f,h)}{\longrightarrow} B$$

$$(j'_0 \circ i_0, j_1 \circ i'_0) \downarrow \qquad \qquad \tilde{H}$$

$$C \coprod_A C'$$

It remains only to show that  $C \coprod_A C'$  is a cylinder object for A. Define a map  $\tilde{\sigma}$  as follows.



Since  $j_1 \in \mathcal{W} \cap \text{Cof}$  and  $\sigma' \in \mathcal{W}$ , we have by Axiom (M2) that  $\tilde{\sigma}$  is a weak equivalence.

Thus, to show that  $C \coprod_A C'$  is a cylinder object with the factorization

$$A \coprod A \xrightarrow{\text{(id,id)}} A$$

$$(j'_0 \circ i_0, j_1 \circ i'_0) \downarrow \qquad \tilde{\sigma}$$

$$C \coprod_A C'$$

we need only show that  $(j_0' \circ i_1, j_1 \circ i_0')$  is a cofibration.

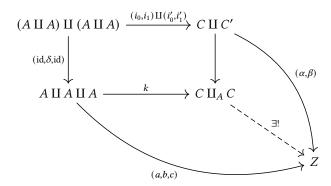
First note that the following square is a pushout square, where  $\delta$  is the codiagonal.

$$\begin{array}{c|c} (A \amalg A) \amalg (A \amalg A) & \xrightarrow{(i_0,i_1) \amalg (i_0',i_1')} C \amalg C' \\ \\ (\mathrm{id},\delta,\mathrm{id}) & & & \downarrow (j_0',j_1) \\ \\ A \amalg A \amalg A \coprod A & \longrightarrow C \coprod_A C \end{array}$$

To see this, note maps

$$(\alpha, \beta): C \coprod C' \to Z$$
 and  $(a, b, c): A \coprod A \coprod A$ 

which form a cocone satisfy  $\beta \circ i_0' = b$  and  $\alpha \circ i_1 = f$ .



$$C \coprod_A C \to Z$$

making the above diagram commute.

Note that because  $(i_0, i_1) \coprod (i'_0, i'_1)$  is a cofibration, k must be also.

Also, the map  $A \coprod A \to A \coprod A \coprod A \coprod A$  is a cofibration because the following diagram is a pushout.

$$\begin{array}{c} \emptyset & \longrightarrow & A \\ \downarrow & & \downarrow \\ A \coprod A & \longrightarrow & A \coprod A \coprod A \end{array}$$

Thus, the composition

$$A \coprod A \rightarrow A \coprod A \coprod A \rightarrow C \coprod_A C'$$

is a cofibration.

## 3.2 Right homotopy

There is a dual notion to left fibrations, called right fibrations. The theory of right fibrations is dual to that of left fibrations.

**Definition 1.19** (path object). Let B be an object of a model category. A <u>path object</u> for B is an object P, together with a factorization

$$\begin{array}{c}
P \\
\downarrow p \\
B \xrightarrow{\text{(id,id)}} B \times B
\end{array}$$

where p is a fibration and s is a weak equivalence.

**Definition 1.20** (right homotopy). A <u>right homotopy</u> between morphisms  $f, g: A \to B$  consists of a path object P and a factorization as follows.

$$A \xrightarrow{(f,g)} B \times B$$

**Lemma 1.21.** Let *B* be a fibrant object. If *P* is a cylinder object for *B*, then the maps  $p_0$  and  $p_1$  are trivial fibrations.

*Proof.* Apply Lemma 1.17 to the opposite model category (Example 1.7).

**Proposition 1.22.** Let B a fibrant object. Right homotopy of maps  $f, g: A \to B$  is an equivalence relation.

*Proof.* Apply Proposition 1.18 to the opposite model category (Example 1.7).

## 3.3 Homotopy equivalents

Fix objects A and B in a model category, and consider maps  $A \to B$ . In this section we will show that under certain conditions, namely when A and B are both fibrant-cofibrant, left and right homotopy are equivalent.

**Lemma 1.23.** Suppose *A* is cofibrant, and suppose that  $f, g: A \to B$  are left homotopic. Then given any path object *P* for *B*, there is a right homotopy between f and g with underlying path object *P*.

*Proof.* Suppose we are given a left homotopy

$$A \coprod A \xrightarrow{(f,g)} B$$

and suppose that P has the following factorization

$$B \xrightarrow{\text{(id.id)}} P \\ B \xrightarrow{\text{(id.id)}} B \times B$$

Consider the following diagram.

$$\begin{array}{c} A \xrightarrow{s \circ f} P \\ \downarrow i_0 \downarrow P \\ C \xrightarrow{(f \circ \sigma, H)} B \times B \end{array}$$

It commutes because

$$p \circ s \circ f = (id, id) \circ f = (f, f)$$

and

$$(f \circ \sigma, H) \circ i_0 = (f \circ \sigma \circ i_0, H \circ i_0) = (f \circ id, f) = (f, f).$$

By definition, p is a fibration, and we have seen in Lemma 1.17 that  $i_0$  is a trivial cofibration. Thus, we have a lift  $h: C \to P$ .

$$\begin{array}{ccc}
A & \xrightarrow{s \circ f} & P \\
\downarrow i_0 & & \downarrow p \\
C & \xrightarrow{f \circ \sigma, H} & B \times B
\end{array}$$

Then we have

$$p \circ h \circ i_1 = (f \circ \sigma, H) \circ i_1$$
$$= (f \circ \sigma \circ i_1, H \circ i_1)$$
$$= (f, g),$$

so the diagram

$$A \xrightarrow[(f,g)]{h \circ i_1} P$$

$$A \xrightarrow[(f,g)]{} B \times B$$

commutes. Thus,  $h \circ i_1$  gives a right homotopy between f and g.

**Corollary 1.24.** Let  $f, g: A \to B$  be morphisms, and suppose that A is cofibrant and B is fibrant. Then the following are equivalent.

- 1. *f* and *q* are left homotopic.
- 2. For every path object P for B, there is a right homotopy between f and q with path object P.
- 3. *f* and *q* are right homotopic.
- 4. For every cylinder object C for A, there is a left homotopy between f and g with cylinder object C.

Proof.

- $1 \Rightarrow 2$ . Lemma 1.23.
- $2 \Rightarrow 3$ . Trivial.
- $3 \Rightarrow 4$ . Dual to Lemma 1.23.
- $4 \Rightarrow 1$ . Trivial.

The above result shows that when considering morphisms between a cofibrant object and a fibrant object, there is no difference between left and right fibrations. Therefore, we will not distinguish them.

**Definition 1.25** (homotopy equivalence). Let  $f: X \to Y$  be a morphism with X and Y fibrant-cofibrant. We say that f is a homotopy equivalence if there exists a morphism  $g: Y \to X$  such that  $g \circ f \sim \mathrm{id}_X$  and  $f \circ g \sim \mathrm{id}_Y$ .

The next lemma shows that given a homotopy equivalence, the result of pre- or postcomposing with any morphism is again a homotopy equivalence.

**Lemma 1.26.** Let  $f, g: X \to Y$  be homotopy equivalent morphisms between fibrantcofibrant objects, and let W and Z be fibrant-cofibrant. Then the following hold.

- 1. For any  $h: Y \to Z$ ,  $h \circ f \sim h \circ g$ .
- 2. For any  $h': W \to X$ ,  $f \circ h' \sim q \circ h'$ .

#### Proof.

1. Suppose our homotopy is given by the following factorization.

$$X \coprod X \xrightarrow{(f,g)} Y$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$C$$

Post-composing with h gives us the following

But the second diagram is precisely a homotopy  $h \circ f \sim h \circ g$ .

2. Now suppose our homotopy is given by the following factorization.

$$X \xrightarrow{H'} Y \times Y$$

$$X \xrightarrow{(f,g)} Y \times Y$$

Pre-composing with *h* yields the following.

$$W \xrightarrow{h} X \xrightarrow{(f,g)} Y \times Y \xrightarrow{P} X \xrightarrow{H' \circ h} Y \times Y$$

This is preciesly a homotopy  $f \circ h \sim g \circ f$ .

**Corollary 1.27.** Let X, Y, and Z be fibrant-cofibrant objects, and let f and g be homotopy equivalences as follows.

$$X \xrightarrow{f} Y \xrightarrow{g} Z$$

Then  $g \circ f$  is a homotopy equivalence.

*Proof.* By definition, f has a homotopy inverse f' such that  $f' \circ f \sim \operatorname{id}$  and  $f \circ f' \sim \operatorname{id}$ . Similarly, there is a g' such that  $g' \circ g \sim \operatorname{id}$  and  $g \circ g' \sim \operatorname{id}$ . But then

$$\operatorname{id} \sim g' \circ g$$

$$\sim f' \circ g' \circ g$$

$$\sim f' \circ g' \circ g \circ f$$

and

$$id \sim f' \circ f$$
$$\sim g' \circ f' \circ f \circ g.$$

so  $f' \circ g'$  functions as a homotopy inverse to  $g \circ f$ .

**Lemma 1.28.** Let X and Y be fibrant-cofibrant objects, and  $f: X \to Y$  a weak equivalence.

- 1. If *f* is a fibration, then it is a homotopy equivalence.
- 2. If f is a cofibration, then it is a homotopy equivalence.

Proof.

1. We have a lift as follows.

$$\begin{array}{ccc}
\emptyset & \longrightarrow & X \\
\downarrow & g & \nearrow & \downarrow f \\
Y & \xrightarrow{id} & Y
\end{array}$$

Thus,  $f \circ g = id_Y$ .

Thus the diagram

commutes, because

$$f \circ (g \circ f, id) = (f \circ g \circ f, f)$$

$$= (f, f)$$

$$= (f \circ \sigma \circ i_1, f \circ \sigma \circ i_2)$$

$$= f \circ \sigma \circ i.$$

Since f is a trivial fibration and i is a cofibration, we have by Axiom (M4) a lift as follows.

$$X \coprod X \xrightarrow{(g \circ f, id)} X$$

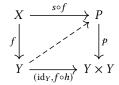
$$\downarrow \downarrow \qquad \qquad \downarrow f$$

$$C \xrightarrow{f \circ \sigma} Y$$

The upper triangle tells us that H is a left homotopy between  $g \circ f$  and  $id_X$ .

Since  $f \circ g = \mathrm{id}_Y$ , the cylinder object C itself functions as a homotopy  $f \circ g \sim \mathrm{id}_Y$ . Thus, f is a homotopy equivalent.

2. The commutativity of the solid diagram



together with the fact that f is a trivial cofibration and p is a fibration imply that there is a dashed lift. This exhibits a right homotopy  $f \circ h \sim \operatorname{id}_Y$ . But  $h \circ f = \operatorname{id}_X$ , so  $h \circ f \sim \operatorname{id}_X$ .

So far we have shown that the result holds if f is a trivial fibration or a trivial cofibration.

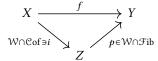
Now suppose f is merely a weak equivalence. Then we can factor

$$f = g \circ h$$
,

where g is a fibration and h is a trivial cofibration. But by Axiom (M2), g is also a trivial cofibration.

**Theorem 1.29** (Whitehead). Let  $f: X \to Y$  be a weak equivalence, and suppose that X and Y are fibrant-cofibrant. Then f is a homotopy equivalence.

*Proof.* By Axiom (M5), we are allowed to factor  $f = p \circ i$ , where p is a fibration, i is a cofibration, and at least one of p and i is a weak equivalence. By Axiom (M2), both i and p are weak equivalences.



By assumption, X is cofibrant, i.e. the map  $\emptyset \to X$  is a cofibration. The map  $i\colon X\to Z$  is also a cofibration, so their composition must also be a cofibration. But this means that the map  $\emptyset \to Z$  is also a cofibration, so Z is cofibrant

Dually, the map  $Y \to *$  is a fibration. Precomposing by p, we have that Z is fibrant. Thus, i and p are homotopy equivalences by Lemma 1.28, parts 2 and 1 respectively. But by Lemma 1.26, their composition is also a homotopy equivalence.

**Definition 1.30** (homotopy category of a model category). Let  $\mathcal{C}$  be a model category. The homotopy category of  $\mathcal{C}$ , denoted  $Ho(\mathcal{C})$ , is defined as follows.

- The objects of Ho(C) are the fibrant-cofibrant objects of C.
- The set of morphisms  $X \to Y$  is the set of homotopy classes of  $\mathcal{C}(X,Y)$ .
- Composition is given by the rule

$$[f] \circ [g] \equiv [f \circ g].$$

This is well-defined by Lemma 1.26.

**Example 1.31.** Consider the category  $\mathbf{Set}_{\Delta}$  together with the Kan model structure (Example 1.6). We have seen (in Example 1.10) that for any simplicial set S, the object  $\Delta^1 \times S$  is a cylinder object for S. Following our proofs through, one finds that the homotopy theory

in the model structure on  $\mathbf{Set}_{\Lambda}$  agrees with the homotopy theory on  $\mathbf{Set}_{\Lambda}$  we have worked out in Chapter ??.

In the Kan model structure  $\mathbf{Set}_{\Delta}$ , the fibrant objects are Kan complexes, and every object is cofibrant. Therefore, the fibrant-cofibrant objects are simply Kan complexes.

The homotopy category  $Ho(Set_{\Lambda})$  therefore simply has objects given by Kan complex, and morphisms given by equivalence classes of morphisms under homotopy. We will meet this category later. It is called the *homotopy category of spaces*.

## 4 Fibrant-Cofibrant replacement

Let C be a model category.

In Subsection 4.1, we give a way of assigning to any object  $X \in \mathcal{C}$  a (not necessarily unique) cofibrant object QX to which it is weakly equivalent. We call any choice of such at cofibrant object a cofibrant replacement.

We also define the notion of cofibrant replacement on morphisms, i.e. a way of assigning

$$(f: X \to Y) \mapsto (Qf: QX \to QY).$$

Again, this assignment is not uniquely defined, so cofibrant does not yield a functor, as one might hope.

Next we next prove that for any cofibrant replacements Qf of f and Qq of q,

$$f \stackrel{l}{\sim} g \Longrightarrow Qf \stackrel{l}{\sim} Qg$$

showing that the cofibrant replacement of a morphism is uniquely defined up to homotopy if we restrict our attention to morphisms out of cofibrant objects X. In this setting, we have the further nice property that cofibrant replacement respects composition in the sense that

$$Q(g \circ f) \stackrel{l}{\sim} Qg \circ Qf.$$

In Subsection 4.2, we study the dual notion, called *fibrant replacement*, providing a method by which one can replace any object X by a fibrant object RX to which it is weakly equivalent. We then again define a notion of cofibrant replacement on morphims, i.e. a way of assigning

$$(f: X \to Y) \mapsto (Rf: RX \to RY).$$

Then, restricting to morphisms whose domain Y is fibrant, and considering morphisms up to right homotopy, we find that fibrant replacement becomes well-defined in the sense that

$$f \stackrel{r}{\sim} f' \implies Rf \stackrel{r}{\sim} Rf'$$

and preserves composition in the sense that  $R(q \circ f) = Rq \circ Rf$ .

In Subsection 4.3, we prove two preliminary lemmas, which show the following.

- The fibrant replacement of a cofibrant object is both fibrant and cofibrant.
- Fibrant replacement takes left-homotopic morphisms  $f, g: X \to Y$  with X cofibrant to morphisms which are right homotopic.

The first lemma implies that taking first the cofibrant and then fibrant replacement of any object X yields an object RQX which is both fibrant and cofibrant; one calls such objects *fibrant-cofibrant*, and the act of replacing an object by a weakly equivalent fibrant-cofibrant one *fibrant-cofibrant replacement*. The second lemma then implies that fibrant-cofibrant replacement gives a functor from C to Ho(C).

### 4.1 Cofibrant replacement

**Definition 1.32** (cofibrant replacement). Let  $\mathcal{C}$  be a model category. For each  $X \in \mathcal{C}$ , a <u>cofibrant replacement</u> of X consists of a cofibrant object QX and a trivial fibration  $p_X \colon QX \to X$ , defined as follows.

- If *X* is cofibrant, define QX = X, and  $p_X = id_X$ .
- If X is not cofibrant, pick a factorization of the unique morphism  $\emptyset \to X$

$$\emptyset \to QX \stackrel{p_X}{\to} X$$

where the map  $\emptyset \to Qx$  is a cofibration and  $p_X$  is a trivial fibration.

For a morphism  $f: X \to Y$ , a fibrant replacement of f is any solution Rf to the following lifting problem.

$$0 \longrightarrow QY$$

$$\downarrow Qf \nearrow \downarrow p_Y$$

$$QX \xrightarrow{f \circ p_X} Y$$

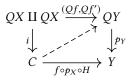
**Example 1.33.** Consider the category  $\mathbf{Ch}_{\geq}(R)$  of bounded-below chain complexes of modules over a ring R. Let M be an R-module, considered as a chain complex concentrated in degree 0. A cofibrant replacement for M is a chain complex of projective modules  $P^M$  together with a trivial fibration  $P^M \to M$ . This is the same thing as a projective resolution of M.

**Lemma 1.34.** For any map  $f: X \to Y$ , any choice of cofibrant replacement  $f \mapsto Qf$  respects left homotopy; that is, if  $f \stackrel{l}{\sim} f'$ , then  $Qf \stackrel{l}{\sim} Qf'$ .

*Proof.* Suppose we have lifts Qf and Qf' of f and f' respectively, and consider a left homotopy between them.

$$X \coprod X \xrightarrow{(f,f')} Y$$

Consider the following solid lifting problem.



The outer square commutes because

$$\begin{aligned} p_Y \circ (Qf, Qf') &= (f \circ p_X, f' \circ p_X) \\ &= f \circ p_X \circ (f, f') \\ &= f \circ p_X \circ H \circ i. \end{aligned}$$

The map i is a cofibration by definition, and  $p_Y$  is a trivial fibration. Thus, we get a dashed lift. But this is precisely a left homotopy between Qf and Qf'.

Corollary 1.35. We have

$$Q(g \circ f) \stackrel{l}{\sim} Q(g) \circ Q(f).$$

*Proof.* Consider the following square.

$$\begin{array}{cccc}
* & \longrightarrow & * & \longrightarrow & QZ \\
\downarrow & & \downarrow & & \downarrow & p_Z \\
* & \longrightarrow & QY & \xrightarrow{g \circ p_Y} & Z \\
\downarrow & & \downarrow & p_Y & \downarrow \text{id} \\
QX & \xrightarrow{f \circ p_X} & Y & \xrightarrow{g} & Z
\end{array}$$

The outer square is the lifting problem defining  $Q(q \circ f)$ , and the top right and bottom left squares define Qg and Qf respectively. Thus,  $Qg \circ Qf \stackrel{l}{\sim} Q(g \circ f)$ .

There is a dual notion.

## 4.2 Fibrant replacement

**Definition 1.36** (fibrant replacement). Let  $\mathcal{C}$  be a model category. For each  $X \in \mathcal{C}$ , a fibrant replacement of X consists of a fibrant object RX and a trivial cofibration  $i_X : X \to X$ RX, defined as follows.

- If *X* is fibrant, define RX = X, and  $i_X = id_X$ .
- If *X* is not fibrant, pick factorization of the unique morphism  $X \to *$

$$X \stackrel{i_X}{\to} RX \to *$$

where the map  $\emptyset \to Qx$  is a fibration and  $i_X$  is a trivial cofibration.

For a morphism  $f: X \to Y$ , a fibrant replacement of f is a solution Rf to the following lifting problem.

$$X \xrightarrow{i_Y \circ f} RY$$

$$\downarrow i_X \qquad \downarrow RX \qquad \uparrow RY$$

$$\downarrow RX \qquad \downarrow *$$

**Lemma 1.37.** For any map  $f: X \to Y$ , fibrant replacement  $f \mapsto Rf$  respects right homotopy; that is, if  $f \stackrel{r}{\sim} f'$ , then  $Rf \stackrel{r}{\sim} Rf'$ .

Corollary 1.38. We have

$$R(q \circ f) \stackrel{r}{\sim} R(q) \circ R(f)$$
.

## 4.3 Fibrant-cofibrant replacement

**Lemma 1.39.** Suppose  $f, f': X \to Y$  are left homotopic, and that X is cofibrant. Then the fibrant replacements Rf and Rf' are right homotopic.

*Proof.* Since f and f' are left homotopic, so are  $i_Y \circ f$  and  $i_Y \circ f'$ . But since these are between a fibrant and a cofibrant object, they are right homotopic. Pick some right homotopy H as follows.

$$X \xrightarrow{(i_Y \circ f, i_Y \circ f')} RY \times RY$$

Consider the following solid lifting problem.

$$X \xrightarrow{H} R$$

$$i_X \downarrow p$$

$$RX \xrightarrow{(Rf,Rf'')} RY \times RY$$

The outer square commutes because

$$p \circ H = (i_Y \circ f, i_Y \circ f') = (Rf \circ i_X, Rf' \circ i_X).$$

**Theorem 1.40.** There is a functor  $\pi \colon \mathcal{C} \to \operatorname{Ho}(\mathcal{C})$ , defined on objects by  $X \mapsto RQX$  and on morphisms by  $f \mapsto [RQf]$ .

$$\emptyset \to QX \xrightarrow{i_X} RQX$$

is a cofibration.

It remains to check that  $\pi$  respects composition. To see this, note that

$$\pi(g \circ f) = [RQ(g \circ f)]$$

$$= [R(Qg \circ Qf)]$$

$$= [RQ(g) \circ RQ(f)]$$

$$= [RQ(g)] \circ [RQ(f)]$$

$$= \pi(g) \circ \pi(f),$$

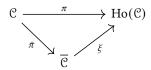
where the second equality follows from Corollary 1.35 and Lemma 1.39 and the third follows from Lemma 1.37.

**Theorem 1.41.** The homotopy category  $Ho(\mathcal{C})$ , together with the map  $\pi \colon \mathcal{C} \to Ho(\mathcal{C})$ , is the localization of  $\mathcal{C}$  at  $\mathcal{W} = \mathcal{W}$ , the set of weak equivalences. That is, there is an equivalence of categories  $\mathcal{C}[\mathcal{W}^{-1}] \simeq Ho(\mathcal{C})$ .

*Proof.* Define a category  $\overline{\mathbb{C}}$  as follows.

- $Obj(\overline{\mathcal{C}}) = Obj(\mathcal{C})$
- For each  $X, Y \in \text{Obj}(\overline{\mathbb{C}}), \overline{\mathbb{C}}(X, Y) = \text{Ho}(\mathbb{C})(RQX, RQY).$

We have the following commutative diagram

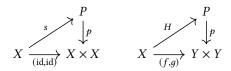


where  $\bar{\pi}$  is the identity on objects and maps  $f \mapsto [RQf]$ , and  $\xi$  acts on objects by taking  $X \mapsto RQX$  and is the identity on morphisms.

Note that  $\xi$  is surjective on objects and fully faithful, hence an equivalence of categories. Hence, we only need to show that  $\overline{\mathbb{C}}$  satisfies the universal property for the localization

Suppose that  $F\colon \mathcal{C}\to \mathcal{D}$  is a functor which takes weak equivalences to isomorphisms in  $\mathcal{D}$ . If we can show that there exists a unique functor  $\overline{F}\colon \overline{\mathcal{C}}\to \mathcal{D}$  such that  $F=\overline{F}\circ \overline{\pi}$ , then we are done.

Suppose  $f \stackrel{r}{\sim} g$ . Pick some path object *P*, and a homotopy through *P*.



Putting these diagrams back to back and unfolding them, we have the following.

$$X \xrightarrow{f} p_0 \qquad \text{id}$$

$$X \xrightarrow{H} P \xleftarrow{s} Y$$

$$y \qquad \text{id}$$

$$Y \qquad \text{id}$$

Consider the image in  $\mathbb{D}$ . Since  $p_0$  and  $p_1$  are weak equivalences, Theorem 1.29 implies that they are sent to isomorphisms in  $\mathbb{D}$ . Thus,  $F(p_0) = F(s)^{-1} = F(p_1)$ , so

$$F(f) = F(p_0) \circ F(H)$$
$$= F(p_1) \circ F(H)$$
$$= F(g).$$

Let  $[g]: RQX \to RQY$  be a morphism in  $\overline{\mathbb{C}}$ . Pick a representative g. Consider the following commutative diagram.

$$\begin{array}{c} X \stackrel{g}{\longrightarrow} Y \\ \downarrow^{p_X} & \uparrow^{p_Y} \\ QX \stackrel{Qg}{\longrightarrow} QY \\ \downarrow^{i_{QX}} & \downarrow^{i_{QY}} \\ RQX \stackrel{RQg}{\longrightarrow} RQY \end{array}$$

Applying F, we find the diagram

$$F(X) \xrightarrow{F(g)} F(Y)$$

$$F(p_X) \uparrow \qquad \uparrow^{F(p_Y)}$$

$$F(QX) \xrightarrow{F(Qg)} F(QY)$$

$$F(i_{QX}) \downarrow \qquad \downarrow^{F(i_{QY})}$$

$$F(RQX) \xrightarrow{F(RQg)} F(RQY)$$

But the vertical morphisms are isomorphisms, so

$$F(RQg) = F(i_{QY}) \circ F(p_Y)^{-1} \circ F(g) \circ F(p_X) \circ F(i_{QX})^{-1}.$$

In order to have  $F = \bar{F} \circ \bar{\pi}$ , we must have

$$\overline{F}([RQg]) = F(RQg),$$

which specifies  $\bar{F}$  completely.

**Proposition 1.42.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be model categories, and consider an adjunction

$$F: \mathcal{C} \leftrightarrow \mathcal{D}: G$$
.

The following are equivalent.

- 1. *F* preserves cofibrations and trivial cofibrations.
- 2. *G* preserves fibrations and trivial fibrations.

*Proof.* Suppose 2. holds. By Lemma 1.4, a morphism is a cofibration if and only if it has the left lifting property with respect to all trivial fibrations. Thus, in order to show that F preserves cofibrations, it suffices to show that if f is a cofibration in  $\mathbb{C}$ , then F(f) has the left lifting property with respect to all trivial fibrations.

Consider solid adjunct diagrams of the following form, with the first in  ${\mathcal D}$  and the second in  ${\mathfrak C}.$ 

Suppose that f is a cofibration and that F preserves cofibrations. Then the left-hand diagram has a lift for any trivial fibration g. The adjunct of this lift is a lift for the diagram on the right. This means that  $G(g) \in \operatorname{Cof}_{\perp} = \operatorname{Fib} \cap \mathcal{W}$ .

Similarly, if f is a trivial cofibration and F preserves trivial cofibrations, then the left-hand diagram has a lift for any fibration g, whose adjunct is a lift for the diagram on the RHS, implying that  $G(d) \in (\operatorname{Cof} \cap \mathcal{W})_{\perp} = \operatorname{Fib}$ .

The other statement is dual.

**Definition 1.43** (Quillen adjunction). An adjunction of model categories satisfying the equivalent conditions in Proposition 1.42 is known as a Quillen adjunction.

**Lemma 1.44** (Ken Brown's Lemma). Let  $F : \mathcal{C} \leftrightarrow \mathcal{D} : G$  be a Quillen adjunction.

- 1. The left adjoint F preserves weak equivalences between cofibrant objects
- 2. The right adjoint *G* preserves weak equivalences between fibrant objects.

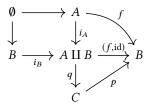
*Proof.* By Proposition 1.42. Let *A* and *B* be cofibrant objects, and  $f: A \to B$  be a weak equivalence.

Pick for the map (f, id):  $A \coprod B \rightarrow B$  a factorization

$$A \coprod B \xrightarrow{i} C \xrightarrow{p} B$$

where  $i \in \text{Cof}$  and  $p \in \text{Fib} \cap \mathcal{W}$ .

Consider the following diagram.



Because *A* and *B* are cofibrant,  $i_A$  and  $i_B$  are cofibrations. Thus,  $q \circ i_A$  is a cofibration, so *C* is cofibrant.

Note that by commutativity, we have

$$f = p \circ q \circ i_A$$
,  $id_B = p \circ q \circ i_B$ .

By Axiom (M2), we have that  $q \circ i_A$  and  $q \circ i_B$  are weak equivalences, hence trivial cofibrations.

Applying *F*, we find that

$$F(f) = F(p) \circ F(q \circ i_A), \qquad F(id) = F(p) \circ F(q \circ i_B).$$

We know that  $F(id_B) = id_{F(b)}$  is a trivial cofibration, hence certainly a weak equivalence, and that  $F(q \circ i_B)$  and  $F(q \circ i_A)$  are a weak equivalence because F preserves trivial cofibrations. Thus F(p) is a weak equivalence, implying that

$$F(f) = F(p) \circ F(q \circ i_A)$$

is a weak equivalence.

Given a Quillen adjunction

$$F: \mathcal{C} \leftrightarrow \mathcal{D}: G$$

consider the full subcategory of all cofibrant objects  $\mathcal{C}_c \subset \mathcal{C}$ . Since the full subcategory of  $\mathcal{C}$  on fibrant-cofibrant objects is the same as the full subcategory of  $\mathcal{C}_c$  on fibrant-cofibrant objects, there is an equivalence of categories

$$\mathcal{C}_c[\mathcal{W}^{-1}] \simeq \mathcal{C}[\mathcal{W}^{-1}].$$

We will denote any weak inverse by

$$Q: \mathcal{C}[\mathcal{W}^{-1}] \to \mathcal{C}_c[\mathcal{W}^{-1}].$$

Dually, we get a functor

$$R: \mathcal{D}[\mathcal{W}^{-1}] \to \mathcal{D}_f[\mathcal{W}^{-1}],$$

where  $\mathcal{D}_f$  is the full subcategory of  $\mathcal{D}$  on fibrant objects.

**Definition 1.45** (derived functor). Let

$$F: \mathcal{C} \leftrightarrow \mathcal{D}: G$$

be a Quillen adjunction.

• The left derived functor LF is the composite

$$\mathbb{C}[\mathcal{W}^{-1}] \xrightarrow{Q} \mathbb{C}_{c}[\mathcal{W}^{-1}] \xrightarrow{F} \mathcal{D}[\mathcal{W}^{-1}].$$

• The right derived functor RG is the composite

$$\mathcal{D}[\mathcal{W}^{-1}] \xrightarrow{R} \mathcal{D}_f[\mathcal{W}^{-1}] \xrightarrow{G} \mathcal{C}[\mathcal{W}^{-1}].$$

# Proposition 1.46. Let

$$\mathfrak{C}: F \leftrightarrow \mathfrak{G}: \mathfrak{D}$$

be a Quillen adjunction. Then there is an adjunction of derived functors

$$LF: \mathbb{C}[\mathcal{W}^{-1}] \leftrightarrow \mathcal{D}[\mathcal{W}^{-1}]: RG.$$

Definition 1.47 (Quillen equivalence). A Quillen adjunction is called a Quillen equivalence if LF, or equivalently RG, is an equivalence of localized categories.

**Theorem 1.48.** The adjunction

$$|\cdot|: \mathbf{Set}_{\Delta} \leftrightarrow \mathbf{Top}: \mathbf{Sing}$$

is a Quillen adjunction.