Ordered Sets

▶ Given two sets A and B, a **binary relation** is a subset $R \subset A \times B$.

- ▶ Given two sets A and B, a **binary relation** is a subset $R \subset A \times B$.
- ▶ We use the notation $(a, b) \in R$ or more often aRb to denote the relation R holding for an ordered pair (a, b).

- ▶ Given two sets A and B, a **binary relation** is a subset $R \subset A \times B$.
- ▶ We use the notation $(a, b) \in R$ or more often aRb to denote the relation R holding for an ordered pair (a, b).
- ▶ This is read "a is in the relation R to b."

- ▶ Given two sets A and B, a **binary relation** is a subset $R \subset A \times B$.
- ▶ We use the notation $(a, b) \in R$ or more often aRb to denote the relation R holding for an ordered pair (a, b).
- ▶ This is read "a is in the relation R to b."
- ▶ If $R \subset A \times A$, we say that R is a **relation on** A.

Let $A = \{$ Austin, Des Moines, Harrisburg $\}$ and let $B = \{$ Texas, Iowa, Pennsylvania $\}$.

- ▶ Let A = {Austin, Des Moines, Harrisburg} and let B = {Texas, Iowa, Pennsylvania}.
- ► Then the relation R = {(Austin, Texas), (Des Moines, Iowa), (Harrisburg, Pennsylvania)} expresses the relation "is the capital of."

- ▶ Let A = {Austin, Des Moines, Harrisburg} and let B = {Texas, Iowa, Pennsylvania}.
- ► Then the relation $R = \{(Austin, Texas), (Des Moines, Iowa), (Harrisburg, Pennsylvania)\}$ expresses the relation "is the capital of."
- ▶ Let $A = \{a, b, c\}$.

- ▶ Let A = {Austin, Des Moines, Harrisburg} and let B = {Texas, Iowa, Pennsylvania}.
- ► Then the relation R = {(Austin, Texas), (Des Moines, Iowa), (Harrisburg, Pennsylvania)} expresses the relation "is the capital of."
- ▶ Let $A = \{a, b, c\}$.
- ▶ Let $R = \{(a, b), (a, c), (b, c)\}$

- ▶ Let A = {Austin, Des Moines, Harrisburg} and let B = {Texas, Iowa, Pennsylvania}.
- ► Then the relation R = {(Austin, Texas), (Des Moines, Iowa), (Harrisburg, Pennsylvania)} expresses the relation "is the capital of."
- ▶ Let $A = \{a, b, c\}$.
- ▶ Let $R = \{(a, b), (a, c), (b, c)\}$
- ▶ What relation does *R* express?

- ▶ Let A = {Austin, Des Moines, Harrisburg} and let B = {Texas, Iowa, Pennsylvania}.
- ► Then the relation R = {(Austin, Texas), (Des Moines, Iowa), (Harrisburg, Pennsylvania)} expresses the relation "is the capital of."
- ▶ Let $A = \{a, b, c\}$.
- ▶ Let $R = \{(a, b), (a, c), (b, c)\}$
- What relation does R express?
- ▶ aRb: "a occurs earlier in the alphabet than b."

A relation R on a nonempty set X is

▶ **reflexive** if xRx for each $x \in X$

- ▶ **reflexive** if xRx for each $x \in X$
- **complete** if xRy or yRx for all $x, y \in X$

- ▶ **reflexive** if xRx for each $x \in X$
- **complete** if xRy or yRx for all $x, y \in X$
- **symmetric** if for any $x, y \in X$, xRy implies yRx

- ▶ **reflexive** if xRx for each $x \in X$
- **complete** if xRy or yRx for all $x, y \in X$
- **symmetric** if for any $x, y \in X$, xRy implies yRx
- ▶ antisymmetric if for any $x, y \in X$, xRy and yRx imply x = y

- ▶ **reflexive** if xRx for each $x \in X$
- **complete** if xRy or yRx for all $x, y \in X$
- **symmetric** if for any $x, y \in X$, xRy implies yRx
- ▶ antisymmetric if for any $x, y \in X$, xRy and yRx imply x = y
- ▶ transitive if xRy and yRz imply xRz for any $x, y, z \in X$

Any relation which is reflexive and transitive is called a preorder.

- Any relation which is reflexive and transitive is called a preorder.
- A set on which a preorder is defined is called a preordered set.

- Any relation which is reflexive and transitive is called a preorder.
- A set on which a preorder is defined is called a preordered set.
- ▶ In our theory of choice, we refer to a preorder on *X* as a **preference relation** on *X*

- Any relation which is reflexive and transitive is called a preorder.
- A set on which a preorder is defined is called a preordered set.
- ▶ In our theory of choice, we refer to a preorder on X as a preference relation on X
- ▶ Preorders fall into two fundamental categories, depending on whether or not the relation is symmetric.

- Any relation which is reflexive and transitive is called a preorder.
- A set on which a preorder is defined is called a preordered set.
- ▶ In our theory of choice, we refer to a preorder on X as a preference relation on X
- ▶ Preorders fall into two fundamental categories, depending on whether or not the relation is symmetric.
- A symmetric preorder is called an equivalence relation.

- Any relation which is reflexive and transitive is called a preorder.
- A set on which a preorder is defined is called a preordered set.
- ▶ In our theory of choice, we refer to a preorder on X as a preference relation on X
- ▶ Preorders fall into two fundamental categories, depending on whether or not the relation is symmetric.
- ► A *symmetric preorder* is called an **equivalence relation**.
- ▶ A preorder that is *not symmetric* is called an **order relation**.

Equivalence Relations

▶ A preorder ~ on a nonempty set X is called an equivalence relation if it is reflexive, symmetric, and transitive.

Equivalence Relations

- ▶ A preorder ~ on a nonempty set X is called an equivalence relation if it is reflexive, symmetric, and transitive.
- ▶ For any $x \in X$, the **equivalence class** of x relative to \sim is defined as the set $[x]_{\sim} \equiv \{y \in X : y \sim x\}$

Equivalence Relations

- ▶ A preorder ~ on a nonempty set X is called an equivalence relation if it is reflexive, symmetric, and transitive.
- ▶ For any $x \in X$, the **equivalence class** of x relative to \sim is defined as the set $[x]_{\sim} \equiv \{y \in X : y \sim x\}$
- We often exploit indifference in our theory of choice and equivalence classes help us formalize this.

 \blacktriangleright Equality is an equivalence relation on $\mathbb R$

- lacktriangle Equality is an equivalence relation on ${\mathbb R}$
- ▶ Reflexiveness: x = x

- lacktriangle Equality is an equivalence relation on ${\mathbb R}$
- ▶ Reflexiveness: x = x
- Symmetry: if x = y, then y = x

- ightharpoonup Equality is an equivalence relation on $\mathbb R$
- ▶ Reflexiveness: x = x
- Symmetry: if x = y, then y = x
- ▶ Transitivity: if x = y and y = z, then x = y = z so x = z

- ▶ Equality is an equivalence relation on R
- ▶ Reflexiveness: x = x
- ▶ Symmetry: if x = y, then y = x
- ▶ Transitivity: if x = y and y = z, then x = y = z so x = z
- ▶ The equivalence class of \sim is $[x]_{\sim} = \{y \in X : y = x\}$

- ightharpoonup Equality is an equivalence relation on $\mathbb R$
- ightharpoonup Reflexiveness: x = x
- ▶ Symmetry: if x = y, then y = x
- ▶ Transitivity: if x = y and y = z, then x = y = z so x = z
- ▶ The equivalence class of \sim is $[x]_{\sim} = \{y \in X : y = x\}$
- ▶ For any x, its equivalence class is a singleton: on \mathbb{R} , each element is equal only to itself.

▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?
- ▶ Does (a, b)R(c, d) imply (c, d)R(a, b)?

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?
- ▶ Does (a, b)R(c, d) imply (c, d)R(a, b)?
- $ightharpoonup ad = bc ext{ implies } cb = da$

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?
- ▶ Does (a, b)R(c, d) imply (c, d)R(a, b)?
- $ightharpoonup ad = bc ext{ implies } cb = da$
- Transitivity?

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?
- ▶ Does (a, b)R(c, d) imply (c, d)R(a, b)?
- ▶ ad = bc implies cb = da
- Transitivity?
- ▶ ad = bc implies $\frac{a}{b} = \frac{c}{d}$ and cf = de implies $\frac{c}{d} = \frac{e}{f}$ so $\frac{a}{b} = \frac{c}{d} = \frac{e}{f}$ which yields af = be

- ▶ Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?
- ▶ Does (a, b)R(c, d) imply (c, d)R(a, b)?
- ightharpoonup ad = bc implies cb = da
- Transitivity?
- ▶ ad = bc implies $\frac{a}{b} = \frac{c}{d}$ and cf = de implies $\frac{c}{d} = \frac{e}{f}$ so $\frac{a}{b} = \frac{c}{d} = \frac{e}{f}$ which yields af = be
- Equivalence class?

- Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?
- ▶ Does (a, b)R(c, d) imply (c, d)R(a, b)?
- ightharpoonup ad = bc implies cb = da
- Transitivity?
- ▶ ad = bc implies $\frac{a}{b} = \frac{c}{d}$ and cf = de implies $\frac{c}{d} = \frac{e}{f}$ so $\frac{a}{b} = \frac{c}{d} = \frac{e}{f}$ which yields af = be
- Equivalence class?
- $[(a,b)]_{\sim} = \{(c,d) \in X : \frac{c}{d} = \frac{a}{b}\}$

- Let $X = \{(a, b) : a, b \in \mathbb{N}\}$ and define the relation \sim on X by $(a, b) \sim (c, d)$ if and only if ad = bc
- Reflexiveness?
- $(a,b) \sim (a,b)$ is true iff ab = ab
- Symmetry?
- ▶ Does (a, b)R(c, d) imply (c, d)R(a, b)?
- ightharpoonup ad = bc implies cb = da
- Transitivity?
- ▶ ad = bc implies $\frac{a}{b} = \frac{c}{d}$ and cf = de implies $\frac{c}{d} = \frac{e}{f}$ so $\frac{a}{b} = \frac{c}{d} = \frac{e}{f}$ which yields af = be
- Equivalence class?
- $[(a,b)]_{\sim} = \{(c,d) \in X : \frac{c}{d} = \frac{a}{b}\}$
- ▶ With this relation, $\frac{4}{2}$ is equivalent to $\frac{8}{4}$. This is obvious to us precisely because the rational numbers are constructed as equivalence classes such that $(4,2),(8,4) \in [(2,1)]_{\sim}$.

► There is a close relationship between equivalence relations on a set and partitions of that set.

- ► There is a close relationship between equivalence relations on a set and partitions of that set.
- ▶ A **partition** of a set *X* is a collection of disjoint subsets of *X* whose union is the full set *X*.

- ► There is a close relationship between equivalence relations on a set and partitions of that set.
- ▶ A partition of a set X is a collection of disjoint subsets of X whose union is the full set X.
- ▶ Any equivalence relation on a set *X* partitions *X*: each element of *X* belongs to one and only one equivalence class.

- ► There is a close relationship between equivalence relations on a set and partitions of that set.
- ▶ A partition of a set X is a collection of disjoint subsets of X whose union is the full set X.
- ▶ Any equivalence relation on a set *X* partitions *X*: each element of *X* belongs to one and only one equivalence class.
- ightharpoonup Equality partitions $\mathbb R$ into singletons

- ► There is a close relationship between equivalence relations on a set and partitions of that set.
- ▶ A partition of a set X is a collection of disjoint subsets of X whose union is the full set X.
- ▶ Any equivalence relation on a set *X* partitions *X*: each element of *X* belongs to one and only one equivalence class.
- ightharpoonup Equality partitions $\mathbb R$ into singletons
- ➤ On the previous slide, ~ partitions X into the rational numbers.

▶ An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.

- ▶ An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.
- ▶ An **ordered set** (X, \succeq) consists of a set X with an order relation \succeq defined on X.

- ▶ An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.
- ▶ An **ordered set** (X, \succeq) consists of a set X with an order relation \succeq defined on X.
- ▶ Every order relation \succeq on X induces two additional relations, \succ and \sim .

- ▶ An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.
- ▶ An **ordered set** (X, \succeq) consists of a set X with an order relation \succeq defined on X.
- ▶ Every order relation \succeq on X induces two additional relations, \succ and \sim .

- ▶ An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.
- ▶ An **ordered set** (X, \succeq) consists of a set X with an order relation \succeq defined on X.
- ▶ Every order relation \succeq on X induces two additional relations, \succ and \sim .
- ► The relation > is transitive but not reflexive (why?).

- ▶ An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.
- ▶ An **ordered set** (X, \succeq) consists of a set X with an order relation \succeq defined on X.
- ▶ Every order relation \succeq on X induces two additional relations, \succ and \sim .
- ► The relation > is transitive but not reflexive (why?).
- ▶ $x \sim y \iff x \succsim y \land y \succsim x \text{ for all } x, y \in X$

- ▶ An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.
- ▶ An **ordered set** (X, \succeq) consists of a set X with an order relation \succeq defined on X.
- ▶ Every order relation \succeq on X induces two additional relations, \succ and \sim .
- ► The relation > is transitive but not reflexive (why?).
- ▶ $x \sim y \iff x \succsim y \land y \succsim x \text{ for all } x, y \in X$
- lacktriangle \sim is the symmetric part of \succsim

- ► An order relation is a preorder, ≿, that is reflexive and transitive but not symmetric.
- ▶ An **ordered set** (X, \succeq) consists of a set X with an order relation \succeq defined on X.
- ▶ Every order relation \succeq on X induces two additional relations, \succ and \sim .
- ► The relation > is transitive but not reflexive (why?).
- $\blacktriangleright x \sim y \iff x \succsim y \land y \succsim x \text{ for all } x, y \in X$
- lacktriangle \sim is the symmetric part of \succsim
- ▶ Example The natural order \geq on $\mathbb R$ induces the strict order > and the equivalence relation = on $\mathbb R$

▶ Given (X, \succeq) , an element x is a **maximal element** if there is no $y \in X$ such that $y \succ x$

- ▶ Given (X, \succeq) , an element x is a **maximal element** if there is no $y \in X$ such that $y \succ x$
- ▶ $x \in X$ is called the **maximum element** in X if $x \succsim y$ for all $y \in X$.

- ▶ Given (X, \succeq) , an element x is a **maximal element** if there is no $y \in X$ such that $y \succ x$
- ▶ $x \in X$ is called the **maximum element** in X if $x \succsim y$ for all $y \in X$.
- ▶ For any ordered set (X, \succeq) , every maximum of a nonempty subset of X is maximal in that set (why?).

- ▶ Given (X, \succeq) , an element x is a **maximal element** if there is no $y \in X$ such that $y \succ x$
- ▶ $x \in X$ is called the **maximum element** in X if $x \succsim y$ for all $y \in X$.
- ▶ For any ordered set (X, \succeq) , every maximum of a nonempty subset of X is maximal in that set (why?).
- Maximal is a weaker concept than maximum.

- ▶ Given (X, \succeq) , an element x is a **maximal element** if there is no $y \in X$ such that $y \succ x$
- ▶ $x \in X$ is called the **maximum element** in X if $x \succsim y$ for all $y \in X$.
- ▶ For any ordered set (X, \succeq) , every maximum of a nonempty subset of X is maximal in that set (why?).
- Maximal is a weaker concept than maximum.
- ▶ Let A be a nonempty ordered subset of X. An element $x \in X$ is called an **upper bound** for A if $x \succeq a$ for all $a \in A$.

- ▶ Given (X, \succeq) , an element x is a **maximal element** if there is no $y \in X$ such that $y \succ x$
- ▶ $x \in X$ is called the **maximum element** in X if $x \succsim y$ for all $y \in X$.
- ▶ For any ordered set (X, \succeq) , every maximum of a nonempty subset of X is maximal in that set (why?).
- Maximal is a weaker concept than maximum.
- ▶ Let A be a nonempty ordered subset of X. An element $x \in X$ is called an **upper bound** for A if $x \succeq a$ for all $a \in A$.
- ▶ An upper bound is called a **least upper bound** or **supremum** for *A* if it precedes every other upper bound for *A*.

▶ Consider the ordered set $([a, b], \ge)$

- ▶ Consider the ordered set $([a, b], \ge)$
- ▶ b is the maximal element, the maximum element, and the least upper bound

- ▶ Consider the ordered set $([a, b], \ge)$
- ▶ *b* is the maximal element, the maximum element, and the least upper bound
- Now consider $((a, b), \ge)$.

- ▶ Consider the ordered set $([a, b], \ge)$
- ▶ b is the maximal element, the maximum element, and the least upper bound
- Now consider $((a, b), \geq)$.
- ▶ The set has no maximal or maximum element.

- ▶ Consider the ordered set $([a, b], \ge)$
- ▶ *b* is the maximal element, the maximum element, and the least upper bound
- Now consider $((a, b), \geq)$.
- ▶ The set has no maximal or maximum element.
- **b** is its least upper bound in $\mathbb R$

▶ A simplex is the generalization of the notion of triangle.

- A simplex is the generalization of the notion of triangle.
- ► A standard k-simplex is defined a

$$\Delta^k \equiv \{x \in \mathbb{R}^{k+1} : x_0 + ... x_k = 1, x_i \ge 0, i = 0, ..., k\}$$

- A simplex is the generalization of the notion of triangle.
- ▶ A standard *k*-simplex is defined a

$$\Delta^k \equiv \{x \in \mathbb{R}^{k+1} : x_0 + ... x_k = 1, x_i \ge 0, i = 0, ..., k\}$$

▶ Δ^1 is a line segment connecting (0,1) and (1,0).

- A simplex is the generalization of the notion of triangle.
- A standard k-simplex is defined a

$$\Delta^k \equiv \{x \in \mathbb{R}^{k+1} : x_0 + ... x_k = 1, x_i \ge 0, i = 0, ..., k\}$$

- $ightharpoonup \Delta^1$ is a line segment connecting (0,1) and (1,0).
- ▶ Δ^2 is a triangular plane connecting (1,0,0), (0,1,0), and (0,0,1).

• Let $X = \Delta^1$ and \geq be the natural vector order.

- Let $X = \Delta^1$ and \geq be the natural vector order.
- ▶ For $x, y \in \mathbb{R}^2$, $x \ge y$ if and only if $x_i \ge y_i$ for all i = 1, 2.

- ▶ Let $X = \Delta^1$ and \geq be the natural vector order.
- ▶ For $x, y \in \mathbb{R}^2$, $x \ge y$ if and only if $x_i \ge y_i$ for all i = 1, 2.
- ▶ What is the set of maximal elements?

- Let $X = \Delta^1$ and > be the natural vector order.
- ▶ For $x, y \in \mathbb{R}^2$, $x \ge y$ if and only if $x_i \ge y_i$ for all i = 1, 2.
- ▶ What is the set of maximal elements?
- Every element.

- Let $X = \Delta^1$ and \geq be the natural vector order.
- ▶ For $x, y \in \mathbb{R}^2$, $x \ge y$ if and only if $x_i \ge y_i$ for all i = 1, 2.
- ▶ What is the set of maximal elements?
- Every element.
- ▶ There exists no $(a,b) \in \Delta^1$ such that (a,b) > (1,0)

- Let $X = \Delta^1$ and > be the natural vector order.
- ▶ For $x, y \in \mathbb{R}^2$, $x \ge y$ if and only if $x_i \ge y_i$ for all i = 1, 2.
- ▶ What is the set of maximal elements?
- Every element.
- ▶ There exists no $(a,b) \in \Delta^1$ such that (a,b) > (1,0)
- ▶ This follows from the fact that 1 > a for all $(a, b) \neq (1, 0)$

- Let $X = \Delta^1$ and > be the natural vector order.
- ▶ For $x, y \in \mathbb{R}^2$, $x \ge y$ if and only if $x_i \ge y_i$ for all i = 1, 2.
- ▶ What is the set of maximal elements?
- Every element.
- ▶ There exists no $(a,b) \in \Delta^1$ such that (a,b) > (1,0)
- ▶ This follows from the fact that 1 > a for all $(a, b) \neq (1, 0)$
- ▶ Now consider $(1 \epsilon, \epsilon)$

- Let $X = \Delta^1$ and > be the natural vector order.
- ▶ For $x, y \in \mathbb{R}^2$, $x \ge y$ if and only if $x_i \ge y_i$ for all i = 1, 2.
- What is the set of maximal elements?
- Every element.
- ▶ There exists no $(a, b) \in \Delta^1$ such that (a, b) > (1, 0)
- ▶ This follows from the fact that 1 > a for all $(a, b) \neq (1, 0)$
- ▶ Now consider $(1 \epsilon, \epsilon)$
- Since $\epsilon > b$ for all $(a, b) \neq (1, 0)$, there is no $(a, b) > (1 \epsilon, \epsilon)$.

▶ What is the set of maximum elements?

- ▶ What is the set of maximum elements?
- **►** (/

- What is the set of maximum elements?
- **>** (
- Assume (a, b) is a maximum.

- ▶ What is the set of maximum elements?
- **>** (
- Assume (a, b) is a maximum.
- ▶ This implies that $(a, b) \succsim (c, d)$ for all (c, d)

- What is the set of maximum elements?
- **>** (
- Assume (a, b) is a maximum.
- ▶ This implies that $(a, b) \succsim (c, d)$ for all (c, d)
- ▶ But for all (a, b), $(c, d) = (a \epsilon, b + \epsilon)$ which implies that (a, b) and (c, d) cannot be compared

- What is the set of maximum elements?
- **>** (
- ightharpoonup Assume (a, b) is a maximum.
- ▶ This implies that $(a,b) \succsim (c,d)$ for all (c,d)
- ▶ But for all (a, b), $(c, d) = (a \epsilon, b + \epsilon)$ which implies that (a, b) and (c, d) cannot be compared
- ▶ Therefore it cannot be true that $(a, b) \succsim (c, d)$ which implies that (a, b) is not a maximum.

- What is the set of maximum elements?
- **>** (
- Assume (a, b) is a maximum.
- ▶ This implies that $(a, b) \succeq (c, d)$ for all (c, d)
- ▶ But for all (a, b), $(c, d) = (a \epsilon, b + \epsilon)$ which implies that (a, b) and (c, d) cannot be compared
- ▶ Therefore it cannot be true that $(a, b) \succsim (c, d)$ which implies that (a, b) is not a maximum.
- ▶ What is the least upper bound in \mathbb{R}^2 ?

▶ In general an ordered set may have many maximal and maximum elements and its subsets may have multiple least upper bounds.

- In general an ordered set may have many maximal and maximum elements and its subsets may have multiple least upper bounds.
- ▶ Example Let $X = \Delta^1$ and \succeq be defined so that $x \succsim y$ if and only if $\max\{x_1, x_2\} \ge \max\{y_1, y_2\}$.

- In general an ordered set may have many maximal and maximum elements and its subsets may have multiple least upper bounds.
- ▶ Example Let $X = \Delta^1$ and \succeq be defined so that $x \succeq y$ if and only if $\max\{x_1, x_2\} \ge \max\{y_1, y_2\}$.
- The points (1,0) and (0,1) are both maximal and maximum elements. ■

- In general an ordered set may have many maximal and maximum elements and its subsets may have multiple least upper bounds.
- ▶ Example Let $X = \Delta^1$ and \succeq be defined so that $x \succsim y$ if and only if $\max\{x_1, x_2\} \ge \max\{y_1, y_2\}$.
- The points (1,0) and (0,1) are both maximal and maximum elements. ■
- Uniqueness may be achieved by imposing the additional requirement of antisymmetry.

- In general an ordered set may have many maximal and maximum elements and its subsets may have multiple least upper bounds.
- ▶ Example Let $X = \Delta^1$ and \succeq be defined so that $x \succsim y$ if and only if $\max\{x_1, x_2\} \ge \max\{y_1, y_2\}$.
- The points (1,0) and (0,1) are both maximal and maximum elements. ■
- Uniqueness may be achieved by imposing the additional requirement of antisymmetry.
- ▶ A partial order is a relation that is reflexive, transitive, and antisymmetric.

- In general an ordered set may have many maximal and maximum elements and its subsets may have multiple least upper bounds.
- ▶ Example Let $X = \Delta^1$ and \succeq be defined so that $x \succsim y$ if and only if $\max\{x_1, x_2\} \ge \max\{y_1, y_2\}$.
- The points (1,0) and (0,1) are both maximal and maximum elements. ■
- Uniqueness may be achieved by imposing the additional requirement of antisymmetry.
- ▶ A partial order is a relation that is reflexive, transitive, and antisymmetric.
- ► The result is a **partially ordered set**.

▶ The natural order on \mathbb{R}^n is only partial.

- ▶ The natural order on \mathbb{R}^n is only partial.
- ▶ It is not complete. Why?

- ▶ The natural order on \mathbb{R}^n is only partial.
- ▶ It is not complete. Why?
- ▶ For example, $(1,1) \ge (1,0)$, but (0,1) and (1,0) are not comparable.

- ▶ The natural order on \mathbb{R}^n is only partial.
- ▶ It is not complete. Why?
- ▶ For example, $(1,1) \ge (1,0)$, but (0,1) and (1,0) are not comparable.
- It is antisymmetric.

- ▶ The natural order on \mathbb{R}^n is only partial.
- ▶ It is not complete. Why?
- ▶ For example, $(1,1) \ge (1,0)$, but (0,1) and (1,0) are not comparable.
- It is antisymmetric.
- $(a,b) \ge (c,d)$ implies that $a \ge c$ and $b \ge d$

- ▶ The natural order on \mathbb{R}^n is only partial.
- ▶ It is not complete. Why?
- ▶ For example, $(1,1) \ge (1,0)$, but (0,1) and (1,0) are not comparable.
- It is antisymmetric.
- ▶ $(a,b) \ge (c,d)$ implies that $a \ge c$ and $b \ge d$
- ▶ $(c, d) \ge (a, b)$ implies $c \ge a$ and $d \ge b$

- ▶ The natural order on \mathbb{R}^n is only partial.
- ▶ It is not complete. Why?
- ▶ For example, $(1,1) \ge (1,0)$, but (0,1) and (1,0) are not comparable.
- It is antisymmetric.
- ▶ $(a,b) \ge (c,d)$ implies that $a \ge c$ and $b \ge d$
- $(c,d) \ge (a,b)$ implies $c \ge a$ and $d \ge b$
- ▶ Therefore a = c and b = d

► The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.

- ▶ The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x

- ▶ The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x
- ▶ By the definition of least upper bound, $x \succsim y$ for all other upper bounds y

- ▶ The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x
- ▶ By the definition of least upper bound, x ≿ y for all other upper bounds y
- ▶ Let *y* be a second least upper bound.

- ▶ The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x
- ▶ By the definition of least upper bound, x ≿ y for all other upper bounds y
- ▶ Let *y* be a second least upper bound.
- ▶ Because x is a least upper bound, $y \succsim x$

- ▶ The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x
- ▶ By the definition of least upper bound, x ≿ y for all other upper bounds y
- ▶ Let *y* be a second least upper bound.
- ▶ Because x is a least upper bound, $y \succsim x$
- ▶ But antisymmetry implies x = y

- ▶ The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x
- ▶ By the definition of least upper bound, x ≿ y for all other upper bounds y
- Let *y* be a second least upper bound.
- ▶ Because x is a least upper bound, $y \succsim x$
- ▶ But antisymmetry implies x = y
- Therefore x is unique.

- The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x
- ▶ By the definition of least upper bound, x ≿ y for all other upper bounds y
- ▶ Let *y* be a second least upper bound.
- ▶ Because x is a least upper bound, $y \succsim x$
- ▶ But antisymmetry implies x = y
- Therefore x is unique.
- If a maximum exists, the maximum is a least upper bound and is therefore unique.

- The significance of antisymmetry is that, if it exists, the least upper bound of any subset of a partially ordered set is unique.
- Consider a least upper bound of X, x
- ▶ By the definition of least upper bound, x ≿ y for all other upper bounds y
- ▶ Let *y* be a second least upper bound.
- ▶ Because x is a least upper bound, $y \succsim x$
- ▶ But antisymmetry implies x = y
- Therefore x is unique.
- If a maximum exists, the maximum is a least upper bound and is therefore unique.
- Antisymmetry, however, is not sufficient to guarantee existence, only uniqueness.

Chains

▶ A partial order is partial in the sense that not all elements are comparable.

- A partial order is partial in the sense that not all elements are comparable.
- ▶ If all elements in a partially ordered set are comparable so that ≿ is also complete, we refer to ≿ is a **total order**.

- A partial order is partial in the sense that not all elements are comparable.
- ▶ If all elements in a partially ordered set are comparable so that ≿ is also complete, we refer to ≿ is a **total order**.
- A totally ordered set is referred to as a chain.

- A partial order is partial in the sense that not all elements are comparable.
- ▶ If all elements in a partially ordered set are comparable so that ≿ is also complete, we refer to ≿ is a **total order**.
- ► A totally ordered set is referred to as a **chain**.
- ▶ Example (\mathbb{R}, \geq) is a chain.

- A partial order is partial in the sense that not all elements are comparable.
- ▶ If all elements in a partially ordered set are comparable so that ≿ is also complete, we refer to ≿ is a **total order**.
- A totally ordered set is referred to as a chain.
- ▶ *Example* (\mathbb{R}, \geq) is a chain.
- ▶ Example (Δ^1, \ge) is not a chain since neither $(1,0) \ge (0,1)$ nor $(0,1) \ge (1,0)$ is true.

► A **lattice** is a partially ordered set in which every pair of elements has a least upper bound.

- A lattice is a partially ordered set in which every pair of elements has a least upper bound.
- ▶ If x and y are two elements of a lattice, L, their least upper bound $x \lor y$ is an element of L called a **join**.

- A lattice is a partially ordered set in which every pair of elements has a least upper bound.
- If x and y are two elements of a lattice, L, their least upper bound x ∨ y is an element of L called a join.
- ▶ Their greatest lower bound $x \land y$, called their **meet**, is also an element of L

- A lattice is a partially ordered set in which every pair of elements has a least upper bound.
- If x and y are two elements of a lattice, L, their least upper bound x ∨ y is an element of L called a join.
- ▶ Their greatest lower bound $x \land y$, called their **meet**, is also an element of L
- We will see later on that lattices admit a powerful tool for theoretical analysis called "monotone comparative statics."

- A lattice is a partially ordered set in which every pair of elements has a least upper bound.
- If x and y are two elements of a lattice, L, their least upper bound x ∨ y is an element of L called a join.
- ▶ Their greatest lower bound $x \land y$, called their **meet**, is also an element of L
- We will see later on that lattices admit a powerful tool for theoretical analysis called "monotone comparative statics."
- ▶ They also allow us to identify when a subset of \mathbb{R}^n will have a maximum element (or least upper bound).

We saw above that a 1-simplex ordered by ≥ does not have a maximum element.

- We saw above that a 1-simplex ordered by ≥ does not have a maximum element.
- ▶ Consider another subset $X \subset \mathbb{R}^2_+$ with the natural vector order \geq and let X be a lattice

- We saw above that a 1-simplex ordered by ≥ does not have a maximum element.
- ▶ Consider another subset $X \subset \mathbb{R}^2_+$ with the natural vector order \geq and let X be a lattice
- ▶ In particular, let X be the unit square.

- We saw above that a 1-simplex ordered by ≥ does not have a maximum element.
- ▶ Consider another subset $X \subset \mathbb{R}^2_+$ with the natural vector order \geq and let X be a lattice
- ▶ In particular, let *X* be the unit square.
- lack X is clearly a lattice: $(1,0) \lor (0,1) = (1,1)$ and $(1,0) \land (0,1) = (0,0)$

- We saw above that a 1-simplex ordered by ≥ does not have a maximum element.
- ▶ Consider another subset $X \subset \mathbb{R}^2_+$ with the natural vector order \geq and let X be a lattice
- ▶ In particular, let X be the unit square.
- X is clearly a lattice: $(1,0) \lor (0,1) = (1,1)$ and $(1,0) \land (0,1) = (0,0)$
- ▶ This ordered set also has a well-defined maximum element: (1,1).

Now let X be the subset of the unit square that contains points less than or equal to Δ^1 when ordered by \geq .

- Now let X be the subset of the unit square that contains points less than or equal to Δ^1 when ordered by \geq .
- Is the set a lattice?

- Now let X be the subset of the unit square that contains points less than or equal to Δ^1 when ordered by \geq .
- Is the set a lattice?
- ▶ No: $(1,0) \lor (0,1) = (1,1) \notin X$

- Now let X be the subset of the unit square that contains points less than or equal to Δ^1 when ordered by \geq .
- Is the set a lattice?
- ▶ No: $(1,0) \lor (0,1) = (1,1) \notin X$
- ▶ The set of maximal elements of X is Δ^1

- Now let X be the subset of the unit square that contains points less than or equal to Δ^1 when ordered by \geq .
- Is the set a lattice?
- ▶ No: $(1,0) \lor (0,1) = (1,1) \notin X$
- ▶ The set of maximal elements of X is Δ^1
- As we saw above, Δ^1 has no maximum element when ordered by \geq

- Now let X be the subset of the unit square that contains points less than or equal to Δ^1 when ordered by \geq .
- Is the set a lattice?
- ▶ No: $(1,0) \lor (0,1) = (1,1) \notin X$
- ▶ The set of maximal elements of X is Δ^1
- As we saw above, Δ^1 has no maximum element when ordered by \geq
- ▶ When working in (\mathbb{R}^n, \geq) is useful to remember that "squares" are lattices, "triangles" are not.

▶ As we have seen, we can impose antisymmetry on a preorder to assist our theory of choice.

- As we have seen, we can impose antisymmetry on a preorder to assist our theory of choice.
- ▶ We can also impose completeness rather than antisymmetry.

- As we have seen, we can impose antisymmetry on a preorder to assist our theory of choice.
- We can also impose completeness rather than antisymmetry.
- A weak order is an order relation that is complete, reflexive, and transitive.

- As we have seen, we can impose antisymmetry on a preorder to assist our theory of choice.
- ▶ We can also impose completeness rather than antisymmetry.
- A weak order is an order relation that is complete, reflexive, and transitive.
- A weak order is often referred to as a rational order or a rational preference relation.

- As we have seen, we can impose antisymmetry on a preorder to assist our theory of choice.
- ▶ We can also impose completeness rather than antisymmetry.
- A weak order is an order relation that is complete, reflexive, and transitive.
- ► A weak order is often referred to as a **rational order** or a **rational preference relation**.
- In a weakly ordered set, every element is related to every other element.

- As we have seen, we can impose antisymmetry on a preorder to assist our theory of choice.
- ▶ We can also impose completeness rather than antisymmetry.
- A weak order is an order relation that is complete, reflexive, and transitive.
- ▶ A weak order is often referred to as a rational order or a rational preference relation.
- ▶ In a weakly ordered set, every element is related to every other element.
- This is a desirable property for political scientists: we want the actors in our models to be able to compare any action or outcome in the model in terms of their preferences.

• (\mathbb{R}^2, \geq) is not a weakly ordered set (why?).

- ▶ (\mathbb{R}^2, \geq) is not a weakly ordered set (why?).
- Now let \succeq be defined so that $x \succeq y$ if and only if $\max\{x_1, x_2\} \ge \max\{y_1, y_2\}$.

- ▶ (\mathbb{R}^2, \geq) is not a weakly ordered set (why?).
- Now let \succsim be defined so that $x \succsim y$ if and only if $\max\{x_1, x_2\} \ge \max\{y_1, y_2\}$.
- (\mathbb{R}^2, \succsim) is a weakly ordered set (why?)

▶ Note the difference between a weakly ordered set and a chain.

- ▶ Note the difference between a weakly ordered set and a chain.
- ► A total order imposes antisymmetry on a set while a weak order does not.

- ▶ Note the difference between a weakly ordered set and a chain.
- A total order imposes antisymmetry on a set while a weak order does not.
- A weakly ordered set may have multiple maximum elements while a totally ordered set can only have one maximum element.

- ▶ Note the difference between a weakly ordered set and a chain.
- A total order imposes antisymmetry on a set while a weak order does not.
- A weakly ordered set may have multiple maximum elements while a totally ordered set can only have one maximum element.
- In political science a weak or rational order makes more sense than a total order.

- ▶ Note the difference between a weakly ordered set and a chain.
- ▶ A total order imposes antisymmetry on a set while a weak order does not.
- A weakly ordered set may have multiple maximum elements while a totally ordered set can only have one maximum element.
- In political science a weak or rational order makes more sense than a total order.
- ► Why?

Rationality

▶ In theoretical political science, "rational" here refers to something very specific and mathematical.

Rationality

- ▶ In theoretical political science, "rational" here refers to something very specific and mathematical.
- ▶ When do we have "irrational actors?"