



Entity-Relationship Model

Chapter 2

Contents

-
- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models
-

Contents

-
- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models
-

Contents

1 Overview of Database Design Process

2 A Sample Database Application

3 What is ER Model? And Why?

4 ER Model Concepts

5 ER Diagram and Naming Conventions

6 Alternative Diagrammatic Notations

7 Problems with ER Models

Overview of Database Design Process

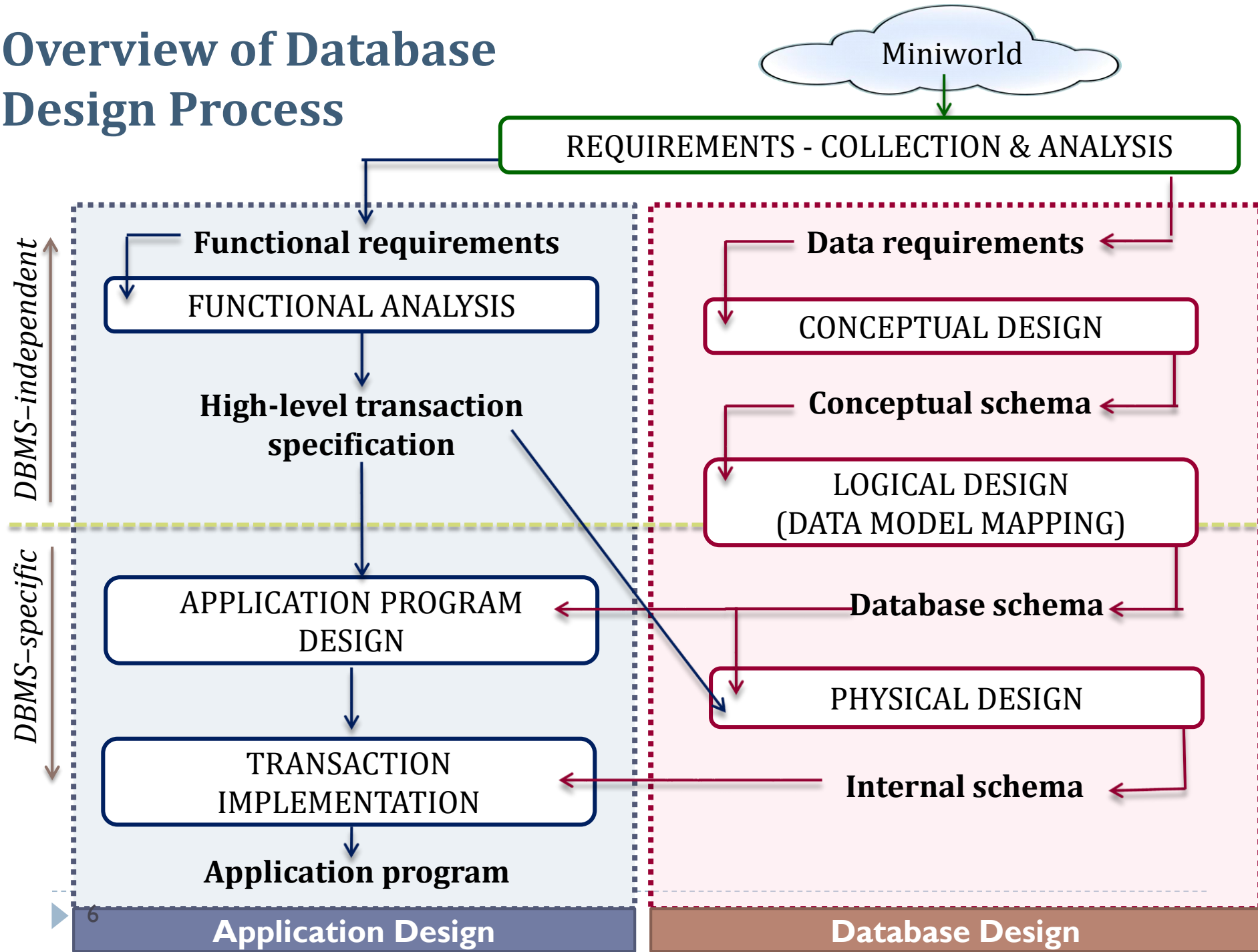
- ▶ **Database design**

- ▶ To design the conceptual schema for a database application

- ▶ **Applications design**

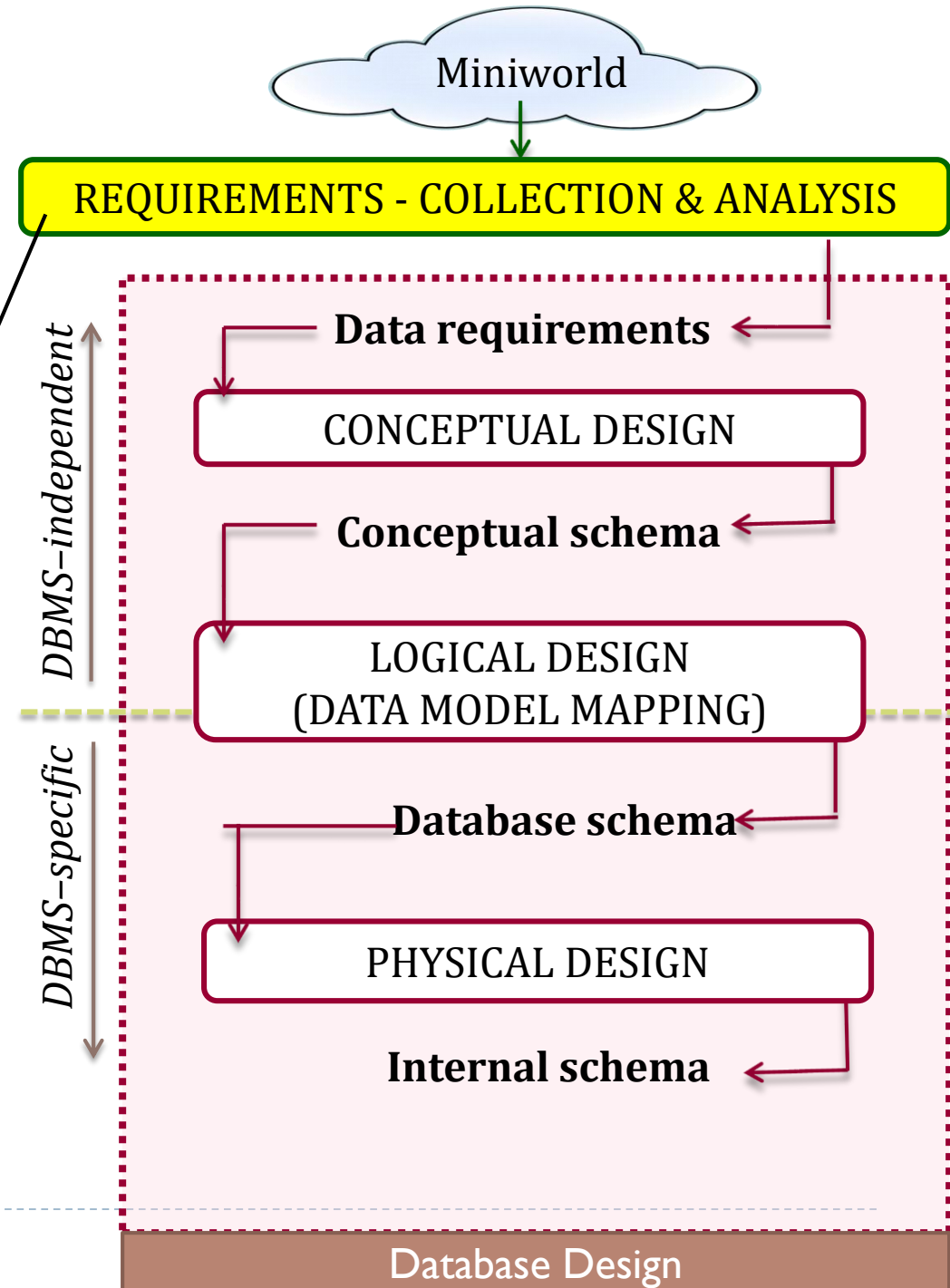
- ▶ Focus on the programs and interfaces that access the database
 - ▶ Generally considered part of software engineering

Overview of Database Design Process



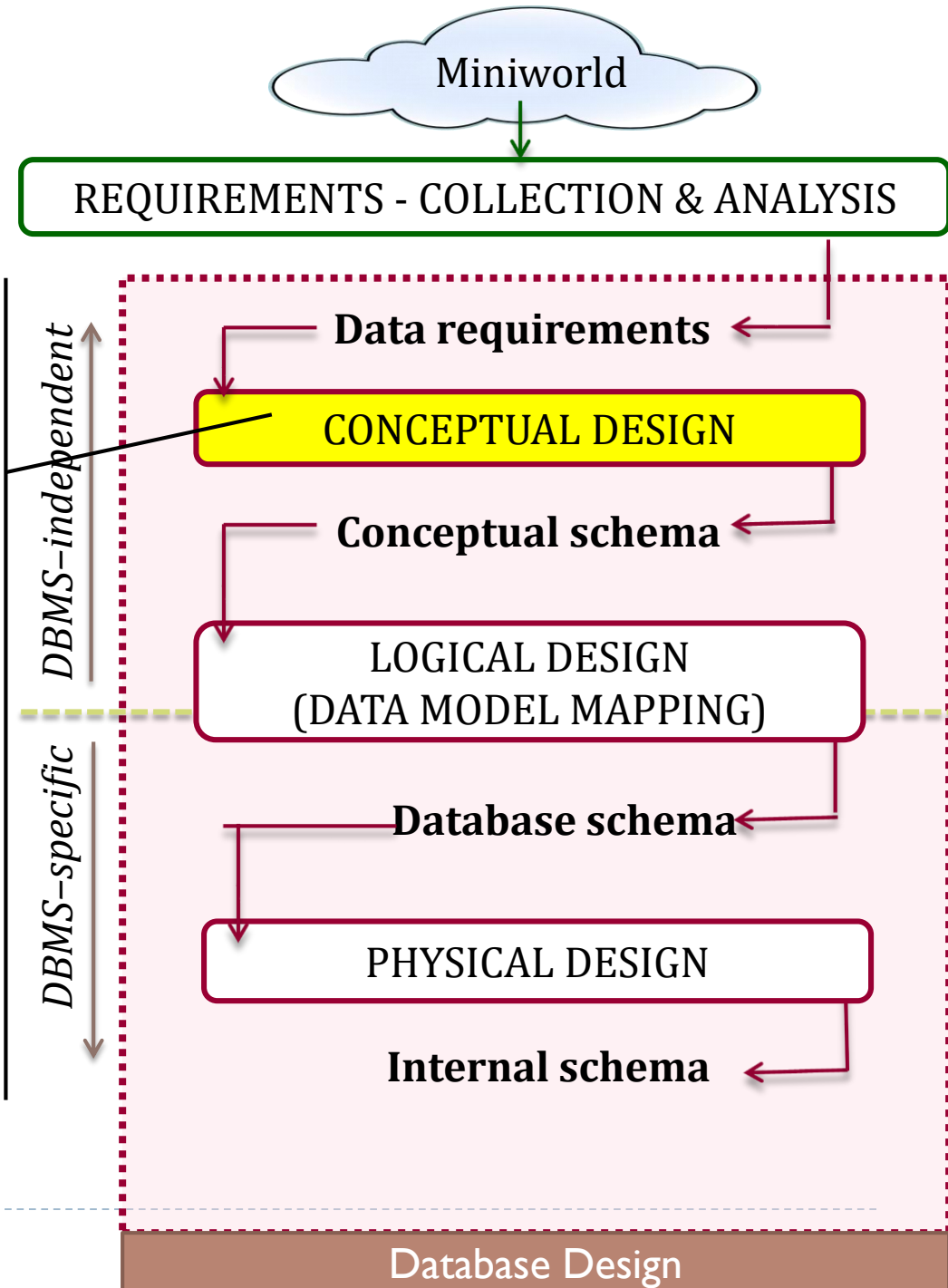
Overview of Database Design Process

- Interview prospective database users
- Result:
 - ✓ Data requirements
 - ✓ Functional requirements



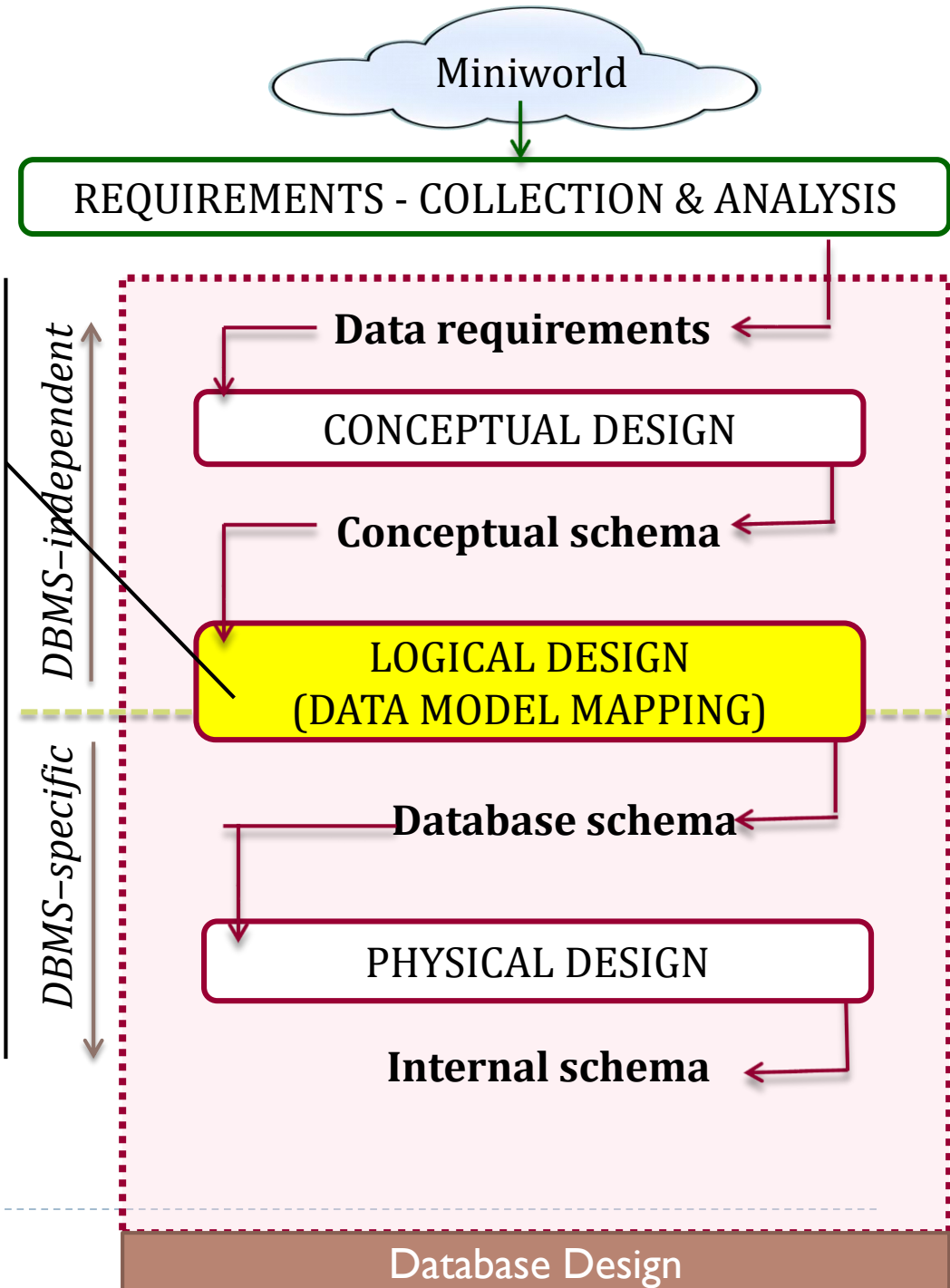
Overview of Database Design Process

- Create a conceptual schema using a high-level conceptual data model (**Entity-Relationship model**)
- Descriptions of entity types, relationships, and constraints
- **Independent** of storage and implementation details.



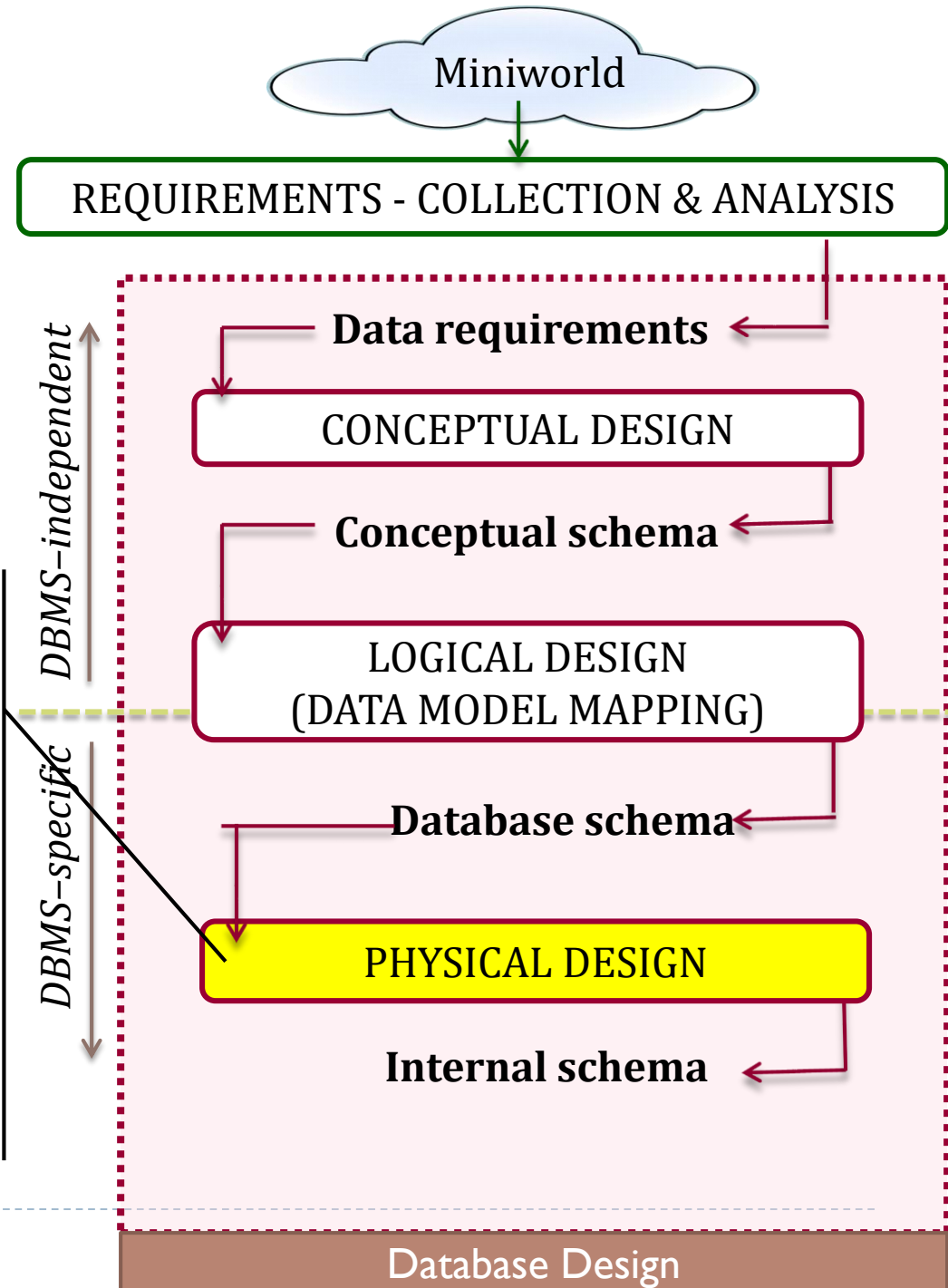
Overview of Database Design Process

- Create a **database schema** in implementation data model of a commercial DBMS
- **Data model mapping** is often automated or semi-automated within the database design tool.



Overview of Database Design Process

- Specify internal storage structures, file organizations, indexes, access paths, and physical design parameters for the database files.



Contents

- 1 Overview of Database Design Process
 - 2 A Sample Database Application**
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models
-

A Sample Database Application

- ▶ Design a database for a **COMPANY** that keeps track of employees, departments, and projects
 - ➔ REQUIREMENTS - COLLECTION & ANALYSIS



Data requirements

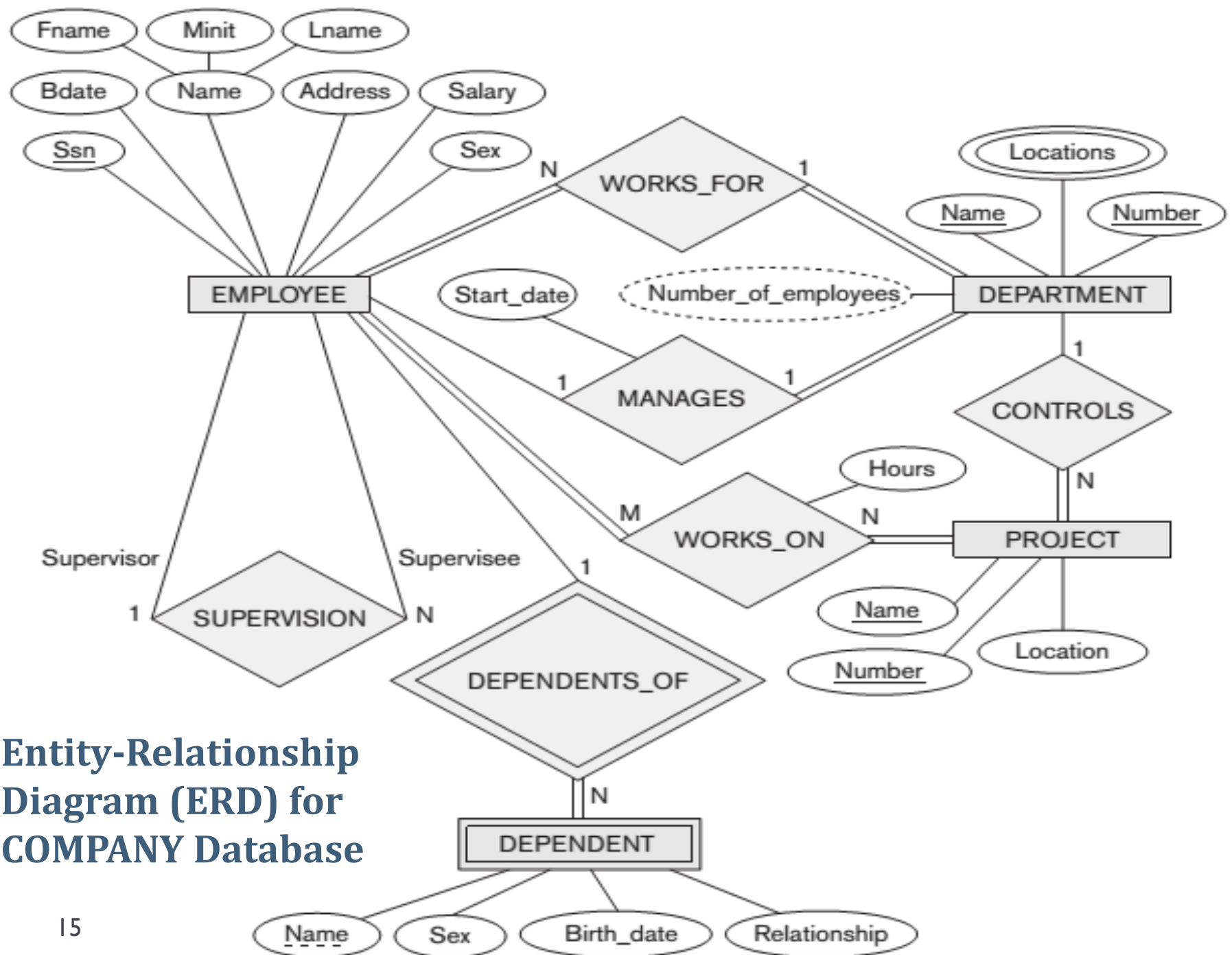
- Entities
- Attributes
- Relationships
- Constraints

A Sample Database Application

- ▶ The **COMPANY** database: keeps track of employees, departments, and projects.
- ▶ The company is organized into **DEPARTMENTS**. Each department has a unique name, a unique number, and a particular employee who *manages* the department. We keep track of the start date when that employee began managing the department. A department may have several locations.
- ▶ A department *controls* a number of **PROJECTS**, each of which has a unique name, a unique number, and a single location.

A Sample Database Application

- ▶ We store **EMPLOYEE**'s name, Social Security number, address, salary, sex, and birth date. An employee is *assigned to one department*, but may *work on several projects*, which are not necessarily controlled by the same department. We keep track of the current number of hours per week that an employee works on each project. We also keep track of the direct *supervisor* of each employee.
- ▶ We want to keep track of the **DEPENDENTS** of each employee, including first name, sex, birth date, and relationship to the employee.



Case study: Requirements - Collection & Analysis



Data requirements

- Entities
- Attributes
- Relationships
- Constraints

GROUP A

A system for course registration of HCMUT



GROUP B

A system for a Library of a University



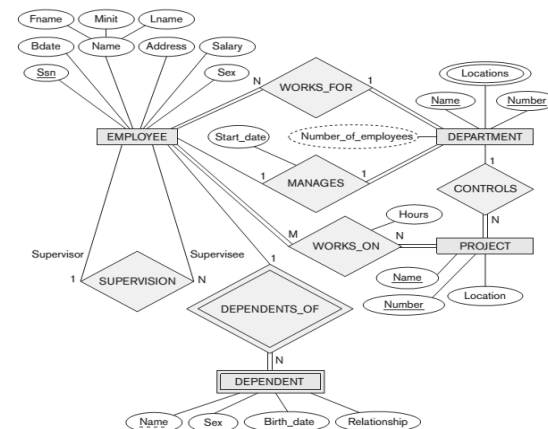
Contents

-
- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?**
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models
-

What is ER Model?

- ▶ Entity-Relationship (ER) model
 - ▶ Popular high-level conceptual data model
 - ▶ A logical organisation of data within a database system
- ▶ ER Diagrams (ERD):
 - ▶ Diagrammatic notation associated with the ER model
- ▶ Conceptual Design:

Data requirements → Conceptual Schema (ERD)



Why use ER data modelling?

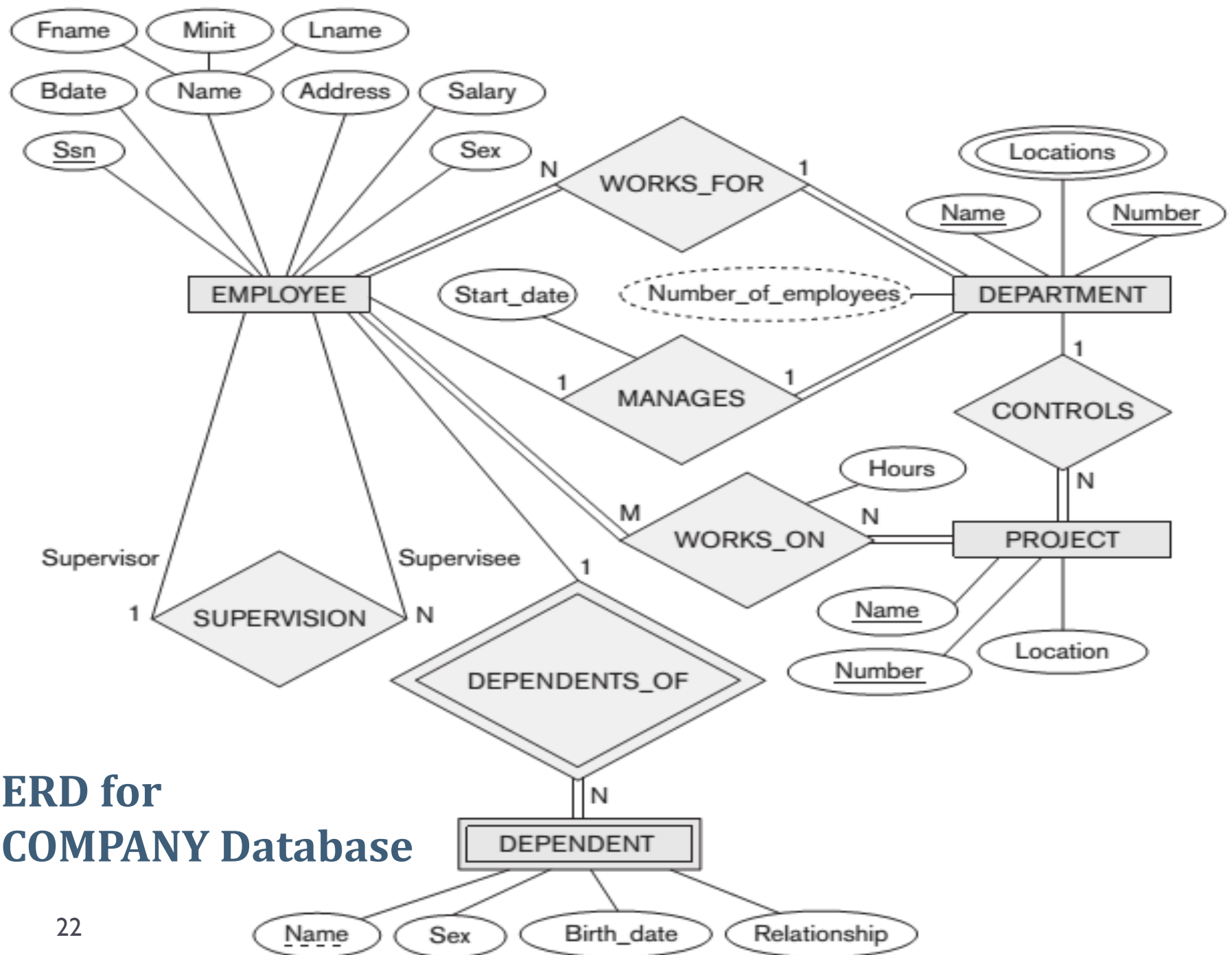
- ▶ User requirements can be *specified formally & unambiguously*
- ▶ It can be *easily understood* by ordinary users.
- ▶ It provides *an effective bridge* between user requirements and logical database design and implementation
- ▶ The conceptual data model is *independent of any particular DBMS*
- ▶ It does *not involve any physical or implemental details*

Contents

-
- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts**
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models
-

ER Model Concepts

- ▶ ER model describes data as:
 - ▶ Entities
 - ▶ Attributes
 - ▶ Relationships



ERD for
COMPANY Database

Entity

- ▶ **Entity** is a thing in the real world with an independent existence.
- ▶ An entity may be an object with a *physical existence* (a person, a car, a house, or an employee) or an object with a *conceptual existence* (a company, a job, or a university course)
- ▶ Examples: In a COMPANY:
 - ▶ the EMPLOYEE *John Smith*
 - ▶ the *Research* DEPARTMENT
 - ▶ the *ProductX* PROJECT

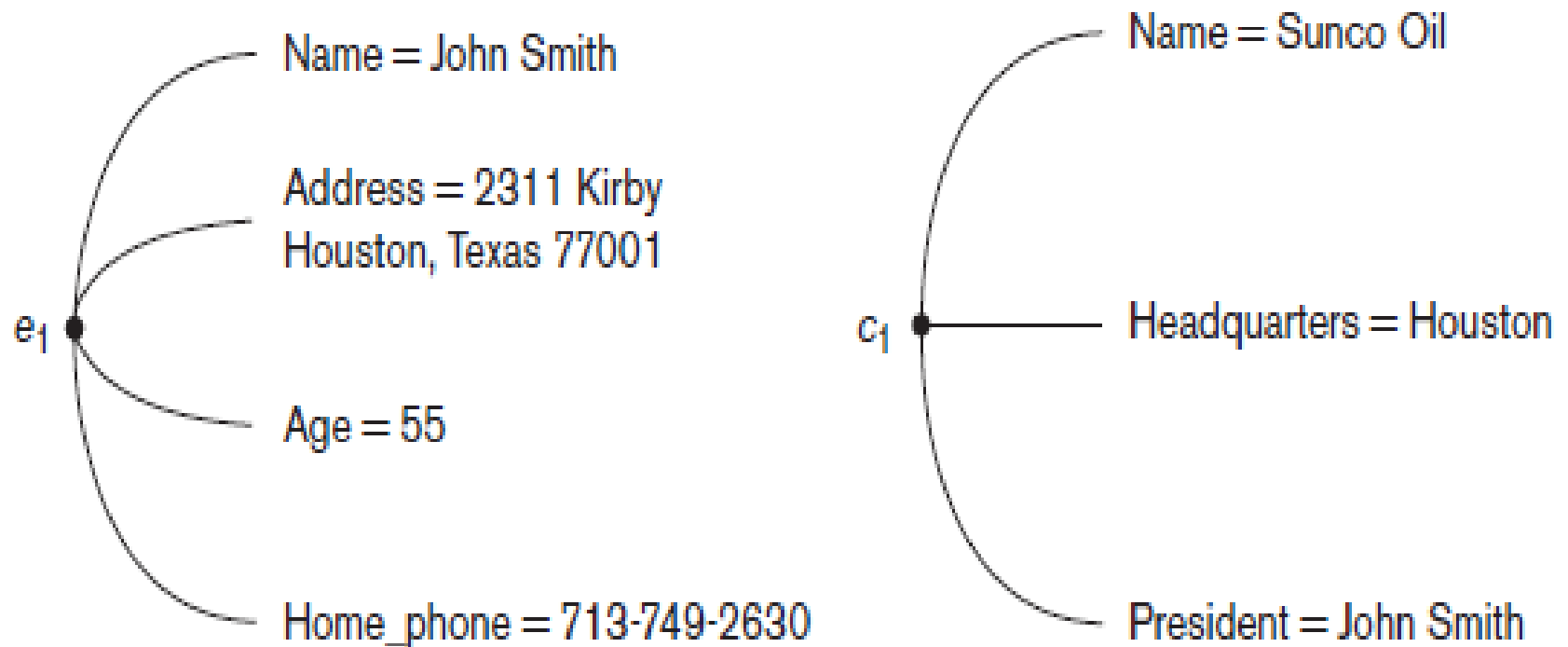
Attribute

- ▶ **Attributes** are properties described an entity.
 - ▶ Ex: an EMPLOYEE entity may have Name, SSN, Address, Sex, BirthDate
- ▶ A specific entity will have a value for each of its attributes.
- ▶ Each attribute has **a value set (or data type)** associated with it.

Types of Attributes

- ▶ *Simple attribute* has a single atomic value.
 - ▶ SSN, Sex
- ▶ *Composite attribute* may be composed of several components.
 - ▶ Name (First name, Middle name, Last name)
- ▶ *Multi-valued attribute* has multiple values.
 - ▶ Colors of a Car {Color}, Phones of a Person {Phone}
- ▶ *Derived attribute* has a value that is derivable from values of related attributes.
 - ▶ Number of students in a class
- ▶ *Complex attribute* is a combination of composite and multivalued attributes.

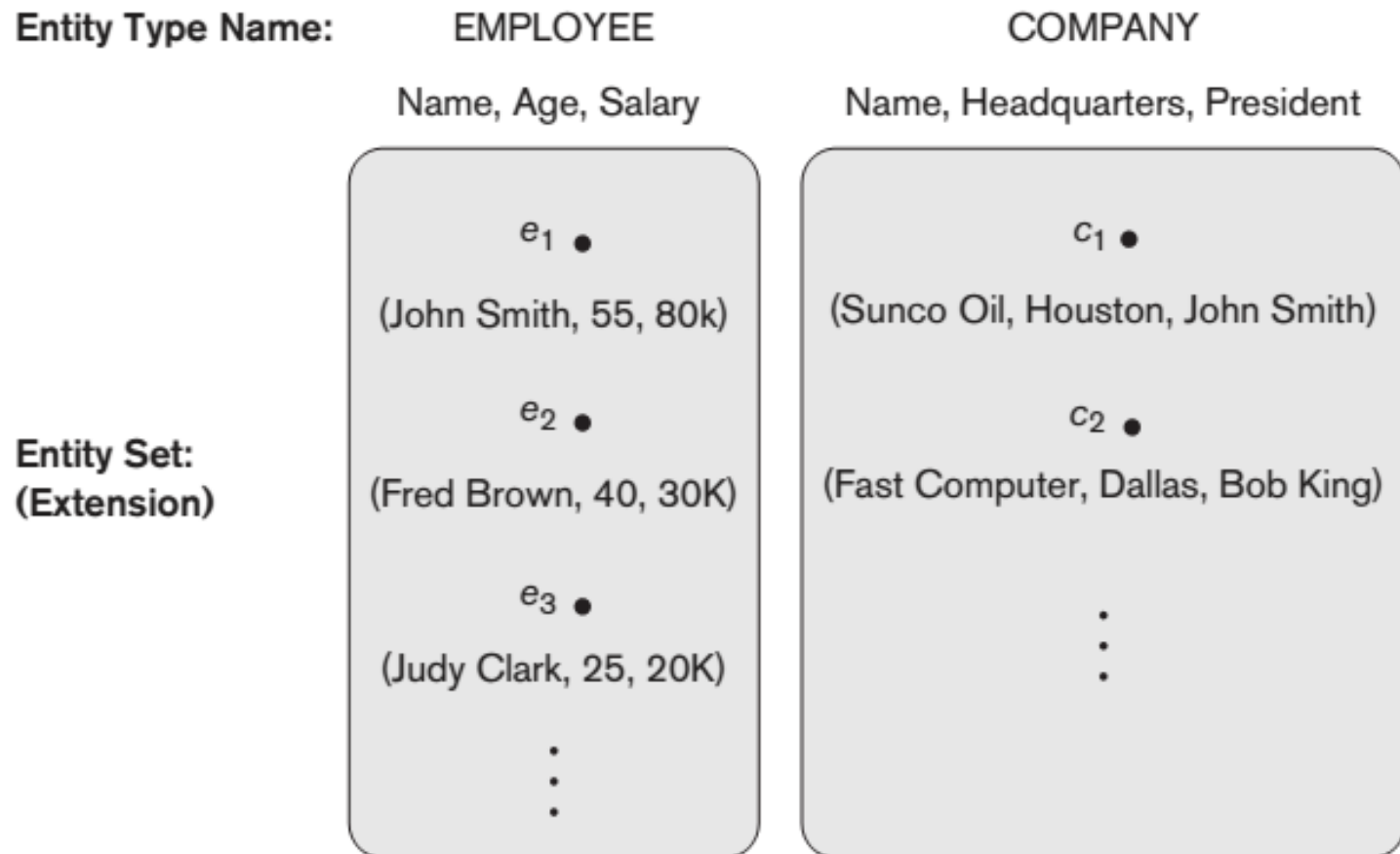
Entities and Attributes



Two entities, EMPLOYEE e_1 , and COMPANY c_1 , and their attributes.

Entity Types

- ▶ Collection (or set) of entities that have the same attributes



Keys

- ▶ **Key or uniqueness constraint**

- ▶ Attributes whose values are **distinct** for each individual entity in entity set
- ▶ Uniqueness property must hold for every entity set of the entity type
- ▶ Ex: SSN of EMPLOYEE

- ▶ An entity type may have **more than one key**.

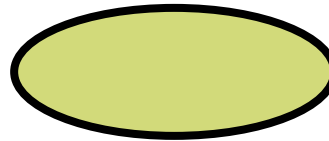
- ▶ Ex: the STUDENT entity type may have two keys (in university context):
 - ▶ Citizen ID and
 - ▶ Student ID

Notations of Entity type, Attributes, Key

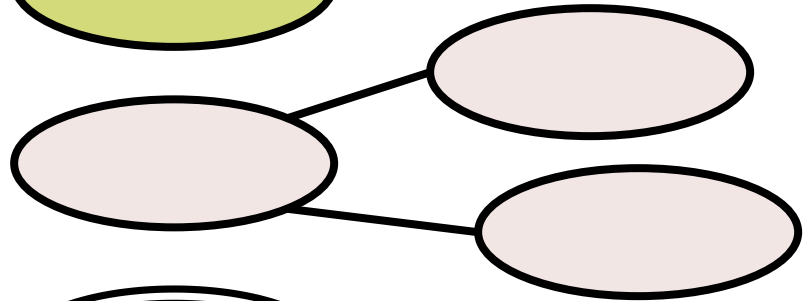
▶ Entity type



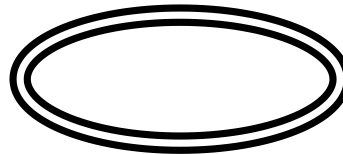
▶ Simple attribute



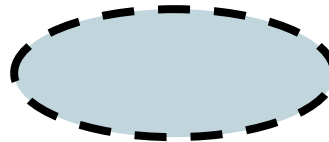
▶ Composite attribute



▶ Multi-valued attribute



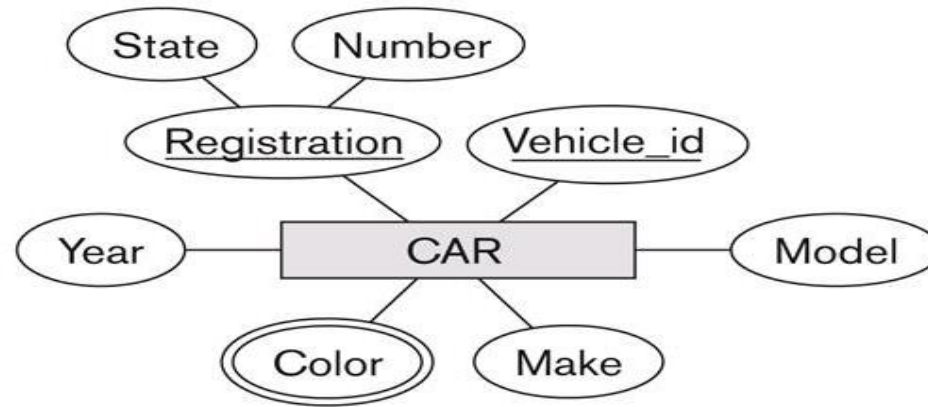
▶ Derived attribute



▶ Key



Entity Type CAR with two keys and a corresponding Entity Set



CAR

Registration (Number, State), Vehicle_id, Make, Model, Year, {Color}

CAR₁

((ABC 123, TEXAS), TK629, Ford Mustang, convertible, 2004 {red, black})

CAR₂

((ABC 123, NEW YORK), WP9872, Nissan Maxima, 4-door, 2005, {blue})

CAR₃

((VSY 720, TEXAS), TD729, Chrysler LeBaron, 4-door, 2002, {white, blue})

⋮

Identify Entity Types and Attributes

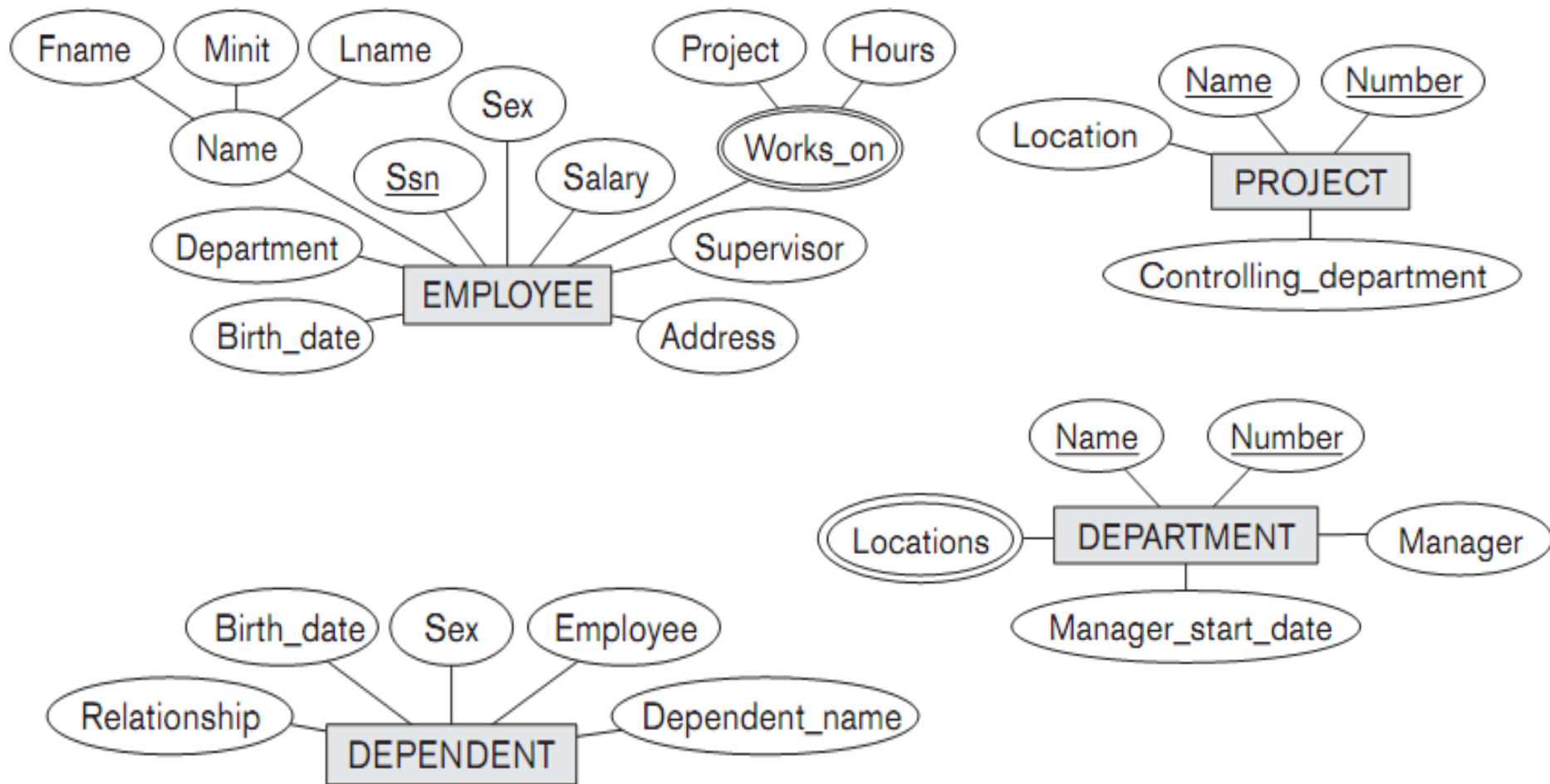
The COMPANY database:

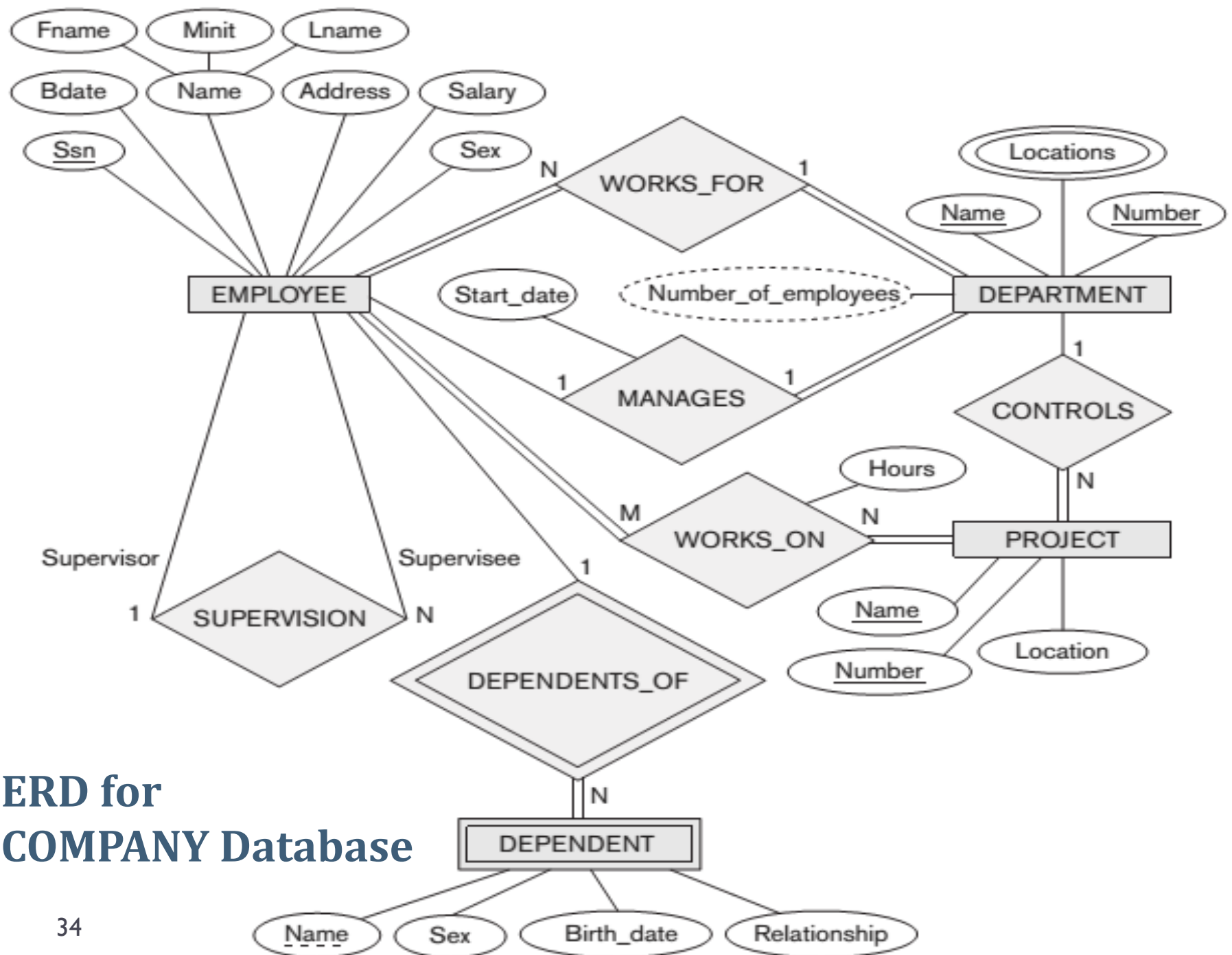
- ▶ The company is organized into **DEPARTMENTS**. Each department has *a unique name, a unique number*, and a particular *employee who manages* the department. We keep track of the *start date* when that employee began managing the department. A department may have *several locations*.
- ▶ A department controls a number of **PROJECTs**, each of which has *a unique name, a unique number*, and *a single location*.

Identify Entity Types, Attributes

- ▶ We store **EMPLOYEE**'s *name*, *Social Security number*, *address*, *salary*, *sex*, and *birth date*. An employee is *assigned to one department*, but may *work on several projects*, which are not necessarily controlled by the same department. We keep track of the current *number of hours per week* that an employee works on each project. We also keep track of the *direct supervisor* of each employee.
- ▶ We want to keep track of the **DEPENDENTS** of each employee, *including first name*, *sex*, *birth date*, and *relationship* to the employee.



Initial Conceptual Design of COMPANY Database





ERD for COMPANY Database

Case study: Identify Entity Types and Attributes

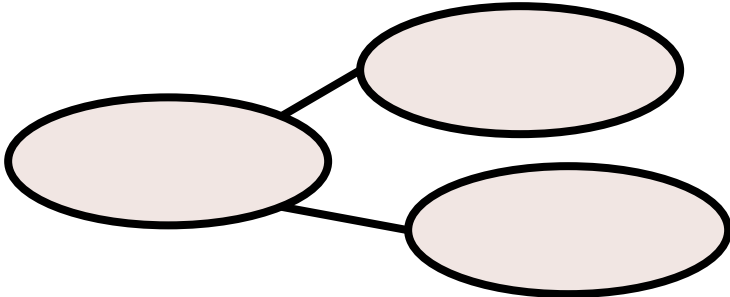
GROUP A	GROUP B
<p>A system for course registration of HCMUT</p> 	<p>A system for a Library of a University</p> 


Entity type 

Simple att. 

Key 

Multi-valued attribute 

Composite att. 

Derived attribute 

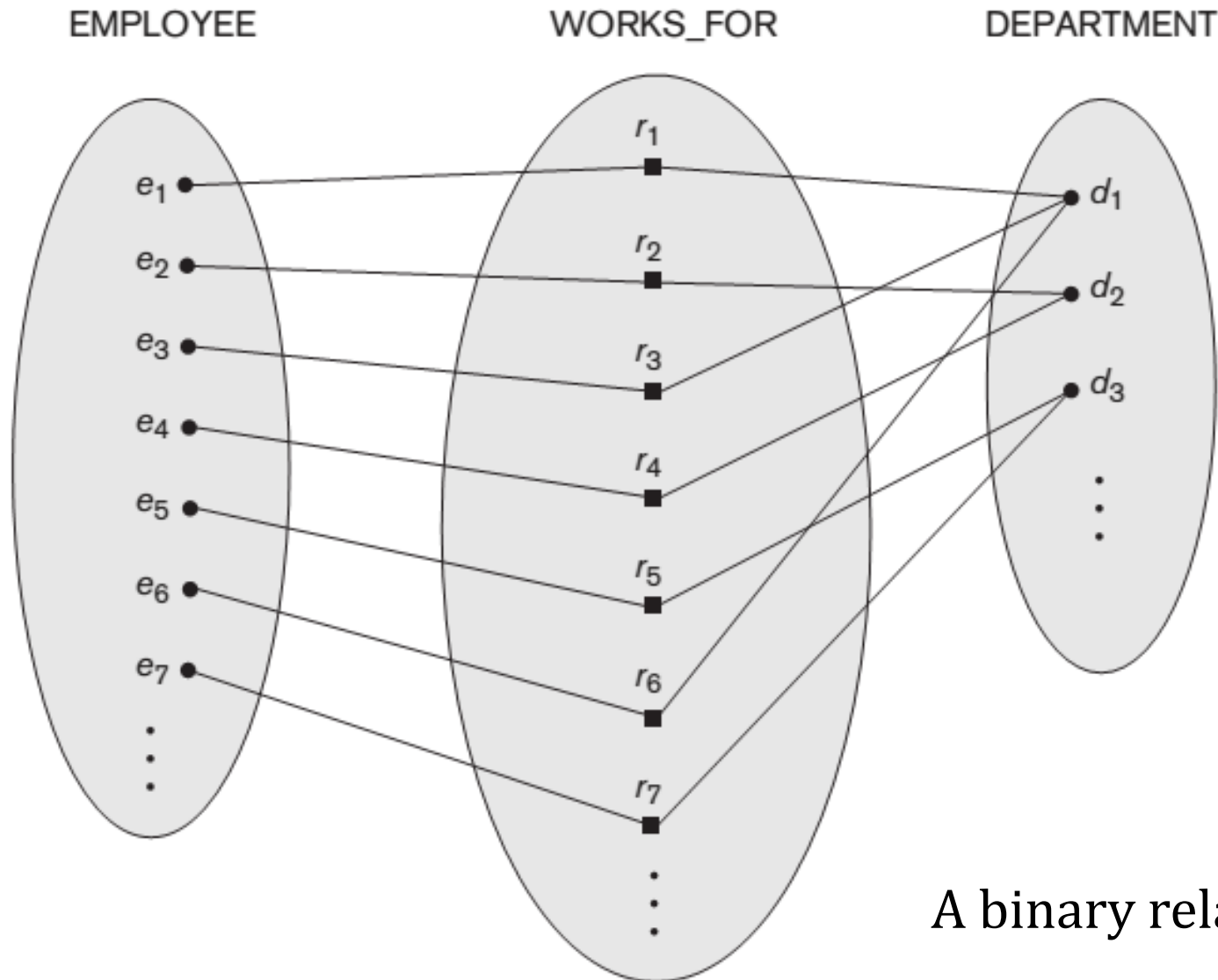
Relationships and Relationship Types

- ▶ **Relationship type R** among n entity types E_1, E_2, \dots, E_n
 - ▶ Defines a set of associations among entities from these entity types
 - ▶ Ex: Relationship type WORKS_FOR between EMPLOYEES and DEPARTMENTS
- ▶ **Relationship instances r_i**
 - ▶ Each r_i associates n individual entities (e_1, e_2, \dots, e_n) . Each entity e_j in r_i is a member of entity set E_j
 - ▶ Ex: EMPLOYEE John Smith works on the PROJECT ProductX

Relationships and Relationship Types

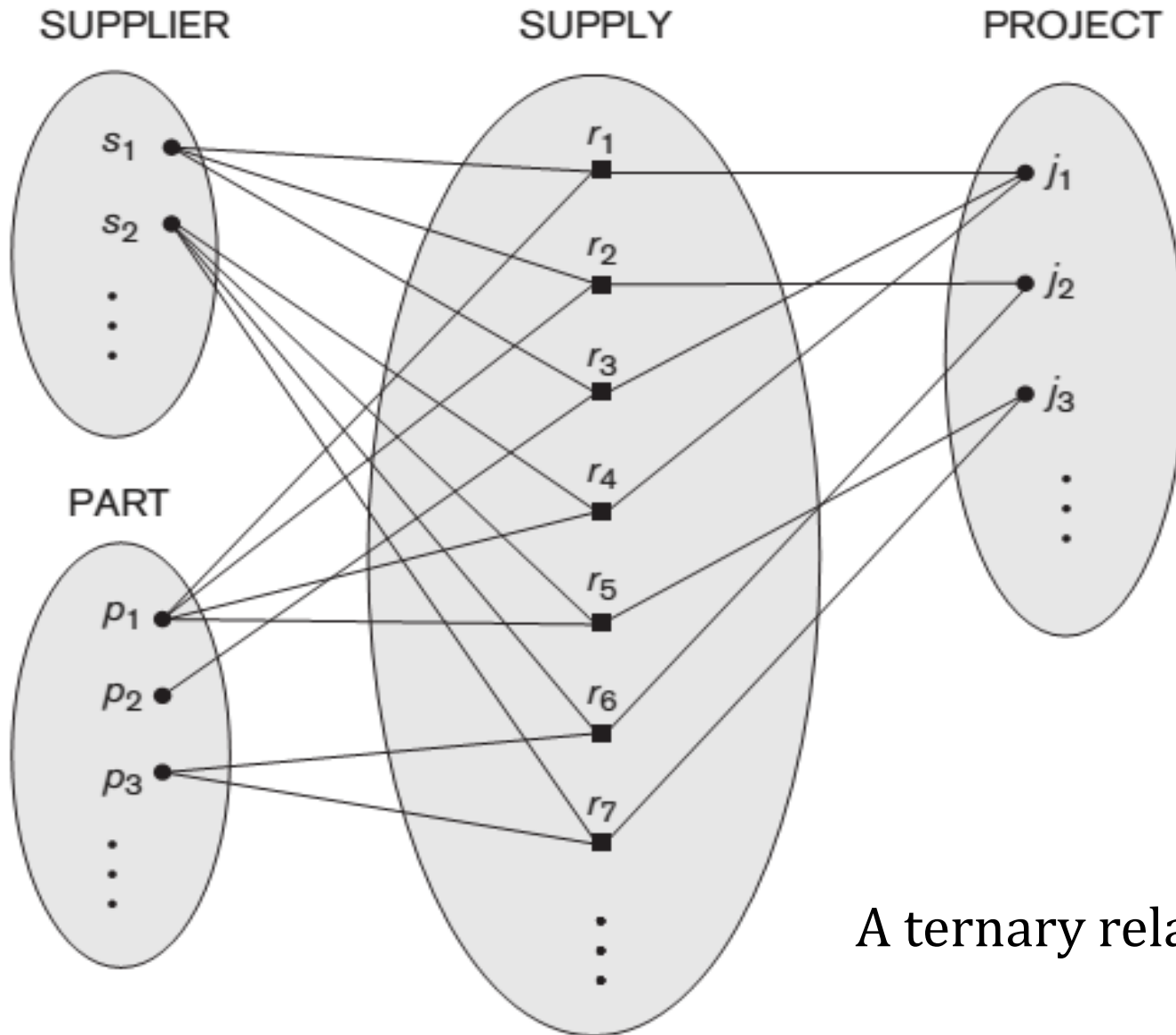
- ▶ **Degree** of a relationship type
 - ▶ Number of participating entity types
 - ▶ Binary (degree 2), ternary (degree 3), and n-ary (degree n)
- ▶ More than one relationship type can exist with the same participating entity types.
 - ▶ EMPLOYEE – *Works-for* – DEPARTMENT
 - ▶ EMPLOYEE – *Manages* – DEPARTMENT

Example relationship instances



A binary relationship

Example relationship instances

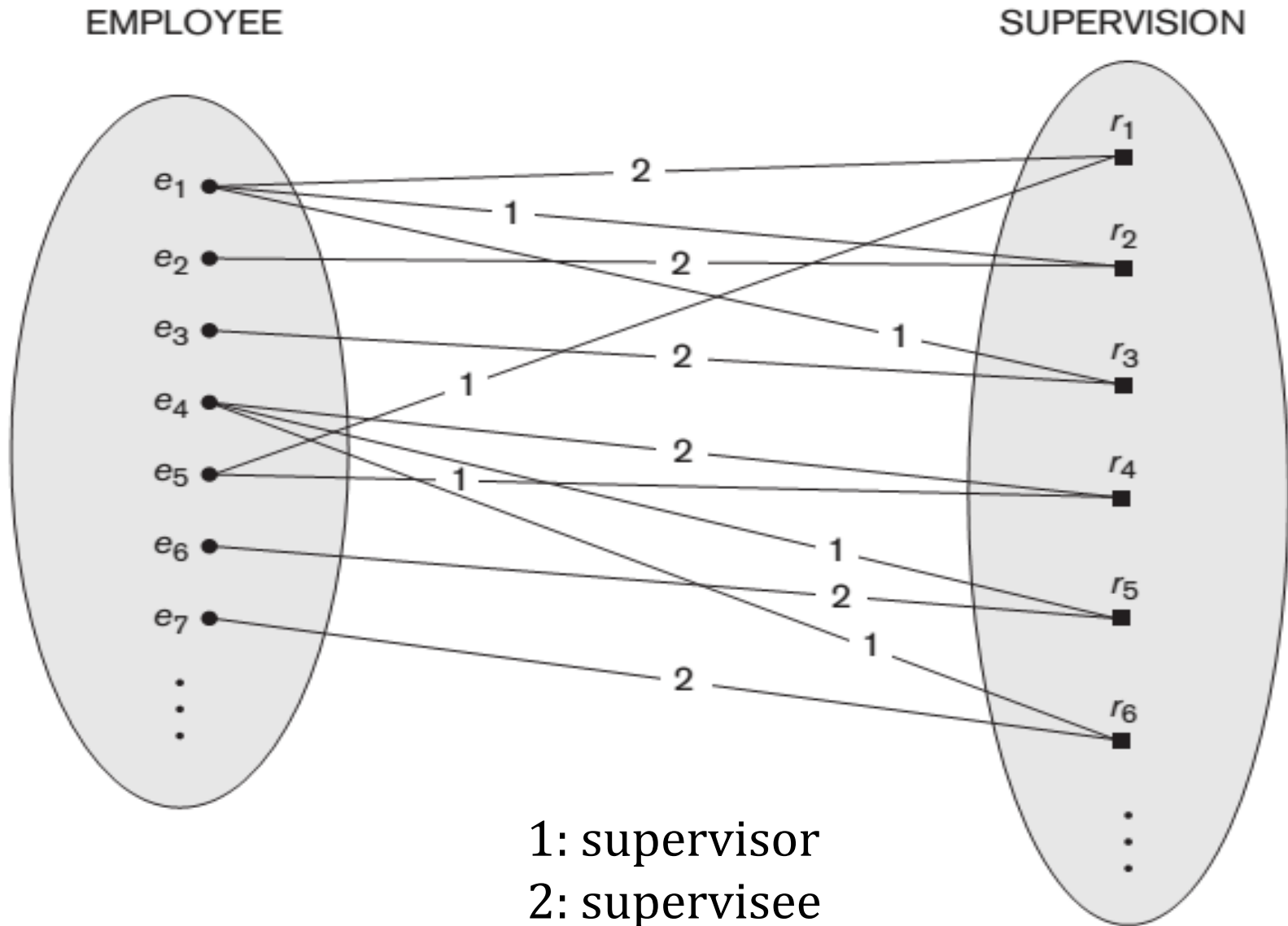


Relationships and Relationship Types

▶ **Recursive relationships**

- ▶ Same entity type participates more than once in a relationship type in different roles
- ▶ Must specify *role* that a participating entity plays in each relationship instance
- ▶ Ex: SUPERVISION relationships between EMPLOYEE (in role of supervisor or boss) and (another) EMPLOYEE (in role of subordinate or worker)

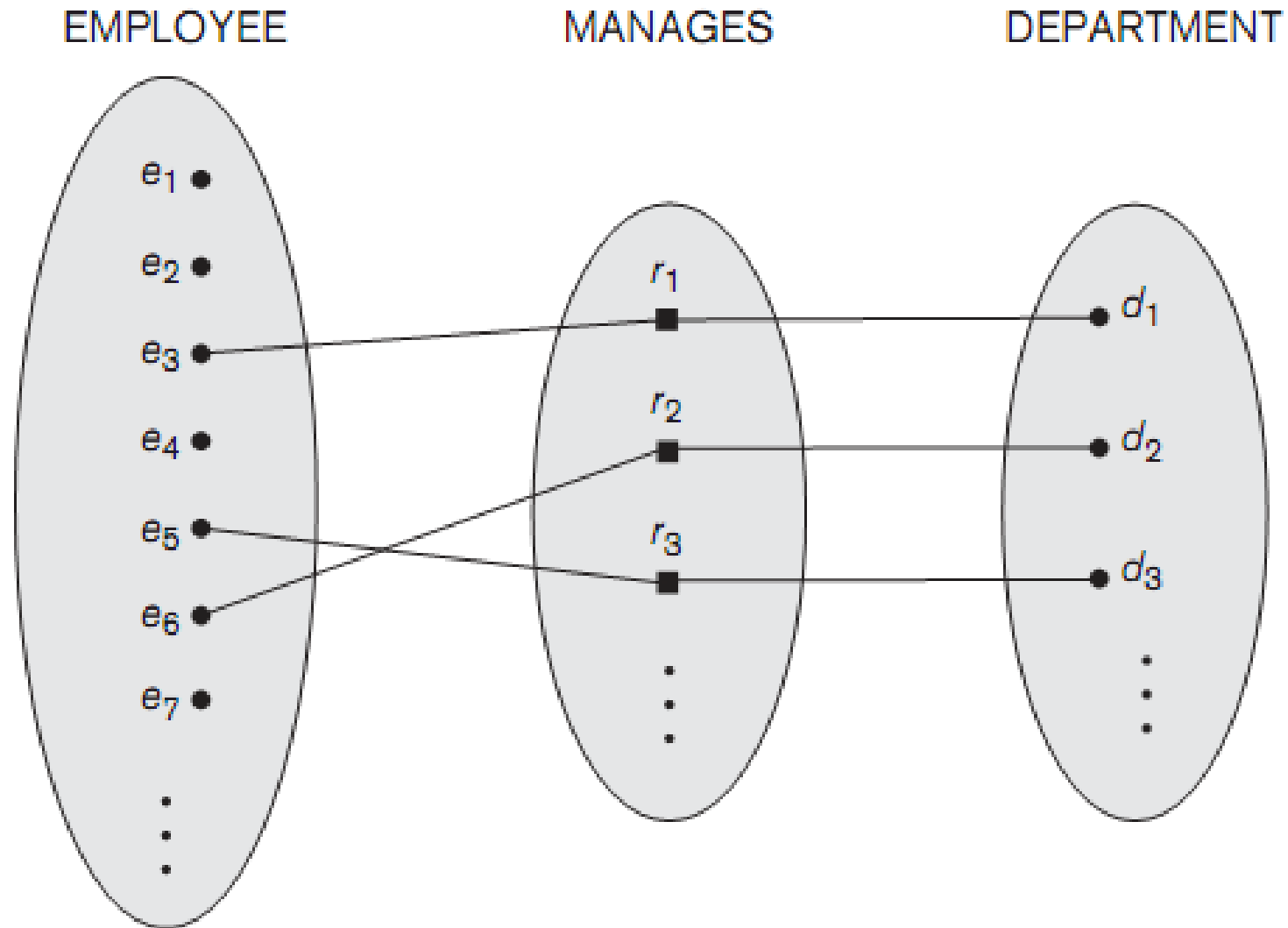
A Recursive Relationship SUPERVISION



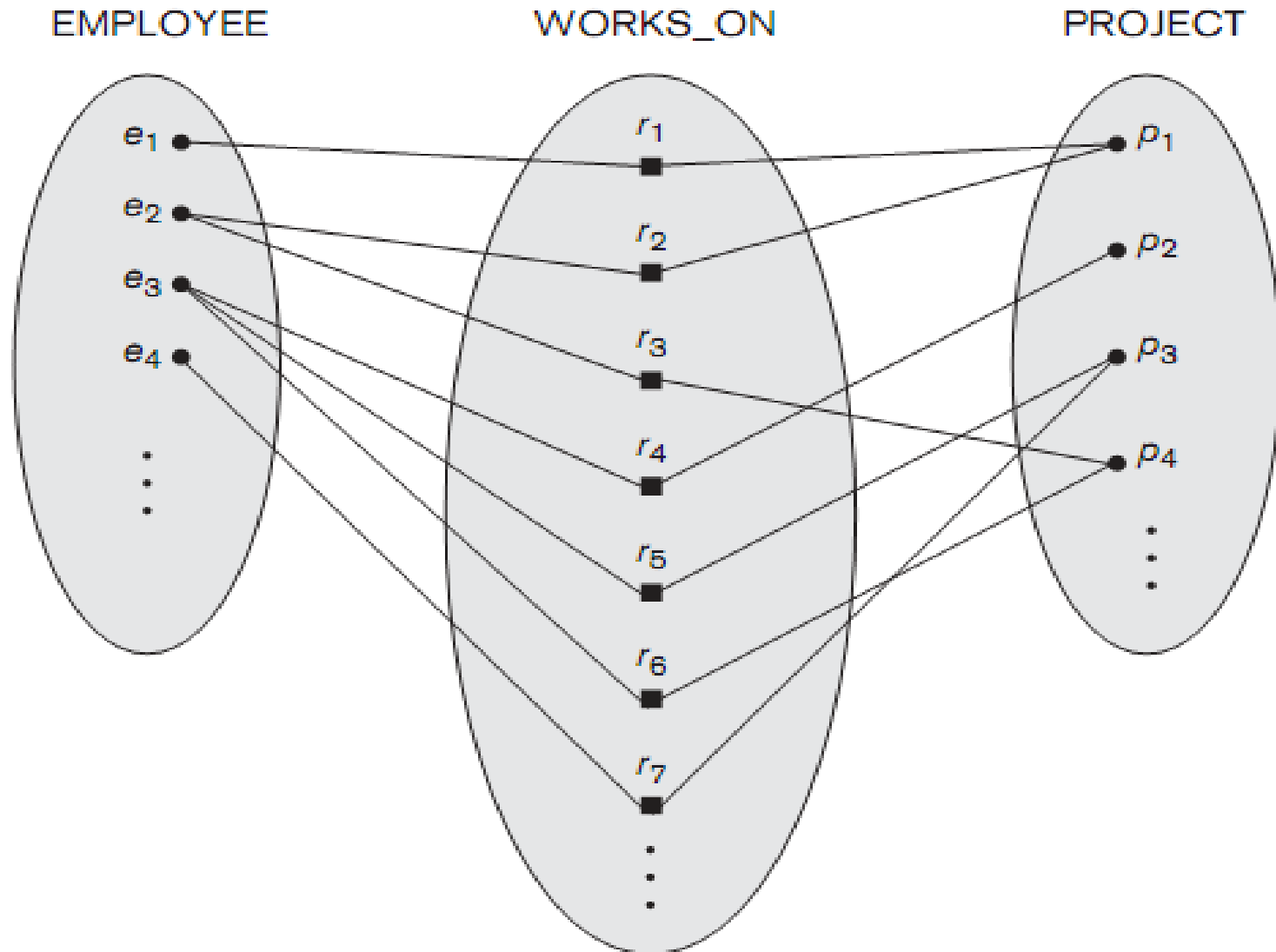
Constraints on Binary Relationship Type

- ▶ **Structural constraints:** one way to express semantics of relationship: *cardinality ratio* and *participation constraint*.
- ▶ *Cardinality ratio:* specifies maximum number of relationship instances that entity can participate in a binary relationship.
 - ▶ one-to-one (1:1)
 - ▶ one-to-many (1:M) or many-to-one (M:1)
 - ▶ many-to-many (M:N)

One-to-one (1:1) RELATIONSHIP



Many-to-many (M:N) RELATIONSHIP

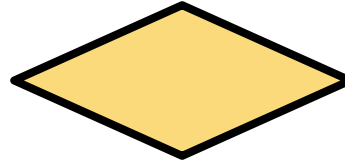


Constraints on Binary Relationship Type

- ▶ Participation constraint (or membership class): specifies whether existence of entity depends on its being related to another entity
 - ▶ *Mandatory* (total participation) - every instance of a participating entity type must participate in the relationship. (double line)
 - ▶ *Optional* (partial participation) - not every instance of a participating entity type must participate in the relationship. (single line)

Notations of Relationship type

► Relationship type



Cardinality
ratio

An EMPLOYEE works for **one** DEPARTMENT.
A DEPARTMENT has **many** EMPLOYEEs.

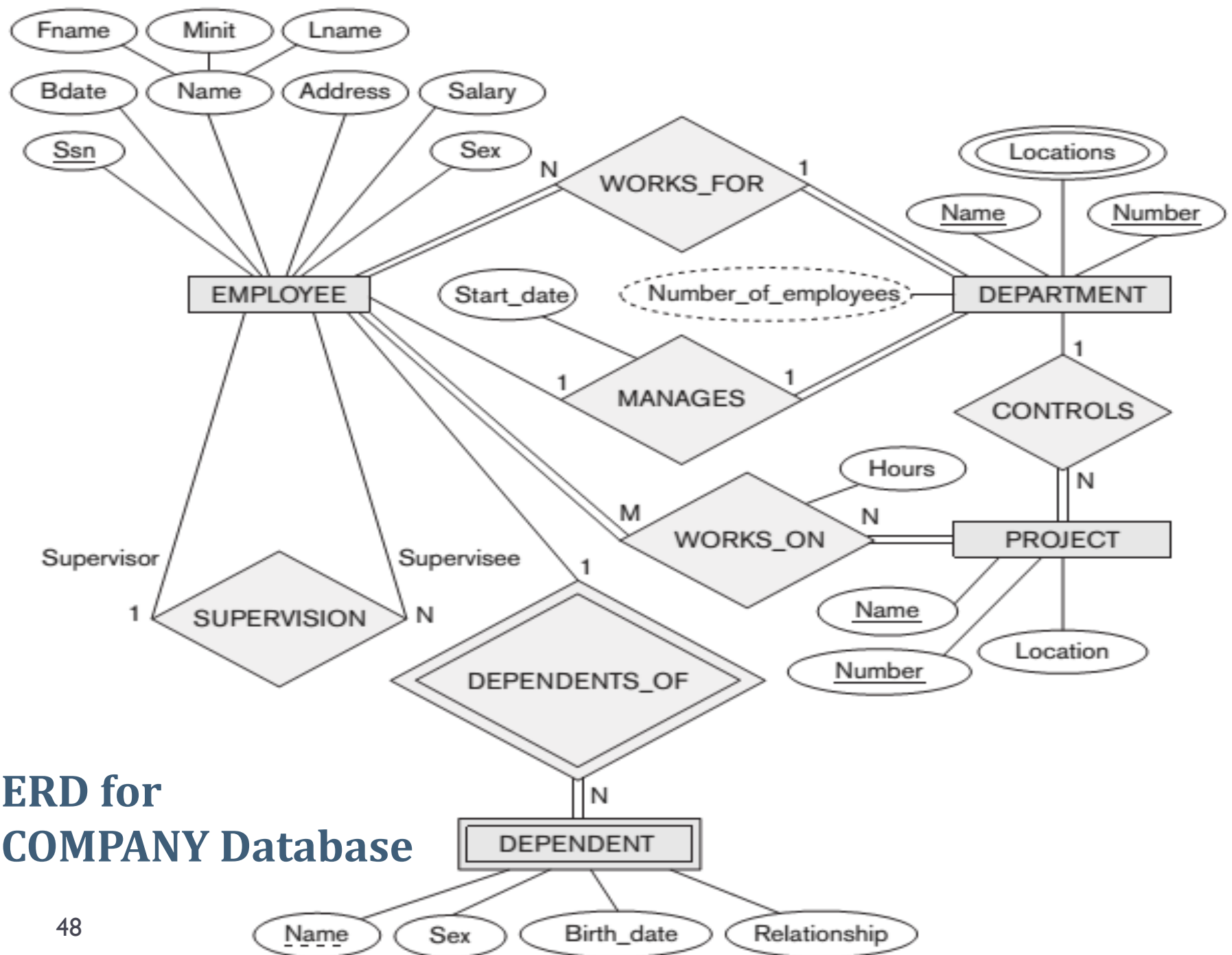


An EMPLOYEE **must** works for a DEPARTMENT.
A DEPARTMENT **may** have **no** EMPLOYEE.

Participation
constraint

Attributes of Relationship Types

- ▶ A relationship type can have attributes.
 - ▶ HoursPerWeek of WORKS_ON
- ▶ 1:1 relationship type: relationship attributes can be migrated to any participating entity type.
- ▶ 1:N relationship type: relationship attributes can be migrated only to entity type on N-side of relationship.
- ▶ M:N relationship types: relationship attributes cannot be migrated to any entity type.



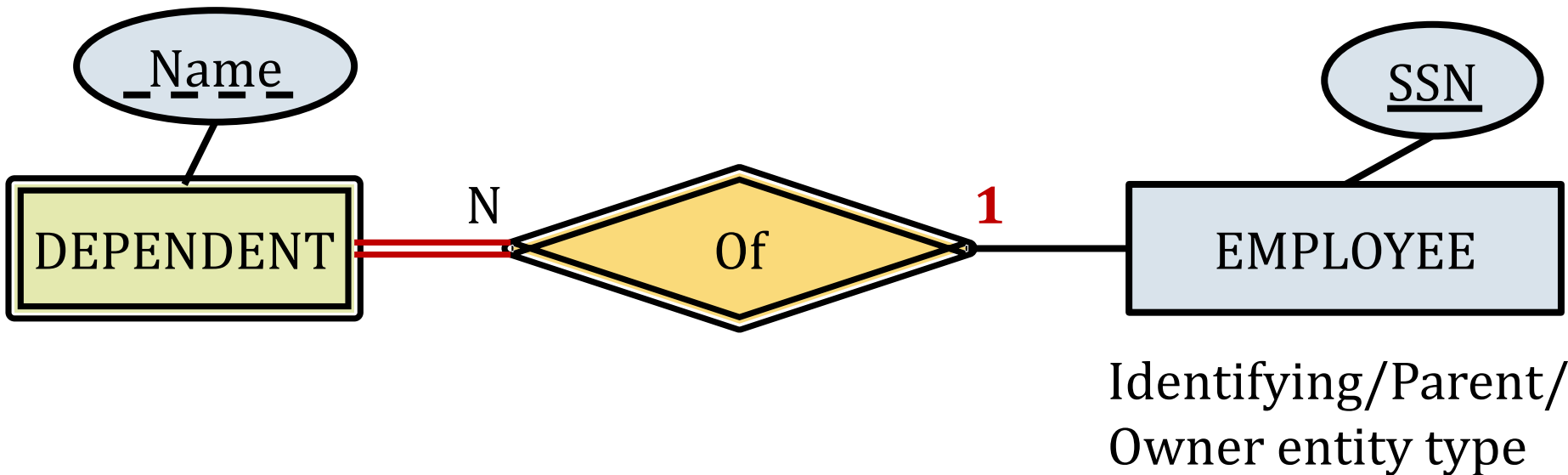
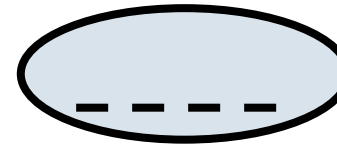
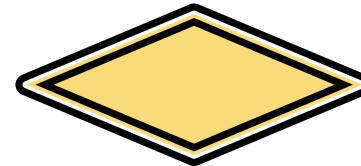
ERD for COMPANY Database

Weak Entity Types

- ▶ Do not have key attributes of their own
 - ▶ Identified by being related to specific entities from another entity type
- ▶ **Identifying relationship:** Relates a weak entity type to its owner
- ▶ Always has a total participation constraint
- ▶ Entities are identified by the combination of:
 - ▶ **A partial key** of the weak entity type
 - ▶ The particular entity they are related to in the identifying entity type

Notations of Relationship type

- ▶ Weak entity type
- ▶ Identifying relationship type
- ▶ Partial key



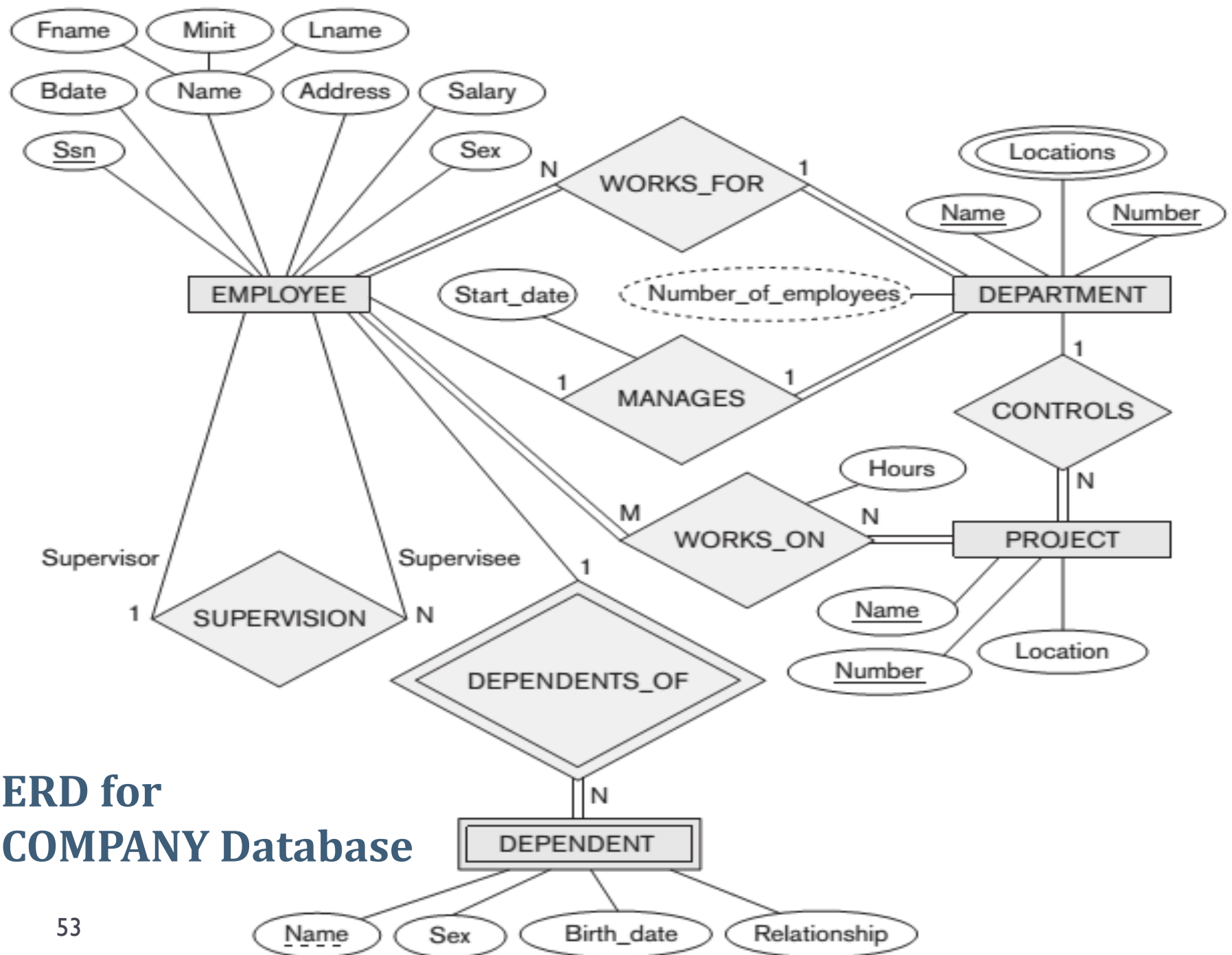
Identify Entity Types, Attributes, Relationships

The COMPANY database:

- ▶ The company is organized into **DEPARTMENTS**. Each department has *a unique name, a unique number*, and a particular employee who *manages* the department. We keep track of the *start date* when that employee began managing the department. A department may have *several locations*.
- ▶ A department controls a number of **PROJECTs**, each of which has *a unique name, a unique number*, and *a single location*.

Identify Entity Types, Attributes, Relationships

- ▶ We store **EMPLOYEE**'s *name*, *Social Security number*, *address*, *salary*, *sex*, and *birth date*. An employee is *assigned* to one department, but may *work on* several projects, which are not necessarily controlled by the same department. We keep track of the current *number of hours per week* that an employee works on each project. We also keep track of the *direct supervisor* of each employee.
- ▶ We want to keep track of the **DEPENDENTS** of each employee, *including first name*, *sex*, *birth date*, and *relationship* to the employee.



**ERD for
COMPANY Database**


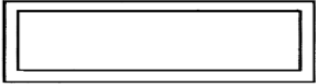
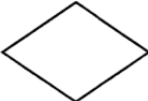
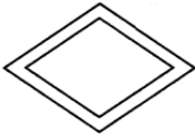




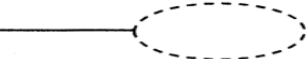


Contents

-
- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions**
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models
-

ER Diagram and Naming Conventions

- ▶ An ER model can be expressed in the form of the ER diagram.
- ▶ Proper Naming of Schema Constructs:
 - ▶ Choose names that convey meanings attached to different constructs in schema
 - ▶ *Nouns* give rise to *entity type* names
 - ▶ *Verbs* indicate names of *relationship types*
 - ▶ Choose binary relationship names to make ER diagram readable from left to right and from top to bottom

Summary of the Notation for ER Diagrams

Symbol	Meaning
	ENTITY
	WEAK ENTITY
	RELATIONSHIP
	IDENTIFYING RELATIONSHIP
	ATTRIBUTE
	KEY ATTRIBUTE
	MULTIVALUED ATTRIBUTE
	COMPOSITE ATTRIBUTE
	DERIVED ATTRIBUTE
	TOTAL PARTICIPATION OF E_2 IN R
	CARDINALITY RATIO 1: N FOR $E_1:E_2$ IN R

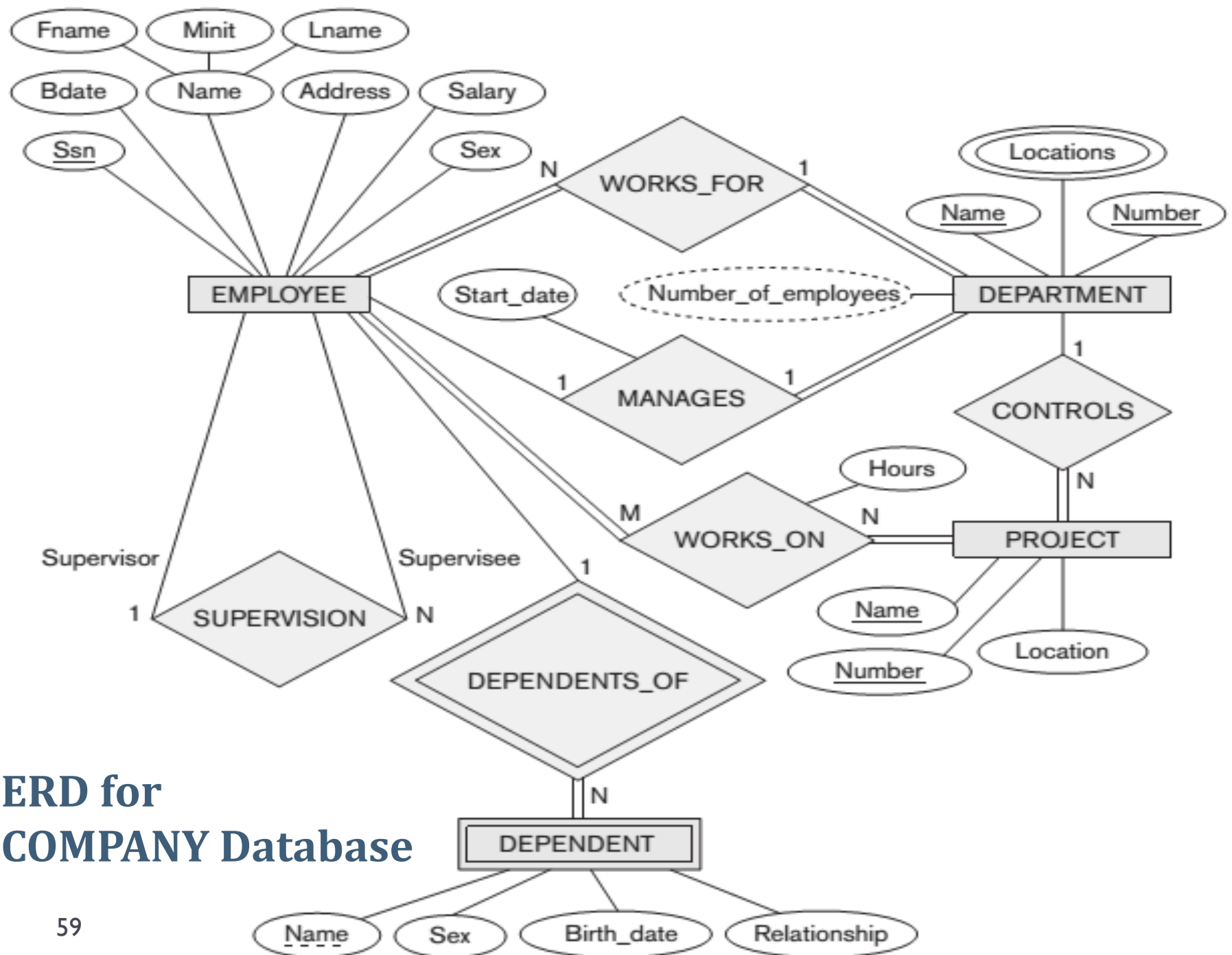
Draw Entity-Relationship Diagram

The COMPANY database:

- ▶ The company is organized into **DEPARTMENTS**. Each department has *a unique name, a unique number*, and a particular employee who *manages* the department. We keep track of the *start date* when that employee began managing the department. A department may have *several locations*.
- ▶ A department controls a number of **PROJECTs**, each of which has *a unique name, a unique number*, and *a single location*.

Draw Entity-Relationship Diagram

- ▶ We store **EMPLOYEE**'s *name*, *Social Security number*, *address*, *salary*, *sex*, and *birth date*. An employee is *assigned* to one department, but may *work on* several projects, which are not necessarily controlled by the same department. We keep track of the current *number of hours per week* that an employee works on each project. We also keep track of the *direct supervisor* of each employee.
- ▶ We want to keep track of the **DEPENDENTS** of each employee, *including first name*, *sex*, *birth date*, and *relationship* to the employee.



ERD for COMPANY Database

Case study: Draw ERD

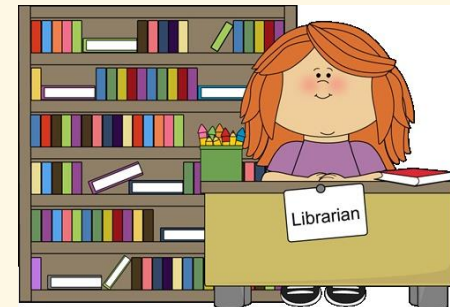
GROUP A

A system for course registration of HCMUT



GROUP B

A system for a Library of a University



Contents

-
- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations**
 - 7 Problems with ER Models
-

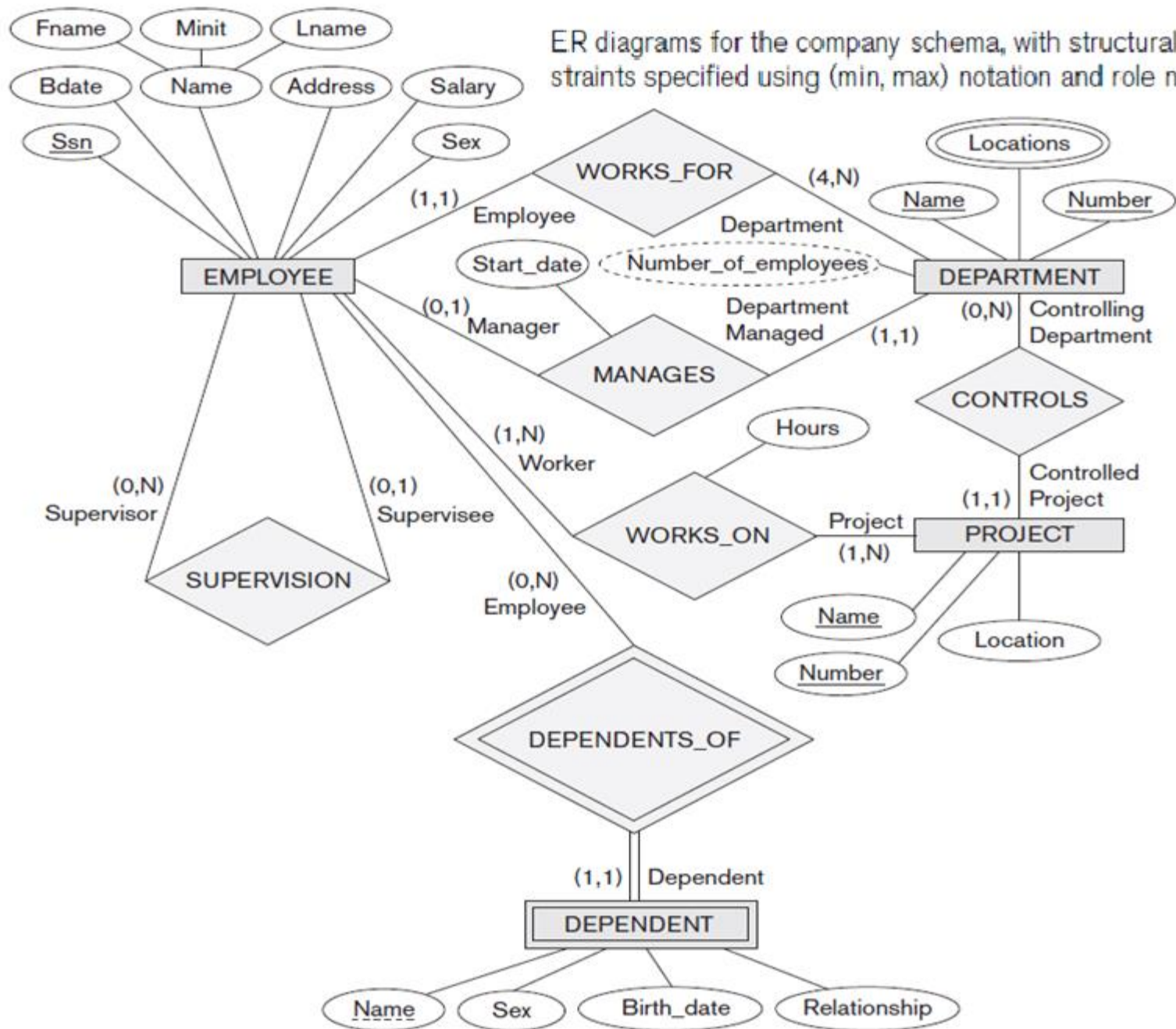
Alternative Diagrammatic Notations

- ▶ **(Min-max) notation for relationships**
 - ▶ Specify structural constraints on relationships
 - ▶ Replaces cardinality ratio (1:1, 1:N, M:N) and single/double line notation for participation constraints
 - ▶ Associate a pair of integer numbers (min, max) with each participation of an entity type E in a relationship type R, where $0 \leq \min \leq \max$ and $\max \geq 1$

(min, max) notation



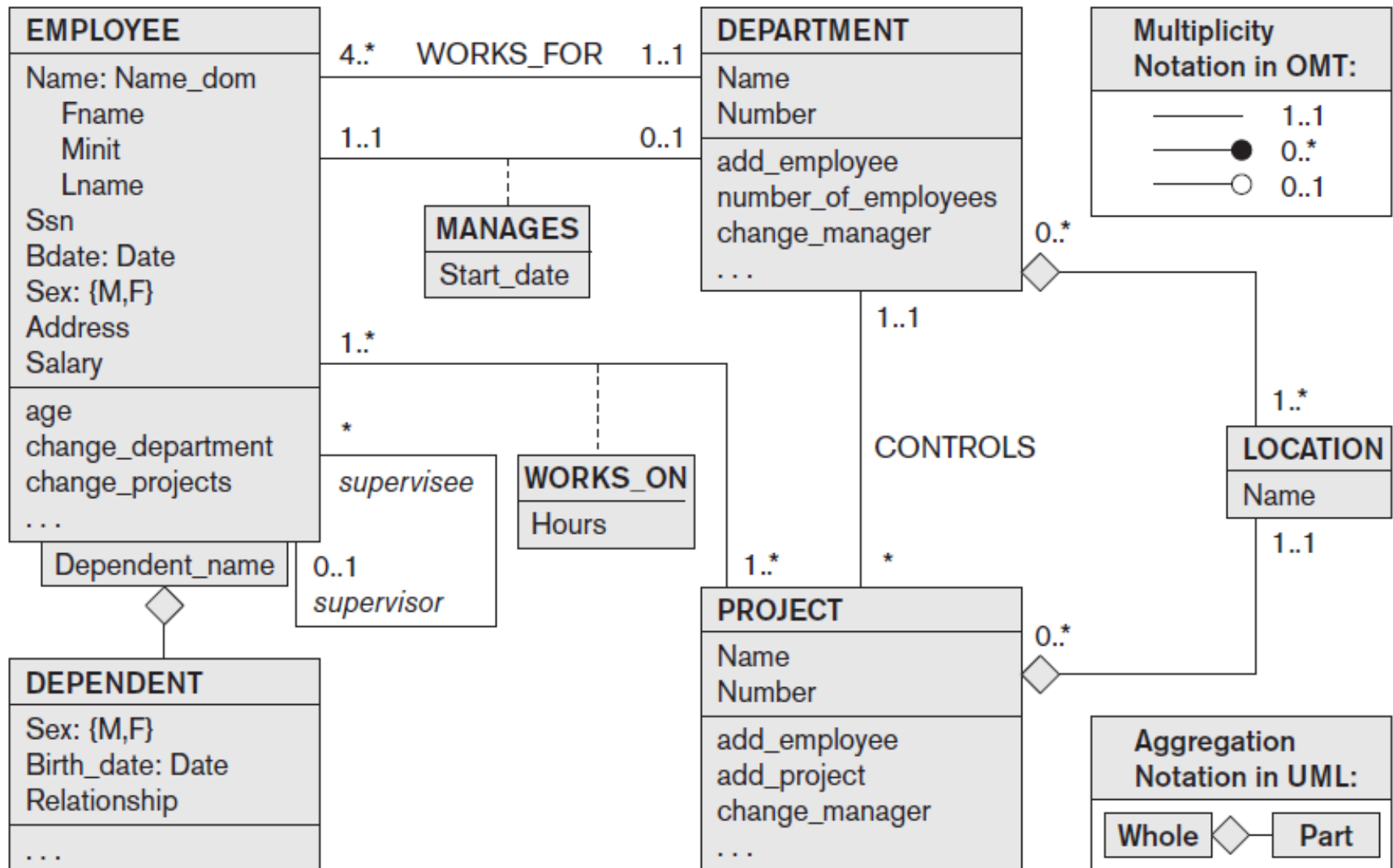
ER diagrams for the company schema, with structural constraints specified using (min, max) notation and role names.



Alternative Diagrammatic Notations

- ▶ UML methodology
 - ▶ Used extensively in software design
 - ▶ Many types of diagrams for various software design purposes
- ▶ **UML class diagrams**
 - ▶ Entity in ER corresponds to an object in UML

The COMPANY conceptual schema
in UML class diagram notation.



Alternative Diagrammatic Notations

- ▶ **UML class diagrams**

- ▶ **Class** includes three sections:

- ▶ Top section gives the class name
 - ▶ Middle section includes the attributes;
 - ▶ Last section includes operations that can be applied to individual objects

- ▶ **Associations:** relationship types

- ▶ **Relationship instances:** links

Alternative Diagrammatic Notations

- ▶ **UML class diagrams**

- ▶ Binary association

- ▶ Represented as a line connecting participating classes
 - ▶ May optionally have a name

- ▶ Link attribute

- ▶ Placed in a box connected to the association's line by a dashed line

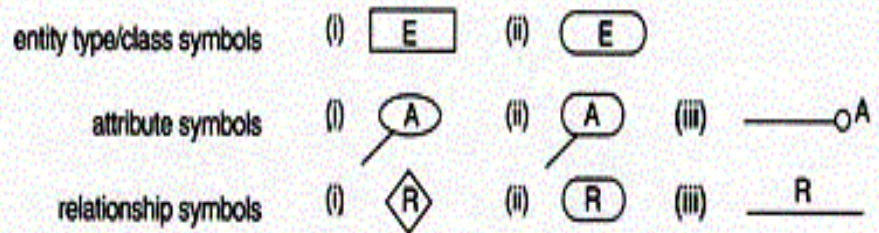
Alternative Diagrammatic Notations

▶ UML class diagrams

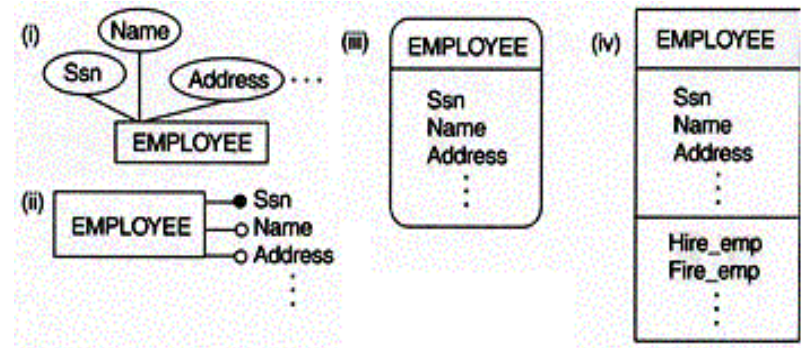
- ▶ **Multiplicities:** min..max, asterisk (*) indicates no maximum limit on participation
- ▶ Types of relationships: **association** and **aggregation**
- ▶ Distinguish between **unidirectional** and **bidirectional** associations
- ▶ Model weak entities using **qualified association**

Alternative Diagrammatic Notations

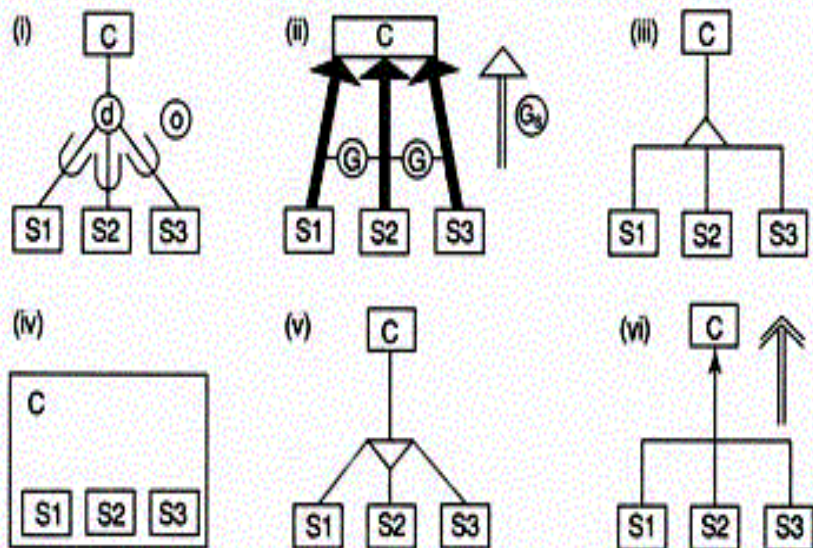
Symbols for entity type / class,
attribute and relationship



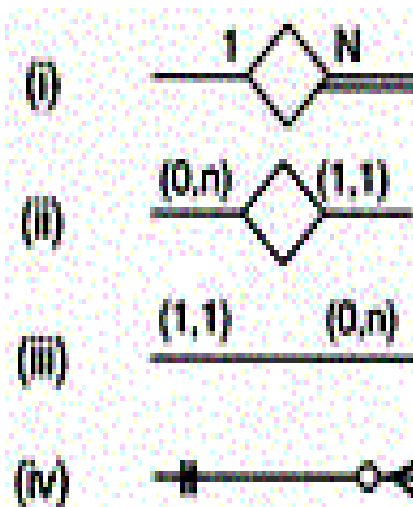
Displaying attributes



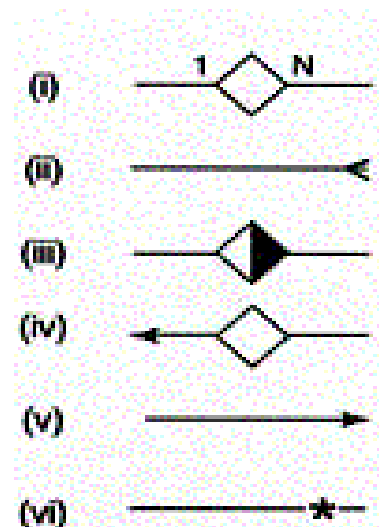
Notations for displaying
specialization / generalization



Various (min, max)
notations



Displaying
cardinality ratios



Contents

- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models**
-

Problems with ER Models

- ▶ Semantic constraints
- ▶ Connection traps

Semantic constraints

- ▶ Constraints that cannot be directly expressed in the ER diagram
- ▶ Must be expressed and enforced by the trigger mechanism, or application programs or in some other ways.
- ▶ Examples:
 - ▶ The age of an employee must be greater than 18 years old
 - ▶ The salary of a department manager must be higher than the other employees works for that department.
 - ▶ When increasing salary of employee, the increasing amount must not more than 20% of current salary.

Connection traps

- ▶ Often due to a misinterpretation of the meaning of certain relationships
- ▶ Two main types of connection traps are called **fan traps** and **chasm traps**

Connection traps

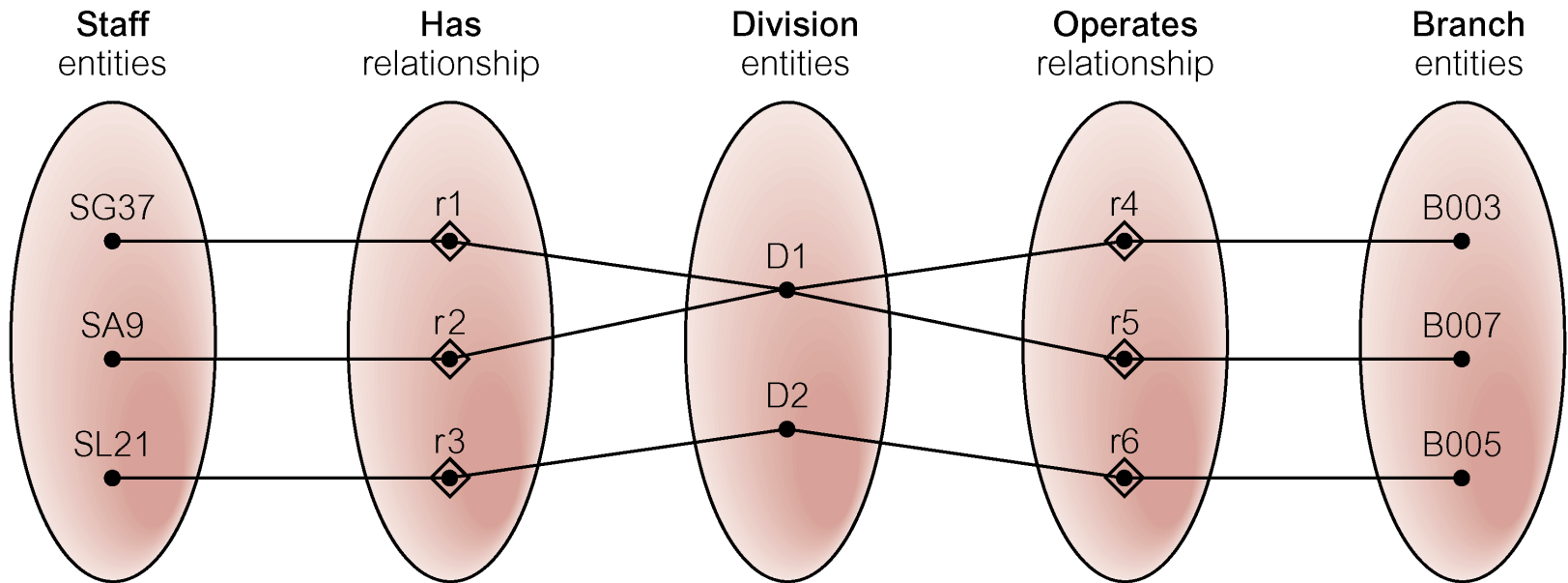
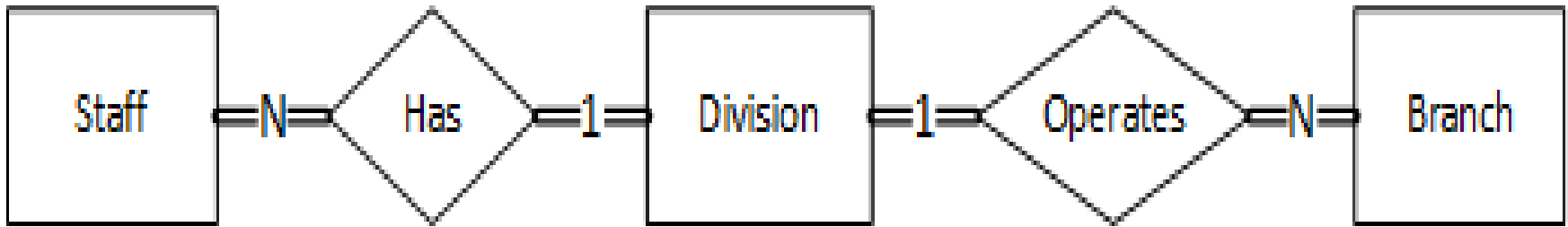
▶ **Fan Trap**

- ▶ Where a model represents a relationship between entity types, but pathway between certain entity occurrences is ambiguous
- ▶ Usually: two or more 1:N relationships fan out from the same entity

▶ **Chasm Trap**

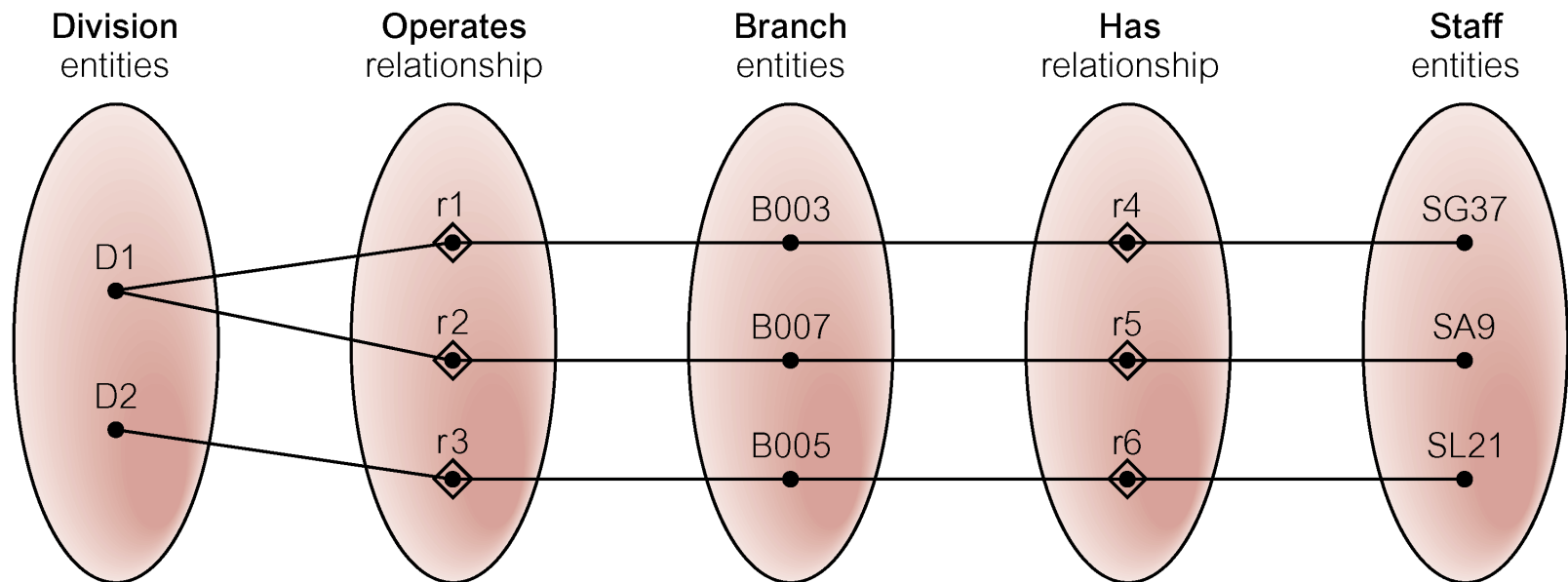
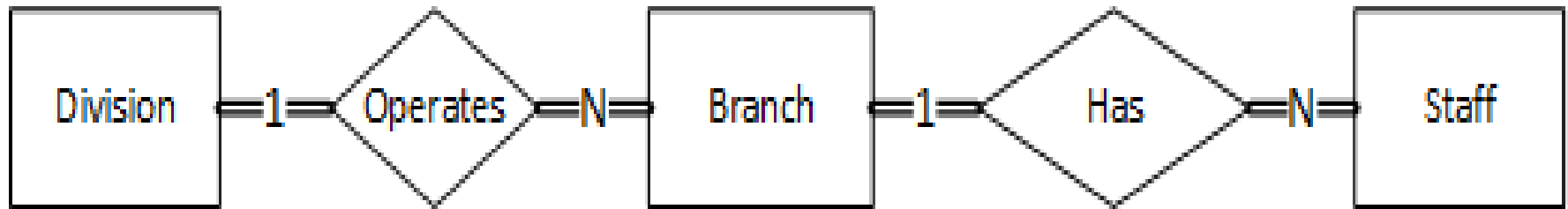
- ▶ Where a model suggests the existence of a relationship between entity types, but pathway does not exist between certain entity occurrences
- ▶ Usually: optional participation

An Example of a Fan Trap



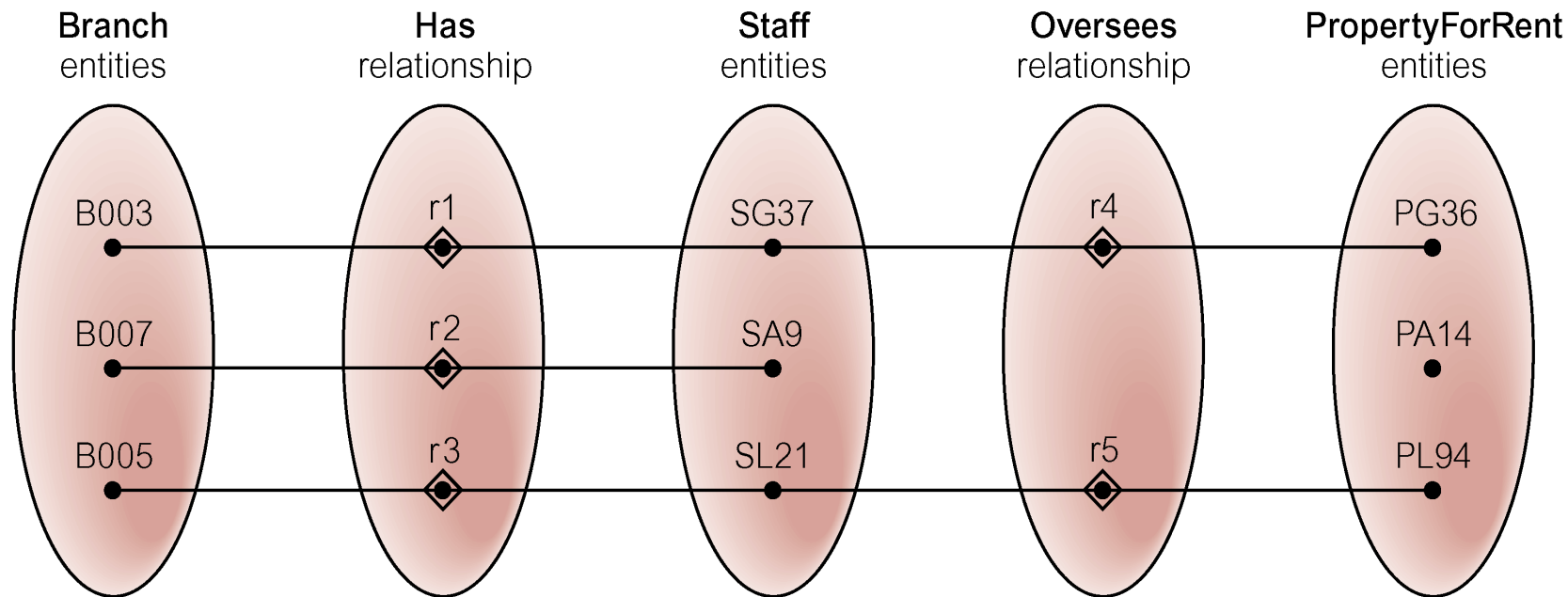
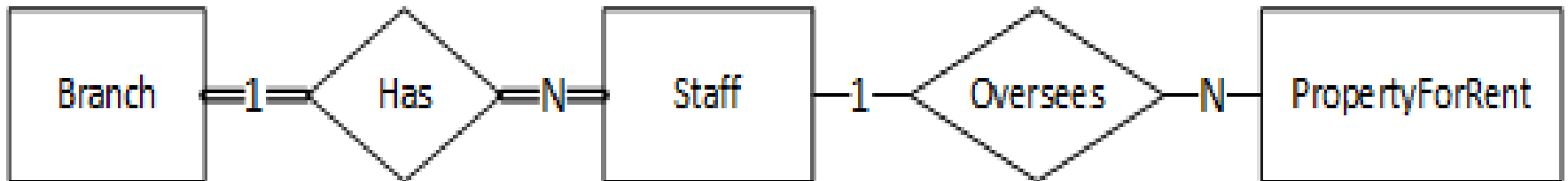
At which branch office does staff number SG37 work?

Restructuring ER model to remove Fan Trap



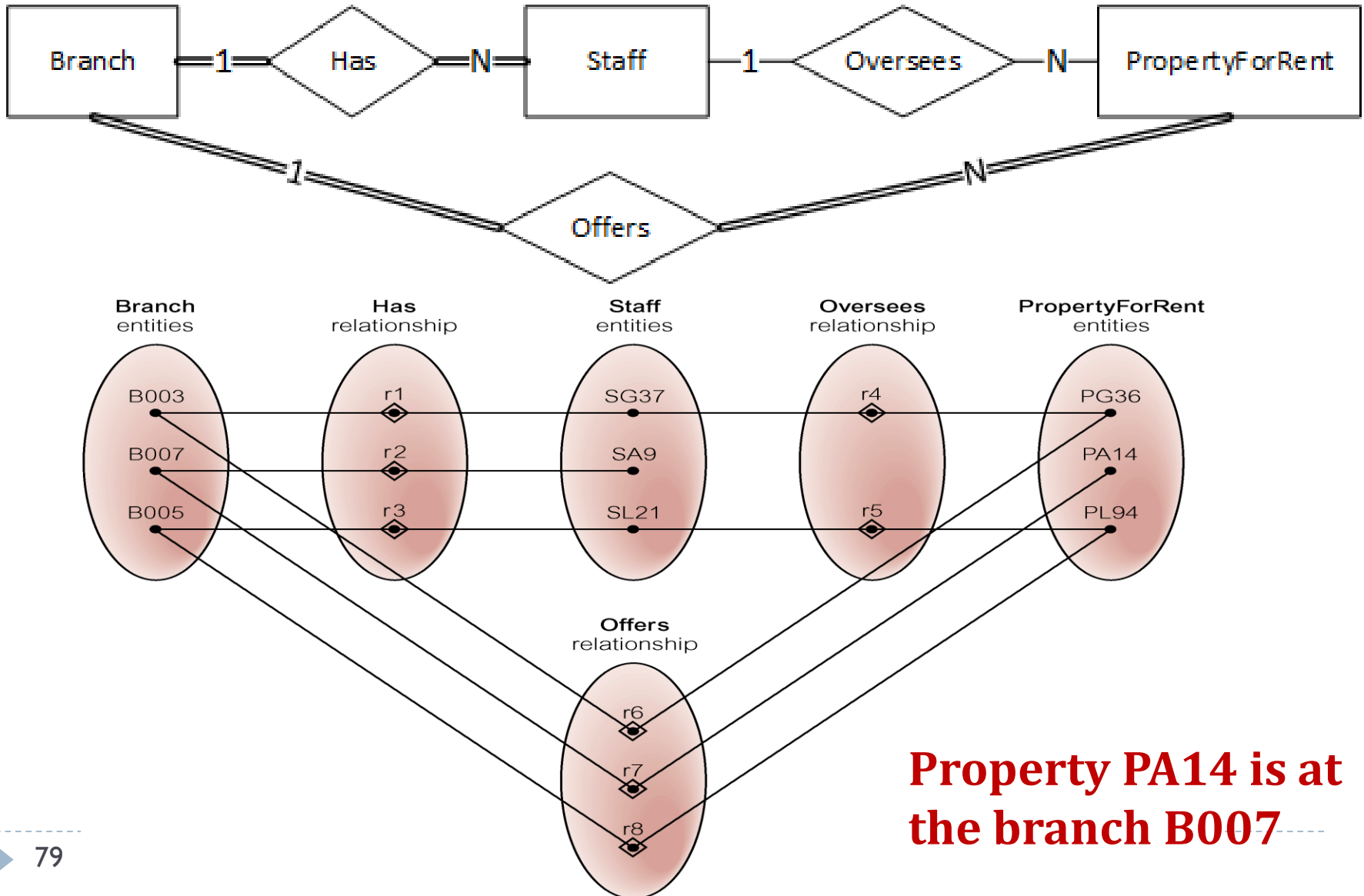
SG37 works at branch B003

An Example of a Chasm Trap



At which branch office is property PA14 available?

ER Model restructured to remove Chasm Trap



Contents

-
- 1 Overview of Database Design Process
 - 2 A Sample Database Application
 - 3 What is ER Model? And Why?
 - 4 ER Model Concepts
 - 5 ER Diagram and Naming Conventions
 - 6 Alternative Diagrammatic Notations
 - 7 Problems with ER Models
-



Exercise 1: University Database

The university database maintains records of its departments, lecturers, course modules, and students. The university consists of departments. Each department has a unique name and some other descriptive attributes. A department must also have a number of lecturers, one of which is the head of department. All lecturers have different names (we assume so anyway). They must teach one or more modules. A lecturer can only belong to one department. Modules are offered by departments. A module is taught by one lecturer. They must also be attended by some students. Each module has a unique module number. Students must enrol for a number of modules. Each student is given a unique student number

Exercise 2: Small LIB Database

You are to design a database for a small library. The database needs to store data about various branches and about books the library holds. Each branch has an id (unique), name (unique) and an address. For each book, the database should record the book id (unique), title, publisher and the year of publication. A book may have several authors, and each author is represented by his/her name. A book typically has several copies. Each copy of a book is given a copy number. The availability of a book should be known, as well as the total number of copies