Anh Tran

| CONTACT | Freeman College of Management Bucknell University 117 Holmes Hall Lewisburg, PA 17837 | Email : adtt001@bucknell.edu Homepage : www.anh-tran.com |
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| ACADEMIC EMPLOYMENT | Visiting Assistant Professor of Finance, Bucknell Universit Assistant Professor of Finance, University of Connecticut | 2025-present 2018-2025 |
| Education | Ph.D. in Finance (minor in Statistics), University of Roche M.S. in Applied Economics, University of Rochester M.S. in Finance, University of Utah B.E. in Automotive Engineering, Ho Chi Minh City Unive | 2015 2011 |
| CERTIFICATION | Passed Level II, CFA Institute | |
| Interests | Asset Pricing, Mutual Funds, Securities Lending, Corporat | te Governance. |
| Publications | "Barking Up The Wrong Tree: Return Chasing in 401(k Journal of Financial Economics, 2023, 148 (1) | , |
| WORKING PAPERS | 1. "The Role of Financial Markets in the Unraveling of Credit," (with Elena Asparouhova, Peter Bossaerts, and Dan Lu). | |
| | - R&R (3rd round requested) at Journal of Finan | cial Economics |
| | $ 2. \ \hbox{``Unveiling Mutual Funds' Securities Lending Strategies: } \\ Chen and Pingle Wang). $ | Value versus Volume," (with Shuaiyu |
| | 3. "Lending at a Cost: Liquidity Fragility in Bond Mutua Pingle Wang). | al Funds," (with Shuaiyu Chen and |
| | 4. "Mutual Fund Governance: Do Long-term Relationships with Managers Blind Directors | |
| | 5. "SEC Monitoring and Investigations: Signaling from Sh | ort-selling," (with Silver Chung). |
| TEACHING EXPERIENCE | Bucknell University: - Financial Statement Analysis & Valuation | 2025 |
| | University of Connecticut: - Principles of Investments and Derivatives - Security Valuation and Portfolio Management - Investment and Security Analysis (MBA) | 2018-2025 2019-2025 2020 |
| | University of Rochester: - Instructor: Mathematical Techniques in Economics (Fig. 1) - Lab instructor: Data Analytics (MBA) | PhD) 2015 2017 |

| Presentations | 2025 Lone Star Finance Conference, University of Alberta, Calgary University, Utrecht Usity, Radboud University, Free University of Amsterdam, Midwest Finance Association Annual Meeting, Eastern Finance Association Annual Meeting | | |
|---|---|--|--|
| | 2024 Nanyang Technological University, George Washington University, San Diego State University, Wabash River Conference, University of Massachusetts Lowell, Eastern Finance Association Annual Meeting, 5 th World Conference on Business, Management, Finance Economics and Marketing | | |
| | 2023 Toulouse School of Economics, Financial Management Association Annual Meeting | | |
| | $2022~5^{th}$ Bank of Canada FSRC Macro-Finance Conference, Toulouse Business School | | |
| | 2021 University of Dauphine | | |
| | 2020 Midwest Finance Association Annual Meeting, Financial Management Association Annual Meeting | | |
| | 2019 University of Connecticut | | |
| | 2018 Financial Management Association Annual Meeting, University of Connecticut, Chinese University of Hong Kong (Includes coauthor presentations) | | |
| DISCUSSANT | Eastern Finance Association Annual Meeting 2024-2025 | | |
| Invited Speaker | 5^{th} World Conference on Business, Management, Finance, Economics and Marketing 2024 | | |
| Professional Service | Referee - Quarterly Journal of Finance, Journal of Pension Economics and Finance, National Science Foundation | | |
| | Conference Program Committee - University of Connecticut Finance Conference 2021-2025 | | |
| | Conference Session Chair | | |
| | - University of Connecticut Finance Conference 2023-2025 | | |
| | - Eastern Finance Association Annual Meeting 2024-2025 | | |
| | Conference Organizing Committee - The 8^{th} & 9^{th} Vietnam International Conference in Finance 2024-2025 | | |
| | Profession - Vice President - Vietnam Finance Association International (VFAI) 2023-present | | |
| University and Department Service | Advising - UConn team participating in statewide CFA Research Challenge - Undergraduate Student Advising 2018-2025 2019-2025 | | |
| | College service - CFA University Affiliation Program 2022-2025 | | |
| Awards | Semifinalist for the Best Paper Award in Financial Intermediation and Markets at FMA 2023 | | |

Semifinalist for the Best Paper Award in Corporate Finance at FMA Annual Meeting

American Finance Association Travel Grant Simon Business School Doctoral Fellowship

2012 - 2017

 $\begin{array}{c} 2018 \\ 2016 \end{array}$

PROFESSIONAL Investment Analyst Intern, Beneficial Financial Group 2011
EMPLOYMENT Supervisor of Purchasing Department, Bonfiglioli Vietnam Ltd 2008
Leader of Purchasing Department, Vietnam Suzuki Corporation 2006-2008

SKILLS Python, R, Matlab, Stata, SAS.