

Anh Tran

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| CONTACT | Freeman College of Management Bucknell University 117 Holmes Hall Lewisburg, PA 17837 | Email : adtt001@bucknell.edu Homepage : www.anh-tran.com |
| ACADEMIC EMPLOYMENT | Visiting Assistant Professor of Finance, Bucknell University Assistant Professor of Finance, University of Connecticut | 2025-present 2018-2025 |
| EDUCATION | Ph.D. in Finance (minor in Statistics), University of Rochester M.S. in Applied Economics, University of Rochester M.S. in Finance, University of Utah B.E. in Automotive Engineering, Ho Chi Minh City University of Technology | 2018 2015 2011 2006 |
| CERTIFICATION | Passed Level II, CFA Institute | |
| INTERESTS | Asset Pricing, Mutual Funds, Securities Lending, Corporate Governance. | |
| PUBLICATIONS | 1. “Barking Up The Wrong Tree: Return Chasing in 401(k) plans,” (with Pingle Wang). - Journal of Financial Economics , 2023, 148 (1), 69–90. | |
| WORKING PAPERS | 1. “The Role of Financial Markets in the Unraveling of Credit,” (with Elena Asparouhova, Peter Bossaerts, and Dan Lu). - R&R at Journal of Financial Economics 2. “Unveiling Mutual Funds’ Securities Lending Strategies: Value versus Volume,” (with Shuaiyu Chen and Pingle Wang). 3. “Lending at a Cost: Liquidity Fragility in Bond Mutual Funds,” (with Shuaiyu Chen and Pingle Wang). 4. “Mutual Fund Governance: Do Long-term Relationships with Managers Blind Directors?” 5. “SEC Monitoring and Investigations: Signaling from Short-selling,” (with Silver Chung). | |
| TEACHING EXPERIENCE | Bucknell University: - Financial Statement Analysis & Valuation University of Connecticut: - Principles of Investments and Derivatives - Security Valuation and Portfolio Management - Investment and Security Analysis (<i>MBA</i>) University of Rochester: - <i>Instructor</i> : Mathematical Techniques in Economics (<i>PhD</i>) - <i>Lab instructor</i> : Data Analytics (<i>MBA</i>) | 2025 2018-2025 2019-2025 2020 2015 2017 |

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| PRESENTATIONS | 2025 Lone Star Finance Conference, University of Alberta, Calgary University, Utrecht University, Radboud University, Free University of Amsterdam, Midwest Finance Association Annual Meeting, Eastern Finance Association Annual Meeting 2024 Nanyang Technological University, George Washington University, San Diego State University, Wabash River Conference, University of Massachusetts Lowell, Eastern Finance Association Annual Meeting, 5 th World Conference on Business, Management, Finance, Economics and Marketing 2023 Toulouse School of Economics, Financial Management Association Annual Meeting 2022 5 th Bank of Canada FSRC Macro-Finance Conference, Toulouse Business School 2021 University of Dauphine 2020 Midwest Finance Association Annual Meeting, Financial Management Association Annual Meeting 2019 University of Connecticut 2018 Financial Management Association Annual Meeting, University of Connecticut, Chinese University of Hong Kong (Includes coauthor presentations) | |
| DISCUSSANT | Eastern Finance Association Annual Meeting | 2024-2025 |
| INVITED SPEAKER | 5 th World Conference on Business, Management, Finance, Economics and Marketing | 2024 |
| PROFESSIONAL SERVICE | Referee - Quarterly Journal of Finance, Journal of Pension Economics and Finance, National Science Foundation Conference Program Committee - University of Connecticut Finance Conference Conference Session Chair - University of Connecticut Finance Conference - Eastern Finance Association Annual Meeting Conference Organizing Committee - The 8 th & 9 th Vietnam International Conference in Finance Profession - Vice President - Vietnam Finance Association International (VFAI) | 2021-2025 2023-2025 2024-2025 2024-2025 2023-present |
| UNIVERSITY AND DEPARTMENT SERVICE | Advising - UConn team participating in statewide CFA Research Challenge - Undergraduate Student Advising College service - Principle contact for the CFA University Affiliation Program | 2018-2025 2019-2025 2022-2025 |
| AWARDS | Semifinalist for the Best Paper Award in Financial Intermediation and Markets at FMA Semifinalist for the Best Paper Award in Corporate Finance at FMA Annual Meeting American Finance Association Travel Grant Simon Business School Doctoral Fellowship | 2023 2018 2016 2012-2017 |

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| PROFESSIONAL EMPLOYMENT | Investment Analyst Intern, Beneficial Financial Group | 2011 |
| | Supervisor of Purchasing Department, Bonfiglioli Vietnam Ltd | 2008 |
| | Leader of Purchasing Department, Vietnam Suzuki Corporation | 2006-2008 |
| SKILLS | Python, R, Matlab, Stata, SAS. | |