

# Anh Tran

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ACADEMIC EMPLOYMENT	Visiting Assistant Professor of Finance, Bucknell University Assistant Professor of Finance, University of Connecticut	2025-present 2018-2025
EDUCATION	Ph.D. in Finance (minor in Statistics), University of Rochester M.S. in Applied Economics, University of Rochester M.S. in Finance, University of Utah B.E. in Automotive Engineering, Ho Chi Minh City University of Technology	2018 2015 2011 2006
CERTIFICATION	Passed Level II, CFA Institute	
INTERESTS	Asset Pricing, Mutual Funds, Securities Lending, Corporate Governance.	
PUBLICATIONS	1. “Barking Up The Wrong Tree: Return Chasing in 401(k) plans,” (with Pingle Wang). - <b>Journal of Financial Economics</b> , 2023, 148 (1), 69–90.	
WORKING PAPERS	1. “The Role of Financial Markets in the Unraveling of Credit,” (with Elena Asparouhova, Peter Bossaerts, and Dan Lu). - R&R (2nd round) at <b>Journal of Financial Economics</b> 2. “Unveiling Mutual Funds’ Securities Lending Strategies: Value versus Volume,” (with Shuaiyu Chen and Pingle Wang). 3. “Lending at a Cost: Liquidity Fragility in Bond Mutual Funds,” (with Shuaiyu Chen and Pingle Wang). 4. “Mutual Fund Governance: Do Long-term Relationships with Managers Blind Directors?” 5. “SEC Monitoring and Investigations: Signaling from Short-selling,” (with Silver Chung).	
TEACHING EXPERIENCE	Bucknell University: - Financial Statement Analysis & Valuation University of Connecticut: - Principles of Investments and Derivatives - Security Valuation and Portfolio Management - Investment and Security Analysis ( <i>MBA</i> ) University of Rochester: - <i>Instructor</i> : Mathematical Techniques in Economics ( <i>PhD</i> ) - <i>Lab instructor</i> : Data Analytics ( <i>MBA</i> )	2025 2018-2025 2019-2025 2020 2015 2017

PRESENTATIONS	2025 Lone Star Finance Conference, University of Alberta, Calgary University, Utrecht University, Radboud University, Free University of Amsterdam, Midwest Finance Association Annual Meeting, Eastern Finance Association Annual Meeting 2024 Nanyang Technological University, George Washington University, San Diego State University, Wabash River Conference, University of Massachusetts Lowell, Eastern Finance Association Annual Meeting, 5 <sup>th</sup> World Conference on Business, Management, Finance, Economics and Marketing 2023 Toulouse School of Economics, Financial Management Association Annual Meeting 2022 5 <sup>th</sup> Bank of Canada FSRC Macro-Finance Conference, Toulouse Business School 2021 University of Dauphine 2020 Midwest Finance Association Annual Meeting, Financial Management Association Annual Meeting 2019 University of Connecticut 2018 Financial Management Association Annual Meeting, University of Connecticut, Chinese University of Hong Kong (Includes coauthor presentations)	
DISCUSSANT	Eastern Finance Association Annual Meeting	2024-2025
INVITED SPEAKER	5 <sup>th</sup> World Conference on Business, Management, Finance, Economics and Marketing	2024
PROFESSIONAL SERVICE	Referee - Quarterly Journal of Finance, Journal of Pension Economics and Finance, National Science Foundation  Conference Program Committee - University of Connecticut Finance Conference  Conference Session Chair - University of Connecticut Finance Conference - Eastern Finance Association Annual Meeting  Conference Organizing Committee - The 8 <sup>th</sup> & 9 <sup>th</sup> Vietnam International Conference in Finance  Profession - Vice President - Vietnam Finance Association International (VFAI)	  2021-2025  2023-2025 2024-2025  2024-2025  2023-present
UNIVERSITY AND DEPARTMENT SERVICE	Advising - UConn team participating in statewide CFA Research Challenge - Undergraduate Student Advising  College service - Principle contact for the CFA University Affiliation Program	2018-2025 2019-2025  2022-2025
AWARDS	Semifinalist for the Best Paper Award in Financial Intermediation and Markets at FMA Semifinalist for the Best Paper Award in Corporate Finance at FMA Annual Meeting American Finance Association Travel Grant Simon Business School Doctoral Fellowship	2023 2018 2016 2012-2017

PROFESSIONAL EMPLOYMENT	Investment Analyst Intern, Beneficial Financial Group	2011
	Supervisor of Purchasing Department, Bonfiglioli Vietnam Ltd	2008
	Leader of Purchasing Department, Vietnam Suzuki Corporation	2006-2008
SKILLS	Python, R, Matlab, Stata, SAS.	