

# COMP20003 Workshop Week 6

## Hashing + Assignment 2

Distribution Counting (aka. Counting Sort)

Hashing

2-3-4 Trees

LAB

- Implementation W6.5 (a small exercise)
- Assignment 2

# Distribution Counting: An Unusual Sorting Algorithm

## Strengths

Does **not** rely on **key comparisons**

Runs in linear time  $\Theta(n + k)$ , where  $k$  is the key range  $\rightarrow \Theta(n)$  time if  $k \in O(n)$

**Stable** (preserves the order of equal keys)

## Limitations

Requires  $\Theta(n+k)$  **extra memory**

Inefficient when the key range  $k$  is much larger than  $n \rightarrow$  **not a general-purpose sort**

## Constraints

**Keys** must be **integers** (or mapped to integers) **in small range**  $k$

## Operation

**counts the frequency** of each key

**builds the cumulative array** to determine positions

**places elements into the output array** in order

# Example

Special Example: sort an array where the keys are non-negative integers, each  $\leq 2$ :

input keys: {0, 1, 2, 0, 0, 1, 2, 1, 1, 0, 0, 0}

freq(0) = 6

keys 0 start  
from index  
0

freq(1) = 4

keys 1 starts  
from index  
6

freq(2) = 2

keys 2 starts  
from index  
10

Output Sorted array: { 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 2, 2 }

**Note:** here  $k = 2$

Array  $F[] = \{6, 4, 2\}$  is the frequency array

Array  $C[] = \{0, 6, 10\}$  is the cumulative array

# Counting Sort for sorting array $A[0..n-1]$

**Input:**  $A[0..n-1]$ ,  $k$  (such that  $0 \leq A[i] \leq k$ )

**Output:**  $B[0..n-1]$  which is the sorted version of  $A[]$

*Step 1a:* build the *count array*  $F[]$  such that

$F[x]$  = frequency of  $x$  in  $A[]$

*Step 1b:* transfer  $F[]$  to the *cumulative array*  $C[]$ :

$C[x]$  = starting position of value  $x$   
in the sorted array  $B[]$ .

*Step 2:* scan  $A[]$  from left to right and copy elements  $A$  to sorted array  $B[]$ . For each  $A[i]$ :

$B[C[A[i]]++] = A[i] \iff \begin{cases} x = A[i]; \\ B[C[x]] = x; \\ C[x]++; \end{cases}$

$A[0..11] = \{2, 0, 1, 0, 3, 0, 1, 2, 1, 1, 0, 0\}$

$k = 3$

$F[]$  = count table

idx    0   1   2   3

5	4	2	1
---	---	---	---

$C[]$  = table of "next position for  $i$ "

idx    0   1   2   3

0	5	9	11
---	---	---	----

$B[] =$

--	--	--	--	--	--	--	--	--	--	--	--

$A[] = \{2, 0, 1, 0, 3, 1, 2, 1, 1, 0, 0, 0\}$

## Peer Activity: Sorting Numbers

Suppose that we have a sequence of binary numbers (either 0 or 1).

**Which sorting algorithm is best suited for sorting this sequence of numbers?**

- a. Quick sort
- b. Selection sort
- c. Insertion sort
- d. Distribution counting

# Hashing – Key Features

## Concept:

Maps keys to indices in an array (**hash table**) using a **hash function**.  
Aims for near-constant time insertion, deletion, and search.

## Strengths:

Fast **average-case** operations:  **$O(1)$**  for insert, search, delete.  
Flexible: can store integers, strings, or complex objects via suitable hash functions.

## But:

**$O(n)$**  **worst** case for insert, search, delete.

## Typical Use Cases:

Implementing dictionaries, sets, symbol tables, caches.  
Fast membership testing and lookups.

# Example of Hashing

```
// hash function
int hash(int key) {
    return key % 13;
}
```

Want to insert:

14, 30, 17, 55, 31, 29, 16

hash(14) == 1

hash(30) ==

hash(17) ==

Index	0	1	2	3	4	5	6	7	8	9	10	11
Key												

# Example of Collision

```
// hash function
int h(int key) {
    return key % 13;
}
```

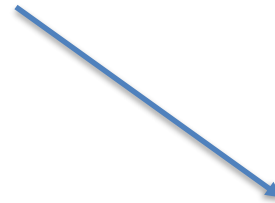
Want to insert:

14, 20, 33, 46, 31, 29, 16

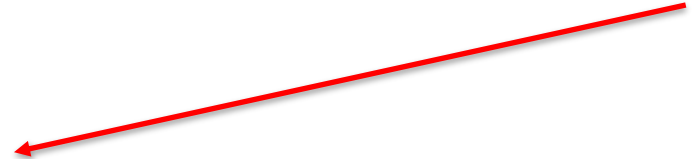
$h(14) == 1$



$h(20) == 7$



$h(13) == 7$



Index	0	1	2	3	4	5	6	7	8	9	10	11
Key		14						20				

where to put 7?



# Collision Resolution: Method 1A – Open Addressing with Linear Probing

**Open Addressing** : store all elements in the table itself; on collision, probe for next empty slot.

- **1A - Linear Probing**: check slots sequentially:  $h(k,i) = (h(k) + i) \bmod m$

```
// hash function
int h(int key) {
    return key % 13;
}
```

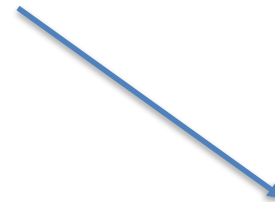
Want to insert:

14, 20, 33, 46, 31, 29, 16

$$h(14) == 1$$



$$h(20) == 7$$



$h(33) == 7$   
index 7 is busy  
found vacant  
location 8 when  $i=1$

Index	0	1	2	3	4	5	6	7	8	9	10	11
Key		14						20	33			

# Collision Resolution: Method 1A – Open Addressing with Double Hashing

- 1B- Double Hashing: use second hash for step:  
 $h(k,i) = (h_1(k) + i * h_2(k)) \bmod m$

```
// hash function
int h(int key) {
    return key % 13;
}
```

```
// 2nd hash function
int h2(int key) {
    return key % 5 + 1;
}
```

$h(14) == 1$

$h(20) == 7$

$h(33) == 7$   
index 7 is busy  
found vacant location  
 $7 + 4 = 11$  when  $i = 1$

Index	0	1	2	3	4	5	6	7	8	9	10	11
Key		14						20				33

Want to insert: 14, 20, 33, 46, 31, 29, 16

# Collision Resolution: Method 2 – Separate Chaining

**Separate Chaining** : store a linked list (or other dynamic structure) at each hash table slot; all keys that hash to the same index are inserted into that list.

```
// hash function
int h(int key) {
    return key % 13;
}
```

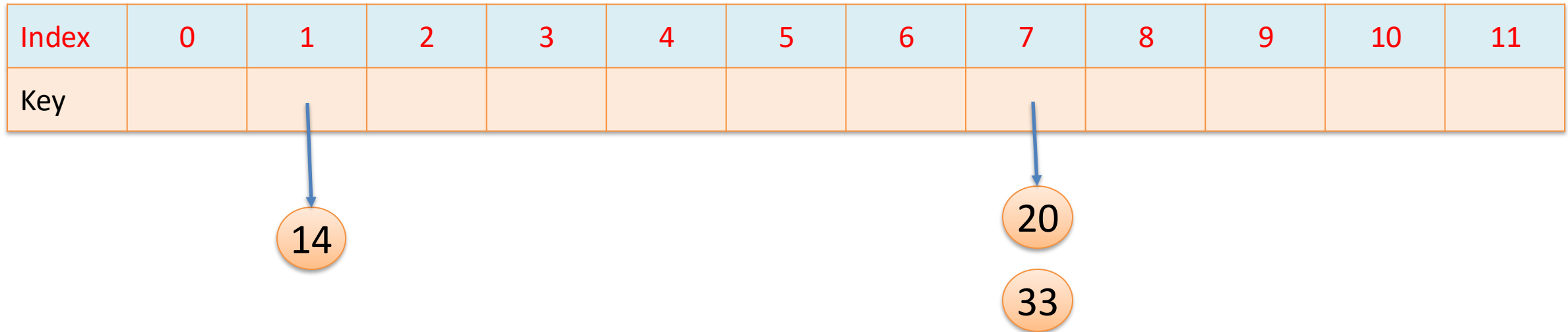
Want to insert:

14, 20, 33, 46, 31, 29, 16

$h(14) == 1$

$h(20) == 7$

$h(33) == 7$



# Peer Activity: Overfilled Hash Table

Suppose that we have a **hash table** that:

- uses linear probing/double hashing for collision resolution
- is currently full

**What should be done to insert another item into the hash table?**

- a. Give up; nothing can be inserted into an overfilled hash table.
- b. `realloc()` the key array and insert the new item into this hash table.
- c. `realloc()` the key array, rehash the existing keys, and insert the new item into this hash table.
- d. Create another identical, empty hash table and insert the new item there.

# Peer Activity: Overfilled Hash Table

What should be done to insert another item into the hash table?

- c. `realloc()` the key array, rehash the existing keys, and insert the new item into this hash table.

Why?

- o `realloc()` the key array to get more space to store keys
- o rehash existing keys to redistribute them over the enlarged key array

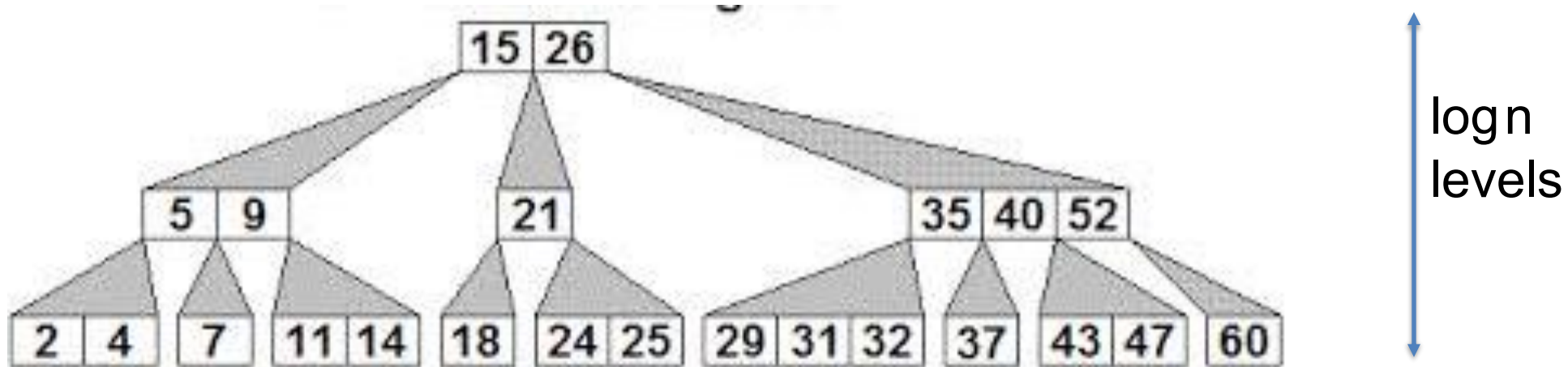
Suppose that we have a **hash table** that:

- o uses linear probing/double hashing for collision resolution
- o is currently full

## 2-3-4 Trees (B-trees of order 4)

*What?* It's a search tree, but not a binary tree!

Each node might have 1 to 3 keys, and hence 2 to 4 children.



always balanced: all leaf nodes are in the same level

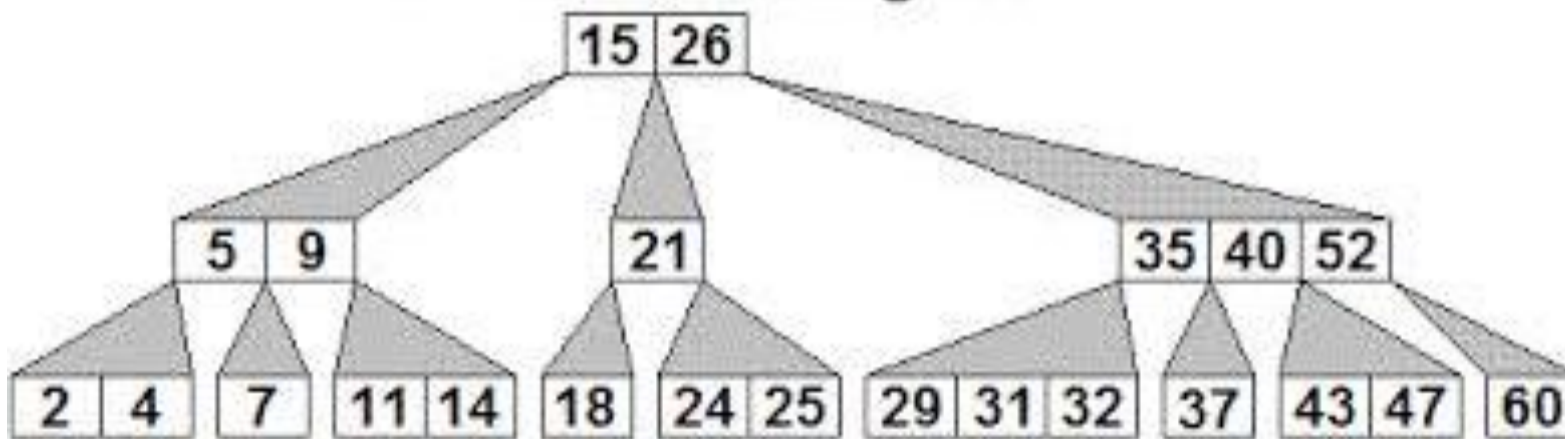
→ the height of the tree is  $O(\log n)$

→ search/insert/delete is  $O(\log n)$

## 2-3-4 Trees: Insertion

How to insert a new data **key** :

- start from root, use **key** to go down to a **leaf node**, and **insert key to that leaf node**
- if the **leaf node** has  $\leq 2$  data: insert to that node
- if the **leaf node** has 3 data: promote the median key to its parent *before insertion*
- the promoting might continue several levels upward until getting a parent with  $\leq 3$  data



insert 8 is easy: node [7] become [7,8]

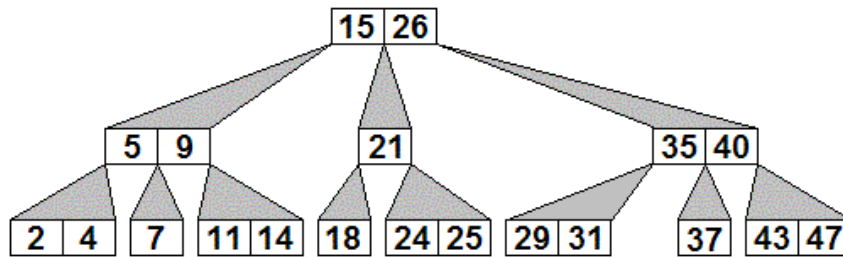
insert 30 :

- promote 31... → **promote 40**
- insert 30 to node 29 → (29,30)
- **promote 40** to the root

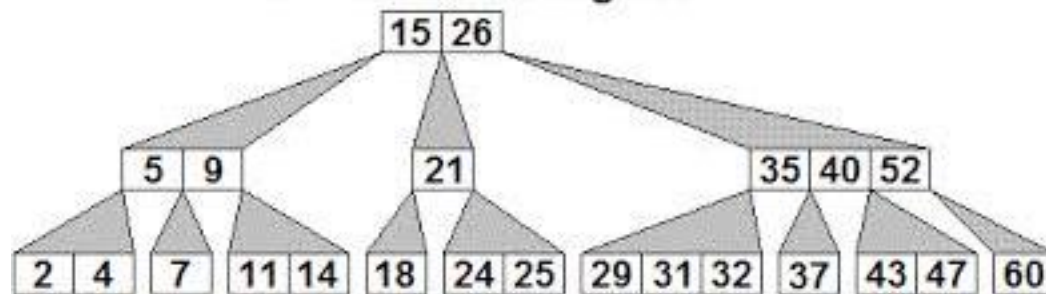
***Class example:***

Insert the following keys into an initially-empty 2-3-4 Tree.

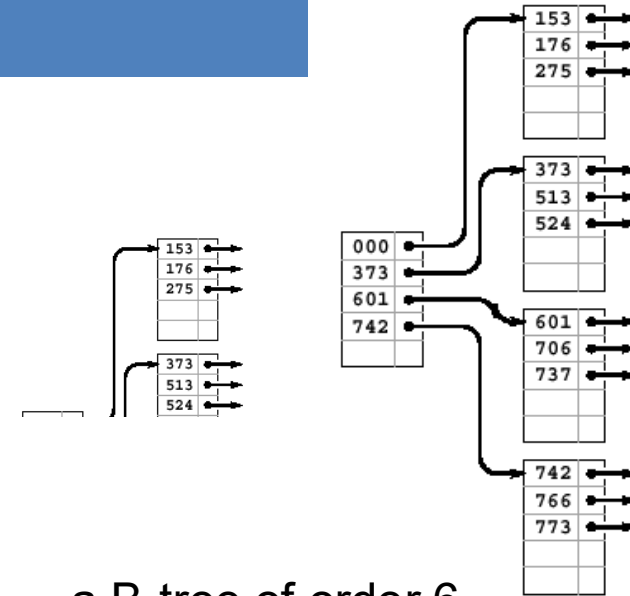
20 10 5 15 30



2-3 trees= B-trees of order 3  
(order= max number of children)



2-3-4 trees= B-trees of order 4



a B-tree of order 6

## B-tree principles

- Always insert at leaves
- When a node full: promote the median data to the node's parent [and walk up further if needed]

Image sources: ?? and <http://anh.cs.luc.edu/363/notes/06DynamicDataStructures.html>



## Practice: W6.2

- Understanding linear probing & double hashing with W6.2
- Programming with W6.3:
  - hash table framework already implemented
  - **just implement 3 functions in hashT.c**

### Programming Notes:

- functions `insertLP` and `insertDH5` are used in `insert`,
- the parameter `key` in `insertLP`, `insertDH5`, `insertDH` is actually the first mapping position, `value` is the step (ie. value of `h2(x)`)

### Tips:

- start with `insertDH`
- then, `insertLP` and `insertDH5`
- before implementing any function, read its comments in `hashT.h`

## Requirements: Code & Report

- Report: perhaps next week
- what to consider when writing the code?

## How to Start A2 Coding?

- Use any good and working version of Assignment 1 at the starting point, for example:
  - your code (which is the best!)
  - Assignment 1 solution (needs more time to digest)
- If the A1 version is good, it should be easy to add just one single module for working with Patricia trie (with 2 main functions for search and insert)
- `cp driver.c driver2.c` then change `driver2.c` to be the main file for dict2
- Add `.c` and `.h` files for the Patricia trie
- Build the trie node structure, basically following the spec



# Distribution Counting summary

Unlike other sorting algorithms, *Distribution Counting does not use key comparison.*

*Normally not applicable.* Can only be useful when

- keys can be considered as **integers in small range**
- ie. when  $\min \leq \text{keys} \leq \max$  and  $\max - \min \in O(n)$

*Time complexity, supposing  $r = \max - \min + 1$ :*

- $P(n+r)$ , or
- $P(n)$  if  $r \in O(n)$

*Special properties:*

- *not in-place*, ie. requiring additional arrays for data records
- additional memory:  $P(n+r)$ , or  $P(n)$  if  $r \in O(n)$
- the sorting is *stable*, ie. it preserves the relative order of equal keys