

# COMP20007 Workshop Week 9

## Preparation:

- have draft papers and pen ready, or ready to work on whiteboard
- be ready with Ed.Week 10 Workshop

1

Hashing: Q 10.1, 10.2

2

Huffman Coding: Questions 10.3, 10.4

3

Revision on demands:

Complexity (Question 10.5)

Solving Recurrences

LAB

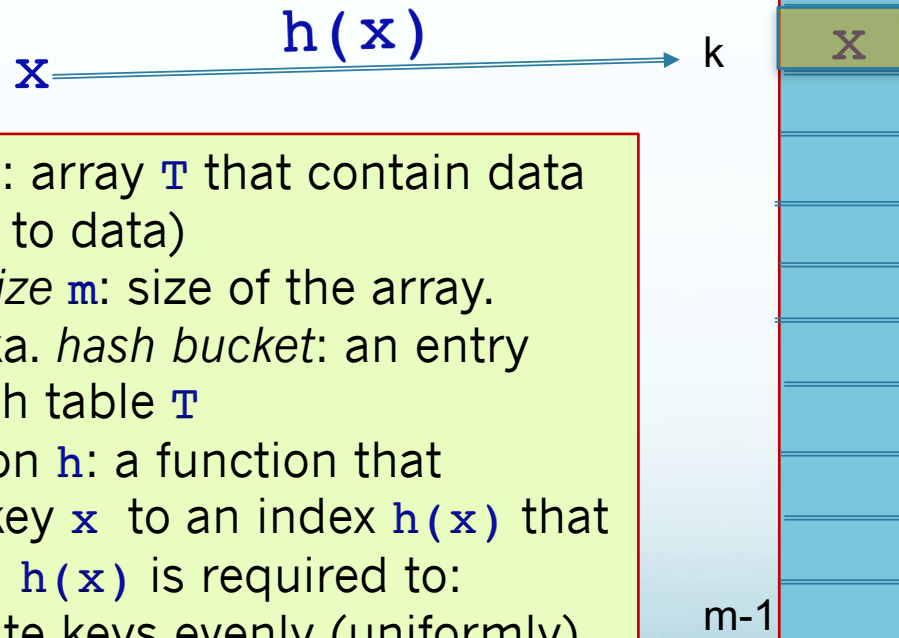
Lab: playing with hashing code

# hashing/hashtable = ?

# Hash Table: dictionary with average $\theta(1)$ search/insert/delete

- Hashing = hash table  $T$  + hash function  $h(x)$  : store key  $x$  at  $T[h(x)]$

suppose  $h(x) = k$   
for some  $x$



- hash table  $T$ : array  $T$  that contain data (or pointers to data)
- hash table size  $m$ : size of the array.
- hash slot, aka. hash bucket: an entry  $T[i]$  of hash table  $T$
- hash function  $h$ : a function that converts a key  $x$  to an index  $h(x)$  that  $0 \leq h(x) < m$ ,  $h(x)$  is required to:
  - distribute keys evenly (uniformly) along the table,
  - be efficient ( $\theta(1)$ )

Example:  
storing a list of  $\leq 100$  student records, each student has a unique student number in the range of:

1. 1..100
2. 5,001..6,000
3. 1..10,000

$h(x) = ?$ ,  $m = ?$

# Collisions

- Collision when  $h(x_1) = h(x_2)$  for some  $x_1 \neq x_2$ .
- Collisions are normally unavoidable.

# Collisions

Example:

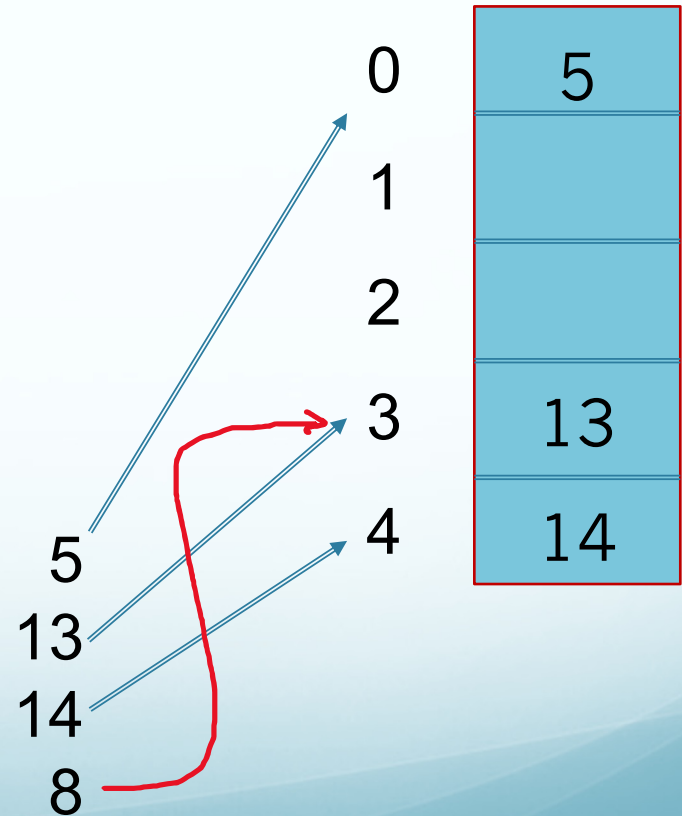
$m=5$ ,  $h(x) = x \% m$

Here:  $h(8) = h(5)$

Methods *to reduce collisions*:

- using *a prime number* for hash table size  $m$ .
- making the table size  $m$  *big*

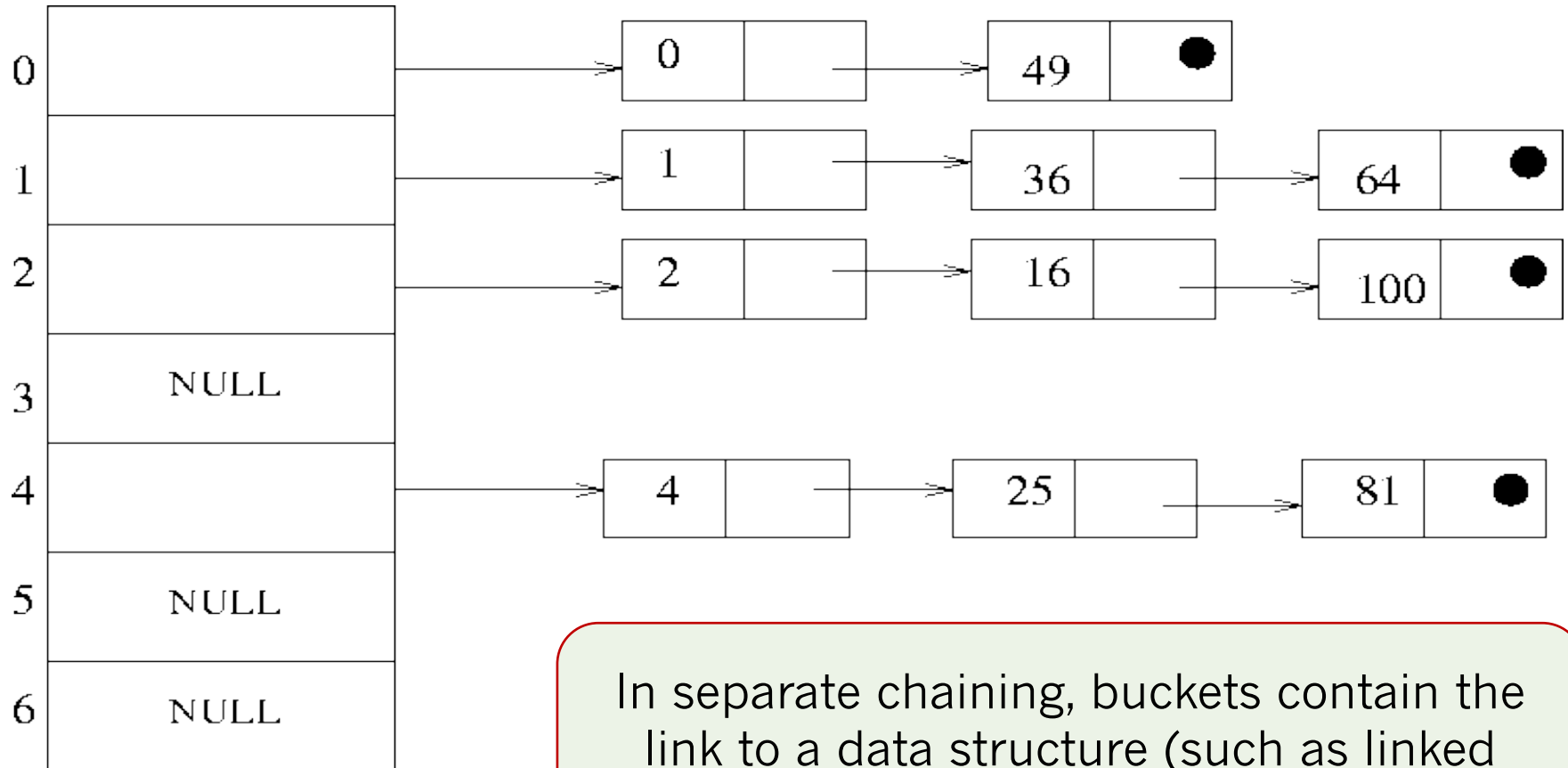
Even though, collisions might still happen



# Collision Solution 1: Separate Chaining

$h(x) = x \% 7$ , keys entered in decreasing order:

100, 81, 64, 49, 36, 25, 16, 4, 2, 1, 0



In separate chaining, buckets contain the link to a data structure (such as linked lists), not the data themselves.

## Solution 2: Linear Probing (here, data are in the buckets)

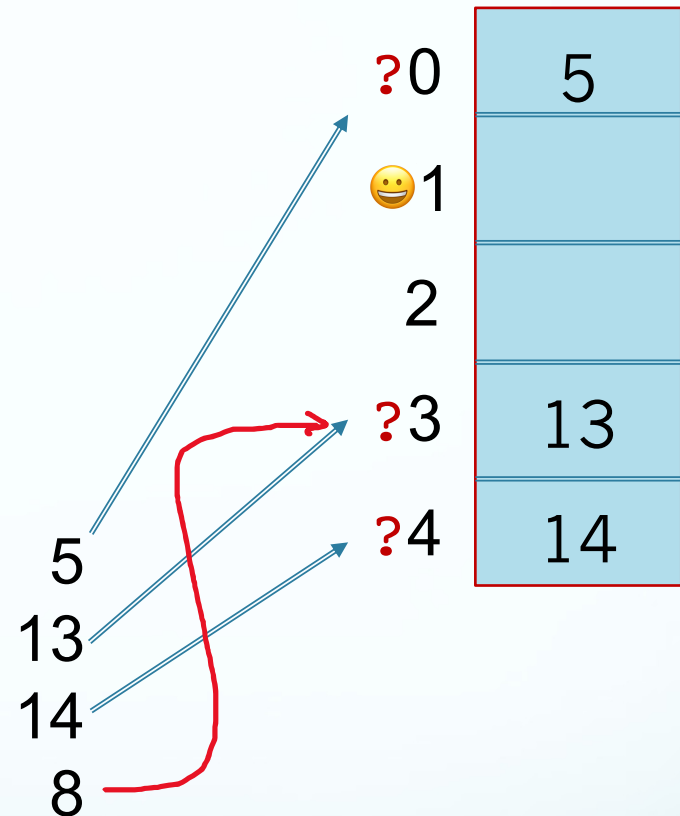
linear probing= *when colliding, find the successive empty cell.*

Example:  $m=5$ ,  $h(x) = x \bmod m$ ,

keys inserted: 5, 13, 4, 8

### Hashing with linear probing:

- When inserting we do some probes until getting a vacant slot:  
 $h(x)$  replaced by  
 $H(x, \text{probe}) = (h(x) + \text{probe}) \bmod m$   
where  $\text{probe}$  is 0, 1, 2 ...  
until reaching a vacant slot
- The same procedure for search
- Deletion is problematic! (why?)



# Double Hashing

When colliding, look forward for empty cells at distance  $h_2(x)$

Example:  $m=5$ ,  $h(x) = x \bmod m$ ,

$h_2(x) = x \bmod 3$ ,

keys inserted: 5, 13, 4, 8

## Hashing with double hashing:

similar to *linear probing*, but employ a second hash function  $h_2(x)$ :

$H(x, \text{probe}) = (h(x) + \text{probe} * h_2(x)) \bmod m$

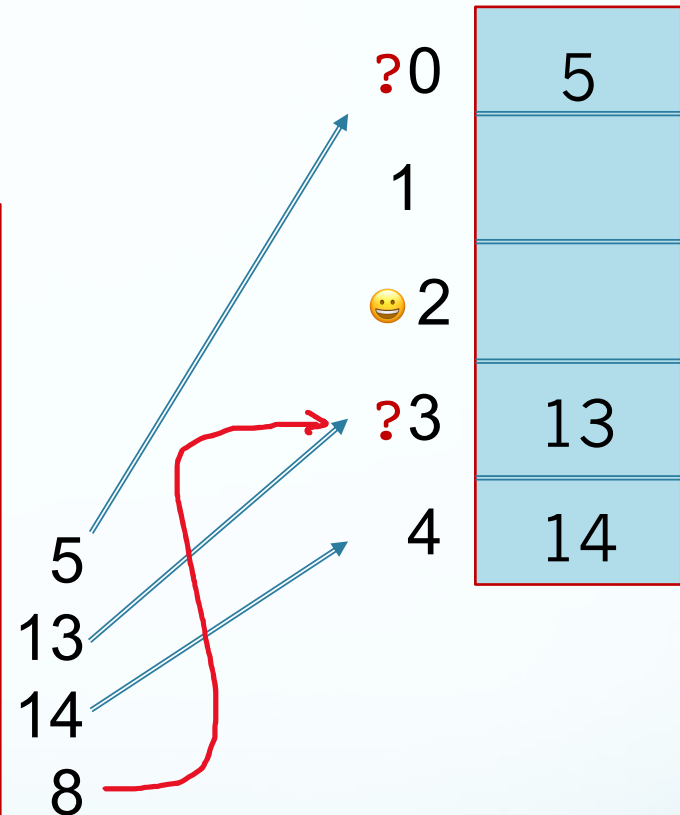
where **probe** is 0, 1, 2, ... (until reaching a vacant slot).

Note that:

- $h_2(x) \neq 0$  for all  $x$ , (why?)
- to be good,  $h_2(x)$  should be co-prime with  $m$ , (how?)

Note: *linear probing* is just a special case of *double hashing* when  $h_2(x)=1$ .

Both linear probing and double hashing are referred to as *Open Addressing methods*.





## Q 10.1, 10.2 [Group/Individual]: Separate chaining

**Q 10.1:** Consider a hash table in which the elements inserted into each slot are stored in a linked list. The table has a fixed number of slots  $L=2$ . The hash function to be used is  $h(k) = k \bmod L$ .

- a) Show the hash table after insertion of records with the keys  
17 6 11 21 12 33 5 23 1 8 9
- b) Can you think of a better data structure to use for storing the records that overflow each slot?

**Q 10.2:** Consider a hash table in which each slot can hold one record and additional records are stored elsewhere in the table using linear probing with steps of size  $i=1$ . The table has a fixed number of slots  $L=8$ . The hash function to be used is  $h(k) = k \bmod L$ .

- a) Show the hash table after insertion of records with the keys  
17 7 11 33 12 18 9
- b) Repeat using linear probing with steps of size  $i = 2$ . What problem arises, and what constraints can we place on  $i$  and  $L$  to prevent it?
- c) Can you think of a better way to find somewhere else in the table to store overflows?

## Q 10.1: Separate chaining

Consider a hash table in which the elements inserted into each slot are stored in a linked list. The table has a fixed number of slots  $L=2$ . The hash function to be used is  $h(k) = k \bmod L$ .

- a) Show the hash table after insertion of records with the keys  
17 6 11 21 12 33 5 23 1 8 9
- b) Can you think of a better data structure to use for storing the records that overflow each slot?

**Your solution & notes:**

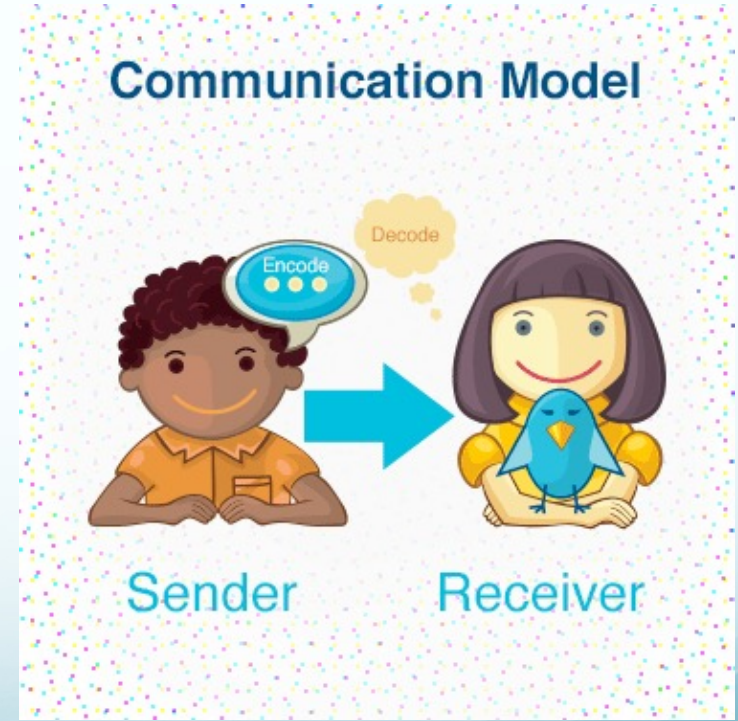
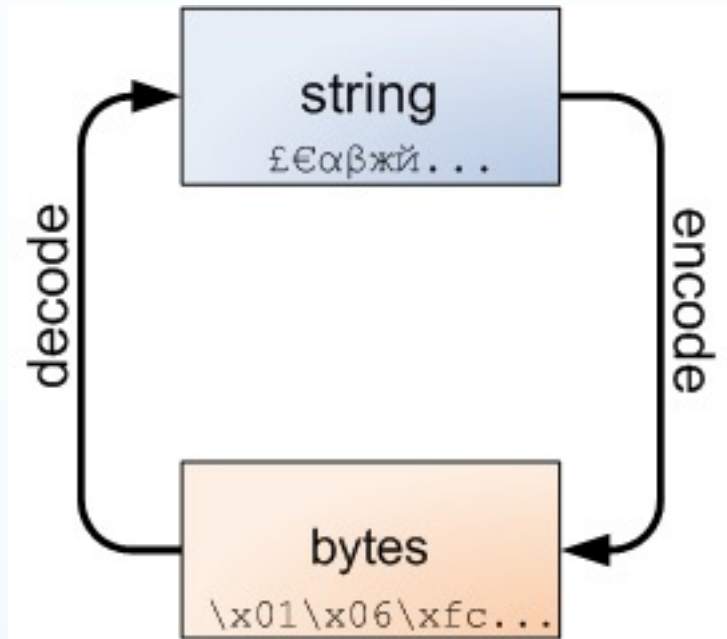
## Q 10.2: Open addressing

Consider a hash table in which each slot can hold one record and additional records are stored elsewhere in the table using linear probing with steps of size  $i=1$ . The table has a fixed number of slots  $L=8$ . The hash function to be used is  $h(k)=k \bmod L$ .

- a) Show the hash table after insertion of records with the keys  
17 7 11 33 12 18 9
- b) Repeat using linear probing with steps of size  $i = 2$ . What problem arises, and what constraints can we place on  $i$  and  $L$  to prevent it?
- c) Can you think of a better way to find somewhere else in the table to store overflows?

**Your solution & notes:**

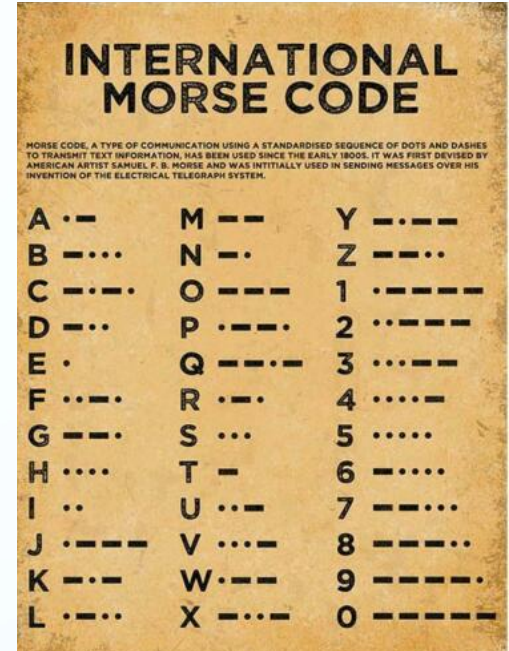
# Coding: used for storage, communication and ...



# Coding & Data Compression

ASCII Char	Hex	Bin
65 A	41	0100 0001
66 B	42	0100 0010
67 C	43	0100 0011
68 D	44	0100 0100
69 E	45	0100 0101
70 F	46	0100 0110
71 G	47	0100 0111
72 H	48	0100 1000
73 I	49	0100 1001
74 J	4A	0100 1010
75 K	4B	0100 1011
76 L	4C	0100 1100

a fixed-length code



a variable-length code

= ?



# Encoding (Compressing)

*The task:*

**Input:** a message T such as `that cat, that bat, that hat` over some alphabet

**Output:** an encoded message T' – an efficient storage of T with the guarantee that T can be reproduced from T'. For example

T' = 11100011110100010111...

ASCII code: each letter is replaced by a 8-bit codeword → 28 bytes

*Principle of Data Compression:* Use less number of bits (shorter codeword) for symbol that appears more frequently.

Input message: **that cat, that bat, that hat**

alphabet= [**a b c h t ,** ] (or perhaps all ASCII characters)

How to compress: 3 steps

1. Modeling: making assumptions about the structure of messages

**t**h**a**t **c**a**t**, **t**h**a**t **b**a**t**, **t**h**a**t **h**a**t**

(character model)

**that** **cat,** **that** **bat,** **that** **hat**

(word model)

**th**a**t** **ca**t**,** **th**a**t** **ba**t**,** **th**a**t** **ha**t

(bi-character model)

2. Statistics: find symbol distribution

3. Coding: build the *code table* and do *encoding*

Input message: **that cat, that bat, that hat**

alphabet= [**a b c h t , \_**] (or perhaps all ASCII characters)

1. Modeling: making assumptions about the structure of messages

**that cat, that bat, that hat** (character model)

2. Statistics: build table of frequencies, aka weight table. For this character model:

<b>a</b>	<b>b</b>	<b>c</b>	<b>h</b>	<b>t</b>	<b>,</b>	<b>_</b>
6/28	1/28	1/28	4/28	9/28	2/28	5/28

or just

<b>a</b>	<b>b</b>	<b>c</b>	<b>h</b>	<b>t</b>	<b>,</b>	<b>_</b>
6	1	1	4	9	2	5

3. Coding: build the *code table* and do *encoding*

<b>a</b>	<b>b</b>	<b>c</b>	<b>h</b>	<b>t</b>	<b>,</b>	<b>_</b>
01	0000	0001	100	11	001	101

→ **11100011110100010111...**



Input message: that cat, that bat, that hat

alphabet= [a b c h t , ] (or perhaps all ...)

1. Modeling: making assumptions about the st

that cat, that bat, that hat

2. Statistics: build table of frequencies,

a	b	c	h
6/28	1/28	1/28	4/28

or just

a	b	c	h
6	1	1	4

3. Coding: build the *code table* and do *encoding*

a	b	c	h	t	,	]
01	0000	0001	100	11	001	101

→ 11100011110100010111...

*this code is prefix-free:*  
no codeword is a  
prefix of another  
codeword

[so, decoding is  
possible]

# Huffman Coding = a method for building *minimum-redundancy* code (given a table of frequencies)

Build Huffman code:

- make a node for each weight
- join 2 *smallest weights* and make a parent node (of binary tree), continue until having a single root
- for each node, assign 0- and 1-bit for 2 associated edges

Example: build a Huffman code for

<b>a</b>	<b>b</b>	<b>c</b>	<b>h</b>	<b>t</b>	<b>,</b>	<b>.</b>
6	1	1	4	9	2	5

**do it!**

# Huffman Coding

Note: there are different versions of Huffman code for a same weight table (depending on dealing with ties, assigning 0- and 1-bits), all we need to do is to choose a way and keep consistency. For instance (*canonical Huffman's coding*):

- when joining 2 weights into one, always make the smaller weight be the left child (hence, need to always keeps current roots in weight ordering)
- choose a consistent way for breaking ties
- when assigning code, always set 0 to the left edge, 1 to the right edge

## Additional notes

- When sending encoded messages, the sender also need to send the weight table (or something equivalent).
- It's important that the receiver/decoder builds the code in the same way as the sender/encoder does.

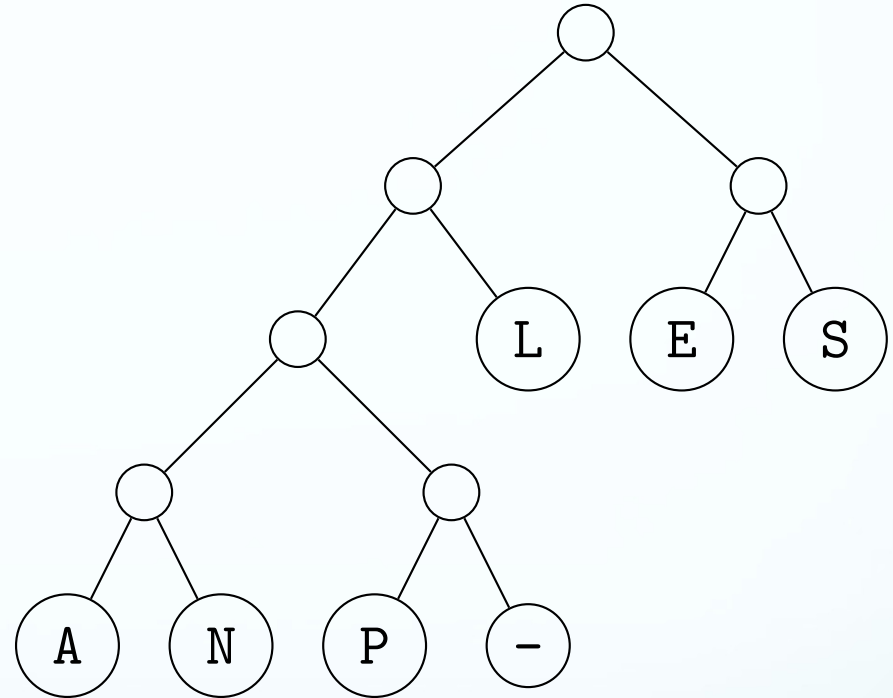
# Q 10.3&4: Huffman Code Generation

**Q 10.3:** Huffman's Algorithm generates prefix-free code trees for a given set of symbol frequencies. Using these algorithms generate two code trees based on the frequencies in the following message:

**losslesscodes**

What is the total length of the compressed message using the Huffman code?

Problem 4: the code tree



**Q 10.4: Decode:**

**00100110000011100011011011110011110110100010011011110001101111**

## Q 10.3: Huffman Code Generation

Huffman's Algorithm generates prefix-free code trees for a given set of symbol frequencies. Using these algorithms generate two code trees based on the frequencies in the following message:

`losslesscodes`

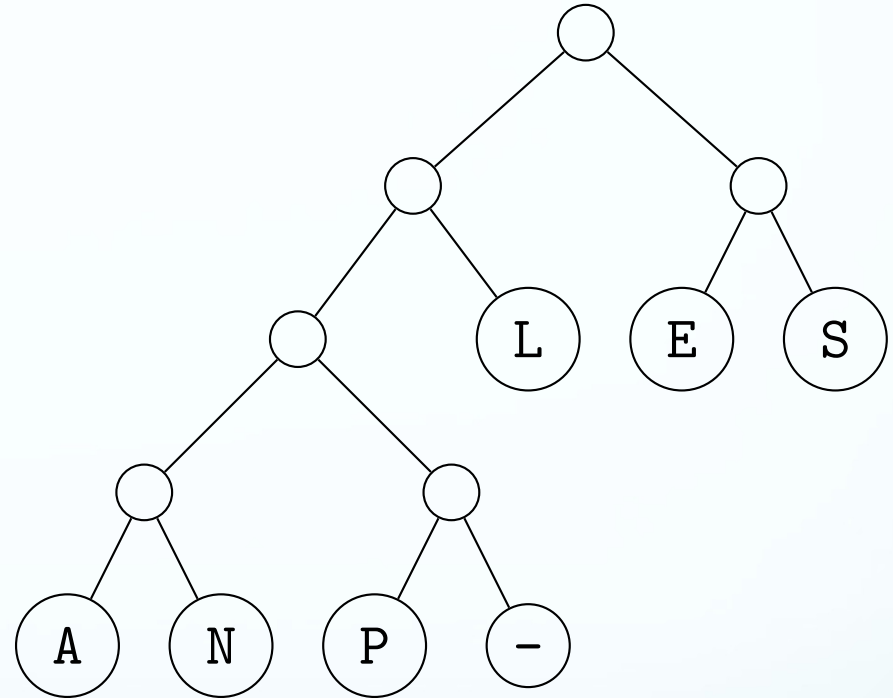
What is the total length of the compressed message using the Huffman code?

**Your solution:**

## Q 10.4: Canonical Huffman decoding

The code tree was generated using Huffman's algorithm, and converted into a Canonical Huffman code tree. Note: \_ denotes space.

Assign codewords to the symbols in the tree, such that left branches are denoted 0 and right branches are denoted 1. Use the resulting code to decompress the following message:



00100110000011100011011011110011110110100010011011110001101111

Your soln:

# Revision 1: Complexity Analysis – Lec W2, Workshop W3; W4– recurrences,

$$1 < \log n < n^\epsilon < n^c < n^{\log n} < c^n < n^n \quad \text{where } 0 < \epsilon < 1 < c$$

$$\begin{aligned} O(f(n) + g(n)) &= O(\max\{f(n), g(n)\}) \\ O(cf(n)) &= O(f(n)) \\ O(f(n) \times g(n)) &= O(f(n)) \times O(g(n)) \end{aligned} \quad \begin{array}{l} \text{note: these 3 also applied} \\ \text{to big-}\theta \end{array}$$

$$\begin{aligned} 1+2+\dots+n &= n(n+1)/2 &= \theta(n^2) \\ 1^2+2^2+\dots+n^2 &= n(n+1)(2n+1)/6 &= \theta(n^3) \\ 1+x+x^2+\dots+x^n &= (x^{n+1}-1)/(x-1) \quad (x \neq 1) \end{aligned}$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \begin{cases} 0 & f(n) = O(g(n)) \\ c & f(n) = \theta(g(n)) \\ \infty & f(n) = \Omega(g(n)) \end{cases}$$

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{t'(n)}{g'(n)}$$



## Revision exercises: Q 10.5+

**Q 10.5:** For each of the following cases, indicate whether  $f(n)$  is  $O(g(n))$ , or  $\Omega(g(n))$ , or both (that is,  $\Theta(g(n))$ )

(a)  $f(n) = (n^3 + 1)^6$  and  $g(n) = (n^6 + 1)^3$ ,

(b)  $f(n) = 3^{3n}$  and  $g(n) = 3^{2n}$ ,

(c)  $f(n) = \sqrt{n}$  and  $g(n) = 10n^{0.4}$ ,

(d)  $f(n) = 2 \log_2 \{(n + 50)^5\}$  and  $g(n) = (\log_e(n))^3$ ,

(e)  $f(n) = (n^2 + 3)!$  and  $g(n) = (2n + 3)!$ ,

(f)  $f(n) = \sqrt{n^5}$  and  $g(n) = n^3 + 20n^2$ .

**Q 10.5+:** Solve the following recurrence relations. Give both a **closed form expression** in terms of  $n$  and a **Big-Theta bound**.

a)  $T(n) = T(n/2) + 1, T(1) = 1$

b)  $T(n) = T(n-1) + n/5, T(0) = 0$

**Other exercises:** review complexity exercises for Workshops Week 3, 4, 7



# R1 exercises: Q10.5

For each of the following cases, indicate whether  $f(n)$  is  $O(g(n))$ , or  $\Omega(g(n))$ , or both (that is,  $\Theta(g(n))$ )

- (a)  $f(n) = (n^3 + 1)^6$  and  $g(n) = (n^6 + 1)^3$ ,
- (b)  $f(n) = 3^{3n}$  and  $g(n) = 3^{2n}$ ,
- (c)  $f(n) = \sqrt{n}$  and  $g(n) = 10n^{0.4}$ ,
- (d)  $f(n) = 2 \log_2\{(n + 50)^5\}$  and  $g(n) = (\log_e(n))^3$ ,
- (e)  $f(n) = (n^2 + 3)!$  and  $g(n) = (2n + 3)!$ ,
- (f)  $f(n) = \sqrt{n^5}$  and  $g(n) = n^3 + 20n^2$ .

Your solution/notes:

- a)
- b)
- c)
- d)
- e)

# R1 exercises: Q10.5+

**Q 10.5+:** Solve the following recurrence relations. Give both a **closed form expression** in terms of  $n$  and a **Big-Theta bound**.

- a)  $T(n) = T(n/2) + 1, T(1) = 1$   
b)  $T(n) = T(n-1) + n/5,$   
 $T(0) = 0$

Notes:

- Apply the Master Theorem ([Workshop w7](#)) if possible (ie. if having  $a$ ,  $b$ , and  $\theta(n^d)$  or  $O(n^d)$ , and if the question just asks about big- $O$ /big- $\theta$ )
- Otherwise, using substitution to expand until  $T(1)$  or  $T(0)$

Your solution/notes:

- a)  
b)

## Lab

“play” with the hashing code by following the instructions in Ed.  
and/or continue with reviewing.