# COMP20007 Workshop Week 9

### **Preparation:**

- have draft papers and pen ready, or ready to work on whiteboard
- open wokshop10.pdf (from LMS), and
- download lab files from LMS

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LAB

Hashing: Problems T1, T2

Huffman Coding: Problems T3, T4

Revision on demands:

Complexity (problem T5)

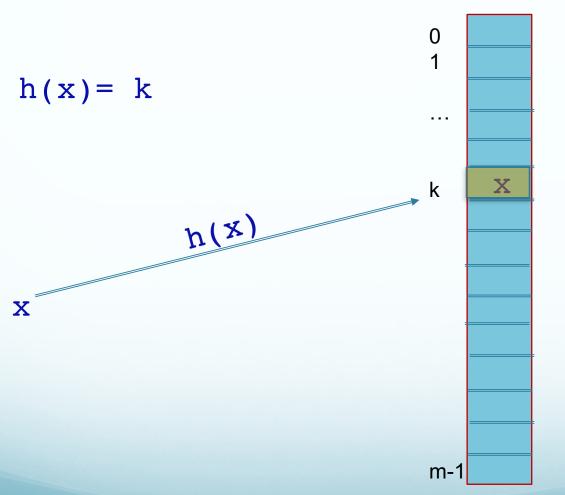
Solving Recurrences

and others

Lab: playing with hashing code

## Hashing: dictionary with O(1) search/insert

Hashing= hash table T[m] + hash functions h(x): store key
 x in T, at position h(x)



Example: storing a list of 800 student records, each student has a unique student number in the range of:

1. 1..999

2. 7001..7999

3. 100..200000

h(x) = ?, m = ?

## **Collisions**

- h(x1) = h(x2) for some  $x1 \neq x2$ .
- Collisions are normally unavoidable.
- Example with student numbers: m = 997 (a prime number >800), x1 = 998, x2 = 9971

## **Collisions**

### Example:

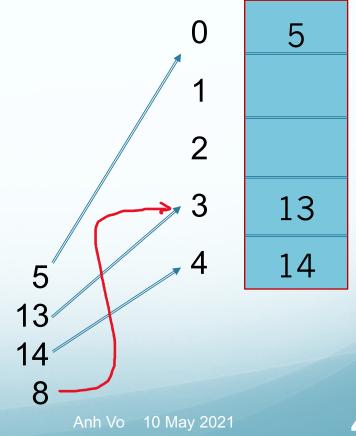
m=5, h(x) = x% m

Here: h(8) = h(5)

One method to reduce collisions using a prime number for hash table size m.

Another method is to make the table size m *big* enough (but that affects space efficiency).

Even though, collisions might still happen



# **Collision Solution 1: Separate Chaining**

h(x) = x % 7, keys entered in decreasing order: 100, 81, 64, 49, 36, 25, 16, 4,2,1,0 0 49 0 36 64 16 100 NULL 4 25 81 4 NULL In separate chaining, buckets contain the link to a data structure NULL (such as linked lists), not the data themselves.

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### Solution 2: Linear Probing (here, data are in buckets)

When inserting we do some probes until getting a vacant slot. H(x,probe) can be summarized as:

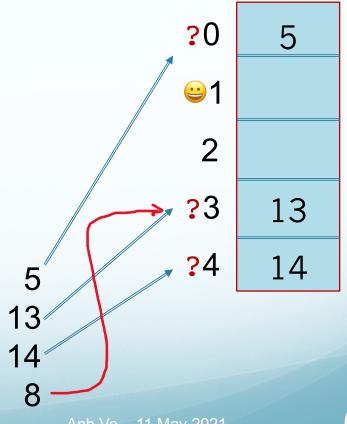
```
h(x) \rightarrow H(x, probe) =
       (h(x) + probe) \mod m
```

where m probe is 0, 1, 2 ... (until reaching a vacant slot).

Example: m=5,  $h(x) = x \mod m$ ,

#### Notes:

- The same procedure for search
- Deletion is problematic!



### **Double hashing**

Double hashing is similar to linear probing, but employ a second hash function h2(x):

```
H(x, probe) = (h(x) + probe*h2(x)) \mod m
```

where probe is 0, 1, 2, ... (until reaching a vacant slot). Note that:

- $h2(x) \neq 0$  for all x, (why?)
- to be good, h2(x) should be co-prime with m, (how?)
- linear probing is just a special case of double hashing when h2(x)=1.

### Problem 1&2 [Group/Individual]: Separate chaining

**Problem 1:** Consider a hash table in which the elements inserted into each slot are stored in a linked list. The table has a fixed number of slots L=2. The hash function to be used is  $h(k)=k \mod L$ .

- a) Show the hash table after insertion of records with the keys 17 6 11 21 12 33 5 23 1 8 9
- b) Can you think of a better data structure to use for storing the records that overflow each slot?

**Problem 2:** Consider a hash table in which each slot can hold one record and additional records are stored elsewhere in the table using linear probing with steps of size i=1. The table has a fixed number of slots L=8. The hash function to be used is  $h(k)=k \mod L$ .

- a) Show the hash table after insertion of records with the keys 17 7 11 33 12 18 9
- b) Repeat using linear probing with steps of size i = 2. What problem arises, and what constraints can we place on i and L to prevent it?
- Can you think of a better way to find somewhere else in the table to store overflows?

### Problem 1 [Group/Individual]: Separate chaining

Consider a hash table in which the elements inserted into each slot are stored in a linked list. The table has a fixed number of slots L=2. The hash function to be used is  $h(k)=k \mod L$ .

- a) Show the hash table after insertion of records with the keys 17 6 11 21 12 33 5 23 1 8 9
- b) Can you think of a better data structure to use for storing the records that overflow each slot?

### Problem 2[Group/Individual]: Open addressing

Consider a hash table in which each slot can hold one record and additional records are stored elsewhere in the table using linear probing with steps of size i=1. The table has a fixed number of slots L=8. The hash function to be used is  $h(k)=k \mod L$ .

- a) Show the hash table after insertion of records with the keys 17 7 11 33 12 18 9
- b) Repeat using linear probing with steps of size i = 2. What problem arises, and what constraints can we place on i and L to prevent it?
- c) Can you think of a better way to find somewhere else in the table to store overflows?

### **Huffman's Coding & Data Compression**

#### The task:

Input: a message T such as that cat, that bat, that hat over some alphabet

Output: an encoded message T' – an efficient storage of T with the guarantee that T can be reproduced from T'. For example

T'= 11100011110100010111...

Principle: Use less number of bits (shorter codeword) for symbol that appears more frequently.

```
Input message: that cat, that bat, that hat alphabet= [a b c h t , ] (or perhaps all ASCII characters)
```

#### How to compress:

1. Modeling: making assumptions about the structure of messages

```
that cat, that bat, that hat (character model)

that cat, that bat, that hat (word model)

that cat, that bat, that hat (bi-character model)
```

2. Statistics: find symbol distribution

3. Coding: build the code table and do encoding

Input message: that cat, that bat, that hat alphabet= [a b c h t , ] (or perhaps all ASCII characters)

1. Modeling: making assumptions about the structure of messages

that cat, that bat, that hat (character model)

2. Statistics: build table of frequencies, aka weight table. For the character model:

a	b	C	<mark>h</mark>	t	,	
6/28	1/28	1/28	4/28	9/28	2/28	5/28

or just

a	b	C	<mark>h</mark>	t t	<u>,                                    </u>	
6	1	1	4	9	2	5

3. Coding: build the code table and do encoding

a	b	C	<mark>h</mark>	t	<u>,                                    </u>	
01	0000	0001	100	11	001	101

 $\rightarrow$  11100011110100010111...

### **Huffman Coding = a method for building code**

#### **Build Huffman code:**

- make a node for each weight
- join 2 smallest weights and make a parent node (of binary tree),
   continue until having a single root
- for each node, assign 0- and 1-bit for 2 associated edges

### Example:

a	b	C	h	t	,	
6	1	1	4	9	2	5

# **Huffman Coding**

Note: there are different versions of Huffman code for a same weight table (depending on dealing with ties, assigning 0- and 1-bits), all we need to do is to choose a way and keep consistency. For instance (canonical Huffman's coding):

- when joining 2 weights into one, always make the smaller weight be the left child (hence, need to always keeps current roots in weight ordering)
- choose a consistent way for breaking ties
- when assigning code, always set 0 to the left edge, 1 to the right edge

#### **Additional notes**

- When sending encoded messages, the sender also need to send the weight table (or something equivalent).
- It's important that the receiver/decoder builds the code in the same way as the sender/coder does.

## **Problem 3: Huffman Code Generation**

Huffman's Algorithm generates prefix-free code trees for a given set of symbol frequencies. Using these algorithms generate two code trees based on the frequencies in the following message:

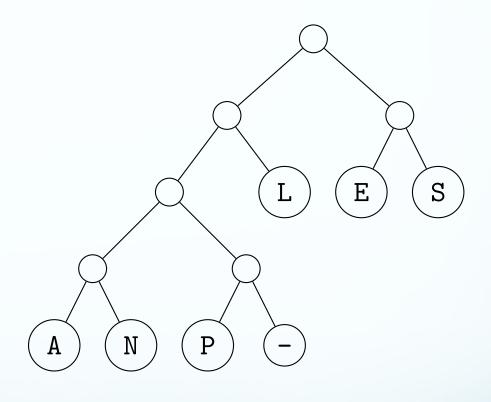
#### losslesscodes

What is the total length of the compressed message using the Huffman code?

# **Problem 4: Canonical Huffman decoding**

The code tree was generated using Huffman's algorithm, and converted into a Canonical Huffman code tree. Note: \_ denotes space.

Assign codewords to the symbols in the tree, such that left branches are denoted 0 and right branches are denoted 1.
Use the resulting code to decompress the following message:



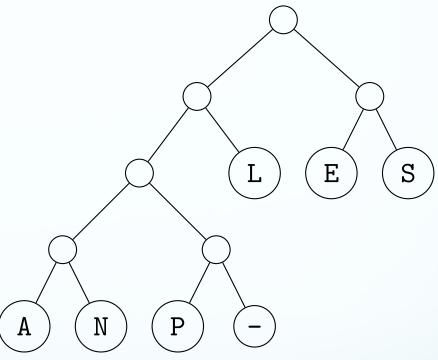
## **Problem 3&4: Huffman Code Generation**

Problem 3: Huffman's Algorithm generates prefix-free code trees for a given set of symbol frequencies. Using these algorithms generate two code trees based on the frequencies in the following message:

losslesscodes

What is the total length of the compressed message using the Huffman code?

Problem 4: the code tree



Problem 4: Decode:

## Revision 1: Complexity Analysis - 03.pdf & 04.pdf

$$1 < log \, n < n^{\epsilon} < n^{c} < n^{log \, n} < c^{n} < n^{n}$$
 where  $0 < \textbf{\textit{x}} < 1 < c$ 

$$O(f(n) + g(n)) = O(\max\{f(n), g(n)\})$$
 note: these 3 also applied  $O(c f(n)) = O(f(n))$  to big- $\theta$   $O(f(n) \times g(n)) = O(f(n)) \times O(g(n))$ 

$$1+2+...+n$$
 =  $n(n+1)/2$  =  $\Theta(n^2)$   
 $1^2+2^2+...+n^2$  =  $n(n+1)(2n+1)/6$  =  $\Theta(n^3)$   
 $1+x+x^2+...+x^n=(x^{n+1}-1)/(x-1)$  (x≠1)

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \begin{cases} 0 & f(n) = O(g(n)) \\ c & f(n) = \Theta(g(n)) \\ \infty & f(n) = \Omega(g(n)) \end{cases}$$

$$\lim_{n\to\infty}\frac{t(n)}{g(n)}=\lim_{n\to\infty}\frac{t'(n)}{g'(n)}$$

### **Revision exercises: Problem 5**

For each of the following cases, indicate whether f(n) is O(g(n)), or  $\Omega(g(n))$ , or both (that is,  $\Theta(g(n))$ )

(a) 
$$f(n) = (n^3 + 1)^6$$
 and  $g(n) = (n^6 + 1)^3$ ,

(b) 
$$f(n) = 3^{3n}$$
 and  $g(n) = 3^{2n}$ ,

(c) 
$$f(n) = \sqrt{n}$$
 and  $g(n) = 10n^{0.4}$ ,

(d) 
$$f(n) = 2\log_2\{(n+50)^5\}$$
 and  $g(n) = (\log_e(n))^3$ ,

(e) 
$$f(n) = (n^2 + 3)!$$
 and  $g(n) = (2n + 3)!$ ,

(f) 
$$f(n) = \sqrt{n^5}$$
 and  $g(n) = n^3 + 20n^2$ .

Other exercises: review exercises and solution for Workshop Week 3,

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### Lab

Download lab\_files.zip, unzip it, and "play" with the hashing code by following the instructions in the workshop10.pdf sheet.

and/or continue with reviewing.