

# COMP20007 Workshop Week 6

1 **Topic 1: More on DFS and Topological Sort, Q 6.1**

2 **Topic 2: the Prim's Algorithm for MST, a quick exercise**

3 **Topic 3: Binary Trees & BST**

***Group/Individual Exercises:*** Q 6.2, 6.4, 6.3

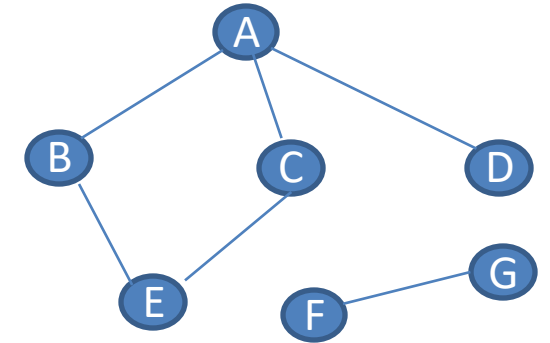
**Lab:**

- Review for MST and ask questions, or/and
- Implement BST

# DFS revisited: stack mechanism of recursion, time complexity

```
function DFS( $G=(V,E)$ )  
  for each  $v$  in  $V$  do  
    mark  $v$  with 0  
  for each  $v$  in  $V$  do  
    if  $v$  is marked with 0 then  
      DfsExplore( $v$ )
```

```
function DfsExplore( $v$ )  
  // implicit push( $v$ ) at the start  
  // start visiting  $v$   
  mark  $v$  with 1  
  for each edge  $(v,w)$  in  $E$  do  
    if  $w$  is marked with 0 then  
      DfsExplore( $w$ )  
  // end visiting  $v$   
  // implicit pop out  $v$  at the end
```



## ***Work out Time Complexity***

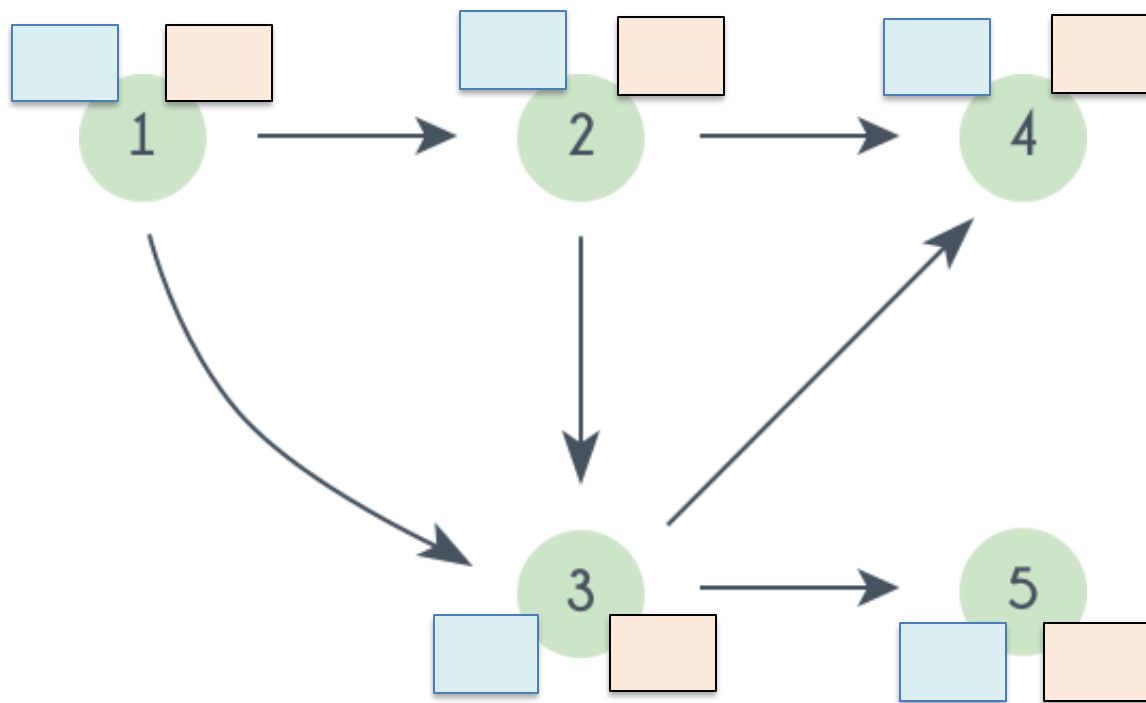
- for adjacency lists
- for adjacency matrix

# DFS exercise: push- and pop-order (aka. pre- and post-order)

**Problem:** For the graph below, write the push and pop order for DFS, starting from node 1

**Method 1:** Fill in the timestamp in blue boxes for push-orders, pink boxes for pop-orders.

**Method 2:** Show the stack content and operations, then collect the push and pop order.



The PUSH and POP operations, using {a, b, c} to denote a stack of 3 elements where c is at the top of the stack.

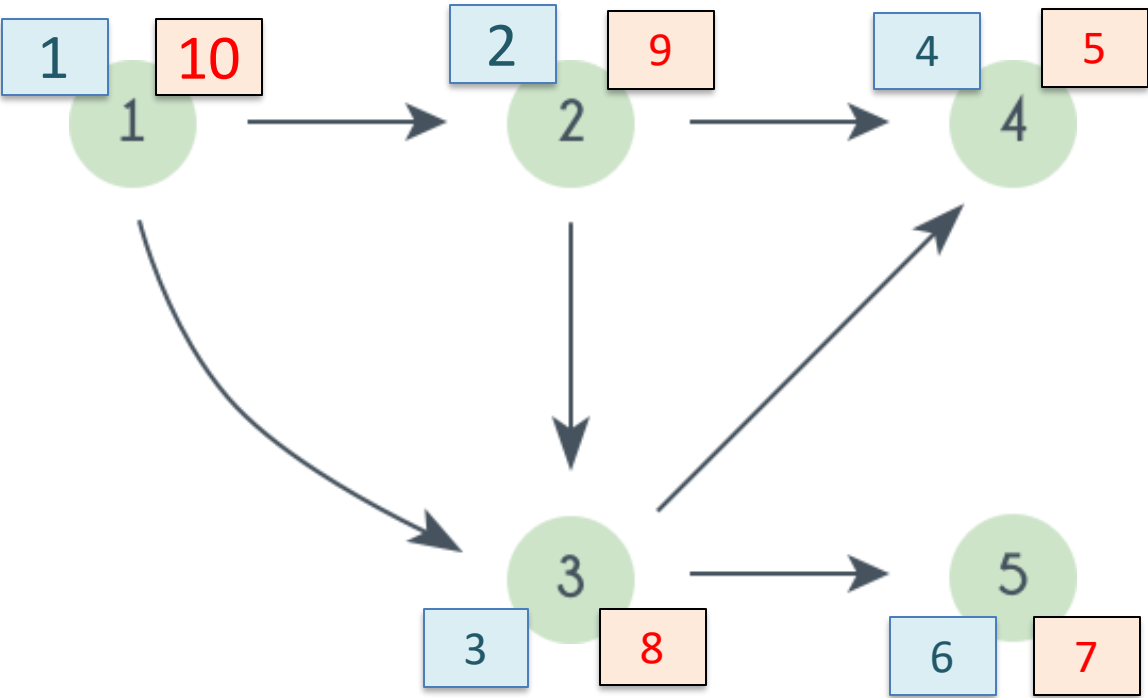
ops	stack content
init	{}
push(1)	{1}
...	

# Check soln DFS exercise: push- and pop-order (pre- and post-order)

**Problem:** For the graph below, write the push and pop order for DFS, starting from node 1

**Method 1:** Fill in the timestamp in yellow boxes for push-orders, pink boxes for pop-orders.

**Method 2:** Show the stack content and operations. Then collect the push and pop order.



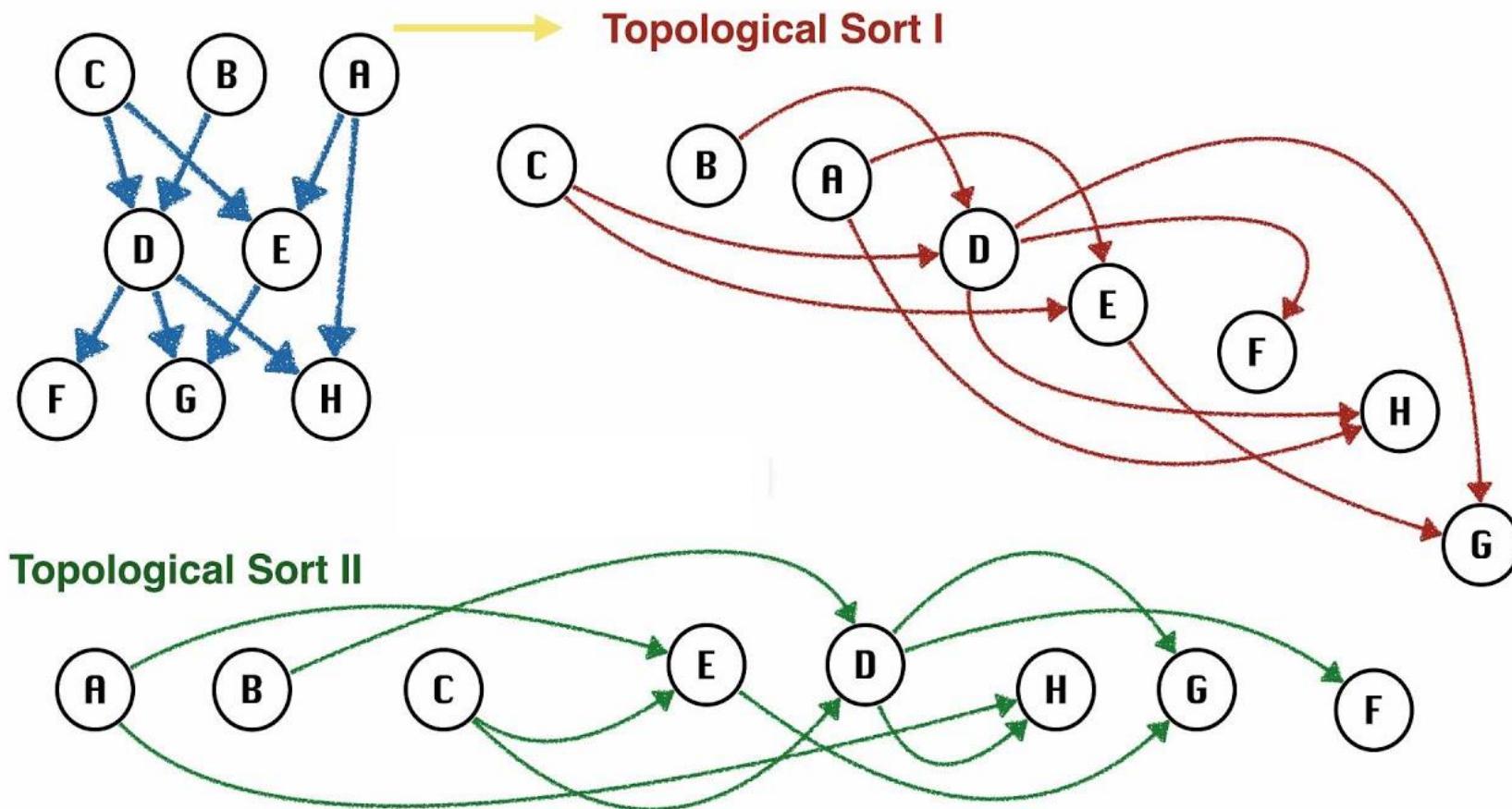
**ops**    **stack content**

```
init    {}
push(1) {1}
push(2) {1,2}
push(3) {1,2,3}
push(4) {1,2,3,4}
pop 4   {1,2,3}
push(5) {1,2,3,5}
pop 5   {1,2,3}
pop 3   {1,2}
pop 2   {1}
pop 1   {}
```

push order: 1,2,3,4,5  
pop order: 4,5,3,2,1

# Topological Sorting (for DAG only!)

A *topological ordering*: sorting the nodes of the graph such that all edges point in one direction, to nodes later in the ordering.

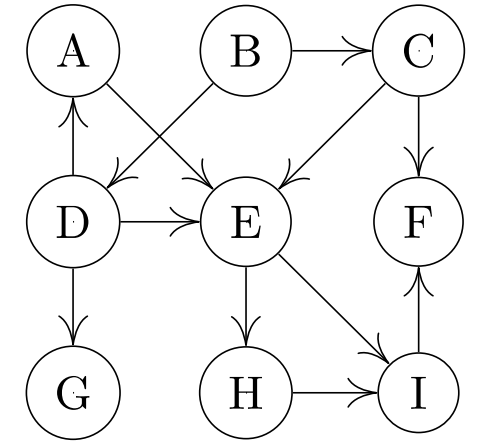


# Group Work: Q 6.1

**Q6.1:** One of the algorithms discussed in lectures involves running a DFS on the DAG and keeping track of the order in which the vertices are popped from the stack. The topological ordering will be the reverse of this pop order.

➔ Finding a topological order for the graph by running a DFS.

operation	content	operation	content
init stack	{}		
push(A)	{A}		
...			



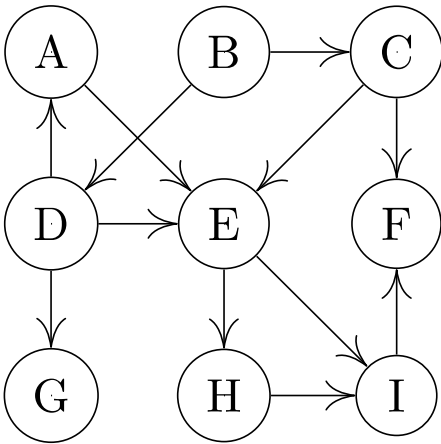
**Notes:** This algorithm for topological sorting is correct for the recursive implementation of DFS. Some variants of stack implementation of DFS might result in a different pop order (unlikely usable for topological sort)

The topological order resulted from the above DFS run =  
???

# Check Soln: Q6.1

**T1:** Finding a topological order for the graph by running a DFS.

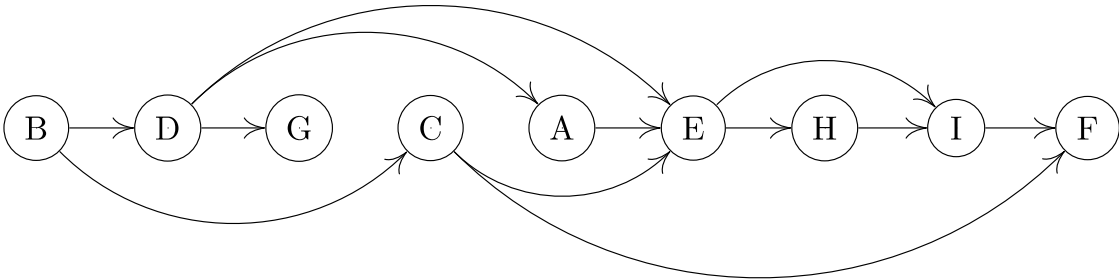
operation	stack_content	operation	stack_content
init stack	{}	push (B)	{B}
push (A)	{A}	push (C)	{B,C}
push (E)	{A,E}	pop C	{B}
push (H)	{A,E,H}	push (D)	{B,D}
push (I)	{A,E,H,I}	push (G)	{B,D,G}
push (F)	{A,E,H,I,F}	pop G	{B,D}
pop F	{A,E,H,I}	pop D	{B}
pop I	{A,E,H}	pop B	{}
pop H	{A,E}		
pop E	{A}		
pop A	{}		



The topological order resulted from the above DFS run (= reversed pop order): B D G C A E H I F , you can check by re-draw the graph in the above linear order.

Notes:

- Alternative to showing stack content, we can run DFS manually and mark post order with time stamp

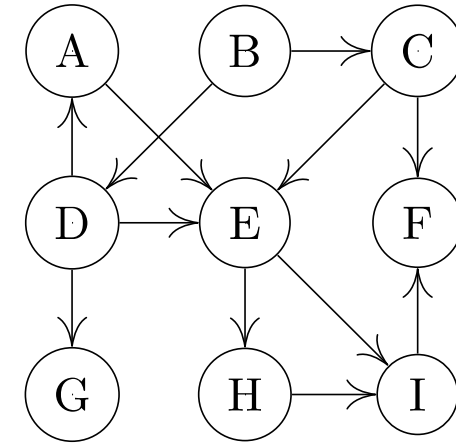


## Q 6.1: Discuss other methods for toposort?

Additional Concept for di-graph nodes:

- a *source node* is a node that have no incoming edge,
- a *sink node* is a node that have no outgoing edge.

***Describe Alternative method(s) for Finding a topological order:***



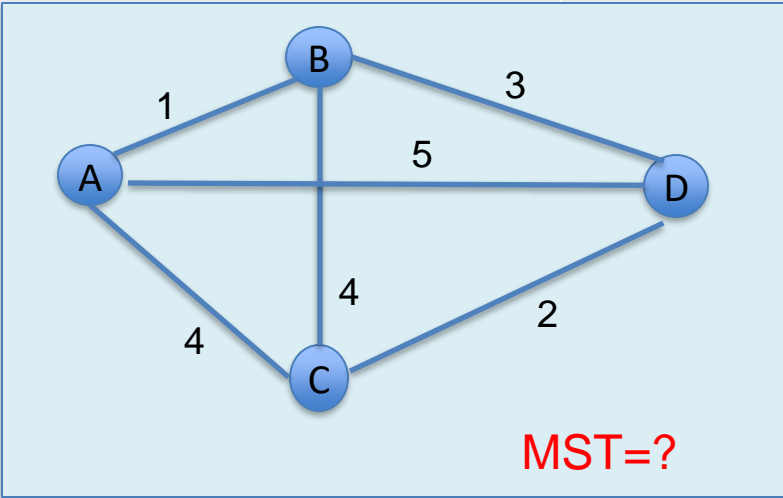


# Prim's Algorithm vs Dijkstra's Algorithm. Discuss concepts

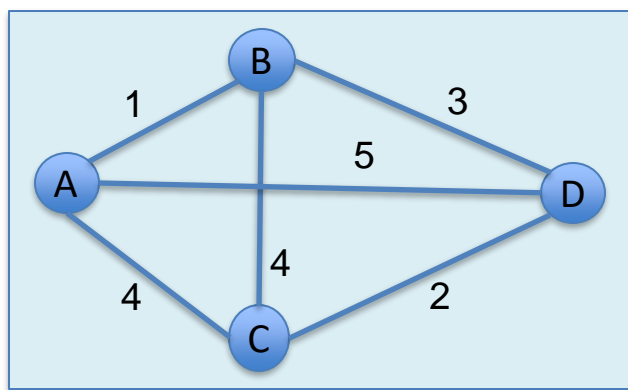
	Prim's	Dijkstra's
<i>Aim</i>	find a MST	find SSSP from a vertex s
<i>Applied to</i>	connected weighted graphs with weights $\geq 0$	weighted graphs with weights $\geq 0$
<i>Works on directed graphs?</i>	?	
<i>Works on unweighted graph?</i>	?	

## Related concepts for Prim's

- spanning trees = ?
- MST = ?
- is MST unique?



Dijkstra( $G=(V,E),S$ )	versus	Prim( $G=(V,E)$ )
Task: Find SSSP from $S$ (that involves all nodes of a <i>connected</i> graph)		Task: MST (that involves all nodes of a <i>connected</i> graph)
for each $v \in V$ do $\text{cost}[v] := \infty$ $\text{prev}[v] := \text{nil}$  $\text{cost}[S] = 0$ $\text{PQ} := \text{create\_priority\_queue}(V, \text{cost})$ with $\text{cost}[v]$ as priority of $v \in V$		for each $v \in V$ do $\text{cost}[v] := \infty$ $\text{prev}[v] := \text{nil}$ <b>pick initial <math>S</math></b> $\text{cost}[S] := 0$ $\text{PQ} := \text{create\_priority\_queue}(V, \text{cost})$ with $\text{cost}[v]$ as priority of $v \in V$
while (PQ is not empty) do $u := \text{ejectMin}(\text{PQ})$		while (PQ is not empty) do $u := \text{ejectMin}(\text{PQ})$
for each neighbour $v$ of $u$ do  if <b><math>\text{cost}[u] + w(u,v)</math></b> < $\text{cost}[v]$ then $\text{cost}[v] := \text{cost}[u] + w(u,v)$ update ( $v, \text{cost}[v]$ ) in PQ $\text{prev}[v] := u$		for each neighbour $v$ of $u$ do  if <b><math>w(u,v)</math></b> < $\text{cost}[v]$ then $\text{cost}[v] := w(u,v)$ update ( $v, \text{cost}[v]$ ) in PQ $\text{prev}[v] := u$
Aim to minimise the distance from $S$ to any node, that's why <b><math>\text{cost}[u] + w(u,v)</math></b> is considered		Aim to minimise the contribution of individual edge weight to the MST, that's why <b>only <math>w(u,v)</math></b> is considered



## Running Prim's Algorithm to find a MST

At each step, we add a node to MST.  
 We choose the node with **minimal edge cost**.  
 On paper: We start with A according to the alphabetical order.

step	node added to MST	A	B	C	D
0		0,nil	$\infty$ ,nil	$\infty$ ,nil	$\infty$ ,nil
1					
2					
3					
4					

Still unsure how to trace the Dijkstra and Prim Algorithms?

- see pages 25-28 of last week workshop slides for details about tracing Dijkstra
- Do Q5.8 (question 8 last week) and compare with solution for the Prim algorithm

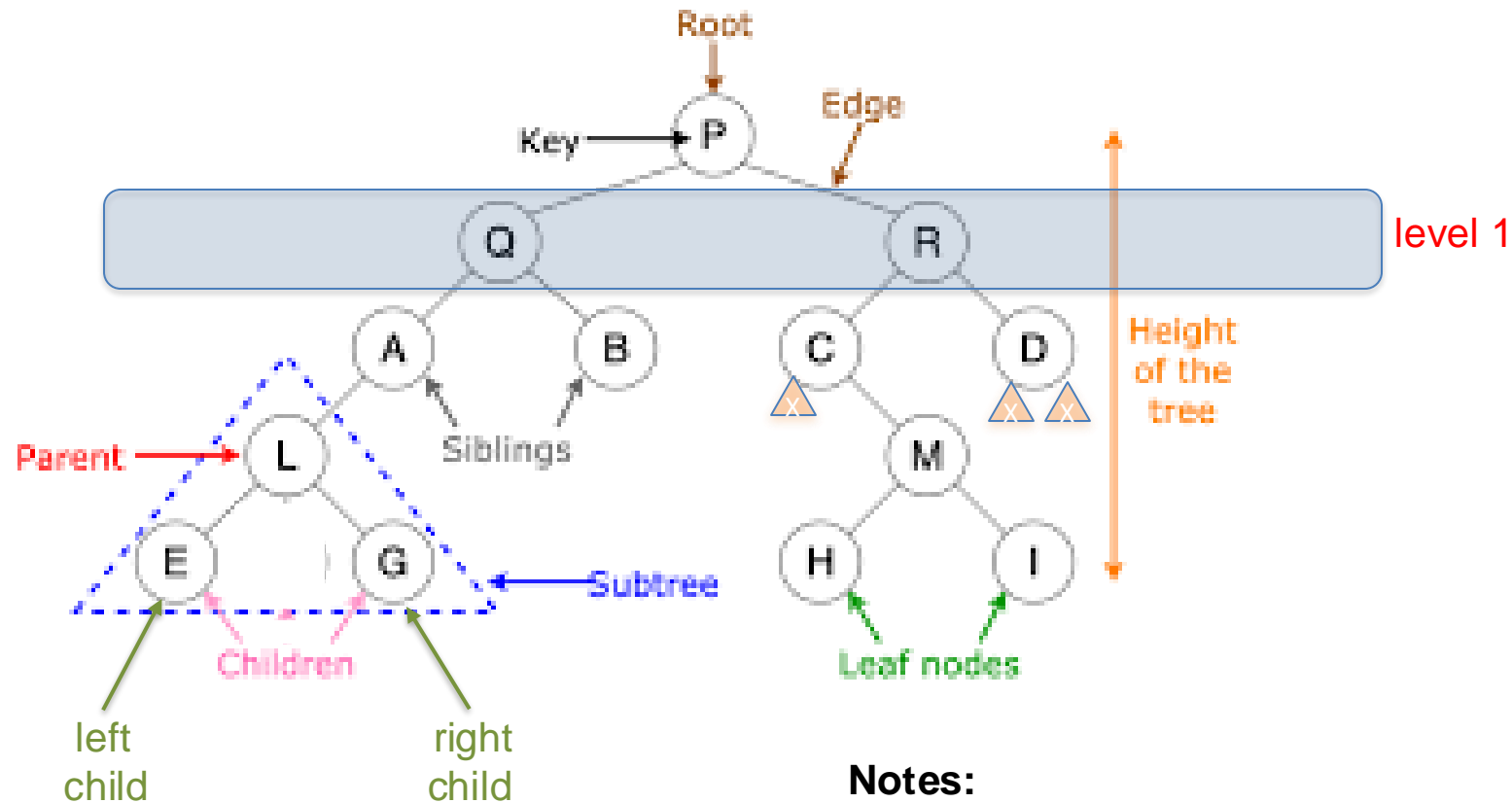
# Peer Activity: Adapting Prim's Algorithm

Can Prim's algorithm be modified to meet these requirements?

- a. Yes, if infinite-weight 'light' edges are accounted for.
- b. Yes, if it is repeatedly run on non-MST vertices until exhaustion.
- c. No, prior component analysis is required.
- d. No, it fails to halt on unconnected graphs.

We want **an algorithm** that:

- works on both connected and unconnected graphs
- finds a set of MSTs spanning each connected component
- **doesn't require** separate component analysis before its commencement



### Notes:

△ denotes a NULL node, aka. *external node*, only a few of them drawn here. If the tree has  $n$  internal (non-NULL) nodes, it has  $n+1$  external nodes

Make differences between:

- *leaf nodes* and *external nodes*
- *none-leaf nodes* and *internal nodes*

# Binary Tree: Recursive Definition

A binary tree  $T$  is:

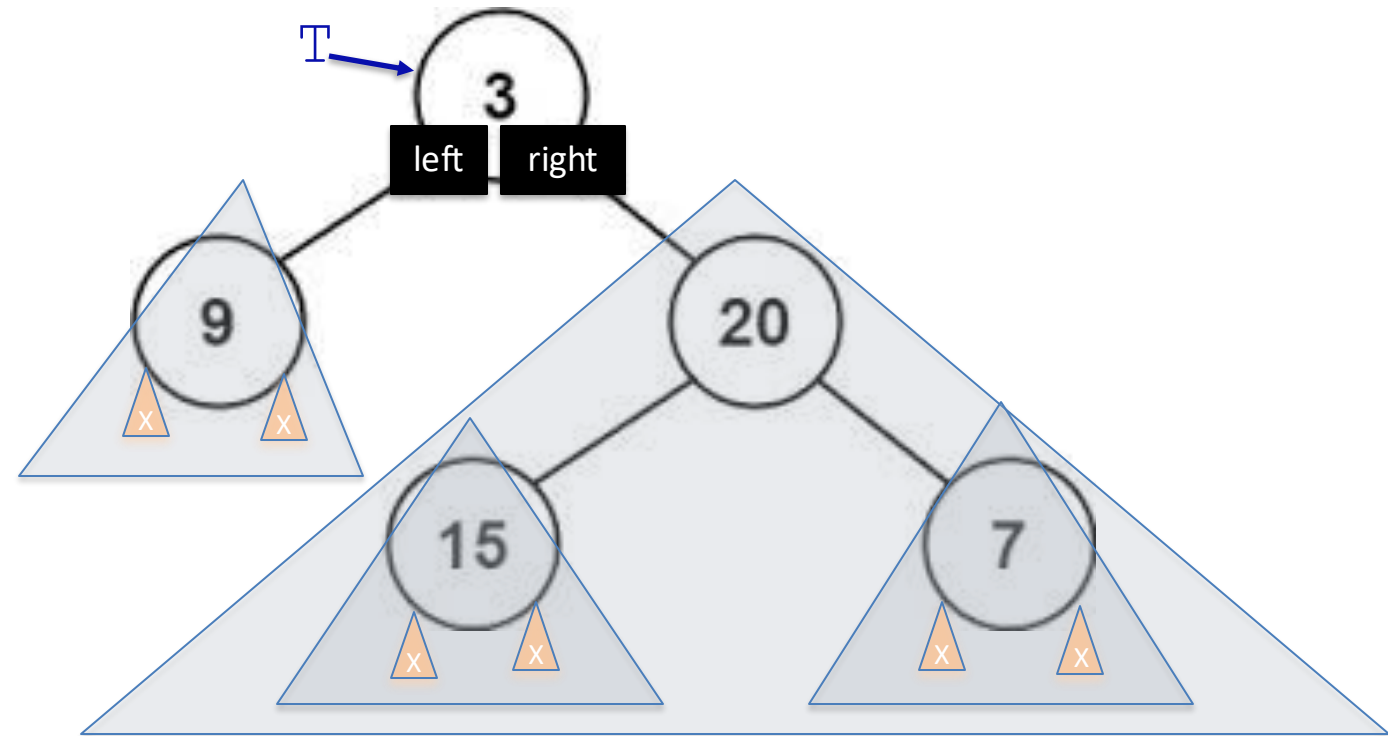
- NULL, or
- a node  $T$ , called the tree's *root node*, that contains:
  - some data,
  - a link to another binary tree called the root's *left child*, and
  - a link to another binary tree called the root's *right child*

**Side note:** a non-empty tree is fully defined by its root. For pseudocode simplicity we use:

*tree  $T$  = its root node*

*= pointer to its root node.*

*For convenience, we also use  $T.key$  (or  $T.data$ ),  $T.left$ ,  $T.right$  for the data, left, and right childrens*



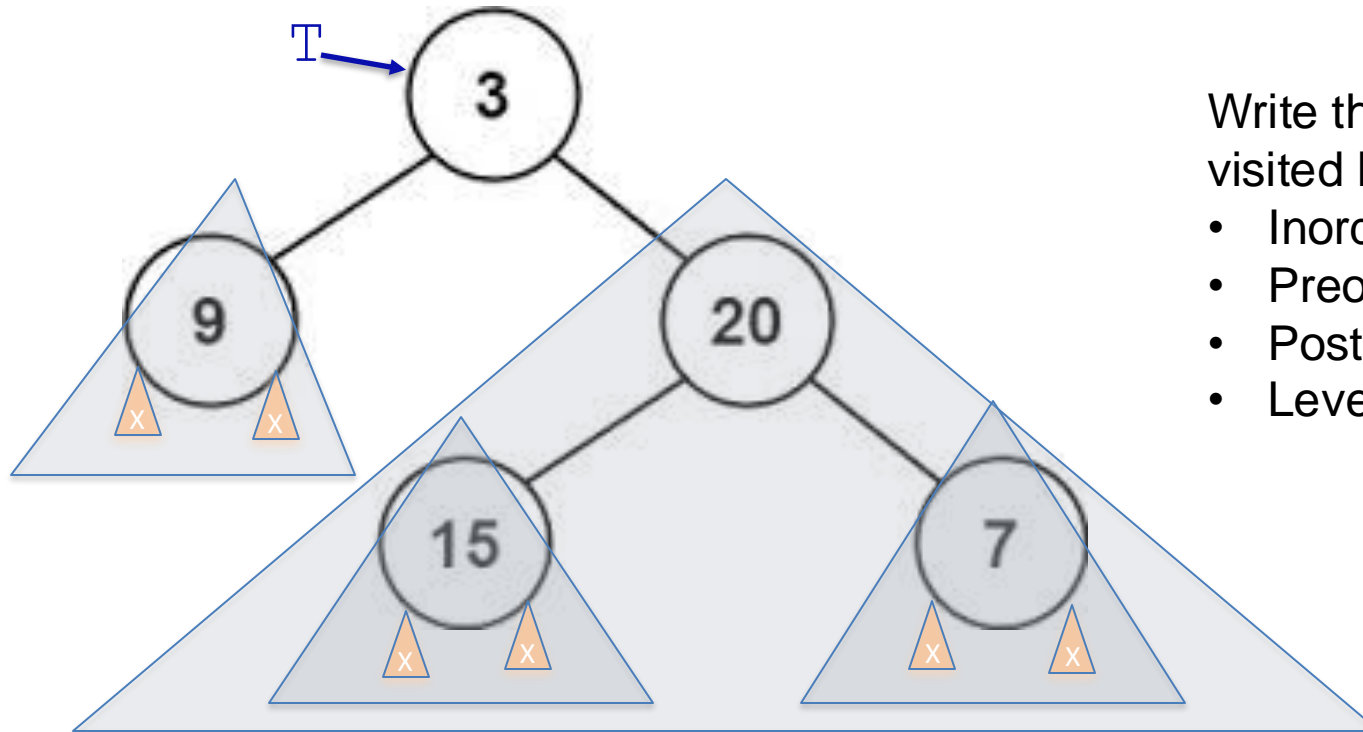
# Binary tree traversal

Understand:

tree traversal?

*inorder, preorder, postorder* traversal? Are they BFS or DFS?

What is *level-order* traversal? Is it BFS or DFS?

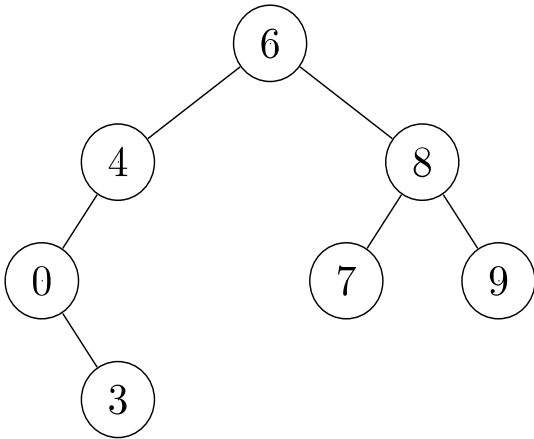


Write the nodes in order visited by:

- Inorder :
- Preorder :
- Postorder :
- Levelorder:

## Q 6.4: Binary Tree Sum

Write an algorithm to calculate the sum of a binary tree where each node contains a number.



YOUR ANSWER: The pseudocode:  
function ???



# Binary Search Tree

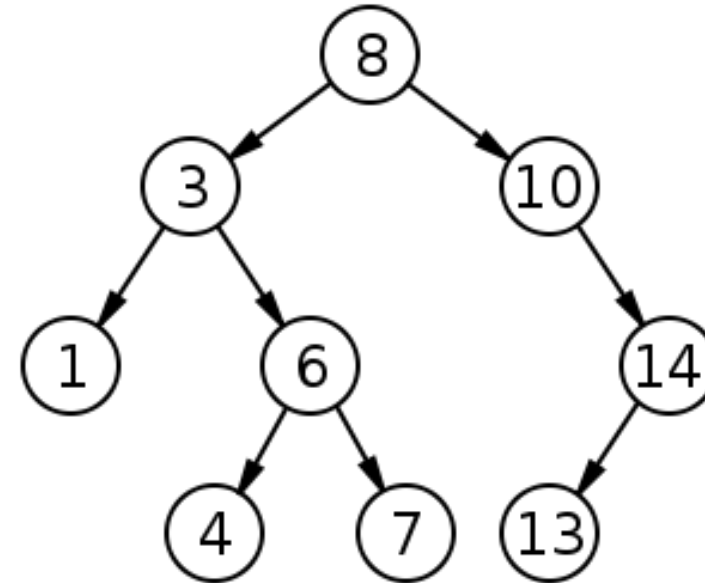
Review: What's a BST?

How to:

1. print the keys in increasing order?
2. print in decreasing order?
3. copy the tree?
4. free the tree?

Your answers:

- 1.
- 2.
- 3.
- 4.

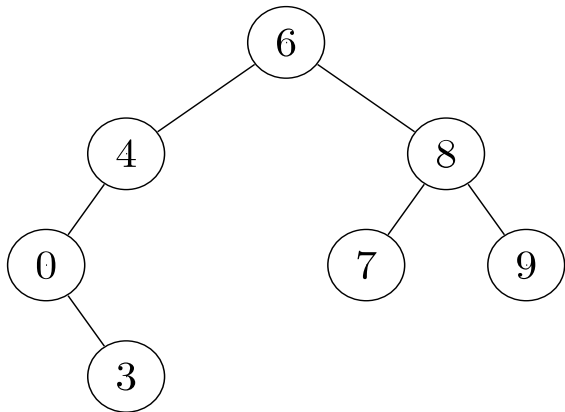


Small Exercise:

- Write a function for searching in BST
- What's the complexity of that function?

## Q 6.2: conventional traversal

Write the *inorder*, *preorder* and *postorder* traversals of the following binary tree:



**YOUR ANSWER:**

In-order:

Pre-order:

Post-order:

## Q 6.3: level-order traversal

Level-order: visit level-by-level, left-to-right, starting from the root (which is in 0-th level).

- a) For the tree, what's the visited order?
- b) Write the level-order pseudo-code.

**YOUR ANSWER:**

a) Level-order:

**b) pseudocode**

```
// level order traversal for binary tree T
function LevelOrder(T)
    ?
```

# Some topics in the Lectures Week 1-5 (as I remember, likely incomplete)

## Problem Solving Techniques

- Brute Force
- Divide-and-Conquer
- Reduce-and-Conquer
- Transform-and-Conquer

## Complexity

- Basic Operations and Complexity of Algorithms
- Complexity Classes, comparing complexity functions
- Complexity of Recursive Algorithms: building and solving recurrences
- The Master Theorem for solving recurrences of divide-and-conquer

## Basic DS & ADT

- Arrays and Linked Lists
- Stacks & Queues

## Graphs

- Concepts, Properties, Representation
- Traversal with DFS and BFS
- Complexity of graph algorithms
- Topological Sort
- Dijkstra's Algorithm for SSSP
- Prim's Algorithm for MST

## Binary Trees

- Concepts, Properties, Representation
- DFS Traversal: in-order, pre-order, post-order
- BFS Traversal: level-order

- Q&A on not-yet-done problems (if any) of previous workshops/lab/lectures
- Q&A on MST

OR/AND:

- Do the BST insert exercise, aim to finish within 30 minutes a runnable version with:
  - data types
  - function insert
  - function printing keys in order
  - main(): a loop to read data and build the tree

- Questions on Dijkstra's and BFS, DFS, etc.

**Big Advice for MSTs & Exams**  
(in addition to your “optimal strategy”)

Give time to understand the question at hand and address all requirements of the question.

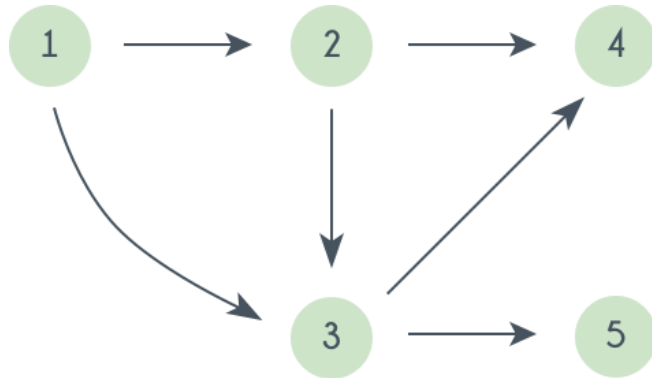
- Do Not answer a wrong question.
- Do Not miss a requirement if you can do.



# DFS exercise: generating push- and pop-order

**Problem:** Modify the DFS algorithm so that it also builds the arrays `push[V]` and `pop[V]` to store the push- and the pop-order of the vertices.

Example graph:



```
// building push[V] and pop[V]
```

```
function DFS(G=(V,E))
```

```
  for each v in V do
```

```
    mark v with 0
```

```
  for each v in V do
```

```
    if v is marked with 0 then
```

```
      DfsExplore(v)
```

```
function DfsExplore(v)
```

```
  mark v with 1
```

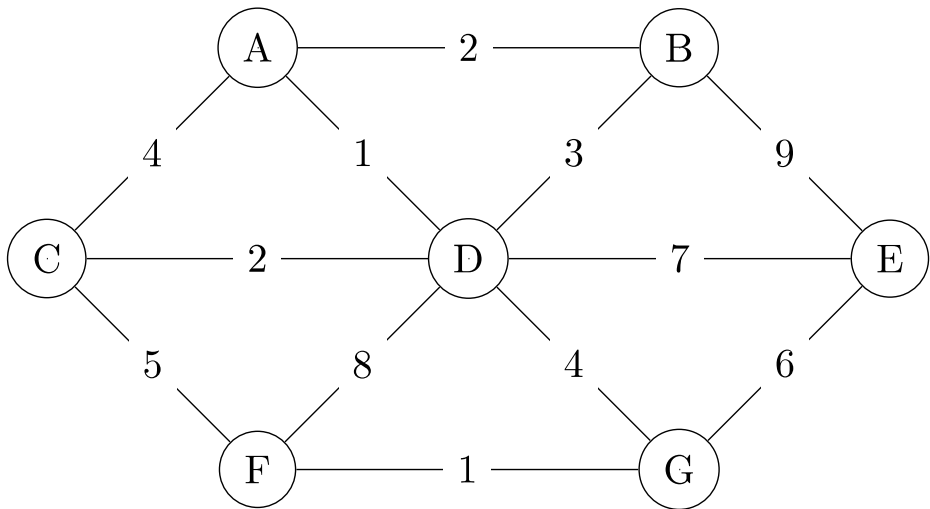
```
  for each edge (v,w) in E do
```

```
    if w is marked with 0 then
```

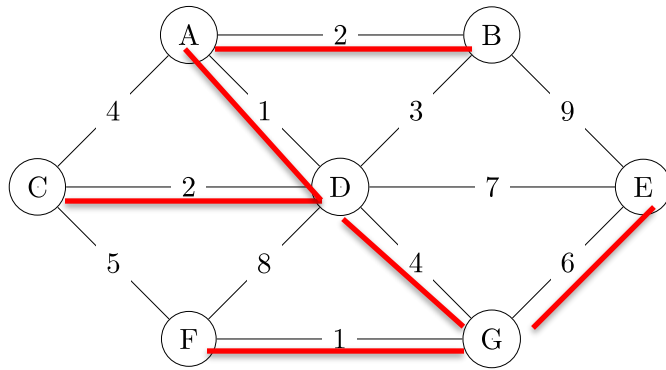
```
      DfsExplore(w)
```

# Question 5.8 (last week): Minimum Spanning Tree with Prim's Algorithm

Prim's algorithm finds a minimum spanning tree for a weighted graph. Discuss what is meant by the terms 'tree', 'spanning tree', and 'minimum spanning tree'. Run Prim's algorithm on the graph below, using A as the starting node. What is the resulting minimum spanning tree for this graph? What is the cost of this minimum spanning tree?



step	node done	A	B	C	D	E	F	G
0		0/nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil
1								
2								
3								
4								
5								
6								
7								



## Check: Soln to Q5.8 : Tracing Prim's Alg

What's the resulted MST: all nodes and the red edges  
What's the cost of that MST?

$$\text{cost} = 0 + 2 + 2 + 1 + 6 + 1 + 4 = 16$$

step	node ejected	A	B	C	D	E	F	G
0		0/nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil
1	A		2,A	4,A	1,A	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil
2	D		2,A	2,D		7,D	8,D	4,D
3	B			2,D		7,D	8,D	4,D
4	C					7,D	5,C	4,D
5	G					6,G	1,G	
6	F					6,G		
7	G							