Today's topics

- Hash table
- Sorting

References

• Chapter-11 from the CLRS book

Chapter-5 from Mark Allen Weiss

Chapter-2.5 from Goodrich and Tamassia

Open addressing

Open addressing

- In open addressing, all elements are stored in hash table slots only
 - No additional linked list is created
- Linear probing and quadratic probing are some of the techniques that are used to handle hash collisions

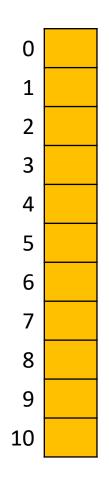
- In the linear probing scheme, if slot i returned by the hash function is already occupied
 - the element is inserted into the first available slot between i+1 to m-1, where m is the number of slots in the hash table
 - If none of the slots is available between i+1 to m-1, the element is inserted at the first available slot between 0 to i-1

Insert

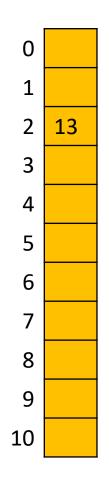
```
0
 2
 4
 5
 6
 8
 9
10
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

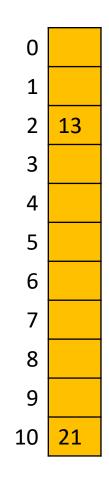
```
int HashFun(int x) {
    return x % 11;
}
```



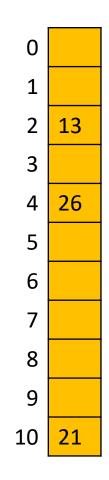
```
int HashFun(int x) {
    return x % 11;
}
```



```
int HashFun(int x) {
    return x % 11;
}
```



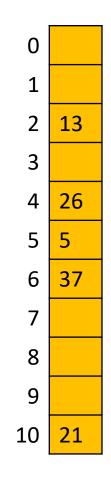
```
int HashFun(int x) {
    return x % 11;
}
```



```
int HashFun(int x) {
    return x % 11;
}
```

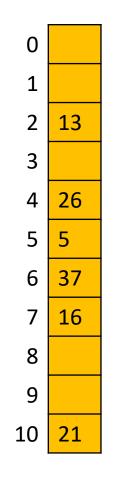
```
0
    13
 3
   26
 4
 5
 6
 8
 9
10
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Inserting 37.
37 % 11 = 4
Index 4 is already occupied.
check index 5.
Index 5 is already occupied.
check index 6.
Insert at index 6.
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

```
Inserting 16.

16 % 11 = 5

5 is already occupied check index 6
6 is already occupied check index 7 insert at index 7
```

```
int HashFun(int x) {
    return x % 11;
}
```

```
0
    13
 3
 4
    26
 5
 6
   37
    16
 8
    15
 9
10
   21
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
   Inserting 15.
   15 % 11 = 4
   4 is already occupied
   check index 5.
   5 is already occupied
   check index 6.
   6 is already occupied
   check index 7.
   7 is already occupied.
   check index 8.
   Insert at index 8.
                                int HashFun(int x) {
                                     return x % 11;
```

Insert

```
HASH_INSERT(h, e, m)
// h is the hash table
// m is the total number of slots in the hash table
// e is the element that has two fields: key and value
// Output: Insert e in the hash table h and return the
index in the hash table
idx = hash_function(e.key, m)
i = 0
while i < m
_if h[idx] == NIL _
    h[idx] = e
    return idx
  idx = (idx + 1) \% m
  i = i + 1
error "hash table overflow"
```

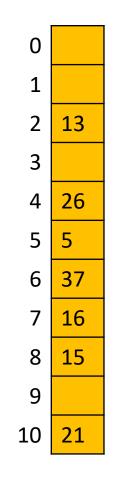
```
0
    13
 3
 4
    26
 5
 6
   37
    16
 8
   15
 9
10
```

```
Search 12

12 -1. 11 = 1

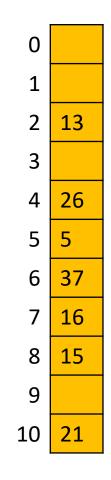
1 is vacant
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
12 % 11 = 1
hash[1] == NIL
12 is not present in the hash table
```

```
int HashFun(int x) {
    return x % 11;
}
```



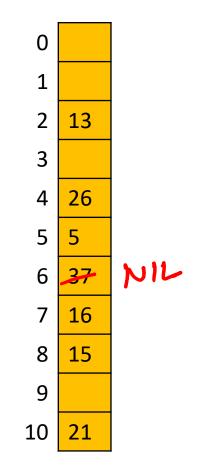
```
int HashFun(int x) {
    return x % 11;
}
```

```
0
 1
 2
    13
 3
 4
    26
 5
    5
 6
   37
    16
 8
    15
 9
10
   21
```

```
15 % 11 = 4
hash[4] != 15 and hash[4] != NIL
check 5
hash[5] != 15 and hash[4] != NIL
check 6
hash[6] != 15 and hash[5] != NIL
check 7
hash[7] != 15 and hash[7] != NIL
check 8
hash[8] == 15 and hash[8] != NIL
return the corresponding entry
```

```
int HashFun(int x) {
    return x % 11;
}
```

```
HASH_SEARCH(h, k, m)
// h is the hash table
// m is the total number of slots in the hash table
// k is the key being searched
// Output: Return NIL if the element is not stored in the
table; otherwise, return the stored element
idx = hash_function(k, m)
i = 0
while i < m and h[idx] != NIL
  if h[idx].key == k
    return h[idx]
  idx = (idx + 1) \% m
  i = i + 1
return NIL
```



```
int HashFun(int x) {
    return x % 11;
}
```

```
0
    13
 3
    26
 4
 5
 6
   37
    16
 8
   15
 9
10
```

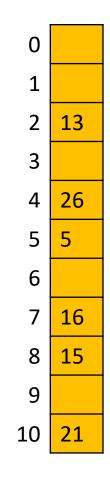
```
37 % 11 = 4
hash[4] != 37 and hash[4] != NIL
check 5
hash[5] != 37 and hash[5] != NIL
check 6
hash[6] == 37 and hash[6] != NIL
```

```
int HashFun(int x) {
    return x % 11;
}
```

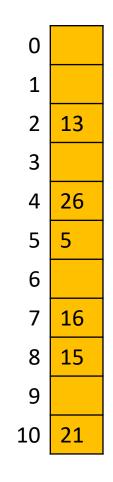
```
0
 2
    13
 3
    26
 4
 5
 6
    16
 8
   15
 9
10
```

```
37 % 11 = 4
hash[4] != 37 and hash[4] != NIL
check 5
hash[5] != 37 and hash[5] != NIL
check 6
hash[6] == 37 and hash[6] != NIL
hash[6] = NIL
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
int HashFun(int x) {
    return x % 11;
}
```

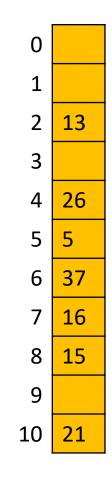


```
15 % 11 = 4
hash[4] != 15 and hash[4] != NIL
check 5
hash[5] != 15 and hash[5] != NIL
check 6
hash[6] == NIL
stop.
15 is not present in the hash table, which is not true.
```

```
int HashFun(int x) {
    return x % 11;
}
```

 During delete, simply setting the corresponding hash slot to NIL may create issues because the subsequent slots may contain entries corresponding to the current and previous slots

 A special marker is used for deleted slots to skip the deleted slot and continue probing during search and delete operations

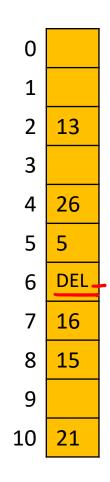


```
int HashFun(int x) {
    return x % 11;
}
```

```
0
    13
 3
    26
 4
 5
 6
   37
    16
 8
   15
 9
10
```

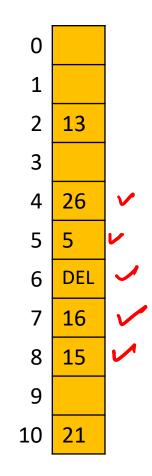
```
37 % 11 = 4
hash[4] != 37 and hash[4] != NIL
check 5
hash[5] != 37 and hash[5] != NIL
check 6
hash[6] == 37 and hash[6] != NIL
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
37 % 11 = 4
hash[4] != 37 and hash[4] != NIL
check 5
hash[5] != 37 and hash[5] != NIL
check 6
hash[6] == 37 and hash[6] != NIL
hash[6] = DEL // special marker
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
int HashFun(int x) {
    return x % 11;
}
```

```
0
 1
 2
    13
 3
 4
    26
 5
 6
   DEL
    16
 8
    15
 9
10
   21
```

```
15 % 11 = 4
hash[4] != 15 and hash[4] != NIL
check 5
hash[5] != 15 and hash[5] != NIL
check 6
hash[6] != 15 and hash[6] != NIL
check 7
hash[7] != 15 and hash[7] != NIL
check 8
hash[8] == 15
The key is present.
                                 int HashFun(int x) {
Return corresponding entry.
                                      return x % 11;
```

Search

```
HASH_SEARCH(h, k, m)
// h is the hash table
// m is the total number of slots in the hash table
// k is the key being searched
// Output: Return NIL if the element is not stored in the
table; otherwise, return the stored element
idx = hash function(k, m)
i = 0
while i < m and h[idx] != NIL
  if h[idx] != DEL and h[idx].key == k
    return h[idx]
  idx = (idx + 1) \% m
  i = i + 1
return NIL
```

Delete

```
HASH_DELETE(h, k, m)
// h is the hash table
// m is the total number of slots in the hash table
// k is the key that needs to be deleted
// Output: Delete an entry that contains k
idx = hash_function(k, m)
i = 0
while i < m and h[idx] != NIL
  if h[idx] != DEL and h[idx].key == k
    h[idx] = DEL
    return
  idx = (idx + 1) \% m
  i = i + 1
return NIL
```

Insert

```
HASH_INSERT(h, e, m)
// h is the hash table
// m is the total number of slots in the hash table
// e is the element that has two fields: key and value
// Output: Insert e in the hash table h and return the
index in the hash table
idx = hash_function(e.key, m)
i = 0
while i < m
  if h[idx] == NIL \text{ or } h[idx] == DEL
   h[idx] = e
    return idx
  idx = (idx + 1) \% m
  i = i + 1
error "hash table overflow"
```

Linear probing

• Does the performance of a search operation in the linear probing mechanism is the same as chaining?

Linear probing

- Does the performance of a search operation in the linear probing mechanism is the same as chaining?
 - No, because in the case of hash collisions, all the elements corresponding to a hash slot may not be consecutive. There could be entries corresponding to the other hash table slots in between.

Linear probing

• The linear probing may create clustering (also called primary clustering) because an empty slot preceded by n occupied slots gets filled next with the probability of (n+1)/m. Therefore, the average search time increases.

• In quadratic probing, if the ith slot returned by the hash function is already occupied, slots at indices $(i + j^2) \mod m$ are tried for j = 1, 2, 3, ...; where m is the total number of slots in the hash table

 Quadratic probing doesn't quarantine that all slots will be visited; however, if m is a prime number, then it definitely finds a slot in the hash table if more than half slots are available

```
0
 2
 4
 5
 6
 8
 9
10
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

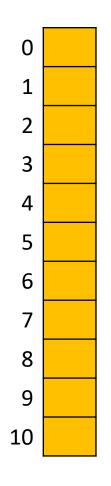
```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```



Insert keys 13, 21, 26, 5, 37, 16, 15

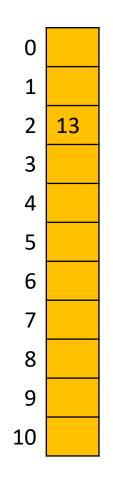
```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

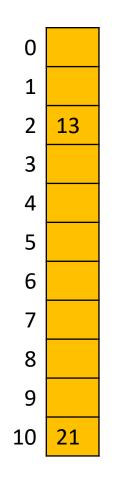
```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

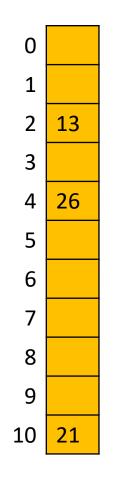
```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

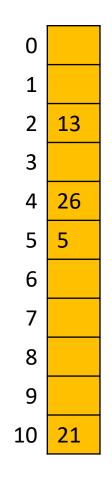
```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

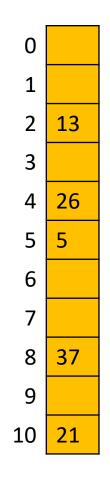
```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

```
Inserting 37
37 % 11 = 4 is occupied
(4 + 1) % 11 = 5 is occupied
(4 + 4) % 11 = 8 is available
```

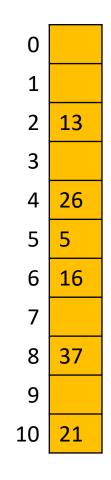
```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```



```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

```
Inserting 16
16 % 11 = 5 is occupied
(5 + 1) % 11 = 6 is available
```

```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```

```
0
    13
 3
 4
    26
 5
 6
    16
 7
 8
    37
 9
    15
10
   21
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

```
Inserting 15

15 % 11 = 4 is occupied

(4 + 1) % 11 = 5 is occupied

(4 + 4) % 11 = 8 is occupied

(4 + 9) % 11 = 2 is occupied

(4 + 16) % 11 = 9 is available
```

```
Probing strategy:

i = HashFun(key)

Try (i + j<sup>2</sup>) mod 11 for j = 1, 2,3,

...
```

```
int HashFun(int x) {
    return x % 11;
}
```

$$(a+b) \mod m = (a \mod m + b \mod m)$$

$$(a,m+c,+b,m+c_a) \mod m$$

• If quadratic probing is used and m is prime, then first $\left\lfloor \frac{m}{2} \right\rfloor$ alternative locations given by the quadratic probing algorithm are distinct

$$(i+j^{2})$$
 mod $M = (i+j^{2})$ mod M
 $(j^{2}-j^{2})$ mod $M = 0$
 $((j_{2}+j_{1}))$ $((j_{2}-j_{1}))$ mod $M = 0$
 $((j_{2}+j_{1}))$ $((j_{2}-j_{1}))$ mod $M = 0$
 $((j_{2}+j_{1}))$ $((j_{2}-j_{1}))$ $((j_{2}-j_{1}))$

• If quadratic probing is used and m is prime, then first $\left\lfloor \frac{m}{2} \right\rfloor$ alternative locations given by the quadratic probing algorithm are distinct

```
Let's say this is not true. It means there exists, j_1, j_2 such that 1 \le j_1 < j_2 \le \lfloor m/2 \rfloor and (i+j_1^2) \ mod \ m = (i+j_2^2) \ mod \ m j_1^2 \ mod \ m = j_2^2 \ mod \ m (j_2^2 - j_1^2) \ mod \ m = 0 ((j_2 + j_1)(j_2 - j_1)) \ mod \ m = 0
```

The above condition only holds if (j_2+j_1) (j_2-j_1) is either zero or a multiple of m. However, none of these can be true because $1 \le j_2 - j_1 < j_2 + j_1 < m$ and m is prime. Therefore, for first $\left\lfloor \frac{m}{2} \right\rfloor$ probes always give distinct values.

• Although quadratic probing eliminates primary clustering, it creates its own kind of clustering called secondary clustering. This is because elements that hash to the same positions will probe at similar slots.

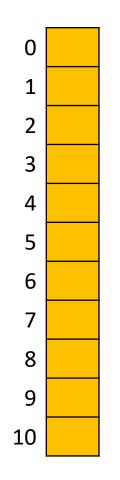
- Double hashing scheme uses two hash functions, hash1 and hash2
 - hash2 never returns zero
- i = hash1(key) is already occupied, then an offset o = hash2(key) is computed
 - probing is done at index (i+o)%m, (i+(2*o))%m, (i+(3*o))%m, and so on until an empty slot is encountered, where m is the size of the hash table
 - if o < m and m is not divisible by o, then we can always find an empty slot during insertion if a slot is available

```
0
 2
 5
 6
 8
 9
10
```

```
Insert keys 13, 21, 26, 5, <u>37</u>, 16, 15
                                 Probing strategy:
                                 i = hash1(key)
                                 o = hash2(key)
                                 Try (i + (j*o)) \mod 11 for j = 1,
                                 2,3, ...
                           int hash1(int x) {
                                return x % 11; 🖊
```

int hash2(int x) {

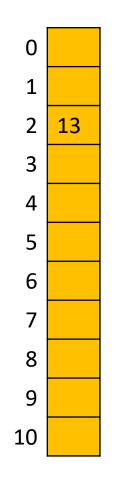
return 7 - (x % 7);



```
Insert keys 13, 21, 26, 5, 37, 16, 15

Probing strategy:
    i = hash1(key)
    o = hash2(key)
    Try (i + (j*o)) mod 11 for j = 1,
    2,3, ...
```

```
int hash1(int x) {
    return x % 11;
}
int hash2(int x) {
    return 7 - (x % 7);
}
```

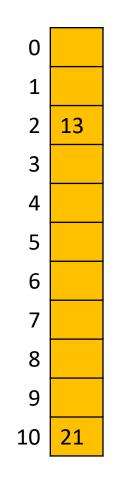


```
Insert keys 13, 21, 26, 5, 37, 16, 15
Inserting 13 at 13 % 11 = 2
                                          Probing strategy:
                                          i = hash1(key)
                                          o = hash2(key)
                                          Try (i + (j*o)) \mod 11 for j = 1,
                                          2,3, ...
                                    int hash1(int x) {
```

return x % 11;

return 7 - (x % 7);

int hash2(int x) {



```
Insert keys 13, 21, 26, 5, 37, 16, 15

Inserting 21 at 21 % 11 = 10

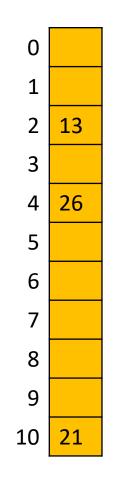
Probing strategy:
    i = hash1(key)
    o = hash2(key)
    Try (i + (j*o)) mod 11 for j = 1, 2,3, ...
```

int hash1(int x) {

int hash2(int x) {

return x % 11;

return 7 - (x % 7);



```
Insert keys 13, 21, 26, 5, 37, 16, 15

Inserting 26 at 26 % 11 = 4

Probing strategy:
    i = hash1(key)
    o = hash2(key)
    Try (i + (j*o)) mod 11 for j = 1, 2,3, ...
```

int hash1(int x) {

int hash2(int x) {

return x % 11;

return 7 - (x % 7);

```
0
    13
 4
    26
 5
 6
 8
 9
10
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
Inserting 5 at 5 % 11 = 5
                                          Probing strategy:
                                          i = hash1(key)
                                          o = hash2(key)
                                          Try (i + (j*o)) \mod 11 for j = 1,
                                          2,3, ...
                                    int hash1(int x) {
```

return x % 11;

return 7 - (x % 7);

int hash2(int x) {

```
0
    13
 4
    26
 5
 6
 8
 9
   37
10
   21
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

```
Inserting 37 at 37 % 11 = 4, which is occupied off = 7 - (37 \% 7) = 5
Inserting 37 at (4 + 5) \% 11 = 9
```

```
Probing strategy:

i = hash1(key)

o = hash2(key)

Try (i + (j*o)) mod 11 for j = 1,

2,3, ...
```

```
int hash1(int x) {
    return x % 11;
}
int hash2(int x) {
    return 7 - (x % 7);
}
```

```
Probing strategy:

i = hash1(key)

o = hash2(key)

Try (i + (j*o)) mod 11 for j = 1,

2,3, ...
```

int hash2(int x) {

return 7 - (x % 7);

```
0
 2
    13
    16
 4
   26
 5
 6
 8
 9
   37
10
   21
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

```
Inserting 16 at 16 % 11 = 5, which is occupied
off = 7 - (16 % 7) = 5
Inserting 16 at (5 + 5) % 11 = 10, which is occupied
Inserting 16 at (5 + (2*5)) % 11 = 4, which is occupied
Inserting 16 at (5 + (3*5)) % 11 = 9, which is occupied
Inserting 16 at (5 + (4*5)) % 11 = 3

    int hash1(int x) {
        return x % 11;
}
```

```
Probing strategy:

i = hash1(key)

o = hash2(key)

Try (i + (j*o)) mod 11 for j = 1,

2,3, ...
```

```
0
   15
 1
 2
    13
    16
 4
   26
 5
 6
 8
 9
   37
10
   21
```

```
Insert keys 13, 21, 26, 5, 37, 16, 15
```

```
Inserting 15 at 15 % 11 = 4, which is occupied off = 7 - (15 \% 7) = 6
Inserting 15 at (4 + 6) \% 11 = 10, which is occupied Inserting 15 at (4 + (2*6)) \% 11 = 5, which is occupied Inserting 15 at (4 + (3*6)) \% 11 = 0
```

```
int hash1(int x) {
    return x % 11;
}
int hash2(int x) {
    return 7 - (x % 7);
}
```

• In the open addressing approach, what can we do when the hash table is full?

- In the open addressing approach, what can we do when the hash table is full?
 - We use an approach similar to the dynamic arrays
 - Create a new hash table with a size equal to a prime number close to twice the size of the previous hash table
 - The hash function for the new hash table is adjusted according to the new size of the hash table
 - Scan the old table and insert all elements one by one in the new hash table
 - Delete the old hash table
 - This approach is also called rehashing
 - Doubling the size reduces the amortized cost of an insert operation

• Time complexity

(Cw) if key lishibition is uniform

Delete

• If there are too many deleted slots in that hash table, the search may unnecessarily take a lot of time

How can we get rid of the deleted slots to search faster?

Delete

• If there are too many deleted slots in that hash table, the search may unnecessarily take a lot of time

- How can we get rid of the deleted slots to search faster?
 - We can use a rehash operation in which the size of the new hash table is the same as the old hash table

References

- Chapter-7.9 from Mark Allen Weiss
- Chapter-4.4 from Goodrich and Tamassia

 The binary search take O(log n) time to search an element from the sorted array

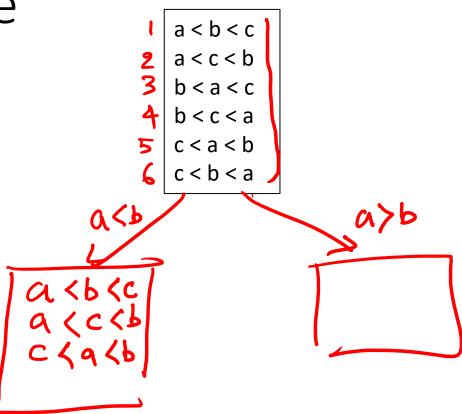
• If the hash table is sufficiently large, the search can be done in O(1)

 What is the key difference between binary search and the hash table search?

- The binary search doesn't care about the type of the key
 - It needs a comparison function that acts as a black box
 - The return value of the comparison function could be <, >, <=, >=, ==, !=

The hash table will not work if the key can't be mapped to an integer

- The decision tree is an abstraction to find a lower bound
- We'll use a binary decision tree to find the lower bound of sorting algorithms
- Each internal node in the decision tree is a comparison operation that may take place during the execution of the algorithm
- The leaf nodes are the output of the algorithm
- The goal is to obtain a lower bound on the number of comparisons to generate the output



Decision tree a < b < c a < c < b b < a < c b < c < a c < a < b c < b < a b < a a < b b < a < c a < b < c a < c < b b < c < a c < a < b c < b < ab < c c < b a < c c < a c < b < ab < a < c a < b < c c < a < b b < c < a a < c < b c < b b < c c < a a < c b < a < c a < b < c b < c < a a < c < b

• The previous slide shows a decision tree for sorting three distinct elements a, b, c using just the comparison operations

The leaf nodes correspond to the possible outputs of the program

 Notice that for three elements, there could be six different possible outcomes; the program may take different paths during runtime to generate an outcome

- Notice that, in general, a program may take different paths to derive the same output
- Therefore, the number of leaves is at least the number of all possible outcomes
- The internal nodes of the decision tree are comparison operations
- After every comparison operation, the program may take two different paths, and the only operations we care about are the comparison operations; therefore, the decision tree is a binary tree

 The lower bound on the number of comparisons is the height of the decision tree

 The height is minimum when the decision tree is a nearly complete or complete binary tree, and the leaves contain only the possible outputs

• What is the number of leaf nodes in the decision tree for sorting when we want to sort n numbers?

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 What is the height, h, of the decision tree when the number of leaves is n!

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 - $2^h >= n!$
 - h >= log(n!)

The minimum number of comparisons = log(n!)

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- What is the height, h, of the decision tree when the number of leaves is n!
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The minimum number of comparisons = log(n!)

$$\log(n!) \ge \log(\frac{n^{\frac{n}{2}}}{2}) \ge \frac{n}{2} * \log(\frac{n}{2})$$
$$\ge \frac{n}{2} * (\log(n) - 1) = \Omega(n^* \log(n))$$