



## Machine Intelligence Fitness, Selection and Genetic Operators

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# MACHINE INTELLIGENCE

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## Fitness, Selection and Genetic Operators

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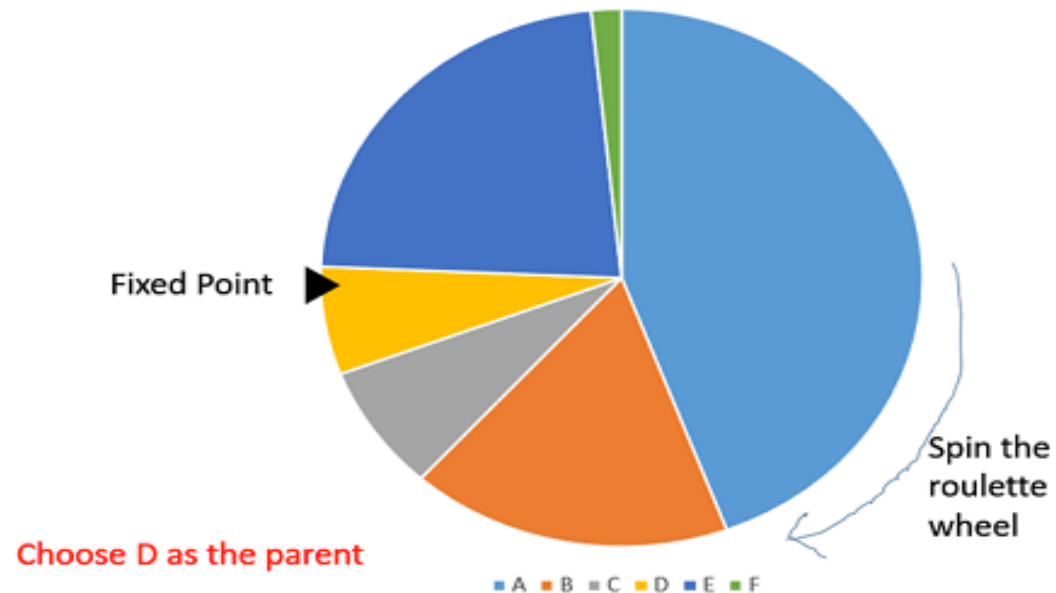
The **fitness function** defines the criterion for ranking **potential hypotheses** and for probabilistically selecting them for inclusion in the next generation population.

- **Fitness proportionate selection (*Roulette wheel*)** : Ratio of fitness to the fitness of other members of the current population.

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## Roulette Wheel Selection

- In a roulette wheel selection, the circular wheel is divided as described below. A fixed point is chosen on the wheel circumference as shown and the wheel is rotated.
- The region of the wheel which comes in front of the fixed point is chosen as the parent. For the second parent, the same process is repeated.

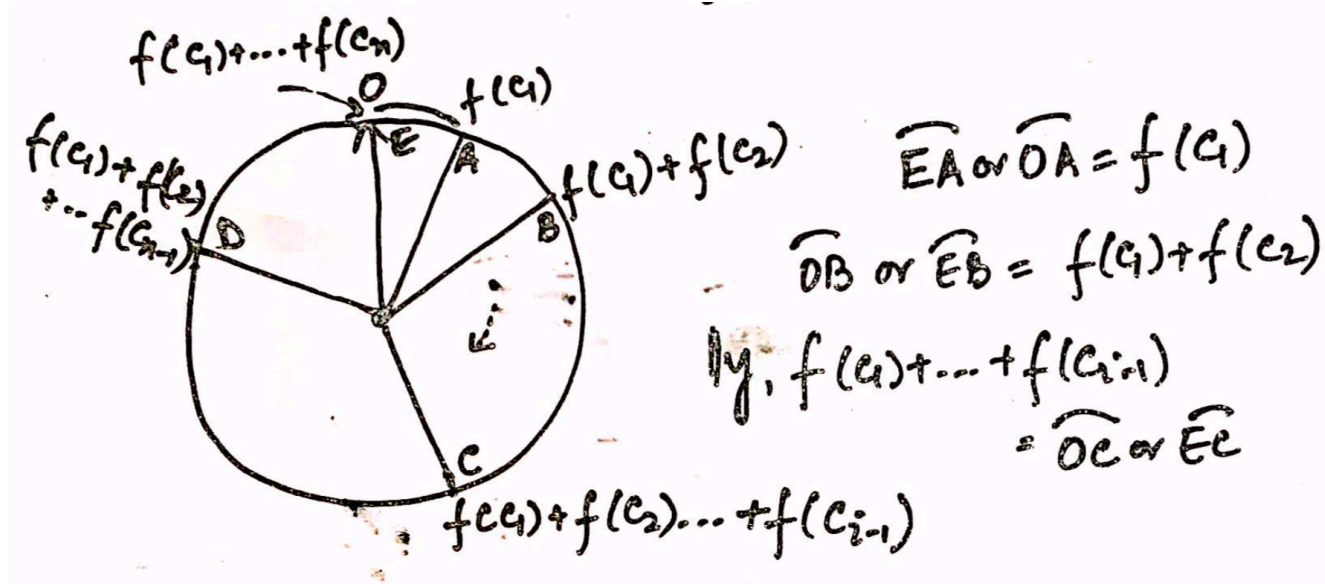


Chromosome	Fitness Value
A	8.2
B	3.2
C	1.4
D	1.2
E	4.2
F	0.3

Consider a population of size  $n$ .

Let  $c_1, c_2, \dots, c_n$  be  $n$  chromosomes with fitness values  $f(c_1), f(c_2), \dots, f(c_n)$ .

Suppose there is a disc of circumference =  $\sum_{i=1}^n f(c_i)$



In order to select a chromosome to be included in the mating pool from the current population,

a random no. is generated bet<sup>n</sup>  
 $0 \& \sum_{i=1}^m f(c_i)$

Let one such random no. be 'x'.

Now locate a point Y on the circumference of the wheel which is at a distance 'x' from the starting point O or E.

The chromosome within whose limits Y is located will be selected for the mating pool.

This process is repeated 'n' times as population size=n.

Roulette Wheel Selection-Example



Keeping a TSP for 5 cities in mind. Consider the following set of chromosomes( possible solutions) in an initial population:

S.No	Chromosome	Fitness
1	d-e-a-b-c	193
2	c-e-b-d-a	172
3	e-a-b-c-d	193
4	d-e-a-b-c	193
5	a-d-e-b-c	141
6	c-e-d-a-b	197
7	e-a-d-b-c	222
8	c-d-e-a-b	193
9	e-a-b-d-c	224
10	a-d-e-b-c	141

$\sum f(c_i)=1869, i=1,...10$

Let a random no. is generated between (0,1869), say 1279

( it can be any number between (0,1869)

Pls refer [2] in References for a simple mathematical problem using GA

Since 1279 lies between  $\sum f(c_i)=1089, i=1...6.$  and  $\sum f(c_i)=1311, i=1....7.$

As  $1089 < 1279 < 1311$

So, 7<sup>th</sup> Chromosome is selected.

- **Tournament Selection:**
  - Two hypothesis (chromosomes) chosen at random, with some predefined probability,  $p$ , the more fit is selected.
- **Rank Selection:**
  - Sorted by fitness. The probability that a hypothesis will be selected is then proportional to its rank.
- **Elitist Selection (Elitism):**
  - Ensures that the best chromosomes are retained in the next generation, if not selected by any other method.





THANK YOU

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## Machine Intelligence Genetic Operators

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## Genetic Operators

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### Broadly 2 categories of Operators

#### **Crossover** (recombination)

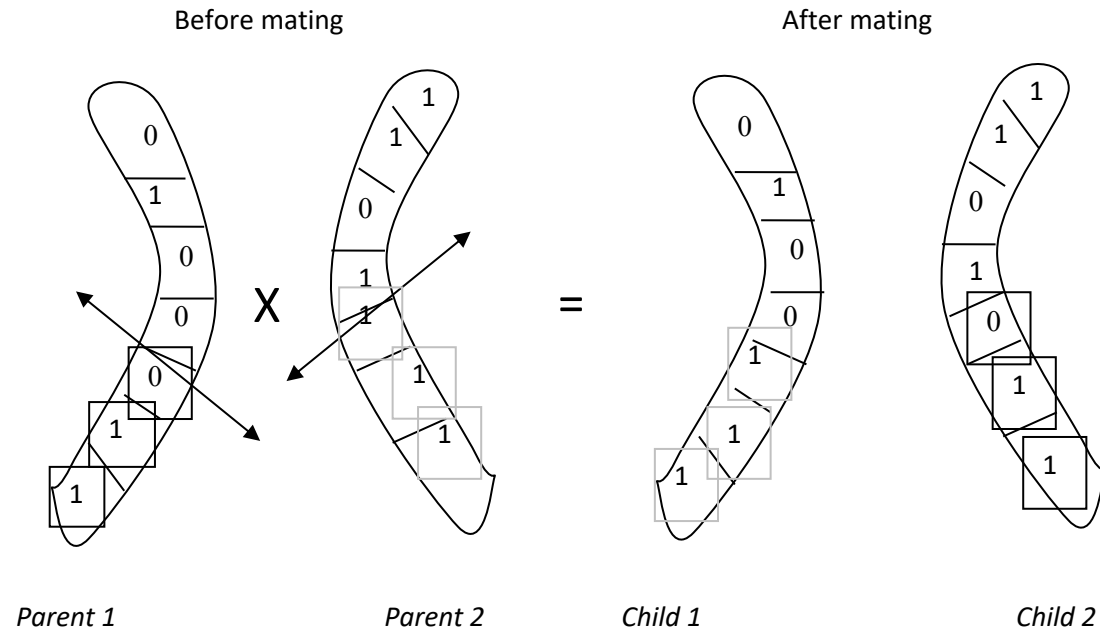
Exchanging genetic material between the individuals of the chromosomal population

#### **Mutation**

A genetic operator used to maintain genetic diversity from one generation of a population of chromosomes to the next

Note: A genetic operator which works well for one problem may not work well for another problem. [1]

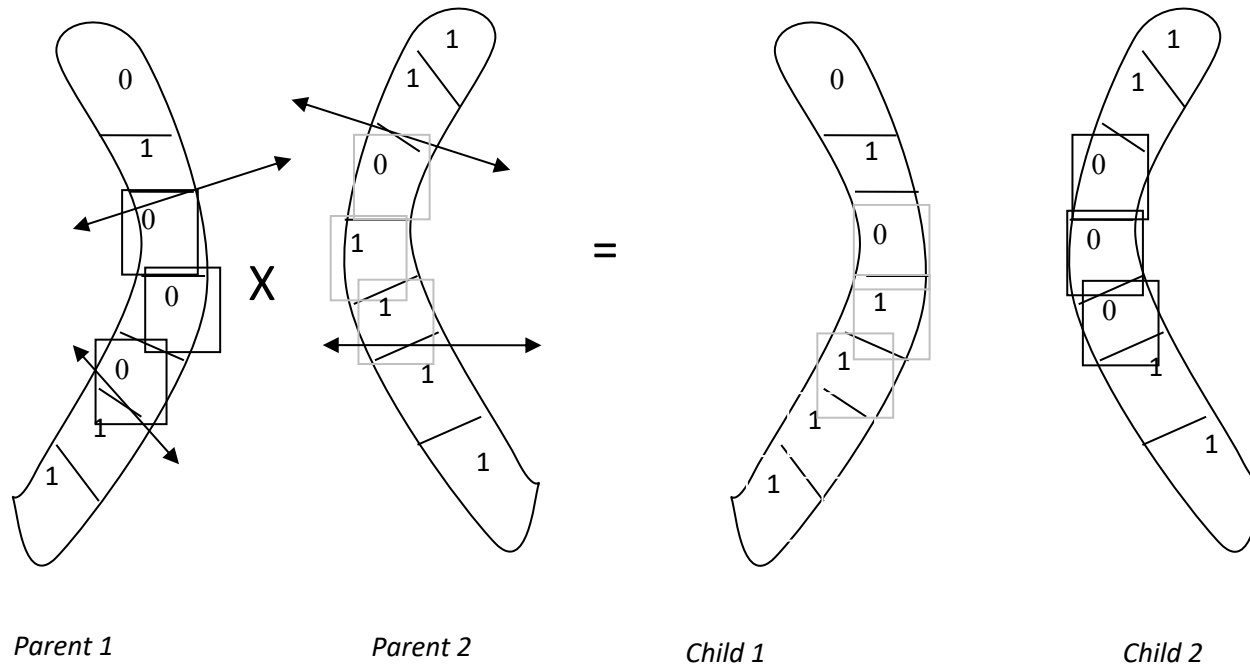
- Crossover point is randomly selected.



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## GA- Double Point Crossover

- Two random sites are chosen.
- The bits in between the two points are swapped between the parent chromosomes.



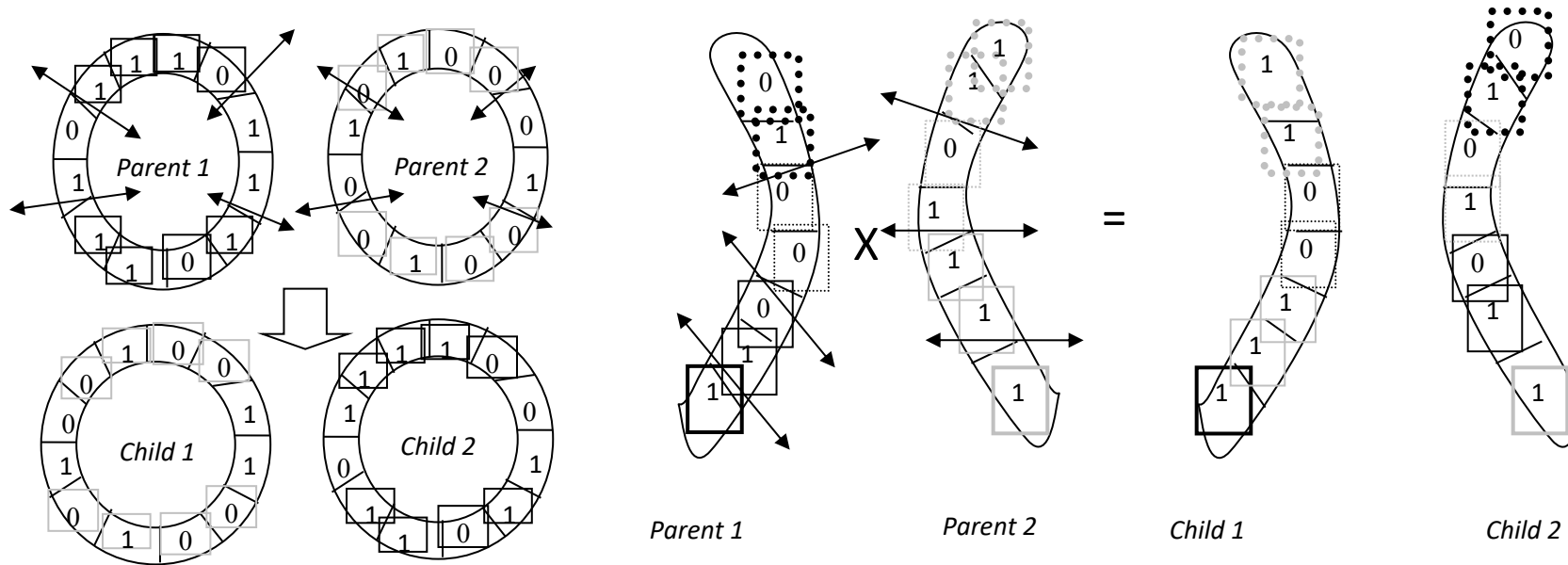
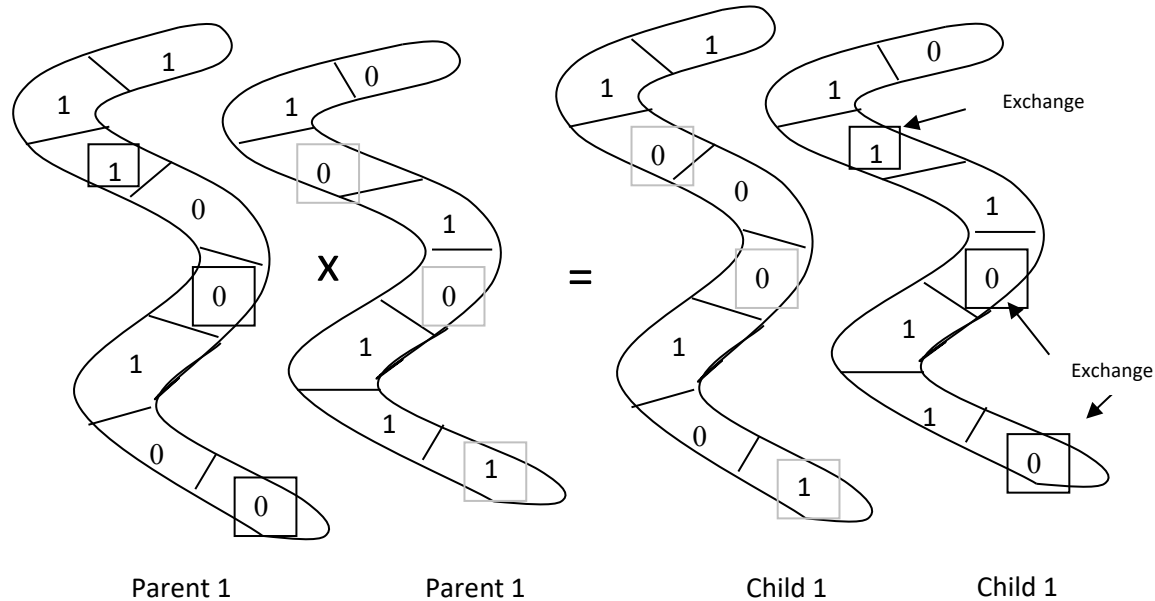


Figure Courtesy: N.P Padhy, "Soft Computing"

- Multi point crossover is a generalization of the **one-point crossover** wherein **alternating segments** are **swapped** to get new off-springs.
- One-point and N-point crossover have a tendency to exhibit **positional bias and inherent bias** which have proved to be experimentally evident.

# MACHINE INTELLIGENCE

## GA- Uniform Crossover



Exchanging bits from two individual parental chromosomes by maintaining a probability of 0.5 to produce offsprings.



## GA- Masking Based Uniform Crossover

Uniform crossover does not exhibit positional bias but do exhibit distributional bias due to which uniform crossover has a strong tendency towards transmitting 50% of the genes from each parent.

P1	1	1	0	0	0	1	0	1	
Mask	1	0	1	1	0	0	0	1	
P2	1	0	1	0	1	0	0	1	
C1	1	0	0	0	1	0	0	1	P1=1, P2=0
C2	1	1	1	0	0	1	0	1	P1=0, P2=1

# MACHINE INTELLIGENCE

## GA- Matrix Crossover

$$\begin{matrix} & \text{P1} & & & \text{P2} \\ \begin{bmatrix} \textcolor{red}{1} & 0 & 1 \\ 1 & \textcolor{red}{0} & 1 \\ 0 & \textcolor{red}{1} & 1 \end{bmatrix} & \times & \begin{bmatrix} \textcolor{green}{0} & 0 & 1 \\ 1 & \textcolor{green}{0} & 0 \\ 1 & \textcolor{green}{1} & 0 \end{bmatrix} \end{matrix}$$



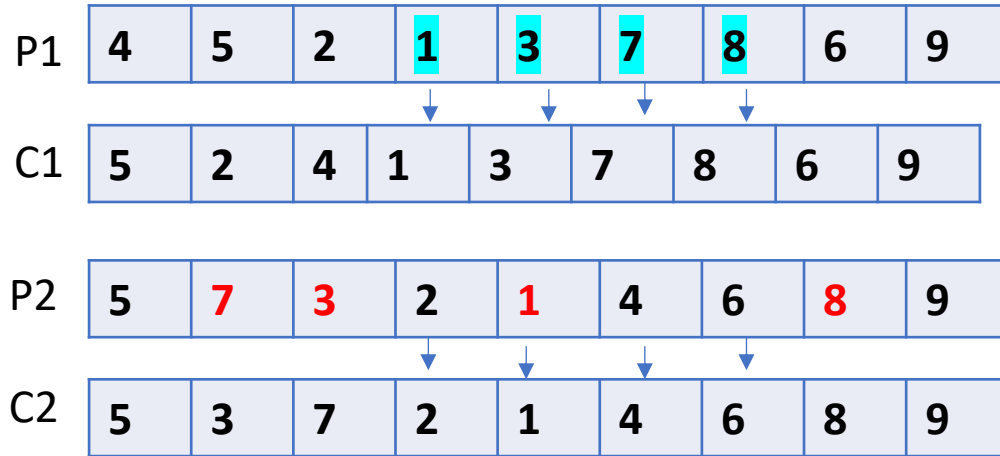
$$\begin{matrix} \begin{bmatrix} \textcolor{green}{0} & 0 & 1 \\ 1 & \textcolor{green}{0} & 1 \\ 0 & \textcolor{green}{1} & 1 \end{bmatrix} & \begin{bmatrix} \textcolor{red}{1} & 0 & 1 \\ 1 & \textcolor{red}{0} & 0 \\ 1 & \textcolor{red}{1} & 0 \end{bmatrix} \end{matrix}$$

C1

C2

Cross sites of **row and column** are chosen randomly.

Select any region between in each layer, either **vertically or horizontally** and then exchange the information in the region between the mated populations.



- Set of randomly selected string of genes from one parent is considered (**1-3-7-8** from **parent 1**)
- **Transfer** the selected genes of **parent 1** from **child 1** at the same locations.

- Delete **1-3-7-8** from **parent 2** and remaining genes are 9,6,4,2,5 in P2.
- Starting from the bottom to top of C1, fill the empty strings of C1 with the remaining genes of Parent 2 ie 9,6,4,2,5.

P1	4	5	2	1	3	7	8	6	9
C1	7	5	3	1	2	4	8	6	9
P2	5	7	3	2	1	4	6	8	9
C2	4	5	2	1	3	7	8	6	9

- Set of randomly selected positions from P1 (5-1-8-6) are copied to C1.
- Delete the selected nodes of P1 from P2.

The remaining nodes 9-4-2-3-7 of *parent 2* is transferred to its *child 1* from bottom to top.

- Mutation is a genetic operator used to maintain *genetic diversity* from one generation of a population of genetic algorithm chromosomes to the next.
- It is analogous to biological mutation. Mutation alters *one or more gene values* in a chromosome from its initial state.
- Mutation helps preventing the *population of chromosomes from becoming too similar to each other*, thus slowing or even stopping evolution. So, it helps the algorithm to *avoid local extreme*
- Mutation allows the *development of un-inherited characteristics* --- it promotes diversity by allowing an offspring to also evolve in ways not solely *determined by inherited traits*.

- After crossover, the strings are subjected to mutation.
- This process enables GAs to jump out of local or suboptimal solutions to avoid premature convergence.
- Mutation plays the *role of recovering the lost genetic material* as well as *for randomly distributing genetic info*.

### a) Uniform mutation

$X^t = [X_1, X_2 \dots X_m]$  - Chromosome

A random number is selected such that  $k \in [1, m]$

$X^{t+1} = [X_1, \dots X'_k \dots X_m]$  – Off- Spring

$X'_k$  - A random value generated according to uniform probability distribution from the range  $[X_k^L, X_k^U]$

### b) Boundary mutation

$X'_k \rightarrow X_k^L \text{ or } X_k^U$

Replacement takes place with equal probability

- Mutation can be implemented as *One's complement Operator*.
- Let  $C = [01101011]$  be a chromosome, then one's complement operator gives  $C = [10010100]$ .
- **Inversion**
  - **Two positions** are randomly selected within a chromosome. Then the substring between these positions is inverted.

$P_x = [2\ 5\ \underline{4\ 9\ 6\ 8}\ 1\ 3\ 7]$

$C_x = [2\ 5\ \underline{8\ 6\ 9\ 4}\ 1\ 3\ 7]$



- **Insertion**

- A node is randomly selected and inserted in a random position.

- $P_x = [2\ 5\ 4\ 9\ \underline{6}\ 8\ 1\ 3\ 7]$

- $C_x = [2\ \underline{6}\ 5\ 8\ 9\ 4\ 1\ 3\ 7]$

- **Heuristic Mutation**

- It is based on neighborhood technique

$$P_x = [1\ 2\ \text{3}\ 4\ 5\ \text{6}\ 7\ \text{8}\ 9]$$

Neighbors are formed with these nodes.

$$N_x = [1\ 2\ \text{3}\ 4\ 5\ \text{8}\ 7\ \text{6}\ 9]$$

$$N_x = [1\ 2\ \text{8}\ 4\ 5\ \text{3}\ 7\ \text{6}\ 9]$$

$$N_x = [1\ 2\ \text{8}\ 4\ 5\ \text{6}\ 7\ \text{3}\ 9]$$

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$$N_x = [1\ 2\ \text{6}\ 4\ 5\ \text{3}\ 7\ \text{8}\ 9]$$

After evaluating all the neighbors the best neighbor is selected.

### **Crossover Probability:**

Measure of likeliness that the selected chromosomes will be undergo crossover operation.

### **Crossover rate:**

The total no. of crossovers that will be performed on the selected chromosomes.

### **Mutation Probability:**

Measure of likeliness that random elements of the chromosomes will be changed with something else.

### **Mutation rate:**

The no. of chromosomes that will undergo the mutation out of a total population.

### Genetic Algorithm

1. Often produces invalid states.
2. GA's are probabilistic search algorithms which mimic the process of natural evolution.
3. In GA's, each individual is a candidate solution.
4. GA has an o/p which is a quantity

### Genetic Programming

1. Special case of GAs, where each individual is a computer program
2. GP explores the algorithmic search space and evolve computer program to perform a defined task.
3. GP is an application of GA. O/p of GP is another program.

- [1] Xin Yao, An empirical study of genetic operators in genetic algorithms, Microprocessing and Microprogramming, Volume 38, Issues 1–5, 1993, Pages 707-714, ISSN 0165-6074.
- [2] Hermawanto D. Genetic algorithm for solving simple mathematical equality problem. arXiv preprint arXiv:1308.4675. 2013 Aug 16.
- [3] An empirical study of genetic operators in genetic algorithms X Yao Microprocessing and Microprogramming, 1993 - Elsevier



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