

Data Structures and Algorithms

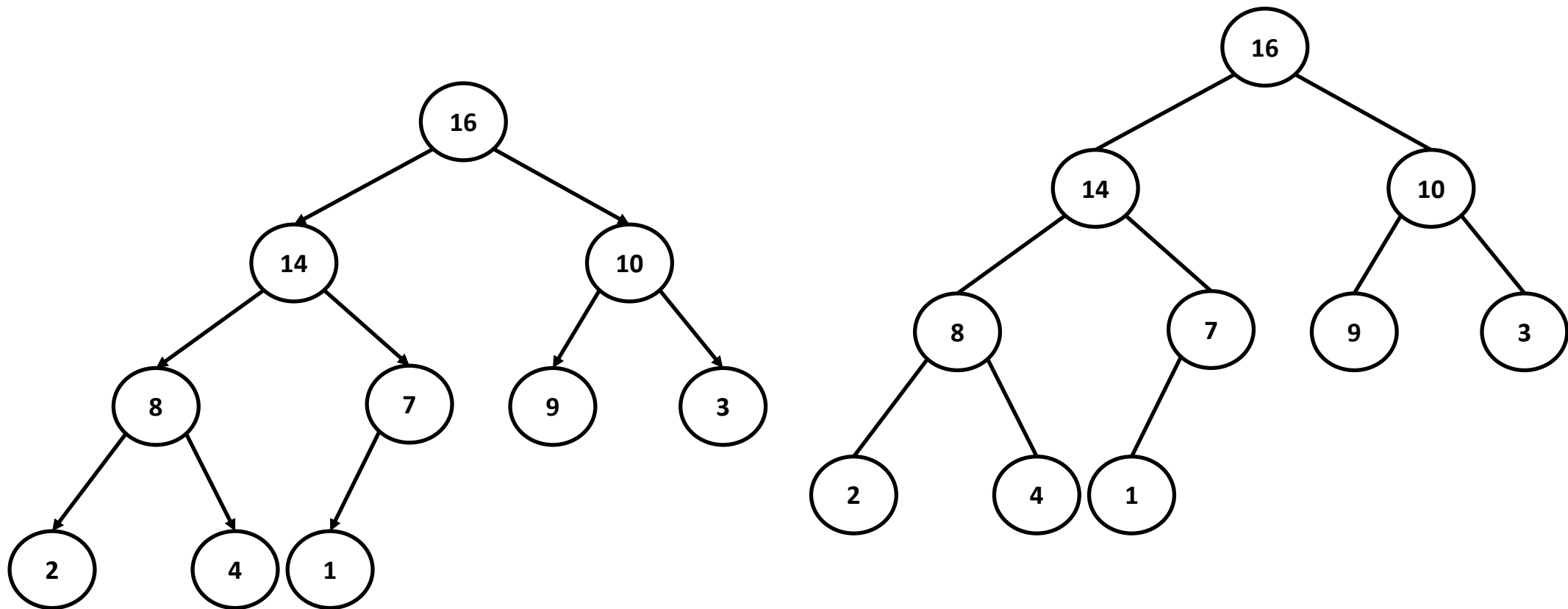
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Heapsort

- Runs in $O(n \log n)$ time
- An example of in-place sorting algorithm
- A new data structure “heap” is used (different from the heap that we use for garbage collection)

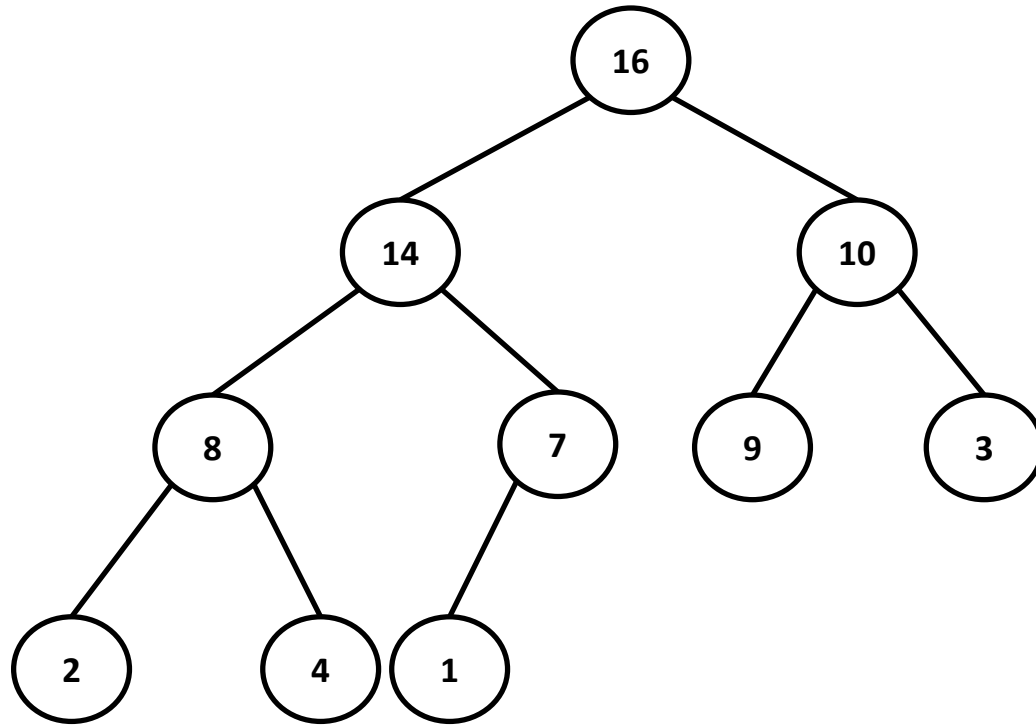
Heapsort

- A binary tree: An ordered rooted tree where each internal node can have at most two children (left child and right child)
- Leaf nodes, level of a node
- A nearly complete binary tree: A binary tree where except for the last level, the other levels are completely filled



Heapsort

- The binary heap data structure is an array object which can be viewed as a nearly complete binary tree



16	14	10	8	7	9	3	2	4	1
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Heapsort

- An array A that represents a heap is an object with two attributes:
 - $A.length$ (represents the size of A)
 - $A.heap_size$ (represents the number of elements in the heap that are stored within A)
- Though $A[1 \dots A.length]$ may contain numbers, only the elements in $A[1 \dots A.heap_size]$, where $0 \leq A.heap_size \leq A.length$ are valid elements of the heap
- $A[1]$ is the root
- Given an index “ i ”, can easily locate its parent, left and right child

Heapsort

Algorithm Parent(i)

 return $\lfloor i/2 \rfloor$

Algorithm Left(i)

 return $2i$

Algorithm Right(i)

 return $(2i+1)$

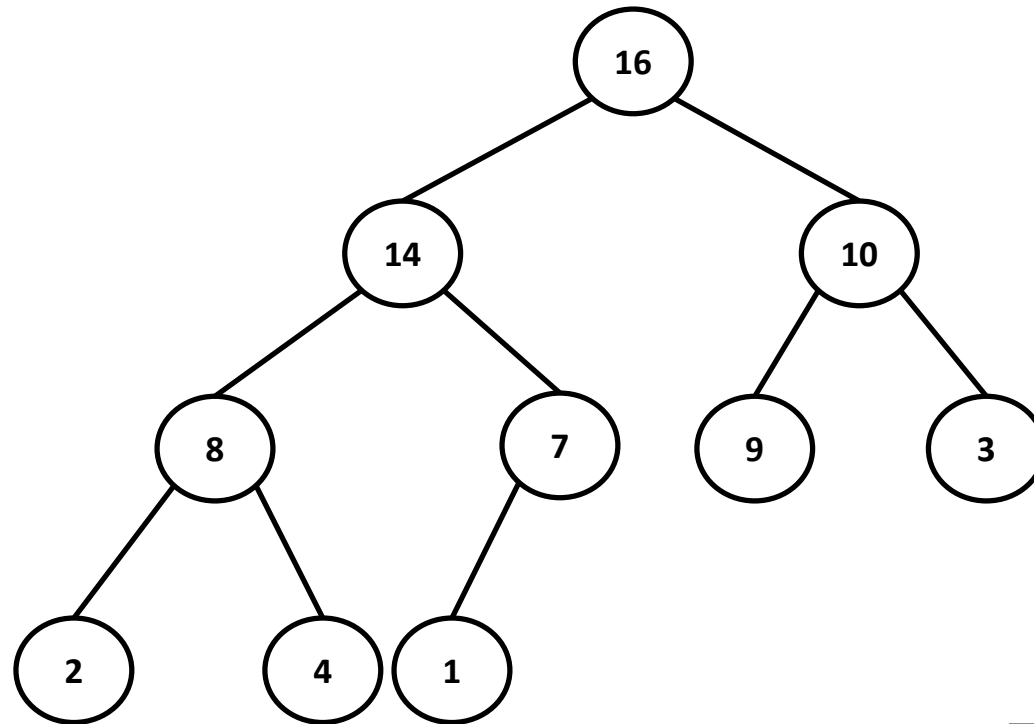
Heapsort

- There are two kinds of binary heaps: max-heaps and min-heaps
- The elements stored in nodes satisfy a heap order property
- These two types of heaps differ in terms of heap order property

Heapsort

- Max-heap-property:
 - For every node “i” other than the root, $A[\text{Parent}(i)] \geq A[i]$
- The maximum element is stored at the root
- The subtree rooted at a node stores elements no larger than that stored at that node

Heapsort

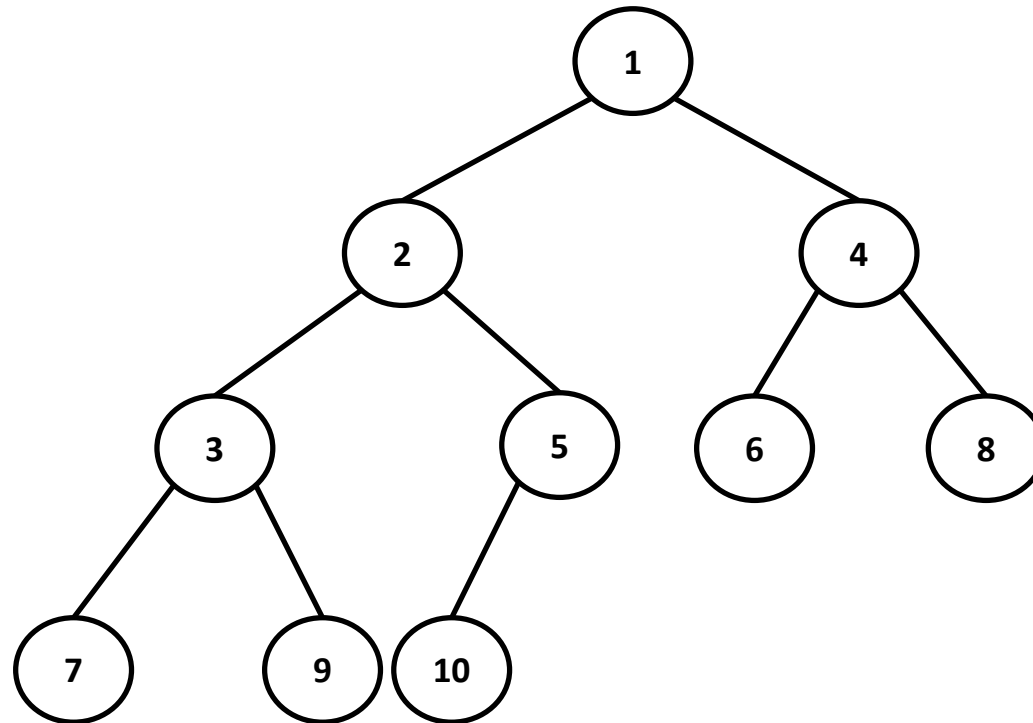


16	14	10	8	7	9	3	2	4	1
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Heapsort

- Min-heap-property:
 - For every node “i” other than the root, $A[\text{Parent}(i)] \leq A[i]$
- The minimum element is stored at the root
- The subtree rooted at a node stores elements no smaller than that stored in that node

Heapsort

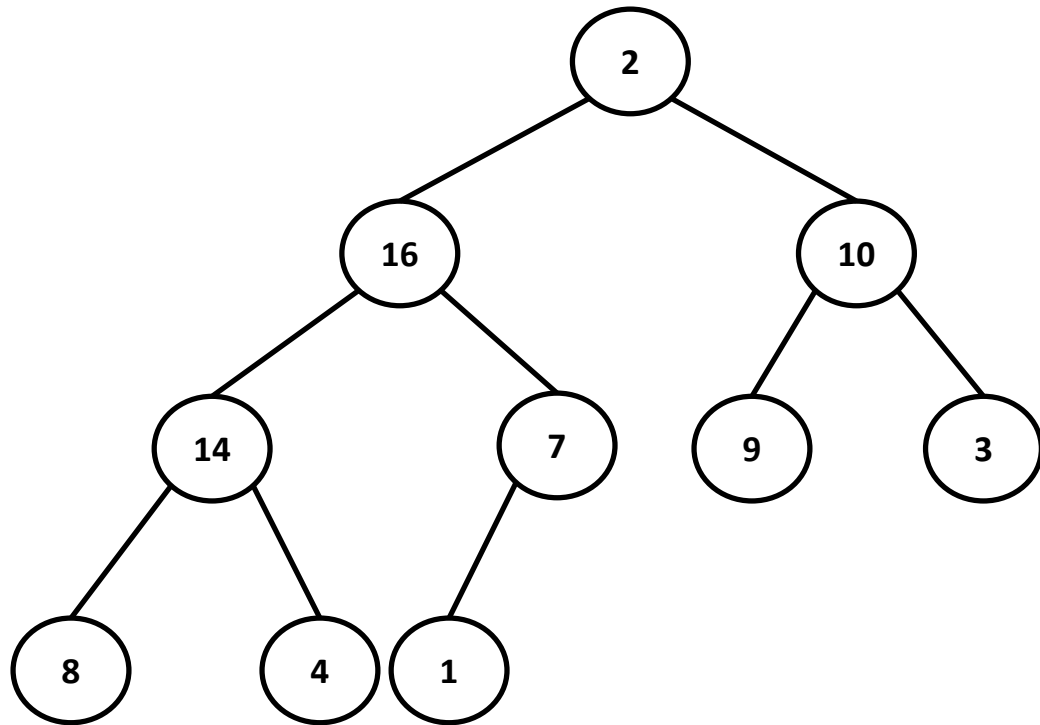


1	2	4	3	5	6	8	7	9	10
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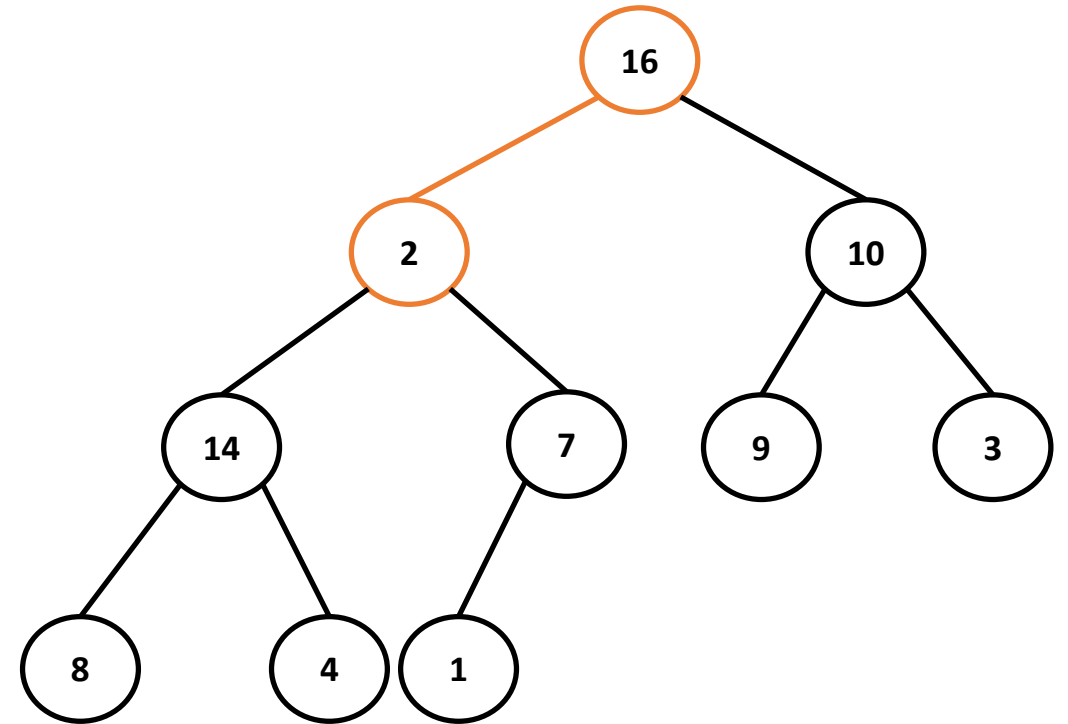
Heapsort

- Height of a node: the length (number of edges) of the longest downward path from that node to a leaf
- Height of the tree: height of the root
- A heap of “n” elements is a nearly complete binary tree, its height is $\theta(\log n)$
- Basic operations on heap run in time proportional to the height of the tree, thus the time complexity of these operations is $O(\log n)$

Heapsort

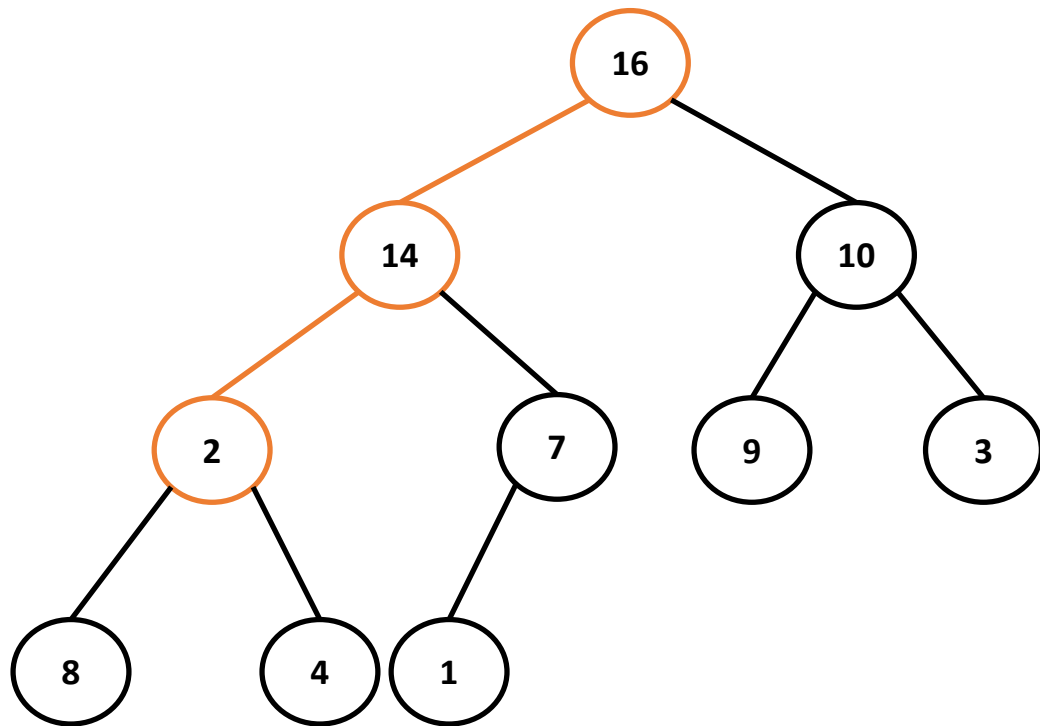


2	16	10	14	7	9	3	8	4	1
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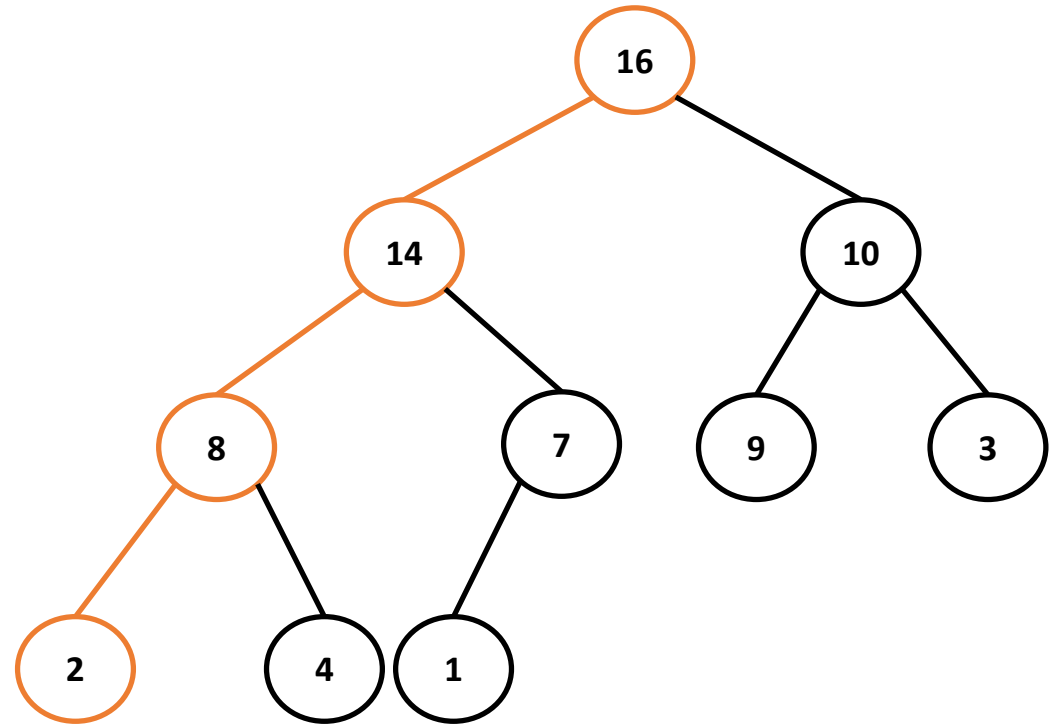


16	2	10	14	7	9	3	8	4	1
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Heapsort



16	14	10	2	7	9	3	8	4	1
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16	14	10	8	7	9	3	2	4	1
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Heapsort

Algorithm Max_Heapify(A, i)

l \leftarrow Left(i)

r \leftarrow Right(i)

if $l \leq A.\text{heap_size}$ and $A[l] > A[i]$

largest \leftarrow l

else

largest \leftarrow i

if $r \leq A.\text{heap_size}$ and $A[r] > A[\text{largest}]$

largest \leftarrow r

if largest \neq i

swap A[i], A[largest]

Max_Heapify(A, largest)

Heapsort

- Max_Heapify assumes that the binary trees rooted at Left(i) and Right(i) are max-heaps
- The running time on a subtree of size n rooted at node i is:
 - Time required to fix up the relationships among the elements $A[i]$, $A[\text{Left}(i)]$, $A[\text{Right}(i)]$
 - Time to run Max_Heapify on a subtree rooted at one of children of node i
- The children's subtree size is at most $2n/3$ (the bottom level of the tree is exactly half full)
- $T(n) \leq T(2n/3) + \theta(1)$
- The solution to this recurrence is $O(\log n)$