

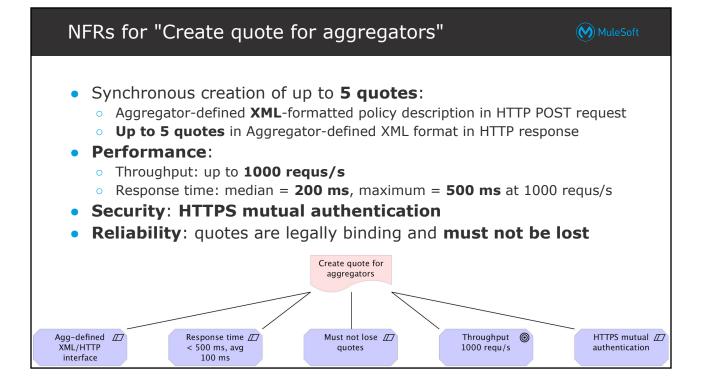
Module 5 Enforcing NFRs on the Level of API Invocations Using Anypoint API Manager

At the end of this module, you should be able to



- Describe how API Manager controls API invocations
- Use API policies to enforce non-functional constraints on API invocations
- Choose between enforcement of API policies in an API implementation, an API proxy, or Anypoint Service Mesh
- Register an API client for access to an API version
- Describe when and how to pass client ID/secret to an API
- Establish guidelines for API policies
- Describe how Anypoint Security enables de/tokenization and additional Edge policies in Anypoint Runtime Fabric deployments





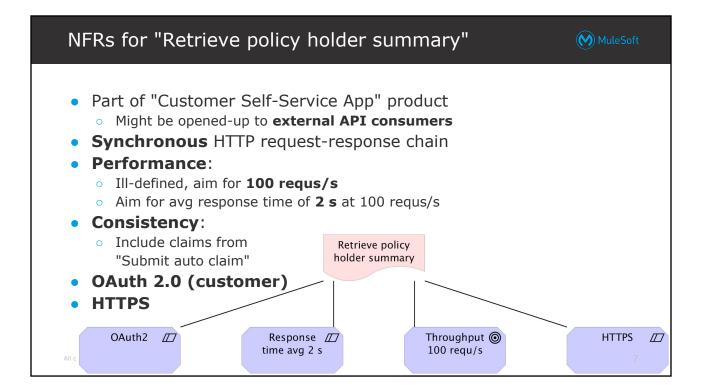
Meeting NFRs for "Create quote for aggregators" using Anypoint Platform



- Throughput and response time:
 - Must be broken-down to APIs in all tiers
 - Must be enforced, monitored and analyzed
 - API Manager, Anypoint Analytics
 - Anticipate caching
 - Highly performant runtime plane for API implementations: CloudHub
 - Need to carefully manage load on Policy Admin System: API Manager
- Must not lose quotes:
 - Synchronous invocations incl. ACID operation on Policy Admin System
- HTTPS mutual authentication:
 - CloudHub Dedicated Load Balancer
- Should add client authentication on top of HTTPS mutual auth

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Addressing the NFRs of the "Customer Self-Service App" product



Meeting the NFRs for "Retrieve policy holder summary" using Anypoint Platform



Throughput and response time:

- Not challenging
- Future use may change that
- Highly scalable runtime plane: CloudHub
- HTTPS:
 - Document in API spec
 - Ensure in **API implementation**
- OAuth 2.0:
 - Enforce with API Manager
 - Requires Identity Provider for Client Management
 - PingFederate

Consistency:

Through event notifications

NFRs for "Submit auto claim" MuleSoft Request over HTTP with claim submission and asynchronous **processing** of the submission Processing submission requires lengthy downstream processing steps • Performance: Ill-defined, aim for 10 requs/s No response time requirement because processing is asynchronous Reliability: claim submissions must not be lost Consistency: Submit auto claim Include claims in "Retrieve policy holder summary" OAuth 2.0, HTTPS OAuth2 / Throughput @ HTTPS Must not / Async fulfillment lose claim 10 requ/s submissions

Meeting the NFRs for "Submit auto claim" using Anypoint Platform



New NFRs for this feature:

- Async processing of claim submission and no claim submission loss:
 - Messaging system
 - To trigger async processing without message loss
 - Anypoint MQ
 - Mule runtime persistent VM queues as in CloudHub
 - Persistence mechanism
 - To store async correlation information
 - Mule runtime Object Store as in CloudHub
- Consistency:
 - Through event notifications

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Reviewing types of APIs



- REST APIs
 - With API specification as RAML definition or OpenAPI definition
 - Without formal API specification
 - Hypermedia-enabled REST APIs
- Non-REST APIs
 - GraphQL APIs
 - SOAP web services (APIs)
 - o JSON-RPC, gRPC, ...

API management on Anypoint Platform



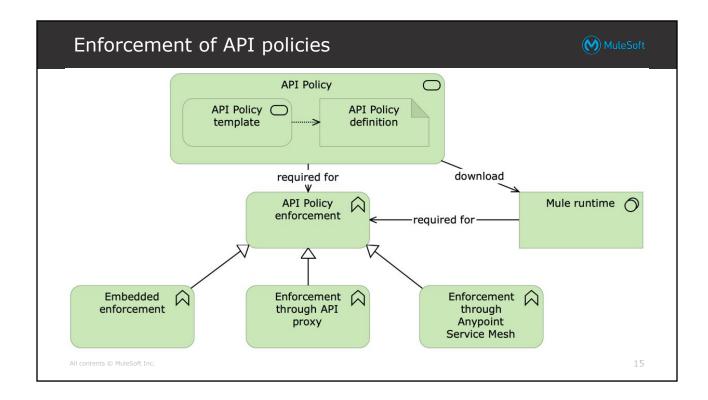
- Using API Manager and API policies
- On the level of HTTP
- Applicable to all types of HTTP/1.x APIs
 - Therefore not to WebSocket APIs or HTTP/2 APIs
- Special support for RAML-defined APIs
 - Allow definition of resource-level API policies
 - In addition to the endpoint-level API policies available for all APIs

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Defining API policy



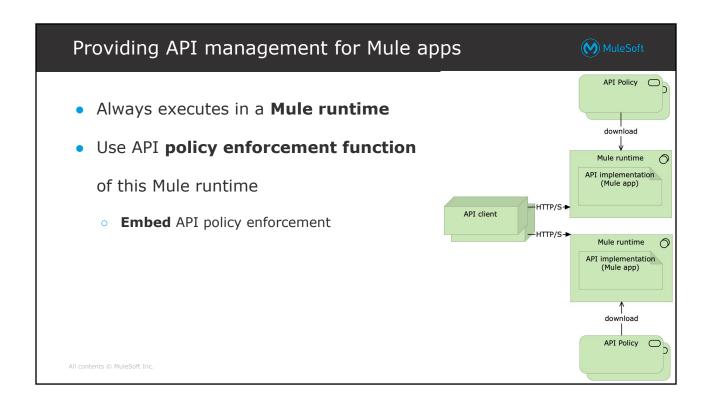
- Defines a typically non-functional requirement
- Applied to an API (instance)
- Injection into API invocation between API client and endpoint
 - Without changing API implementation
- Consists of
 - API policy **template** (code and parameter descriptions)
 - API policy **definition** (parameter values)

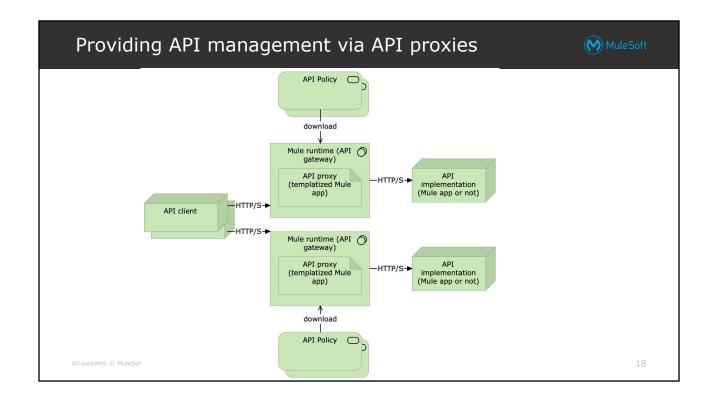


Enforcement of API policies



- On Anypoint Platform, API policies are always enforced from within a Mule app:
 - API implementation can embed enforcement of API policies
 - API proxy deployed infront of the API implementation proper to enforce API policies
 - Anypoint Service Mesh for Kubernetes-deployed non-Mule API implementations
- API policies downloaded at runtime from API Manager



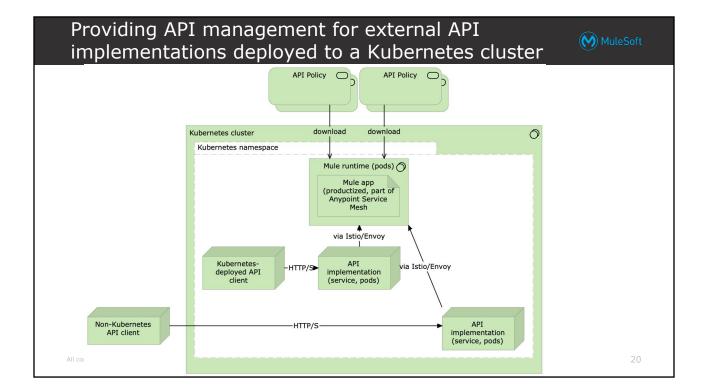


Providing API management via API proxies



- Enable policy enforcement for any API implementation
 - Must use if not Mule app and not Kubernetes-deployed
- API proxy is templated Mule app
 - Auto-generated by API Manager
- Deployed to Mule runtime: API Gateway
 - Technical a "normal" Mule runtime
 - o On iPaaS (CloudHub): auto-provision API Gateway with API proxy
- Exactly one API implementation per API proxy
- API clients must send API invocations to proxy
- API proxy sends separate API invocation to API implementation
- Interface API client->API proxy and API proxy->implementation is
 HTTP-based API
- For coarsed-grained APIs: add separate node

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Providing API management for external API implementations deployed to a Kubernetes cluster



- Anypoint Service Mesh for non-Mule app API implementations in Kubernetes (k8s) cluster
 - Typically fine-grained: would need too many API proxies
- Install into customer-hosted k8s cluster
- Builds on and includes Istio on Envoy
 - Also installed
- Includes k8s-native managed Mule app and Mule runtimes for policy enforcement
 - Replicated pods in k8s namespace
 - o Enforces policies for all API implementations in namespace
- API clients send API invocations to API implementations
 - Istio/Envoy intercept and route to Mule runtime/Mule application for policy enforcement

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Providing API management for external API implementations deployed to a Kubernetes cluster



Current Anypoint Service Mesh **restrictions** are:

- No automated policies
- No custom API policies
- No customer-hosted Anypoint Platform control planes
- Limited set of the API policies

Exercise: Pros and cons of policy enforcement sites



Compare the characteristics of the sites of API policies enforcement available in Anypoint Platform:

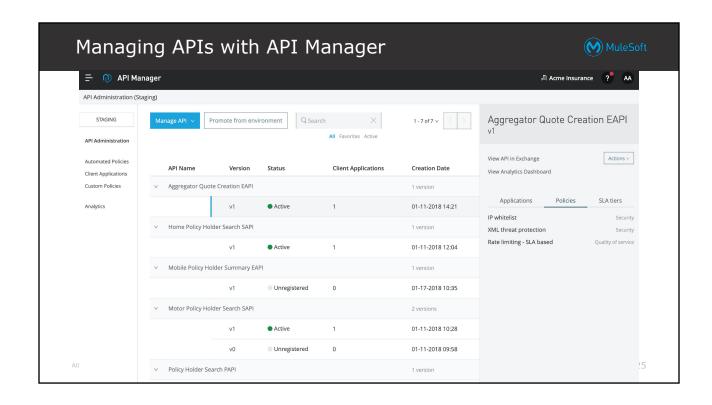
 List scenarios/requirements that would be best addressed by API policy enforcement embedded in the API implementation, in an API proxy, or through Anypoint Service Mesh, respectively

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Solution: Pros and cons of policy enforcement sites



- API implementations are not Mule apps
- Deployed to k8s cluster or not
- Resources must be minized
- **Deployment and CI/CD** must be as simple as possible
- API policies with special resource requirements are applied
 - Caching API policy
 - Security API policy requiring HSM
- API policies require special network configuration
- Security sensitive (Experience) APIs
 - Deployment to DMZ
 - **Shield API implementations** from attacks



Managing APIs with API Manager



- Management of APIs using API instances
 - API instance = endpoint for API with major version in environment
- Configuration of API policies for a given API instance
 - Select API policy template and parameterize it with API policy definition
 - OOTB and custom API policies
- Configuration of automated policies for all API instances in an environment
- Contacted from site of API policy enforcement to download all
 API policies that must be enforced
- Definition of **alerts** based on API invocations

Managing APIs with API Manager



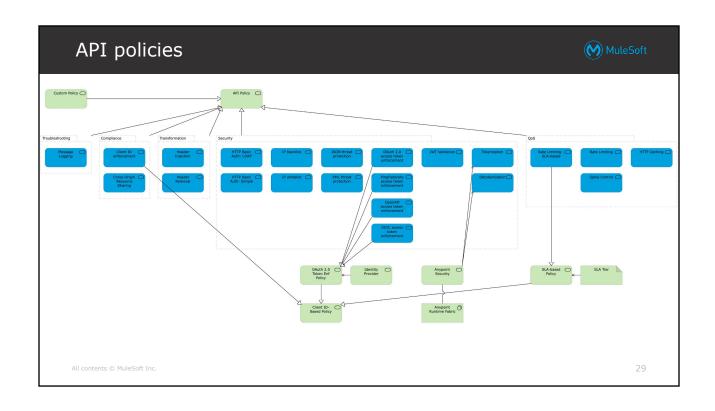
- Admin of **API clients** ("Client Applications")
 - API consumers use Exchange to request access
- API consumers use Exchange to request access to an API
- Access to Anypoint Analytics

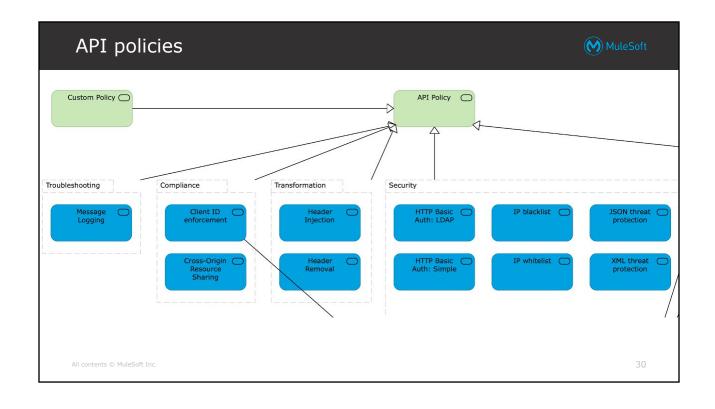
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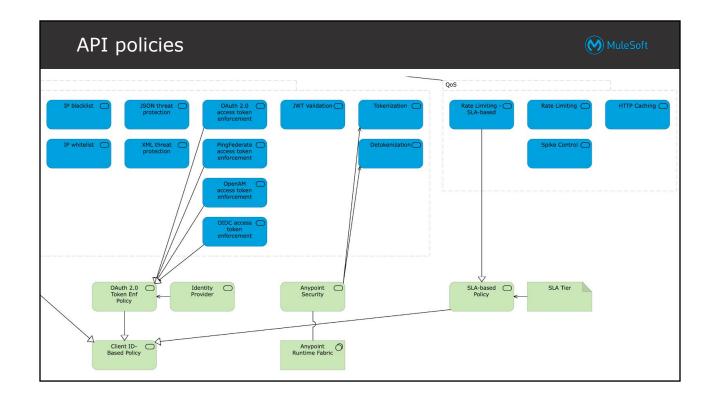
Selectively applying an API policy to some resources and methods of an API



- By default API policies are applied to entire API endpoint
 - Represented as API instance in API Manager
- APIs defined with an API spec (RAML or OpenAPI definition) can apply API policies also to selected combinations of API resources and HTTP methods







API policies as Aspect-Oriented Programming



- API policies are AOP applied to API invocations:
 - o **Ordered**, API implementation/proxy as last element
 - Incoming HTTP request passed down this chain, returning HTTP response passed up
 - API policies implement "around advice":
 - Execute code before/after handing control to the next element in the chain
 - Change HTTP request/response if desired
 - In Mule 4: also applied to outgoing HTTP requests

Understanding custom API policies



- Implementing and applying custom API policies:
 - Very similar to Mule apps
 - Packaged and deployed to Exchange
 - Contains both policy template (code and parameter descriptions)
 - API Manager retrieves policy from Exchange and shows configuration UI to enter the definition (parameter values)
 - Policy template and definition downloaded to any Mule runtime that registers as that API instance

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Compliance-related API policies

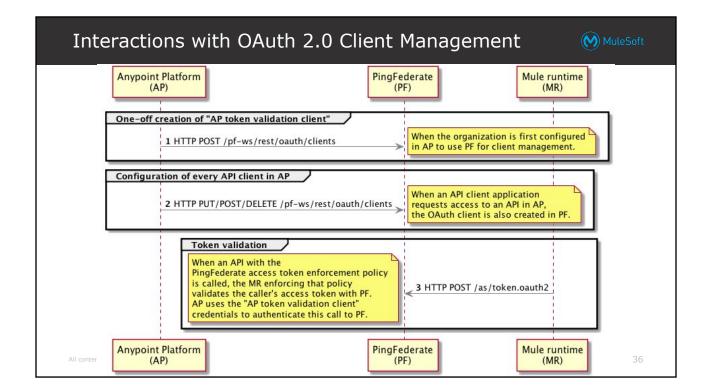


- Client ID enforcement
- CORS control
 - Interacts with API clients for **Cross-Origin Resource Sharing**:
 - Rejects HTTP requests whose **Origin** request header does not match configured origin domains
 - Sets Access-Control-* HTTP response headers to match configured cross-origins, usage of credentials, etc.
 - Responds to CORS pre-flight HTTP OPTIONS requests
 - Can be important for Experience APIs invoked from a browser

Security-related API policies



- Authentication/Authorization
 - OAuth 2.0 token enforcement API policies
 - Require matching Identity Provider configured for **Client Management**
 - OpenAM, PingFederate or OIDC DCR compatible (Okta)
 - Discouraged "OAuth 2.0 access token enforcement using external provider" requires access to Mule OAuth 2.0 provider or other configured in the policy
 - Basic Authentication: LDAP/Simple
 - Incorporate access to Identity Provider
- IP-based access control
 - blacklisting, whitelisting
- Payload threat protection
 - Guard against attacks sending over-sized HTTP request bodies
 - Limit size of XML or JSON bodies
- De/Tokenization
 - Only with Anypoint Security on Runtime Fabric



Java Web Tokens (JWTs)



- Compact claims representation format for
 - HTTP Authorization headers
 - URI query parameters
- Claim:
 - Piece of information asserted about a subject
 - Represented as a name/value pair (String/JSON pair)
- Claims Set:
 - JSON object containing the claims in the JWT
 - May be digitally signed or integrity protected
 - using JSON Web Signature (**JWS**)
 - May be encrypted
 - using JSON Web Encryption (JWE)
- JOSE header describe cryptographic operations applied to the Claims Set
- Unsecured JWTs: created without a signature or encryption

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Source: IETF RFC 7519

JWT Example 1: JWS using HMAC



- JOSE Header
 - JWT that is JWS and MACed using the HMAC SHA-256 algorithm:
 - o { "typ": "JWT", "alg": "HS256" }
- JWT Claims Set
 - o { "iss": "joe", "exp": 1300819380, "http://org.com/is_root": true }
- Complete JWT
 - Above JSON objects are normalized, base64-encoded, MACed,
 - MAC is normalized and base64-encoded
 - All 3 parts concatenated with .
 - eyJ0<snip>NiJ9.eyJp<snip>VlfQ.dBjf<snip>EjXk

Source: IETF RFC 7519

JWT Example 2: Unsecured JWT



- JOSE Header
 - JWT that is JWS and MACed using the HMAC SHA-256 algorithm:
 - o { "alg": "none" }
- JWT Claims Set
 - o { "iss": "joe", "exp": 1300819380, "http://org.com/is_root": true }
- Complete JWT
 - Above JSON objects are normalized, base64-encoded
 - Both parts concatenated with . plus trailing . for missing signature
 - eyJh<snip>lIn0.eyJp<snip>VlfQ.

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Source: IETF RFC 7519

JWT Claims



- **Registered** Claim Names
 - Registered in the IANA "JSON Web Token Claims" registry:
 - o "iss" (Issuer) "sub" (Subject)
 - "aud" (Audience)"exp" (Expiration Time)
 - "nbf" (Not Before) "iat" (Issued At)
 - "jti" (JWT ID)
- Public Claim Names
 - Either registered as above
 - or Collision-Resistant Name (namespaced)
- Private Claim Names
 - Agreed between producer and consumer of a JWT

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Source: IETF RFC 7519

Propoagating user claims in JWTs within an application network APPLICATE SO MuleSoft Inc. OAuth 2 Access Tokens All contents to MuleSoft Inc. OAuth 2 Access Tokens

JWT signing and signature validation



- According to JWS
- Either: Message Authentication Code (MAC)
 - HMAC algorithm
 - Shared secret for signing and signature validation
 - Integrity checks JWT Claims Set
- Or: digital signatures
 - RSA or ECDSA
 - Public/private key pair
 - Private key for signing
 - Public key for signature validation
 - Integrity checks JWT Claims Set
 - **Identifies originator** (= is in possession of private key)

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JWT signing and signature validation



- JWT Claims Set is readable by third parties
 - Not a form of encryption see JWE
- Signature validation by the JWT recipient
 - Requires shared secret or public key
 - matching shared secret or private key used for signing the JWT
 - Typically retrieved from a JSON Web Key Set (JWKS) server at a well-known URL

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Source: IETF RFCs 7515 and 7518

JWT validation API policy



- Validates JWT in incoming HTTP request
 - o By default: from HTTP Authorization header as **Bearer** token
- Validates and propagates the JWT's Claims Set
- Signature validation
 - Rejects HTTP request if signature not valid
 - No support for JWE (encrypted) JWTs
 - Supports JWS (signed) JWTs and validates the signature
 - Only HMAC and RSA
 - Shared secret or public key
 - Either supplied in policy definition
 - or retrieved from JWKS server
 - Supports unsecured (unsigned, unencrypted) JWTs
 - Can also ignore signature even if present

JWT validation API policy



Claims Set validation

- Rejects HTTP request if the JWT Claims Set does not match config
- Supports all types of JWT Claims (registered, public, private)

Claims Set propagation

- Claims Set passed to Mule app that enforces JWT validation API policy
- Local, in-process propagation in variable

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QoS-related API policies



- Quality of Service (QoS) related API policies on Anypoint Platform enforce throughput limit in # of API invocations per unit of time:
 - Rate Limiting: rejects requests above limit
 - Spike Control: queues requests above limit
- Two different ways to define the throughput limit:
 - Non-SLA-based (Rate Limiting and Spike Control)
 - Limit defined on API policy definition
 - Enforced for that API instance across all API clients
 - SLA-based (Rate Limiting)
 - Limit defined in an SLA tier
 - API clients must register with the API instance at a particular SLA tier
 - **Enforced separately** for each registered API client
 - API client must identify itself with client ID
- X-RateLimit-* HTTP response headers optionally inform API client of remaining capacity

Anypoint Platform SLA tiers for APIs



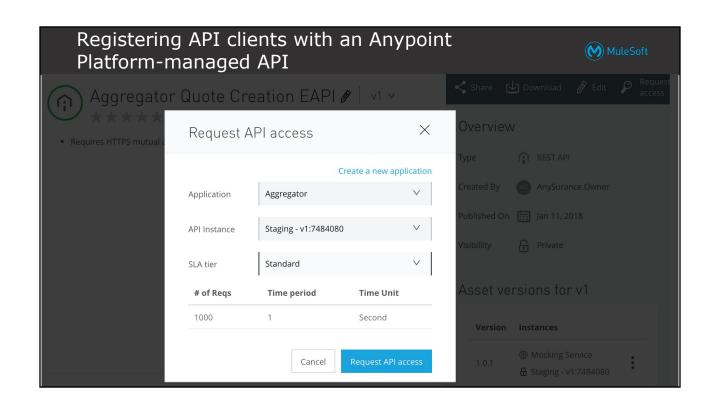
- SLA tiers
 - Enable different API clients to receive different QoS
 - Define one or more throughput limits
 - Per API client and API instance
- API instance with SLA tiers requires every API client to register for access with exactly one SLA tier
 - Manual or automatic approval
 - API clients must send client ID/client secret in API invocations
 - API client is promised the QoS offered by that SLA tier
- Enforcement by SLA-based Rate Limiting API policy
- Violation of SLA monitored, reported and alerted-on

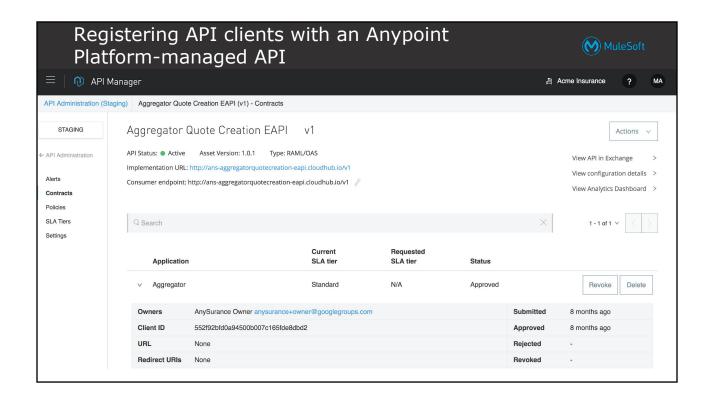
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Registering API clients with an Anypoint Platform-managed API



- API clients must register to invoke API instance with Client **ID-based API Policies**
 - Called "application" or "client application"
 - API-API client relationship: "contract" in API Manager
- Request access through Exchange entry for that API
 - Directly from Exchange or via Public (Developer) Portal
- Access approval is automatic or manual
- API consumer receives client ID and client secret
 - Must be supplied by that API client in all API invocations to that API version in that environment





Client ID-based API policies



- API policies that require API clients to identify themselves:
 - Client ID enforcement
 - Rate Limiting SLA-based
 - Retrieve SLA tier by client ID
 - Also enforce presence and validity of **client ID** and secret (optional)
 - OAuth 2.0 access token enforcement
 - Token implicitly carries client ID
 - Policy exchanges token for client ID and passes it to SLA-based API policy
- Client ID and client secret passed in API invocations as defined by the API policy
 - Query parameters
 - Custom request headers
 - Standard Authorization header as in HTTP Basic Authentication

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HTTP Caching API policy



- Server-side caching
- Caches entire HTTP responses
 - status code, headers, body
 - Size limit of 1MB
- Only if
 - **HTTP request expression** is true:
 - Default: HTTP method is GET or HEAD
 - HTTP response expression is true
 - Default: status code is in restricted set of 2xx, 3xx, 4xx or 5xx
- May honor many caching directives (HTTP headers)
- Cache invalidation via HTTP request header

HTTP Caching API policy - caching parameters



- Key
 - Default: request path
- Number of entries
- Time-to-live
- Distributed
- Persistent

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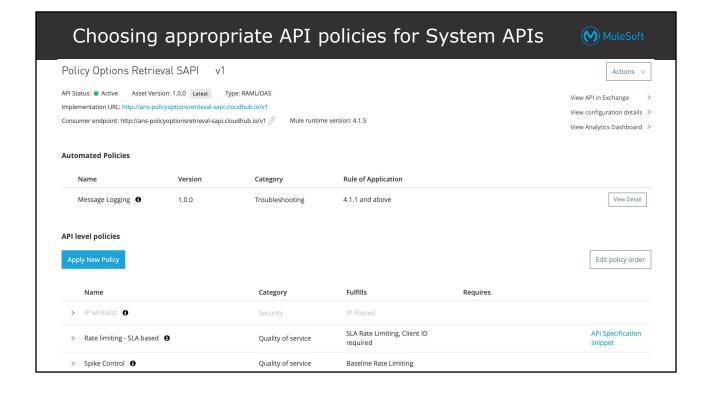
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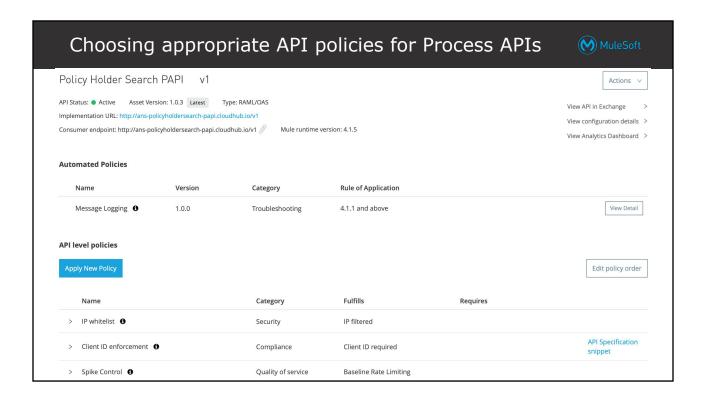
Transformation API policies

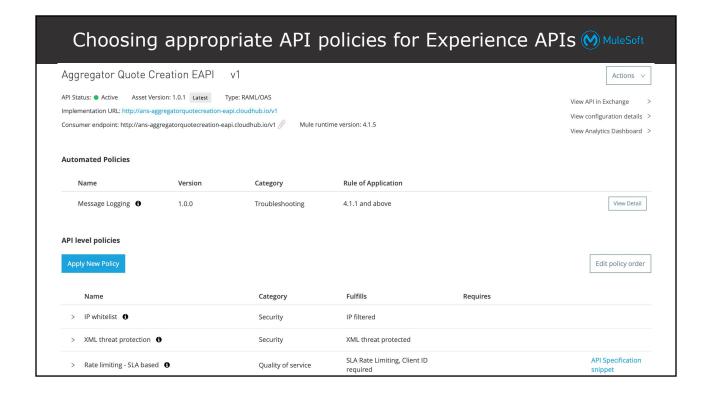


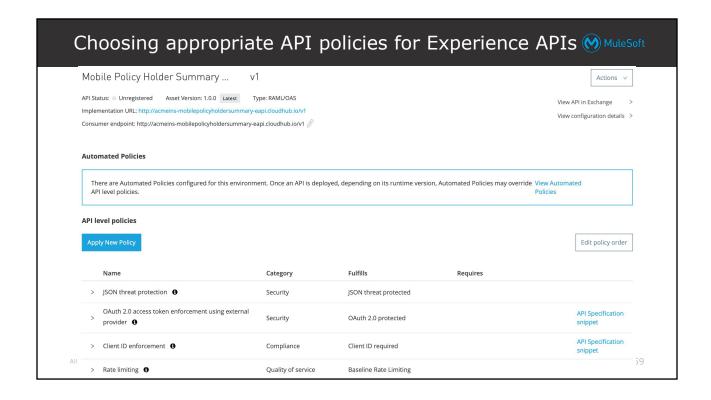
- To manipulate **HTTP headers** in requests and responses:
 - Header Injection
 - Values are expressions and hence dynamically evaluated
 - Header Removal
- For instance, to propagate transcation IDs as HTTP headers along chains of API invocations

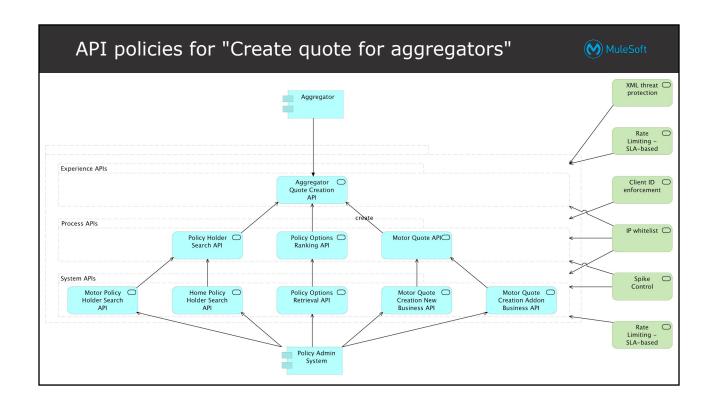
Exercise: Select API policies for all tiers in Acme (M) MuleSoft Insurance's application network Using OOTB API policies CORS, HTTP Basic Auth Simple/LDAP, IP black/whitelist, JSON/XML threat protection, PingFederate/OpenAM/OIDC access token enforcement, Rate Limiting (6LA-based or not), Spike Control, Client ID enforcement, Header Aggregator Quote Creation Injection/Removal 2. Select one API per tier - Selects all API policies to apply and their order Policy Holder Policy Options Motor Quote API 3. Do you miss any Ranking API API policies? Motor Policy C Holder Search Home Policy O Policy Options Motor Quote Motor Quote Retrieval API Creation New Creation Addon Policy Admin System

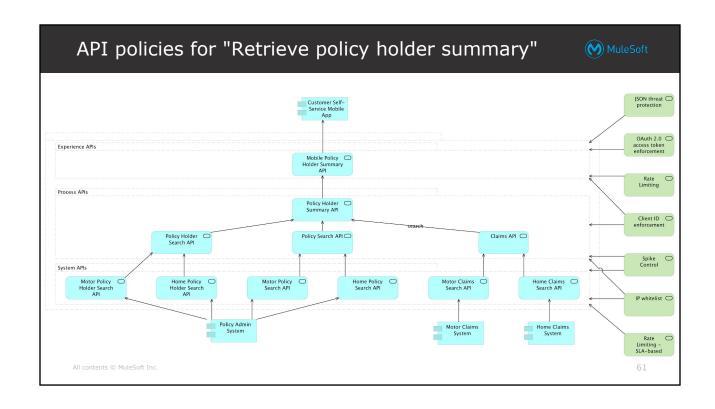


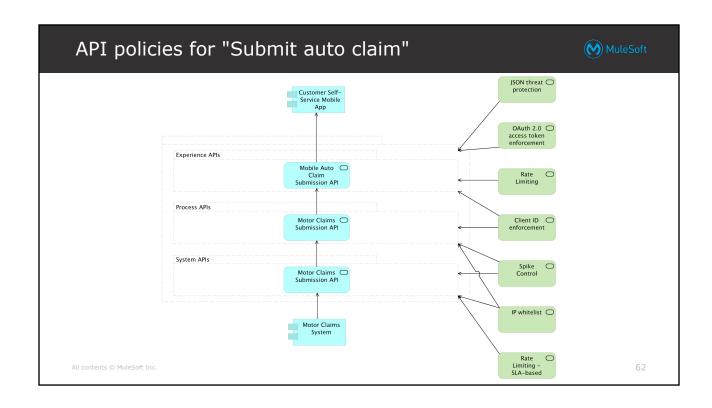








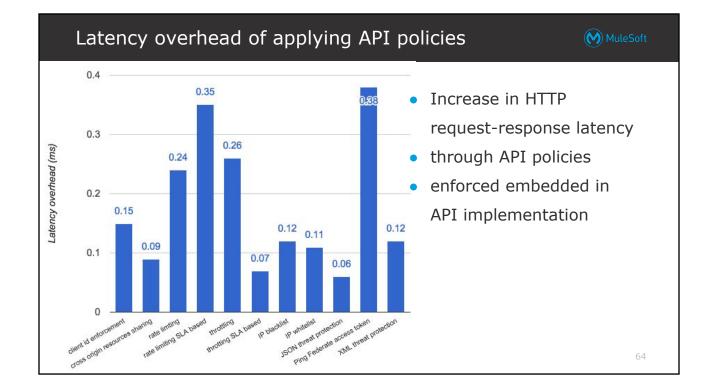




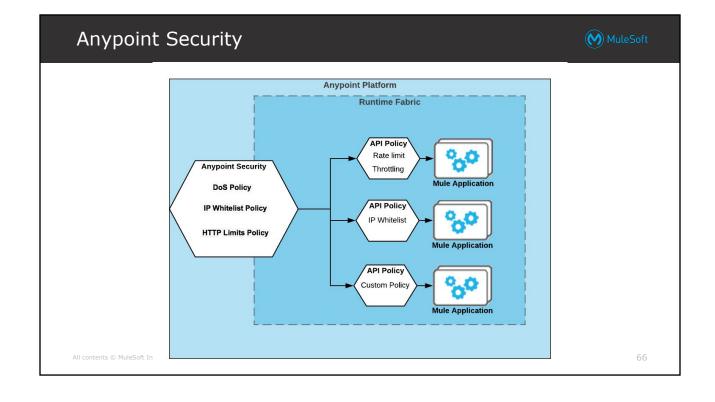
Reflecting the application of API policies in the API spec of an API



- Many API policies change HTTP request/response:
 - Require certain HTTP request headers: Authorization
 - Require certain query parameters: client_id
 - Add HTTP response headers: X-RateLimit-Limit
- Change contract between API client and API implementation
- Must be reflected in API spec of the API
 - RAML has specific support for **securitySchemes** such as OAuth 2.0
 - In other cases define RAML traits
- C4E owns definition of reusable RAML fragments
 - Publish to **Exchange** to encourage consumption and reuse.



Using Anypoint Security and Edge policies in addition to API Manager and API policies



Anypoint Security



- To implement perimeter defence in customer-hosted deployments of Anypoint Platform runtime plane (only) on Anypoint Runtime Fabric (RTF)
- Serves as Kubernetes Ingress and enforces Edge policies
 - Ingress provides load-balancing and SSL termination for external API clients of API implementations deployed to Kubernetes cluster
- Includes Secrets Manager for storing certificates needed for enabling TLS traffic, optionally with mututal auth, to the Ingress
- Anypoint Security and Edge policies independent of API Manager and API policies
 - enforce core set of similar NFRs
- Edge policies enforced once for all APIs exposed from RTF
 - API policies enforced separately for each API implementation
- API policies typically enforced as 2nd line of defence

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Edge policies supported by Anypoint Security



At least the following Edge policies:

- Content Attack Prevention (CAP) by limiting HTTP request properties
 - $\circ~$ HTTP methods, header size, body size, URL path length, \dots
- Whitelisting of API client IP addresses
 - similar to IP-based access control in API policies
- Web Application Firewall (WAF) security policy enforcing the

OWASP Core Rule Set:

SQL injection, cross-site scripting, local file inclusion, HTTPoxy, Shellshock,

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Edge policies supported by Anypoint Security



- DoS attack prevention through monitoring of API clients' HTTP requests
 - Rate limiting or blocking client IP address upon detection of DoS attack
 - Other Edge policies and API policies can escalate policy violations to DoS policy to contribute to detection of DoS attack
 - Defined by rules in DoS policy

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Tokenizing sensitive information in API invocations (M) MuleSoft 1 Mule App Client (order API) **API** Gateway **Tokenization** "order": "91240", "order":"91240". Policy "ccn": " 4444-5678-2312-4567" "ccn": " 3200-9871-4628-3977" # [payload.ccn] Token: 4444-5678-2312-4567 3200-9871-4628-3977 **Tokenization Service**

Tokenizing sensitive information in API invocations



A security feature of **Anypoint Security** and is enabled by a **Tokenization Service** and corresponding **API policies** (not Edge policies):

- Tokenization replaces sensitive information (credit card number, SSN, account number, any regex, ...) with a reversable token
- Detokenization restores the original sensitive information
- Typically format-preserving such that downstream systems' validation rules are not violated
 - 1234-5678-9012-3456 -> 9264-1956-3442-3456 (tokenization of credit card number, configured to preserve format and keep last 4 digits)

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Tokenizing sensitive information in API invocations Rutime Fabric Tokenization Anypoint Security Anypoin

Tokenizing sensitive information in API invocations



- Applied to HTTP requests or responses sent to/from individual APIs by configuring the Tokenization and Detokenization API policies via Anypoint API Manager on the corresponding API instances
- Tokenization Service is deployed to RTF and these API policies delegate to it the actual de/tokenization
- Anypoint Security implements vaultless tokenization
 - There is no database that stores the original, clear-text values
 - Tokens are not amenable to brute-force attempts of detokenization



Summary



- NFRs for products are constraints on throughput, response time, security and reliability
- API Manager and API policies control invocations of APIs and impose non-functional constraints
- Compliance, Security, QoS, Transformation
- API policies enforced
 - Directly in an API implementation that is a Mule app
 - In an API proxy
 - Via Anypoint Service Mesh

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Summary



- Client ID-based API policies require registered API clients
 - Must pass client ID/secret with every API invocation
- C4E defines guidelines for API policies and publishes matching reusable RAML fragments to Exchange
- Anypoint Security can enforce Edge policies to implement perimeter defence in customer-hosted deployments of Mule runtimes on Anypoint Runtime Fabric
- De/Tokenization can be applied to API invocation content by API policies that require Anypoint Security