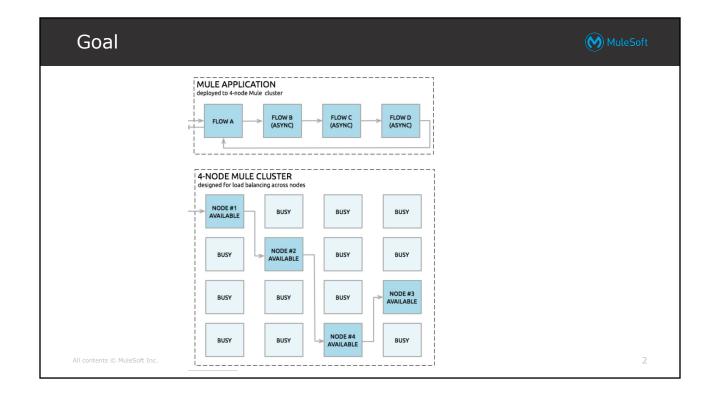


# Module 13: Designing for High Availability Goals



### At the end of this module, you should be able to



- Clarify HA goals for Mule applications
- Balance HA goals with reliability and performance goals
- Identify ways to achieve high availability (HA) using Anypoint Platform, in CloudHub and on-premises
- Describe how clustering and load balancing works
- Identify HA aware connectors and their design tradeoffs

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# Achieving high availability (HA) goals using multiple Mule runtimes

# Distinguishing between high availability (HA) vs. disaster recovery (DR) goals

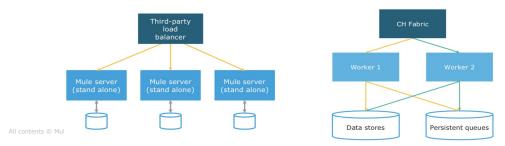


- High availability (HA)
  - How to keep the overall system operational when a system component fails
    - Usually achieved with multiple levels of fault tolerance and/or load balancing
  - In CloudHub, you can deploy a Mule application to multiple CloudHub workers
- Disaster recovery (DR)
  - How to restore a system to a previous acceptable state after a natural or man-made disaster
  - Must compensate for failure of entire sub-systems/regions/zones/data centers, and hence there are a large number of system components that failed
- Read details in the Mule User Guide https://docs.mulesoft.com/mule-user-guide/v/3.9/hadr-guide

# Making Mule applications highly available using Mule runtimes



- High availability can be achieved by horizontally scaling to multiple Mule runtimes
  - Process on multiple concurrent physical machines/VMs, often distributed across networks
- HA goals can be met via load balancing and/or clustering
  - Clustering of Mule runtimes uses an active-active model of node
  - Load distributes across the active nodes



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### Comparing Mule runtime server groups vs. clusters



- Runtime Manager can define server groups or clusters
- A server group is just an administrative grouping of isolated Mule runtimes
- A cluster provides additional guarantees to prevent contention between the Mule runtimes
  - File access by File based transports
  - All JMS topic subscribers connect to the same topic, resulting in duplicate processing
  - JMS request/response queues might send the response to a different Mule runtime, causing uncorrelated response processing or other failures
  - Salesforce streaming API will fail because the API only supports a single consumer

https://docs.mulesoft.com/mule-runtime/4.1/mule-high-availability-ha-clusters

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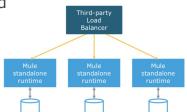
# Unclustered load balancing for HA and performance in customer-hosted runtime planes



- Multiple Mule runtimes are configured to run the same Mule application(s)
- Does not share or synchronize data between Mule runtimes
  - Could potentially lead to processing duplicate or lost messages
  - But using a shared database, message broker, or other system is possible

Messages must be distributed or load balanced

Requires third party products



# Achieving HA in CloudHub using load balancing between multiple CloudHub workers

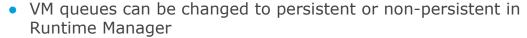


Collection of Regional Data Centers

VPC - 10.0.0.0/16

- HA can be achieved using multiple CloudHub workers (>1)
  - Easy to configure
  - Workers do not share any memory
  - Workers can use an external system for state management
- Each worker is created in a different availability zone in the same AWS region





- Without changing any configuration or properties of the deployed Mule app

q

### Achieving HA using customer-hosted clusters



- A cluster is a set of customer-hosted Mule runtimes that act as a unit
  - Servers in a cluster communicate and share information through a distributed shared memory grid
  - Selected data is replicated across memory in different physical machines
  - Cluster nodes can be configured to be more reliable so they also copy data to disk or external storage
- Nodes are aware of each other
- All cluster nodes work in active-active mode and there is a primary node where Schedulers, JMS listeners, etc. run
- To manage peak loads, nodes can be added to / subtracted from a cluster
- Only available for customer-hosted Mule runtimes

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### How clusters survive failure of a Mule runtime



- If one Mule runtime (node) fails, outstanding tasks transfer automatically to surviving nodes in the cluster so are still **available**
- If the failed node was the primary node than one of the remaining nodes is elected as the new primary node to continue managing HA
- Nodes can be added to a cluster to manage peak load, then later subtracted, without needing to redeploy Mule applications
- A cluster can be tuned to be either more performant or more reliable
  - The cluster can be configured with a quorum count so the cluster is not available until a minimum number of nodes are active
  - The cluster can be configured to decide how many nodes replicate the data, so data can survive if multiple nodes go offline

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## How customer-hosted Mule runtimes (nodes) join a cluster



Nodes can discover and join a cluster using multicast or unicast

|                              | Unicast   | Multicast   |
|------------------------------|---|---|
| Characteristics              | Cluster uses IP address for identifying server  | Cluster group servers automatically<br>detect each other                            |
| Pros                         | No special network configuration<br>other than IP of server   | <ul> <li>Nodes dynamically join the cluster<br/>when the node is started</li> </ul> |
| Cons                         | <ul> <li>IP of at least one other node must<br/>be known and configured in each<br/>node's cluster configuration</li> </ul> | Only permitted in network where<br>multicast is allowed                             |
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### How shared memory is used in a cluster



- A cluster is implemented using Hazelcast to create a distributed shared memory data grid
  - Data is automatically replicated and available between the cluster's nodes
  - This allows data to survive if a node crashes or otherwise leaves the cluster
- Components that use a cluster's shared memory include
  - VM Queues
  - Object Stores
- Most connectors are not cluster-aware
  - But all connectors that use an Object Store are implicitly cluster-aware
  - Examples include: Cache scope, Idempotent Message validator, and the Round Robin router

### Features of clustering and load balancing



Both clustering and load halancing in active-active scenarios

| ha             | ave pros and cons  |   |
|----------------|--|---|
|                | Clustering   | Load Balancing  |
| Pros           | <ul> <li>Shared, distributed memory</li> <li>Ideal for HA scenarios</li> <li>Built-in load balancing for VM queues</li> <li>Built into Mule</li> </ul>                                     | <ul> <li>Easy to set up</li> <li>No performance overhead due to<br/>latency or data replication</li> <li>Configurable load balancing<br/>algorithms (round-robin, IP sticky,<br/>load-based, etc.)</li> </ul> |
| Cons           | <ul> <li>Performance overhead due to latency<br/>and data replication</li> <li>Not supported by CloudHub</li> <li>Requires 3rd-party product to<br/>achieve HTTP load balancing</li> </ul> | <ul> <li>Requires third-party product</li> <li>No data synchronization</li> <li>Manage idempotency<br/>programmatically</li> </ul>  |
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# Identify cluster aware connectors and design consideration for HA



- Socket based
  - Receives incoming traffic
  - Traffic must be distributed
  - Outbound socket based connectors don't need special consideration
  - Example: HTTP
- Resource based
  - Cluster automatically manages access to resource so only one clustered instance can access resource at a time
  - Outbound (writing) resource based connector generates unique resources
    - Examples: File, FTP
  - Distributed locking is not supported while writing

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# Comparing storage behavior for one or more customer-hosted Mule runtimes

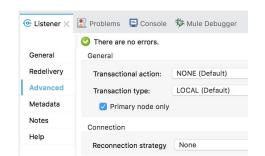


|  | Non-persistent Object Store   | Persistent Object Store  |
|--|---|--|
| Standalone Mule runtime                        | <ul> <li>in-memory store in the local Mule<br/>runtime</li> <li>Data does not survive server<br/>restart</li> </ul> | <ul><li>File-based store</li><li>Data survives server restart</li></ul>  |
| Customer-hosted server group                   | <ul> <li>Isolated in-memory store per<br/>Mule runtime</li> <li>Data does not survive server<br/>restart</li> </ul> | <ul> <li>Isolated file-based store per Mule<br/>runtime</li> <li>Data survives server restart</li> </ul>   |
| Cluster of<br>customer-hosted<br>Mule runtimes | <ul> <li>in-memory store in the local Mule<br/>runtime</li> <li>Data does not survive server<br/>restart</li> </ul> | <ul> <li>Hazelcast data-grid</li> <li>The cluster itself can be configured<br/>to be only in-memory, or to use<br/>file-based storage</li> </ul> |
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# Identify cluster aware connectors and design consideration for HA



- Listener based
  - Traffic is distributed automatically
  - Must decide if the listener should only fire on the primary node or on all nodes
  - Examples: VM, JMS
- Schedulers
  - The scheduler only fires on the primary node

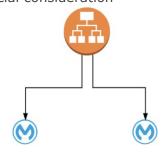


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### Load balancing for HTTP/S connector



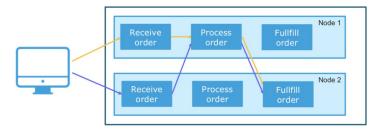
- For customer-hosted Mule runtimes, HTTP requests need to be load balanced through a 3rd-party product
  - Traffic must be distributed
  - Load balancers is required (Nginx, Apache web server)
  - Outbound socket based connector don't need special consideration
- For CloudHub workers, HTTP requests are automatically load balanced through shared or dedicated CloudHub load balancers



### Clustering for VM Connector



- Messages published to a VM queue in a cluster are automatically load balanced to receiving flows
  - No additional servers or infrastructure are required
  - Every node in the cluster can execute flows of deployed Mule apps
  - The cluster manager automatically determines what node to use based on load
    - Not a deterministic round-robin algorithm



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### Understanding Anypoint MQ

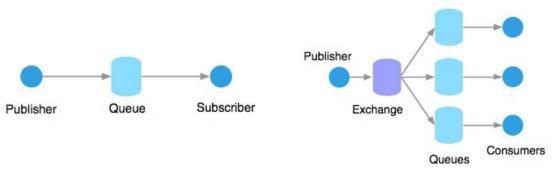


- Anypoint MQ is a multi-tenant, cloud messaging service that enables customers to perform advanced asynchronous messaging scenarios between their applications
  - Is fully integrated with Anypoint Platform
    - Offers role based access control
    - Client application management
    - Connectors
  - Optionally, can provide strict first in, first out (FIFO) processing to enable ordering of messages
  - REST API allows access by non-Mule applications
  - Displays usage statistics on the number of messages

### Anypoint MQ message processing pattern



- An Anypoint MQ queue delivers a single message to a single consumer
- An Anypoint MQ exchange provides a way to broadcast a single message to many queues



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### How Anypoint MQ distributes messages



- In Anypoint MQ, reliability is provided via queues through a lock and ack mode
- A consumer retrieves messages from a queue
  - This locks the messages for a finite period of time, and makes the messages invisible to all other consumers
- If the message is not processed within the lock period, the lock times out, and consequently the message is made visible to other consumers to be processed
- This ensures that if there was a failure of some sort, such as the consumer node crashed, a message can be processed by another node

# Messages in a VM queue are not load balanced for Mule apps deployed to a **standalone Mule runtime**



- Messages passed on a VM queue will NOT be automatically load balanced to receiving flows across Mule runtimes
  - Every standalone Mule runtime node will execute flow instances independently
  - The processing happens on a single node
  - No distributed processing

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# How VM connectors are load balanced in customer-hosted Mule runtimes



- When the Mule runtimes are combined into a cluster, persistent queues are backed by the memory grid
- Then multiple consumers share the VM queue, and messages are automatically load balanced between consumers
- The load balancing is as fast as possible, to whichever consumer is first (not round-robin)

### How VM connectors are load balanced in CloudHub



- In CloudHub, each persistent VM queue is listened on by every CloudHub worker
  - But each message is read and processed at least once by only one CloudHub worker, and duplicate processing is possible
  - If the CloudHub worker fails, the message can be read by another worker to prevent loss of messages and this can lead to duplicate messaging
  - By default, every CloudHub worker's VM Listener receives different messages from the VM queue

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### Load balancing for JMS connector



- Unlike VM, JMS listener's default behavior is to receive messages only in the primary node, no matter from what kind of destination messages are being consumed
- If consuming from a queue, the *primary node* configuration can be change to false to receive messages in all the nodes of the cluster
- Normal subscriptions where each subscriber will receive a copy of the published message, if consuming from topic with shared subscriptions mechanism (a mechanism for distributing messages to a set of subscribers to shared subscription topic), then you'll want to change the cluster configuration to consume messages only in the *primary node to false*

### Load balancing for FTP, File connectors



- A cluster automatically manages access to a resource so only one clustered node can access the resource at a time
- An outbound resource based connector generates unique resources
- Distributed locking is not supported

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### Failures of node in cluster / load balancer

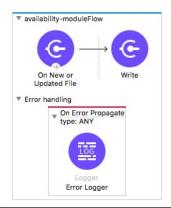


- Failure of a node in a cluster or load balancer causes failures of HTTP requests processed by that node
  - However a typical enterprise level load balancer (such as AWS ELB) automatically recognizes failures of a node and stops sending subsequent requests to the failed node
- JMS, File, VM, FTP listeners, and Schedulers continue to perform processing on the elected primary node in cluster
  - The behavior is mostly consistent with the behavior in CloudHub
  - The primaryNodeOnly configuration is only used in a cluster, not by CloudHub
- Failures of a load balancer is a single point of failure
  - CloudHub load balancers are highly available and deployed in more than one availability zone

# Exercise 13-1: Design an HA and reliable application for file transfer



 Design a file transfer use case that meets agreed HA goals, including minimum uptime and the minimum allowed number of Mule application failures



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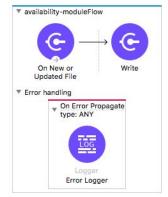
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### Exercise context



- The Mule application has to transfer a file from a local directory to an online FTP server
- The Mule application has to meet an HA availability SLA of 99.99% per year and must be highly reliable against Mule application

failures



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# Exercise overview: Design an HA and reliable Mule application for file transfer

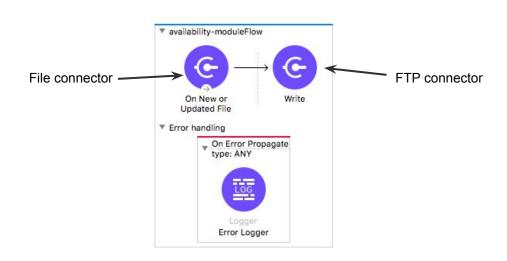


- Design flow/s for the Mule application
- Identify scaling options for the Mule application
- Identify the number of workers for the Mule application
- Decide Mule application persistence options to meet the Mule application's reliability goals
- Design the Mule application to meet reliability goals regarding Mule application and system crashes
- How would you design one flow to transfer a file to the FTP server
  - This is less reliable, but easier and more direct to implement

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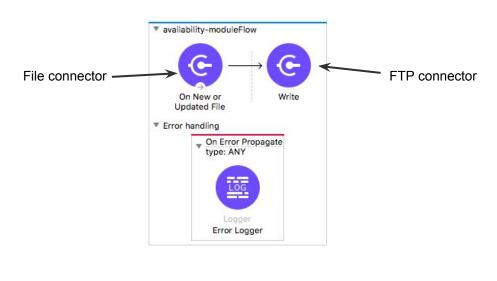
### Exercise step: Design the file transfer flow





# Exercise steps: What happens if the FTP write fails and the file is already deleted?





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### Exercise step: Answer these questions



- What failures points can reduce reliability of the system?
- If an error happens after reading the file, but before the file is completely processed, how can you assure the file is not deleted?
- What should be done if file reading fails a few times?
- What should be done if the FTP write process fails a few times?
- Can persistence help to enhance reliability?
- What are the options to maintain persistence?
- When should the file be deleted from the inbound file server?
- What horizontal or vertical scaling options can help?

# Exercise solution options: Design an HA and reliable Mule application for file transfer

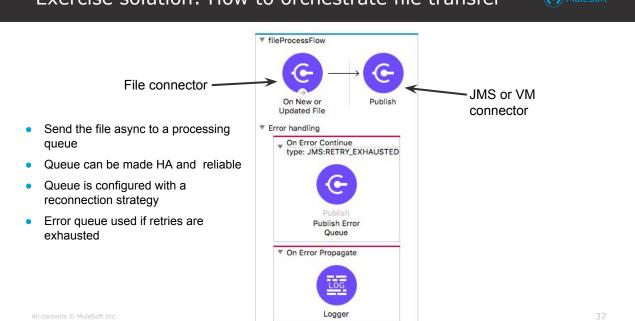


- Vertically scaling
  - A single Mule runtime does not make sense to use one node for HA (99.99%)
  - A better option is to horizontally scale the Mule application across multiple Mule runtimes
- Horizontal scaling options
  - Deploy to multiple Mule runtimes
    - Multiple nodes would access the same file
    - VM transport will not load balance between nodes
  - Clustering
    - Cluster manages resource access so only one node can access file
    - VM transport is selected for application persistence to enhance reliability in cluster

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### Exercise solution: How to orchestrate file transfer





### Exercise solution: How to orchestrate ftp process

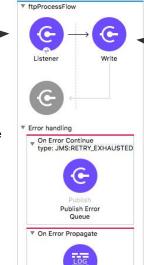


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JMS or VM connector

- Receive files from the processing queue
- Queue can be made HA and reliable
- Queue is configured with a reconnection strategy
- For JMS, the Listener can also configure a redelivery policy
- Error queue used if JMS redeliveries are exhausted

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Logger

- Write the processed file using an FTP connector
- Set a reconnection strategy

- FTP connector

 If the Mule application is deployed to multiple Mule runtimes (or CloudHub workers), then several files can be processed concurrently

Summary

### Summary



- HA goals must be clearly defined for applications
  - Performance and HA are often opposing goals, which involve trade-offs
- HA is achieved by scaling the Mule application with clustering and load balancing
- Load balancing and clustering for HTTP/S requests require an external product
- Automatic load balancing in a cluster only works for flows that start with a VM connector

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