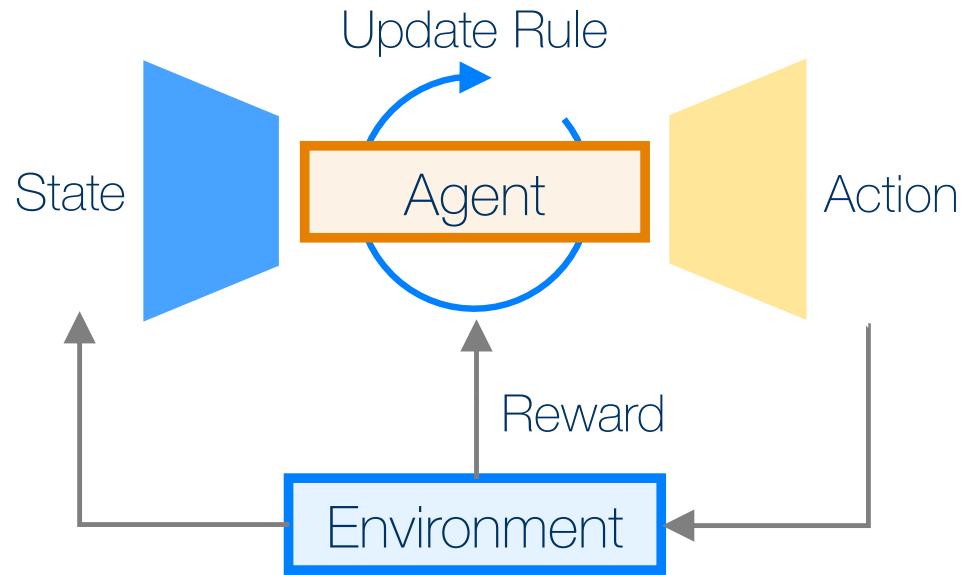


# Towards Generalizable Autonomy

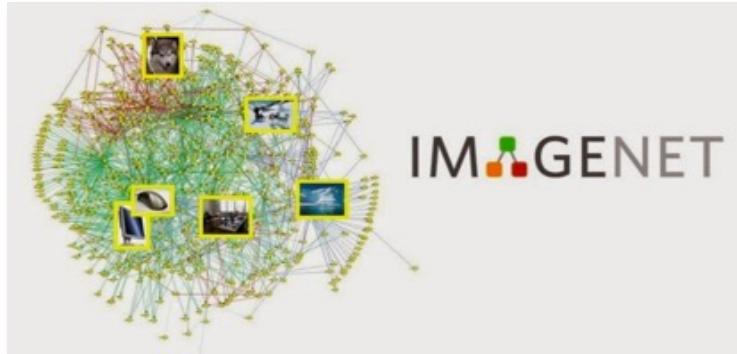
## Structure in Reinforcement Learning for Robotics



Animesh Garg

# Generalizable Autonomy: Computer Vision & Language

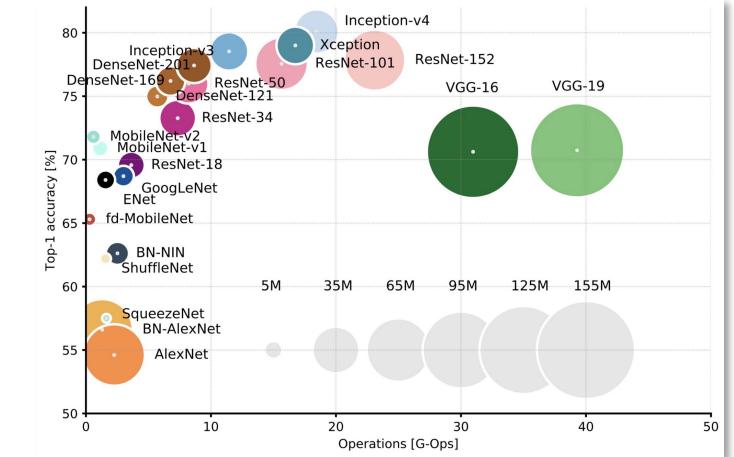
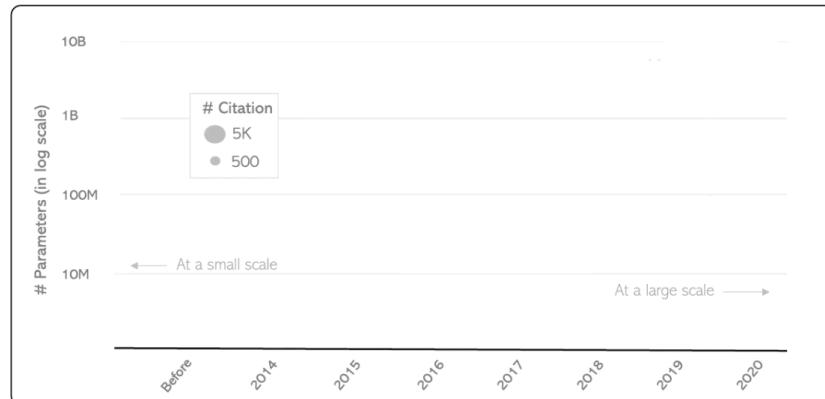
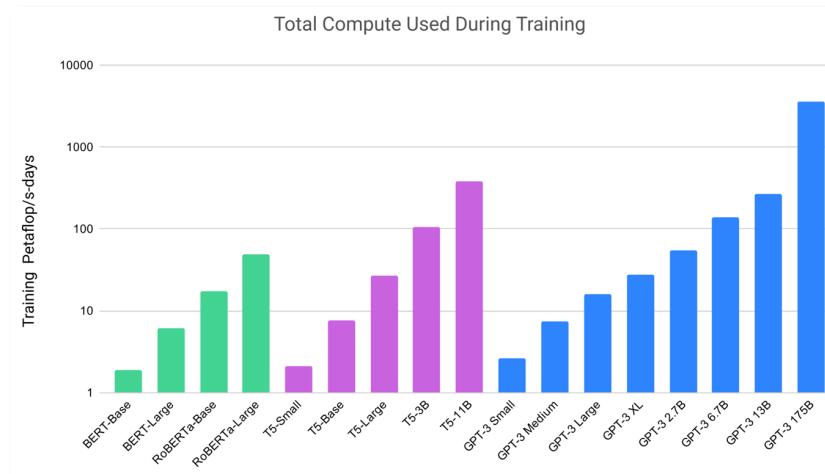
Structured Models + Data + Compute → Performance



Open Images Dataset

SQuAD  
The Stanford Question Answering Dataset

Common Crawl



Model	EM	F1
Human Performance Stanford University (Rajpurkar & Jia et al. '18)	86.831	89.452
IE-Net (ensemble) RICOH_SRCB_DML	90.939	93.214

# Generalizable Autonomy: Computer Vision & Language

## Ingredients of Modern Machine Learning & Applications



### Large Structured Models

- Over-parameterized
- Structured Biases



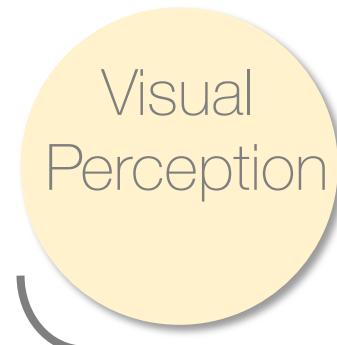
### IID Data & Datasets

- Concise problem Definition
- IID Data, easier to label

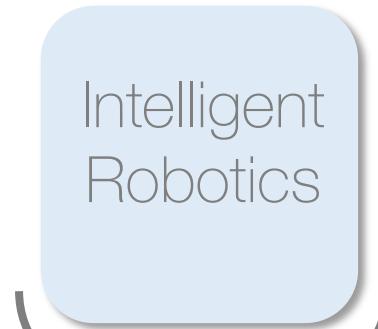


### Distributed Deployment

- Large Scale Compute
- Distributed Deployment

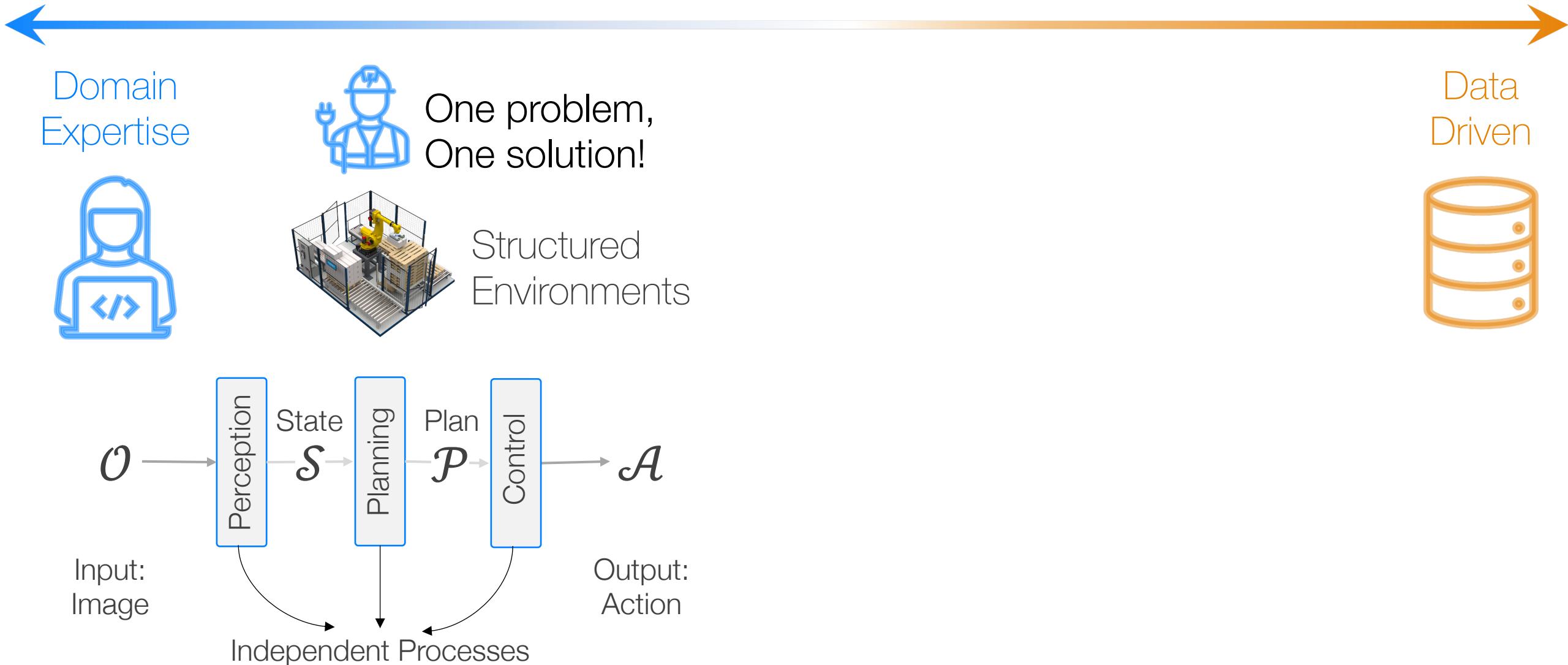


Passive Offline Decisions

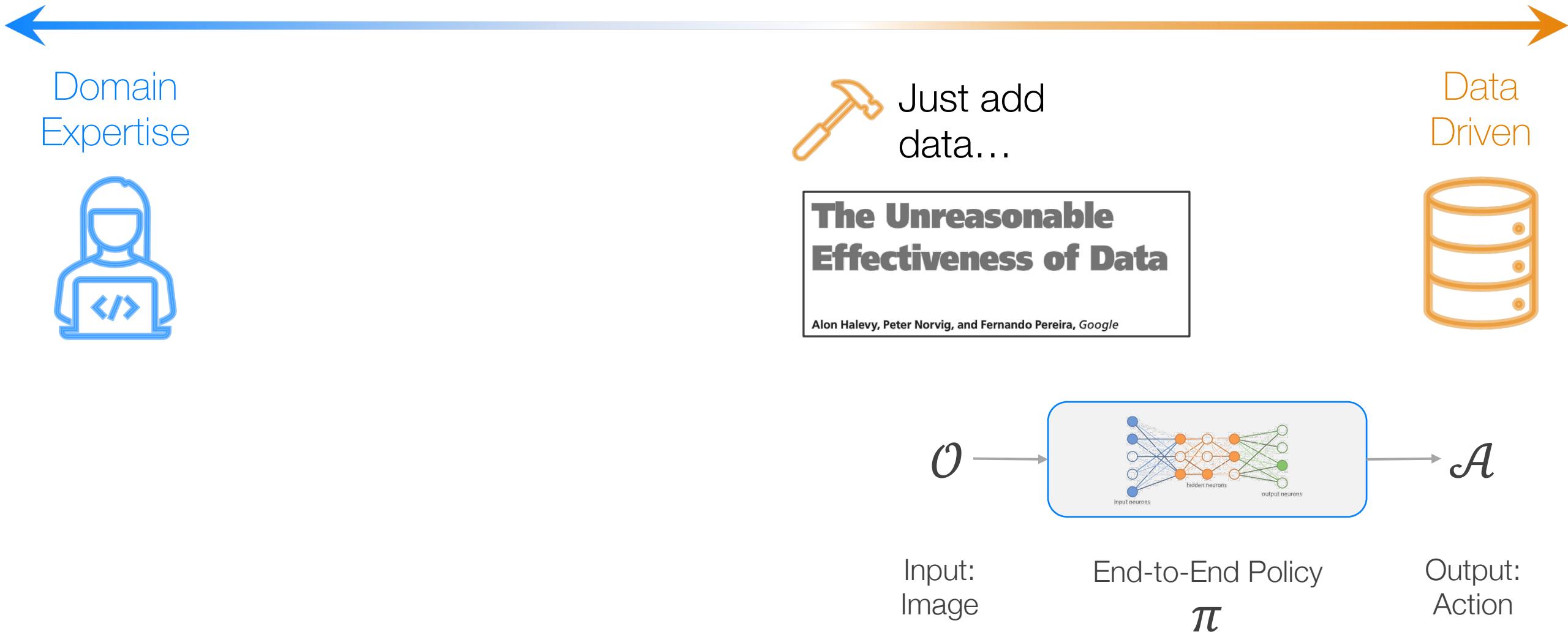


Embodied

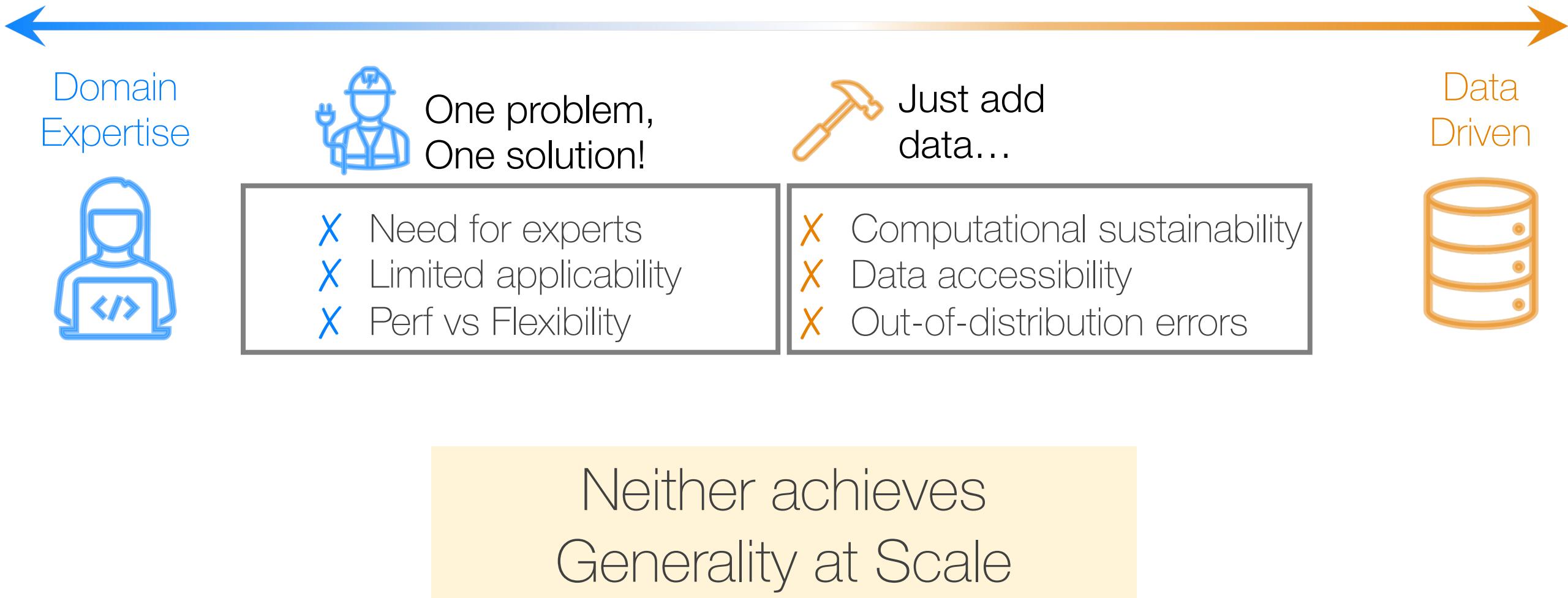
# Generalizable Autonomy: Duality of Discovery & Bias



# Generalizable Autonomy: Duality of Discovery & Bias



# Generalizable Autonomy: Duality of Discovery & Bias



# Generalizable Autonomy: Duality of Discovery & Bias



Domain  
Expertise

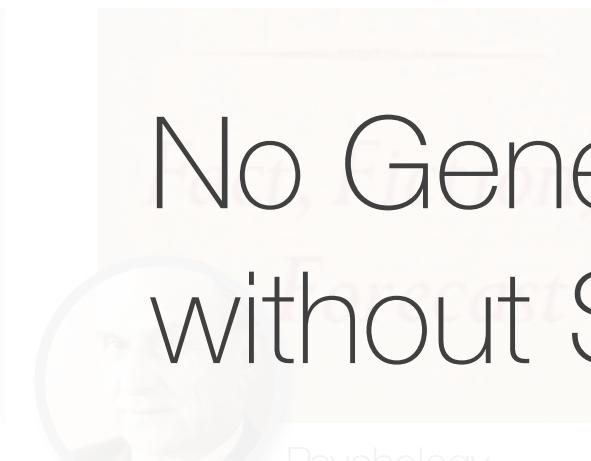
Data  
Driven

...make the **inductive** leap necessary to classify instances beyond observed...

...other sources of information, or **biases** for choosing one generalization over the other...



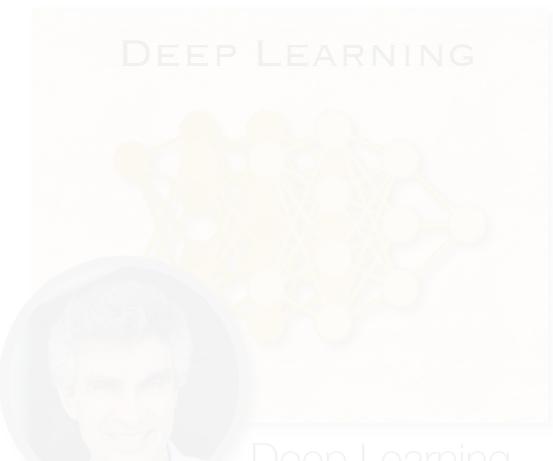
Philosophy  
David Hume  
1739



Psychology  
Nelson Goodman  
1955



Machine Learning  
Tom Mitchell  
1980



Deep Learning  
Bengio, Hinton,  
LeCun 2020s

# Generalizable Autonomy: Duality of Discovery & Bias



Domain  
Expertise

Data  
Driven

## Generalizable Autonomy

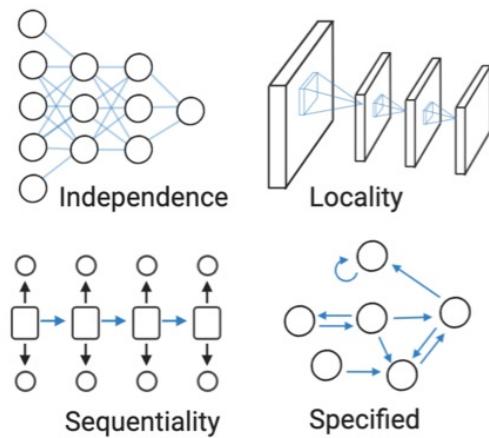
### Structure + Data

- Domain knowledge,
  - Inductive bias,
  - Symmetries,
  - Priors
  - ...
- Online & Offline,
  - Simulation & Real,
  - Labelled & self-supervised
  - Human in the loop
  - ...

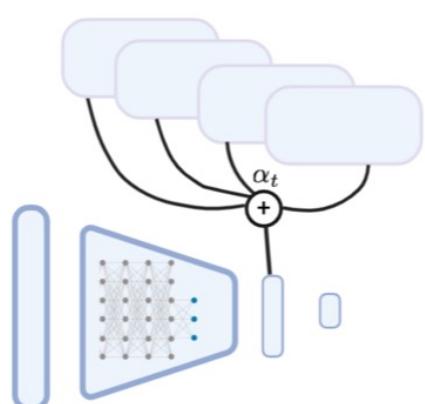
# Structured Representations: Vision & Language

Insight: Structure makes learning possible

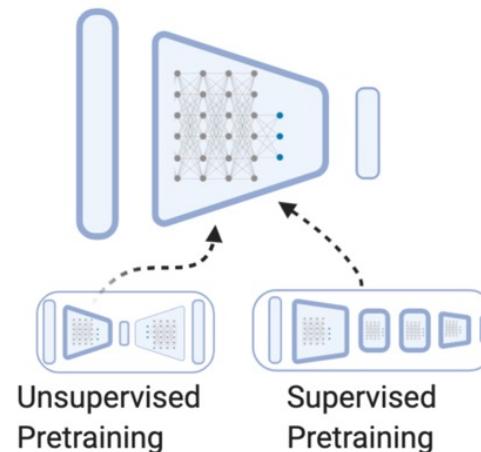
## Relational Inductive Biases



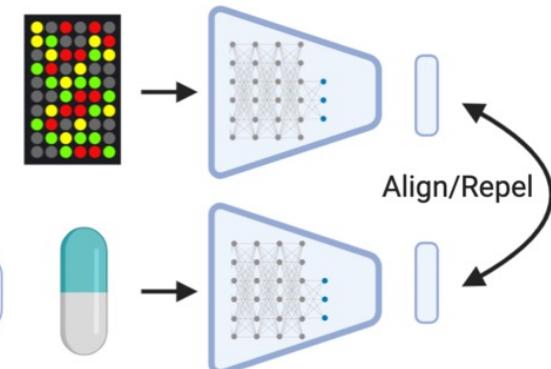
## Attention Mechanisms



## Transfer Learning



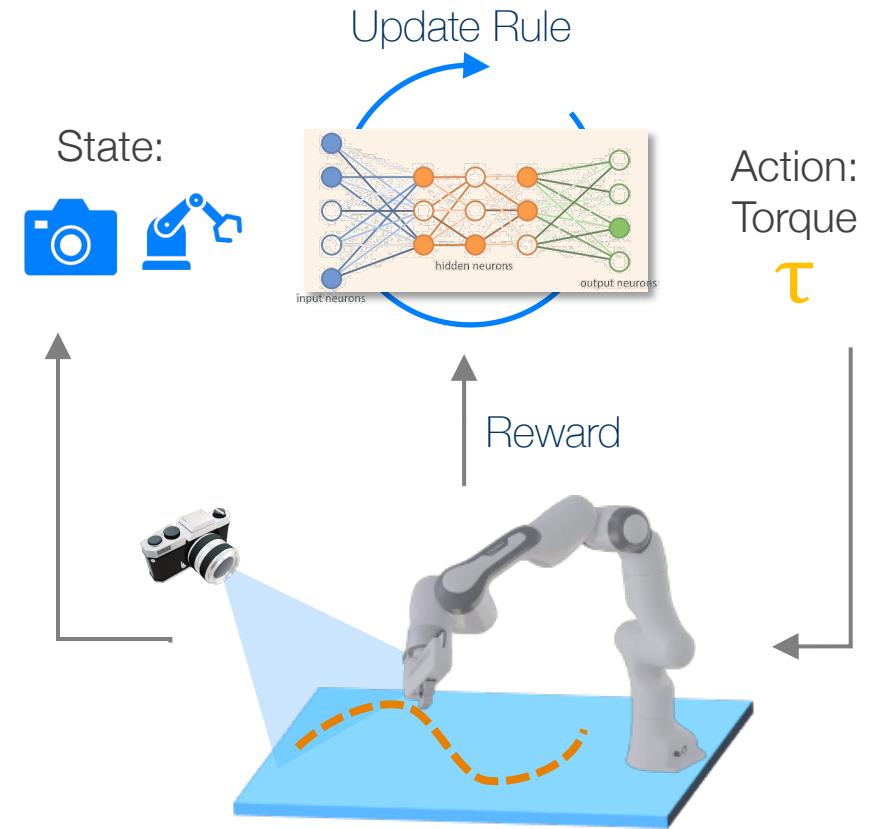
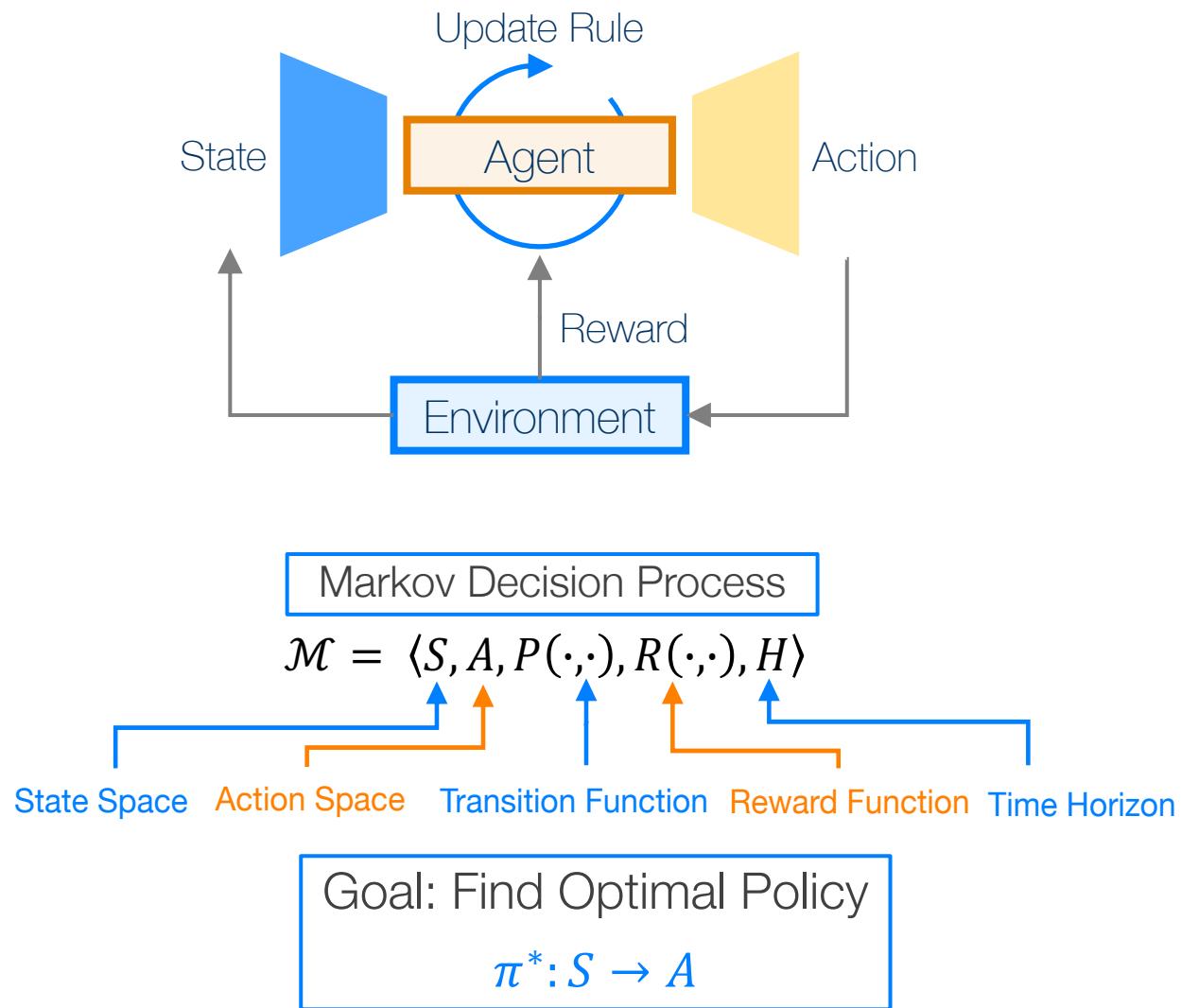
## Contrastive Methods



←  
Explicit  
Capacity-Focused

Implicit  
Task-Focused

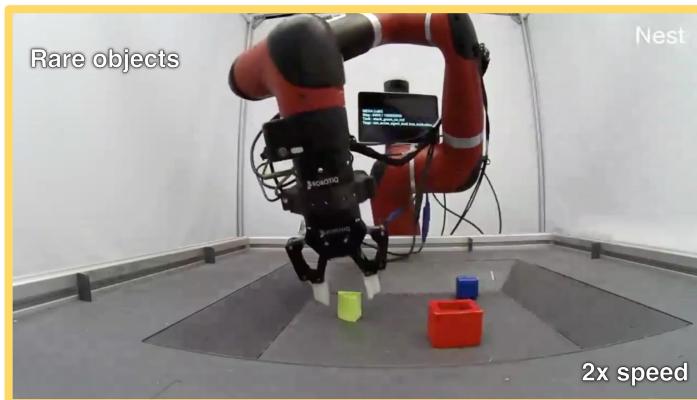
# Structure in Reinforcement Learning



# Structure in Skill Learning: or the lack of it

Slow and Narrow:

- Specific tasks (Grasp/Stack)
- Often Supervised and rigid!



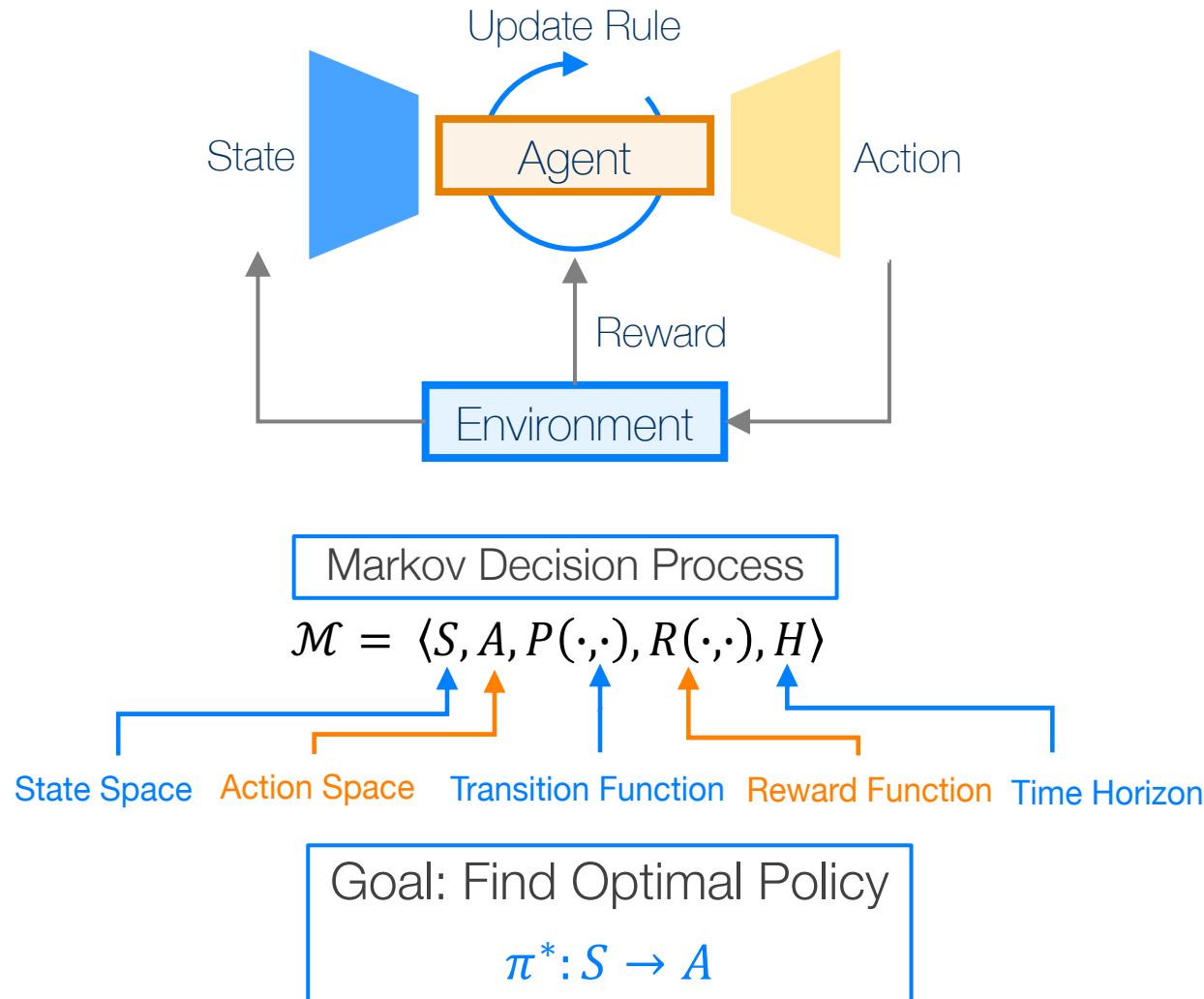
Learning Fast: Elephants Learning to use trunk



Learning Broad: Human infant learns to interact

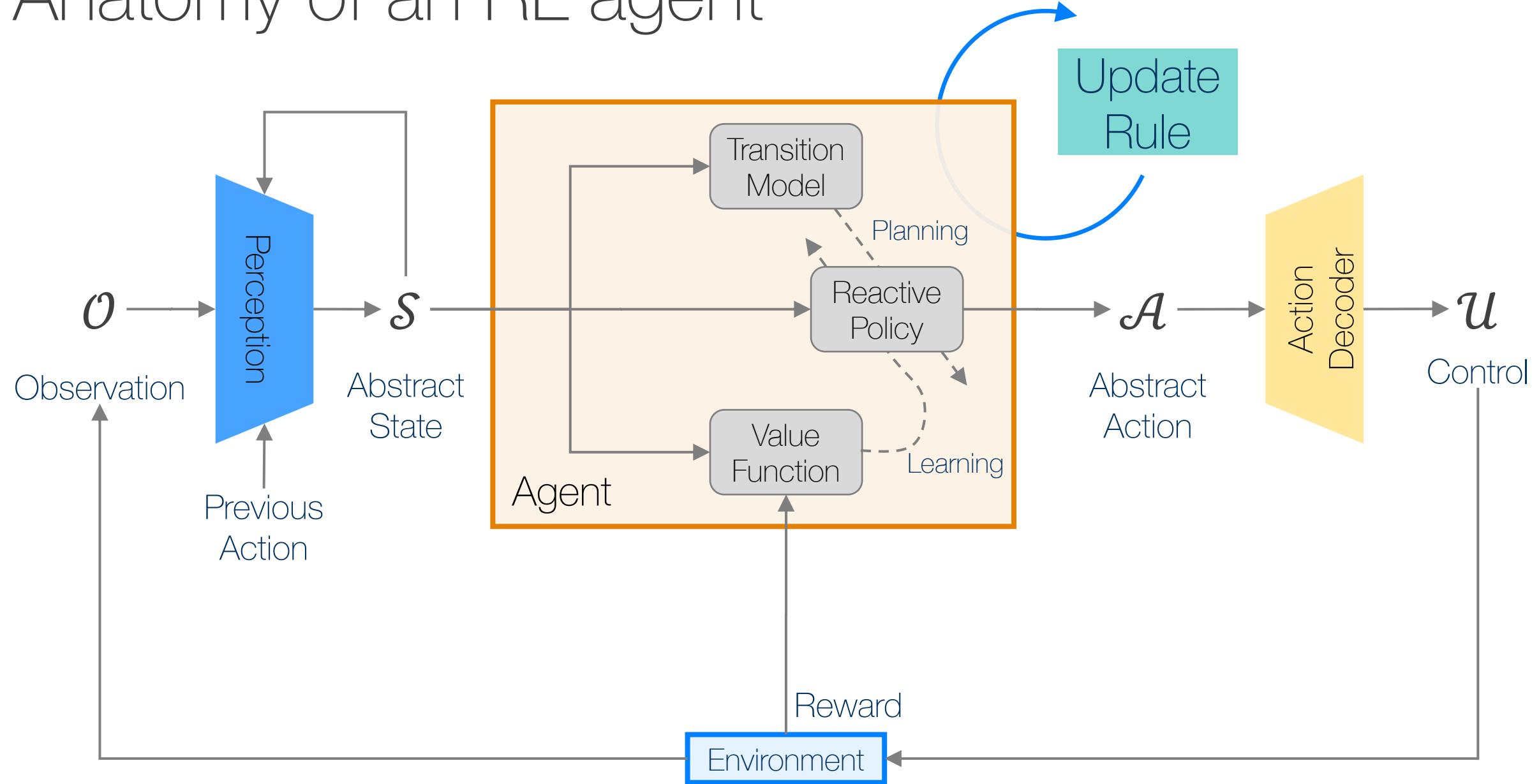


# Structure for Reinforcement Learning

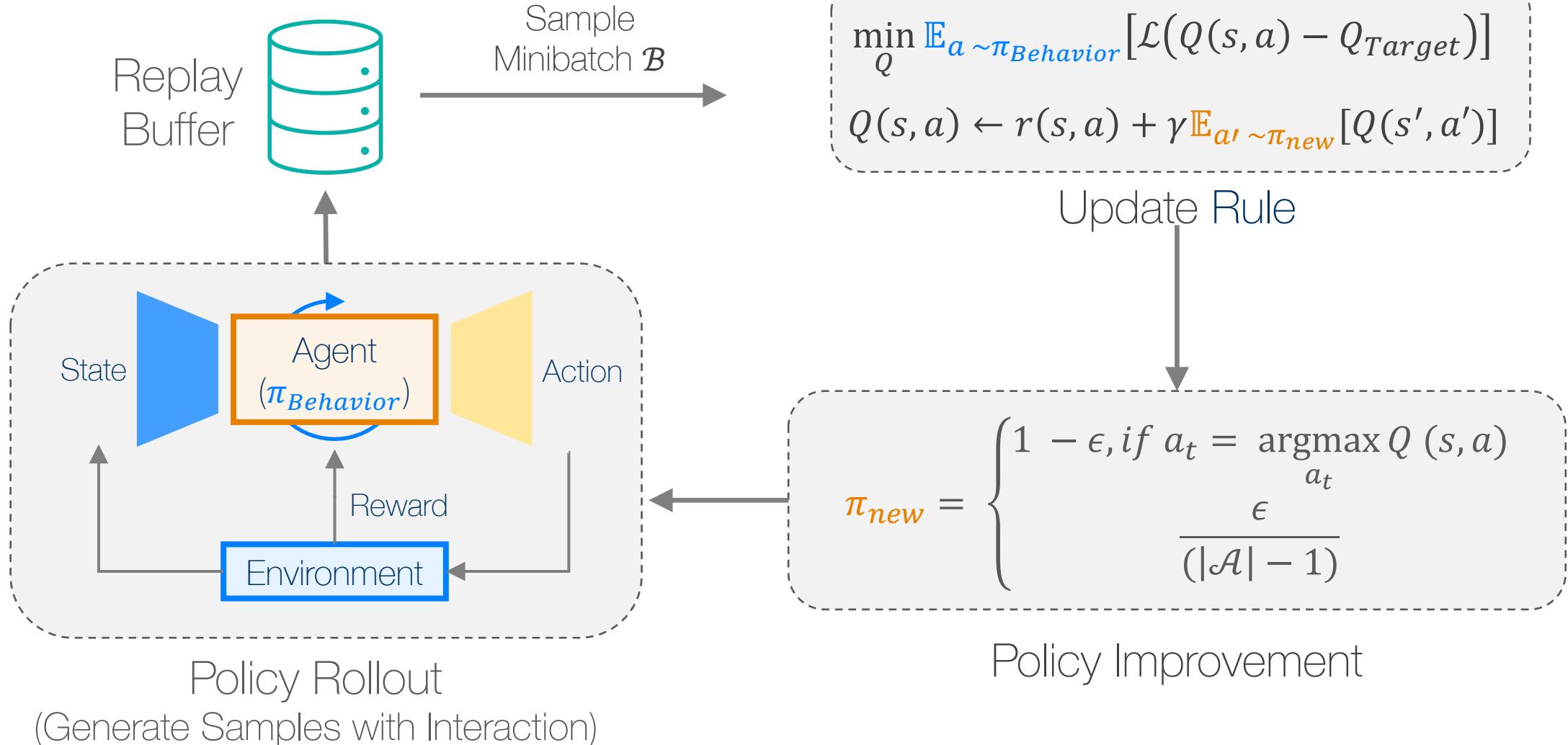


Which structured biases enable generalizable autonomy in decision-making?

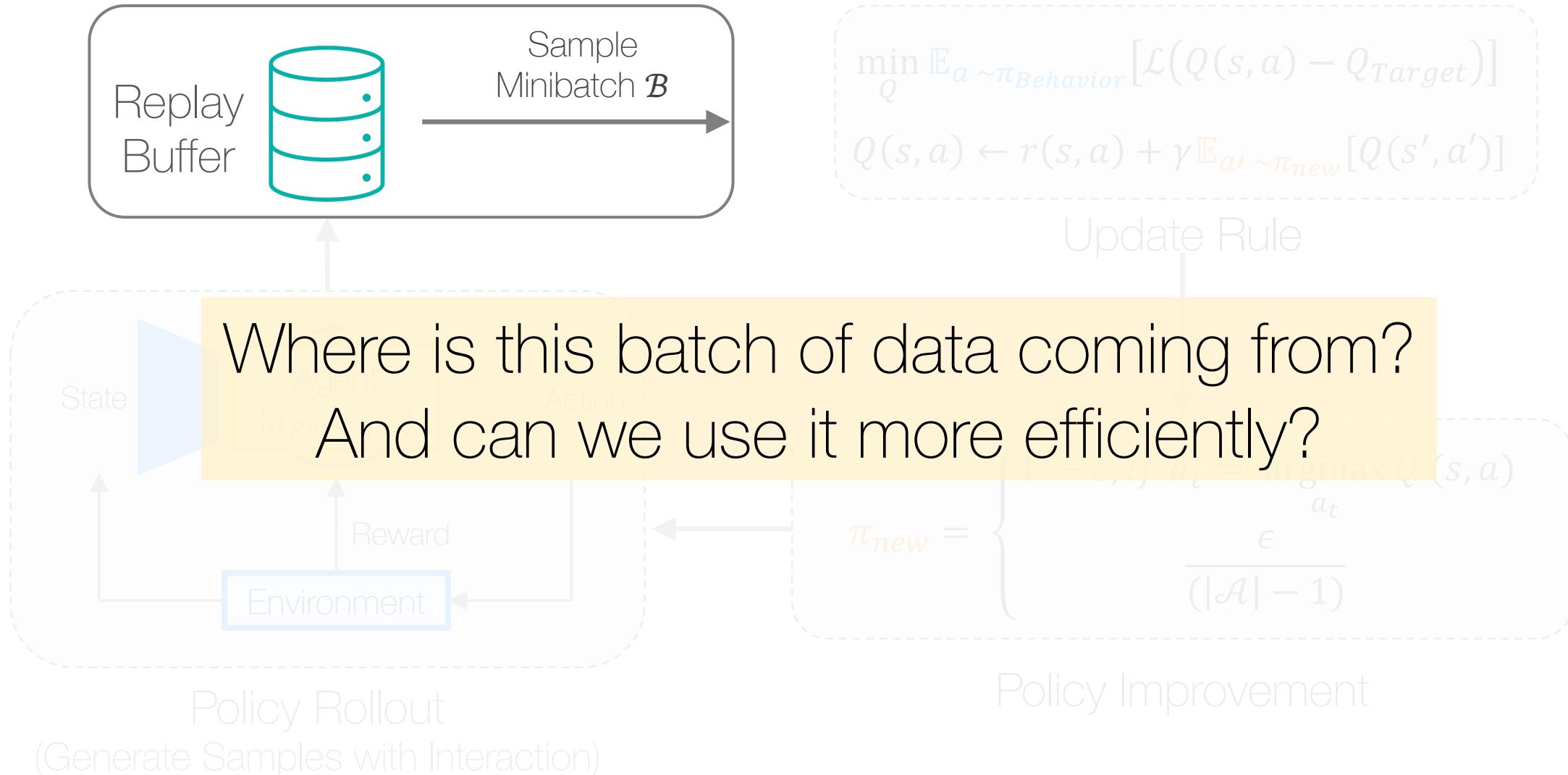
# Anatomy of an RL agent



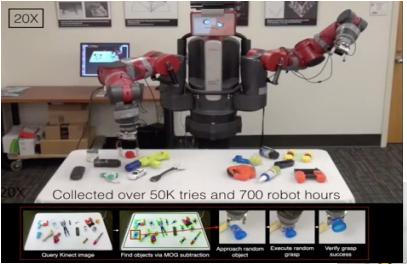
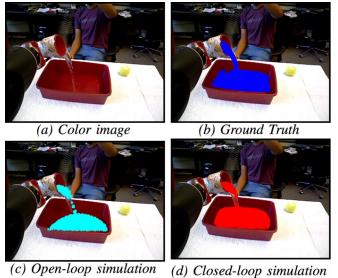
# Structure for RL: Off-policy RL



# Structure for RL: Off-policy RL



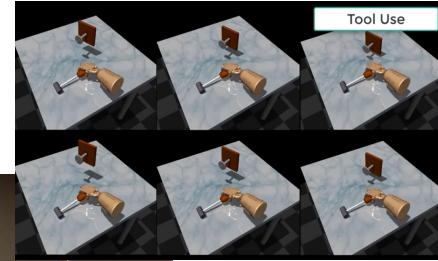
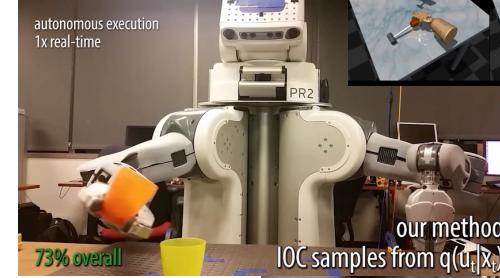
# Data in Robotics



Collected over 50K tries and 700 robot hours  
Query Known Image First objects via MCTS estimation Approach random object Execute random grasp Verify grasp outcomes



4x



## Manipulation

- Mason & Salisbury 1985 Li , Allen et al. 2015  
Srinivasa et al 2010 Yahya et al, 2016  
Berenson 2013 Schenck et al. 2017  
Odhner1 et al 2014 Mar et al. 2017  
Chavan-Dafle et al 2014 Laskey et al 2017  
Yamaguchi, et. al, 2015 Quispe et al 2018  
...

## Grasping

- Mishra et al 1987  
Ferrari & Canny, 1992  
Ciocarlie & Allen, 2009  
Dogar & Srinivasa, 2011  
Rodriguez et al. 2012  
Bohg et al 2014

- Pinto & Gupta, 2016  
Levine et al 2016  
Mahler et al 2017  
Jang et al 2017  
Viereck et al 2017  
...

## Imitation

- Abbeel et al, 2004  
Ratliff et al 2006,  
Ziebart et al, 2009  
Argall et al, 2009,  
Boularias et al., 2011  
Montfort et al 2015,  
Wulfmeier et al 2015,  
Krishnan et al 2017  
Finn et al. 2017  
Vecerik et al. 2017  
Rajeswaran et al 2018  
Zhu et al 2018  
Ravichandar et al 2020...

# Data in Robotics

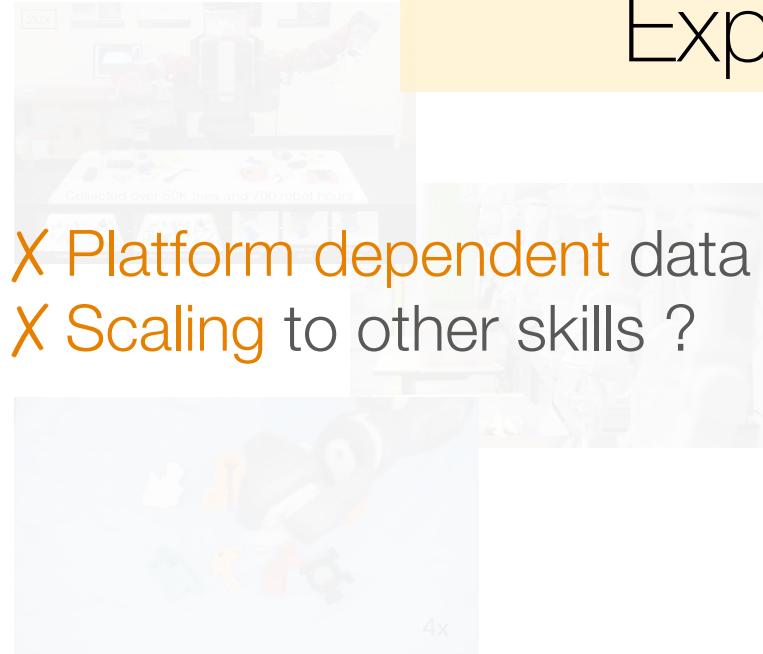


- X Short-Horizon skills
- X Skill Specific learning



## Manipulation

Mason & Salisbury 1985   Li , Allen et al. 2015  
Srinivasa et al 2010   Yahya et al, 2016  
Berenson 2013   Schenck et al. 2017  
Odhner1 et al 2014   Mar et al. 2017  
Chavan-Dafle et al 2014   Laskey et al 2017  
Yamaguchi, et. al, 2015   Quispe et al 2018  
...   ...



- X Platform dependent data
- X Scaling to other skills ?



- X Small datasets (minutes)
- X Low diversity ?

## Grasping

Mishra et al 1987  
Ferrari & Canny, 1992  
Ciocarlie & Allen, 2009  
Dogar & Srinivasa, 2011  
Rodriguez et al. 2012  
Bohg et al 2014

Pinto & Gupta, 2016  
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Boularias et al., 2011  
Montfort et al 2015,  
Wulfmeier et al 2015,

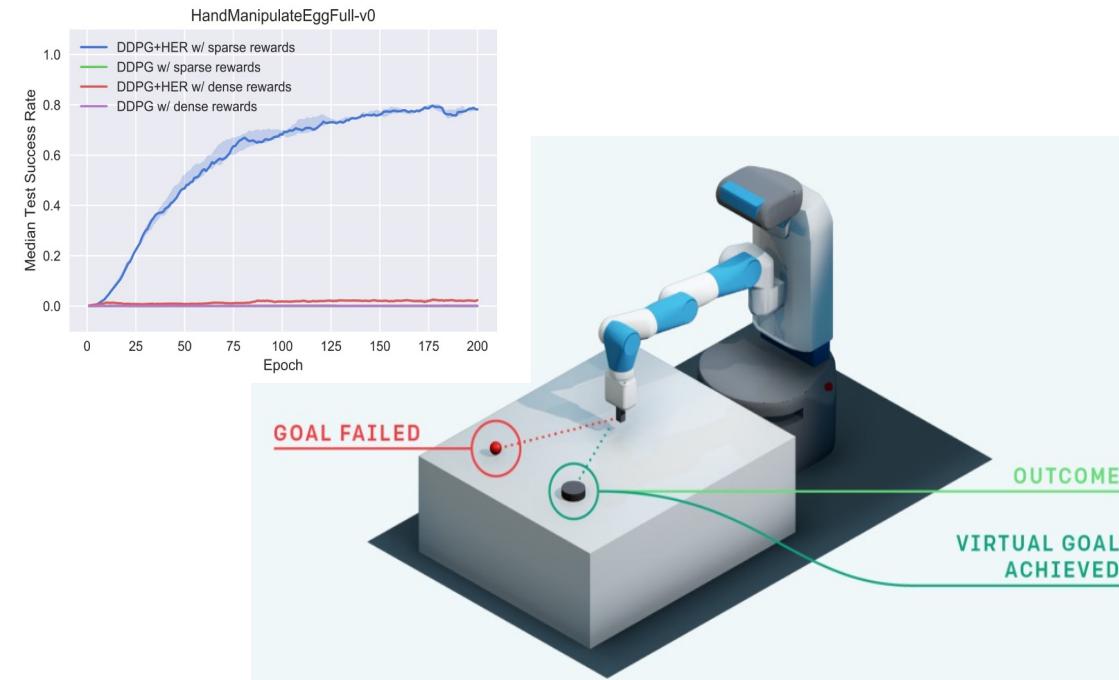
Krishnan et al 2017  
Finn et al. 2017  
Vecerik et al. 2017  
Rajeswaran et al 2018  
Zhu et al 2018  
Ravichandar et al 2020...

## Imitation

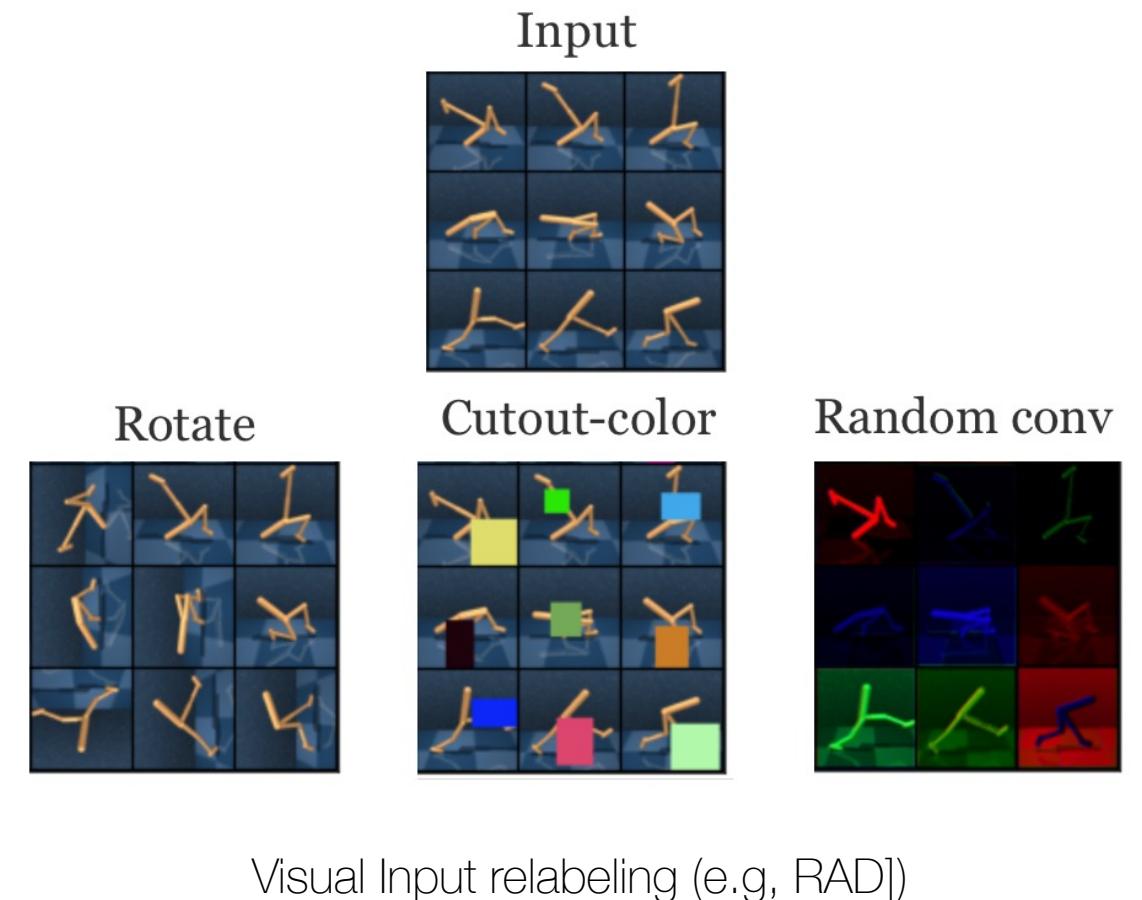
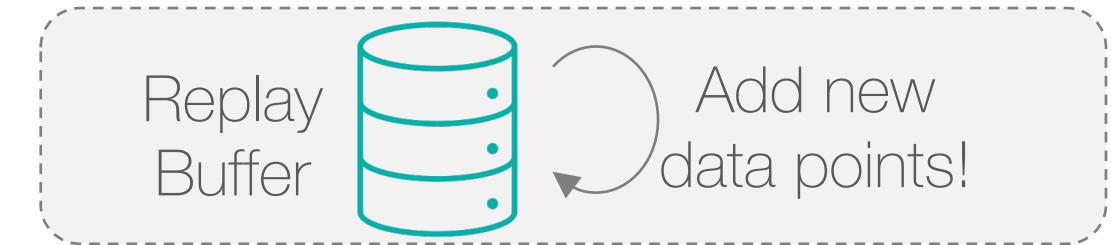
# Data Augmentation in RL

## How to do this Algorithmically

- Substantial performance boosts!

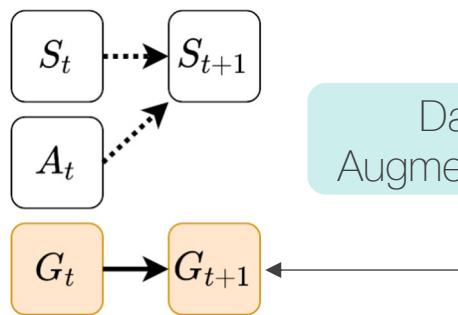
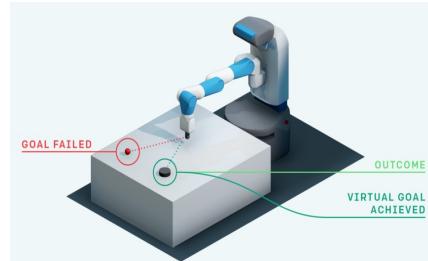


Goal relabeling (e.g, HER)]

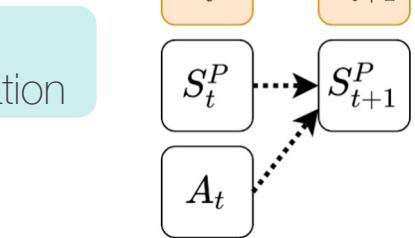
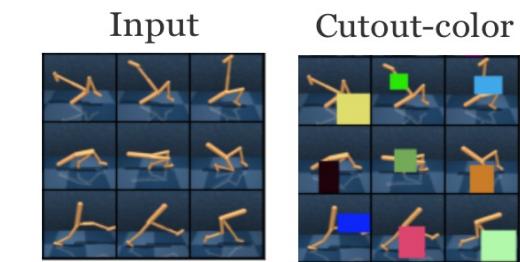


# Data Augmentation in RL

## Unified View



Goal is independent of State/Action Dynamics

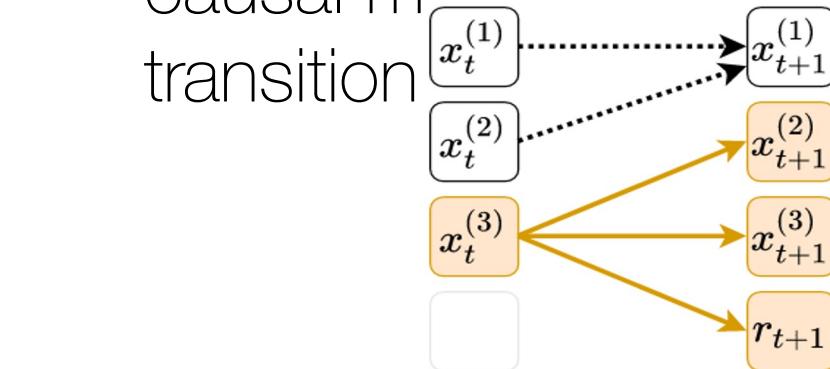


Visual characteristics (e.g., crop) are independent of physical dynamics



Counterfactual reasoning to generate new, causally valid (counterfactual) data!

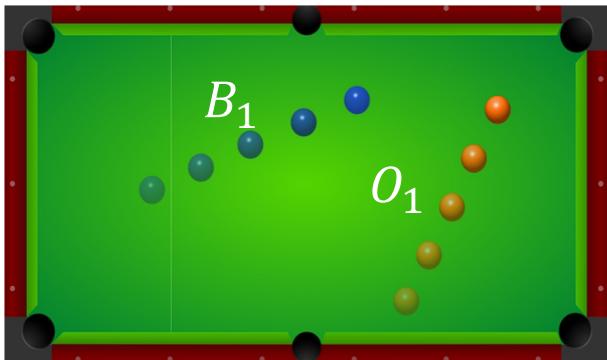
Exploit the independence of the causal mechanisms guiding transition



Given two independent mechanisms, Relabel one (conditional independence!)

# Data Augmentation in RL

Do more with the same data



Scenario 1



Scenario 2

Which of the following is possible (only based on observed data)

$$O_1 + B_2$$



Independent  
Compositional Generalization

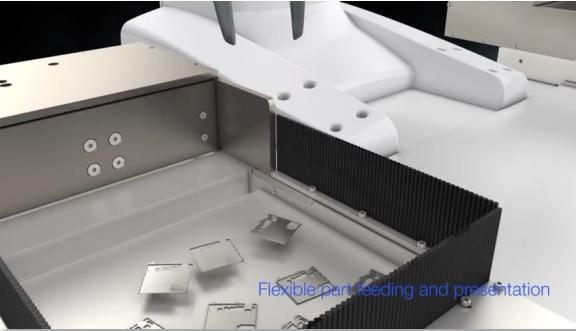
$$B_1 + O_2$$



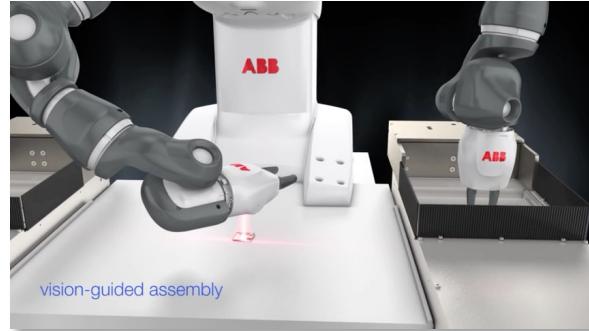
Not-Independent (!)  
Hence need evidence of possibility

# Data Augmentation in RL

Do more with the same data



Left Arm Pick and Place

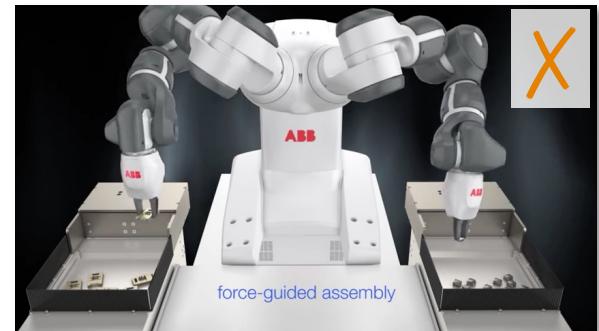


Right Arm Pick and Place

Which of the following is possible (only based on observed data)



Independent  
Compositional Generalization

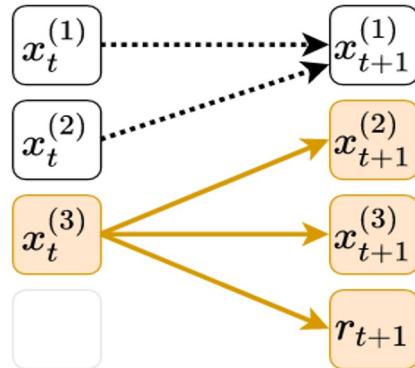


Not-Independent (!)  
Hence need evidence of possibility

# Counterfactual Data Augmentation

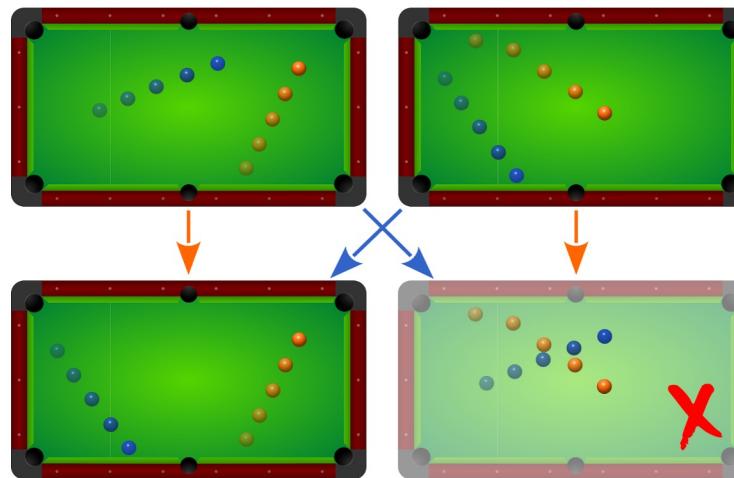
Counterfactual reasoning to generate new, causally valid (counterfactual) data!

## Generic CoDA



Given two independent mechanisms, Relabel one (conditional independence!)

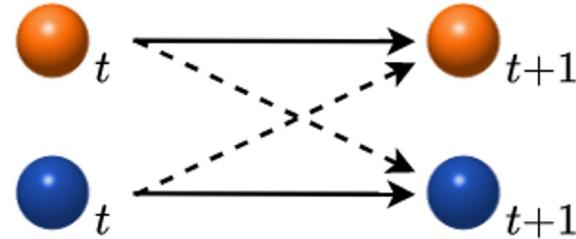
- ✓ Model-Free relabelling
- ✗ But Causal Independence is not Global



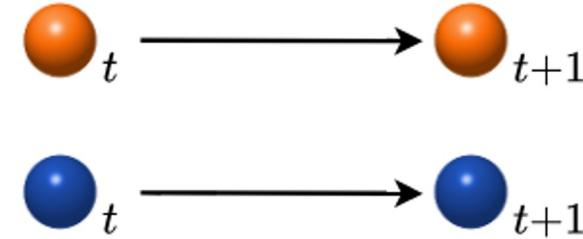
- For the most part, entities behave independently, and we can use CoDA
- But entities are not always independent, so this can also produce nonsense

# Counterfactual Data Augmentation

## Local Causal Model



Global Model



Local Model

$$\mathcal{M}_t = \langle V_t, U_t, \mathcal{F} \rangle \xrightarrow{\text{Condition on } (s_t, a_t) \in \mathcal{L}} \mathcal{M}_t^{\mathcal{L}} = \langle V_t^{\mathcal{L}}, U_t^{\mathcal{L}}, \mathcal{F}^{\mathcal{L}} \rangle$$

Structural Causal Model (SCM) that  
marginalizes across all possible transitions

Local Causal Model (LCM) that behaves  
like the global SCM in local subspace  $\mathcal{L}$

Where do local models come from?

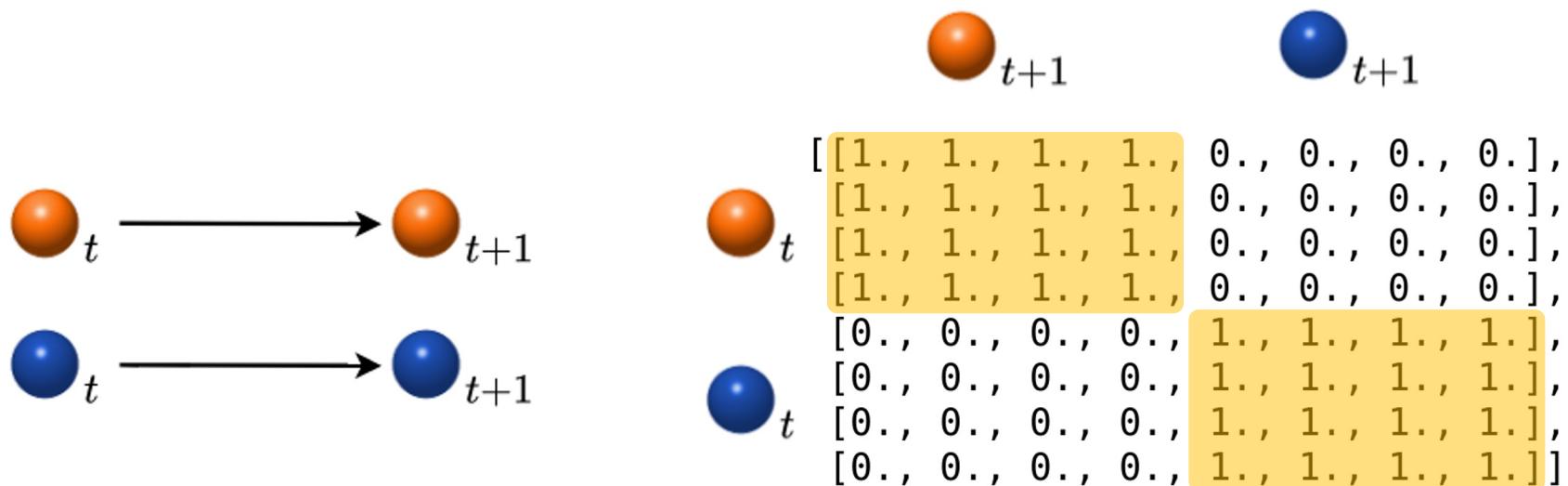
# Counterfactual Data Augmentation

## Learning Local Causal Model

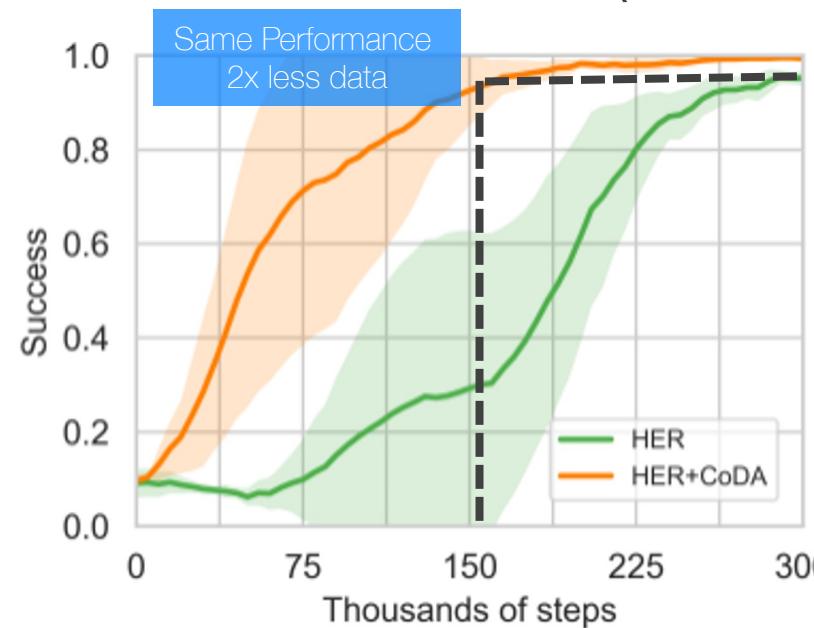
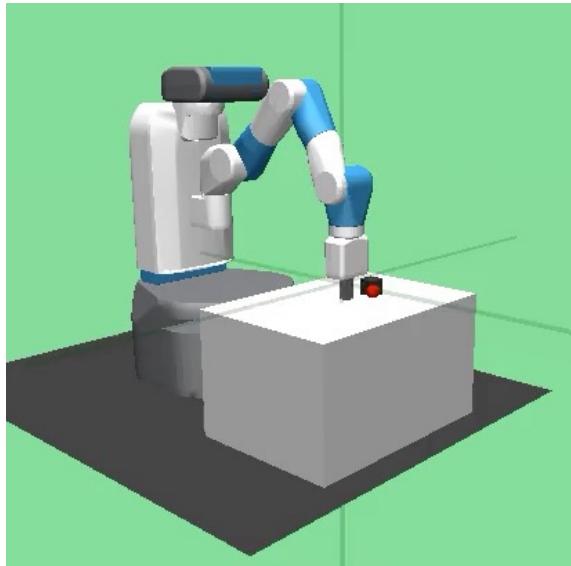
- Input: 2 balls, each with 4 features:  $[x, y, \dot{x}, \dot{y}]$

$\bullet_t [[ 1.23, -0.73, 1.31, 1.07],$   
 $\bullet_t [-0.6, 2.51, -1.51, -0.89]]$

- Output: Adjacency matrix M of the causal graph (between  $x_t$  and  $x_{t+1}$ )
- (intuition) M: the input-output Jacobian is non-zero

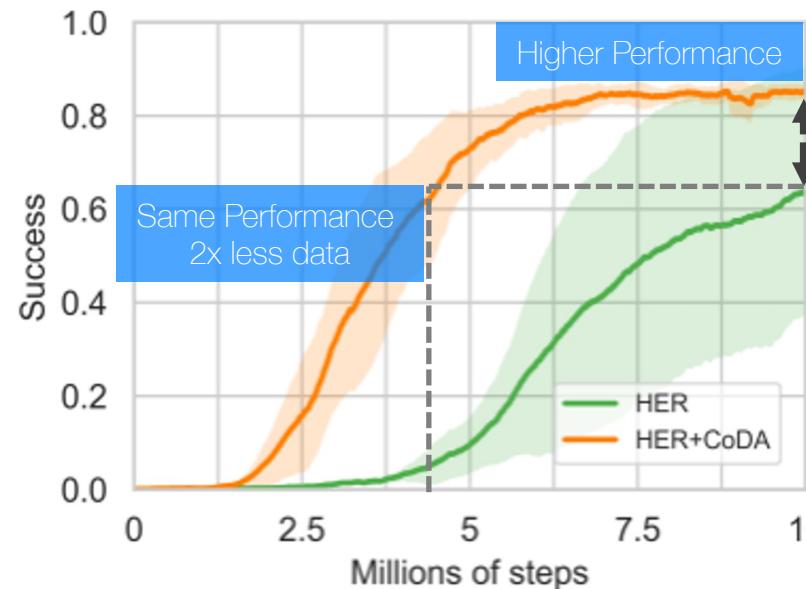
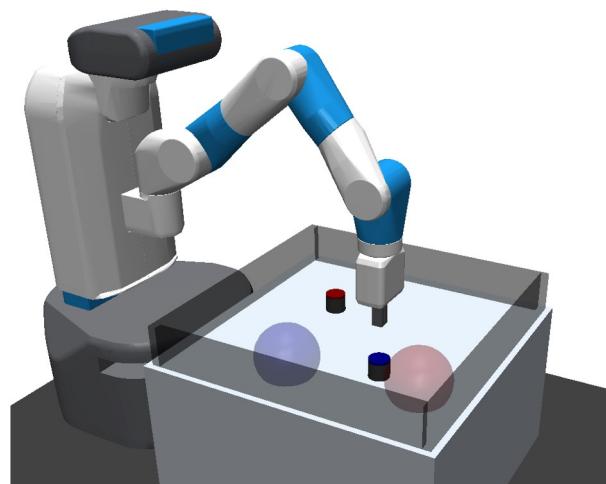


# CoDA: Goal-Conditioned (Online) RL



Fetch-Push-v1

state space: [Robot and 1 object]

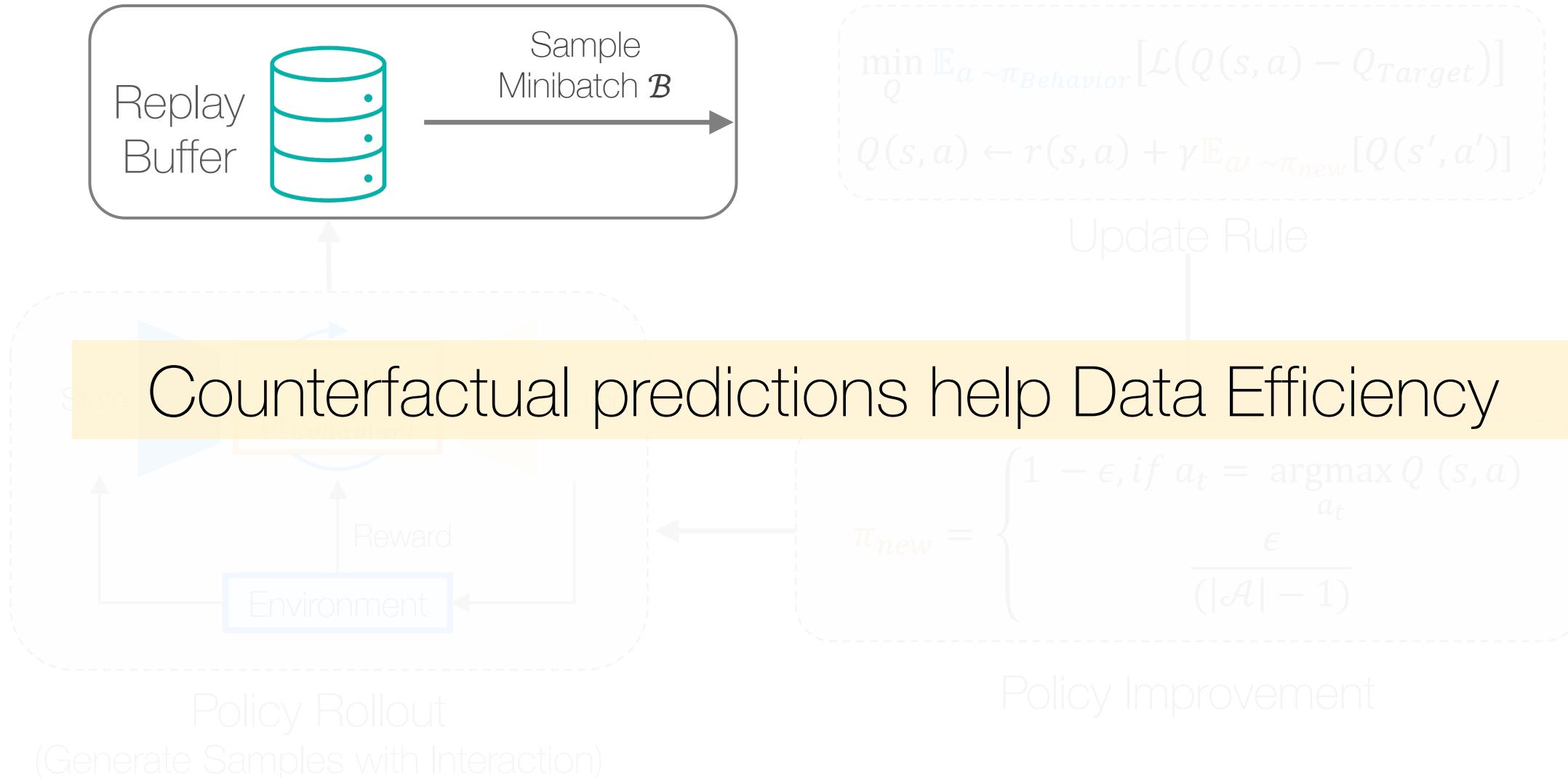


Fetch-Slide2

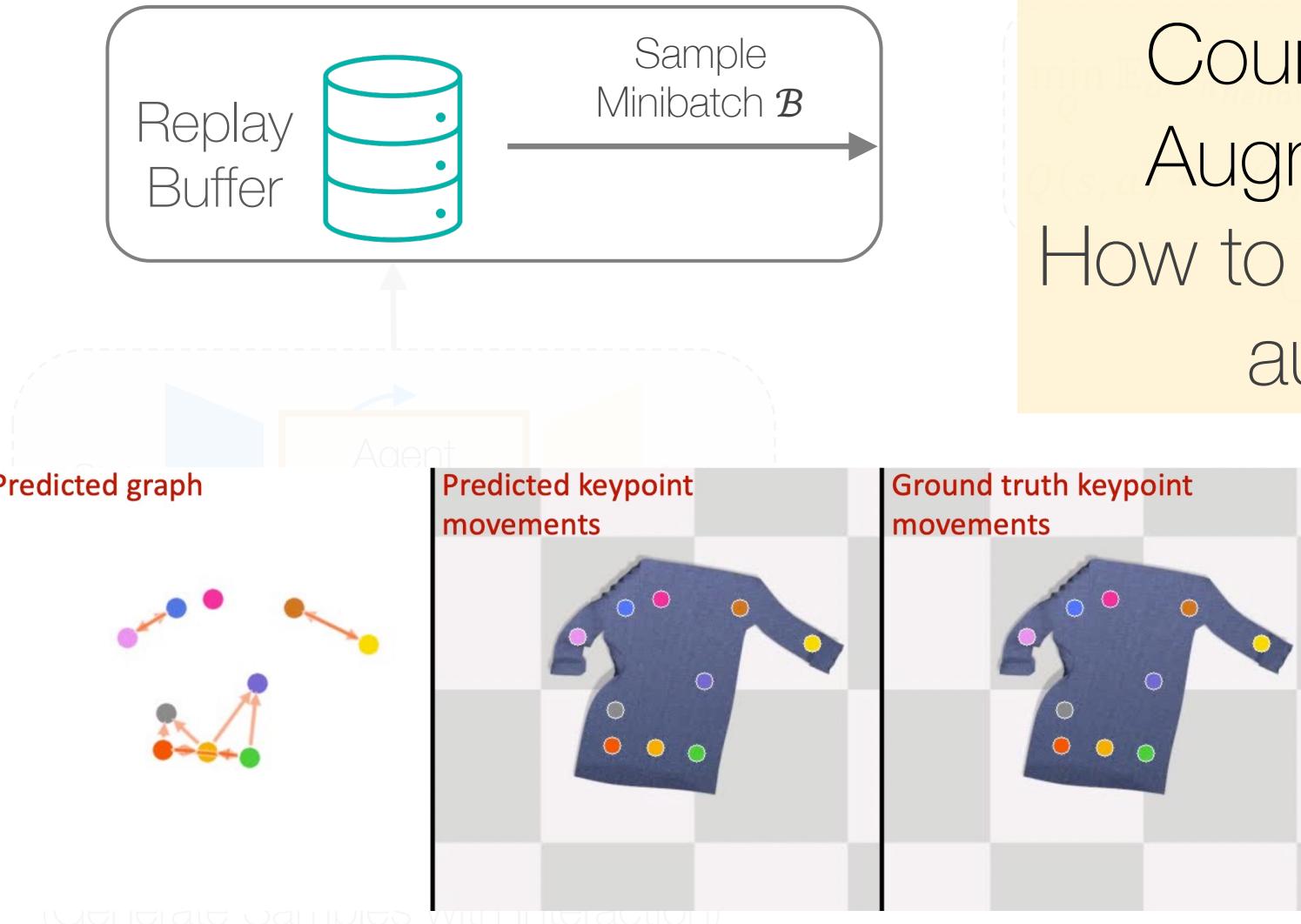
state space: [Robot and 2 objects]

Harder task (30x more samples)!

# Structure for RL: Off-policy RL



# Structure for RL: Off-policy RL



Counterfactual Data  
Augmentation helps  
How to learn this structure  
automatically?

$t, \text{if } a_t = \text{argmax } Q(s, a)$   
Discover Causal  
Dynamics Structure from  
Visual Data  
Improvement

# Structure for RL: Off-policy RL

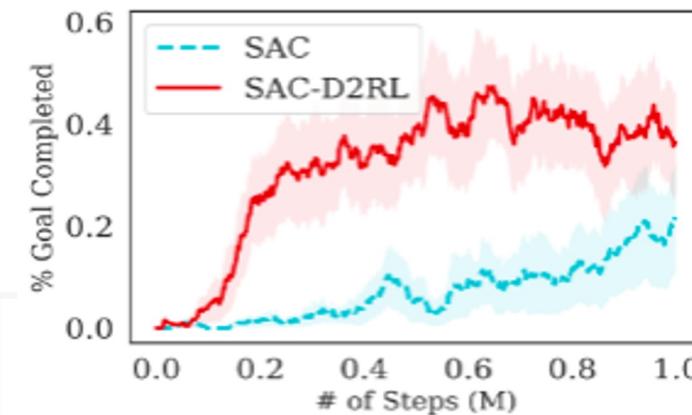
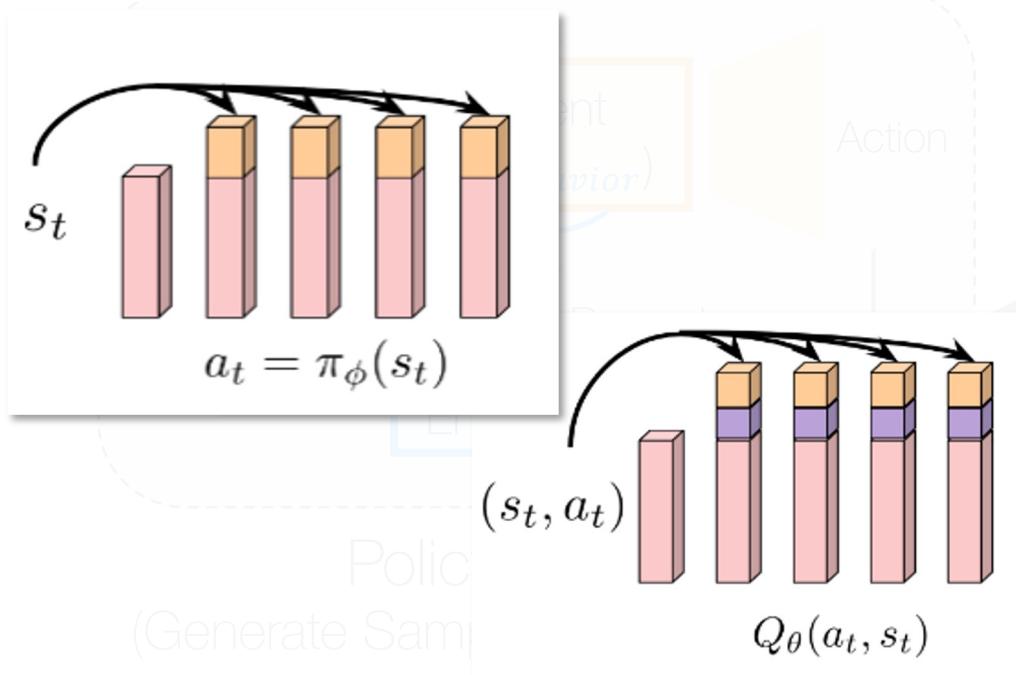
Does the choice of architecture matter?



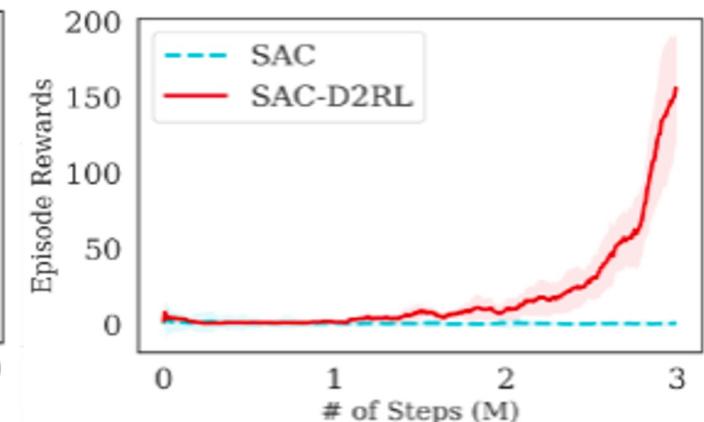
Minibatch  $B$

$$Q(s, a) \leftarrow r(s, a) + \gamma \mathbb{E}_{a' \sim \pi_{new}} [Q(s', a')]$$

Using Dense connections in Policy/Value improves sample efficiency



(b) Fetch Slide SAC



(d) Jaco Reach SAC

Policy Improvement

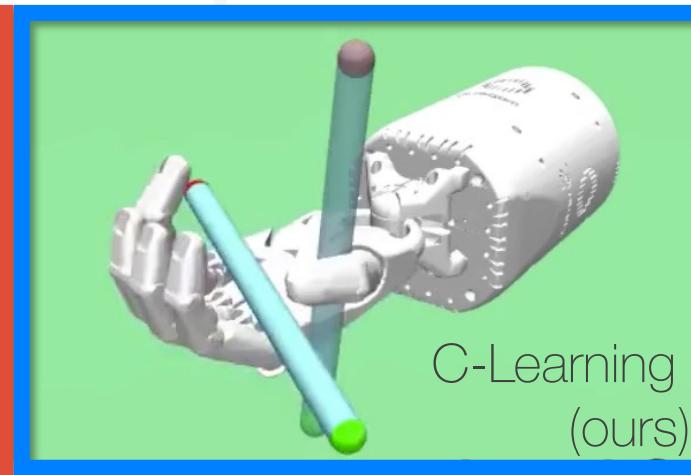
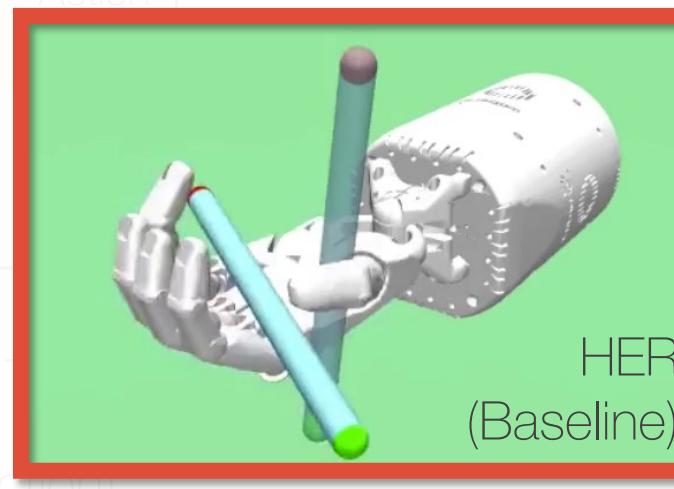
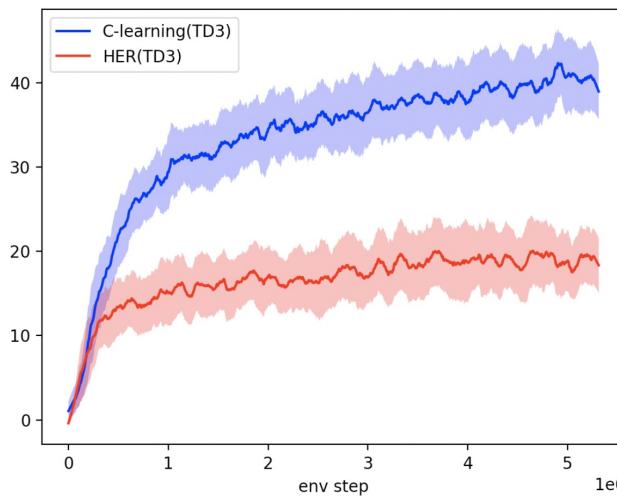
# Structure for RL: Off-policy RL

Can we use better Utility Functions?

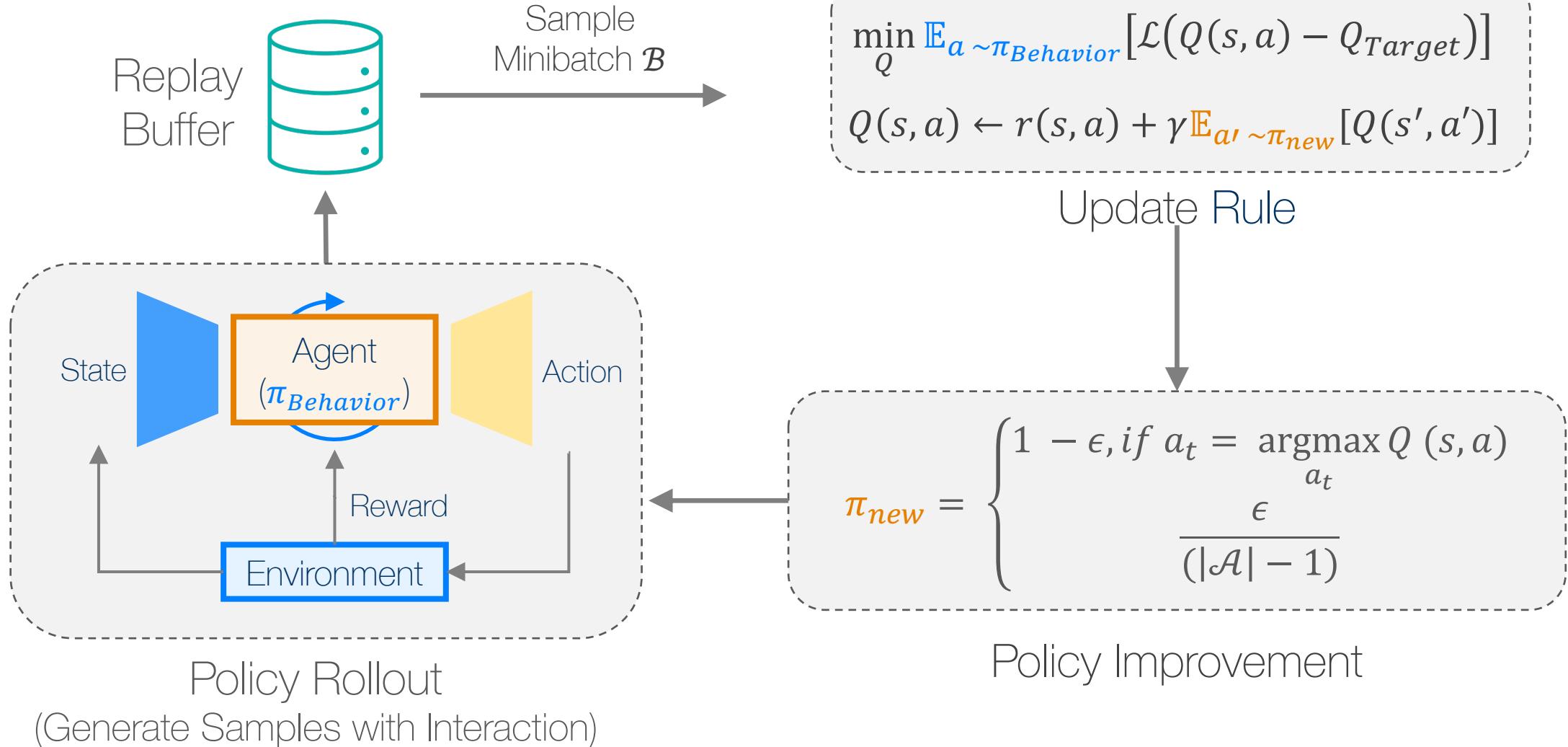
$$Q(s, a) \leftarrow r(s, a) + \gamma \mathbb{E}_{a' \sim \pi_{new}} [Q(s', a')]$$

Learning Cumulative Accessibility  $C(s, a, h)$  is better than  $Q(s, a)$

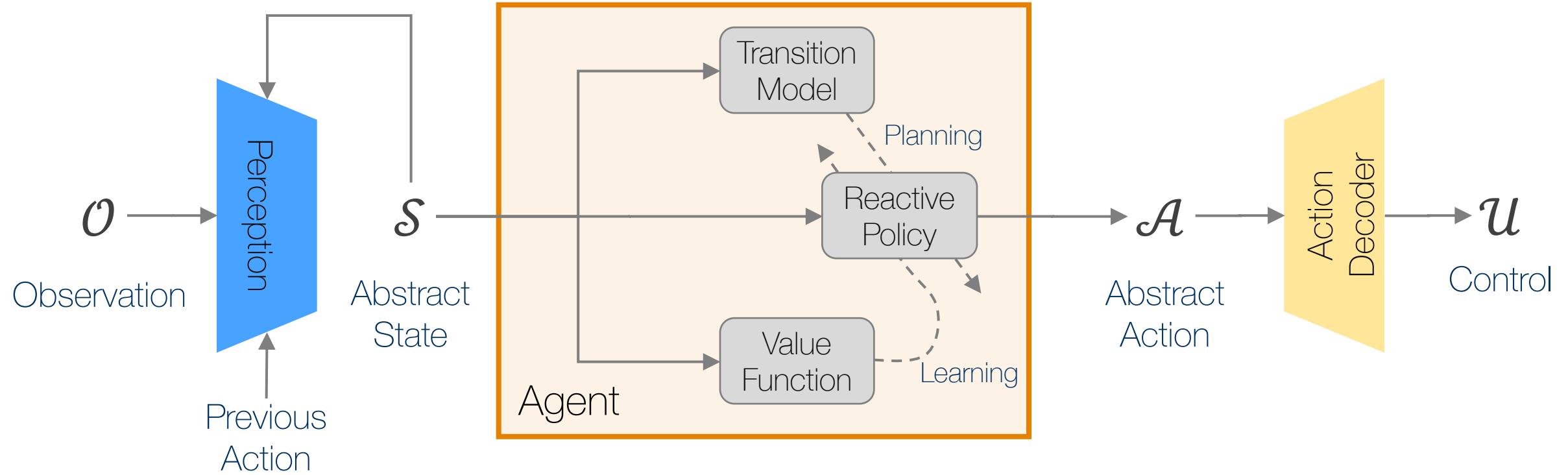
Can represent multimodal, multi-goal, horizon-aware solutions as well as reachability



# Structure for RL: Off-policy RL



# Structure for Reinforcement Learning



Update Rule

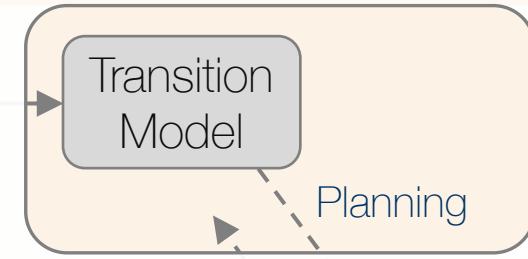
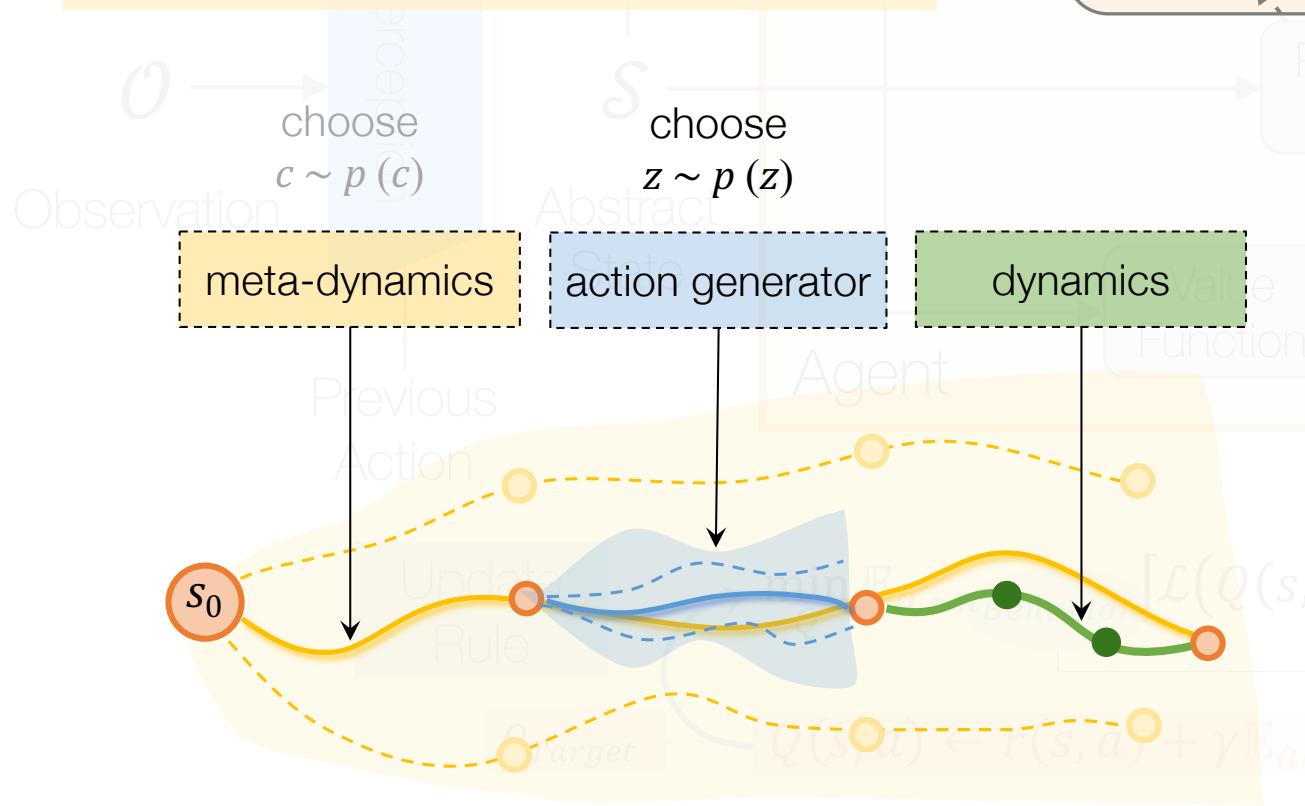
$$Q(s, a) \leftarrow r(s, a) + \gamma \mathbb{E}_{a' \sim \pi_{new}} [Q(s', a')]$$
$$\min_Q \mathbb{E}_{a \sim \pi_{Behavior}} [\mathcal{L}(Q(s, a) - Q_{Target})]$$

Not the same Policies

$Q_{Target}$

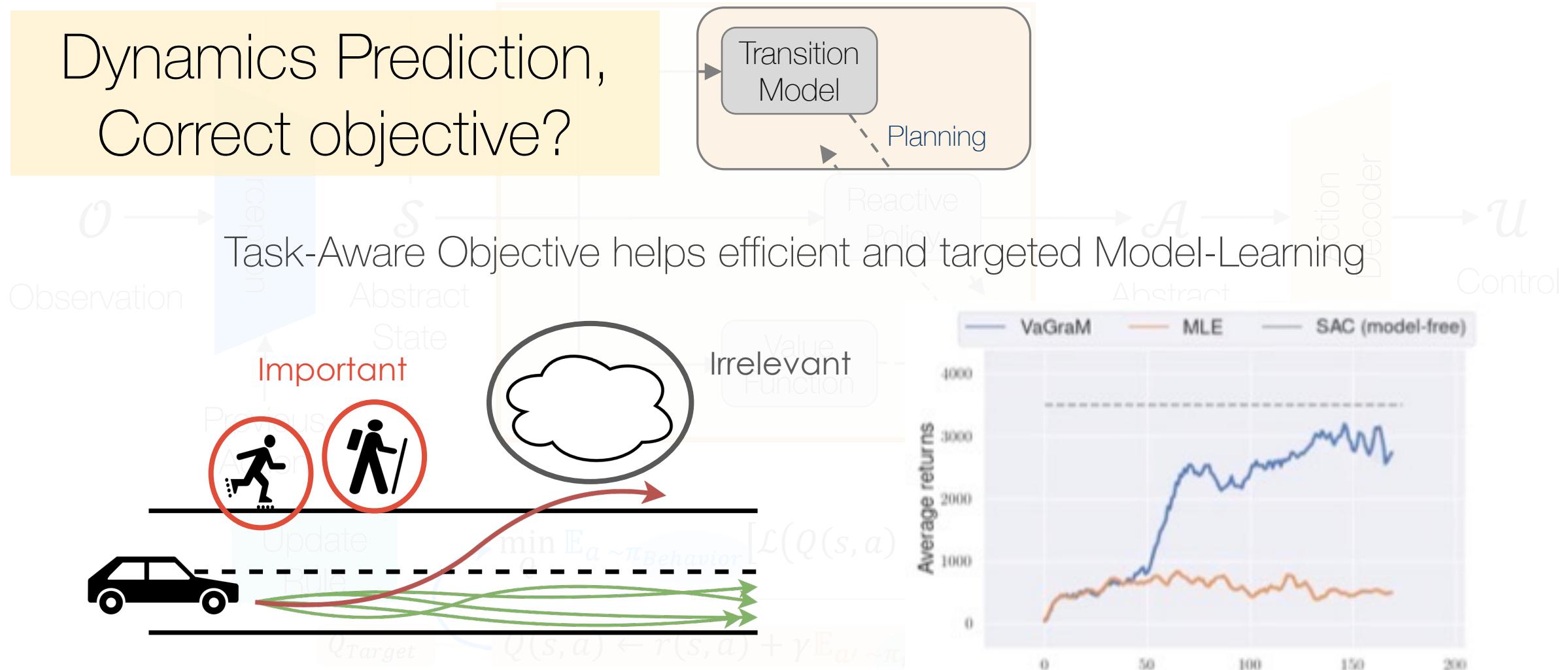
# Structure for Reinforcement Learning

Structures Models  
for hierarchy?



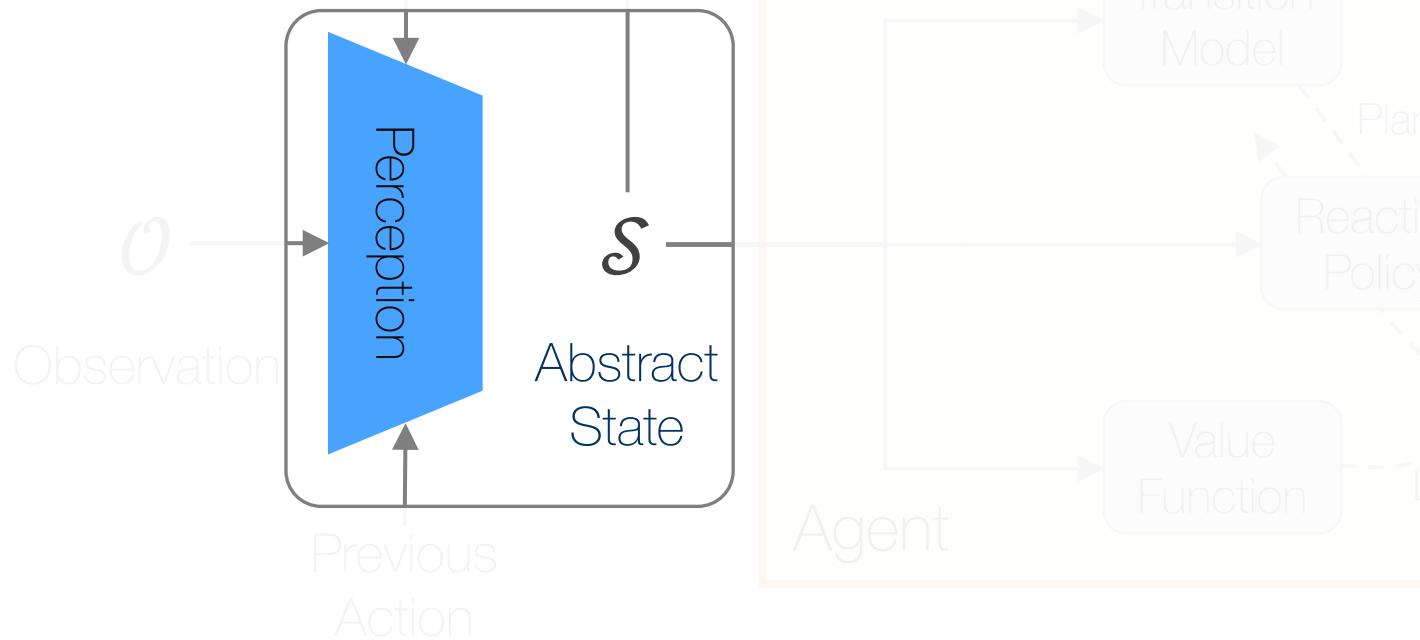
# Structure for Reinforcement Learning

Dynamics Prediction,  
Correct objective?



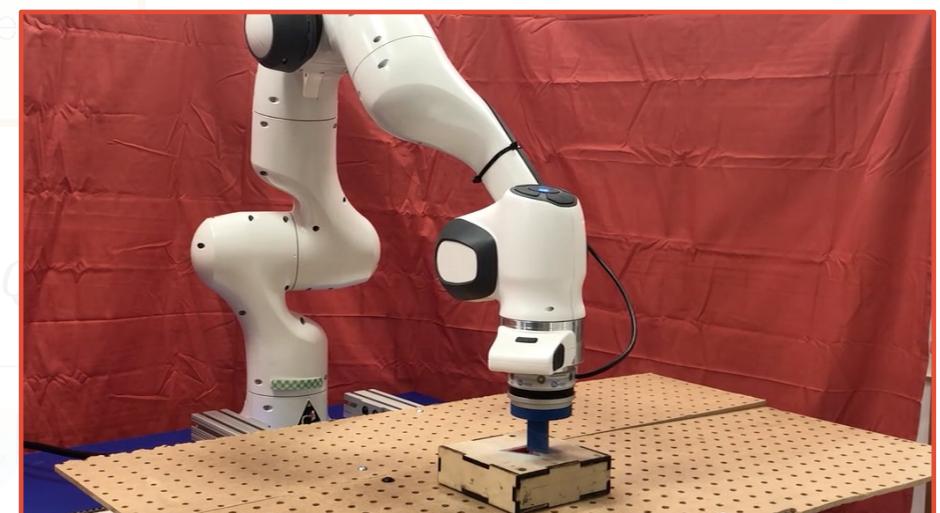
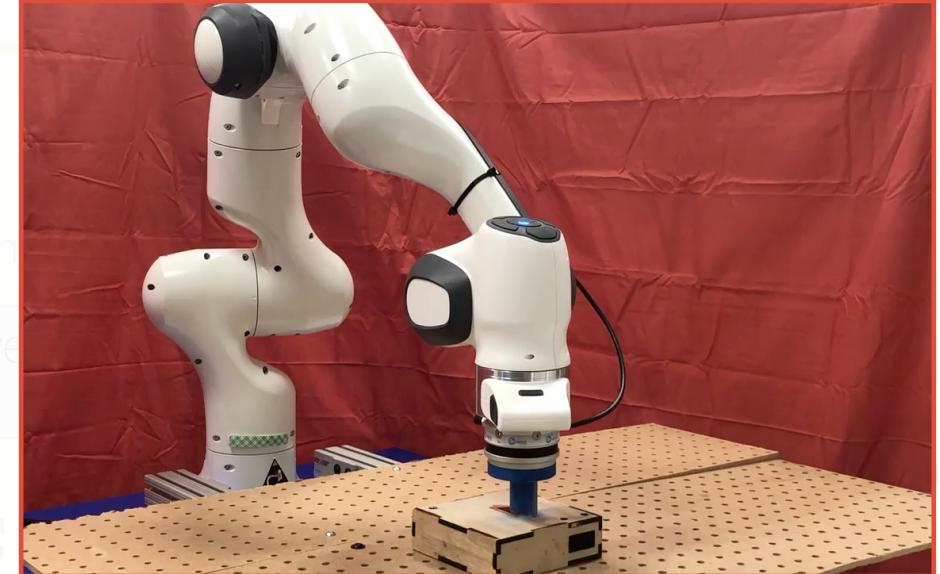
# Structure for Reinforcement Learning

How can better state representations capture multimodal data?

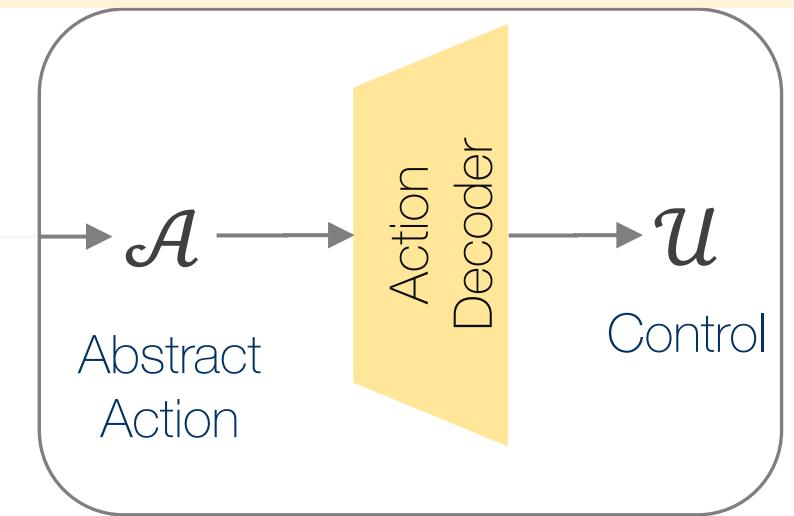
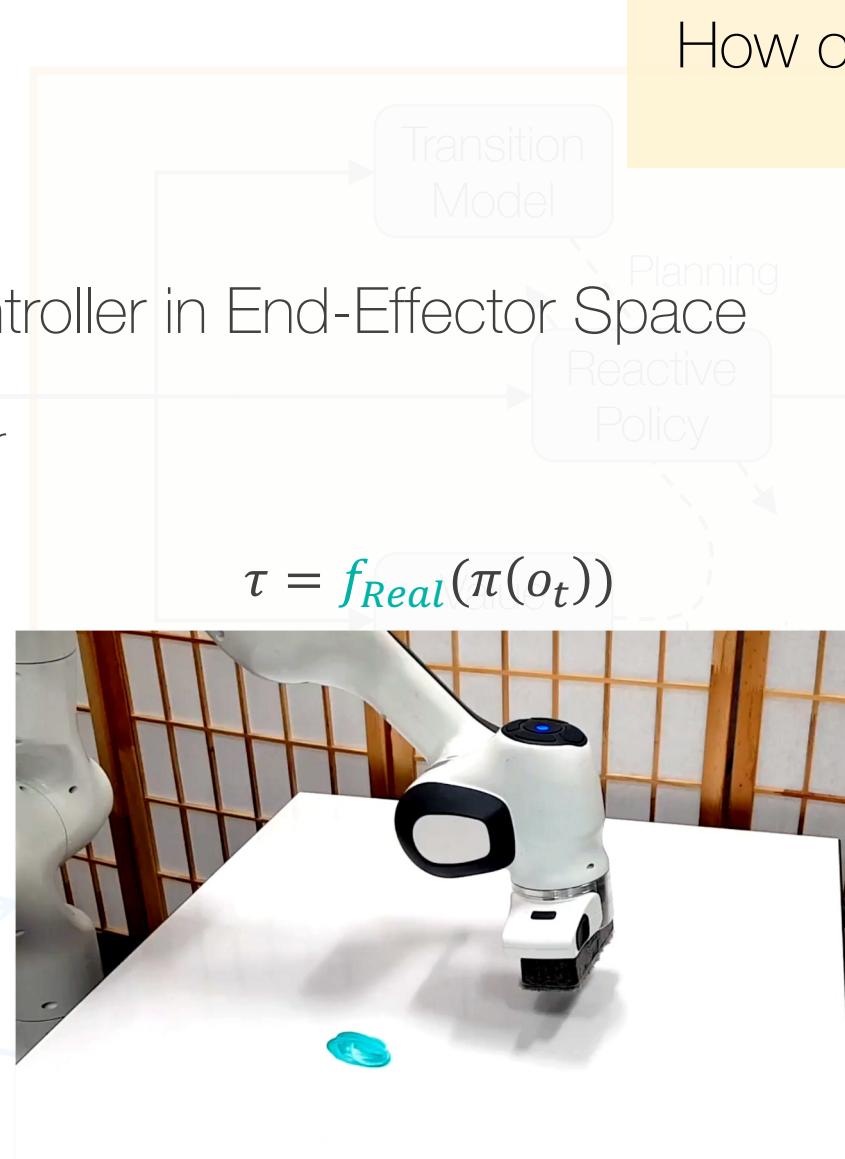
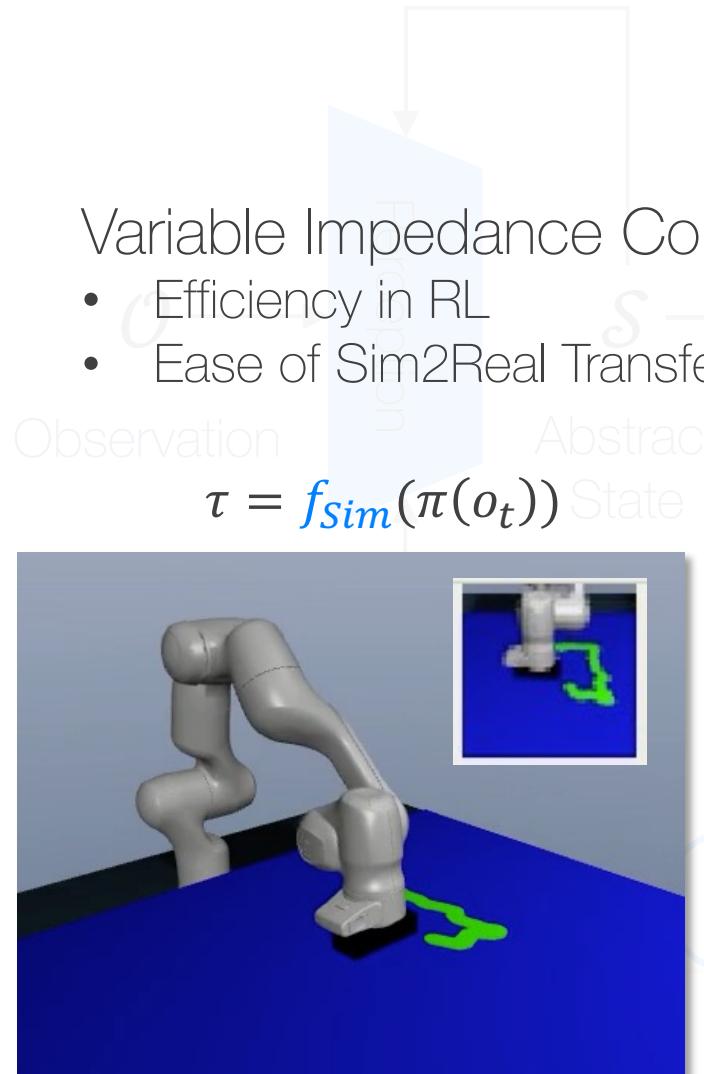


## Generalizable Multi-modal State Representations

- Learn a joint Visuo-Tactile representation for Peg Transfer
- Representation transfers to new task, while Policy doesn't



# Structure for Reinforcement Learning



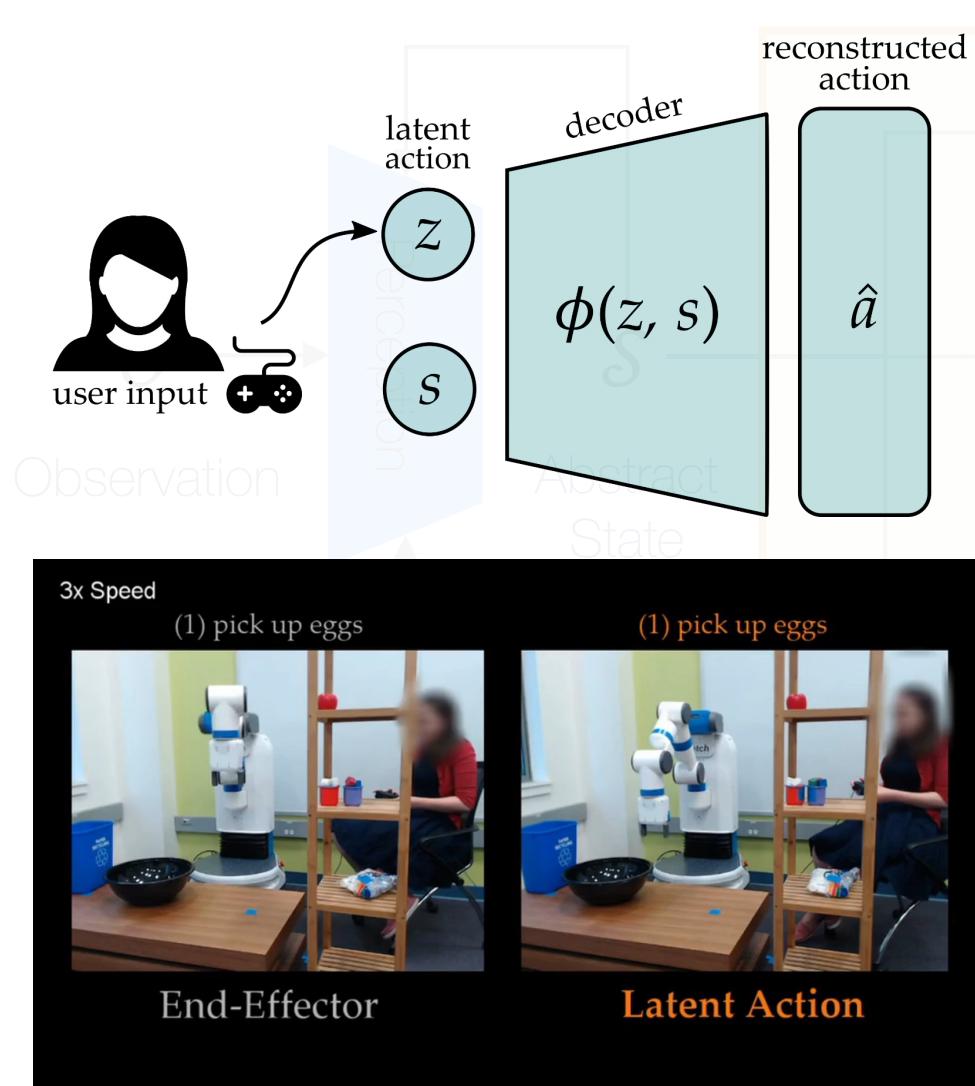
$$\pi(o_t) = a: [x_d, \dot{x}_d, K_p, K_v]$$

Detailed description: This block shows the mapping from observations to actions. It includes a diagram of a hand holding a pen, labeled "Pose and Velocity". It also includes a diagram of a spring, labeled "Impedance Gains". Below the action components, a large bracket indicates they are combined via a function  $f$ :

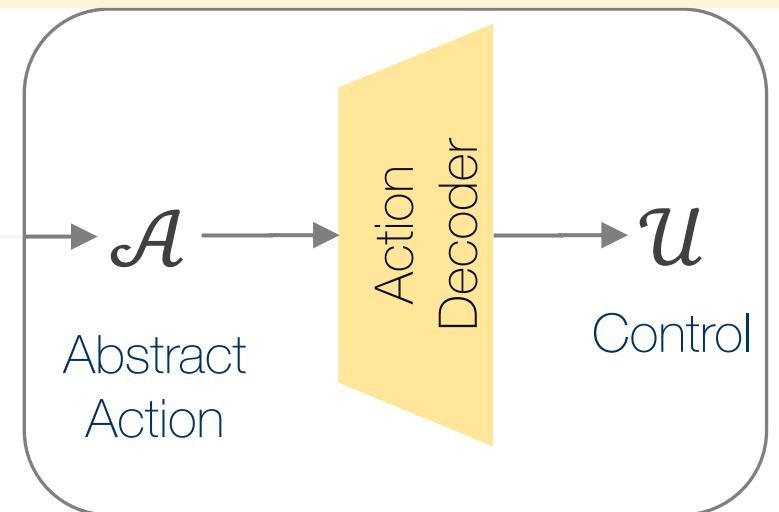
$$\tau = f(x_d, \dot{x}_d, K_p, K_v)$$

Detailed description: This block shows the final output  $\tau$  being generated by a function  $f$  that takes deterministic position-velocity control Jacobian  $J$  and inertia  $M$  as inputs.

# Structure for Reinforcement Learning



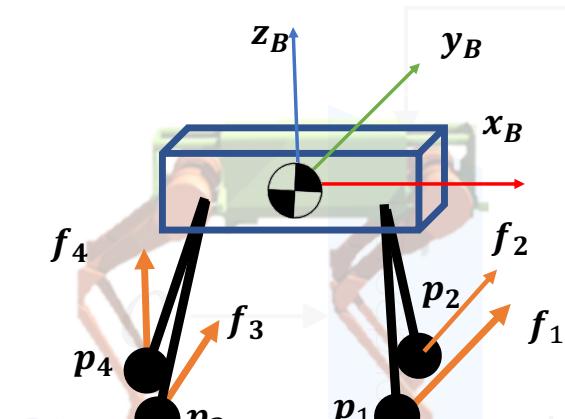
Learned Action Representations for Shared Autonomy



Learned Action Space

Easier to control **high-dimensional robots** by embedding the robot's actions into a **low-dimensional latent space**

# Structure for Reinforcement Learning



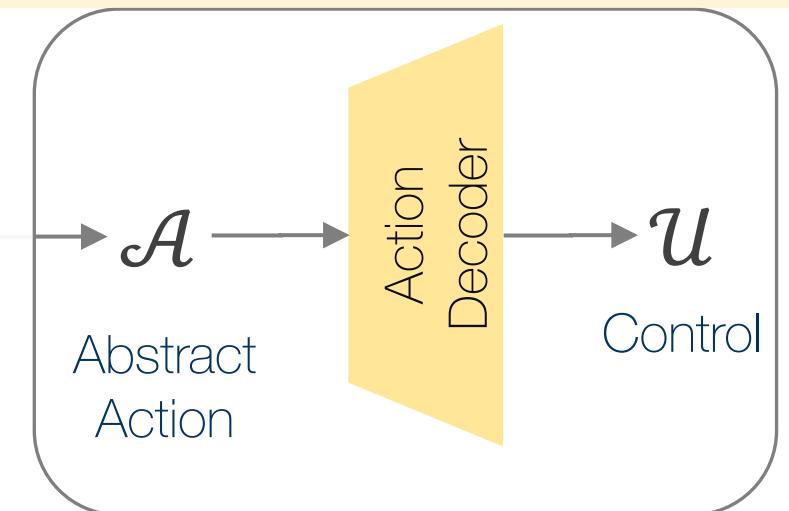
Centroidal Task Space

- Easier for learning
- RL + Optimal Control

Abstract  
State



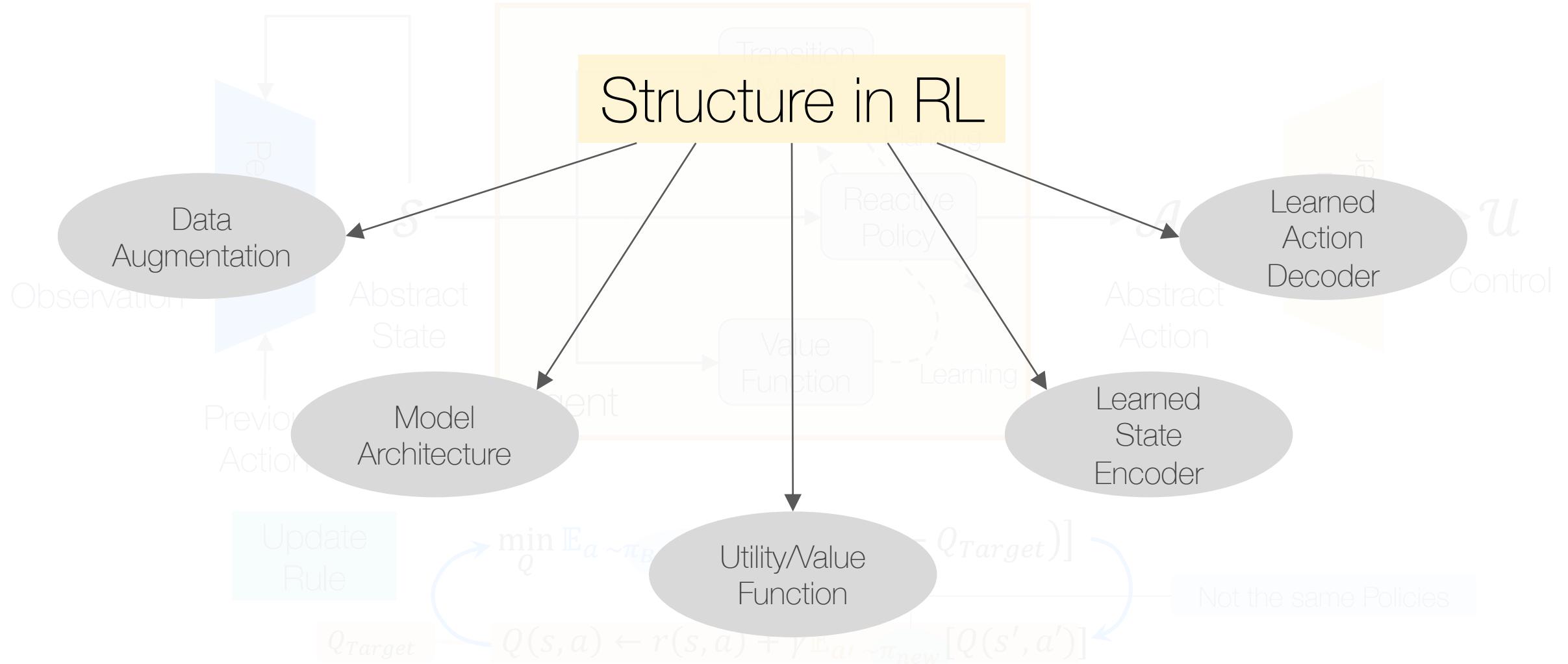
Action representations for  
Legged Locomotion?



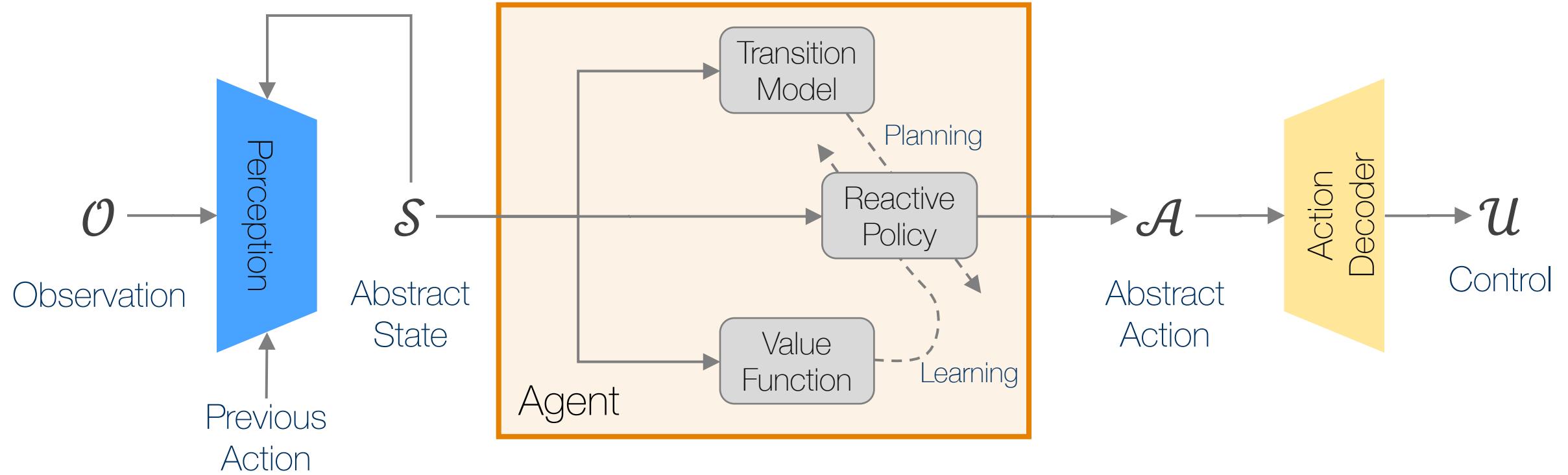
Abstract  
Action

Not the same Policies  
 $(s, a)$   $\rightarrow$   $(s', a')$

# Structure for Reinforcement Learning



# Structure for Reinforcement Learning



Update Rule

$$Q(s, a) \leftarrow r(s, a) + \gamma \mathbb{E}_{a' \sim \pi_{new}} [Q(s', a')]$$
$$\min_Q \mathbb{E}_{a \sim \pi_{Behavior}} [\mathcal{L}(Q(s, a) - Q_{Target})]$$

Not the same Policies

$Q_{Target}$

# Structure in Compositional Planning



Visuo-Motor Skills

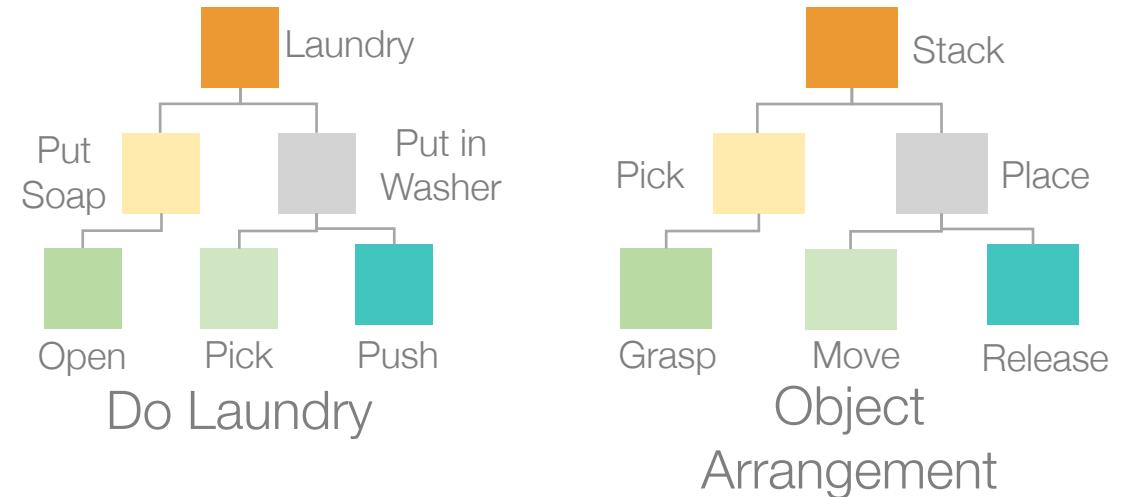


Visuo-Motor Skills



Compositional Planning

Compositional Planning



# Structure in Compositional Planning

Imitation: But at which level? What should I copy?



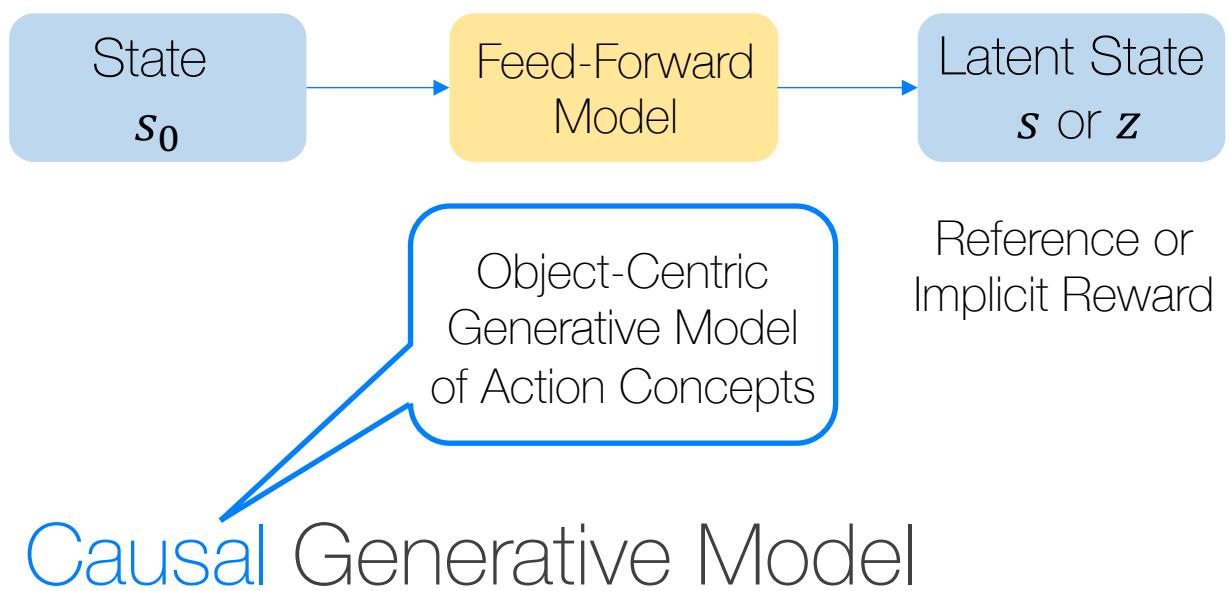
**Imitative Babbling**  
Movement Skills

**Dexterity**  
Skill Sequencing

**Causality**  
Semantic Purpose

**Task Specification**  
{Language, Video,  
Kinesthetic}

# Structure in Compositional Planning



- Learn to predict the “effect” of “action”
- Compositional & Counterfactual
- Multi-step Semantic consistency
- Pre-trainable over large problem settings

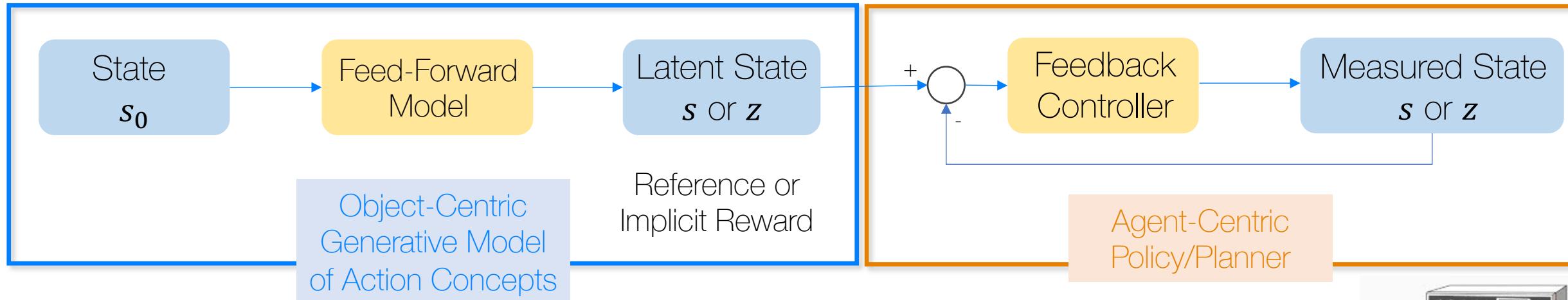


What does it mean to “open” a “door”?  
“open” a “jar”?  
“open” <>

- “Take” “Jug”
- “Open” “Fridge”
- “Put” “Jug” in “Fridge”



# Structure in Compositional Planning



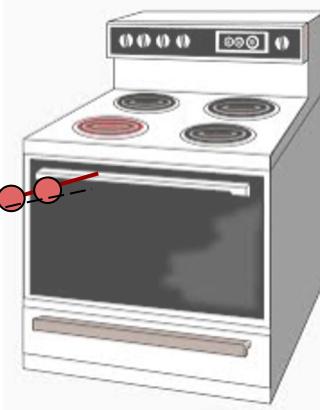
Input

- “Take” “Jug”
- “Open” “Fridge”
- “Put” “Jug” in “Fridge”

Goal Generation

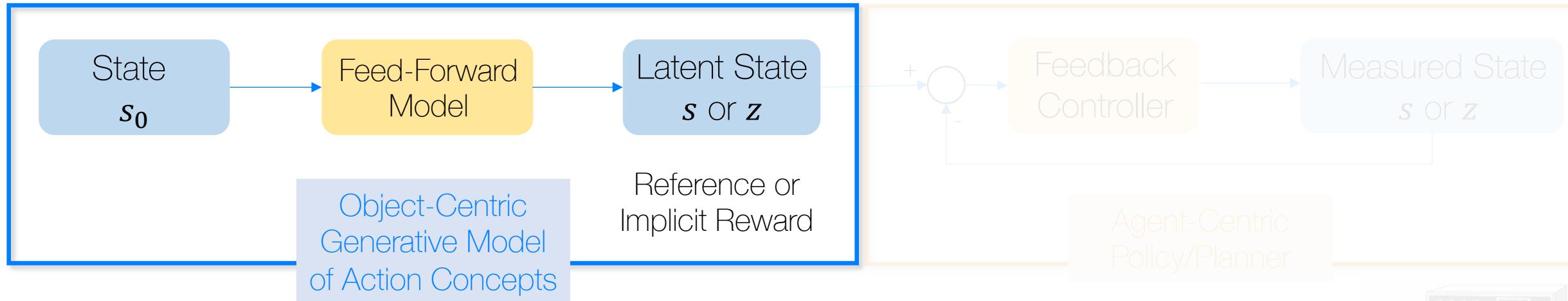


Goal-conditioned  
Reactive controller



Solvable online for  
different agents

# Structure in Compositional Planning



Input

- “Take” “Jug”
- “Open” “Fridge”
- “Put” “Jug” in “Fridge”

Goal Generation



Goal-conditioned  
Reactive controller

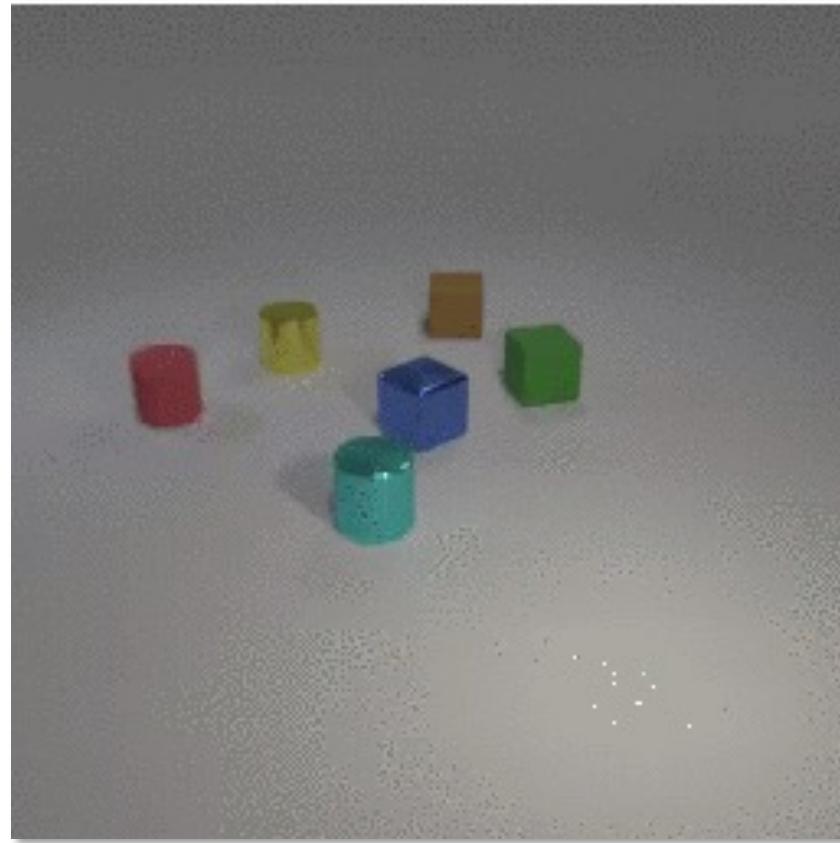


Solvable online for  
different agents



# Object-Centric Causal Generative Model

## Semantic + Action-Conditional



### **Semantic action-conditional video prediction**

Self-Supervised Modular Object Representation

Long-term Semantically Consistent Predication

No bounding box or object level supervision.

Prompt: Sequential Language Instruction

# Object-Centric Causal Generative Model

## Modular Action Concepts

Input: t=1

- "Take" "Jug"
- "Open" "Fridge"
- "Put" "Jug" in "Fridge"



Ground Truth  
Instruction

Ground  
Truth

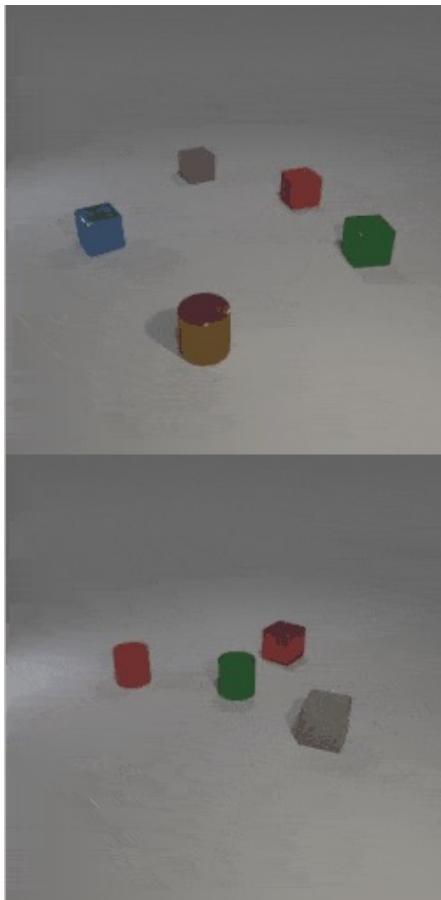
MAC  
Prediction

# Object-Centric Causal Generative Model

## Systematic Generalization: Out of Distribution

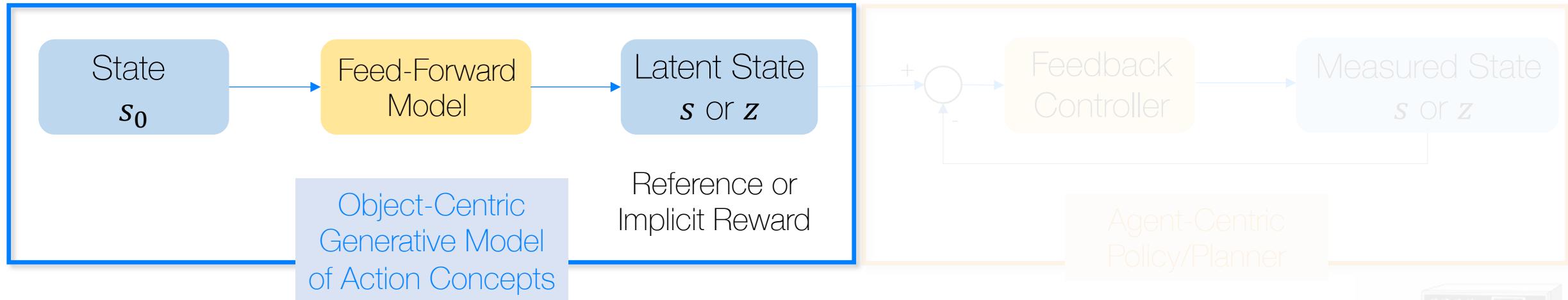


All **Red** cubes are removed  
from training data



Testing:  
Concurrent actions  
  
Training:  
Single action

# Structure in Compositional Planning



Input

- “Take” “Jug”
- “Open” “Fridge”
- “Put” “Jug” in “Fridge”

Goal Generation



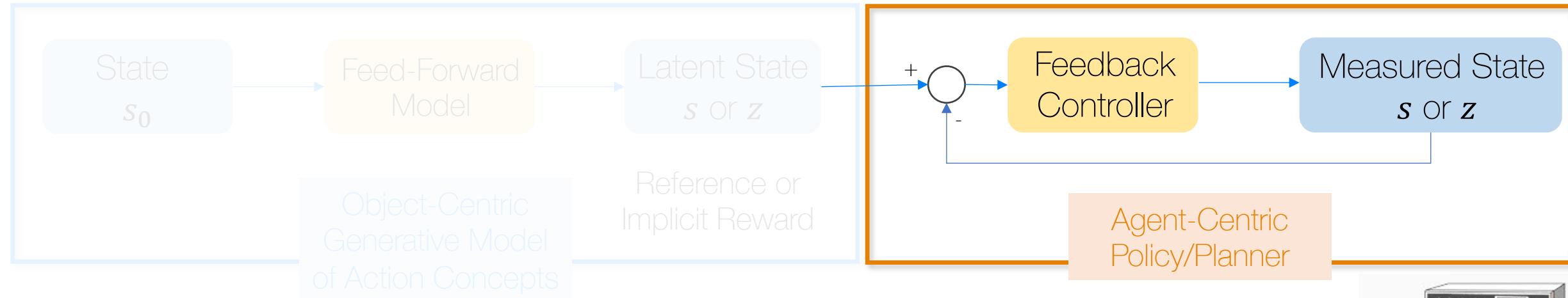
Goal-conditioned  
Reactive controller



Solvable online for  
different agents



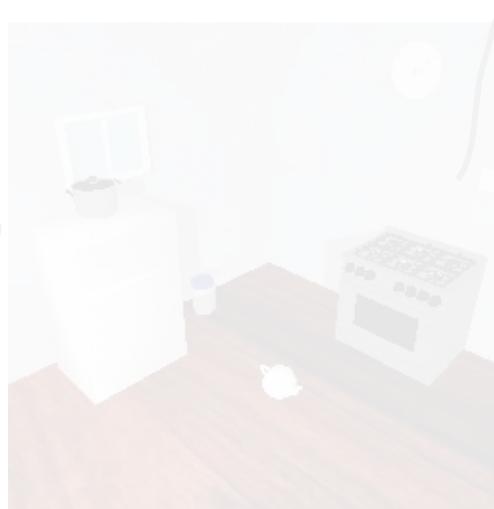
# Structure in Compositional Planning



Input

- "Take" "Jug"
- "Open" "Fridge"
- "Put" "Jug" in "Fridge"

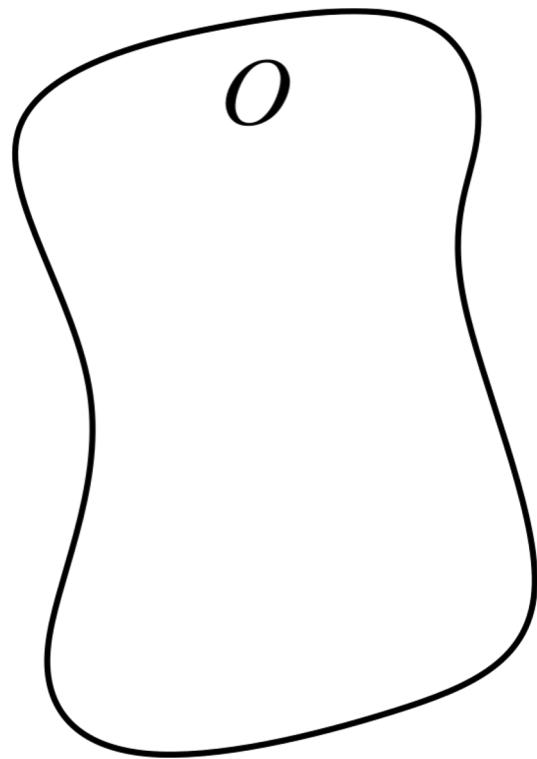
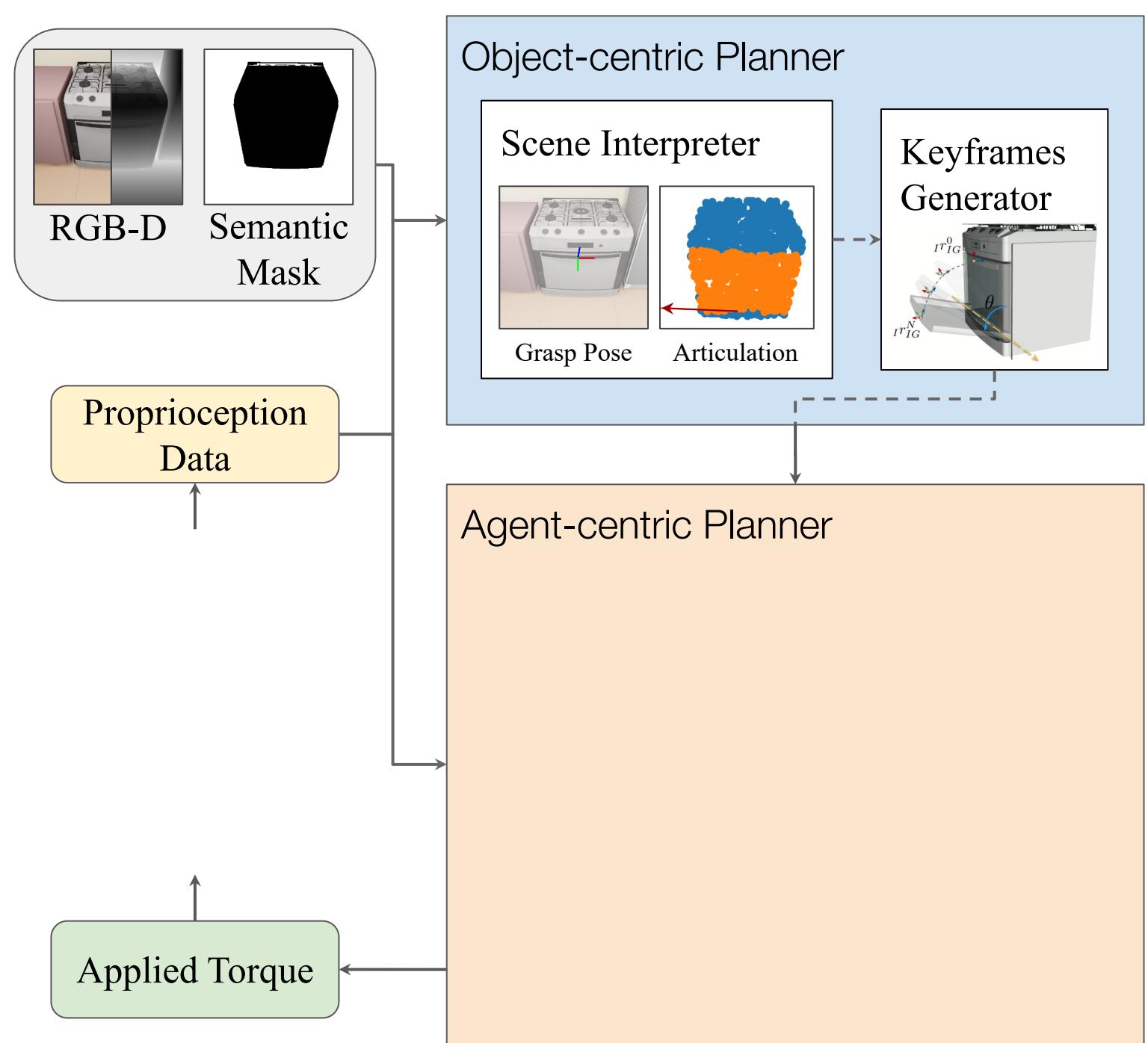
Goal Generation

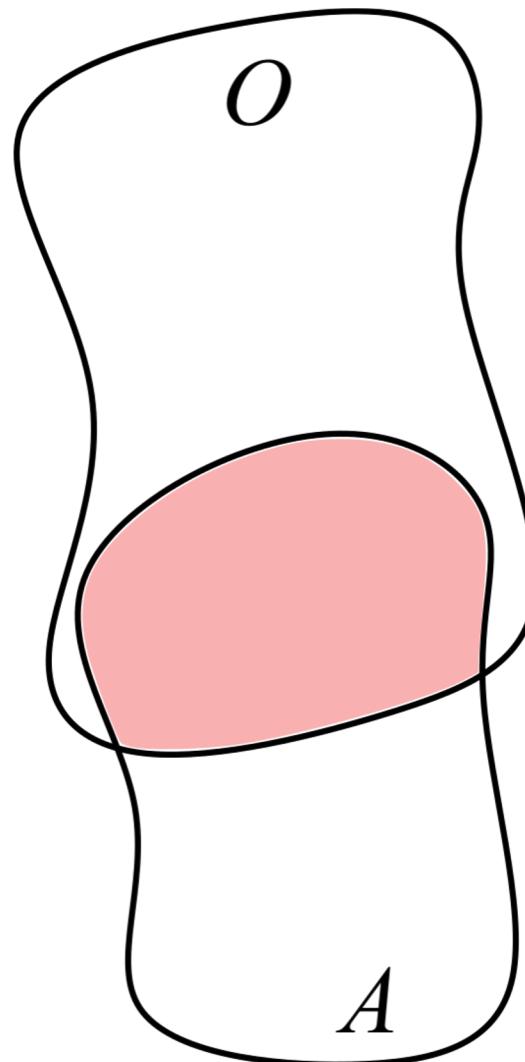
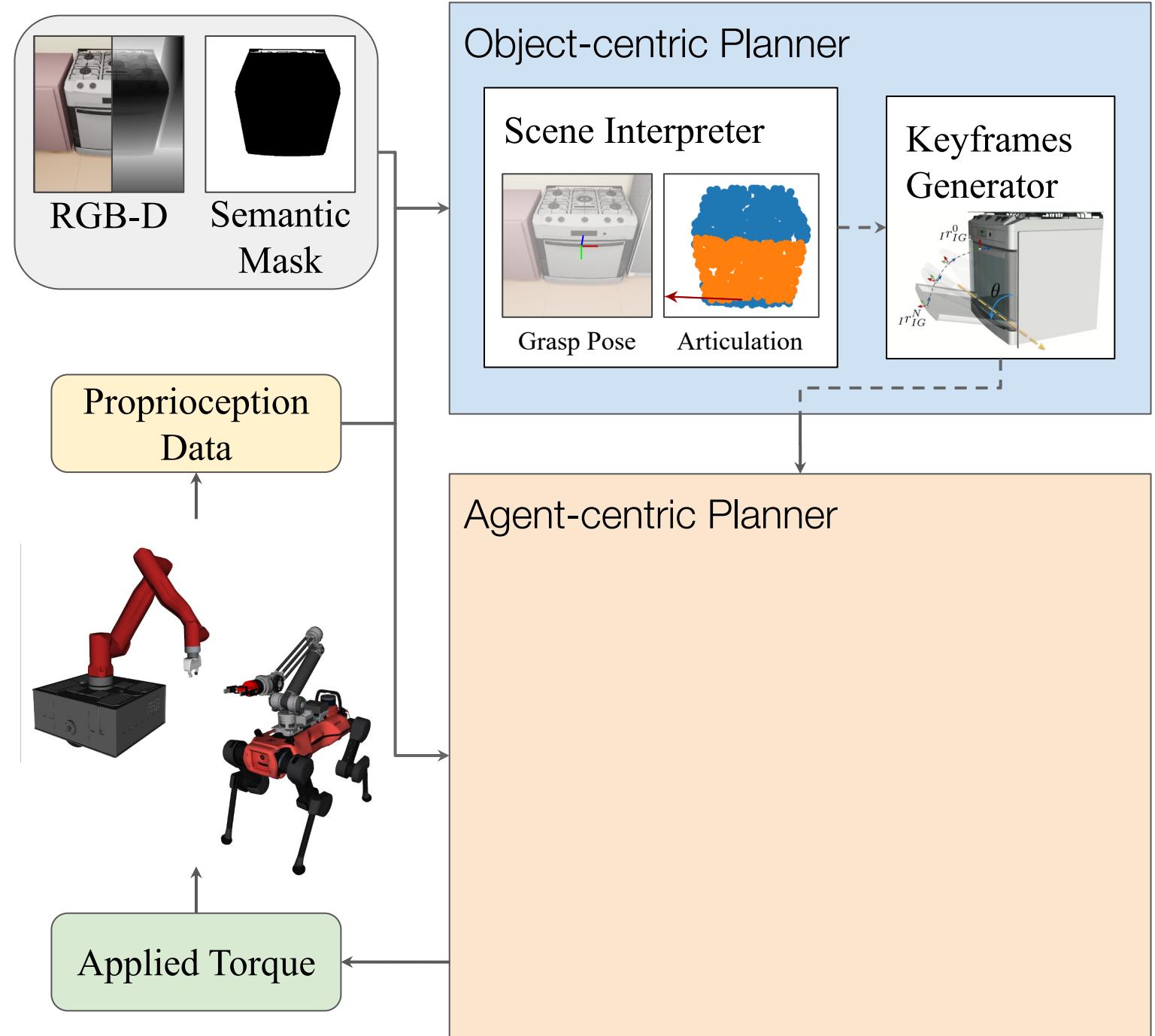


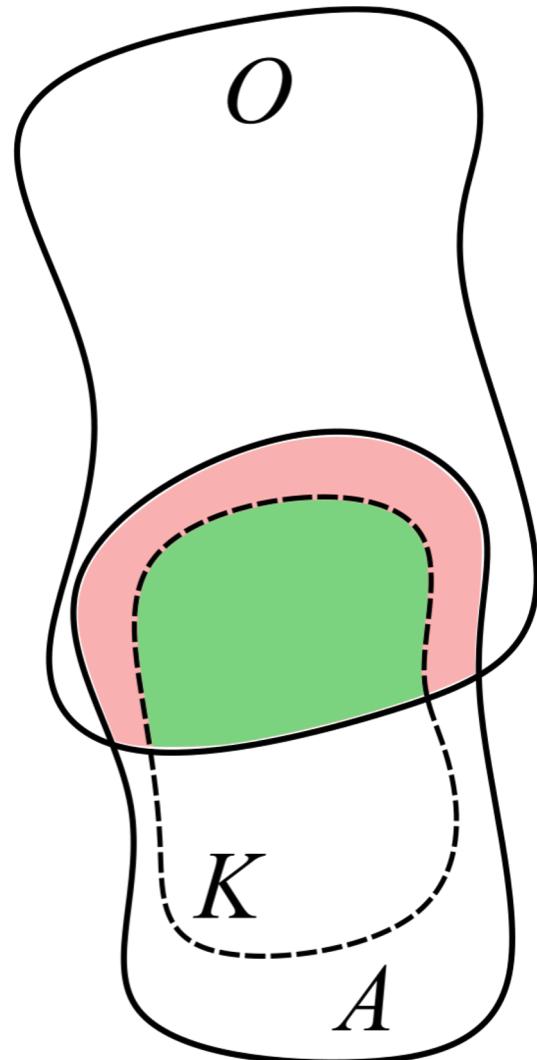
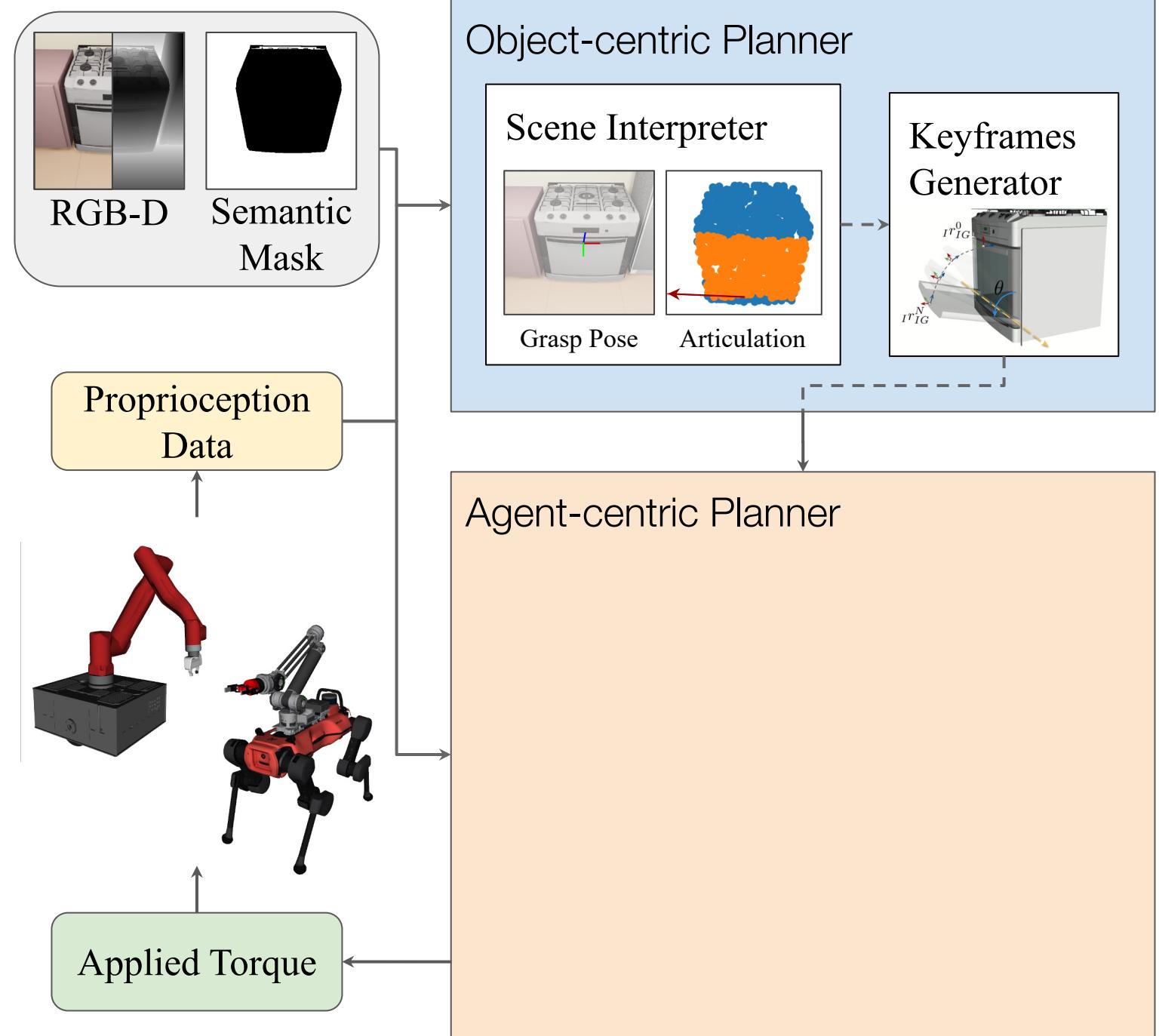
Goal-conditioned  
Reactive controller

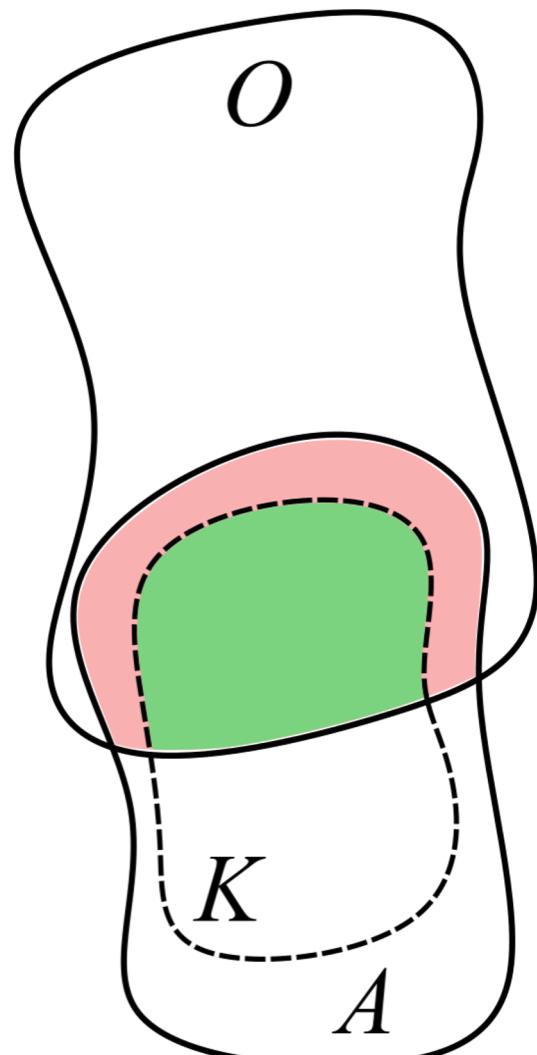
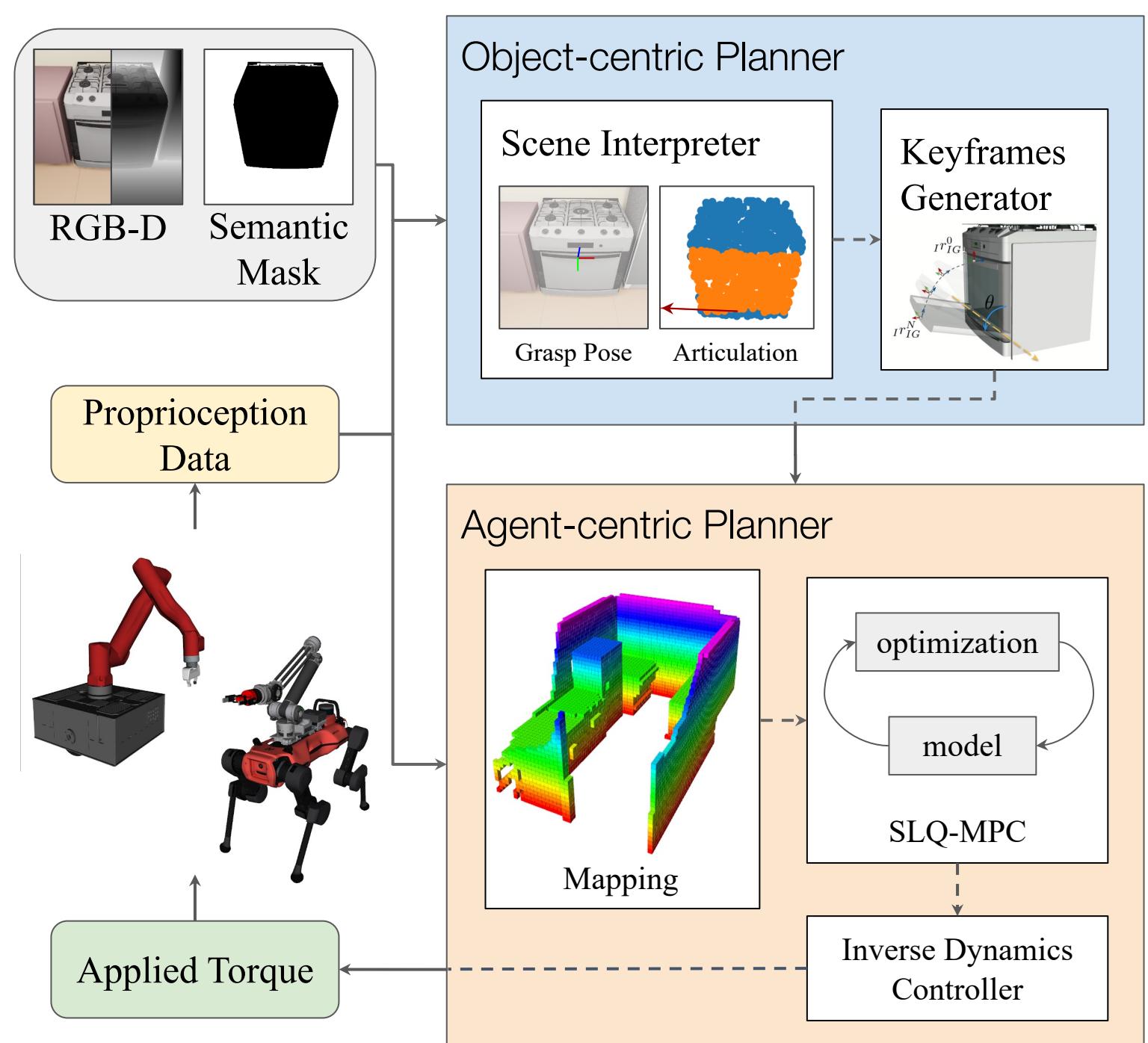


Solvable online for  
different agents

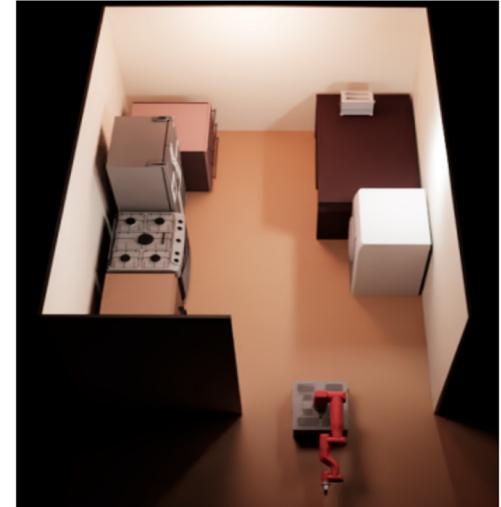
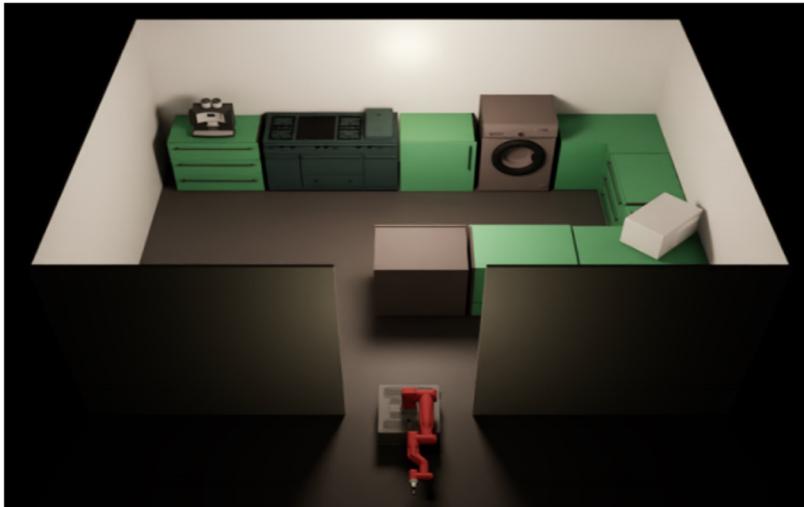
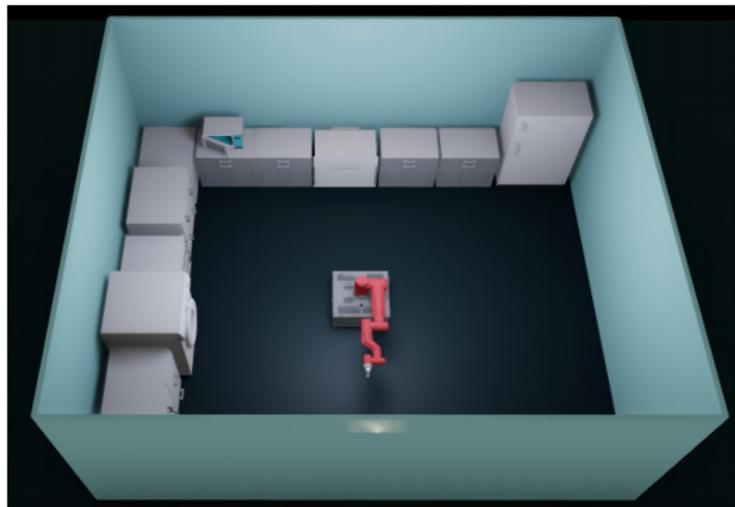








# Structure in Compositional Planning: Setup



Different kitchen layouts designed on NVIDIA Isaac Sim using PartNet-Mobility dataset



(a) Drawers



(b) Ovens



(c) Washing Machines

# Static Scene: novel instances of known articulated object category

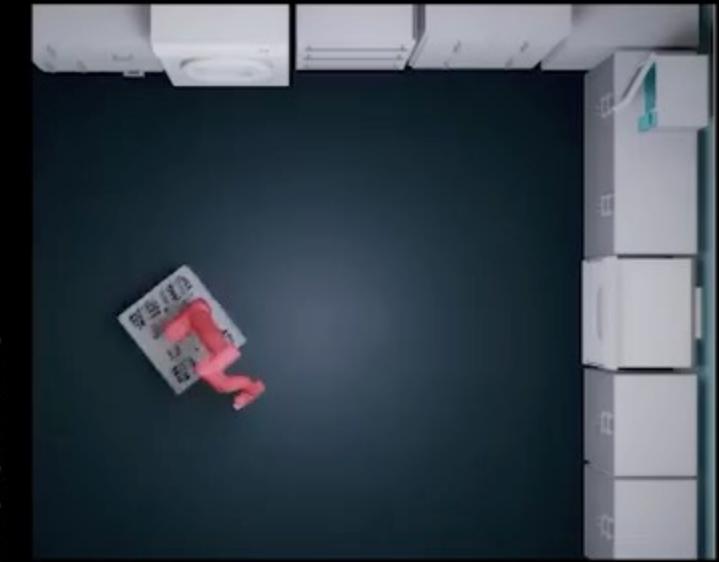
drawer



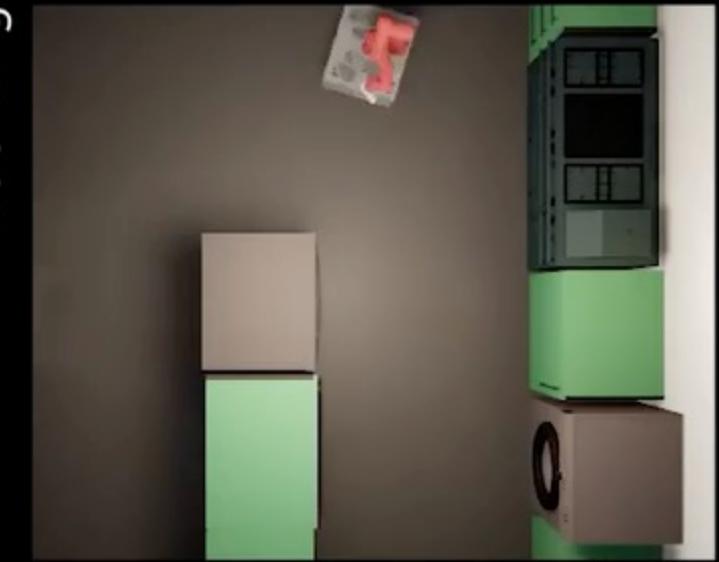
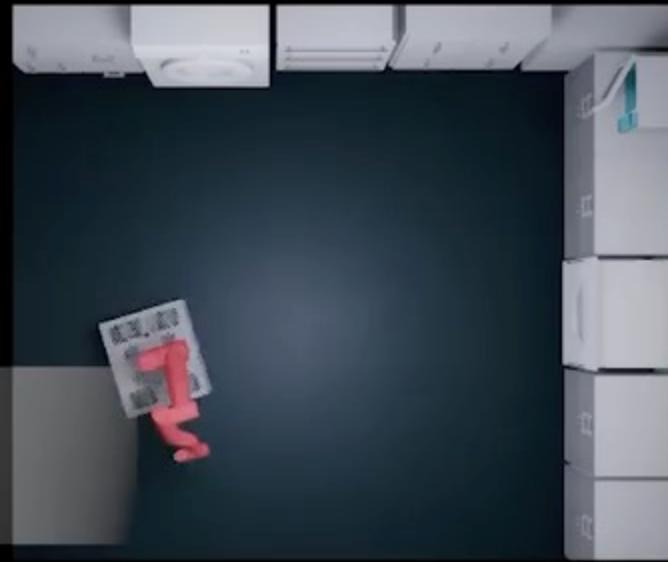
oven

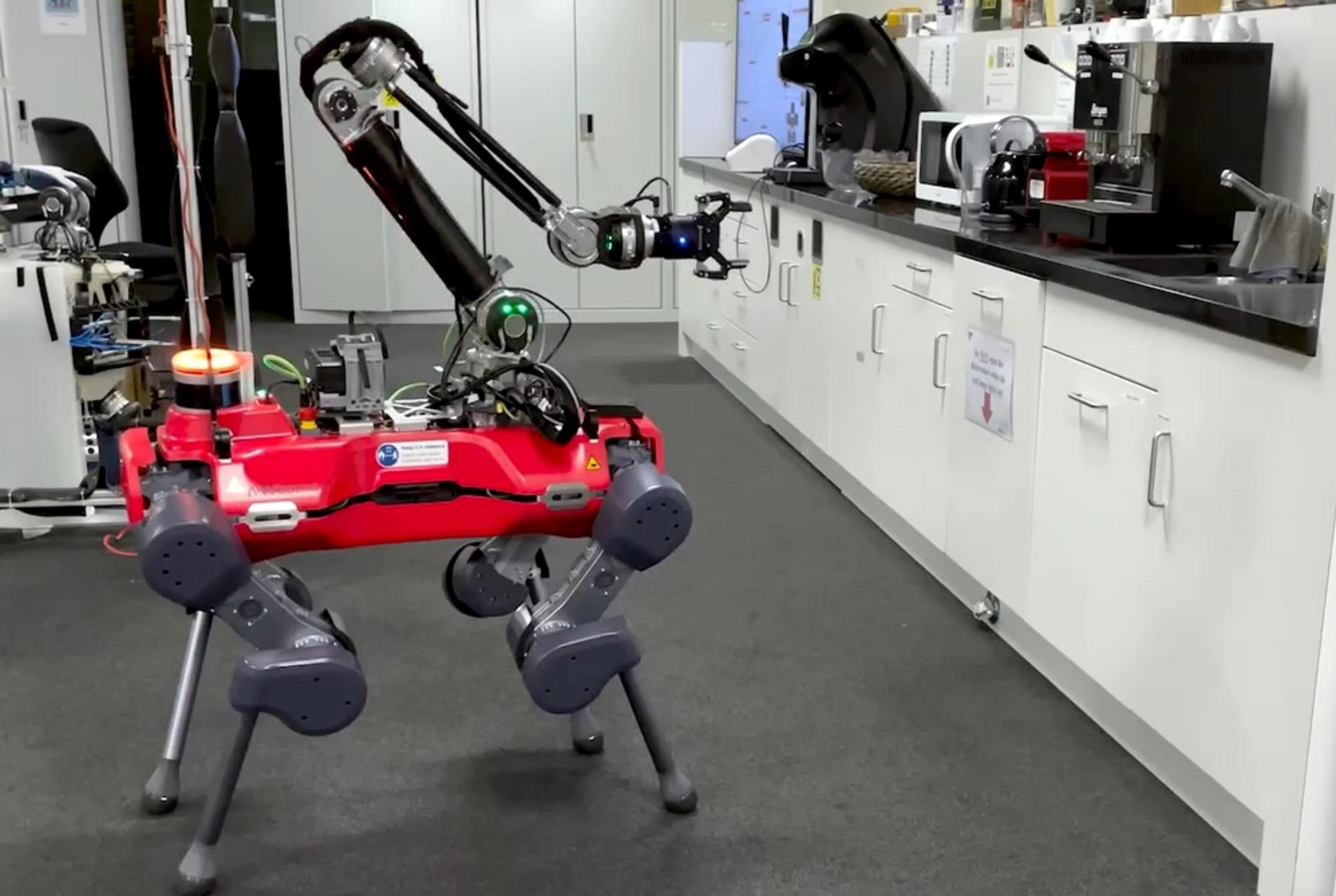


washing machine



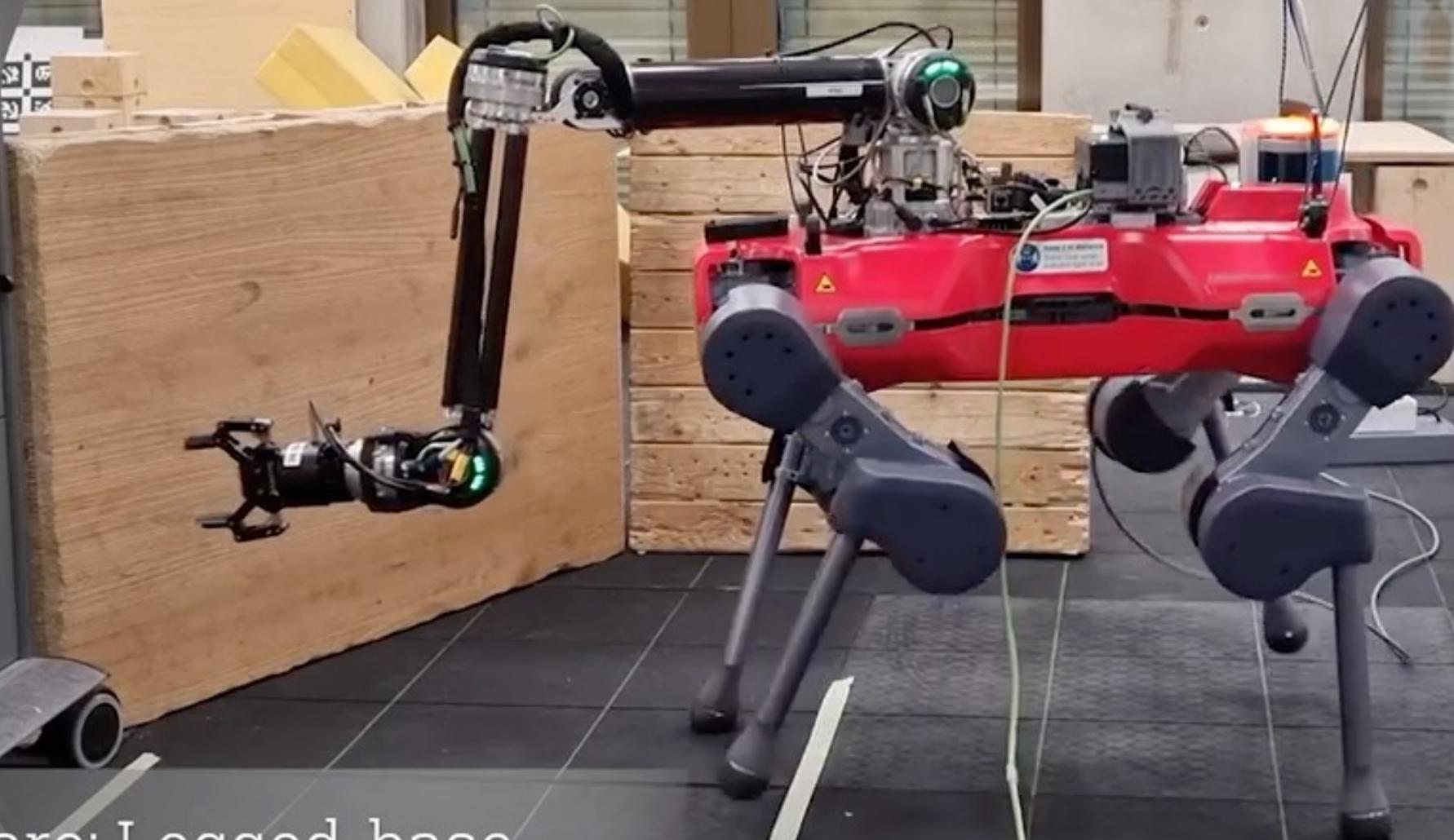
Simulation: Wheel-base





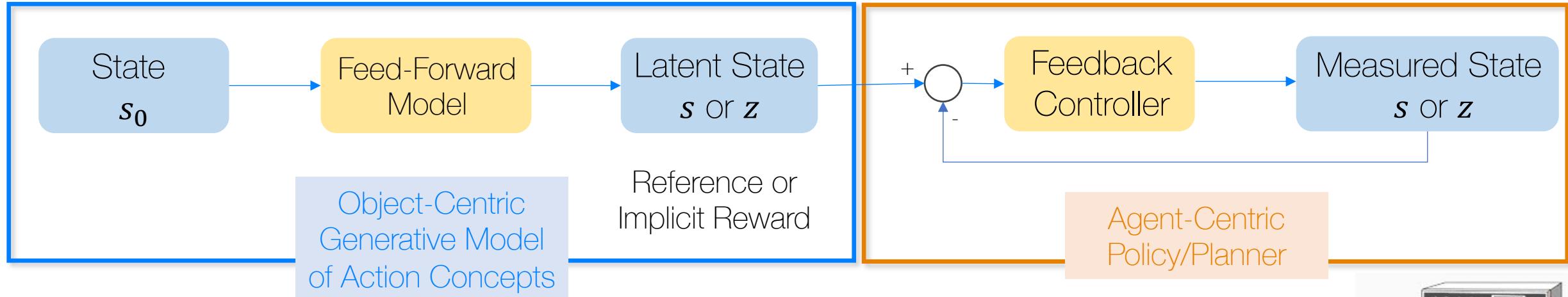
x1.5

IROS 2022 (under review)



Hardware: Legged-base

# Structure in Compositional Planning



Input

- “Take” “Jug”
- “Open” “Fridge”
- “Put” “Jug” in “Fridge”

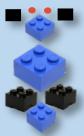
Goal Generation



Goal-conditioned  
Reactive controller



Solvable online for  
different agents



# Structure

State/Action Reps.

VICES IROS19

LASER ICRA21

Making Sense ICRA19

Unsup KPs PAMI21

Inductive Biases

C-Learning ICLR21

OCEAN UAI20

D2RL arXiv20

Structure in Planning

CAVIN CORL20

Skill Hierarchy ICLR21

Finding-IT, CVPR18

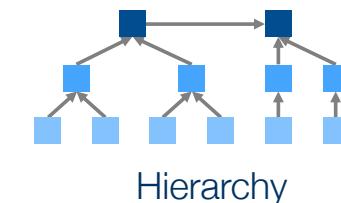
Neural Programming

NTP ICRA18

NTG CVPR19

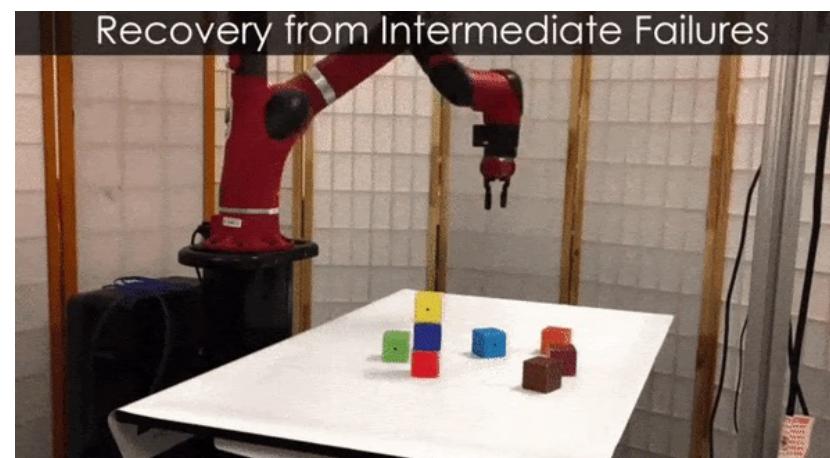
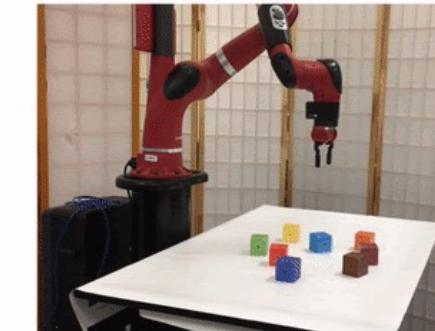
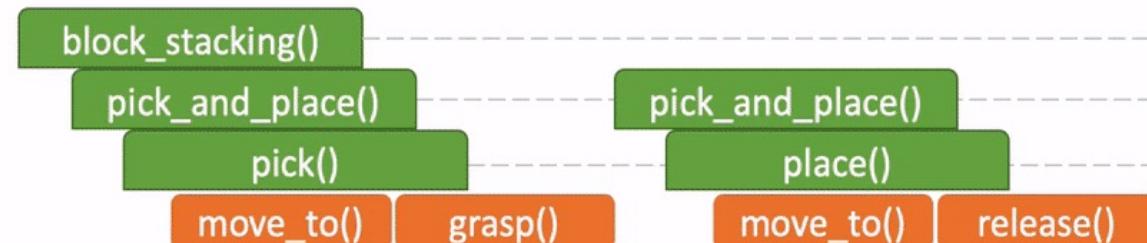
Cont.Relax IROS19

# Representations for Planning



What model structure enables longer term planning?

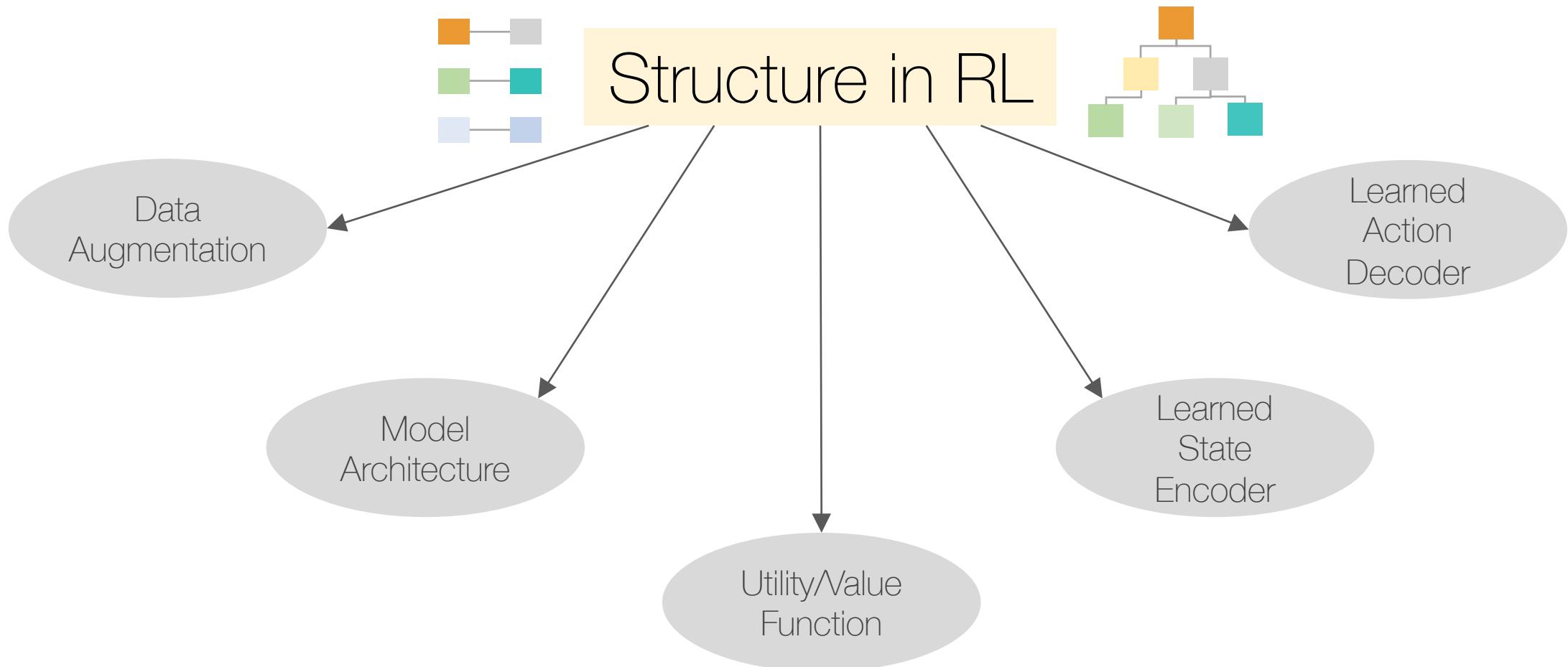
Program Induction provides a very efficient model of compositional generalization



# Structure for Reinforcement Learning

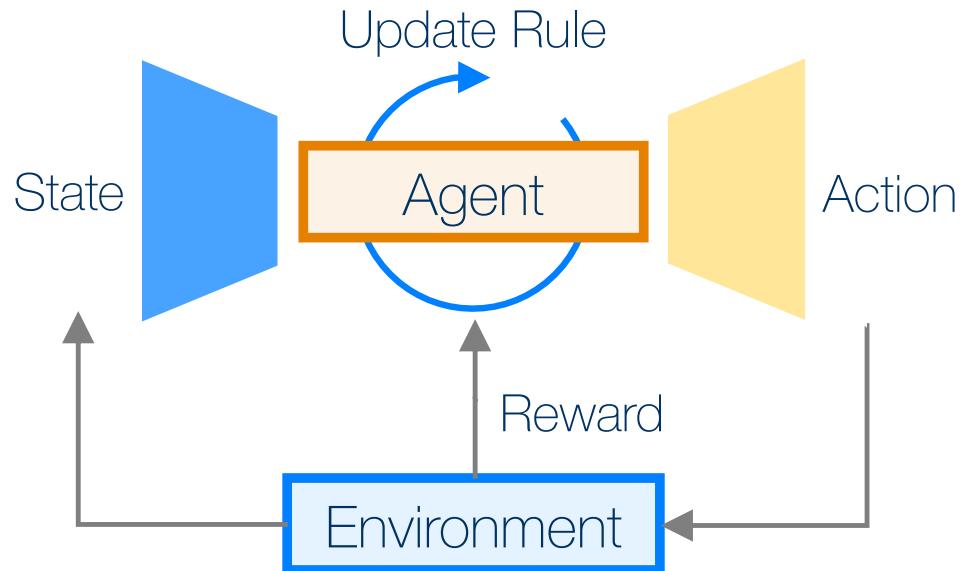
Structured Biases improve both efficiency & generalization

Robot Learning needs new ones!



# Towards Generalizable Autonomy

## Structure in Reinforcement Learning for Robotics



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[@animesh\\_garg](https://www.cs.toronto.edu/~animesh_garg)