

### No-SQL and CAPs Theorem

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# Not Only SQL (No-SQL)

# No-SQL

- "Not Only SQL" i.e. database systems that work on other than the tabular relations used in relational databases.
- No-SQL is a non-relational database system, which may not necessarily use SQL.
- No-SQL databases are mostly used for real-time and big data applications.
- No-SQL systems may also support SQL-like query languages.
- No-SQL database systems have data structures different from relational databases (key-value, graph, document).
- No-SQL allows a dynamic schema for unstructured data.
- No-SQL databases allow adding new attributes and fields.

# No-SQL Properties

- High usage in big data and real-time web applications.
- Most No-SQL database systems follow the CAP theorem.
- Hurdles in adoption of No-SQL stores are low-level query languages, lack of standardized interfaces, and huge investments in existing SQL.
- Less need to pre-plan and pre-organize data, and it's easier to make modifications.
- Some No-SQL systems do not provide all four ACID properties together (atomicity, consistency, isolation and durability).
- "Horizontal" scaling to make clusters of machines, making operations faster.
  - Means add additional servers or nodes as needed to increase load.
- "Vertical" scaling is mostly necessity of SQL based Relational Database
  - to follow ACID properties.

## Categories of No-SQL databases

- Column Oriented: data is stored as columns instead of rows
  - data is stored in cells grouped in a virtually unlimited number of columns rather than rows.
  - Accumulo, Cassandra, Druid, HBase, Vertica
- Document-Oriented:
  - use documents to hold and encode data in standard formats including XML, YAML, JSON (JavaScript Object Notation) and BSON (Binary JSON).
  - documents within a single database can have different data types
  - Clusterpoint, CouchDB, Couchbase, MarkLogic, MongoDB, OrientDB
- **Key-value:** contains many different key value pairs
  - use an associative array (also known as a dictionary or map)
  - Dynamo, FoundationDB, MemcacheDB, Redis, Riak, FairCom ctreeACE, Aerospike, OrientDB
- **Graph:** used to store data related to connections or networks
  - represent data on a graph that shows how different sets of data relate to each other
  - Allegro, Neo4J, InfiniteGraph, OrientDB, Virtuoso, Stardog, RedisGraph
- Multi-model: OrientDB, FoundationDB, ArangoDB, Alchemy Database, CortexDB.

# Structured Query Language (SQL) Transactions

# **Transaction Concept**

- A **transaction** is a *unit* of program execution that accesses and possibly updates various data items.
- E.g., transaction to transfer \$50 from account A to account B:
  - 1. read(A)
  - 2. A := A 50
  - 3.  $\mathbf{write}(A)$
  - 4. read(B)
  - 5. B := B + 50
  - 6. **write**(*B*)
- Two main issues to deal with:
  - Failures of various kinds, such as hardware failures and system crashes
  - Concurrent execution of multiple transactions

# Example of Fund Transfer (Cont.)

- Atomicity requirement
  - If the transaction fails after step 3 and before step 6, money will be "lost" leading to an inconsistent database state
    - Failure could be due to software or hardware
  - The system should ensure that updates of a partially executed transaction are not reflected in the database
- **Durability requirement** once the user has been notified that the transaction has completed (i.e., the transfer of the \$50 has taken place), the updates to the database by the transaction must persist even if there are software or hardware failures.

# Example of Fund Transfer (Cont.)

- Consistency requirement in above example:
  - The sum of A and B is unchanged by the execution of the transaction
- In general, consistency requirements include
  - Explicitly specified integrity constraints such as primary keys and foreign keys
  - Implicit integrity constraints
    - e.g., sum of balances of all accounts, minus sum of loan amounts must equal value of cash-in-hand
  - A transaction must see a consistent database.
  - During transaction execution the database may be temporarily inconsistent.
  - When the transaction completes successfully the database must be consistent
    - Erroneous transaction logic can lead to inconsistency

# Example of Fund Transfer (Cont.)

• **Isolation requirement** — if between steps 3 and 6, another transaction T2 is allowed to access the partially updated database, it will see an inconsistent database (the sum A + B will be less than it should be).

**T1** 

**T2** 

- 1. read(A)
- 2. A := A 50
- 3.  $\mathbf{write}(A)$

read(A), read(B), print(A+B)

- 4. read(B)
- 5. B := B + 50
- 6. **write**(*B*)
- Isolation can be ensured trivially by running transactions **serially** 
  - That is, one after the other.
- However, executing multiple transactions concurrently has significant benefits.

# **ACID Properties**

- A **transaction** is a unit of program execution that accesses and possibly updates various data items.
- To preserve the integrity of data the database system must ensure:
- **Atomicity.** Either all operations of the transaction are properly reflected in the database or none are.
- Consistency. Execution of a transaction in isolation preserves the consistency of the database.
- Isolation. Although multiple transactions may execute concurrently, each transaction must be unaware of other concurrently executing transactions. Intermediate transaction results must be hidden from other concurrently executed transactions.
  - That is, for every pair of transactions  $T_i$  and  $T_j$ , it appears to  $T_i$  that either  $T_j$ , finished execution before  $T_i$  started, or  $T_j$  started execution after  $T_i$  finished.
- **Durability.** After a transaction completes successfully, the changes it has made to the database persist, even if there are system failures.

### **Transaction State**

- Active the initial state; the transaction stays in this state while it is executing
- Partially committed after the final statement has been executed.
- Failed -- after the discovery that normal execution can no longer proceed.
- **Aborted** after the transaction has been rolled back and the database restored to

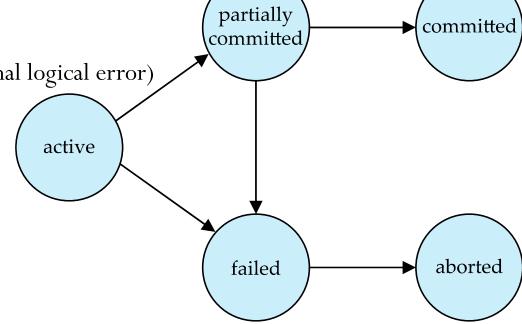
its state prior to the start of the transaction.

• Two options after it has been aborted:

• Restart the transaction (Can be done only if no internal logical error)

Kill the transaction

• Committed – after successful completion.



### **Concurrent Executions**

- Multiple transactions are allowed to run concurrently in the system.
- Advantages are:
  - Increased processor and disk utilization, leading to better transaction throughput
  - Reduced average response time for transactions: short transactions need not wait behind long ones.
- Concurrency control schemes mechanisms to achieve isolation
  - That is, to control the interaction among the concurrent transactions in order to prevent them from destroying the consistency of the database.

### Schedules

- **Schedule** a sequences of instructions that specify the chronological order in which instructions of concurrent transactions are executed
  - A schedule for a set of transactions must consist of all instructions of those transactions
  - Must preserve the order in which the instructions appear in each individual transaction.
- A transaction that successfully completes its execution will have a commit instructions as the last statement
  - By default transaction assumed to execute commit instruction as its last step
- A transaction that fails to successfully complete its execution will have an abort instruction as the last statement

- Also known as Brewer's theorem
- SQL follows ACID properties,
- No-SQL follows the CAP theorem
- The CAP theorem says that
- "It is impossible for a distributed computer system to simultaneously provide three (Consistency, Availability, and Partition) together with guarantees in single instance"
- Although some No-SQL databases such as IBM's DB2, MongoDB, AWS's DynamoDB and Apache's CouchDB can also integrate and follow ACID rules

- A distributed data systems allow a trade-off that can guarantee only two of the following three properties (which form the acronym CAP) at any one time:
- **Consistency**: All nodes can view the same data at the same time. Every request receives either the most recent result or an error. MongoDB is an example of a strongly consistent system, whereas others such as Cassandra offer eventual consistency.
- **Availability**: A guarantee that every request will receive a response for success or failure. Every request has a non-error result.
- **Partition**: The system continues to operate irrespective of the loss or failure of a node. Trying to achieve Partition tolerance means any delays or losses between nodes do not interrupt the system operation.

- One such fallacy of distributed computing is that networks are reliable. They aren't. Networks and parts of networks go down frequently and unexpectedly.
- A partition is when the network fails to deliver some messages to one or more nodes by losing them (not by delaying them eventual delivery is not a partition).

### Consistency

All clients see the same view of data, even right after update or delete

CA

All clients can find a replica of data, even in case of partial node failures

**Availability** 

CP

**Partitioning** 

AP

The system continues to work as expected, even in presence of partial network failure

# When to use SQL and When to use No-SQL

# When to use SQL

- SQL is a good choice when working with related data.
- Relational databases are efficient, flexible and easily accessed by any application.
- Benefit of a relational database: when one user updates a specific record, every instance of the database automatically refreshes, and that information is provided in real-time.
- SQL and a relational database make it easy to handle a great deal of information, scale as necessary and allow flexible access to data only needing to update data once instead of changing multiple files, for instance.
- SQL is best for assessing data integrity. Each piece of information is stored in a single place, there is no problem with former versions confusing the picture.
- Tech companies use SQL, including Uber, Netflix and Airbnb.
- Companies like Google, Facebook and Amazon, which build their own database systems, also use SQL to query and analyze data.

# When to use No-SQL

- While SQL is valued for ensuring data validity, No-SQL is good when it's more important that the availability of big data is fast.
- No-SQL is easy-to-use, flexible and offers high performance. It's also a good choice when a company will need to scale because of changing requirements.
- No-SQL is also a good choice when there are large amounts of (or ever-changing) data sets or when working with flexible data models or needs that do not fit into a relational model.
- No-SQL is a good fit for quick access to a key-value store without strong integrity guarantees.
- When a complex or flexible search across a lot of data is needed, then ElasticSearch with No-SQL is a good choice.

# When to use No-SQL

- Scalability is a significant benefit of No-SQL databases.
- Unlike with SQL, their built-in sharding and high availability requirements allow horizontal scaling.
- No-SQL databases like Cassandra, developed by Facebook, handle massive amounts of data spread across many servers, having no single points of failure and providing maximum availability.
- Companies (include Amazon, Google and Netflix) use No-SQL systems because they are dependent on large volumes of data not suited to a relational database.
- The more extensive the dataset, the more likely that No-SQL is a better choice.

# SQL vs No-SQL

- The difference between SQL and No-SQL databases is really just a comparison of relational vs. non-relational databases.
- With the rise of social media, Ecommerce, search, and the explosion of data, SQL was struggling to manage all the requests, transactions, and activity occurring online. No-SQL is designed to manage lots of traffic and data.
- Each type of No-SQL database stores data differently and is selected and used in different contexts.

# Data structure and Language

	SQL databases	No-SQL databases
Data structure	tables and standardizes the relationships between those tables, making SQL databases well suited to highly structured data	relational model but is instead flexible enough to accommodate different models
Language	SQL databases are all about the SQL language. Some relational database products support pure SQL. However, all SQL databases support the core ANSI/ISO language elements.	No-SQL databases are not locked into one language. The language used depends on the type of No-SQL database, the individual implementation, and the specific operation. For example, MongoDB stores all documents in a JSON format, with queries based on the JavaScript programming language.

# Schemas and Data integrity

	SQL databases	No-SQL databases		
Schemas	An SQL database requires a predefined schema that determines how tables are configured and data is stored, resulting in a rigid structure that helps to optimize storage and ensure data integrity, but limits flexibility.	that requires no predefined data structure,		
	SQL databases deliver a high degree of data integrity, adhering to the principles of atomicity, consistency, isolation, and durability (ACID), which are essential when supporting workloads such as financial transactions.	It can be difficult for No-SQL databases to deliver the same level of data integrity as SQL databases, with most adhering to BASE principles (basic availability, soft state, and eventual consistency), which means data in a distributed environment might be temporarily inconsistent.		

# Scalability, Querying, and Maturity

	SQL databases	No-SQL databases
Scalability	SQL databases primarily scale vertically, which means they can be easily scaled up by adding resources such as CPUs or memory, but SQL databases are not very efficient at scaling horizontally, making them ill-suited for large, distributed data sets.	No-SQL databases can scale horizontally very efficiently across systems and locations, making it possible to accommodate large stores of distributed data, while supporting increased levels of traffic.
Querying	SQL databases are efficient at processing queries and joining data across tables, making it easier to perform complex queries against structured data, including ad hoc requests.	No-SQL databases lack consistency across products and typically require more work to query data, particular as query complexity increases.
Maturity	SQL databases are built on mature technologies that are well known and supported by large developer communities.	No-SQL technologies are making fast inroads into the industry, with developer communities constantly growing.

# SQL vs No-SQL

Consider SQL databases when	Consider No-SQL databases when		
SQL came first. SQL is used to communicate with relational databases. Relational databases store data in a very organized, but also rigid way.	No-SQL, earning it's name by being "not only SQL" makes it easier to store all different types of data together. It's used for its flexibility and therefore speed and scalability in managing large volumes of data.		
Data is highly structured, and that structure does not change frequently	Working with large amounts of unstructured or semi- structured data that does not fit the relational model		
Support transaction-oriented systems such as accounting or financial applications	Require the flexibility of a dynamic schema or want more choice over the data model		
Require a high degree of data integrity and security	Require a database system that can be scaled horizontally, perhaps across multiple geographic locations		
Routinely perform complex queries, including ad-hoc requests	Want to streamline development and avoid the overhead of a more structured approach		
Used for applications require the level of data integrity offered by SQL databases	Used for application require the scale-out capabilities that No-SQL offers		

### **Database Vendors**

- Vendors have been steadily incorporating features into their products to make them more universal.
- For example, MongoDB now supports multi-document ACID transactions, and MySQL now includes a native JSON data type for storing and validating JSON documents.
- Graph databases are designed for data whose relations are well represented as a graph consisting of elements connected by a finite number of relations. Examples of data include social networks, public transport links, road maps, network topologies, etc.

# Benchmarking

Data model	Performance	Scalability	Flexibility	Complexity	Functionality
Key-value store	high	high	high	none	variable (none)
Column-oriented store	high	high	moderate	low	minimal
Document-oriented store	high	variable (high)	high	low	variable (low)
Graph database	variable	variable	high	high	graph theory
Relational database	variable	variable	low	moderate	relational algebra

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תודה רבה

Ευχαριστώ

Hebrew

Greek

Спасибо

Danke

Russian

German

धन्यवादः

Merci

ধন্যবাদ Bangla Sanskrit

நன்றி

**Tamil** 

شكر أ Arabic

French

Gracias

Spanish

ಧನ್ಯವಾದಗಳು

Kannada

Thank You English

നന്ദ്വി

Malayalam

多謝

Grazie

Italian

ధన్యవాదాలు

Telugu

આભાર Gujarati Traditional Chinese

ਧੰਨਵਾਦ Punjabi

धन्यवाद

Hindi & Marathi

多谢

Simplified Chinese

https://sites.google.com/site/animeshchaturvedi07

Obrigado Portuguese ありがとうございました Japanese

ขอบคุณ

Thai

감사합니다

Korean