

Formal part of the paper "Renormalization group as a iterative block diagonalization"

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A fermionic graph \mathcal{G}_{2N} is defined over the configuration space $\mathcal{C}^{2N} = \mathcal{AH}^{\otimes 2N}$ which is a anti-symmetrized $2N$ tensor power of the single particle Hilbert space \mathcal{H} where $2N$ nodes are labelled as $j\sigma, j \in [1, N], \sigma \in [\uparrow, \downarrow]$. As the single particle Hilbert space dimensionality $\dim(\mathcal{H}) = 2$ therefore $\dim(\mathcal{C}_{2N}) = 2^{2N}$. The Hamiltonian \hat{H}_{2N} is a Hermitian operator acting on \mathcal{C}_{2N} having a matrix representation of dimension $\dim(\mathcal{C}_{2N}) \times \dim(\mathcal{C}_{2N})$. The diagonal elements in the number occupancy basis $|1_{j\sigma}\rangle, |0_{j\sigma}\rangle \forall j \in [1, 2N]$ represents the onsite energy scale for every node in the graph, and off-diagonal elements represents the connectivities between nodes on the graph. This paper is presented in two parts part-(I) is the formalism which shows,

a) A unitarily (U) equivalent representation of the Hamiltonian \hat{H} which render it block diagonal in the number occupancy basis $1_{j\sigma}, 0_{j\sigma}$ of a node $j\sigma$. The Hamiltonian of dimension $\dim(\hat{H}) = 2^{2N} \times 2^{2N}$ is block diagonalized to two matrices $U[H_1 \oplus H_2]U^\dagger$ of dimension $(2^{2N-1} \times 2^{2N-1})$ each, i.e. $\dim(\hat{H}) \rightarrow \dim(U[H_1 \oplus H_2]U^\dagger)$.

b) A recursion procedure of applying the unitary operators such that any individual blocks dimension scales as $2^{2N} \rightarrow 2^{2N-1} \oplus 2^{2N-1} \rightarrow (2^{2N-2} \oplus 2^{2N-2}) \oplus (2^{2N-2} \oplus 2^{2N-2})$ so on, i.e. the RG steps goes as $\log_2^{2^{2N}} - \log_2^{2^{2N-1}} = 1$.

Part-(II) of the paper shows the application of this formalism to a strongly correlated system, for which we obtain a set of Unitary Renormalization group generators. The flow equations in the space of coupling generated along-with this procedure leads to zooming into the low energy sector.

I. BLOCK MATRIX REPRESENTATION OF A FERMIONIC OPERATOR IN SINGLE FERMION NUMBER OCCUPANCY BASIS

A general number ordered (N.O.) operator in a 2^N dimensional Fermionic Fock space created out of N single particle number occupancy spaces labeled by $l \in [1, N]$ is represented as,

$$\hat{B} = \sum_i \hat{B}_i, \quad \hat{B}_i = \prod_{j=1}^{p_i} c_{l_{e,j}}^\dagger \prod_{j=1}^{q_i} c_{l_{h,j}}^i, \quad (1)$$

$$\prod_{j=1}^{p_i} c_{l_{e,j}}^\dagger := c_{l_{e,1}}^\dagger c_{l_{e,2}}^\dagger \dots c_{l_{e,p_i}}^\dagger,$$

here the indexing $l_{e,j}^i$ are the state labels acted upon by the electron creation operators similarly $l_{h,j}^i$ are the state labels acted upon by the electron annihilation operators, contained within the i th operator \hat{B}_i .

Theorem 1 *The operator \hat{B} with respect to single particle number occupancy space labelled by l can be resolved into the following block form i.e.,*

$$\hat{B} = U_l \hat{n}_l + V_l c_l + c_l^\dagger W_l + X_l (1 - \hat{n}_l) = \begin{pmatrix} U_l & W_l \\ V_l & X_l \end{pmatrix} \quad (2)$$

Definition: The partial trace of \hat{O} with respect to state

l is defined as ,

$$Tr_l(\hat{B}) = \sum_i Tr_l(\hat{O}_i)$$

where ,

$$Tr_l(\hat{B}_i) = 2 \left(1 - \sum_{j=1}^{p_i} \delta_{l_{e,j}, l}^i \right) \left(1 - \sum_{k=1}^{q_i} \delta_{l_{h,k}, l}^i \right) \hat{O}_i$$

$$+ \sum_{\substack{j'=1, \\ k'=1}}^{p_i, q_i} \delta_{l_{e,j'}, l}^i \delta_{l_{h,k'}, l}^i \times e^{i\pi[(j'-1)+(q_i-k')]} \prod_{\substack{j=1, \\ j \neq j'}}^{p_i} c_{l_{e,j}}^\dagger \prod_{\substack{k=1, \\ k \neq k'}}^{q_i} c_{l_{h,k}}^i. \quad (3)$$

Using the above definition eq(3) the following three identities can be derived,

$$Tr_l(\hat{B}_i \hat{n}_l) \hat{n}_l = e^{i\pi(p_i+q_i)} \left[\left(1 - \sum_{j=1}^{p_i} \delta_{l_{e,j}, l}^i \right) \left(1 - \sum_{k=1}^{q_i} \delta_{l_{h,k}, l}^i \right) \hat{n}_l \right.$$

$$\left. + \sum_{\substack{j'=1, \\ k'=1}}^{p_i, q_i} \delta_{l_{e,j'}, l}^i \delta_{l_{h,k'}, l}^i \right] \hat{O}_i,$$

$$Tr_l(c_l^\dagger \hat{B}_i) c_l = \left(1 - \sum_{j'=1}^{p_i} \delta_{l_{e,j'}, l}^i \right) \sum_{k'=1}^{q_i} \delta_{l_{h,k'}, l}^i \hat{O}_i,$$

$$c_l^\dagger Tr_l(\hat{B}_i c_l) = \left(1 - \sum_{k'=1}^{q_i} \delta_{l_{h,k'}, l}^i \right) \sum_{j'=1}^{p_i} \delta_{l_{e,j'}, l}^i \hat{O}_i. \quad (4)$$

The above three identities lead to the fourth relation as a corollary,

$$Tr_l(\hat{B}_i (1 - \hat{n}_l)) (1 - \hat{n}_l) = \left(2 - e^{i\pi(p_i+q_i)} \right) \left(1 - \sum_{j=1}^{p_i} \delta_{l_{e,j}, l}^i \right)$$

$$\times \left(1 - \sum_{k=1}^{q_i} \delta_{l_{h,k}, l}^i \right) \hat{B}_i (1 - \hat{n}_l). \quad (5)$$

The operator \hat{O}_i can now be reconstructed using the partial traced operators with respect to the state l multiplied by the triad of operators $\hat{n}_l - \frac{1}{2}, c_l^\dagger, c_l$ using eq(4) and eq(5) ,

$$\begin{aligned} \hat{B}_i &= e^{i\pi(p_i+q_i)} Tr_l(\hat{B}_i \hat{n}_l) \hat{n}_l + Tr_l(c_l^\dagger \hat{O}_i) c_l + c_l^\dagger Tr_l(\hat{B}_i c_l) \\ &+ \left(2 - e^{i\pi(p_i+q_i)}\right)^{-1} Tr_l(\hat{O}_i(1 - \hat{n}_l))(1 - \hat{n}_l) . \end{aligned}$$

Hence any arbitrary N.O. fermionic operator can be reconstructed in terms of partial traced operators and the

triad $\hat{n}_l - \frac{1}{2}, c_l^\dagger, c_l$ as ,

$$\begin{aligned} \hat{B} &= \sum_i \left[e^{i\pi(p_i+q_i)} Tr_l(\hat{O}_i \hat{n}_l) \hat{n}_l + Tr_l(c_l^\dagger \hat{O}_i) c_l + c_l^\dagger Tr_l(\hat{O}_i c_l) \right. \\ &\left. + \left(2 - e^{i\pi(p_i+q_i)}\right)^{-1} Tr_l(\hat{O}_i(1 - \hat{n}_l))(1 - \hat{n}_l) \right] . \end{aligned} \quad (6)$$

The operator decomposition proved above allows for a block matrix representation of the operator \hat{O} ,

$$\hat{B} = \begin{pmatrix} \sum_i e^{i\pi(p_i+q_i)} Tr_l(\hat{B}_i \hat{n}_l) & c_l^\dagger Tr_l(\hat{B}_i c_l) \\ Tr_l(c_l^\dagger \hat{B}_i) c_l & \sum_i \left(2 - e^{i\pi(p_i+q_i)}\right)^{-1} Tr_l(\hat{B}_i(1 - \hat{n}_l))(1 - \hat{n}_l) \end{pmatrix} . \quad (7)$$

II. BLOCK DIAGONALIZATION OF A FERMIONIC HAMILTONIAN IN SINGLE FERMION NUMBER OCCUPANCY BASIS

Theorem 2 A fermionic Hamiltonian describing a system of $2N$ fermionic single particle state defined in the number occupancy (eigenstates of number operator $\hat{n}_{j\sigma}$) basis as $|1_{j\sigma}\rangle, |0_{j\sigma}\rangle$ for all $[j\sigma] \in [1, N] \times [\sigma, -\sigma]$ can be resolved with respect to the fermionic state $N\sigma$ into a sum of diagonal $H_{D,N\sigma}$ and off-diagonal blocks $H_{X,N\sigma}$ that is a block matrix as,

$$\begin{aligned} \hat{H}_{2N} &= (\hat{n}_{N\sigma} + 1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (\hat{n}_{N\sigma} + 1 - \hat{n}_{N\sigma}) \\ &= \begin{pmatrix} \hat{n}_{N\sigma} \hat{H}_{2N} \hat{n}_{N\sigma} & \hat{n}_{N\sigma} \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) \\ (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} \hat{n}_{N\sigma} & (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) \end{pmatrix} \end{aligned} \quad (8)$$

where $\hat{H}_{D,N\sigma} = \hat{n}_{N\sigma} \hat{H}_{2N} \hat{n}_{N\sigma} + (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma})$ and $\hat{H}_{X,N\sigma} = \hat{n}_{N\sigma} \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) + (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} \hat{n}_{N\sigma}$.

Statement-1: There exist a unitarily equivalent representation $\hat{U}_{N\sigma} \hat{H}_{2N} \hat{U}_{N\sigma}^\dagger$ where $\hat{U}_{N\sigma} \hat{U}_{N\sigma}^\dagger = \hat{U}_{N\sigma}^\dagger \hat{U}_{N\sigma} = I$, such that the below given decoupling condition between states $1_{N\sigma}$ and $0_{N\sigma}$ holds,

$$\hat{n}_{N\sigma} \hat{U}_{N\sigma} \hat{H}_{2N} \hat{U}_{N\sigma}^\dagger (1 - \hat{n}_{N\sigma}) = (1 - \hat{n}_{N\sigma}) \hat{U}_{N\sigma} \hat{H}_{2N} \hat{U}_{N\sigma}^\dagger \hat{n}_{N\sigma} = 0 .$$

This statement is equivalent to stating $[\hat{U}_{N\sigma} \hat{H}_{2N} \hat{U}_{N\sigma}^\dagger, \hat{n}_{N\sigma}] = 0$.

Statement-2: Form of the Unitary operator is given by,

$$\hat{U}_{N\sigma} = \exp(\arctanh(\hat{\eta}_{N\sigma} - \hat{\eta}_{N\sigma}^\dagger)) ,$$

where $\hat{\eta}_{N\sigma}$ is a non-hermitian operator given by,

$$\begin{aligned} \hat{\eta}_{N\sigma}^\dagger &= \frac{1}{\hat{E}_{[N\sigma]} - \hat{n}_{N\sigma} \hat{H}_{2N} \hat{n}_{N\sigma}} \hat{n}_{N\sigma} \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) \\ &= \hat{n}_{N\sigma} \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) \frac{1}{\hat{E}_{[N\sigma]} - (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma})} , \end{aligned}$$

having the following properties,

$$\{\hat{\eta}_{N\sigma}^\dagger, \hat{\eta}_{N\sigma}\} = 1 , \quad [\hat{\eta}_{N\sigma}^\dagger, \hat{\eta}_{N\sigma}] = 2\hat{n}_{N\sigma} - 1 .$$

Proof:

Case-1 Hamiltonian composed of operators containing even number of c^\dagger 's and c 's.

A Fermionic Hamiltonian of the size $2^{2N} \times 2^{2N}$ composed of operators containing even number of Fermion operators can be written as a block matrix in terms of diagonal and off-diagonal blocks of size $2^{2N-1} \times 2^{2N-1}$ in the basis of single fermion identity operator ($\hat{I}_{N\sigma}$) $\hat{n}_{N\sigma} + \hat{I}_{N\sigma} - \hat{n}_{N\sigma} = \hat{I}_{N\sigma}$ as,

$$\hat{H}_{2N} = H_{N\sigma,e} \hat{n}_{N\sigma} + H_{N\sigma,h} (1 - \hat{n}_{N\sigma}) + \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} + c^\dagger \hat{T}_{N\sigma,e-h}$$

where,

$$\begin{aligned} \hat{H}_{N\sigma,e} &= Tr_{N\sigma}(\hat{H}_{2N} \hat{n}_{N\sigma}) , \quad H_{N\sigma,h} = Tr_{N\sigma}(\hat{H}_{2N} (1 - \hat{n}_{N\sigma})) \\ \hat{T}_{N\sigma,e-h}^\dagger &= Tr_{N\sigma}(c_{N\sigma}^\dagger \hat{H}_{2N}) , \quad \hat{T}_{N\sigma,e-h} = Tr_{N\sigma}(\hat{H}_{2N} c_{N\sigma}) . \end{aligned}$$

We ask for such a basis in which this matrix attains a block diagonal form with respect to the state $N\sigma$ i.e.,

$$H|\psi\rangle = H'|\psi\rangle , \quad \text{where } [H', \hat{n}_{N\sigma}] = 0 .$$

A form of $\hat{H}' = \hat{E}_{[N\sigma]} \otimes I_{N\sigma}$ satisfies the block diagonal equation,

$$\begin{pmatrix} H_{N\sigma,e} \hat{n}_{N\sigma} & c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \\ \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} & H_{N\sigma,h} \end{pmatrix} |\psi\rangle = \hat{I}_2 \otimes \hat{E}_{[N\sigma]} |\psi\rangle \quad (9)$$

where $\hat{E}_{[N\sigma]}$ is a matrix of size $2^{2N-1} \times 2^{2N-1}$ and \hat{I}_2 is the 2×2 identity . For this equation we will now implement the Gauss Jordan Block diagonalization procedure as follows, firstly we write $|\psi\rangle$ as ,

$$\begin{aligned} |\psi\rangle &= \mathcal{N}(1 + \eta_{N\sigma} + \eta_{N\sigma}^\dagger) |\Psi_{N\sigma}^1, 1_{N\sigma}\rangle \\ &= \mathcal{N}'(1 + \eta_{N\sigma} + \eta_{N\sigma}^\dagger) |\Psi_{N\sigma}^0, 0_{N\sigma}\rangle , \end{aligned} \quad (10)$$

where $\eta_{N\sigma}$, $\eta_{N\sigma}^\dagger$ are the electron to hole and hole to electron transition operators having the following properties,

$$(1 - \hat{n}_{N\sigma})\eta_{N\sigma}\hat{n}_{N\sigma} = \eta_{N\sigma}, \quad \hat{n}_{N\sigma}\eta_{N\sigma}(1 - \hat{n}_{N\sigma}) = 0,$$

where $\eta_{N\sigma}^2 = 0$. The properties of $\eta_{N\sigma}^\dagger$ follows from above. Using the definition eq(10) and the block diagonalization equation eq(9) we can write down the following matrix equations,

$$\begin{pmatrix} H_{N\sigma,e}\hat{n}_{N\sigma} & c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \\ \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} & H_{N\sigma,h}(1 - \hat{n}_{N\sigma}) \end{pmatrix} \begin{pmatrix} 1 \\ \eta_{N\sigma} \end{pmatrix} = \hat{E}_{[N\sigma]} \begin{pmatrix} 1 \\ \eta_{N\sigma} \end{pmatrix}$$

$$\begin{pmatrix} H_{N\sigma,e}\hat{n}_{N\sigma} & c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \\ \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} & H_{N\sigma,h}(1 - \hat{n}_{N\sigma}) \end{pmatrix} \begin{pmatrix} \eta_{N\sigma}^\dagger \\ 1 \end{pmatrix} = \hat{E}_{[N\sigma]} \begin{pmatrix} \eta_{N\sigma}^\dagger \\ 1 \end{pmatrix}. \quad (11)$$

The form of the transition operators $\eta_{N\sigma}$, $\eta_{N\sigma}^\dagger$ that satisfies the matrix equations are,

$$\hat{\eta}_{N\sigma} = \hat{G}_h(\hat{E}_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma},$$

$$\hat{\eta}_{N\sigma}^\dagger = \hat{G}_e(\hat{E}_{[N\sigma]})c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h}, \quad (12)$$

where $\hat{G}_{(h,e)}(\hat{E}_{[N\sigma]}) = (\hat{E}_{[N\sigma]} - H_{N\sigma,(h,e)})^{-1}$. The following transition operators lead to the following block diagonal representation of the operator $\hat{E}_{[N\sigma]}$ in the projected space of electron/hole occupancy operator corresponding to state $N\sigma$,

$$\begin{aligned} & \left[H_{N\sigma,e}\hat{n}_{N\sigma} + c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \hat{G}_h(\hat{E}_{[N\sigma]}) \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} \right] |\Psi_{N\sigma}^1, 1_{N\sigma}\rangle = \hat{E}_{[N\sigma]} \hat{n}_{N\sigma} |\Psi_{N\sigma}^1, 1_{N\sigma}\rangle, \\ & \left[H_{N\sigma,h}(1 - \hat{n}_{N\sigma}) + \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} \hat{G}_e(\hat{E}_{[N\sigma]}) c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \right] |\Psi_{N\sigma}^0, 0_{N\sigma}\rangle = \hat{E}_{[N\sigma]} (1 - \hat{n}_{N\sigma}) |\Psi_{N\sigma}^0, 0_{N\sigma}\rangle. \end{aligned} \quad (13)$$

From the two equations of the transition operators eq(12) we have the following identity,

$$\hat{G}_h(\hat{E}_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} = \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} \hat{G}_e(\hat{E}_{[N\sigma]}) \quad (14)$$

The above operator ordering relation eq(14) and form of the block diagonal operators eq(13) we have,

$$\begin{aligned} \eta_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} &= \hat{n}_{N\sigma} \hat{G}_e^{-1}(\hat{E}_{[N\sigma]}) \implies \eta_{N\sigma}^\dagger \eta_{N\sigma} = \hat{n}_{N\sigma} \\ \eta_{N\sigma} c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} &= (1 - \hat{n}_{N\sigma}) \hat{G}_h^{-1}(\hat{E}_{[N\sigma]}) \\ \implies \eta_{N\sigma} \eta_{N\sigma}^\dagger &= 1 - \hat{n}_{N\sigma}. \end{aligned}$$

This leads to a canonical commutation and anticommutation relation for the $\eta_{N\sigma}$ operators,

$$[\eta_{N\sigma}^\dagger, \eta_{N\sigma}] = 2\hat{n}_{N\sigma} - 1, \quad \{\eta_{N\sigma}^\dagger, \eta_{N\sigma}\} = 1. \quad (15)$$

For every $|\psi\rangle$ of the form eq(10) there is a $|\psi^\perp\rangle$ of the form,

$$\begin{aligned} |\psi^\perp\rangle &= \mathcal{N}(1 - \eta_{N\sigma} - \eta_{N\sigma}^\dagger) |\Psi_{N\sigma}^1, 1_{N\sigma}\rangle \\ &= \mathcal{N}'(1 - \eta_{N\sigma} - \eta_{N\sigma}^\dagger) |\Psi_{N\sigma}^0, 0_{N\sigma}\rangle, \end{aligned}$$

from the algebra of the $\eta_{N\sigma}$ operators one can check that $\langle\psi|\psi^\perp\rangle = 0$. To get the other blocks of the final block diagonal form we start with the block diagonal equation

satisfied by $|\psi^\perp\rangle$ which is given by,

$$\begin{pmatrix} H_{N\sigma,e}\hat{n}_{N\sigma} & c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \\ \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} & H_{N\sigma,h}(1 - \hat{n}_{N\sigma}) \end{pmatrix} \begin{pmatrix} 1 \\ -\eta_{N\sigma} \end{pmatrix} = \hat{E}'_{[N\sigma]} \begin{pmatrix} 1 \\ -\eta_{N\sigma} \end{pmatrix}$$

$$\begin{pmatrix} H_{N\sigma,e}\hat{n}_{N\sigma} & c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \\ \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} & H_{N\sigma,h}(1 - \hat{n}_{N\sigma}) \end{pmatrix} \begin{pmatrix} -\eta_{N\sigma}^\dagger \\ 1 \end{pmatrix} = \hat{E}'_{[N\sigma]} \begin{pmatrix} -\eta_{N\sigma}^\dagger \\ 1 \end{pmatrix} \quad (16)$$

As above by solving the simultaneous set of equations we have the form of the transition operators,

$$\hat{\eta}_{N\sigma} = -\hat{G}_h(\hat{E}'_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}, \quad \hat{\eta}_{N\sigma}^\dagger = -\hat{G}_e(\hat{E}'_{[N\sigma]})c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h},$$

which leads to a further consistency condition using eq(12),

$$\begin{aligned} -\hat{G}_h(\hat{E}'_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} &= \hat{G}_h(\hat{E}_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}, \\ -\hat{G}_e(\hat{E}'_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} &= \hat{G}_e(\hat{E}_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}. \end{aligned} \quad (17)$$

Again replacing this transition operators in the simultaneous equation for both sets we have the following block diagonal representation of the operator $\hat{E}'_{[N\sigma]}$ in the projected space of electron/hole occupancy operator corresponding to state $N\sigma$,

$$\begin{aligned} & c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \hat{G}_h(\hat{E}_{[N\sigma]}) \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} |\Psi_{N\sigma}^1, 1_{N\sigma}\rangle \\ &= (H_{N\sigma,e} - \hat{E}'_{[N\sigma]}) \hat{n}_{N\sigma} |\Psi_{N\sigma}^1, 1_{N\sigma}\rangle, \\ & \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} \hat{G}_e(\hat{E}_{[N\sigma]}) c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} |\Psi_{N\sigma}^0, 0_{N\sigma}\rangle \\ &= (H_{N\sigma,h} - \hat{E}'_{[N\sigma]})(1 - \hat{n}_{N\sigma}) |\Psi_{N\sigma}^0, 0_{N\sigma}\rangle. \end{aligned} \quad (18)$$

The block diagonal equation can be reconstructed now as,

$$\begin{pmatrix} \hat{E}_{N\sigma} & 0 \\ 0 & \hat{E}'_{[N\sigma]} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \hat{E}_{[N\sigma]} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \\ \begin{pmatrix} \hat{E}_{N\sigma} & 0 \\ 0 & \hat{E}'_{[N\sigma]} \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \hat{E}'_{[N\sigma]} \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (19)$$

By identifying the two blocks $\hat{E}_{[N\sigma]}$ and $\hat{E}'_{[N\sigma]}$ using eq(13) and eq(18) the block diagonalized Hamiltonian is given by,

$$\begin{aligned} \hat{H}' &= \hat{E}_{[N\sigma]} \hat{n}_{N\sigma} + \hat{E}'_{[N\sigma]} (1 - \hat{n}_{N\sigma}) \\ &= \frac{1}{2} Tr_{N\sigma}(\hat{H}_{2N}) + \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) \{ c_{N\sigma}^\dagger \hat{T}_{N\sigma, e-h}, \eta_{N\sigma} \} \end{aligned} \quad (20)$$

This proves that there exist a unitary operation $\hat{U}_{N\sigma}$ which puts the matrix into a block diagonal form i.e. $\hat{U}_{N\sigma} \hat{H} \hat{U}_{N\sigma}^\dagger = \hat{H}'$, such that $[\hat{H}', \hat{n}_{N\sigma}] = 0$, i.e. proof of **statement-1**.

To find the Unitary operator we write down the block matrix equation as follows,

$$\begin{aligned} &\frac{1}{\sqrt{2}} \begin{pmatrix} H_{N\sigma, e} \hat{n}_{N\sigma} & c_{N\sigma}^\dagger \hat{T}_{N\sigma, e-h} \\ \hat{T}_{N\sigma, e-h}^\dagger c_{N\sigma} & H_{N\sigma, h} \end{pmatrix} \begin{pmatrix} 1 & \eta_{N\sigma}^\dagger \\ \eta_{N\sigma} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \hat{E}_{[N\sigma]} \begin{pmatrix} 1 & \eta_{N\sigma}^\dagger \\ \eta_{N\sigma} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}. \end{aligned} \quad (21)$$

Using the proof of statement-1 we know that there exist some $\hat{U}_{N\sigma}$ such that the above block matrix equation becomes equivalent to ,

$$\begin{aligned} &\begin{pmatrix} \hat{E}_{[N\sigma]} & 0 \\ 0 & \hat{E}'_{[N\sigma]} \end{pmatrix} \hat{U}_{N\sigma} \begin{pmatrix} 1 & \eta_{N\sigma}^\dagger \\ \eta_{N\sigma} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \hat{U}_{N\sigma} \hat{E}_{[N\sigma]} U_{[N\sigma]}^\dagger U_{[N\sigma]} \begin{pmatrix} 1 & \eta_{N\sigma}^\dagger \\ \eta_{N\sigma} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}. \end{aligned} \quad (22)$$

The requirement of the block diagonal equation eq(19) is,

$$\hat{U}_{N\sigma} \begin{pmatrix} 1 & \eta_{N\sigma}^\dagger \\ \eta_{N\sigma} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = c \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad (23)$$

where c is some constant. The Unitary operator $\hat{U}_{N\sigma}$ that fulfills the requirement is uniquely determined and has the form,

$$\hat{U}_{N\sigma} = \frac{1}{\sqrt{2}} (1 + \eta_{N\sigma}^\dagger - \eta_{N\sigma}). \quad (24)$$

That this matrix is unitary $\hat{U}_{N\sigma} \hat{U}_{N\sigma}^\dagger = \hat{U}_{N\sigma}^\dagger \hat{U}_{N\sigma} = 1$ can be checked using eq(15). Below we show the fulfillment of the requirement eq(23) ,

$$\begin{pmatrix} 1 & \eta_{N\sigma}^\dagger \\ -\eta_{N\sigma} & 1 \end{pmatrix} \begin{pmatrix} 1 & \eta_{N\sigma}^\dagger \\ \eta_{N\sigma} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 2 \begin{pmatrix} 1 \\ 0 \end{pmatrix}. \quad (25)$$

The Unitary operator $\hat{U}_{N\sigma}$ can be written in an exponential form as,

$$\begin{aligned} \hat{U}_{N\sigma} &= \exp(\text{arctanh}(\eta_{N\sigma}^\dagger - \eta_{N\sigma})) \\ &= \frac{1 + \eta_{N\sigma}^\dagger - \eta_{N\sigma}}{\sqrt{1 + \eta_{N\sigma} \eta_{N\sigma}^\dagger + \eta_{N\sigma}^\dagger \eta_{N\sigma}}} = \frac{1}{\sqrt{2}} (1 + \eta_{N\sigma}^\dagger - \eta_{N\sigma}), \end{aligned}$$

where $\eta_{N\sigma}$ is given by,

$$\eta_{N\sigma}^\dagger = \hat{G}_e(\hat{E}_{[N\sigma]}) c_{N\sigma}^\dagger T_{N\sigma, e-h} = c_{N\sigma}^\dagger T_{N\sigma, e-h} \hat{G}_h(\hat{E}_{[N\sigma]}).$$

This proves **statement-2**.

Case 2 Hamiltonian constituted of operators containing arbitrary number of c 's and c^\dagger 's . In this case due to Fermion signature issues the partial trace decomposed block form Hamiltonian might have non trivial pre-factors to take into account, so it is better suited to write the block diagonalized Hamiltonian and the Unitary operator in the following fashion,

$$U_{N\sigma} \hat{H}_{2N} U_{N\sigma}^\dagger = \begin{pmatrix} \hat{E}_{[N\sigma]} & 0 \\ 0 & \hat{E}'_{[N\sigma]} \end{pmatrix}. \quad (26)$$

where $\hat{U}_{N\sigma}$ and $\hat{E}_{[N\sigma]}, \hat{E}'_{[N\sigma]}$ are defined as ,

$$\begin{aligned} \eta_{N\sigma}^\dagger &= \hat{n}_{N\sigma} \hat{H} (1 - \hat{n}_{N\sigma}) \frac{1}{\hat{E}_{[N\sigma]} - (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma})}, \\ \hat{U}_{N\sigma} &= \frac{1}{\sqrt{2}} [1 + \hat{n}_{N\sigma} - \eta_{N\sigma}^\dagger], \\ \hat{E}_{[N\sigma]} &= \hat{n}_{N\sigma} \hat{H}_{2N} \hat{n}_{N\sigma} + \eta_{N\sigma}^\dagger (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} \hat{n}_{N\sigma} \end{aligned} \quad (27)$$

This entire block diagonalization procedure leads to the following corollaries ,

Corollaries:

1.

$$\begin{aligned} &\begin{pmatrix} \hat{E}_{[N\sigma]} & 0 \\ 0 & \hat{E}'_{[N\sigma]} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \hat{U}_{N\sigma} \hat{E}_{[N\sigma]} \hat{U}_{N\sigma}^\dagger \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &\implies \hat{U}_{N\sigma} \hat{E}_{[N\sigma]} \hat{U}_{N\sigma}^\dagger = \hat{E}_{[N\sigma]}. \end{aligned} \quad (28)$$

2.

$$\begin{aligned} &(0 \ 1) \hat{E}_{N\sigma} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 0 \implies (1 \ 0) U_{N\sigma}^\dagger \hat{E}_{N\sigma} U_{N\sigma} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \hat{E}_{N\sigma} \\ &\implies (\eta_{N\sigma} \ 1) \hat{E}_{N\sigma} \begin{pmatrix} 1 \\ -\eta_{N\sigma} \end{pmatrix} = \hat{E}_{N\sigma} \rightarrow [\hat{E}_{[N\sigma]}, \eta_{N\sigma}] = 0 \end{aligned} \quad (29)$$

3. **Prove:**

$$[\hat{E}_{N\sigma}, \hat{G}_e(\hat{E}_{N\sigma})] = 0$$

Let us first rewrite $\hat{E}_{N\sigma} \eta_{N\sigma}$ as,

$$\begin{aligned} \hat{E}_{N\sigma} \eta_{N\sigma} &= \hat{E}_{N\sigma} \hat{G}_h(\hat{E}_{N\sigma}) T_{N\sigma, e-h}^\dagger c_{N\sigma} \\ &= \left(1 + Tr_{N\sigma}(H(1 - \hat{n}_{N\sigma})) \hat{G}_h(\hat{E}_{N\sigma}) \right) T_{N\sigma, e-h}^\dagger c_{N\sigma}. \end{aligned} \quad (30)$$

As eq(14) i.e. $\hat{G}_h(\hat{E}_{[N\sigma]})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} = \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}\hat{G}_e(\hat{E}_{[N\sigma]})$ for all $\hat{E}_{N\sigma}$ satisfying the block equation eq(9) therefore,

$$\begin{aligned} & Tr_{N\sigma}(H(1 - \hat{n}_{N\sigma}))\hat{G}_h(\hat{E}_{N\sigma})\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} \\ &= \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} Tr_{N\sigma}(H\hat{n}_{N\sigma})\hat{G}_e(\hat{E}_{N\sigma}) . \end{aligned} \quad (31)$$

Using eq(31) we have the transition operator rearrangement relation,

$$\begin{aligned} \left(1 + Tr_{N\sigma}(H(1 - \hat{n}_{N\sigma}))\hat{G}_h(\hat{E}_{N\sigma})\right)\hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} &= \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} \left(1 + Tr_{N\sigma}(H\hat{n}_{N\sigma})\hat{G}_e(\hat{E}_{N\sigma})\right) \\ \hat{E}_{N\sigma}\eta_{N\sigma} &= \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}\hat{E}_{N\sigma}\hat{G}_e(\hat{E}_{N\sigma}) . \end{aligned} \quad (32)$$

From eq(29) we have $[\hat{E}_{[N\sigma]}, \eta_{N\sigma}] = 0$ therefore,

$$\begin{aligned} & \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}\hat{E}_{N\sigma}\hat{G}_e(\hat{E}_{N\sigma}) = \eta_{N\sigma}\hat{E}_{N\sigma} \\ & \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}[\hat{E}_{N\sigma}, \hat{G}_e(\hat{E}_{N\sigma})] = [\eta_{N\sigma} - \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}\hat{G}_e(\hat{E}_{N\sigma})]\hat{E}_{N\sigma}[\hat{E}_{N\sigma}, \hat{G}_e(\hat{E}_{N\sigma})] . \end{aligned} \quad (33)$$

Using $\eta_{N\sigma} = \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}\hat{G}_e(\hat{E}_{N\sigma})$ we can prove our assertion in the following way,

$$\begin{aligned} & \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}[\hat{E}_{N\sigma}, \hat{G}_e(\hat{E}_{N\sigma})] = 0 \\ & c_{N\sigma}^\dagger \hat{T}_{N\sigma,e-h} \hat{G}_h(\hat{E}_{N\sigma}) \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma}[\hat{E}_{N\sigma}, \hat{G}_e(\hat{E}_{N\sigma})] = 0 \\ & \hat{G}_e^{-1}(\hat{E}_{N\sigma})\eta_{N\sigma}^\dagger \eta_{N\sigma}[\hat{E}_{N\sigma}, \hat{G}_e(\hat{E}_{N\sigma})] = 0 \\ & \implies [\hat{E}_{N\sigma}, \hat{G}_e(\hat{E}_{N\sigma})] = 0 . \end{aligned} \quad (34)$$

III. INVERTING THE DENOMINATOR IN THE $\eta_{N\sigma}$ AND $\eta_{N\sigma}^\dagger$ OPERATORS

The denominator in the resolvent $\eta_{N\sigma}$ contains two parts,

$\hat{E}_{[N\sigma]}$:The block diagonalized Hamiltonian ,

$Tr_{N\sigma}(H\hat{n}_{N\sigma})$:Diagonal element of the Hamiltonian \hat{H}_{2N} written as a block matrix .

Theorem 3

$$\eta_{N\sigma}^\dagger = \sum_{i=1}^{2^{2N}-1} \eta_{N\sigma}(\omega_i) \hat{O}(\omega_i) ,$$

$$\text{where } \eta_{N\sigma}^\dagger(\omega_i) = \frac{1}{\omega_i - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} c_{N\sigma}^\dagger T_{N\sigma,e-h} ,$$

$$[\eta_{N\sigma}(\omega_i), \hat{O}(\omega_i)] = 0 \quad (35)$$

$\{\hat{O}_{\omega_i}, \hat{O}_{\omega_j}\} = 2\delta_{ij}\hat{O}_{\omega_i}$, $\sum_{i=1}^{2^{2N}-1} \hat{O}_{\omega_i} = I$ and ω_i are numbers. The operator $Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})$ has the following commutation relation $[Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma}), \hat{n}_i] = 0$ for all

$i \in [1, N] \times [\sigma, -\sigma]$. These corresponds to the diagonal piece of the $Tr_{N\sigma}(H\hat{n}_{N\sigma})$ with respect to all nodes.

Proof: Before we go into the proof we will prove two lemmas.

Lemma 1 $\hat{E}_{[N\sigma]} - Tr_{N\sigma}(H_{2N}\hat{n}_{N\sigma}) = \hat{\omega}_{N\sigma} - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})$, where $[Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma}), \hat{n}_{i\sigma}] = 0$ for all $i \in [1, N] \times [\sigma, -\sigma]$ and $\hat{\omega}_{N\sigma}$ constitutes the quantum fluctuations associated with the other nodes in $[1, N] \times [\sigma, -\sigma]$.

The block Hamiltonian \hat{H}_{2N} satisfies the block diagonal equation eq(9),

$$\begin{pmatrix} Tr_{N\sigma}(H_{2N}\hat{n}_{N\sigma}) & c_{N\sigma}^\dagger T_{N\sigma,e-h} \\ \hat{T}_{N\sigma,e-h}^\dagger c_{N\sigma} & Tr_{N\sigma}(H_{2N}(1 - \hat{n}_{N\sigma})) \end{pmatrix} |\psi\rangle = \hat{E}_{[N\sigma]} |\psi\rangle \quad (36)$$

where the diagonal elements of the block Hamiltonian can be expressed as,

$$Tr_{N\sigma}(H_{2N}\hat{n}_{N\sigma}) = \frac{1}{2} Tr_{N\sigma}(H_{2N}) + Tr_{N\sigma} \left(H_{2N} \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) \right) ,$$

$$Tr_{N\sigma}(H_{2N}(1 - \hat{n}_{N\sigma})) = \frac{1}{2} Tr_{N\sigma}(H_{2N}) - Tr_{N\sigma} \left(H_{2N} \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) \right) ,$$

$$Tr_{N\sigma}(H_{2N}) = Tr_{N\sigma}^D(H_{2N}) + Tr_{N\sigma}(H_{2N}) - Tr_{N\sigma}^D(H_{2N}) ,$$

$$Tr_{N\sigma}^D(H_{2N}) = \sum_{l=1, P_l}^{2N} Tr(\hat{H}_{2N} \hat{B}_{P_l}) \hat{B}_{P_l} ,$$

$$\hat{B}_{P_l} = \hat{n}_{N\sigma} \prod_{(j\sigma)=1}^l \hat{n}_{P_l(j\sigma)} \prod_{(j\sigma)=l+1}^{2N} (1 - \hat{n}_{P_l(j\sigma)}) , \quad (37)$$

here $Tr_{N\sigma}^D(H_{2N})$ contains all the diagonal elements with respect to all nodes, therefore $(Tr_{N\sigma}(H_{2N}) -$

$Tr_{N\sigma}^D(H_{2N})$) contains only the off-diagonal elements with respect to all the nodes apart from $N\sigma$. The number diagonal form of $Tr_{N\sigma}^D(H_{2N})$ with respect to all nodes leads to the following commutation relation,

$$[Tr_{N\sigma}^D(H_{2N}), \hat{n}_i] = 0, \quad i \in [1, N] \times [\sigma, -\sigma]. \quad (38)$$

$$\begin{aligned} & \begin{pmatrix} \frac{1}{2}Tr_{N\sigma}^D(H_{2N}) + Tr_{N\sigma} \left(H_{2N} \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) \right) & c_{N\sigma}^\dagger T_{N\sigma, e-h} \\ T_{N\sigma, e-h}^\dagger c_{N\sigma} & \frac{1}{2}Tr_{N\sigma}^D(H_{2N}) - Tr_{N\sigma} \left(H_{2N} \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) \right) \end{pmatrix} |\psi\rangle \\ &= \left[\hat{E}_{[N\sigma]} - \frac{1}{2}(Tr_{N\sigma}(H_{2N}) - Tr_{N\sigma}^D(H_{2N})) \right] |\psi\rangle. \end{aligned} \quad (39)$$

We define ,

$$\begin{aligned} \hat{\omega}_{[N\sigma]} &= \hat{E}_{[N\sigma]} - \frac{1}{2}(Tr_{N\sigma}(H_{2N}) - Tr_{N\sigma}^D(H_{2N})), \\ Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma}) &= \frac{1}{2}Tr_{N\sigma}^D(H_{2N}) \\ &+ Tr_{N\sigma} \left(H_{2N} \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) \right). \end{aligned} \quad (40)$$

From eq(38) and the definition eq(40) we arrive at the commutation relation $[Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma}), \hat{n}_i] = 0$, $i \in [1, N] \times [\sigma, -\sigma]$. Using this we prove our assertion ,

$$\hat{E}_{[N\sigma]} - Tr_{N\sigma}(H\hat{n}_{N\sigma}) = \hat{\omega}_{[N\sigma]} - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma}). \quad (41)$$

Lemma 2 Any Hermitian matrix $\hat{\omega}$ of size $K \times K$ can be written as , $\hat{\omega} = \sum_{i=1}^K \lambda_i \hat{O}_i$, where $\{\hat{O}_i, \hat{O}_j\} = 2\hat{O}_i \delta_{ij}$ and the sum of the operators \hat{O}_i is equal to the identity $\sum_i \hat{O}_i = I$.

If $\hat{\omega}$ is a Hermitian matrix then there is a Unitary equivalent basis where the matrix is diagonalized ,

$$\hat{\omega} = UDU^\dagger, \quad D = \sum_{i=1}^K \lambda_i P_i \quad (42)$$

here P_i is the projection operator to the i th eigenstate and λ_i is the i th eigenvalue, where the projection operator fulfills the usual properties $\{P_i, P_j\} = 2\delta_{ij}P_i$. Now by applying the Unitary operator the form of $\hat{\omega}$ becomes,

$$\hat{\omega} = \sum_i \lambda_i \hat{O}_i, \quad \{\hat{O}_i, \hat{O}_j\} = 2\delta_{ij}\hat{O}_i, \quad (43)$$

where $\hat{O}_i = UP_iU^\dagger$. As $\{P_i, P_j\} = 2\delta_{ij}P_i \equiv U\{P_i, P_j\}U^\dagger = 2\delta_{ij}UP_iU^\dagger$, therefore $\{\hat{O}_i, \hat{O}_j\} = 2\delta_{ij}\hat{O}_i$. Furthermore as $\sum_i P_i = I$ so it follows from the unitary mapping between P_i 's and \hat{O}_i 's that $\sum_i \hat{O}_i = I$.

The proof of the theorem starts from here where we

Using eq(37) the block diagonal equation can be written as,

will use the above lemmas. As $\hat{\omega}_{N\sigma}$ is a $2^{2N-1} \times 2^{2N-1}$ dimensional matrix so we can write this operator as $\hat{\omega}_{N\sigma} = \sum_{i=1}^{2^{2N-1}} \omega_{N\sigma,i} \hat{O}_{\omega_{N\sigma,i}}$ using **Lemma 2**.

The operator $\eta_{N\sigma}^\dagger$ can be rewritten as ,

$$\begin{aligned} \eta_{N\sigma}^\dagger &= \frac{1}{\hat{\omega}_{N\sigma} - Tr_{N\sigma}^D(H_{2N})} c_{N\sigma}^\dagger T_{N\sigma, e-h} \\ &= \frac{1}{\hat{\omega}_{N\sigma} - C + C - Tr_{N\sigma}^D(H_{2N})} c_{N\sigma}^\dagger T_{N\sigma, e-h}, \quad C \text{ is a number} \\ &= \frac{1}{C - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} \\ &\times \left[1 + \frac{1}{C - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} (\hat{\omega}_{N\sigma} - C) \right]^{-1} c_{N\sigma}^\dagger T_{N\sigma, e-h}. \end{aligned} \quad (44)$$

From **Lemma 1** the above equation can be expanded into a geometric series in terms of $Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})$ as,

$$\begin{aligned} \eta_{N\sigma}^\dagger &= \frac{1}{C - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} \\ &\times \sum_{n=0}^{\infty} (-1)^n \left[\frac{1}{C - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} (\hat{\omega}_{N\sigma} - C) \right]^n c_{N\sigma}^\dagger T_{N\sigma, e-h} \end{aligned}$$

where by using **Lemma 2** and the commutation relation between the propagator $\hat{G}_e(\hat{\omega}_{N\sigma})$ and probe operator $\hat{\omega}_{N\sigma}$ eq(34) i.e., $[\hat{G}_e(\hat{\omega}_{N\sigma}), \hat{\omega}_{N\sigma}] = 0$ the series can be resummed to arrive at the expression,

$$\begin{aligned} \eta_{N\sigma}^\dagger &= \frac{1}{C - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} \\ &\times \sum_{n=0, i=1}^{\infty, 2^{2N-1}} \left[\frac{1}{C - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} (\omega_{N\sigma,i} - C) \right]^n \\ &\times \hat{O}_{\omega_{N\sigma,i}} c_{N\sigma}^\dagger T_{N\sigma, e-h}, \\ &= \sum_{i=1}^{2^{2N-1}} \frac{1}{\omega_{N\sigma,i} - Tr_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} c_{N\sigma}^\dagger T_{N\sigma, e-h} \hat{O}(\omega_{N\sigma,i}) \\ &= \sum_{i=1}^{2^{2N-1}} \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \hat{O}(\omega_{N\sigma,i}), \end{aligned} \quad (46)$$

where,

$$\eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) = \frac{1}{\omega_{N\sigma,i} - \text{Tr}_{N\sigma}^D(H_{2N}\hat{n}_{N\sigma})} c_{N\sigma}^\dagger T_{N\sigma,e-h} . \quad (47)$$

This proves the statement in the theorem.

IV. ALGORITHM FOR THE FINDING THE FORM OF THE \hat{O}'_i OPERATORS

Using the algebra of the $\eta_{N\sigma}$ and $\eta_{N\sigma}^\dagger$ operators in sec II and denominator inversion relation in sec III we derive the following relations,

$$\begin{aligned} \eta_{N\sigma}^\dagger \eta_{N\sigma} &= \hat{n}_{N\sigma} \\ &= \hat{G}_e(\hat{\omega}_{[N\sigma]}) c_{N\sigma}^\dagger T_{N\sigma,e-h} \hat{G}_h(\hat{\omega}_{[N\sigma]}) T_{N\sigma,e-h}^\dagger c_{N\sigma} , \\ &= \sum_{i=1}^{2^{2N}-1} \hat{O}(\omega_{N\sigma,i}) \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \eta_{N\sigma}(\omega_{N\sigma,i}) , \end{aligned} \quad (48)$$

similarly ,

$$\begin{aligned} \eta_{N\sigma} \eta_{N\sigma}^\dagger &= 1 - \hat{n}_{N\sigma} \\ &= \sum_{i=1}^{2^{2N}-1} \hat{O}(\omega_{N\sigma,i}) \eta_{N\sigma}(\omega_{N\sigma,i}) \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) . \end{aligned} \quad (49)$$

Using the two constraint relations eq(48) and eq(49) we have the following identity for the $\hat{O}_{\omega_{N\sigma,i}}$,

$$\sum_{i=1}^{2^{2N}-1} \hat{O}(\omega_{N\sigma,i}) \{ \eta_{N\sigma}(\omega_{N\sigma,i}), \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \} = I . \quad (50)$$

Using the result $\{ \hat{O}(\omega_{N\sigma,i}), \hat{O}(\omega_{N\sigma,j}) \} = 2\delta_{ij} \hat{O}(\omega_{N\sigma,i})$ and eq(50) we get a determining equation for $\hat{O}(\omega_{N\sigma,j})$,

$$\hat{O}(\omega_{N\sigma,i}) \{ \eta_{N\sigma}(\omega_{N\sigma,i}), \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \} = \hat{O}(\omega_{N\sigma,i}) . \quad (51)$$

Let us choose a ansatz for the form of $\{ \eta_{N\sigma}(\omega_{N\sigma,i}), \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \}$,

$$\{ \eta_{N\sigma}(\omega_{N\sigma,i}), \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \} = \sum_{\substack{j=1 \\ j \neq i}}^{2^{2N}-1} \hat{K}_{\omega_{N\sigma,j}} \hat{O}_{\omega_{N\sigma,j}} + \hat{O}_{\omega_{N\sigma,i}} . \quad (52)$$

One can check that the ansatz above satisfies eq(51). Again using $\{ \hat{O}(\omega_{N\sigma,i}), \hat{O}(\omega_{N\sigma,j}) \} = 2\delta_{ij} \hat{O}(\omega_{N\sigma,i})$ we get the following relation ,

$$\hat{K}_{\omega_{N\sigma,j}} \hat{O}(\omega_{N\sigma,i}) = \{ \eta_{N\sigma}(\omega_{N\sigma,i}), \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \} \hat{O}(\omega_{N\sigma,i}) . \quad (53)$$

This leads to the following set of operator equations with $\hat{M}_{\omega_{N\sigma,i}} = \{ \eta_{N\sigma}(\omega_{N\sigma,i}), \eta_{N\sigma}^\dagger(\omega_{N\sigma,i}) \}$,

$$\hat{M}_{\omega_{N\sigma,i}} \sum_{j \neq i, j=1}^{2^{2N}-1} \hat{O}(\omega_{N\sigma,j}) + \hat{O}(\omega_{N\sigma,i}) = \hat{M}_{\omega_{N\sigma,i}} \quad (54)$$

These allows us to get the form of the $\hat{O}_{\omega_{N\sigma,j}}$ operators,

$$\begin{pmatrix} \hat{O}_{\omega_{N\sigma,1}} \\ \hat{O}_{\omega_{N\sigma,2}} \\ \vdots \\ \hat{O}_{\omega_{N\sigma,2^{2N}-1}} \end{pmatrix} = \hat{M}_{N\sigma}^{-1} \begin{pmatrix} \hat{M}_{\omega_{N\sigma,1}} \\ \hat{M}_{\omega_{N\sigma,2}} \\ \vdots \\ \hat{M}_{\omega_{N\sigma,2^{2N}-1}} \end{pmatrix} \quad (55)$$

where $\hat{M}_{N\sigma}$ is a superoperator whose elements are given by, $[\hat{M}_{N\sigma}]_{\omega_{N\sigma,i}, \omega_{N\sigma,j}} = I\delta_{i,j} + (1 - \delta_{i,j}) \hat{M}_{\omega_{N\sigma,i}}$. This completes the determination of the Unitary operator.

In the next sections we provide the scheme for block diagonalizing the Hamiltonian H_{2N} using the statements and there proofs given in earlier sections.

V. SCHEMATICS FOR FERMION BLOCK DIAGONALIZATION(FBD)

1. A fermionic Hamiltonian H_{2N} of matrix dimension $\dim(H_{2N}) = 2^{2N} \times 2^{2N}$ operating on a 2^{2N} dimensional configuration space made out of $2N$ single particle states defined in the number occupancy (eigenstates of number operator $\hat{n}_{j\sigma} = c_{j\sigma}^\dagger c_{j\sigma}$) basis as $|1_{j\sigma}\rangle, |0_{j\sigma}\rangle$ for all $[j\sigma] \in [1, N] \times [\sigma, -\sigma]$ can be written in the basis of $N\sigma$ as $H_{2N} = H_D + H_X$ i.e. the sum of diagonal H_D and off-diagonal blocks H_{OD} as,

$$H_{2N} = \begin{pmatrix} \hat{n}_{N\sigma} H_{2N} \hat{n}_{N\sigma} & \hat{n}_{N\sigma} \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) \\ (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} \hat{n}_{N\sigma} & (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) \end{pmatrix} \quad (56)$$

where $H_D = \hat{n}_{N\sigma} H_{2N} \hat{n}_{N\sigma} + (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma})$ and $H_{OD} = \hat{n}_{N\sigma} \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) + (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} \hat{n}_{N\sigma}$.

2. Using the Hamiltonian block representation eq(56) we outline a procedure in secII to block diagonalize the Hamiltonian i.e. $\tilde{H}_{N\sigma} = U_{N\sigma} H_{N\sigma} U_{N\sigma}^\dagger$ where $[\tilde{H}_{N\sigma}, \hat{n}_{N\sigma}] = 0$ and $U_{N\sigma}$ is the unitary operator. The block diagonalized matrix has the form ,

$$\tilde{H}_{2N} = \begin{pmatrix} \hat{n}_{N\sigma} \hat{H}_{2N} \hat{n}_{N\sigma} & 0 \\ +\frac{1}{2} \{ \eta_{N\sigma}^\dagger, (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} \hat{n}_{N\sigma} \} & (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma}) \\ 0 & -\frac{1}{2} \{ \eta_{N\sigma}^\dagger, (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} \hat{n}_{N\sigma} \} \end{pmatrix} . \quad (57)$$

where $\eta_{N\sigma}$ and $\eta_{N\sigma}^\dagger$ are electron , hole transition operators with $\eta_{N\sigma}$ having the form,

$$\begin{aligned} \eta_{N\sigma} &= \sum_{j=1}^{2^{2N}-1} \frac{1}{\omega_j - H_{h,N\sigma}^D} (1 - \hat{n}_{N\sigma}) \hat{H} \hat{n}_{N\sigma} \hat{O}_{N\sigma}(\omega_j) \\ &= \sum_{j=1}^{2^{2N}-1} (1 - \hat{n}_{N\sigma}) \hat{H} \hat{n}_{N\sigma} \frac{1}{\omega_j - H_{e,N\sigma}^D} \hat{O}_{N\sigma}(\omega_j) \end{aligned} \quad (58)$$

and following the algebra,

$$\{\eta_{N\sigma}^\dagger, \eta_{N\sigma}\} = 1, [\eta_{N\sigma}^\dagger, \eta_{N\sigma}] = 2\hat{n}_{N\sigma} - 1. \quad (59)$$

In the above expression ω_j are the probing frequencies for the 2^{2N-1} many body configurations given the state $N\sigma$ is either occupied or unoccupied. The operator $H_{(e,h),N\sigma}^D$ of dimension $\dim(H_{(e,h),N\sigma}^D) = 2^{2N-1} \times 2^{2N-1}$ is completely diagonal in the number occupancy basis of the single fermion states $j\sigma'$ i.e. $[H_{(e,h),N\sigma}^D, \hat{n}_{j\sigma'}] = 0$ for all $j\sigma' \in [1, N] \times [\sigma \times -\sigma]$ defined as ,

$$H_{e,N\sigma}^D = \sum_{l=1, P_l}^{2N} \hat{n}_{N\sigma} \hat{B}_{P_l} \hat{H}_{2N} \hat{B}_{P_l} \hat{n}_{N\sigma},$$

$$\hat{B}_{P_l} = \prod_{(j\sigma)=1}^l \hat{n}_{P_l(j\sigma)} \prod_{(j\sigma)=l+1}^{2N} (1 - \hat{n}_{P_l(j\sigma)}). \quad (60)$$

Here P_l represents many body configurations in which l single Fermion labels among $[1, N-1] \times [\sigma, -\sigma] \oplus (N-\sigma)$ are electron occupied and the rest $2N-1-l$ labels are electron unoccupied, therefore the number of P_l 's is $\binom{2N-1}{l}$. The $\hat{O}_{N\sigma}(\omega_j)$ satisfy the properties $\hat{O}_{N\sigma}(\omega_i) \hat{O}_{N\sigma}(\omega_j) = \delta_{ij} \hat{O}_{N\sigma}(\omega_i)$ and $\sum_i \hat{O}_{N\sigma}(\omega_i) = I$ as can be seen from the spectral decomposition of the block diagonal resolvent in secIII. The operators $\hat{O}_{N\sigma}(\omega_j)$ are determined using there algebraic constraints and the $\eta_{N\sigma}, \eta_{N\sigma}^\dagger$ transition operators algebra eq(59) as shown in secIV and is given by,

$$\hat{O}_{N\sigma}(\omega_i) = \sum_j [\hat{M}_{N\sigma}^{-1}]_{\omega_i, \omega_j} [\hat{G}_{e,N\sigma}(\omega_j) \Delta H_{e,N\sigma}(\omega_j) + \hat{G}_{h,N\sigma}(\omega_j) \Delta H_{h,N\sigma}(\omega_j)]$$

$$[\hat{M}_{N\sigma}]_{\omega_i, \omega_j} = \delta_{ij} + (1 - \delta_{ij}) [\hat{G}_{e,N\sigma}(\omega_i) \Delta H_{e,N\sigma}(\omega_i) + \hat{G}_{h,N\sigma}(\omega_i) \Delta H_{h,N\sigma}(\omega_i)] \quad (61)$$

where,

$$\hat{G}_{(h,e),N\sigma}(\omega_i) = (\omega_i - H_{(h,e),N\sigma}^D)^{-1},$$

$$\eta_{N\sigma}(\omega_i) = \hat{G}_{h,N\sigma}(\omega_i) (1 - \hat{n}_{N\sigma}) H_{2N} \hat{n}_{N\sigma}$$

$$\Delta H_{(h,e),N\sigma}(\omega_i) = \hat{n}_{N\sigma} H_{2N} (1 - \hat{n}_{N\sigma}) \eta_{N\sigma}(\omega_i). \quad (62)$$

In the above $\hat{G}_{(h,e),N\sigma}(\omega_i)$ is the green function associated with the number diagonal part of the Hamiltonian i.e. $H_{(h,e),N\sigma}^D$. The $\eta_{N\sigma}(\omega_i)$'s operator describes a frequency dependent electron to hole scattering processes which leads to frequency dependent interaction in the block diagonalized

Hamiltonian \tilde{H} eq(57) ,

$$\tilde{H}_{2N} = \sum_{\omega} \tilde{H}_{2N}(\omega) \hat{O}_{N\sigma}(\omega)$$

$$\tilde{H}_{2N}(\omega) = \hat{n}_{N\sigma} \hat{H}_{2N} \hat{n}_{N\sigma} + (1 - \hat{n}_{N\sigma}) \hat{H}_{2N} (1 - \hat{n}_{N\sigma})$$

$$+ \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) [\Delta H_{h,N\sigma}(\omega) + \Delta H_{e,N\sigma}(\omega)]. \quad (63)$$

3. Using the $\hat{O}_{N\sigma}(\omega)$ form eq(61) we can compute the $\hat{\eta}_{N\sigma}^\dagger = \sum_{\omega} \hat{\eta}_{N\sigma}^\dagger(\omega) \hat{O}_{N\sigma}(\omega)$ exactly this allows to finally construct the unitary operator that block diagonalizes the Hamiltonian,

$$U_{N\sigma} = \frac{1}{\sqrt{2}} [1 + \eta_{N\sigma} - \eta_{N\sigma}^\dagger],$$

$$\hat{\eta}_{N\sigma}^\dagger = \sum_{\omega} \hat{\eta}_{N\sigma}^\dagger(\omega) \hat{O}_{N\sigma}(\omega). \quad (64)$$

VI. RENORMALIZATION GROUP BASED ON FBD

In the earlier sections sec (II – VI) we have shown how block diagonalization with respect to a state $N\sigma$ can be performed via a Unitary operation. In this section we will show how successive application of block diagonalization procedure forms a Renormalization group. In order to proceed first we describe a useful geometrical representation fig(1) of the configuration space \mathcal{C}^{2N} by writing it down as a antisymmetrized tensor product of $\mathcal{C}_{N\sigma}^{2N-1}$ ($\dim(\mathcal{C}_{N\sigma}^{2N-1}) = 2^{2N-1}$) and a single particle Hilbert space $\mathcal{H}_{N\sigma}$ ($\dim(\mathcal{H}_{N\sigma}) = 2$),

$$\mathcal{C}^{2N} = \mathcal{A}(\mathcal{C}_{N\sigma}^{2N-1} \otimes \mathcal{H}_{N\sigma}), \quad (65)$$

where $0_{N\sigma}$ and $1_{N\sigma}$ represent the unoccupied/occupied

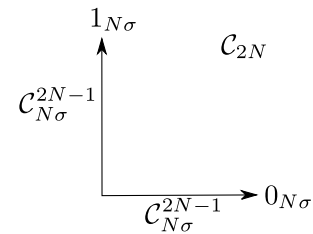


FIG. 1. Geometrical representation of $\mathcal{A}(\mathcal{C}_{N\sigma}^{2N-1} \otimes \mathcal{H}_{N\sigma})$.

configurations of $\mathcal{H}_{N\sigma}$. The parent Hamiltonian $H^{(0)}$ can be written in a block matrix eq(9) form when resolved in the state space of $N\sigma$,

$$H^{(0)} = \begin{pmatrix} H_{1_{N\sigma}}^{(0)} & c_{N\sigma}^\dagger T_{N\sigma,e-h}^{(0)} \\ T_{N\sigma,e-h}^{(0)\dagger} c_{N\sigma} & H_{0_{N\sigma}}^{(0)} \end{pmatrix}. \quad (66)$$

The diagonal elements of $H^{(0)}$ operates on $(\mathcal{C}_{N\sigma}^{2N-1}, 1_{N\sigma})$; $(\mathcal{C}_{N\sigma}^{2N-1}, 0_{N\sigma})$ respectively. The off-diagonal elements $c_{N\sigma}^\dagger T_{N\sigma,e-h}^{(0)} = c_{N\sigma}^\dagger Tr_{N\sigma}(H^{(0)} c_{N\sigma})$ and $T_{N\sigma,e-h}^{(0)\dagger} c_{N\sigma} = Tr_{N\sigma}(c_{N\sigma}^\dagger H^{(0)}) c_{N\sigma}$ are associated with transitions $(\mathcal{C}_{N\sigma}^{2N-1}, 0_{N\sigma}) \rightleftharpoons (\mathcal{C}_{N\sigma}^{2N-1}, 1_{N\sigma})$. This elements are represented in fig2, The subspaces

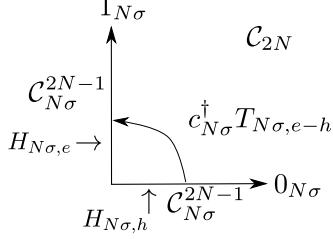


FIG. 2. Geometrical representation of the operations in H .

$(\mathcal{C}_{N\sigma}^{2N-1}, 1_{N\sigma})$ and $(\mathcal{C}_{N\sigma}^{2N-1}, 0_{N\sigma})$ can be represented as,

$$(\mathcal{C}_{N\sigma}^{2N-1}, 1_{N\sigma}) := \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad (\mathcal{C}_{N\sigma}^{2N-1}, 0_{N\sigma}) := \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (67)$$

Using the subspace representation eq(67) the configuration space $\mathcal{C}_{N\sigma}^{2N-1}$ can be represented as,

$$\mathcal{C}_{N\sigma}^{2N-1} = \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (68)$$

We will now geometrically showcase how the successive block diagonalization pursues.

Renormalization step 1, FBD of node $N\sigma$

We then ask for new subspaces $(\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{1}_{N\sigma})$ and $(\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{0}_{N\sigma})$ attained via rotation,

$$\begin{aligned} (\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{1}_{N\sigma}) &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \eta_{N\sigma} \end{pmatrix} \\ (\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{0}_{N\sigma}) &= \frac{1}{\sqrt{2}} \begin{pmatrix} -\eta_{N\sigma}^\dagger \\ 1 \end{pmatrix}, \end{aligned} \quad (69)$$

in which the block matrix $H^{(0)}$ is rendered a block diagonal form $H^{(1)}$ i.e. $[H^{(1)}, \hat{n}_{N\sigma}] = 0$,

$$H^{(1)} = \begin{pmatrix} H_{1_{N\sigma}}^{(1)} & 0 \\ 0 & H_{0_{N\sigma}}^{(1)} \end{pmatrix}. \quad (70)$$

The requirement of rotational invariance of configuration space $\mathcal{C}_{N\sigma}^{2N-1}$ leads to constraint on $\eta_{N\sigma}$ and $\eta_{N\sigma}^\dagger$,

$$\begin{aligned} \frac{1}{2} (1 \ \eta_{N\sigma}^\dagger) \begin{pmatrix} 1 \\ \eta_{N\sigma} \end{pmatrix} &= \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \eta_{N\sigma}^\dagger \eta_{N\sigma} &= \hat{n}_{N\sigma}, \\ \frac{1}{2} (-\eta_{N\sigma} \ 1) \begin{pmatrix} -\eta_{N\sigma}^\dagger \\ 1 \end{pmatrix} &= \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \eta_{N\sigma} \eta_{N\sigma}^\dagger &= 1 - \hat{n}_{N\sigma}. \end{aligned} \quad (71)$$

One can also check the orthogonality of this two subspaces given by condition $(-\eta_{N\sigma} \ 1)(1 \ \eta_{N\sigma}^\dagger)^T = 0$. Geometrically the rendering of the matrix into a block diagonal form and the associated subspaces is represented in the figure fig(3), In this block diagonal form there is

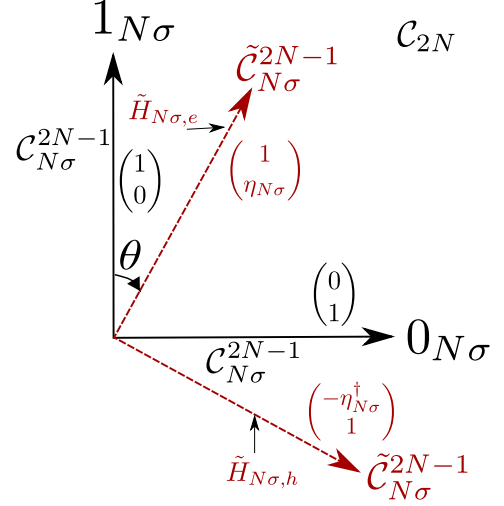


FIG. 3. Block diagonal representation of $H^{(1)}$ in space $(\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{1}_{N\sigma}) \oplus (\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{0}_{N\sigma})$.

only the action of diagonal blocks on the new subspaces $(\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{1}_{N\sigma})$ and $(\tilde{\mathcal{C}}_{N\sigma}^{2N-1}, \tilde{0}_{N\sigma})$ but absence of any transitions between them as in fig(2). The block diagonal forms of the Hamiltonian $\tilde{H}_{1_{N\sigma}}$ and $\tilde{H}_{0_{N\sigma}}$ is given by eq(57). The unitary operation $U_{N\sigma}^{(0)}$ that renders H block diagonal,

$$\hat{H}^{(1)} = U_{N\sigma}^{(0)} \hat{H}^{(0)} U_{N\sigma}^{(0)\dagger} = \begin{pmatrix} H_{1_{N\sigma}}^{(1)} & 0 \\ 0 & H_{0_{N\sigma}}^{(1)} \end{pmatrix}, \quad (72)$$

is determined in eq(64). The new Hamiltonian $\hat{H}^{(1)}$ is given by,

$$\begin{aligned} \hat{H}^{(1)} &= \frac{1}{2} Tr_{N\sigma}(H^{(0)}) \\ &+ \left(\hat{n}_{N\sigma} - \frac{1}{2} \right) \left[c_{N\sigma}^\dagger Tr_{N\sigma}(H^{(0)} c_{N\sigma}) G_h^{(0)}(\hat{\omega}_{N\sigma}) Tr_{N\sigma}(c_{N\sigma}^\dagger H^{(0)} c_{N\sigma}) \right. \\ &\left. + Tr_{N\sigma}(c_{N\sigma}^\dagger H^{(0)} c_{N\sigma}) G_e^{(0)}(\hat{\omega}_{N\sigma}) c_{N\sigma}^\dagger Tr_{N\sigma}(H^{(0)} c_{N\sigma}) \right]. \end{aligned} \quad (73)$$

The angle operator θ is the generator of the subspace rotation whose form can be determined by writing the Unitary matrix $U_{N\sigma}^{(0)}$ eq(26) in a exponential form,

$$\hat{U}_{N\sigma}^{(0)} = \exp(i\theta), \quad \theta = \arctan(i(\eta_{N\sigma} - \eta_{N\sigma}^\dagger)). \quad (74)$$

At the first level of block diagonalization of node $N\sigma$ the effective Hamiltonian obtained have there entries renormalized. To observe the renormalization of the entries in the effective Hamiltonian, we need one mathematical machinery that teases out a general entry in a fermionic matrix. As a first step we define The identity

$I_{2^{2N} \times 2^{2N}} = \sum_{l, \mathcal{P}_l} \prod_{(j\sigma)=1}^l \hat{n}_{\mathcal{P}_l(j\sigma)} \prod_{(j\sigma)=l+1}^{2N} (1 - \hat{n}_{\mathcal{P}_l(j\sigma)})$ can be resolved into a sum of product of pair of state creation operators,

$$I = \sum_{l, \mathcal{P}_l} B_{\mathcal{P}_l}^\dagger B_{\mathcal{P}_l}, \quad B_{\mathcal{P}_l}^\dagger = \prod_{(j\sigma)=1}^l c_{\mathcal{P}_l(j'\sigma')}^\dagger \prod_{(j\sigma)=l+1}^{2N} c_{\mathcal{P}_l(j\sigma)}. \quad (75)$$

Using the state creation operator $B_{\mathcal{P}_l}^\dagger$ and the identity eq(75) we can now represent a general entry in a fermionic matrix M as,

$$M = \sum_{\mathcal{P}_l, \mathcal{P}'_l} B_{\mathcal{P}_l}^\dagger \text{Tr}(B_{\mathcal{P}'_l}^\dagger M B_{\mathcal{P}_l}) B_{\mathcal{P}'_l}^\dagger. \quad (76)$$

The identity for the subspace $\mathcal{C}_{N\sigma}^{2N-1}$ is given by $I_{2N-1} = \sum_{l, \mathcal{P}_l} B_{\mathcal{P}_{N\sigma,l}}^\dagger B_{\mathcal{P}_{N\sigma,l}}$. The renormalization of the entries in the Hamiltonian matrix in the first step of block diagonalization can be written as using eq(76),

$$\begin{aligned} & \text{Tr}_{\bar{N}\sigma}(B_{\mathcal{P}'_{N\sigma,l'}}^\dagger \text{Tr}_{N\sigma, l'', \mathcal{P}_d} \tilde{H} B_{\mathcal{P}_{N\sigma,l}, \mathcal{P}_{N\sigma,l''', \mathcal{P}_d}} \\ & - \text{Tr}_{\bar{N}\sigma}(B_{\mathcal{P}'_{N\sigma,l'}}^\dagger \text{Tr}_{N\sigma, l'', \mathcal{P}_d} H B_{\mathcal{P}_{N\sigma,l}, \mathcal{P}_{N\sigma,l''', \mathcal{P}_d}}) \\ & = \left[\text{Tr}_{\bar{N}\sigma}(B_{\mathcal{P}_l}^\dagger T_{N\sigma, e-h} B_{\mathcal{P}'_l}) \text{Tr}_{\bar{N}\sigma}(B_{\bar{\mathcal{P}}_d} G_{h, N\sigma}(\omega) B_{\bar{\mathcal{P}}_d}) \right. \\ & \times \text{Tr}_{\bar{N}\sigma}(B_{\mathcal{P}'_{l''}}^\dagger T_{N\sigma, e-h} B_{\mathcal{P}'_{l'''}}) \\ & + \text{Tr}_{\bar{N}\sigma}(B_{\mathcal{P}'_{l''}}^\dagger T_{N\sigma, e-h} B_{\mathcal{P}'_{l'''}}) \text{Tr}_{\bar{N}\sigma}(B_{\bar{\mathcal{P}}_d} G_{e, N\sigma}(\omega) B_{\bar{\mathcal{P}}_d}) \\ & \left. \times \text{Tr}_{\bar{N}\sigma}(B_{\mathcal{P}_l}^\dagger T_{N\sigma, e-h} B_{\mathcal{P}'_l}) \right] \left(\hat{n}_{N\sigma} - \frac{1}{2} \right). \quad (77) \end{aligned}$$

Choosing the frequency set for $\hat{\omega}_{N\sigma}$

At the first step of the renormalization procedure the electron and hole transition operators have a frequency channel distribution given by eq(61),

$$\eta_{N\sigma}^\dagger = \sum_{j=1}^{2^{2N-1}} \frac{1}{\omega_j - \text{Tr}_{N\sigma}^D(\hat{H} \hat{n}_{N\sigma})} c_{N\sigma}^\dagger \text{Tr}_{N\sigma}(H c_{N\sigma}). \quad (78)$$

Operationally we will need to start the block diagonalization scheme with a initial frequency set ω_i 's, below we outline a procedure of choosing this frequency set,

1. Define a Hamiltonian H^D containing tree level energies in an appropriately chosen basis. The Hamiltonian H^D is determined uniquely from Hamiltonian $H^{(0)}$ as,

$$\begin{aligned} H^D &= \sum_{l=1, \mathcal{P}_l}^{2N} \text{Tr}(H^{(0)} \hat{B}_{\mathcal{P}_l}) \hat{B}_{\mathcal{P}_l}, \\ \hat{B}_{\mathcal{P}_l} &= \prod_{(j\sigma)=1}^l \hat{n}_{\mathcal{P}_l(j\sigma)} \prod_{(j\sigma)=l+1}^{2N} (1 - \hat{n}_{\mathcal{P}_l(j\sigma)}). \quad (79) \end{aligned}$$

2. Get the minimum and maximum configurational energies of H^D i.e.,

$$E_0 = \min(\text{Tr}(H^{(0)} \hat{B}_{\mathcal{P}_l})) , \quad E_1 = \max(\text{Tr}(H^{(0)} \hat{B}_{\mathcal{P}_l})) . \quad (80)$$

3. Define the smallest and the highest frequencies with a δ shift ,

$$\omega_0 = E_0 - \delta , \quad \omega_{2^{2N}-1} = E_1 + \delta , \quad (81)$$

where the choice of the δ can be made using the maximal strength of the \mathbf{QF} term that couples the node $N\sigma$ with the rest of the nodes in the graph i.e. $\delta = \max(\text{Tr}_{N\sigma}(c_{N\sigma}^\dagger H^{(0)}))$.

4. The ω set is created as a arithmetic progression on the energy limits ω_0 through $\omega_{2^{2N}-1}$,

$$\omega_j = \omega_0 + \frac{j}{2^{2N-1} - 1} [\omega_{2^{2N}-1} - \omega_0] . \quad (82)$$

Renormalization step 2, FBD of node $N - \sigma$

The 2nd successive step of the block diagonalization

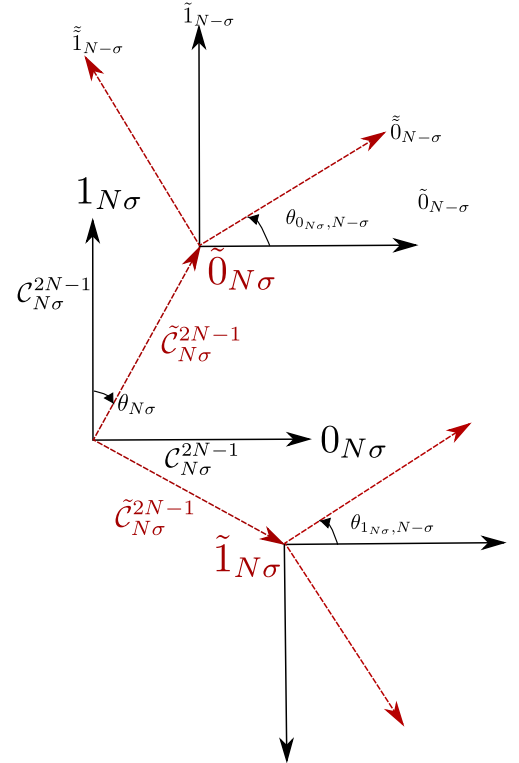


FIG. 4. 2nd successive step of Block diagonalization RG.

procedure involves writing the configuration subspaces $(\mathcal{C}_{N\sigma}^{2N-1}, 1_{N\sigma})$ and $(\mathcal{C}_{N\sigma}^{2N-1}, 0_{N\sigma})$ as,

$$\begin{aligned} \mathcal{C}_{N\sigma}^{2N} &= \mathcal{A}(\mathcal{C}_{N\sigma}^{2N-1} \otimes \mathcal{H}_{N\sigma}) , \\ \mathcal{C}_{N\sigma}^{2N-1} &= \mathcal{A}(\mathcal{C}_{N\sigma, N-\sigma}^{2N-1} \otimes \mathcal{H}_{N-\sigma}) . \quad (83) \end{aligned}$$

At this step we are look for a solution to the block diagonal equation satisfied by $H^{(1)}$ given by eq(70), this is

FBD step-1

$$\begin{pmatrix} H_{1N\sigma,1N-\sigma}^{(1)} & c_{N-\sigma}^\dagger T_{N-\sigma}^{(1)} & 0 & 0 \\ T_{N-\sigma}^{(1)\dagger} c_{N-\sigma} & H_{1N\sigma,0N-\sigma}^{(1)} & 0 & 0 \\ 0 & 0 & H_{0N\sigma,1N-\sigma}^{(1)} & c_{N-\sigma}^\dagger T_{N-\sigma}^{(1)} \\ 0 & 0 & T_{N-\sigma}^{(1)\dagger} c_{N-\sigma} & H_{1N\sigma,0N-\sigma}^{(1)} \end{pmatrix} |\psi\rangle \eta_{N\sigma}^{(0)\dagger} = \sum_{j=1}^{2^{2N-1}} \frac{1}{\omega_j - Tr_{N\sigma}^D(H^{(0)} \hat{n}_{N\sigma})} T_{N\sigma,e-h}^{(0)\dagger} c_{N\sigma} \hat{O}_{N\sigma}^{(0)}(\omega_j)$$

$$= \hat{E}_{N\sigma,N-\sigma} \otimes I_2 \otimes I_2 |\psi\rangle. \quad (84)$$

$$\eta_{N-\sigma}^{(1)\dagger} = \sum_{j=1}^{2^{2N-2}} \frac{1}{\omega_j - Tr_{N-\sigma}^D(H^{(1)} \hat{n}_{N\sigma})} T_{N\sigma,e-h}^{(1)\dagger} c_{N\sigma} \hat{O}_{N-\sigma}^{(1)}(\omega_j). \quad (90)$$

By using FBD steps 2-3 we get to a basis where $H^{(1)}$ attains a block diagonal form $H^{(2)}$,

$$H^{(2)} = U_{N-\sigma}^{(1)} H^{(1)} U_{N-\sigma}^{(1)\dagger} = \begin{pmatrix} H_{1N\sigma,1N-\sigma}^{(2)} & 0 & 0 & 0 \\ 0 & H_{1N\sigma,0N-\sigma}^{(2)} & 0 & 0 \\ 0 & 0 & H_{0N\sigma,1N-\sigma}^{(2)} & 0 \\ 0 & 0 & 0 & H_{0N\sigma,0N-\sigma}^{(2)} \end{pmatrix}, \quad (85)$$

where $U_{N-\sigma}^{(1)}$ is the unitary map connecting the old basis to the new basis and its form is given by,

$$U_{N-\sigma}^{(1)} = \frac{1}{\sqrt{2}} [1 + \eta_{N-\sigma}^{(1)\dagger} - \eta_{N-\sigma}^{(1)}]$$

$$= U_{1N\sigma,N-\sigma}^{(1)} \oplus U_{0N\sigma,N-\sigma}^{(1)}$$

$$U_{(1,0)N\sigma,N-\sigma}^{(1)} = \frac{1}{\sqrt{2}} [1 + \eta_{(1,0)N\sigma,N-\sigma}^{(1)\dagger} - \eta_{(1,0)N\sigma,N-\sigma}^{(1)}] \quad (86)$$

where,

$$\eta_{N-\sigma}^{(1)\dagger} = \sum_{j=1}^{2^{2N-2}} \eta_{N-\sigma}^{(1)\dagger}(\omega_j) \hat{O}_{N-\sigma}^{(1)}(\omega_j)$$

$$\eta_{N-\sigma}^{(1)}(\omega_j) = \frac{1}{\omega_j - Tr_{N-\sigma}^D(H^{(1)} \hat{n}_{N-\sigma})} c_{N-\sigma}^\dagger T_{N-\sigma,e-h}^{(1)}$$

$$T_{N-\sigma,e-h}^{(1)} = Tr_{N-\sigma}(H^{(1)} c_{N-\sigma}). \quad (87)$$

This transition operator has a block form given by $\eta_{N-\sigma}^{(1)\dagger} = \eta_{1N\sigma,N-\sigma}^{(1)\dagger} \oplus \eta_{0N\sigma,N-\sigma}^{(1)\dagger}$ where,

$$\eta_{(1,0)N\sigma,N-\sigma}^{(1)\dagger} = \sum_{j=1}^{2^{2N-2}} \eta_{(1,0)N\sigma,N-\sigma}^{(1)\dagger}(\omega_j) \hat{O}_{N-\sigma}^{(1)}(\omega_j)$$

$$\eta_{(1,0)N\sigma,N-\sigma}^{(1)}(\omega_j) = \frac{1}{\omega_j - Tr_{N-\sigma}^D(H_{(1,0)N\sigma}^{(1)} \hat{n}_{N-\sigma})} \times c_{N-\sigma}^\dagger T_{1N\sigma,N-\sigma,e-h}^{(1)}$$

$$T_{(1,0)N\sigma,N-\sigma,e-h}^{(1)} = Tr_{N-\sigma}(H_{(1,0)N\sigma}^{(1)} c_{N-\sigma}). \quad (88)$$

The new blocks in $H^{(2)}$ eq(85) is given by,

$$H^{(2)} = \frac{1}{2} Tr_{N-\sigma}(H^{(1)}) + \left(\hat{n}_{N\sigma} - \frac{1}{2} \right)$$

$$\times \left[c_{N\sigma}^\dagger Tr_{N\sigma}(H^{(1)} c_{N\sigma}) G_h^{(1)}(\hat{\omega}_{N\sigma}) Tr_{N\sigma}(c_{N\sigma}^\dagger H^{(1)} c_{N\sigma}) \right.$$

$$\left. + Tr_{N\sigma}(c_{N\sigma}^\dagger H^{(1)} c_{N\sigma}) G_e^{(1)}(\hat{\omega}_{N\sigma}) c_{N\sigma}^\dagger Tr_{N\sigma}(H^{(1)} c_{N\sigma}) \right]. \quad (89)$$

Halving the frequency channel count The matrix structure of $\eta_{N\sigma}^{(0)\dagger}$ and $\eta_{N-\sigma}^{(1)\dagger}$ are given as ,

$$\eta_{N\sigma}^{(0)\dagger} = \sum_{j=1}^{2^{2N-1}} \frac{1}{\omega_j - Tr_{N\sigma}^D(H^{(0)} \hat{n}_{N\sigma})} T_{N\sigma,e-h}^{(0)\dagger} c_{N\sigma} \hat{O}_{N\sigma}^{(0)}(\omega_j)$$

$$\eta_{N-\sigma}^{(1)\dagger} = \sum_{j=1}^{2^{2N-2}} \frac{1}{\omega_j - Tr_{N-\sigma}^D(H^{(1)} \hat{n}_{N\sigma})} T_{N\sigma,e-h}^{(1)\dagger} c_{N\sigma} \hat{O}_{N-\sigma}^{(1)}(\omega_j). \quad (90)$$

Note that $H^{(1)} = \sum_{j=1}^{2^{2N-1}} H^{(1)}(\omega_j) \hat{O}_{N\sigma}^{(0)}(\omega_j)$ where the number of frequency channels were 2^{2N-1} in the 2nd step of block diagonalization of node $N - \sigma$ that reduced to 2^{2N-2} , this is an outcome of the fact that largest dimension of the nontrivial blocks reduced by half from $dim(H_{1N\sigma}^{(1)}) = 2^{2N-1} \rightarrow dim(H_{1N-\sigma}^{(2)}) = 2^{2N-2}$. Operationally to perform this iterative block diagonalization based RG procedure we have started from a set of 2^{2N-1} numbers chosen using the scheme given in the earlier paragraph and then next of frequency is completely determined by it,

$$\begin{pmatrix} \omega_1 \\ \omega_2 \\ \vdots \\ \omega_{2^{2N-2}} \\ \omega_{2^{2N-2}+1} \\ \vdots \\ \omega_{2^{2N-1}-1} \\ \omega_{2^{2N-1}} \end{pmatrix} \rightarrow \begin{pmatrix} \omega'_1 + \delta \\ \omega'_2 + \delta \\ \vdots \\ \omega'_{2^{2N-2}} + \delta \\ \omega'_{2^{2N-2}+1} - \delta \\ \vdots \\ \omega'_{2^{2N-1}-1} - \delta \\ \omega'_{2^{2N-1}} - \delta \end{pmatrix} \rightarrow \begin{pmatrix} \omega'_1 \\ \omega'_2 \\ \vdots \\ \omega'_{2^{2N-2}} \end{pmatrix} \quad (91)$$

here $\delta = \frac{1}{2}(\omega_{2^{2N-2}+j} - \omega_j)$. With the above scheme effective Hamiltonian blocks in the next steps are given by,

$$H^{(2)} = \sum_{j=1}^{2^{2N-2}} H^{(2)}(\omega'_j) \hat{O}_{N-\sigma}^{(1)}(\omega'_j). \quad (92)$$

In the next paragraph we show the outcome of iteratively doing **FBD** acronymed **IFBD** for k steps.

Renormalization step k (IFBD) of node $2N - k, \sigma$ The kth step Hamiltonian is connected to the k-1th step by the following iterative equation,

$$H^{(k)} = U_{n\sigma}^{(k-1)} H^{(k-1)} U_{n\sigma}^{(k-1)\dagger}. \quad (93)$$

The Unitary operator $U_{2N-k\sigma}^{(k-1)} = \exp(i\theta^{(k-1)})$, $\theta^{(k-1)} = \arctan(i(\eta_{2N-k\sigma}^{(k-1)} - \eta_{2N-k\sigma}^{(k-1)\dagger}))$ for the kth step is defined following eq(86) as,

$$U_{2N-k\sigma}^{(k-1)} = U_{12N-k+1,-\sigma;2N-k\sigma}^{(k-1)} \oplus U_{02N-k+1,-\sigma;\sigma}^{(k-1)}. \quad (94)$$

Where the electron and hole transition operators composing $U_{2N-k\sigma}^{(k-1)}$ is defined as,

$$\eta_{2N-k\sigma}^{(k-1)} = \sum_{j=1}^{2^k} \frac{1}{\omega_j - Tr_{2N-k\sigma}^D(H^{(k-1)} \hat{n}_{2N-k\sigma})}$$

$$\times Tr_{2N-k\sigma}(c_{2N-k\sigma}^\dagger H^{(k-1)} c_{2N-k\sigma}) \hat{O}_{2N-k\sigma}^{(k-1)}. \quad (95)$$

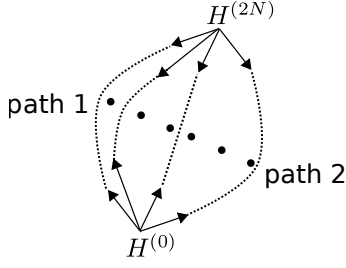


FIG. 5. Paths 1 to $(2N - 1)!$ denotes the various ways of arranging the Unitary operations from one node to the next in order to reach the final diagonal Hamiltonian $H^{(2N)}$ from $H^{(0)}$.

The set of ω' s maps to the next set of ω' s using the scheme described in eq(91).

The completely diagonal Hamiltonian

The complete number diagonal Hamiltonian is attained in n-steps given by,

$$\begin{aligned} H^{(2N)} &= U_{1-\sigma}^{(2N-1)} H^{(2N-1)} U_{1-\sigma}^{(2N-1)\dagger} \\ &= [U_{1\sigma}^{(2N-1)} \dots U_{N\sigma}^{(0)}] H^{(0)} [U_{1\sigma}^{(2N-1)} \dots U_{N\sigma}^{(0)}]^\dagger. \end{aligned} \quad (96)$$

This number diagonal Hamiltonian can be as a collection of 2^{2N} number diagonal strings written using the operators eq(79),

$$H^{(2N)} = \sum_{l, \mathcal{P}_l} Tr(H^{(2N)} \hat{B}_{\mathcal{P}_l}) \hat{B}_{\mathcal{P}_l}. \quad (97)$$

This Hamiltonian commutes with $2N$ Hermitian oper-

ators $[H^{(2N)}, \hat{n}_{j\sigma}] = 0, \forall j \in [1, N] \times [\sigma, -\sigma]$. This Unitary operation's logarithm can be taken to get the generator of this rotation,

$$\begin{aligned} U_{1\sigma}^{(2N-1)} \dots U_{N\sigma}^{(0)} &= \tilde{U}_{[1,2N]} = \exp(i\hat{G}) , \\ \hat{G}_{[1,2N]} &= -i \log(\tilde{U}_{[1,2N]}) . \end{aligned} \quad (98)$$

The net unitary transformation can be done as a product of infinitesimal rotations $\delta\theta$ on the configuration space as,

$$\begin{aligned} \tilde{U}_{[1,2N]} &= \lim_{L \rightarrow \infty} [\hat{U}_{[1,2N]}(\delta\theta)]^L = \lim_{L \rightarrow \infty} [1 + \delta\theta \hat{G}_{[1,2N]}]^L , \\ L\delta\theta &= 1 \\ \hat{U}_{[1,2N]}(\delta\theta) &= \exp[i\delta\theta \hat{G}_{[1,2N]}] . \end{aligned} \quad (99)$$

The generator of the infinitesimal Unitary operation $\hat{G}_{[1,2N]}$ can now be related to the generator of continuous unitary transformations based renormalization group introduced by Wegner, Glazek, Wilson,

$$\begin{aligned} H(\delta\theta) &= \hat{U}_{[1,2N]}(\delta\theta) \hat{H} \hat{U}_{[1,2N]}^\dagger(\delta\theta) \\ &= \hat{H} + i\delta\theta [\hat{G}_{[1,2N]}, \hat{H}] \end{aligned}$$

$$\frac{dH(\theta)}{d\theta} = i [\hat{G}_{[1,2N]}, \hat{H}(\theta)] . \quad (100)$$

The completely number diagonal Hamiltonian $H^{(2N)}$ can be reached from $H^{(0)}$ in $(2N - 1)!$ different ways depending on how the Unitary operations are arranged. The j th path for example can be association with the j th permutation of the unitary operator set $\prod_{l=1}^{2N} U_{\mathcal{P}_j(l)}$. The figure fig5 shows path-1 and path-2 in the family of journey paths from $H^{(0)}$ to $H^{(2N)}$.