

# Algebraic Geometry

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## 1 Introduction

Algebraic Geometry seeks to understand the connections between algebra and geometry - more specifically how algebraic properties of systems of polynomial equations affect the geometry of solutions to these polynomials. For example, the polynomial  $x^2 + y^2 = 1$  defines a circle, and is smooth, while the polynomial  $y^2 - x^3 = 0$  is not smooth. How can the algebra of these polynomials help us determine these properties?

## 2 The Main Characters

To do algebraic geometry properly, we need to develop a little bit more algebra. We start by introducing the main characters/objects that will be of use to us. Throughout these notes,  $k$  will be a field, typically  $\mathbb{R}$  or  $\mathbb{C}$ .

### 2.1 Affine Space

**Definition 2.1. Affine Space:** Fix a field  $k$ . The set

$$\mathbb{A}^n = k^n = \{(a_1, a_2, \dots, a_n) : a_i \in k\}$$

is called  **$n$ -dimensional affine space**. We denote affine space  $\mathbb{A}^n$

**Definition 2.2. Polynomial:** A polynomial in  $x_1 \dots x_n$  over  $k$  is a finite sum

$$f = \sum_{\alpha=(\alpha_1, \dots, \alpha_n)} c_{\alpha} x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n}$$

with  $\alpha_i \in k$ . The **degree** of  $f$  is given by  $\deg f = \max\{\alpha_1 + \alpha_2 + \dots + \alpha_n : c_{\alpha} \neq 0\}$

We denote the ring of polynomials over a field  $k$  by  $k[x_1, x_2, \dots, x_n]$ . Polynomials define a function  $f : \mathbb{A}^n \rightarrow k$  by evaluating the polynomial at points  $P = (a_1, a_2, \dots, a_n)$  in affine space.

Given a polynomial  $f$ , we can define interesting subsets of affine space in the following way:

**Definition 2.3. Vanishing of  $f$ :** Given a polynomial  $f \in k[x_1, x_2, \dots, x_n]$  define the **vanishing set of  $f$**  as

$$V(f) = \{P \in \mathbb{A}^n : f(P) = 0\}$$

$V(f)$  is called a **hypersurface**

The vanishing of a set of polynomials can be defined in a similar way

**Definition 2.4. Vanishing of a set  $S$ :** Given a set  $S \subset k[x_1, x_2, \dots, x_n]$ , define  $V(S)$  by

$$V(S) = \{P \in \mathbb{A}^n : f(P) = 0 \forall f \in S\}$$

or equivalently

$$V(S) = \bigcap_{f \in S} V(f)$$

Such a set  $V(S)$  is called an **affine algebraic set**, and is commonly referred to as an **algebraic set**

**Example 2.1.** Let  $S = \{f_1 = y - x^2, f_2 = y - 2\}$ . Then  $V(S) = (-\sqrt{2}, 2), (\sqrt{2}, 2)$

**Example 2.2.** Let  $S = \{f_1 = y - x^2, f_2 = y + 1\}$ . Then depending on the field we are working in,  $V(S)$  might differ! If our underlying field is  $\mathbb{R}$ , then  $V(S) = \emptyset$ , but if the underlying field is  $\mathbb{C}$ , then  $V(S) = \{(i, -1), (-i, 1)\}$

The above example highlights the importance of clarifying the field we are working over.  $\mathbb{C}$  feels "nicer" to work over, since we have "more points to work with". The next definition makes this formal

**Definition 2.5. Algebraically closed fields:** A field  $k$  is **algebraically closed** if every non-constant polynomial in  $k[x]$  has a solution in  $k$ . Equivalently, every polynomial  $f$  can be factored into linear factors such that

$$f = \prod (x - r_1)(x - r_2) \cdots (x - r_n)$$

with  $r_i \in k$

This explains why  $\mathbb{C}$  is much nicer to work over than  $\mathbb{R}$ ! By the Fundamental Theorem of Algebra,  $\mathbb{C}$  is algebraically closed, while  $\mathbb{R}$  is not algebraically closed since  $x^2 + 1$  has no roots in  $\mathbb{R}$

**Proposition 2.1.** If  $S \subset \mathbb{A}^1$  is algebraic, then  $S$  is finite,  $S = \emptyset$ , or  $S = \mathbb{A}^1$

**Proposition 2.2.** Here are some nice results about unions and intersections of algebraic sets:

Arbitrary intersections of algebraic sets are algebraic:

$$\bigcap_{i \in I} V(S_i) = V\left(\bigcup S_i\right)$$

Finite unions of algebraic sets are algebraic:

$$\bigcup_{i=1}^N S_i = V(\{\prod_{i=1}^N f_i : f_i \in S_i\})$$

## 2.2 Ideals

We start by defining ideals.

**Definition 2.6. Ideal:** Let  $R$  be a commutative ring. An **ideal**  $I \subset R$  is a subset satisfying:

- $I$  is closed under addition - for all  $f, g \in I, f + g \in I$
- for any  $r \in R$  and  $i \in I, ri \in I$

**Example 2.3.** Let  $S \subset k[x_1, \dots, x_n]$ . The **ideal generated by  $S$** , denoted  $\langle S \rangle$  is the set of all finite sums of the form

$$\langle S \rangle = \sum_i h_i s_i$$

where  $h_i \in k[x_1, \dots, x_n]$  and  $s_i \in S$ .

**Proposition 2.3.** Let  $S \subset k[x_1, \dots, x_n]$ , and  $I = \langle S \rangle$ . Then  $V(S) = V(I)$

*Proof.* Certainly  $V(I) \subset V(S)$ , since  $S \subset I$ . Suppose  $P \in V(S)$ . Then  $f(P) = 0$  for all  $f \in I$ , so  $V(S) \subset V(I)$ . Thus  $V(I) = V(S)$   $\square$

This tells us that every algebraic set is  $V(I)$  for some ideal  $I \subset k[x_1, \dots, x_n]$ .

So far, we have an operation  $V(S)$  that takes a collection of polynomials and defines a subset of affine space. We can also go the other way - starting with a subset of affine space and producing a collection of polynomials.

**Definition 2.7.** Given a subset  $X \subset \mathbb{A}^n$ , define

$$I(X) = \{f \in k[x_1, \dots, x_n] : f(P) = 0 \text{ for all } P \in X\}$$

**Lemma 2.1.** Let  $X \subset \mathbb{A}^n$ . Then  $I(X) \subset k[x_1, \dots, x_n]$  is an ideal.

*Proof.* If  $f, g \in I(X)$ , then for all  $P \in \mathbb{A}^n$ ,  $(f + g)(P) = f(P) + g(P) = 0$  so  $f + g \in I(X)$  as well. If  $h \in k[x_1, \dots, x_n]$ , then for all  $P \in \mathbb{A}^n$ ,  $(hf)(P) = h(P)f(P) = 0$  so  $hf \in I(X)$  as well. Thus,  $I(X)$  is an ideal.  $\square$

**Example 2.4.** Let  $X = \{(1, 2)\} \subset \mathbb{A}^2$ . Then  $I(X) = \langle x - 1, y - 2 \rangle$

**Example 2.5.** Let  $X = \{(a, 0) : a \in \mathbb{Z}\} \subset \mathbb{A}^2$ . Then  $I(X) = \langle y \rangle$

**Example 2.6.**  $I(\emptyset) = k[x_1, \dots, x_n]$

**Example 2.7.**  $I(\mathbb{A}^n) = \langle 0 \rangle$

**Lemma 2.2.** *If  $X$  is an algebraic set, then  $V(I(X)) = X$*

*Proof.*  $X \subset V(I(X))$ , since every point in  $X$  will vanish in the ideal generated by polynomials vanishing on  $X$ . Since  $X$  is algebraic, it can be written as  $V(S)$  for some  $S \subset k[x_1, \dots, x_n]$ . The set  $S$  must be a subset of  $I(X)$ , so  $V(I(X)) \subset V(S) = X$ , so  $V(I(X)) = X$   $\square$

This is a neat result! A natural question to ask after this is if we can make a similar statement for  $I(V(J))$ , for  $J \subset k[x_1, \dots, x_n]$  an ideal. Unfortunately,—the answer is no. For example, consider the ideal  $J = \langle x^2 \rangle \subset k[x]$ . Then  $V(J) = \{0\}$ , but  $I(V(J)) = \langle x \rangle \neq J$ ! The issue here was that one polynomial was a power of the other. The **Nullstellensatz** says this is the only possible issue we can come across in this situation. To state the Nullstellensatz, we need a few more definitions.

**Definition 2.8. Radical Ideals:** *An ideal  $I$  is **radical** if  $f^r \in I \implies f \in I$*

**Lemma 2.3.**  *$I(X)$  is radical for  $X \subset \mathbb{A}^n$*

*Proof.* Suppose  $f^r \in I(X)$ . Then  $f^r(P) = 0$  for all  $P \in X \implies f(P) = 0$  for all  $P \in X$ . So  $f \in I(X)$  as well.  $\square$

**Definition 2.9. Radical of an Ideal:** *Let  $I \subset R$  be an ideal. The **radical** of  $I$ , denoted  $\sqrt{I}$  is*

$$\sqrt{I} = \{f \in R : f^n \in I \text{ for some } n\}$$

**Lemma 2.4.** *If  $I \subset R$  is an ideal, then  $\sqrt{I}$  is also an ideal.*

*Proof.* Let  $f, g \in \sqrt{I}$ . By the definition of  $\sqrt{I}$ , there are  $n, m$  such that  $f^n \in I$  and  $g^m \in I$ . We want to show  $f + g \in \sqrt{I}$ , or equivalently,  $(f + g)^r \in I$  for some  $r$ . Suppose  $r = n + m$ . Then, by the binomial formula:

$$\begin{aligned} (f + g)^{n+m} &= \sum_{k=0}^{n+m} \binom{n+m}{k} f^{n+m-k} g^k \\ &= f^{n+m} + (n+m)f^{n+m-1}g + \dots \end{aligned}$$

$\square$