

CS575 Homework 4

Due at the Beginning of the Class on May 5

PLEASE PRINT DOUBLE SIDED ONLY

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I promise to follow the academic honesty requirements of the Binghamton University. I agree that I will fill out and sign an official form that I have cheated if I get caught cheating. I understand that this form will be stored by the university. Furthermore, I understand that the minimum penalty for cheating is getting a grade of 0 for this assignment.

Sign: Rehak

1. [30%] You are given a 0-1 knapsack problem where the capacity of the knapsack $W=30$ and the set of items $S = \{(i_1, 5, \$50), (i_2, 20, \$140), (i_3, 10, \$60)\}$ where each element in set S is a tuple of (itemID, weight, profit). Solve the given 0-1 knapsack problem using the dynamic programming method discussed in Chapter 12. Clearly show every step.

A1: Given Data: $W = 30$

$$S = \{(i_1, 5, \$50), (i_2, 20, \$140), (i_3, 10, \$60)\}$$

↳ Initially benefit matrix is all \emptyset . $B[0, W] = \emptyset$

↳ For $K = 1$:

Step I) $B[1, 0] = 0$

$$B[1, 1] = 0$$

$$B[1, 2] = 0$$

$$B[1, 3] = 0$$

$$B[1, 4] = 0$$

$$B[1, 5] = 0$$

$$\vdots$$

$$B[1, 30] = 0$$

Step II) For $K = 2$: Inserting i_1 into binary knapsack-

$$B[2, 0] = 0$$

$$\vdots$$

$$B[2, 4] = 0$$

$$B[2,5] = \max \{ B[1,5], B[1,5-5] + b_2 \}$$

$$= \max \{ 0, 0 + 50 \}$$

$$\therefore B[2,5] = 50.$$

$$B[2,6] = 50$$

$$B[2,7] = 50$$

$$\vdots$$

$$B[2,30] = 50$$

Step III) $K=3$: Inserting item 2. (i_2)

$$B[3,0] = 0$$

$$B[3,1] = 0$$

$$\vdots$$

$$B[3,10] = 50$$

$$\vdots$$

$$B[3,20] = \max \{ B[2,20], B[2,0] + b_3 \}$$

$$= \max \{ 50, 0 + 140 \}$$

$$\therefore B[3,20] = 140$$

$$B[3,21] = \max \{ B[2,21], B[2,1] + b_3 \}$$

$$= \max \{ 50, 0 + 140 \} = 140$$

$$\vdots$$

$$B[3,24] = 140.$$

$$B[3,25] = \max \{ B[2,25], B[2,5] + b_3 \}$$

$$= \max \{ 50, 50 + 140 \} = 190$$

$$\therefore B[3,25] = 190$$

$$B[3,30] = 190$$

Step IV) $K=4$: Inserting item 3 (i_3)

A1:

$$B[4,0] = 0$$

$$\begin{aligned} B[4,10] &= \max \{ B[3,10], B[3,0] + b_4 \} \\ &= \max \{ 50, 0 + 60 \} = 60 \end{aligned}$$

$$\therefore B[4,10] = 60$$

$$B[4,14] = 60$$

$$\begin{aligned} B[4,15] &= \max \{ B[3,15], B[3,5] + b_4 \} \\ &= \max \{ 50, 50 + 60 \} = 110 \end{aligned}$$

$$\boxed{B[4,15] = 110}$$

$$B[4,16] = 110$$

$$\begin{aligned} B[4,20] &= \max \{ B[3,20], B[3,10] + b_4 \} \\ &= \max \{ 140, 50 + 60 \} \end{aligned}$$

$$\boxed{B[4,20] = 140}$$

$$\begin{aligned} B[4,25] &= \max \{ B[3,25], B[3,15] + b_4 \} \\ &= \max \{ 190, 50 + 60 \} \end{aligned}$$

$$\boxed{B[4,25] = 190}$$

$$B[4,26] = 190$$

$$B[4,27] = 190$$

$$B[4,28] = 190$$

$$B[4,29] = 190$$

$$\begin{aligned} B[4,30] &= \max \{ B[3,30], B[3,20] + b_4 \} \\ &= \max \{ 190, 140 + 60 \} = 200 \end{aligned}$$

$\therefore \boxed{B[4,30] = 200} \rightarrow$ maximum profit after adding all items.

← This is the table
that represents all the
previous calculations
made during dynamic
programming procedure
for 0/1 Knapsack.

← The encircled values shows where calculation produced new values.

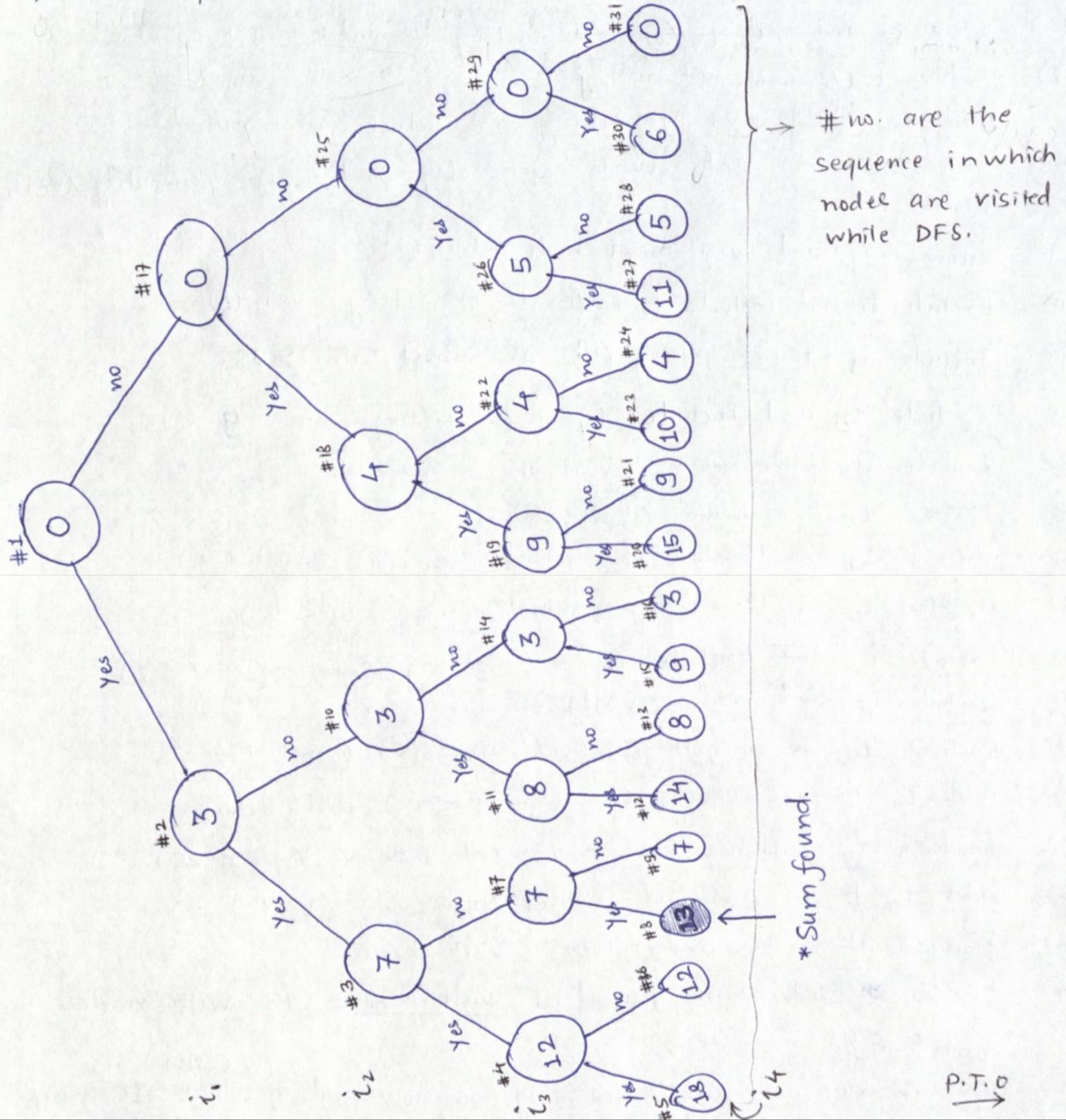
← The max. profit achieved after adding all the 3 given items into the knapsack is 200 at the location B [2, 30].

2. [40%] A set {3, 4, 5, 6} is given. For the set, find every subset that sums to S

= 13.

a. [10%] Solve the problem using the depth first method. Draw a state space tree and clearly show every step. Also, number the nodes in the sequence of visiting them.

↳ State Space tree built via DFS method for subset problem \Rightarrow

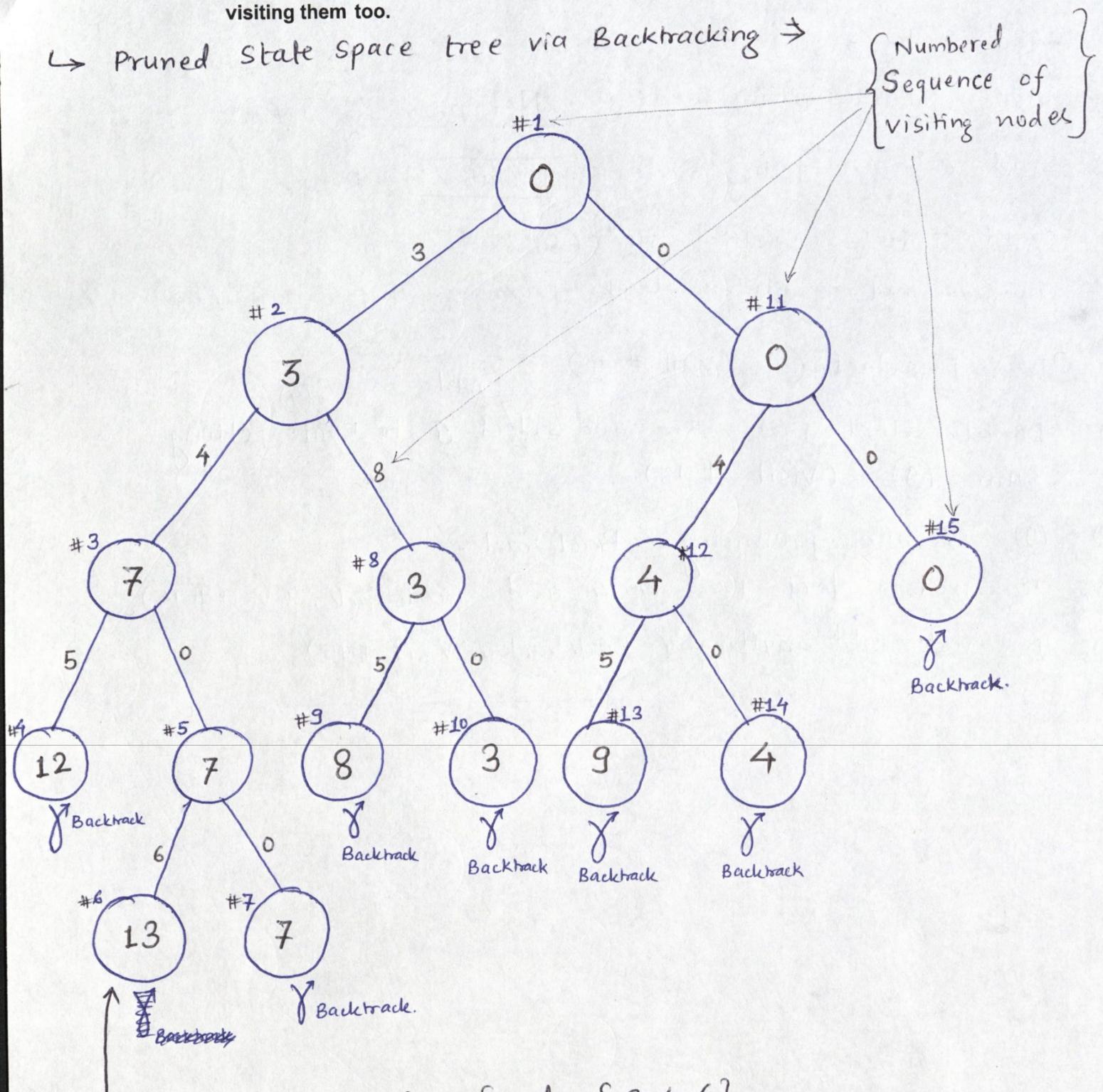


Steps:

- (1) Initially subset sum = \emptyset (Root of tree)
- (2) we add item i_1 , ③ on the left child of root and branch it.
- (3) we add item i_2 , ④ to above left child generating sum = ⑦
- (4) Add i_3 , ⑤ getting sum = ⑪ (< 13)
- (5) Add i_4 , ⑥ getting sum = ⑯ (> 13) and return.
- (6) Skip i_3 and add i_4 , ⑥ to ⑦ getting ⑫ and Return to parent.
- (7) Return to ⑦ and add nothing.
- (8) Add i_4 , ⑥ to ⑦ generating ⑬ which is required soln.
But DFS do not work optimally and keep generating/visiting nodes.
- (9) Return to ⑦ and visit next node which ⑧ on right hand side.
- (10) Exclude ③ and include i_3 ie. ⑤ to ⑨ getting sum = ⑧
- (11) Include i_3 to ⑧ getting ⑭ as subset sum > 13 .
- (12) Exclude i_3 and include i_4 to ⑨ getting sum = ⑨ (< 13).
- (13) Exclude i_4 and Return. (Visit # 15)
- (14) Exclude i_3 and Return (Visit # 16)
- (15) Exclude i_1 and include i_2 getting sum = ④ (Visit # 18)
- (16) Include i_3 to ④ getting subset sum = ⑨ (< 13)
- (17) Exclude i_3 and include i_4 to ④ getting sum = ⑩ (< 13)
- (18) Exclude i_2 and return. (Visit # 24)
- (19) Include i_3 to ⑩ getting subset sum = ⑮ (Visit # 26)
- (20) Add i_4 to ⑮ getting sum = ⑯ (< 13) and Return.
- (21) Exclude i_4 return, Exclude i_3 and return (Visits # 28)
- (22) Add i_4 to ⑯ and get subset sum = ⑰ (Visit # 30)
- (23) Exclude item i_4 and return (Visit # 31)
- (24) Final Subset Sum found at visit # 8 = 13 with nodes $\{3, 4, 6\}$.
- (25) DFS is not an optimal soln. It is slow and generates all nodes. (or visits)

b. [30%] Find the subsets via backtracking. Draw a (pruned) state space tree and clearly show every step. Number the nodes in the sequence of visiting them too.

↪ Pruned State Space tree via Backtracking →



Optimal Solⁿ: Subset sum found = {3, 4, 6}.

Steps:

- (1) Root = ① Node. Promising. And its not a solution.
 - (2) Expand Root. Add ③ to Root's Left child (visit #2)
 - (3) Node promising. Not a solution. Therefore expand to left.
 - (4) Add 4 to ③ getting node ⑦. Not a soln, promising.
 - (5) Add 5 to ⑦ getting node ⑫. Not promising. Backtrack.
 - (6) Add 6 to ⑦ getting node ⑬: Solution. Return.
 - (7) Add 5 to ③ getting node ⑧.
This is not a solution. Looks non-promising. Therefore return/backtrack.
 - (8) Do not select ③ (visit # 10)
 - (9) Do not select first item and select 5 to Root getting sum = ⑨ (visit # 13).
^{Add} $\xrightarrow{④}$
 - (10) ⑨ is non promising. Backtrack.
 - (11) Do not select i_2 i.e. 4 and backtrack. (Visit #14)
 - (12) Do not add anything (Backtrack. Visit #15).
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3. [30%] When the capacity of the knapsack is 16, solve problem using the backtracking algorithm discussed in optimal fractional knapsack algorithm to compute of the profit.

the following 0-1knapsack class that uses the the possible upper bound

i	p_i	w_i	p_i/w_i
1	\$10	5	\$2
2	\$30	5	\$6
3	\$40	2	\$20
4	\$50	10	\$5

A3 KWF needs the i/p table sorted for calculating the Upper Bound -

i	p_i	w_i	p_i/w_i
3	\$40	2	\$20
2	\$30	5	\$6
4	\$50	10	\$5
1	\$10	5	\$2

Node 1: Profit = 0
Weight = 0

$$\text{UB (Upper Bound)} = 0 + 40 + 30 + (16-7) \cdot 5 \\ = \$115$$

Node 2: Profit = \$10

Weight = 5

$$\text{UB} = \$10 + 40 + 30 + \frac{50}{10} (16-12) = \$100$$

$\therefore \text{MaxProfit} = \10

Node 3: Profit = \$30

Weight = 5

MaxProfit = \$40

$$\text{UB} = \$10 + \$30 + 40 + (16-12) \cdot 5 = \$100$$

Node 4: Profit = \$40

Weight = 2

$$UB = \$40 + \$10 + 30 + 5 * 4 = \$100$$

MaxProfit = \$80

Node 5: Profit = \$50 \rightarrow MaxProfit = 130
Weight = 10 But Total Weight > C
~~UB = \$50 +~~
 \therefore Not feasible.

Node 6: Profit: \$80
Weight = 12
UB = \$80

Node 10: Profit = \$10
Weight = 5
 $UB = 10 + 40 + 9 * 5 = 95$

Node 11: Profit = \$50
Weight = 2
UB = \$95

Node 12: Profit = 50
Weight = 10
 $UB = -$
Total Profit = \$100
Weight = 17 > 16
 \therefore Not feasible.

Node 16: weight = \$305

Profit = \$30

$$UB = \$30 + 40 + (16 - 7) * 5 = \$115$$

Node 17: Weight = \$40
Profit = 2
UB = \$115

Node 22: weight = 2
Profit = \$40
 $UB = \$40 + \$50 = \$98$
 $+ \frac{10}{5} (16 - 12)$

Node 23: Weight = 10
Profit = \$50

$$\text{Upper Bound} = \$50 + \$40 + \$2 * 4 = \$98$$

Total profit = \$90 (MaxProfit)

Weight = 12

\therefore optimal solution.

Node 25: weight = \$0
Profit = \$0

MaxProfit = \$50

\therefore Not able to produce output.

Node 16: weight = \$305

Profit = \$30

$$\begin{aligned} UB &= \$30 + 40 + (16 - 7) * 5 \\ &= \$115 \end{aligned}$$

Node 17: weight = \$40

Profit = 2

UB = \$115

Node 22: weight = 2

Profit = \$90

$$\begin{aligned} UB &= \$40 + \$50 = \$90 \\ &\quad + \frac{10}{5} (16 - 12) \end{aligned}$$

Node 23: weight = 10

Profit = \$50

$$\text{Upper Bound} = \$50 + \$40 + \$2 * 4 = \$98$$

Total Profit = \$90 (Max Profit)

Weight = 12

∴ Optimal Solution.

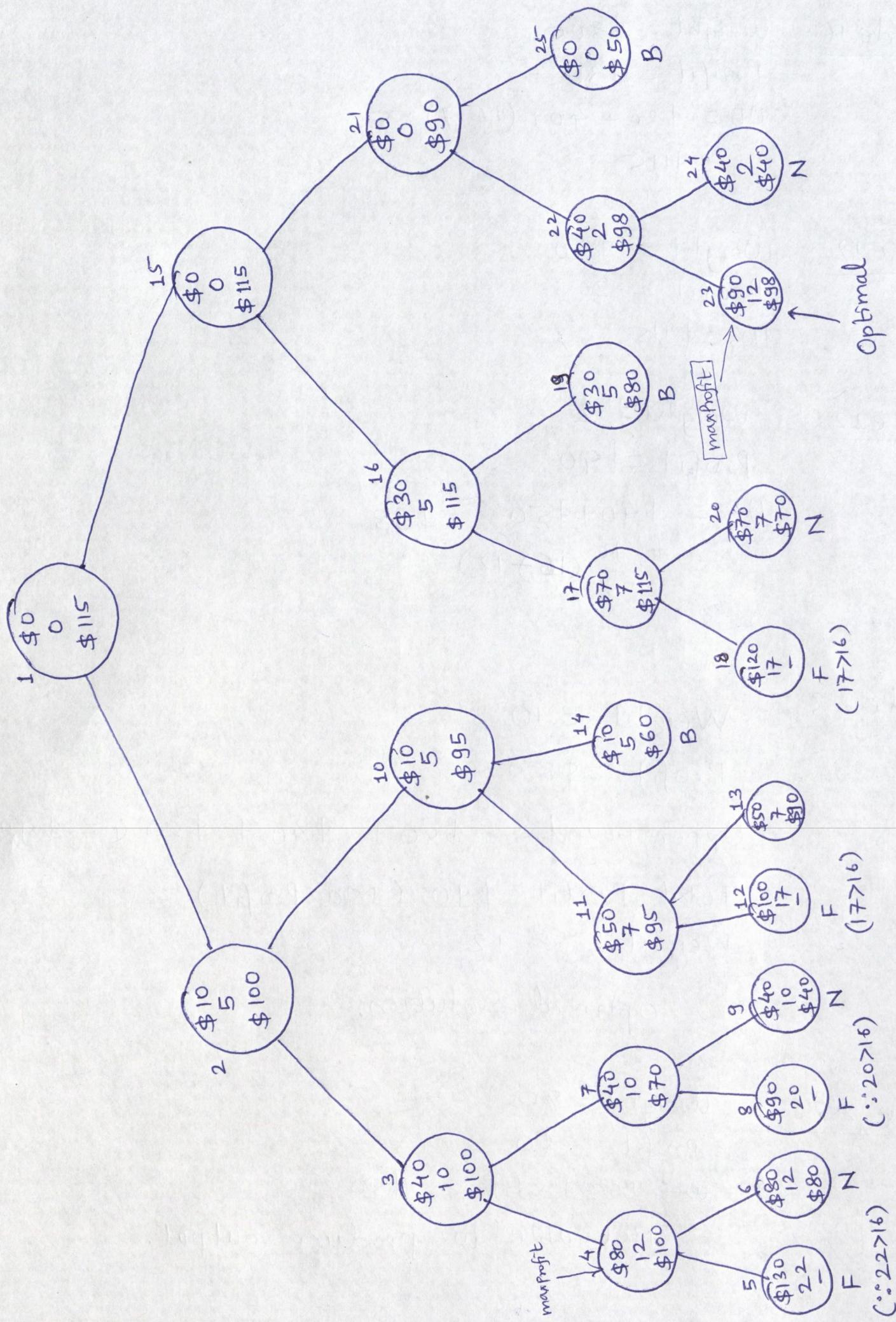
Node 25:

weight = \$0

Profit = \$0

Max Profit = \$50

∴ Not able to produce output.



4. [20%] Assume that a hash table has 17 buckets where each bucket has only one slot. A simple hash function: home bucket = key % 17 (where % is a mod function) is used to compute the home bucket based on the key. You are supposed to insert the following keys to the hash table: 6, 12, 34, 29, 28, 11, 23, 7, 0, 33, 30, 45 using the following overflow handling methods.

(1) Using linear probing method \Rightarrow

Key = 6 : $6 \% 17 = 06$ (position Available)

Key = 12 : $12 \% 17 = 12$ (position Available)

Key = 34 : $34 \% 17 = 00$ (position Available)

Key = 29 : $29 \% 17 = 12$ (Not available. \therefore Collision)

$\hookrightarrow (29 \% 17) + 1 = 13$ (Available)

Key = 28 : $28 \% 17 = 11$ (Available)

Key = 11 : $11 \% 17 = 11$ (Not available. \therefore Collision)

$\hookrightarrow (11 \% 17) + 1 = 12$ (Not available. \therefore Collision)

$\hookrightarrow (11 \% 17) + 2 = 13$ (Collision)

$\hookrightarrow (11 \% 17) + 3 = 14$ (Available)

Key = 23 : $23 \% 17 = 6$ (Not available. \therefore Collision)

$\hookrightarrow (23 \% 17) + 1 = 7$ (Available)

Key = 7 : $7 \% 17 = 07$ (Collision) $\rightarrow (7 \% 17) + 1 = 8$ (Available)

Key = 0 : $0 \% 17 = 0$ (Collision) $\Rightarrow 0 \% 17 + 1 = 1$ (Available)

Key = 33 : $33 \% 17 = 16$ (Available)

Key = 30 : $30 \% 17 = 13$ (Collision) $\rightarrow (30 \% 17 + 2) = 15$ (Available)

Key = 45 : $45 \% 17 = 28 \rightarrow 28 \% 17 = 11$ (Collision) $\rightarrow \cancel{28}$

• Hash Table \Rightarrow $\hookrightarrow 28 \% 17 + 5 = 16$ (Not available) --- Inserted at location ②.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
34	0	45	/	/	/	6	23	7	/	/	28	12	29	11	30	33

(2) Using Sorted chaining method \Rightarrow

$34 \% 17 = 0$ (first element @ 0th index)

$6 \% 17 = 6$ (first element @ bucket 6)

$12 \% 17 = 12$ (First at bucket 12)

$29 \% 17 = 12$ (2nd at bucket 12)

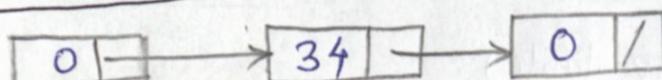
$28 \% 17 = 11$ (1st at bucket 11)

$11 \% 17 = 11$ (2nd at bucket 11)

$23 \% 17 = 6$ (2nd at bucket 6)

$7 \% 17 = 7$ (1st at bucket 7)

Sorted chain \Rightarrow



161

0 → 34 → 0 | /

1 | |

2 | |

3 | |

4 | |

5 | |

6 → 6 | | → 23 | /

7 → 7 | |

8 | |

9 | |

10 | |

11 → 28 | | → 11 | | → 45 | /

12 → 12 | | → 29 | /

13 → 30 | /

14 | |

15 | |

16 → 33 | /

$0 \% 17 = 0$
(2nd at bucket 0)

$33 \% 17 = 16$
(1st at bucket 16)

$30 \% 17 = 13$
(1st at bucket 13)

$45 \% 17 = 11$
(3rd element at bucket 11)