## Discretionary Note

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# IF YOU USE THIS FILE TO CHEAT, YOU ARE NOT ONLY STUPID BUT YOU ARE CHEATING YOURSELF OUT OF THE ABILITY TO FALL IN LOVE WITH MATH. Furthermore, I am not smarter than you and my solutions did not always get a perfect score.

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### Math 226- HW 10 Due: November 22 by Midnight

1. a) By definition,  $[T]_{\beta}$  and  $[T]_{\gamma}$  represent the same linear operator T across different bases. Thus, that means that we can establish the following relationship between  $[T]_{\beta}$  and  $[T]_{\gamma}$ , where  $Q = [I_V]_{\beta}^{\gamma}$ :

$$[T]_{\beta} = Q^{-1}[T]_{\gamma}Q$$

Applying the determinant on each side we get:

$$det([T]_{\beta}) = det(Q^{-1}[T]_{\gamma}Q)$$
$$det([T]_{\beta}) = det(Q^{-1})det([T]_{\gamma})det(Q)$$
$$det([T]_{\beta}) = det(Q^{-1})det(Q)det([T]_{\gamma})$$

Because Q and  $Q^{-1}$  are inverses,  $QQ^{-1} = I \Rightarrow det(QQ^{-1}) = det(I) \Rightarrow det(Q)det(Q^{-1}) = 1$ . Thus,

$$det([T]_{\beta}) = det([T]_{\gamma})$$

b) We reuse the relationship  $[T]_{\beta} = Q^{-1}[T]_{\gamma}Q$  from part (a). We compute  $det([T]_{\beta} - \lambda I)$  below:

$$det([T]_{\beta} - \lambda I) = det(Q^{-1}[T]_{\gamma}Q - \lambda I)$$

Note that  $I=Q^{-1}Q=Q^{-1}IQ$  and so we have that  $\lambda I=\lambda(Q^{-1}IQ)=Q^{-1}\lambda IQ$ . Thus, we have that:

$$det([T]_{\beta} - \lambda I) = det(Q^{-1}[T]_{\gamma}Q - Q^{-1}\lambda IQ) = det(Q^{-1}([T]_{\gamma} - \lambda I)Q) = det(Q^{-1})det([T]_{\gamma} - \lambda I)det(Q) = det(Q)det(Q^{-1})det([T]_{\gamma} - \lambda I) = det([T]_{\gamma} - \lambda I)$$

- c) Two matrices  $A, B \in M_{n \times n}(\mathbb{R})$  are similar if  $\exists P \in M_{n \times n}(\mathbb{R})$  s.t.  $PAP^{-1} = B$ . For example,  $[T]_{\gamma}$  and  $[T]_{\beta}$  are similar matrices as  $\exists Q$  (defined in part (a)) s.t.  $[T]_{\beta} = Q^{-1}[T]_{\gamma}Q$ . We can generalize the proof in (b) from two matrices representing the same transformation in different bases to two similar matrices. Thus, we have that for two similar matrices A and B,  $det(A \lambda I) = det(B \lambda I) \Rightarrow$  two similar matrices A and B have the same characteristic polynomial.
- d) We first define g(t) as an nth degree polynomial given by  $g(t) = \sum_{i=0}^{n} a_i t^n$ , where coefficient  $a_i \in \mathbb{R}$ . This question asks us to prove that if x is an eigenvector for T with corresponding eigenvalue  $\lambda$  (i.e.  $Tx = \lambda x$ ), then x is an eigenvector for g(T) with corresponding eigenvalue  $g(\lambda)$  (i.e.  $g(T)x = g(\lambda)x$ ). To prove that  $g(T)x = g(\lambda)x$ , we compute LHS and RHS and show that they are equal. We first compute the LHS:

$$g(T)x = (\sum_{i=0}^{n} a_i T^i)x = \sum_{i=0}^{n} a_i T^i(x)$$

To understand the value of  $T^i(x)$  we show an example with i=2:  $T^2(x)=T(T(x))=T(\lambda x)=\lambda T(x)=\lambda^2 x$ . Thus, we can see that  $T^i(x)$  represents applying T i times, sequentially, on x and so  $T^i(x)=\lambda^i x$ . Thus, we can simplify the LHS as:

$$g(T)x = \sum_{i=0}^{n} a_i T^i(x) = \sum_{i=0}^{n} a_i \lambda^i x = (\sum_{i=0}^{n} a_i \lambda^i) x$$

We now compute the RHS:

$$g(\lambda)x = (\sum_{i=0}^{n} a_i \lambda^i)x$$

Thus, we can clearly see that the LHS = RHS and so we have proven that if  $Tx = \lambda x$ , then  $g(T)x = g(\lambda)x$ .

2. a) We first define  $A \in M_{n \times n}(\mathbb{R})$ . We first compute the eigenvalues of A by solving  $det(A - \lambda I) = p(\lambda) = 0$ . Because A is an upper (lower) triangular matrix,  $A - \lambda I$  is also an upper (lower) triangular matrix and so its determinant is given by the product across the main diagonal. This means that the characteristic polynomial of A is given by:

$$p(\lambda) = det(A - \lambda I) = \prod_{i=1}^{n} (A_{ii} - \lambda)$$

and so the eigenvalues of A (i.e. the solutions to  $p(\lambda) = 0$ ) are given by the values on the diagonal of A. In other words,  $\lambda_j$ , the jth eigenvalue of A, is given by  $A_{jj}$ . Thus, we compute tr(A) as:

$$tr(A) = \sum_{j=0}^{n} A_{jj} = \sum_{j=0}^{N} \lambda_j$$

Because the *i*th distinct eigenvalue  $\lambda_i$  has a multiplicity of  $m_i$ ,  $\lambda_i$  is present  $m_i$  times across the diagonal of  $A \Rightarrow tr(A) = \sum_{i=0}^k m_i \lambda_i$ .

b) Because A is an upper (lower) triangular matrix, det(A) is given as the product of A along its main diagonal. Thus, we can compute det(A) as:

$$det(A) = \prod_{j=1}^{n} a_{jj} = \prod_{j=1}^{n} \lambda_{j}$$

Because the *i*th distinct eigenvalue  $\lambda_i$  has a multiplicity of  $m_i$ ,  $\lambda_i$  is present  $m_i$  times across the diagonal of  $A \Rightarrow det(A) = \prod_{i=1}^{k} (\lambda_i)^{m_i}$ .

- 3. a) Please see end of this PDF for solution to 3(a).
  - b) Please see end of this PDF for solution to 3(b).
  - c) Please see end of this PDF for solution to 3(c).
  - d) We compute  $A^k$  below:

$$A^{k} = Q^{-1} \underbrace{D, \dots, D}_{\text{k times}} Q$$

$$A^{k} = Q^{-1} D^{k} Q$$

$$A^{k} = Q^{-1} D^{k} Q$$

$$A^{k} = Q^{-1} \begin{bmatrix} \lambda_{1}^{k} & 0 & 0 \\ 0 & \lambda_{2}^{k} & 0 \\ 0 & 0 & \lambda_{3}^{k} \end{bmatrix} Q$$

where eigenvalues  $\lambda_1 = 1$ ,  $\lambda_2 = 2$ , and  $\lambda_3 = 2$  as computed in part(a).

e) We compute  $e^A$  below.

$$e^{A} = \sum_{n=0}^{\infty} \frac{A^{n}}{n!} = \sum_{n=0}^{\infty} \frac{Q^{-1}D^{n}Q}{n!} = Q^{-1}(\sum_{n=0}^{\infty} \frac{D^{n}}{n!})Q =$$

$$Q^{-1}(\sum_{n=0}^{\infty} \frac{1}{n!} \begin{bmatrix} \lambda_{1}^{n} & 0 & 0 \\ 0 & \lambda_{2}^{n} & 0 \\ 0 & 0 & \lambda_{3}^{n} \end{bmatrix})Q = Q^{-1}(\sum_{n=0}^{\infty} \begin{bmatrix} \frac{1}{n!} & 0 & 0 \\ 0 & \frac{2^{n}}{n!} & 0 \\ 0 & 0 & \frac{2^{n}}{n!} \end{bmatrix})Q = Q^{-1}\begin{bmatrix} e & 0 & 0 \\ 0 & e^{2} & 0 \\ 0 & 0 & e^{2} \end{bmatrix}Q$$

4. a) Let us define the characteristic polynomial of A as  $det(A - \lambda I) = p(\lambda)$ . We define this characteristic polynomial  $p(\lambda) = (\lambda - \lambda_1)^{m_1} \dots (\lambda - \lambda_k)^{m_k}$ , where k is the number of distinct eigenvalues of A and  $m_i$  is the multiplicity of the ith distinct eigenvalue. Thus, we can show that:

$$det(A) = det(A - 0I) = p(0) = (\lambda_1 - 0)^{m_1} \dots (\lambda_k - 0)^{m_k} = \prod_{i=1}^{\kappa} \lambda_i^{m_i}$$

- b) By the Fundamental Theorem of Algebra, if A is defined in  $\mathbb{C}$ , then the characteristic polynomial  $p(\lambda)$  of A with complex-valued coefficients will split into a product of linear factors, where each linear factor will have the form  $(\lambda_i \lambda)$ . Thus, our proof in part (a) applies to show that  $det(A) = \prod_{i=1}^k \lambda_i^{m_i}$ , even if A is defined in  $\mathbb{C}$ .
- c) Let us define  $\lambda$  as any given eigenvalue of A corresponding to eigenvector v. Thus, we can show:

$$A^{3} = A + I_{n}$$

$$A^{3}v = (A + I_{n})v = Av + I_{n}v$$

$$\lambda^{3}v - \lambda v - v = 0$$

$$(\lambda^{3} - \lambda - 1)v = 0$$

Note that because v is an eigenvector,  $v \neq 0$ . Thus we have that any eigenvalue  $\lambda$  of A must satisfy:

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From part (a) and (b), we know that  $det(A) = \prod_{i=1}^{k} (\lambda_i)^{m_i}$ . We show below that for the following cases that cover the entirety of the possible values of  $\lambda$ :

- (1) eigenvalue  $\lambda$  is complex-valued
- (2) eigenvalue  $\lambda$  is real-valued and positive
- (3) eigenvalue  $\lambda$  is equal to zero
- (4) eigenvalue  $\lambda$  is real-valued and negative

the only possible cases are (1) and (2) and so we are guaranteed that  $det(A) = \prod_{i=1}^{k} \lambda_{i}^{m_{i}} > 0$ .

### Case 1 Eigenvalue $\lambda$ is complex-valued

By the Conjugate Zeroes Theorem, complex roots (e.g. eigenvalues) of polynomials with real coefficients (i.e.  $\lambda^3 - \lambda - 1$ ) always come in pairs. Let us define two unique complex eigenvalue roots as Z and  $\bar{Z}$  with multiplicities of  $m_Z$  and  $m_{\bar{Z}}$  respectively. Because complex eigenvalue roots always come in pairs,  $m_Z = m_{\bar{Z}}$ . Thus, in the computation of  $det(A) = \prod_i^k \lambda_i^{m_i}$ , we will have  $Z^{m_Z} \bar{Z}^{m_{\bar{Z}}} = (Z\bar{Z})^{2m_Z}$ . Because the product of two complex conjugates is always a positive real number,  $(Z\bar{Z})^{2m_Z}$  is equal to a positive number raised to  $2m_Z$ th power and thus this resulting quantity is positive. This means that we have shown that if a given eigenvalue is complex-valued, it is guaranteed to have a positive contribution to det(A).

Case 2 Eigenvalue  $\lambda$  is real-valued and positive

If this given eigenvalue is positive and real-valued, its contribution to the product of eigenvalues (i.e. det(A)) is positive.

Case 3 Eigenvalue  $\lambda$  is equal to zero

This is an impossible case.  $\lambda = 0$  is not a solution to  $\lambda^3 - \lambda - 1 = 0$  as  $0^3 - 0 - 1 = -1 \neq 0$ .

Case 4 Eigenvalue  $\lambda$  is real-valued and negative

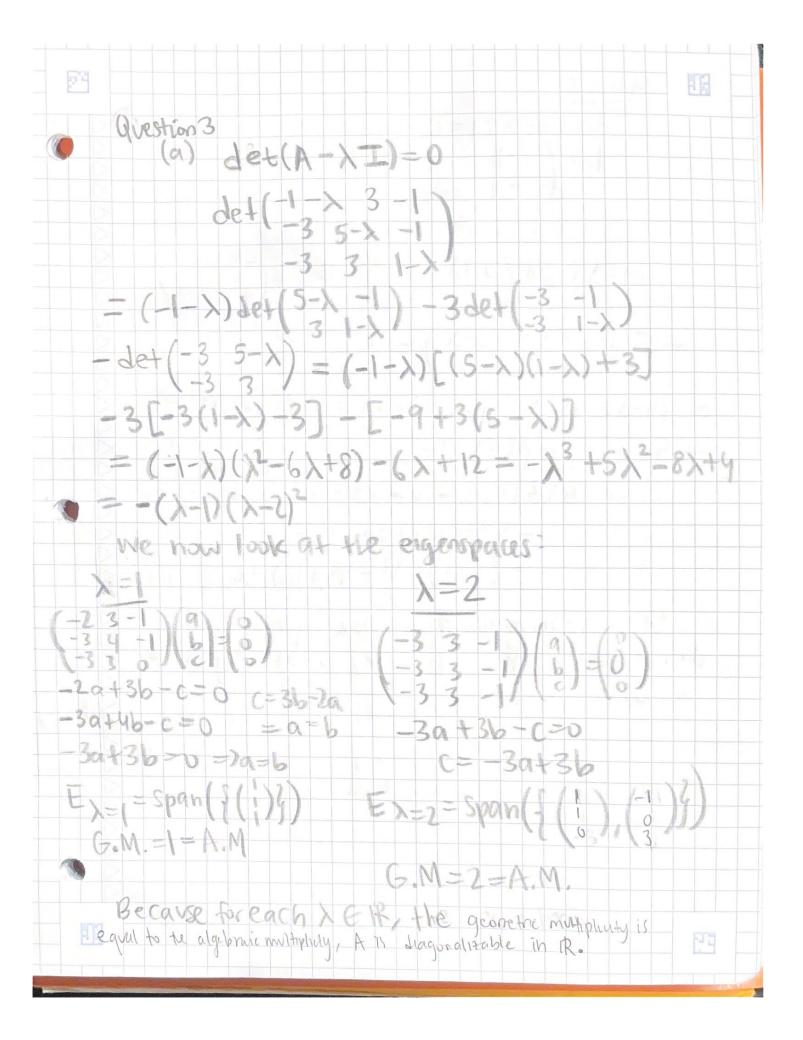
We consider three subcases that cover all the possible values of  $\lambda$  for this case: (a)  $-1 < \lambda < 0$ ; (b)  $\lambda = -1$ ; (c)  $\lambda < -1$ .

For subcase (a),  $\lambda^3 - \lambda - 1 = 0$  can be expressed as  $\lambda^3 - c = 0$  where c > 0. This gives us that  $\lambda^3 = c$ , however this is not possible as the cube of a negative number cannot be positive. Thus  $\lambda$  cannot be from (-1,0].

For subcase (b), the equation  $\lambda^3 - \lambda - 1 = 0$  simplifies to  $(-1)^3 - (-1) - 1 = -1 \neq 0$ . Thus,  $\lambda$  cannot be equal to -1.

For subcase (c), the equation  $\lambda^3 - \lambda - 1 = 0$  can be expressed as  $\lambda^3 + c = 0$ , where  $c = -\lambda - 1 > 0$ . This means that  $\lambda^3 = -c$ . Note that there is no solution to this equation because  $\lambda^3$  grows in magnitude at a much faster rate than c, which is linear to  $\lambda$ . Thus,  $\lambda$  cannot be from  $(-\infty, 1)$ .

Thus, we have shown that any eigenvalue  $\lambda$  can only be complex-valued or real-valued & positive. Because we have shown in both of these cases that the contribution to det(A) is positive, we can guarantee that det(A) is overall positive as well.



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