

KNN Algorithms for approximating a discrete valued function.

### Training Algorithm

- \* for each training example  $\langle x, f(x) \rangle$ , add the example to the list t-example

### Classification Algorithm

- \* Given a query instance  $x_q$  to be classified
  - Let  $x_1, \dots, x_K$  denote K instances from t-example that are nearest to  $x_q$

Return

$$\hat{f}(x_q) = \underset{v \in V}{\operatorname{argmax}} \sum_{j=1}^K \delta(v, f(x_j))$$

where  $\delta(a, b) = 1$  if  $a = b$  and where  $\delta(a, b) = 0$  otherwise.

discrete

### KNN for Continuous Valued target function

$$f: \mathbb{R} \rightarrow \mathbb{R}$$

$$\hat{f}(x_q) = \frac{\sum_{i=1}^K f(x_i)}{K}$$

$$\hat{f}(x_q) = \frac{\sum_{i=1}^K w_i f(x_i)}{\sum_{i=1}^K w_i}$$

Distance weighted NN algorithm.

↳ giving greater weight to closer neighbors.

discrete

$$\hat{f}(x_q) = \underset{v \in V}{\operatorname{argmax}} \sum_{i=1}^K w_i \delta(v, f(x_i))$$

$$w_i = \frac{1}{d(x_q, x_i)^2}$$

Real Value

$$\hat{f}(x_q) = \frac{\sum_{i=1}^K w_i f(x_i)}{\sum_{i=1}^K w_i}$$

~~for~~ =



: Technique for efficiently indexing  
training examples is required.

\* It considers all attributes of the instances when attempting to retrieve similar training examples from memory.

↳ if the target concept depends on only a few of the many available attributes then the instances that are truly most "similar" may well be a large distance apart.

### Nearest Neighbor Learning

The nearest neighbors of an instance are defined in terms of the standard Euclidean distance.

Let an instance  $x$  be described by the feature vector,

$$\langle a_1(x), a_2(x); \dots; a_n(x) \rangle$$

$a_r(x)$  → denotes the value of the  $r$ th attribute of the instance  $x$ .

Then the distance between two instances  $x_i$  and  $x_j$  is defined as  $d(x_i, x_j)$  where

nearest neighbor

methods

locally weighted  
regression

Learning in those algorithms

↳ Storing the presented training data

↳ when a new query instance is encountered a set of similar related instances is retrieved from memory & used to classify the new query instance.

Difference between these approach & other methods.

Instance based approach

other methods

↳ It can construct a different approximation to the target function for each distinct query instance that must be classified.

↳ It constructs only a local approximation to the target function.  
↳ never construct an approximation designed to perform well over the entire instance space.

Disadvantage:

↳ cost of classifying new instance can be high  
↳ due to the fact that nearly all computation takes place at classification time rather than when the training examples are first encountered.

## Locally weighted Linear Reg.

assume

$$\hat{f}(x) = w_0 + w_1 a_1(x) + \dots + w_n a_n(x)$$

$a_i(x) \Rightarrow i^{\text{th}}$  attri of instance  $x$ .

To minimize the error (Gradient descent)

$$E = \frac{1}{2} \sum_{x \in D} (f(x) - \hat{f}(x))^2$$

GD Training Rule

$$\Delta w_{ij} = \eta \sum_{x \in D} (f(x) - \hat{f}(x)) \underbrace{a_j(x)}_{\hookrightarrow x_j}$$

learning rate

Modify the above to local approx

3 criteria used

$$\textcircled{1} \quad E_i(x_0) = \frac{1}{2} \sum_{x \in k \text{ nearest}} (f(x) - \hat{f}(x))^2$$

efficient methods to fit linear function

## Lazy and Eager learning

Lazy: wait for query before generalizing

k-nearest neighbor, case based reasoning

Eager: Generalize before solving query

Radial basis function NNS, ID3, Backprob etc

Eager ~~learner~~ learner  $\Rightarrow$  create global approx

Lazy learner  $\Rightarrow$  create local approx.

the same ~~H~~ lazy  $\Rightarrow$  represent more complex function.

1) Data is collected from people to know the quality of a special tissue as good or bad

ID	Acid Durab $x_i$	Strength $x_2$	Class
1	7	7	Bad
2	7	4	Bad
3	3	4	Good
4	1	4	Good

Find the quality of a new paper tissue with

$x_1 = 3$  and  $x_2 = 7$  using KNN with ( $k=1$ ),  $K=3$

$$d(3, 7)$$

$$\text{distance computation} = \sqrt{\sum_{i=1}^2 a_r(x_i) - a_r(x_j)^2}$$

$x_i, x_j$  are instances

i & j respectively

$$d(x_1, x_5) = \sqrt{(3-7)^2 + (7-7)^2} = 4$$

$$d(x$$

$$(x_3, x_4)$$

$$\begin{matrix} 164, 165, 174 \\ 184, 202 \\ 134, 136 \end{matrix}$$

new rule: multiply distance penalty  
only k nearest training example

works

- 1) Cost of more complex fun.  $\Rightarrow$  high
- 2) simple appr. target fun  $\Rightarrow$  quite well over a small subregion of instance space

al Basis Function *(Type of NN)* *global approximation to local appr.*  
*convert to local appr.* *convert to classification*

\* related to distance weighted

regression.

\* ANN is learn Radial basic function.

hypothesis function of the form  
learning

$$f(x) = w_0 + \sum_{u=1}^k w_u K_u d(x_u, x))$$

each  $x_u$  is an instance from  $X$   
 $K_u(d(x_u, x)) \Rightarrow$  decrease  $\Rightarrow$  distance increase

$$g(x) = \frac{1}{\sqrt{2\pi}} e^{-\frac{(x-\mu)^2}{2}}$$

global appro is localized to a region near by pt  $x_u$   
using  $K_{u,d}(x_u, x)$

$$K_{u,d}(x_u, x) = e^{-\frac{1}{2d^2} d^2(x_u, x)}$$

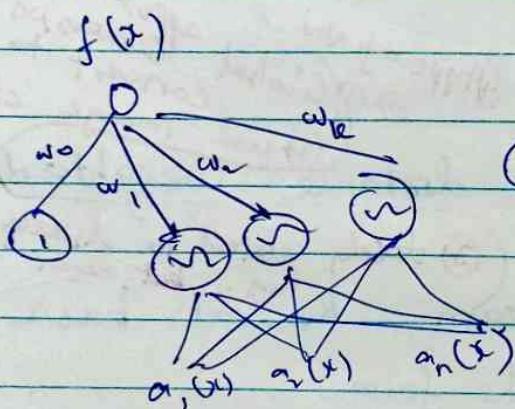
gaussian function

$\checkmark$

### ANN Radial base Function N/w

① 2 layer N/w's

- ① First layer computes  $K_{u,d}(x_u, x)$
- ② Second layer <sup>computes</sup> linear combination of first layer



### Radial base function

①

$K_{u,d}$  hidden units are defined by  $w_u$  and each  $\circ$  unit is choosing values of  $f_u(x)$  to define  $K_{u,d}(x_u, x)$

- ② weight  $w_u$  are trained to maxi the fit of N/w to the training data.

## difference

- ① lazy method  $\Rightarrow$  query instance  $x_q$  possible to generalize beyond 'the training data'
- ② eager  $\Rightarrow$  cannot, during query global approx generalization is already there

~~same~~ Lazy and eager same hyp space  $H$

eager  $\rightarrow$  only one hyp space (linear function)

*single global approx.*  $\rightarrow$  single linear fun cov entire instance space

lazy  $\downarrow$  uses richer hypothesis

use many different local linear funct.

to form its implicit global approx.  
to target function

*{ target function  
"combination of  
many local approx."*

$$d_4 = \sqrt{(6-5)^2 + (8-5)^2} = \sqrt{1+9} = \sqrt{10} = 3.16$$

$$d_5 = \sqrt{(6-8)^2 + (8-8)^2} = \sqrt{4+0} = \sqrt{4} = 2 \quad (3)$$

$\Rightarrow$  neighbours  $k=3$

$d_2, d_3, d_5$

(P) (P) (P)  $\Rightarrow$  O/P is Pass

### Locally weighted Regression

Statistical tool used to understand and quantify the relation b/w 2 (or) more variables.

### Linear Regression

$$y = \beta_0 + \beta_1 x + \epsilon$$

best suited  
for linearly  
like data

y-dependent Vari  
 $x = \text{indep. Var}$

$\beta_0$  - constant / intercept

$\beta_1$  -  $x$ -slope / coeff

$\epsilon$  - error

## Curse of dimensionality.

Distance b/w neighbors will be dominated by the large No of irrelevant attributes present, ~~This~~ many irrelevant attributes are ~~some~~ curse of dimensionality.

- 3) weight to each attri differently. distance b/w two instances
  - stretching the axis in Euclidean space
  - done automatically by cross validation approach
    - shortening the axis when relevant attri
    - values  $z_1, \dots, z_n$  choose min true class err.

## Algorithm

- ① To select random subset of available data.
- ② determine Values  $z_1, \dots, z_n$  that lead to min error in classifying the remaining examples.
- ③ Repeat this ~~reduce error~~ estimate weight factors.

Regression: approximating a real value target fun.

Residual: error  $f(x) - \hat{f}(x)$ .

Kernal fun.: function of distance that is used to determine the weight of each training example

$$w_i = K(d(x_i, x_g))$$

### Global method

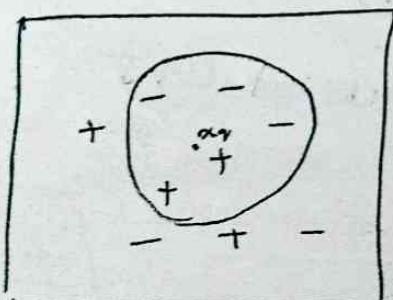
If all training examples are considered when classifying a new query instance then it is called as global method.

### Local method

If only the nearest training examples are considered it is called as local method.

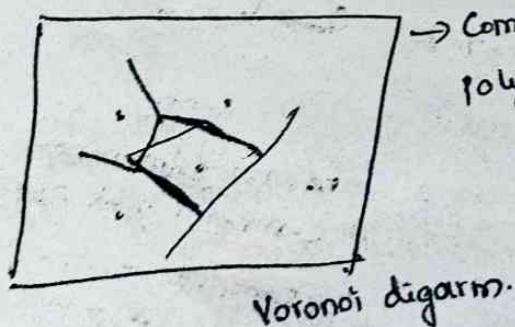
### Shapard method

$$\hat{f}(x_q) = \frac{\sum_{i=1}^n w_i h(x_i)}{\sum_{i=1}^n w_i}$$



$$K=1 \quad x_q = +$$

$$K=5 \quad x_q = -$$



→ Combination of convex polygon

Approx target fun  $f(x)$  at single query point  $x = x_q$

- \* construct  $f$  over a local region around  $x_q$
- \* locally weighted region uses nearby by distance weighted training examples to form local approx to  $f$
- \* find approx target function may use linear or quadratic form

locally weighted linear Reg

local  $\Rightarrow$  function is approx. based on data near ~~other~~ query Point

weighted  $\Rightarrow$  each training example is weighted by its distance from query point

Regression  $\Rightarrow$  problem of approx real value fun

$x_q$  - new query instance

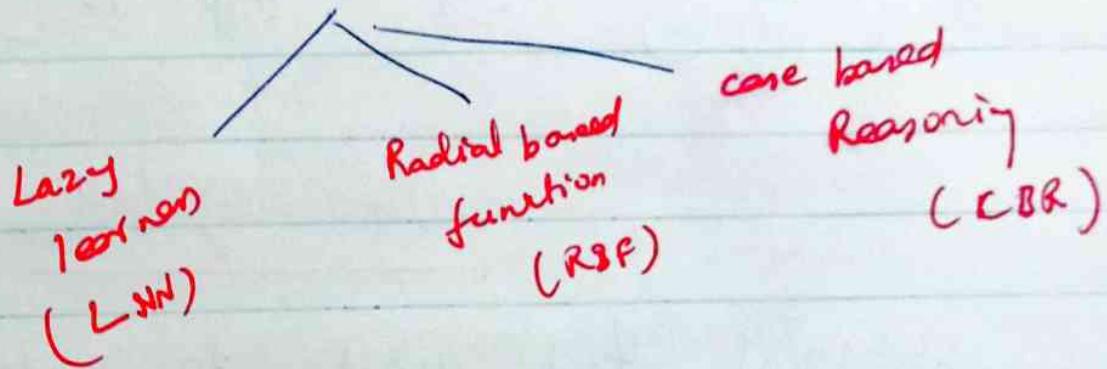
$\Rightarrow \hat{f}$  - fits training example in neighbourhood surrounding  $x_q$

approx.  $\cdot \cdot \cdot \hat{f}(x_q)$

- \* memories and then apply
  - \* Instead of performing explicit generalization it compares new problem with instances in training which are stored in memory

example: spam mails      memory based learning / lazy learning  
 ↓ spam filters.

### Instance based learning



data query =  $x$  (maths=6, CS=8) &  $k=3$

Maths	CS	Result
4	3	F
6	7	P
7	8	P
5	5	F
8	8	P
6	8	?

Euclidean distance ( $d$ )

$$d = \sqrt{(x_0 - x_1)^2 + (y_0 - y_1)^2}$$

$o$  - observed Value

$a$  = actual Value

## Case Based Reasoning

- \* It is lazy learning (query comes  $\Rightarrow$  Then do)
- \* Instances are rich symbolic Representation
- \* It is applied to complex conceptual problems.  
design of mech. devices (or legal reasoning)

### Application of CBR

Design : landscape, building, mech. Conceptual design  
of aircraft subsys

planning : repair schedule

Diagnosis : medical

Adversarial : legal

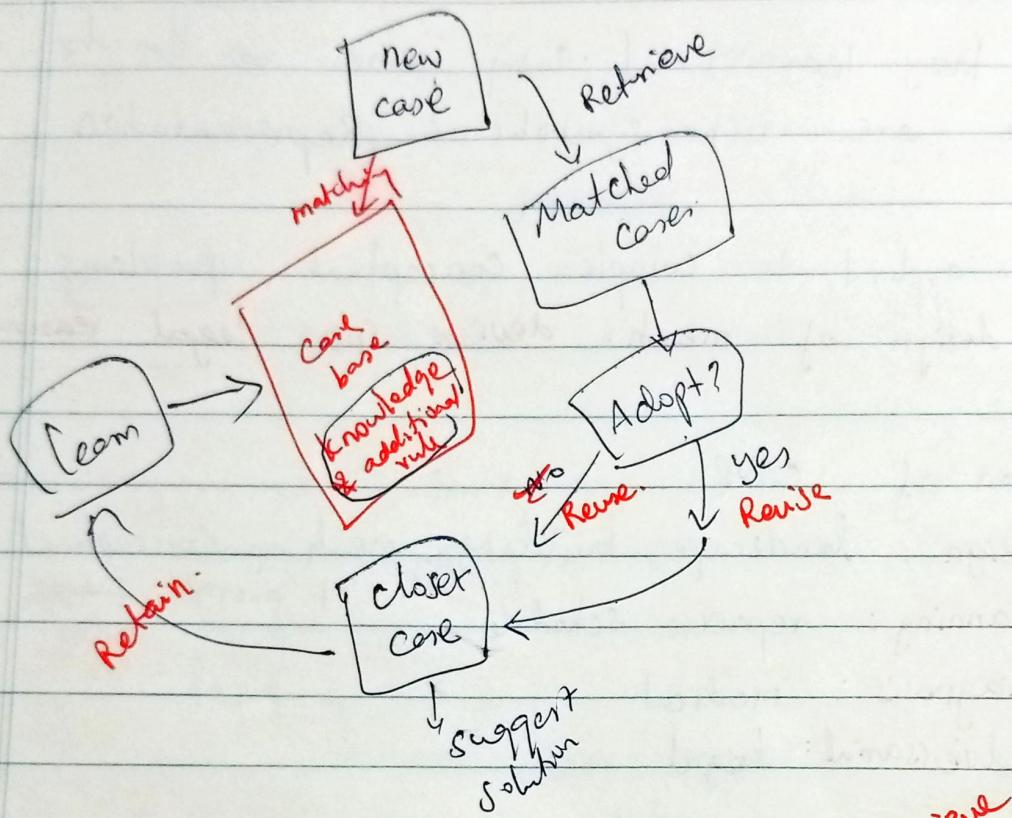
### CBR Methodology

- Instance Represented by rich symb descriptions
- Search for similar cases, multiple retrieved cases may be combined
- tightly coupled b/w case retrieval, knowledge based reasoning and problem solving

### challenges

- find good similarity metric
- Indexing based on syntactic similarity measure  
when failure, do backtracking and adopting +  
additional cases

## CBR Process



**Retrieve** - Given new case ~~revise~~ similar cases from the case base

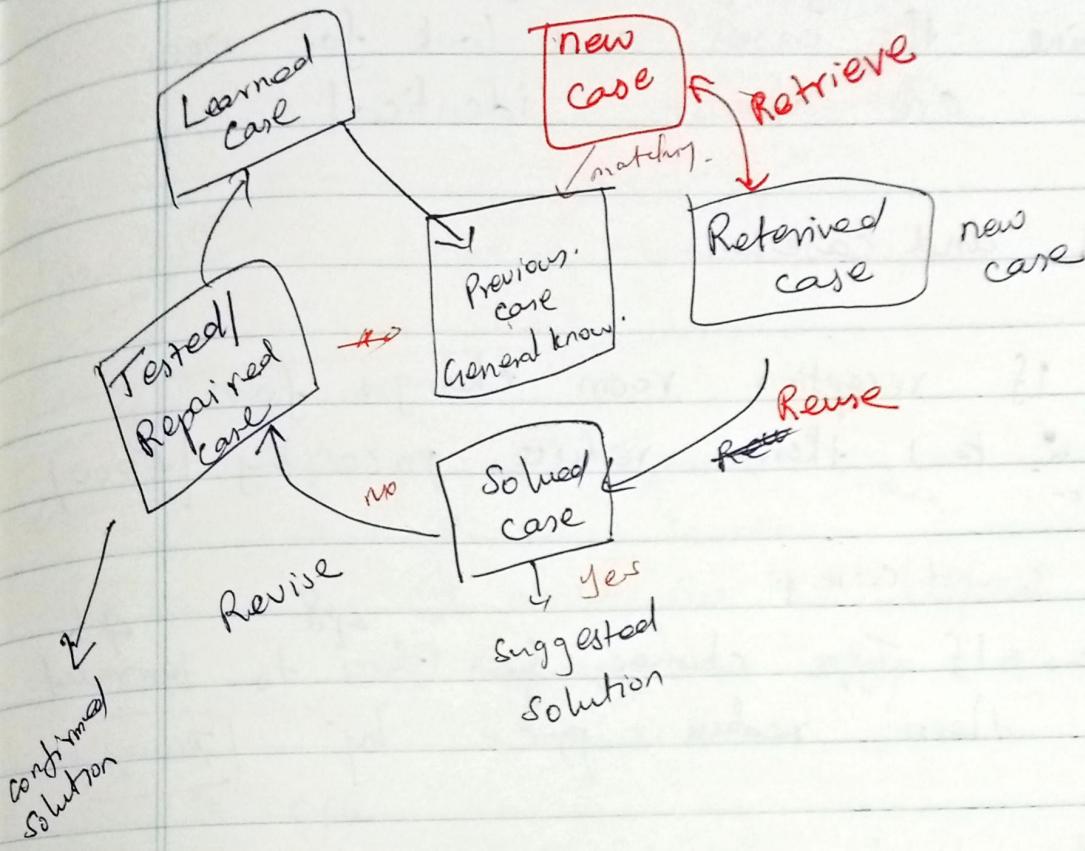
**Reuse** - → adopt retrieved cases to ~~fit~~ fit new case

**Revise** → Evaluate solution and revise it

**Retain** → based on how well it works

decide whether to retain this new case in the case base

## Problem



CBR example : property pricing

case	Location code	Bedroom	Recap room	Type	floors	Cond	price
1	8	2	1	terraced	1	poor	20500
2	8	2	2	terraced	1	fair	25000
3	5	1	2	semi	2	good	48000
4	5	1	2	terraced	2	good	41000

Test instance

5 7

2	2	semi	1	poor	???
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## How rules are generated

- No unique way of doing it
- Examine the cases and look for ones that are almost identical.

### case 1 and case 2

R<sub>1</sub>: If reception room changes from 2 to 1 then reduce price by 5000/-  
<sub>2500</sub>      <sup>as per</sup>

### case 3 and case 4

R<sub>2</sub>: If Type changes from semi to terraced then reduce price by 7000/-  
<sup>488</sup>      <sub>4190</sub>

### Adopting

#### Reverse rule 2

- If type changes from terraced to semi increase price by 7000      41      48

- Apply Reversed Rule 2

Testing

7	2	2	Semi	1	per sq ft
8	2	2	terr	1	per sq ft <span style="border: 1px solid black; padding: 2px;">25000/-</span>

25,000/- +

Change  
on  
Terraced to  
semi.

increased  
price by 7000.

new instance

$$\Rightarrow 25000 + 7000 = 32,000/-$$

### n 3 properties of instance based learners

- ① lazy learners
- ② classification is different for each inst
- ③ Instance are Rep  $\rightarrow$  with n dimensions  
euclidean space

In CBR

everything is considered as case

based on prev case we propose a soln

- Instance are represented as symbols (not values)

CBR has 3 components

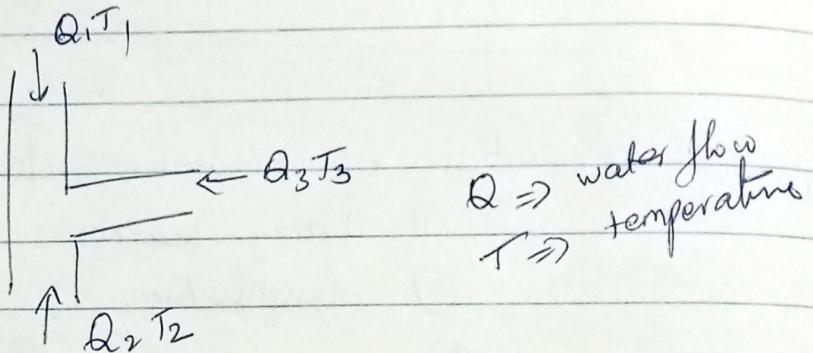
- ① Similarity fun. ② distance measure
- ② Approx / Adj of instance
- ③ symbolic repr of instances

for modelling CBR we use CADET System  
(case base design tool)

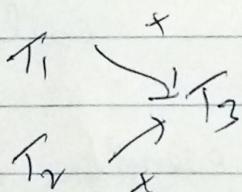
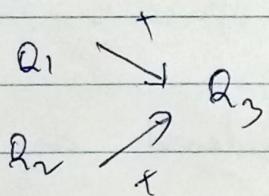
has 75 predefined examples

Example : modern water taps

T-Junction Pipe

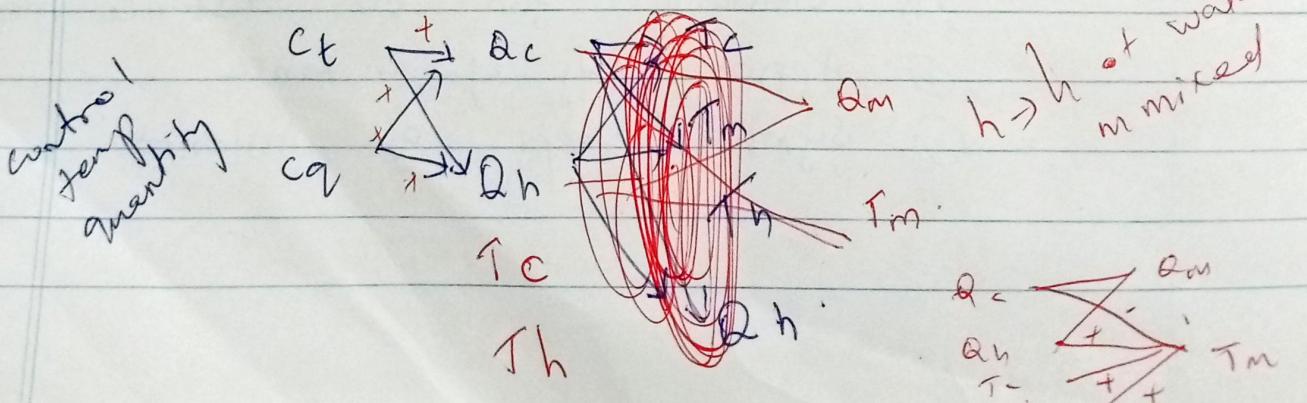


functions



another tab: control temp and water flow

- help of above, modify something



## Lazy learning

① Store training data and waits to get a test tuple

② less training time, more prediction time

③ KNN, case based learning

## Eager learning

① constr general model during training

② more training time, less prediction time

③ Decisiontree, Naive Bayes, ANN

## Genetic Algorithm

① belongs to evolutionary Alg

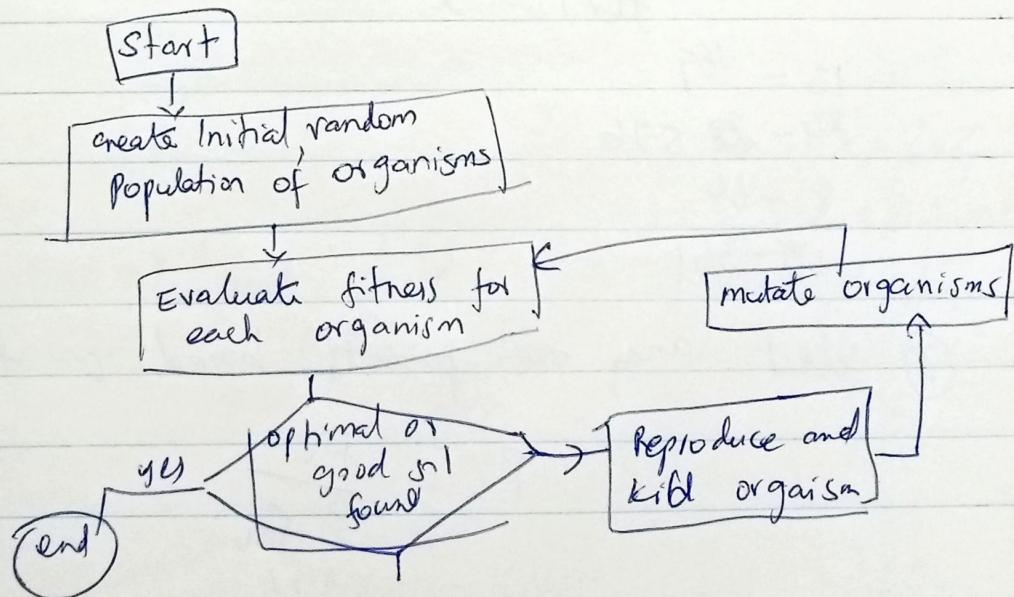
② adaptive heuristic search Algorithm

less attributes  
more complex

shortcuts

③ based on genetic and natural selection

④ used to generate high quality solution for an optimization problem



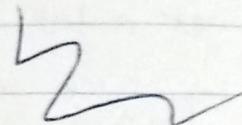
## operations of Genetic algorithm

① selection

② crossover

③ mutation

④ Encoding



Example for genetic algorithm

$f(x) = x^2$  maximize this fun with  $x$   
in intervals  $[0; 31]$

① Generate initial population at random (called gene)

~~∅~~  $\otimes N=4$       0101(13)

11000(24)

01000(8)

10011(19)

② calculate fitness

$$f(x) = x^2$$

$$13 - 169$$

$$24 - 576$$

$$8 - 64$$

$$19 - 361$$

③ select any 2 parents based on fitness

$$P_i = \frac{f_i}{\sum_{j=1}^n f_j}$$

No	initial population	Value	$F(x) = x^2$	$\frac{f(x)}{\sum f(j)}$	Count	expected $N \times p$
1	01101	13	169	$\frac{169}{1170} = 0.14$	0.56	
2	11000	24	24576	0.49	1.91	
3	01000	8	64	0.06	0.22 Remove	
4	10011	19	361	0.31	1.23 add in from low to high in population	

4. Cross over ↗

can be either one point / 2 point / n point

$$\begin{array}{l|l} 100 & 11101 \\ \diagdown & \diagup \\ 101 & 10011 \end{array} \Rightarrow 1011101$$

No	initial	cross over point	After crossover	$\times$	$f(x) = x^2$
1	01101	4	01100	12	144
2	11000	4	11001	25	625
3	11000	2	11011	27	729
4	10011	2	10000	16	256
					1759

⑤ mutation applied at each child after cross over (except first two large)

No	after cross over	After mutation	$\times$	$f(x) = x^2$
1	01100	11100	4	616
2	✓ 11001	11001	25	625
3	✓ 11011	11011	27	729
4	10000	10100	18	324
				2354

## K-medoids

To overcome the drawback of k-means algorithm

- K means algorithm is sensitive to outliers because such objects are far away from the majority of data, and thus when assigned to a cluster, they can dramatically distort the mean value of cluster.

### Algorithm K medoids

- Arbitrarily choose  $k$  objects in  $D$  as initial Rep objects or seeds
- Repeat
  - ① Assign each remaining obj to the cluster with the nearest Rep obj
  - ② Randomly select nonrepresentative obj
  - ③ Compute total cost  $S$  of swapping representative obj  $O_j$  with  $O_{random}$ .  
until No change

Problem:

cluster the following points into 2 cluster  
 $(2,0) (4,0) (7,0) (12,0), (14,0) (20,0)$

Randomly choose 2 data points  $(2,0)$  &  $(20,0)$  as the representative objects.

Step1	$(2,0)$	$(20, 0)$	cluster
$(2,0)$	0	18	$C_1$
$(4,0)$	2	16	$C_1$
$(7,0)$	5	13	$C_1$
$(12,0)$	10	8	$C_2$
$(14,0)$	12	6	$C_2$
$(20,0)$	18	0	$C_2$

$$\text{Distance b/w } (2,0) \& (2,0) = \sqrt{(2-2)^2 + (0-0)^2} = 0$$

$$\text{Distance b/w } (2,0) \& (20,0) = \sqrt{(20-2)^2 + (0-0)^2} = \sqrt{18^2} = 18$$

$$\text{cost}_1 = (0+2+5) + (8+6+0) = 21$$

Step2: choose a non rep obj  $(14, 0)$  from 2<sup>nd</sup> clu

	$(2,0)$	$(14,0)$	cluster
$(2,0)$	0	12	$C_1$
$(4,0)$	2	10	$C_2$
$(7,0)$	5	7	$C_1$
$(12,0)$	10	2	$C_2$
$(14,0)$	12	0	$C_2$
$(20,0)$	18	6	$C_2$

$$\text{cost}_2 (0+2+5) + 2 \times 0+6 = 15$$

$\text{cost}_2 < \text{cost}_1$  then swap  $(20, 0)$  with  $(12, 0)$  to form new set of representative objects

Step 3

choose non Representative obj  $(12, 0)$  from 2<sup>nd</sup> cluster

		2, 0	<del>12, 0</del>	cluster
20,	0	0	10	C <sub>1</sub>
4, 0		2	8	C <sub>1</sub>
7, 0		5	5	C <sub>1</sub>
12, 0		10	0	C <sub>2</sub>
14, 0		12	2	C <sub>2</sub>
20, 0		12	8	C <sub>2</sub>

Un swap.

$$\text{cost}_3 = 0+2+5 + 0+2+8 = 7+10 = 17$$

$\text{cost}_3 > \text{cost}_2$  so. do not swap  $(4, 0)$  with  $(12, 0)$

step 4: Suppose select  $(4, 0)$  as non representative  
obj

	$(4, 0)$	$(14, 0)$	cluster
$2, 0$	2	12	$C_1$
$4, 0$	0	10	$C_1$
$7, 0$	3	7	$C_1$
$12, 0$	8	2	$C_2$
$14, 0$	10	0	$C_2$
$20, 0$	6	6	$C_2$

$$\text{cost if } 2+0+3 + 2+0+6 = 13$$

cost 3 - unswap so we cost 2

cost 4 < cost 2

$\therefore$  swap  $(2, 0) \leftrightarrow (4, 0)$

	$(4, 0)$	$(14, 0)$	cluster
$(2, 0)$	5	12	$C_1$
$(4, 0)$	3	10	$C_1$
$(7, 0)$	0	7	$C_1$
$(12, 0)$	5	2	$C_2$
$(14, 0)$	7	0	$C_2$
$(20, 0)$	13	6	$C_2$

$$\text{cost} = (5+3+0) + 2+0+6 = 16$$

~~8~~ cost 5   ~~7~~ cost 4   So no swap.

Final medoids are  $(4,0)$  &  $(14,0)$

Clustering

Grouping into different groups

↓  
similar data points.

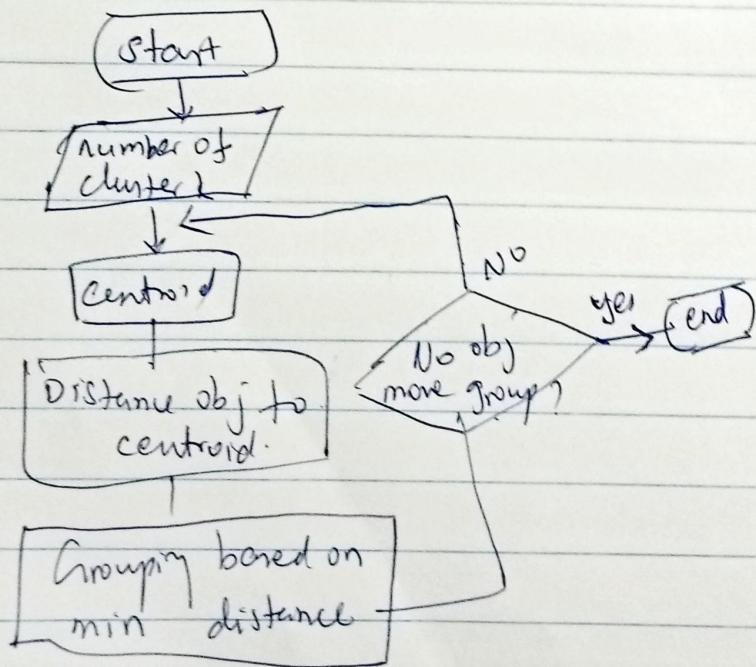
Similarity measure → using Distance measure  
Euclidean distance

$$d(x, y) = \sqrt{\sum_{i=1}^p (x_i - y_i)^2}$$

k means clustering

cluster n objects based on attributes  
into k partitions.

Algorithm

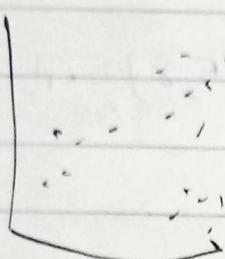


## K-mean

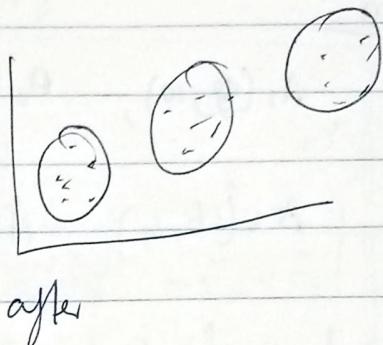
① unsupervised

② partitioned into  $k$  predefined distinct clusters  
cluster

a collection of datapts exhibits certain similarities



before



after

### Partitions

- \* Each datapoint belongs to a cluster with nearest mean
- \* data pts  $\Rightarrow$  one cluster  $\Rightarrow$  highest similarity

### Alg

- ① choose  $k$
- ② Randomly select  $k$  datapts as cluster center  
(select farther as possible)
- ③ calculate distance b/w each data point and each cluster center
- ④ assign each dp to some cluster (nearest cluster)
- ⑤ Compute center of new formed cluster
- ⑥ Repeat ③ & ④ upto center not changed  
datapts ~~dp~~ in same cluster  
max iteration reached.

disadv

- ① require k
- ② not handle noisy data

Problem

$$A_1(2, 10), A_2(2, 5), A_3(8, 4), A_4(5, 8), \dots, A_5(7, 5)$$

$$A_6(6, 4) \quad A_7(1, 2) \quad A_8(4, 9)$$

Initial cluster area

	$A_1(2, 10)$	$A_4(5, 8)$	$A_7(1, 2)$
$A(2, 10)$	$0 = 0$	$9+4 = 13 = 3.6$	$1+6+6+5 = 8.06$
$2, 5$	$25 = 5$	$9+9 = 18 = 4.24$	$1+9+10 = 3.16$
$8, 4$	$36+36 = 72 = 8.4$	$9+16 = 25 = 5$	$4+9+4+5 = 7.28$
$5, 8$	$9+4 = 13 = 3.6$	$0 = 0$	$16+36 = 52 = 7.07$
$7, 5$	$25+25 = 50 = 7.01$	$4+9 = 13 = 3.6$	$6+9+15 = 3.87$
$6, 4$	$16+36 = 52 = 7.21$	$1+16 = 17 = 4.12$	$25+4 = 29 = 5.38$
$1, 2$	$1+6+6 = 18 = 8.06$	$16+36 = 52 = 7.21$	$0 = 0$
$4, 9$	$4+1 = 5 = 2.23$	$1+1 = 2 = 0.4$	$9+4+9 = 58 = 7.61$

cluster 1

 $A_1(2, 10)$ 

cluster 2

cluster 3

 $A_3(8, 4)$  $A_2(2, 5)$  $A_4(5, 8)$  $A_2(1, 2)$  $A_5(7, 5)$  $A_6(6, 4)$  $A_8(4, 9)$ 

iteration

new center pts

 $(2, 10)$  $(6, 6)$  $1.5, 3.5$  $A_1(2, 10)$ 

0

 $16+16=32$ 

5, 6

 $0.5+6.5=7$  $A_2(2, 5)$ 

5

 $4+1=5$ 

4, 12

 $0.5+1.5=2$  $A_3(8, 4)$ 

8, 4

 $2+2=4$ 

2, 2

 $6.5+0.5=7$  $A_4(5, 8)$ 

3, 6

 $1+2=3$ 

2, 23

 $3.5+1.5=8$  $A_5(7, 5)$ 

7, 07

 $1+1=2$ 

1, 414

 $5.5+1.5=7$  $A_6(6, 4)$ 

7, 211

 $0+2=2$ 

2

 $4.5+0.5=5$  $A_7(1, 2)$ 

8, 06

 $5+4=9$ 

6, 403

 $0.5+1.5=2$  $A_8(4, 9)$ 

2, 23

 $2+3=5$ 

3, 6

 $2.5+5.5=8$  $C_2$  $C_3$  $C_1$  $C_2$  $C_3$  $C_1$

after iteration 2

$$C_1(3, 9.5)$$

$$C_2(6.5, 5.25)$$

$$C_3(1.5, 3.5)$$

Problem 2

find  $b=2$

$$A(2,2)$$

$$B(3,2)$$

$$C(1,2)$$

$$D(3,1)$$

$$E(1.5, 0.5)$$

1 1 1 first 1, 4

2 1.5 2.5

3 3.0 4.

4 ~~5~~ 5

5 3.5 5

6 4.5 5

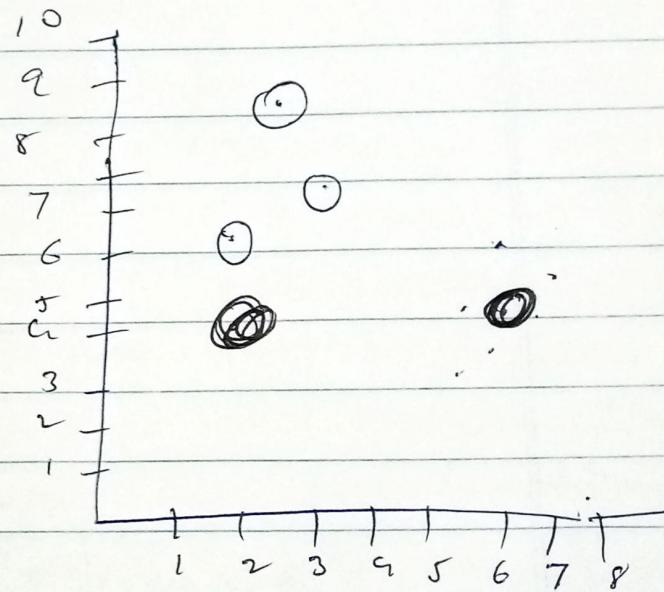
7 3.5 4.5

## k mediod clustering

Objects in the cluster.

- iterative clustering alg. with iterates until each rep. obj is actually the mediod of most centric.

$i$	$x$	$y$
$x_1$	2	6
$x_2$	3	4
$x_3$	3	8
$x_4$	4	7
$x_5$	6	2
$x_6$	6	4
$x_7$	7	3
$x_8$	7	4
$x_9$	8	5
$x_{10}$	7	6

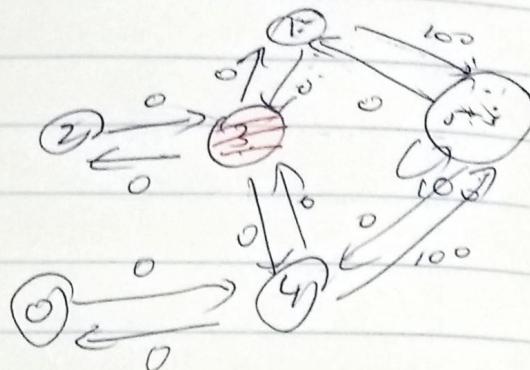


step 1: plot them & select 2 Rando obj

$c_1$        $c_2$   
 $(3, 4)$        $(7, 9)$

$$Q(s, a) = r + \gamma \max_{a'} Q'(s', a')$$

$$\gamma = 0.8$$



$$s = 3$$

$$\text{action} = (2, 1, 4)$$

$$r = \text{Imm reward}(3, 1) = 0$$

$$s' = 1$$

$$\hat{Q}(3, 1) = 0 + 0.8 \max \left( \hat{Q}^*(s', a') \right)$$

$$\hat{Q}^*(1, \cdot)$$

$$\begin{matrix} \cancel{Q} \\ 1 = 3 \\ 1 = 100 \end{matrix}$$

$$\max(0, 100)$$

$$\hat{Q}(3, 1) = 0 + 0.8 \times 100 = 80.$$

$$s = 1$$

$$\text{action } (5, 3)$$

$$r = 100$$

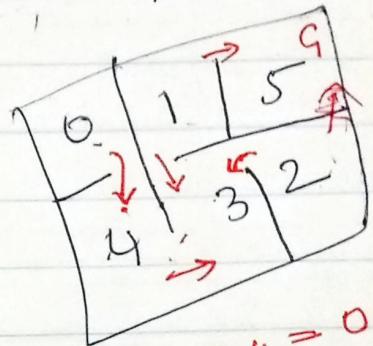
$$s' = 5$$

$$\hat{Q}(1, 5) = 100 + 0.8 \left( \max(s', a') \right)$$

$$\hat{Q}(1, 5) = 100$$

Diagram of a 5x5 grid world with actions R, U, D, L, and S. The goal state is at (5, 5). Red annotations show a path from (0, 0) to (5, 5) and calculate rewards for transitions.

	0	1	2	3	4	5
0	-1	-1	-1	-1	0	-1
1	-1	-1	-1	0	-1	100
2	-1	-1	-1	0	-1	-1
3	-1	0	0	-1	0	-1
4	-1	0	0	1	-1	100
5	-1	0	-1	-1	0	100



	0	1	2	3	4	5
0	0	0	0	0	0	
1	0	0	0	0	0	100
2	0	0	0	0	0	
3	0	0	0	0	0	
4	0	80	0	0	0	
5	0	0	0	0	0	

$Q(3, 4)$

$$\text{Avai\_act} = [3 \quad 5]$$

$Q(1, 5)$

$$-1 \quad -1 \quad -1 \quad 0$$

$$\text{update}(1, 5, 0.8)$$

max index.  
max index.

$$c_s = 2$$

$$act = 5$$

update (2, 3, 0.8)  
max index 3 in 3  
random  
0, 1, 2, 3 as 5  
max index.

$$Q(1, 5) = 100 + \frac{80 \times 0}{4} = 100$$

$$\text{maxValue} = Q[3, 2] = 0$$

$$Q[2, 3] = 0 + 0.8 = 0$$

Current state = L      -1 -1 -1 0 -1 100

action [3, 5]

Rand

action = 5

update (1, 5, 0.8)

Q index 0 1 2 3 4 5  
max index 5      Random

$$\text{max value} = Q(5, 5)$$

$$= 0$$

$$Q(1, 5) = 100 + 0 = 0$$

$$= 100$$

Current st = 4

-1 0 0 -1 -1 100

action = 1 2 5

1

act<sup>o</sup> = 2

update (4, 2, 0.8)

Q in 0 1 2 3 4 5  
Random

Random

5

curr s = 4

action = 1 2 5

max index

5 sing value

Q(4, 5) max value = Q(5, 5)

Q(4, 5)

max value(1, 5) = ~~100~~ 0 + 8

0.

$$Q[4, 1] = 100$$

(8)

## Reinforcement Learning

dynamic prog  
used to solve optimal problem

⇒ Agent act in its environment & can learn & choose optimal action

To achieve goal

Appli: mobile Robot

opt operation in factories

learning to play board games

Agent ⇒ perform Action ⇒ Trainer < reward

+ve Reward ⇒ win

-ve Reward (penalty) ⇒ loss

0 all other states

give penalty

### Aim

Task of the agent ⇒ learn from this

choose seq action that produce greatest cumulative reward.

Agent ⇒ Robot

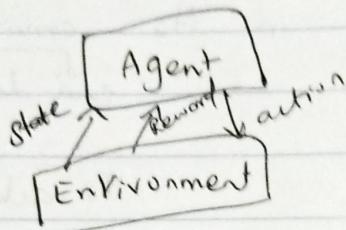
set of sensors ⇒ observe its state

actions ⇒ move forward, turn

policy ⇒ choosing action that achieve its goal.

ex goal move near to battery charger when its battery level is low

policy : max cumulative reward



$$s_0 \xrightarrow[r_0]{a_0} s_1 \xrightarrow[r_1]{a_1} s_2 \xrightarrow[r_2]{a_2} \dots$$

Goal  $r_0 + \gamma r_1 + \gamma^2 r_2 + \dots \quad 0 \leq \gamma \leq 1$

$$\Pi: S \rightarrow A$$

Reinforcement learning differ from other fun-approx. tasks.

## ① Delayed Reward

Current state  $s$

Optimal action  $a = \Pi(s)$

prev case training ex is in the form of

$$\langle s, \Pi(s) \rangle$$

Agent faces the problem of

temporal credit Assignment

Determining which of action in its sequence are to be credited with producing eventual rewards.

## ② Exploration

- Reinforcement learning decide

Exploration

- gather new information.  
unknown state & action

Exploitation

- already learned  
yield highest reward.

## ③ Partially observed states

- use partial information, use prev observation select best next state

ex camera  $\Rightarrow$  observe front not back

$\Rightarrow$  use prev info select next action.

## ④ life long learning

$\Rightarrow$  learning always new things.

Learning Task

Markov Decision Process

Assume

$S$  - finite set of states

$A$  - set of Actions

for each time  $t$

$s_t \in S$

$a_t \in A$

Receive immediate Reward  $r_t$   
and state changes to  $s_{t+1}$

markov assumption

$$s_{t+1} = \delta(s_t, a_t)$$

$$r_t = r(s_t, a_t)$$

$r_t, s_{t+1}$   $\Rightarrow$  only on current state and action

$s$  and  $r \Rightarrow$  non deterministic

$\hookrightarrow$  not necessary known to agent

Agent's learning task

learn a policy  $\pi: S \rightarrow A$

$\therefore$  for selecting next action  $a_t$

base on  $s_t$

$$\boxed{\pi(s_t) = a_t}$$

Policy that produce ~~as~~ greater possible cumulative ~~Reward Value~~  $V^\pi(s_t)$

$$V^\pi(s_t) = r_t + \gamma r_{t+1} + \gamma^2 r_{t+2} + \dots$$

$$= \sum_{i=0}^{\infty} \gamma^i r_{t+i}$$

$$a_t = \pi(s_t)$$

$$a_{t+1} = \pi(s_{t+1})$$

$$\cancel{0 \leq \gamma < 1}$$

set  $\gamma = 0$  only immediate reward  
only consider

so  $\gamma = \text{near to } 1$

$V^\pi(s) = \text{discounted cumulative reward}$

$$\sum_{i=0}^h r_{t+i} \Rightarrow \text{finite horizon reward}$$

Agent learn the policy  $\pi$  that max

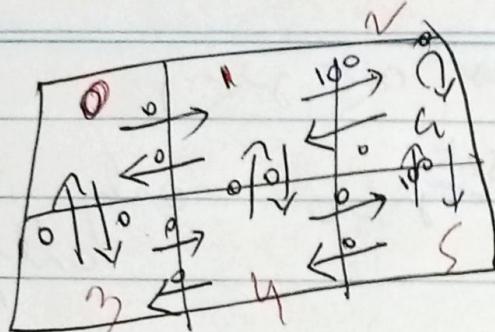
$$V^\pi(s) \forall \text{ all states } s.$$

$\therefore$  optimal policy

$$\pi^* = \arg \max_{\pi} V^\pi(s) \forall s$$

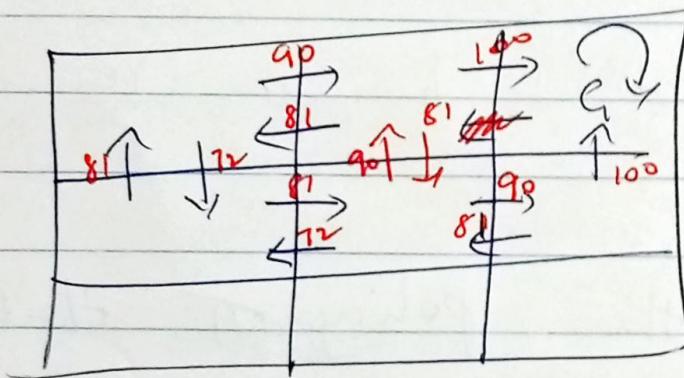
A - absorbing state

$r(s,a)$  immediate Reward Values



2,0,8

$Q(s,a)$



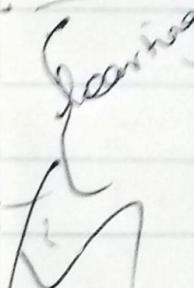
$$\gamma = 0.9$$

maximal Q values.

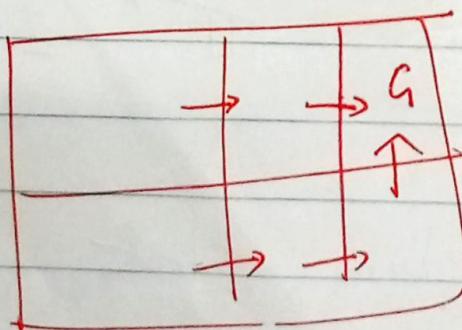
	0	1	2	3	4	5
0		90	100	81		
1	81			81		
2		81		72	90	
3		90		100		81
4						
5						

$V^*(s)$  Value

	1	2	3	4
1	90	100	0	4
2	72	71	7	7
3	81	90	100	



$$V^*(s) = 0 + \gamma 100 \\ = 90$$



## Q Learning optimal policy

$$\pi^*: S \rightarrow A$$

\* A.

Action 'a' maximize sum of immediate reward  $r(s,a)$

$$\pi^*(s) = \operatorname{argmax}_a [r(s,a) + \gamma V^*(d(s,a))]$$

$d(s,a) \Rightarrow$  Resulted state from 's' to 'a'

Learning

$V^*$   $\Rightarrow$  provided perfect knowledge of immediate reward function  $r$  and state trans of

\* Agent should prefer  $s_1$  over  $s_2$  where  $V^*(s_1) > V^*(s_2)$

cumulative Reward

\* The optimal action in state  $s$  is action 'a' that maximizes the sum of immediate reward  $r(s,a)$  + value  $V^*$  of immediate successor state, discounted by  $\gamma$

$$\pi^*(s) = \operatorname{argmax}_a [r(s,a) + \gamma V^*(d(s,a))]$$

## Q function

$$Q(s, a) = r(s, a) + \gamma V^*(\underline{s}(s, a))$$

next imme state

rewrite

$$\pi^* s = \underset{a}{\operatorname{argmax}} Q(s, a)$$

algorithm learning Q Training Rule to learn  $\pi^*$

$$V^*(s) = \max_{a'} Q(s', a')$$

$$Q(s_t, a_t) = r(s_t, a_t) + \gamma \max_{a'} Q(s'_{t+1}, a')$$

rewrite this

$$Q(s, a) = r(s, a) + \gamma \max_{a'} Q(\underline{d}(s, a), a')$$

$\Rightarrow$  large table (learns current app. to  $Q$ )

entry of each state-action pair

( $\hat{Q}$ )

$\hat{Q}(s, a)$

$$\hat{Q}(s, a) = r + \gamma \max_{a'} \hat{Q}(s', a')$$

$\hat{Q}$  values for new state  $s'$  refine  $\hat{Q}(s, a)$  for the prev state  $s$

### Q learning algorithm

For each  $s, a$  initialize table entry  $\hat{Q}(s, a)$  to zero

Observe the current state  $s$

Do forever:

{ select an action  $a$  and execute it  
Receive immediate reward  $r$

Observe new state  $s'$ :

update table  $\hat{Q}(s, a)$

$$\hat{Q}(s, a) = r + \gamma \max_{a'} \hat{Q}(s', a')$$

$$s = s'$$

$0 \leq \gamma < 1$

$s_1$

$R$	$73$	$100$	$\dots$
	$66$		
	$81$		

<del>99</del>	<del>100</del>
<del>66</del>	
<del>81</del>	

$$\hat{Q}(s_1, \text{anight}) = r + \gamma \max_{a'} (s_2, a')$$

$$= 0 + 0.9 \times \max(66, 81, 100)$$

$$= 0.9 \times 100 = 90.$$

2 Table updation 2 properties

①  $\hat{Q}$  values never decrease

~~②~~  $\boxed{\forall (s, a, n) \quad \hat{Q}_{n+1}(s, a) \geq \hat{Q}_n(s, a)}$

② Every  $\hat{Q}$  value will remain in interval b/w zero and its true  $Q$  value

$\boxed{\forall (s, a, n) \quad 0 \leq \hat{Q}_n(s, a) \leq Q(s, a)}$

GA

Hyp space search

- \* GA → seek max fit hypothesis
- child rapidly differ from parent.
- less likely fall into same local minima.

One Problem GA

crowding

↳ some indi highly fit than others

∴ copy of indiv & very similar  
indi avail in population.  
(in large fraction)

dis: Reduce diversity

avoid this

① use selection instead of

- tournament selection

- Ranking

② fitness sharing

fitness of indi is reduced

by presence of others.

③ Restrict the kind of indi allowed to  
recombine to form offspring.

model evaluation and learning.

### Lamarckian Evaluation

proposed that evolution over many generations was directly influenced by the experience of individual organism during their lifetime.

indi : avoid toxic food. (failure)

### Baldwin effect

\* when there is no indiv learning the population failed to improve over time

\* when learning is applied in early stages, the population contains many individuals with many trainable weights

\* it achieved high fitness with ~~the~~ ~~number of~~ fine individuals

# Paralleling Genetic algorithm.

## coarse grain

- To parallelize subdivide the population in group of indistr (demes)
- each deme assign to diff

Comput. node

- GA search is performed at each node -
- commu.). and cross-fertilization between demer occur less freq.
- Transfer b/w deme occurs by migration process.
- It reduces crowding problem.
- fall into global optima.

## Fine grained

- assign one processor per indiv in the population.
- Recombing ~~take~~ place among neighborly indiv

## Q Learning

= Quality learning

policy

$$Q^{\pi}(s_t, a_t) \quad \text{policy}$$

$$Q^{\pi}(s_t, a_t)$$

Undertaken by Agent (In a given state what is the best possible action)

Reward

scalar quantity - measured



Price Rs, E, \$      +ve  $\Rightarrow N$   
                         -ve  $\Rightarrow \emptyset \delta$

Q function  $\Rightarrow Q^{\pi}(s_t, a_t) = r + \gamma \max Q(s_t, a_t)$   
  & Table  $\Rightarrow$

	$\downarrow$	$\uparrow$	$\rightarrow$	$\leftarrow$	
Start	0	0	0	0	
Reward					$n \times m$
$\therefore$					
actions end					

# Markov decision Process (MDP)

$$\left. \begin{array}{l} s_{t+1} = \delta(s_t, a_t) \\ r_t = r(s_t, a_t) \end{array} \right\} \text{depends on current state and action.}$$

Policy

$\pi: S \rightarrow A$  Rule to select next act.

$$\pi(s_t) = a_t$$

provide max cumulative reward at end.

$$V^\pi(s_t)$$

discount cumulative reward

$$V^\pi(s_t) = r_t + \gamma r_{t+1} + \gamma^2 r_{t+2}$$

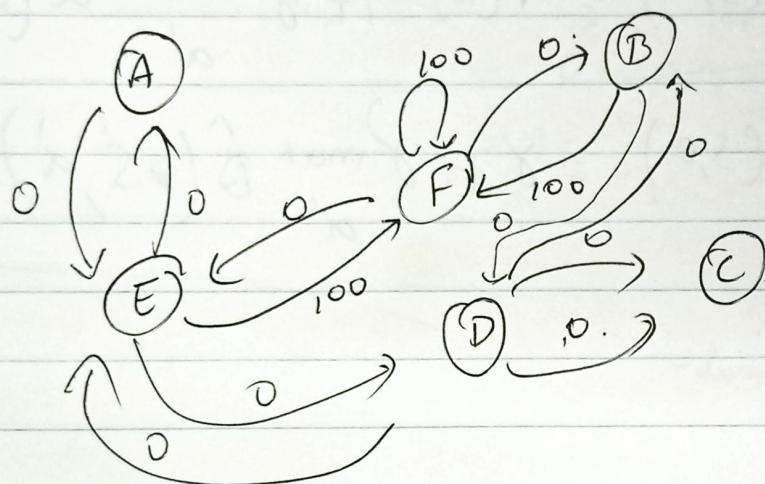
$$V^\pi s_t = \sum_{i=0}^{\infty} \gamma^i r_{t+i}$$

optimal policy

$$\pi^* = \operatorname{argmax}_{\pi} V^\pi(s)$$

## Q learning

How agent learn optimal policy  $\pi^*$   
 \* only seq rewards.  
 - evaluation function  $v^*$ . (optimal cumulative)  
 agent prefer  $s_1$  over  $s_2$  when  
 $v^*(s_1) > v^*(s_2)$



start B

start D

\* policy: consider actions & choose  
 $v^*$

~~policy~~  $\pi^*(s) = \arg \max_a \left( r(s, a) + \gamma V^*(d(s, a)) \right)$

① immediate successor state

Q function

$$Q(s, a) = r(s, a) + \gamma V^*(d(s, a))$$

~~write~~ ~~give~~ ①  $\pi^*(s) = \arg \max_a Q(s, a)$

Algorithm Learning Q  $\Rightarrow$  learn seq of immediate reward over time  $\rightarrow$  spread  
 it is iterative approach  $\rightarrow$   $Q^*$

~~Relationship b/w V and Q~~

$$V(s) = \max_a Q(s, a)$$

$\hookrightarrow$  rewrite each  $Q(s, a) \rightarrow (s, a)$ , to

$$Q(s, a) = r(s, a) + \gamma \max_{a'} Q(d(s, a), a')$$

$$\hat{Q}(s, a) = \gamma + \gamma \max_{a'} \hat{Q}(s', a')$$

$\hat{Q}$ -estimate

Learning alg

For for each  $s, a$  initialize table  $\hat{Q}(s, a) = 0$   
 observe the current state  $s$

Do forever

{ select action  $a$  & execute

Receive immediate reward

observe new state  $s'$

update table entry  $\hat{Q}(s, a)$

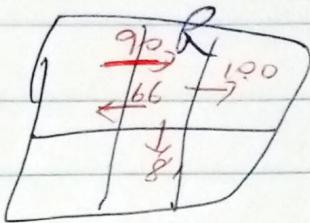
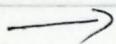
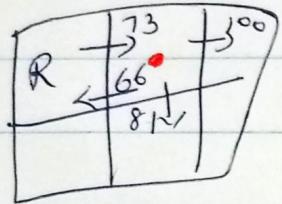
$$\hat{Q}(s, a) = r + \gamma \max_{a'} \hat{Q}(s', a')$$

$$s = s'$$

}

$\rightarrow \gamma V^*(s(a))$

$\hat{E}$



$$Q(s_1, \text{right}) = \gamma + \max_a Q(s_2, a)$$

$$= 0 + 0.9 \max \{ \cancel{66}, \underline{81}, \cancel{100} \}$$

$$= 90.$$

## Unit-5

Learning set of Rules:

- ① - use decision tree
  - one rule for each leaf node
- ② use genetic alg.
  - encode each rule set as bit string
  - use genetic operation to explore

Learn set of Rules  $\Rightarrow$  differ from above

- ① Learn first order rules that contain  $\forall$
- ② use seq. Covering alg. 1 - that  $\forall$   
learn one rule at a time  
and incre. grow the first set of

ex

target fun      parent ( $x, y$ )       $y$  is F/M of  
Ancestor ( $x, y$ )       $y$  is Ans of  $x$

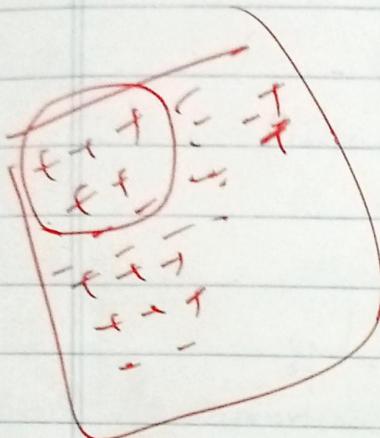
if parent ( $x, y$ )      Then Ancestor ( $x, y$ )  
if parent ( $x, z$ )  $\wedge$   
Ancestor ( $y, z$ )      Then Ancestor ( $x, y$ )

use

prolog

## Seq. covering Alg

Variable free



- Family of algorithms for learning rule sets based on strategy on learning one rule
- Removing the data it covers
- iterative this process

Sequential-covering (Target-att, Attr, Examples, Threshold)

$$\{ \text{Learned\_rule} = \{\}$$

Rule = Learn\_One\_Rule (Target-att, Attr, Examples,  
while performance (Rule, Examples) > ~~threshold~~  
{ threshold

rules

$$\text{Learned\_rules} = \text{Learned\_rules} + \text{Rule}$$

Examples = Examples - {ex. correctly classified  
by rule}

Rule = ~~Learn-one-~~ <sup>Learn-one-rule</sup> (Target-att, att, Examples)

Learned\_rules = sort learned rule (Target-att, att, Examples)  
according to PERFORMANCE over Examples

return Learned\_rules

3

Learn one Rule (Target\_att, Att, exa, k)

Best\_hypothesis =  $\{\phi, \phi, \phi, \dots\}$

Candidate\_hyp = {Best\_hypothesis}

while candidate\_hyp != empty

1. Generate specific\_candidate\_hyp.

all\_cons = set all constraints of  $(a=v)$   
where  $a = \text{attr}$   
 $v = \text{value}$

new\_can\_s =

for each  $h$  in candidate\_hyp

for each  $c$  in all\_cons

create specialization of  $h$  by adding  $c$

Remove from new\_cons\_s of duplicates,

incons, not max specif<sup>2</sup>

2. update Best\_hypothesis

for all  $h$  in new\_can\_s

if Performance( $h$ , example, Target\_att)

> Performance(Best\_hyp, example, Target\_att)

Best\_hypothesis =  $h$

3. update candidate\_hypothesis

Candidate\_hypothesis = k best members

of new\_can\_hyp according to

the performance measure

Return a Rule of the form

If Best-hyp Then prediction

PERformance (h, Example, Target attr)

$h\_example = \text{subset of examples that match } h$   
return  $(-\text{Entropy}(h\_examples))$

General to specific beam search (Learn one Rule)

① Select only the most promising branch  
in a tree at each step.

- start general rule

- greedily adding second attr first

attr-value pair yield best performance

If

Then play-ten = Yes

if wind=weak  
then play-ten = S

if wind=strong  
then play-ten = S

if humidity = normal  
then play-ten = S

if humidity = normal  
wind = weak  
then play-ten = S

if humidity = normal  
wind = strong  
then play-ten = S

if humidity = normal  
outlook = sunny  
then play-ten = S

(~~long~~ local minima)

disadv: supoptimal choice will be made at any

use beam search

↓ Alg maintain k best candidate

and the resulting set is again  
reduced to k most promising  
members

Beam search used  
by CN2 Pnng  
ID3

CN3

① simultaneous covering

seq covering

② each step ~~set~~ select attr

attr-value pair

③ specific to general general to specific

④ example-driven approach

generate & test  
approach

Measure the performance Learn-one-Rule.

① Relative Frequency.

$$\frac{n_c}{n}$$

n = No. of examples the rule matches

n<sub>c</sub> = No. of examples classified correctly.

## step - ② m-estimate of accuracy

$$\frac{n_c + mp}{n + m}$$

$n$  - No. of examples matched

$n_c$  = correctly predicted by rule.

$P$  = Prior prob. (12/100 predicted by rule  $P=0.12$ )

$m$  = weight, (equal no. of examples for weight)  
• this prior  $P$ )

## ③ Entropy.

$$- \text{Entropy}(S) = \sum_{i=1}^C p_i \log_2 p_i$$

# Learning First order Rules (ILP)

inductive logic Programming  
w/ Prolog

- Data described by attributes

1) name, mother, father, male, female

Target attr: Daughter

$N_1 = \text{Sharon}$   $M_1 = \text{Louise}$   $F_1 = \text{Bob}$   $M = F$   $\text{fem} = T$

$S = B - F$   
 $B - M$   $S \leftarrow$   
 $L^{(1)}$   
 $(E)$

$N_2 = \text{Dob}$   $M_2 = \text{Nor}$   $F_2 = \text{Victor}$   $Male = T$   $\text{fem} = F$

$\text{Daughter}(1, 2) = \text{True}$   
 $B \leftarrow$   
 $\text{fem}$

$\boxed{\text{Daughter}_{1,2} = \text{True}}$

Rule

If  $\underline{\text{father}_1 = \text{bob}} \wedge \underline{\text{name}_2 = \text{bob}} \wedge \underline{\text{female}_1 = \text{True}}$

then  $\underline{\text{Daughter}_{1,2} = \text{True}}$

general Rule

If  $\text{Father}(x, y) \wedge \text{Female}(y)$  Then  
 $\text{Daughter}(x, y)$

$x, y = \text{Variable}$

## Terminology

Constant : Bob

Variable : x, y

predicate sym : Married, Greater than - (T | <sup>false</sup><sub>value</sub>)  
function sym : age

Literal: any predicate or married(Bob)

(E)   
contain  $\neg$  negative literal (or  
the literal) negotiation apply  $\neg \text{greaterthan}(\text{age}(x), 10)$

clause: any disjunction of literals.

Horn clause: clause contain at most one positive literal.

$$H \cup \neg L_1 \cup \neg L_2 \dots \cup \neg L_n$$

$$B \cup A = B \leftarrow A$$

$$\neg(A \cap B) = \neg A \cup \neg B$$

H = head (or) consequent

$$\therefore \boxed{H \leftarrow L_1 \cap L_2 \dots \cap L_n}$$

L<sub>1</sub> L<sub>2</sub> . . . L<sub>n</sub> = antecedents for body

ground literal: literal does not contain any Var

$$\neg \text{Female}(\text{Joe})$$

## Learning sets of first order rules : FOIL

- Similar seq covering and Learn-one-Rule

FOIL

- hypothesis Learned  $\Rightarrow$  set of first order rules

each rule - Horn clause  $\Rightarrow$  2 exceptions

① FOIL  $\Rightarrow$  more restricted than general Horn

$\Rightarrow$  Rules more expressive than Horn

(literals appear)

$\Rightarrow$  seeks only rules that predict target literal is true

$\Rightarrow$  hill climbing search (not beam

clause (non literals)

clause

$\Rightarrow$  each outerloop add one new rule

to -

Outer } same as prev gene new rule  
innerloop add best literal & pre condition

Foil (Target-fred, pred, Examples)

{

Pos = Example ( $\text{targ\_pred} = \text{True}$ )

Neg = Example ( $\text{targ\_pred} = \text{False}$ )

Learned-rules = []

while pos do

{  $\Rightarrow$  Learn a new rule

newR = rule predict ~~the~~ Target predicate with no precondition.

newRneg = Neg

while NewRneg

{  $\Rightarrow$  add literal to newrule can-lits generate candidate

new literals based on ~~pre condition~~ predicates

Best-lit = argmax<sub>L ∈ cat-lits</sub> foilGain(L, newRule)

add best-lit to precond new Rule

newRneg = subset of NewRneg that satisfy newrule Precondin

Learned-rules = Learned-rules + newRule

{ Pos = Pos - (member of Pos covered by newRule)

} Returned Learned-rules

~~(pertains to general)~~ Outloop  $\Rightarrow$  disjunctive hys: conj of literals  
in内loop  $\Rightarrow$  fine-grained, general to specific hill climbing  
 $\Downarrow$   
 $\Rightarrow$  add literals one at a time  
in preconditions  
(until all negative removed)

Foil

- \* ~~meet~~ inner loop add literals in rules pre-conditions

## Seq-covering

x. performance measure

Foil gail

$$\text{foilgain}(L, R) = t \left( \log_2 \frac{P_L}{P_L + N_L} - \log_2 \frac{P_R}{P_R + N_R} \right)$$

C - candidate literal

R - Rule

$R'$  - Rule created by  
adding L to R

P<sub>0</sub> - No. of ~~positive~~ bindings of ruler

$$n_0 = \text{No of -ve } n \quad " \quad "$$

$$P_1 = \frac{\text{No. of } +\text{ve binding}}{\text{of } R+L}$$

$$P_1 = \text{No. of +ve binding of } R+L$$

$$N_1 = \text{No. of -ve binding of } R+L$$

$f =$  No. of the bindings of rule  
that are still covered after  
literal L to R R  
adding

Foil  $\Rightarrow$  ① extends CNF

② general to specific  $\Rightarrow$  add new literal to rule precondition.

③ fail gain to select new literals.

④ learn

## Induction as Inverted Deduction

\* Give data D

\* Some background knowledge B

\* Training ex of the form  $\langle x_i, f(x_i) \rangle$

### Learning

discovering h such that

classification  $f(x_i)$  of each training

instance  $x_i$  ~~follows~~ and any background

knowledge B

$$(\nexists \langle x_i, f(x_i) \rangle \in D) (B \cap h \wedge x_i) \rightarrow f(x_i)$$

of rule R

, , ,

of R+L

R+L

R  
adding

example

$x_i$ : Male(Bob), Female(Sharon), Father(Sharon, Bob)  
 $f(x_i)$ : child(Bob, Sharon)  
B: Parent(u, v)  $\leftarrow$  Father(u, v)

Two hypotheses

$h_1$ : child(u, v)  $\leftarrow$  Father(v, u)  
 $h_2$ : child(u, v)  $\leftarrow$  Parent(v, u)

for hypothesis  $h_2$  use background knowledge

$h_1$  use  $\boxed{B \wedge h_2 \wedge x_i}$

$h_2$  use predicates (parent) not in  $x_i$

Constructive ~~hypothesis~~ induction.

New predicates can be introduced into hypothesis even when the predicates is not present in the original description of instance  $x_i$ .

Process of augmenting set of predicates based on background knowledge is called

~~Induction~~ is inverse of deduction

inverse entailment operator  $= O(B, D)$

$O(B, D) = h$  such that use  $D$  and  $B$

$$h(x_i; f(x_i)) \in D \quad (B \wedge h \wedge x_i) \vdash f(x_i)$$

Feature

\* formulate  
learning part

finding general concept that matches  
a given set of training examples  
w.o. background

② use domain-specific background info.  $B$

$h$  fits  $(x, f(x_i))$  as long as  
 $f(x_i)$  followed deductively  
from  $B \wedge h \wedge x_i$

inductive  
logic programming  
difficulties

③ use background search  $h$

④ not allow noisy data

⑤ first order logic  $\Rightarrow$  so expressive

large hyp  $\Rightarrow$  satisfy above formula

⑥ complexity of hyp search increases  
as background knowledge  $B$  is increased

~~Resolution Rule~~

## Inverting Resolution

Let  $\boxed{L}$  be an arbitrary propositional literal, P and R be arbitrary propositional clauses.

The resolution ~~Rule~~ Rule is

$$\begin{array}{c} P \vee K \\ \neg L \vee R \end{array}$$

$$\underline{P \vee R}$$

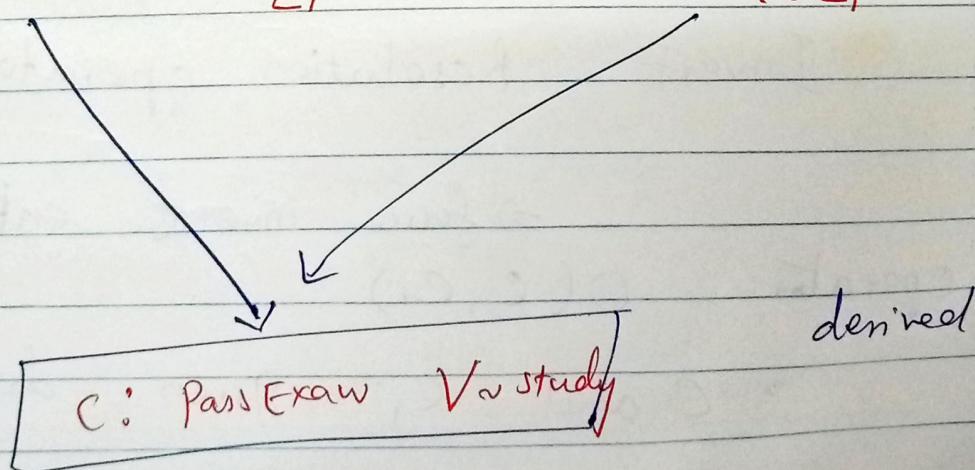
$L \text{ (or) } \neg L$  ~~any one false~~  $\therefore$  Result  $P \vee R$

$$C_1 : \text{Pass Exam} \vee \neg \text{know material}$$

$$\underline{L_1}$$

$$C_2 : \text{know material} \vee \neg \text{study}$$

$$\underline{\neg L_1}$$



C Conclusion

$C_1 - \{L\}$  = Pass exam

$C_2 - \{\sim L\}$  = not study -

Example 2

$$C_1 = A \vee B \vee C \vee \sim D$$

$$C_2 = \sim B \vee E \vee F$$

$$C : A \vee C \vee \sim D \vee E \vee F$$

In  
General form  
of propositional  
resolution  
operator

$$C = C_1 - \{L\} \cup C_2 - \{\sim L\}$$

Invert Resolution operator

operator  $O(C, C_1)$  .  
 $\Rightarrow$  form inverse entailment

$C$  and  $C_1$  given derive  $C_2$

$$C_1 = B \vee D$$

$$C = A \vee B$$

$$C_1 \wedge C_2 \vdash C$$

① Any Literal in  $C$  not in  $C_1$   
must present  $c_2$   $\boxed{(\bar{A})}$

② Literal in  $C_1$  not in  $C$ .

:  $\sim D$  must present in  $C_2$

$$\therefore \boxed{C_2 = A \vee \sim D}$$

$$\boxed{C_2 = A \vee \sim D \vee B}$$

Inverse  
Resolution  
operator

$C_1$  : Pass Exam  $\vee \sim$  knowmater.

$C_2$  : knowMat  $\vee \sim$  Study

$C$  : passExam  $\vee \sim$  study

\* condi many clause u defined  
use shortest

Inverse

Resolution  
operator

$$C_2 = (C - (C_1 - \{L\})) \cup \{\sim L\}$$

## Inverting Resolution : First order case

Substitution

$$\theta = \{x/Bob, y/z\}$$

x is replaced by Bob

y is replaced by z

L is

$$\boxed{\text{Father}(x, Bill)}$$

$\Downarrow_{Bob}$

$$\theta = \{x/Bob, y/z\}$$

$$L\theta = \text{Father}(Bob, Bill)$$

- $\theta$  is ~~not~~ Substitution

$$\Theta = \boxed{\theta = \text{Unifying substitution}}$$

Two literals  $L_1$  and  $L_2$

$$L_1\theta = L_2\theta$$

$$L_1 = \text{Father}(x, y)$$

$$\theta = \{x/Bill, z/y\}$$

$$L_2 = \text{Father}(Bill; z)$$

$$L_1\theta = L_2\theta = \text{Father}(Bill, y)$$

Resolution  
operator  
first order form

$$\boxed{C = (C_1 - \{L_1\})\theta \cup (C_2 - \{L_2\})\theta}$$

## Inverting Resolution: First order case

$$C = (C_1 - \{L_1\}) \theta_1 \vee (C_2 - \{L_2\}) \theta_2$$

$$C - (C_1 - \{L_1\}) \theta_1 = (C_2 - \{L_2\}) \theta_2$$

$$(C - (C_1 - \{L_1\} \theta_1)) \theta_2^{-1} = C_2 - \{L_2\}$$

by def  
Resolution rule  
 $L_2 := \sim L_1 \theta_1 \theta_2^{-1}$

$$C_2 = (C - C_1 - \{L_1\} \theta_1) \theta_2^{-1} \cup \{\sim L_1 \theta_1 \theta_2^{-1}\}$$

1  
Father (Tom, Bob)

2  
Father (Shanon, Tom)

3  
Grandchild (Bob, Shanon)

Grandchild (y, z)  $\vee \neg \text{father}(x, z)$   
 $\vee \neg \text{father}(z, y)$

(Bob/y, Tom/z)

GrandChild (Bob, x)  $\vee \neg \text{father}(x, \text{Tom})$

Shanon/x