

# Database Systems

## Introduction

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## Introduction

What is a Database?

A collection of related pieces of data:

- Representing/capturing the information about a real-world enterprise or part of an enterprise.
- Collected and maintained to serve specific data management needs of the enterprise.
- Activities of the enterprise are supported by the database and continually update the database.

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## An Example

University Database:

Data about students, faculty, courses, research-laboratories, course registration/enrollment etc.

Reflects the state of affairs of the academic aspects of the university.

*Purpose:* To keep an accurate track of the academic activities of the university.

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### Database Management System (DBMS)

A *general purpose* software system enabling:

- Creation of large disk-resident databases.
- Posing of data retrieval queries in a standard manner.
- Retrieval of query results efficiently.
- Concurrent use of the system by a large number of users in a consistent manner.
- Guaranteed availability of data irrespective of system failures.

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### OS File System Storage Based Approach

- Files of records – used for data storage
  - data redundancy – wastage of space
  - maintaining consistency becomes difficult
- Record structures – hard coded into the programs
  - structure modifications – hard to perform
- Each different data access request (a query)
  - performed by a separate program
  - difficult to anticipate all such requests
- Creating the system
  - requires a lot of effort
- Managing concurrent access and failure recovery are difficult

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### DBMS Approach

#### DBMS

- separation of data and metadata
- flexibility of changing metadata
- program-data independence

#### Data access language

- standardized – SQL
- ad-hoc query formulation – easy

#### System development

- less effort required
- concentration on logical level design is enough
- components to organize data storage
  - process queries, manage concurrent access,
  - recovery from failures, manage access control
- are all available

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### Data Model

Collection of conceptual tools to describe the database at a certain level of abstraction.

- *Conceptual Data Model*
  - a high level description
  - useful for requirements understanding.
- *Representational Data Model*
  - describing the logical representation of data without giving details of physical representation.
- *Physical Data Model*
  - description giving details about record formats, file structures etc.

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### E/R (Entity/Relationship) Model

- A conceptual level data model.
  - Provides the concepts of *entities*, *relationships* and *attributes*.
- The University Database Context*  
Entities: *student*, *faculty member*, *course*, *departments* etc.  
Relationships: *enrollment* relationship between student & course,  
*employment* relationship between faculty member, department etc.  
Attributes: *name*, *rollNumber*, *address* etc., of *student* entity,  
*name*, *empNumber*, *phoneNumber* etc., of *faculty* entity etc.  
More details will be given in the E/R Model Module.

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### Representational Level Data Model

Relational Model : Provides the concept of a relation.

In the context of university database:

Relation name

Attributes

student						
SName	RollNumber	JoiningYear	BirthDate	Program	Dept	
Sriram	CS04B123	2004	15Aug1982	BTech	CS	
⋮	⋮	⋮	⋮	⋮	⋮	

Data tuple

Relation scheme: Attribute names of the relation.

Relation data/instance: set of data tuples.

More details will be given in Relational Data Model Module.

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### RDBMS: Data versus Schema or Meta-Data

- DBMS is generic in nature
  - not tied to a single database
  - capable of managing several databases at a time
- Data and schema are stored separately.
- In RDBMS context:
  - Schema – table names, attribute names with their data types for each table and constraints etc.
  - More details later...
- Database definition – setting up the skeleton structure
- Database Loading/populating – storing data

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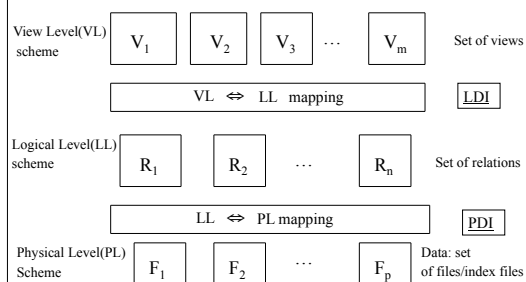
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### Abstraction Levels in a DBMS: Three-Schema Architecture



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### RDBMS: Three-schema Architecture(1/2)

#### View Level Schema

Each view describes an aspect of the database relevant to a particular group of users.

For instance, in the context of a library database:

- Books Purchase Section
- Issue/Returns Management Section
- Users Management Section

Each section views/uses a portion of the entire data.

Views can be set up for each section of users.

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### RDBMS: Three-schema Architecture(2/2)

#### Logical Level Schema

- Describes the logical structure of the entire database.
- No physical level details are given.

#### Physical Level Schema

- Describes the physical structure of data in terms of record formats, file structures, indexes etc.

#### Remarks

- Views are optional
  - Can be set up if the DB system is very large and if easily identifiable user-groups exist
- The logical scheme is essential
- Modern RDBMS' s hide details of the physical layer

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### Physical Data Independence

The ability to modify physical level schema without affecting the logical or view level schema.

Performance tuning – modification at physical level creating a new index etc.

Physical Data Independence – modification is localized

- achieved by suitably modifying PL-LL mapping.
- a very important feature of modern DBMS.

Three Schema Arch

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### Logical Data Independence

The ability to change the logical level scheme without affecting the view level schemes or application programs

Adding a new attribute to some relation

- no need to change the programs or views that don't require to use the new attribute

Deleting an attribute

- no need to change the programs or views that use the remaining data
- view definitions in VL-LL mapping only need to be changed for views that use the deleted attribute

Three-schema Architecture

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### Development Process of a Database System (1/2)

#### Step 1. Requirements collection

- *Data model requirements*
  - various pieces of data to be stored and the interrelationships.
  - presented using a *conceptual data model* such as E/R model.
- *Functional requirements*
  - various operations that need to be performed as part of running the enterprise.
    - acquiring a new book, enrolling a new user, issuing a book to the user, recording the return of a book etc.

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### Development process of a database system (2/2)

#### Step 2. Convert the conceptual data model

into a representational level data model

- typically the relational data model.
- choose an RDBMS system and create the database.

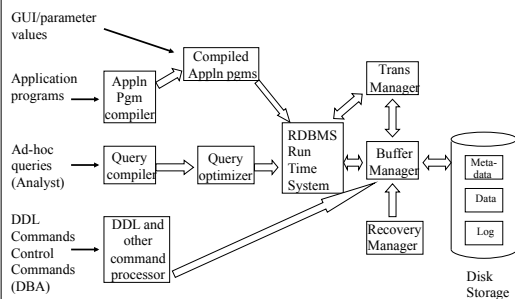
#### Step 3. Convert the functional requirements into application programs

- programs in a high-level language that use embedded SQL /API's to interact with the database and carry out the required tasks.

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### Architecture of an RDBMS system



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### Architecture Details (1/3)

#### Disk Storage:

- Meta-data / schema
  - table definitions, view definitions, mappings
- Data – relation instances, index structures
  - statistics about data
- Log – record of database update operations
  - essential for failure recovery

#### DDL and other SQL command processor:

- (DDL – Data definition language part of SQL)
- Commands for relation scheme creation, constraints setting etc
- Commands for handling authorization and data access control

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### Architecture Details (2/3)

#### Query compiler

- Compiles
  - SQL adhoc queries
  - update / delete commands

#### Query optimizers

- Selects a near optimal plan for executing a query
- relation properties and index structures are utilized

#### Application Program Compiler

- Preprocess to separate embedded SQL commands
- Use host language compiler to compile rest of the program
- Integrate the compiled program with the libraries for SQL commands supplied by RDBMS

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### Architecture Details (3/3)

#### RDBMS Run Time System:

- Executes Compiled queries, Compiled application programs
- Interacts with Transaction Manager, Buffer Manager

#### Transaction Manager:

- Keeps track of start, end of each transaction
- Enforces concurrency control protocols

#### Buffer Manager:

- Manages disk space
- Implements paging mechanism

#### Recovery Manager:

- Takes control as restart after a failure
- Brings the system to a consistent state before it can be resumed

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### Roles for people in an Info System Management (1/2)

#### Naive users / Data entry operators

- Use the GUI provided by an application program
- Feed-in the data and invoke an operation
  - e.g., person at the train reservation counter, person at library issue / return counter
- No deep knowledge of the IS required

#### Application Programmers

- Embed SQL in a high-level language and develop programs to handle functional requirements of an IS
- Should thoroughly understand the logical schema or relevant views
- Meticulous testing of programs - necessary

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### Roles for people in an Info System management (2/2)

#### Sophisticated user / data analyst:

Uses SQL to generate answers for complex queries

#### DBA (Database Administrator)

Designing the logical scheme  
Creating the structure of the entire database  
Monitor usage and create necessary index structures to speed up query execution  
Grant / Revoke data access permissions to other users etc.

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### Text Books

- Ramez Elmasri and Shamkant B Navathe, *Fundamentals of Database Systems*, 6<sup>th</sup> Edition, Addison Wesley, 2011.
- Raghu Ramakrishnan and Johannes Gehrke, *Database Management Systems*, 3<sup>rd</sup> Edition, McGraw Hill, 2003.
- A Silberschatz, H F Korth and S Sudarshan, *Database System Concepts*, 6<sup>th</sup> Edition, 2013.
- H Garcia-Molina, J D Ullman, and Jennifer Widom, *Database Systems - The Complete Book*, Pearson Education, 2002.

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