

# MC302 – DBMS: Hashing

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# Outline

- hashing
- extendible hashing
- linear hashing
- Hashing vs B-trees

# Hashing

- Primary file organization
- Very fast access to records on search condition
- Search condition must be on a single field – hash field or hash key
- Hash function/ randomizing function – applied on hash field, yields address of disk block

# Static Hashing

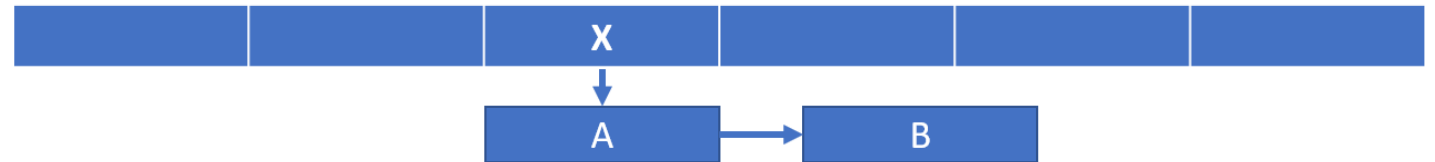
- Fixed number of buckets
- Drawback for dynamic files
- Types:
  - Internal Hashing
  - External Hashing

# Internal Hashing

- For internal files
- implement a hash table using array of records
- M slots addressed as 0 to M-1
- Choose a hash function, transform hash field into an integer from 0 to M-1
- Most common hash function  $h(k) = k \bmod M$
- Problems:
  - No guarantee that different values will hash to different addresses

# Collision

- Hash field value hashed to an address already occupied
- **Collision resolution:** find another position
- Collision Resolution Techniques:
  - **Open addressing:**
    - Starting from the hashed address, check subsequent positions till an unused position is found
  - **Chaining:**
    - Place new value in an unused overflow location
    - Set a pointer from the address to the overflow location



- **Multiple Hashing:**
  - Apply a second hash function

# External Hashing

- Target address space is made of **buckets**,
  - each bucket can hold multiple values
- Bucket is
  - 1 disk block, or
  - Cluster of contiguous blocks
- Hash function maps key to a relative bucket number
- Collision problem is less severe
- If a bucket is full, a variation of chaining can be used-
  - Bucket points to record pointers – block address and record position

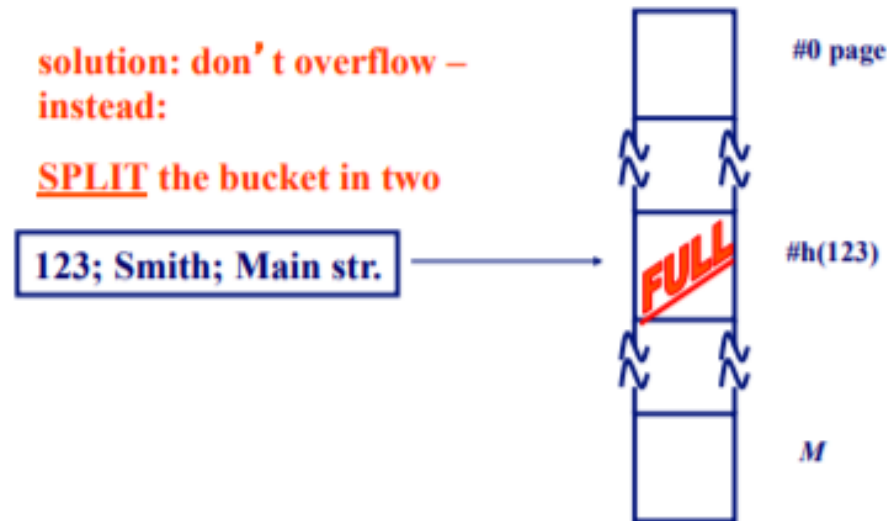
# Problem with (static) hashing

- Overflow
- Underflow
- Sol: Dynamic Hashing



# Dynamic Hashing

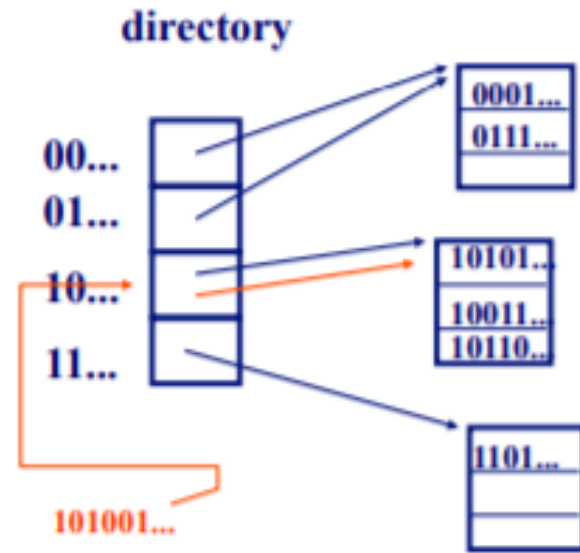
- idea: shrink / expand hash table on demand..
- ..dynamic hashing
- Details: how to grow gracefully, on overflow?
- Many solutions – One of them: ‘extendible hashing’



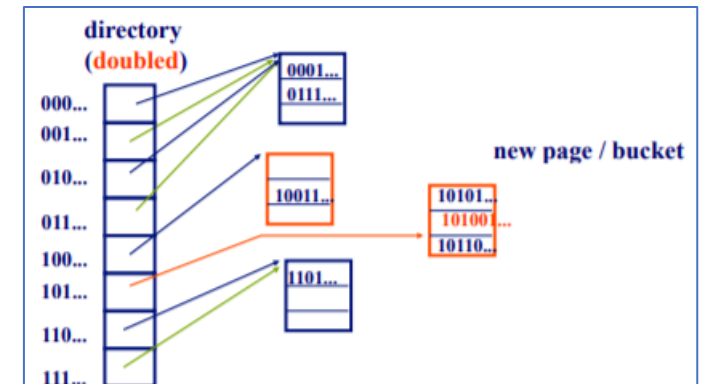
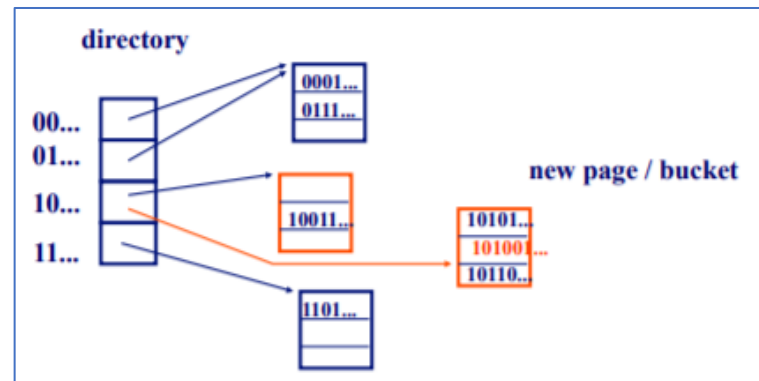
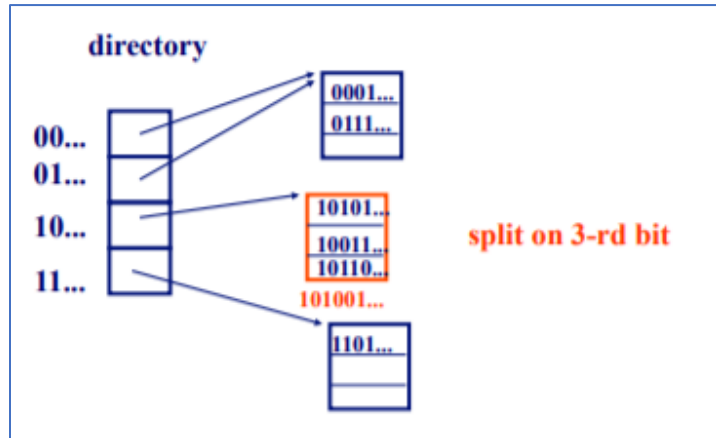
# Extendible Hashing

- keep a directory, with pointers to hash-buckets
- Uses-
  - An array of  $2^d$  buckets.  $d$  is the global depth
  - Directory
- First  $d$  bits of hash value is used as index for directory entry
- Entry in director determines the bucket address
- Each bucket stores
  - Local depth  $d'$  – number of bits on which bucket contents are based
- Q: how to divide contents of bucket in two?
- A: hash each key into a very long bit string; keep only as many bits as needed

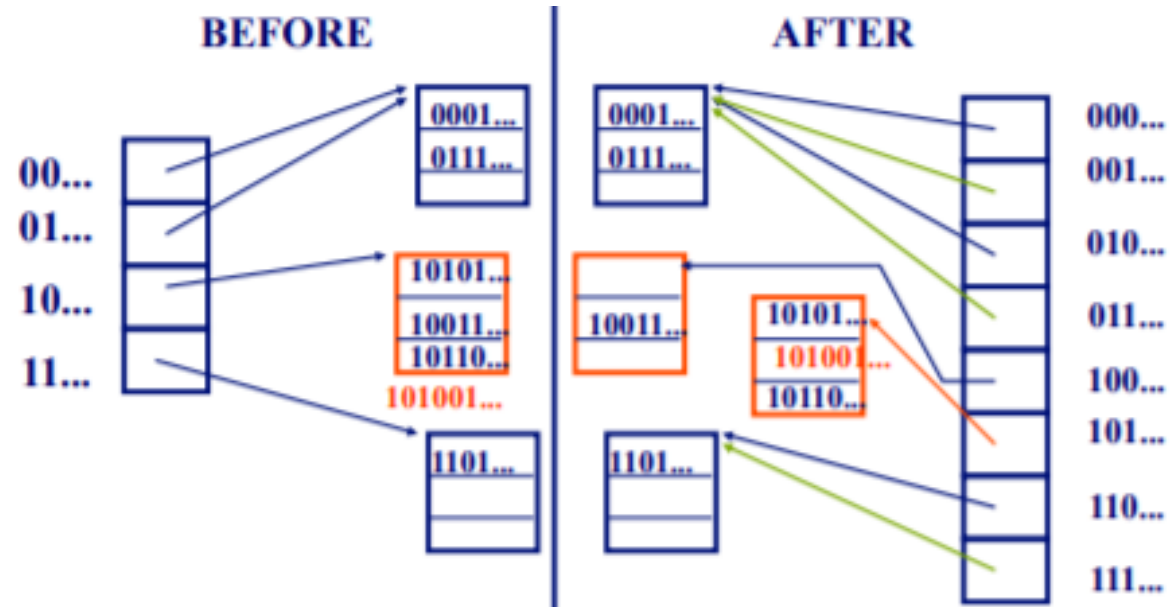
# Extendible Hashing



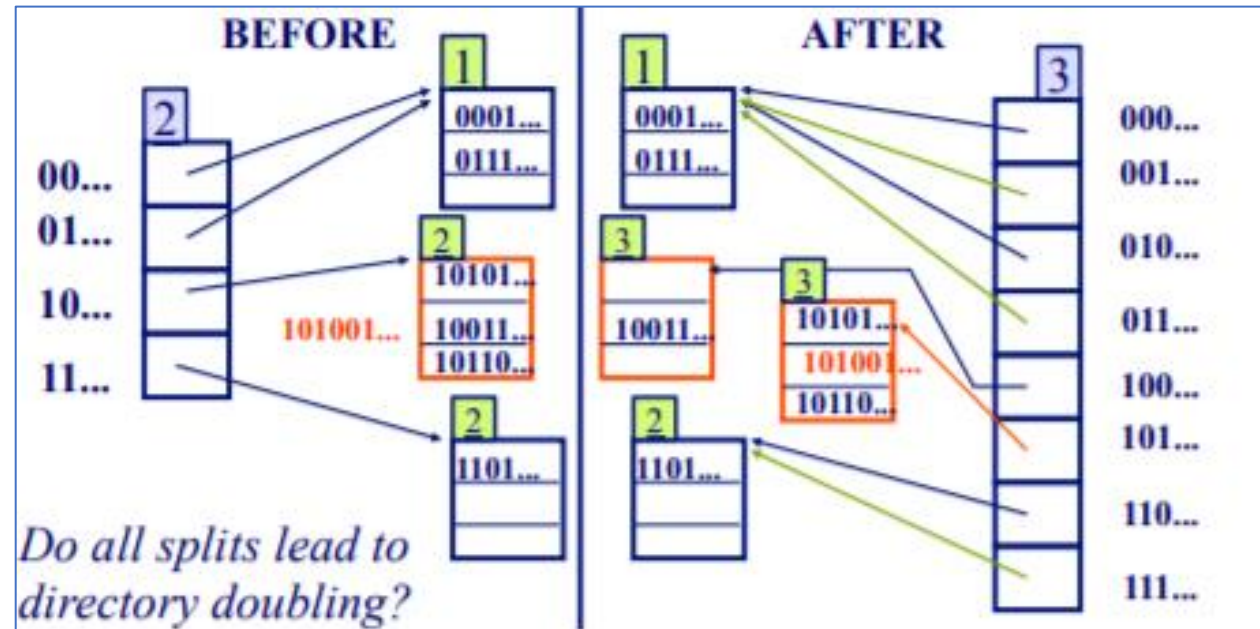
# Extendible Hashing



# Extendible Hashing



# Extendible Hashing



# Extendible Hashing – Directory Doubling

- bucket overflows, 2 cases –
  - If local depth,  $d'$  = global depth,  $d$ 
    - Double the directory
  - If  $d' < d$ 
    - Split the bucket, no need of directory doubling

# Extendible Hashing

- Advantages:
  - Performance of file does not degrade with increase in size
  - Space overhead for directory is negligible
  - Splitting causes minor reorganization
- Disadvantages:
  - Directory must be searched before bucket. 2 block access instead of 1



# Linear hashing

- Motivation: extendible hashing needs directory which doubles
- Q: can we do something simpler, with smoother growth?
- A: split buckets from left to right, regardless of which one overflowed

Initially:  $h(x) = x \bmod N$  ( $N=3$  here)

Assume capacity: 2 records / bucket

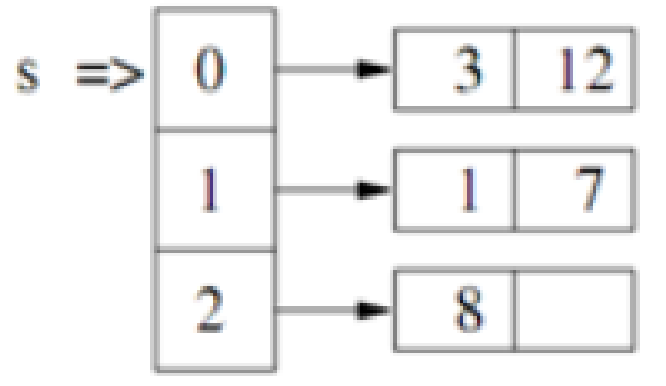
Use two hash functions:

$h_0(x) = x \bmod N$  ( $N=3$  here) - for unsplit buckets

$h_1(x) = x \bmod (2*N)$  ( $N=3$  here) - for the splitted ones

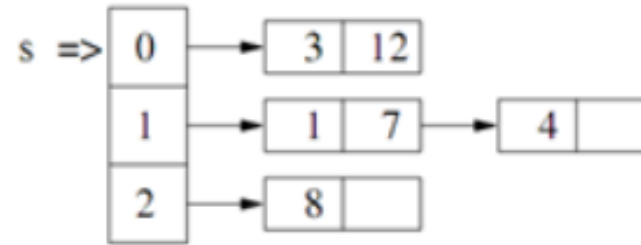
# Linear Hashing Example

- In the following  $M=3$  (initial # of buckets)
- Each bucket has 2 keys. One extra key for overflow.
- $s$  is a pointer, pointing to the split location. This is the place where next split should take place.
- Insert Order: 1,7,3,8,12,4,11,2,10,13
- After insertion till 12:

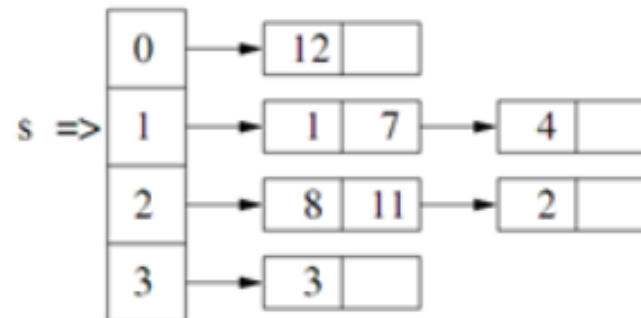


# Linear Hashing example

- When 4 inserted overflow occurred. So we split the bucket (no matter it is full or partially empty). And increment pointer.

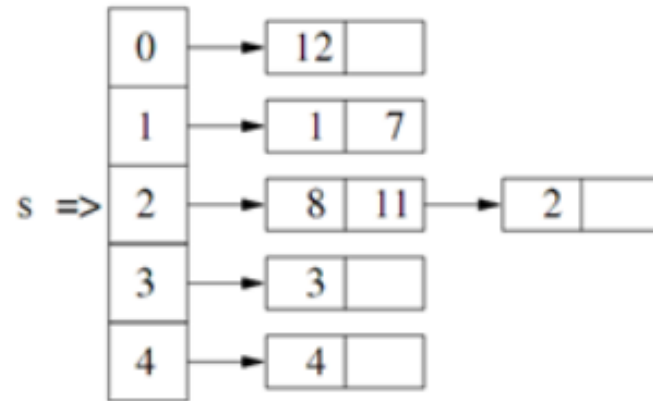


- split bucket 0 and rehashed all keys.
- Placed 3 to new bucket as  $(3 \bmod 6 = 3)$  and  $(12 \bmod 6 = 0)$ .
- Then 11 and 2 are inserted.
- $s$  is pointing to bucket 1, hence split bucket 1 by re- hashing it.

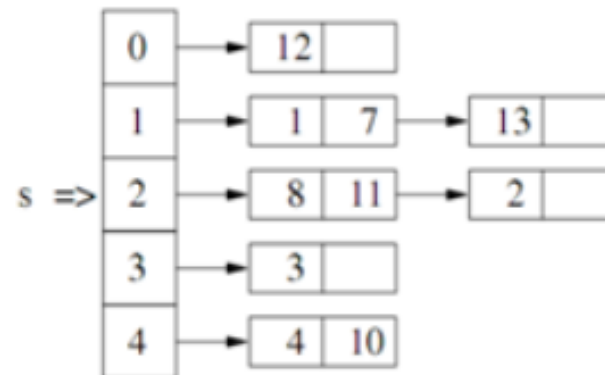


# Linear Hashing Example

- After split:

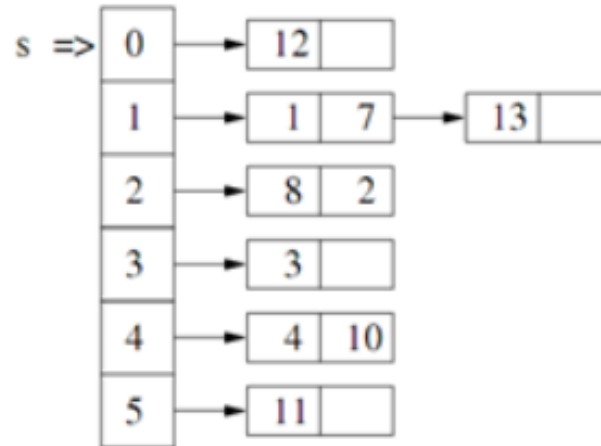


- Insertion of 10:
  - $(10 \bmod 3 = 1)$  and bucket  $1 < s$ , we need to hash 10 again using  $h_1(10) = 10 \bmod 6 = 4^{\text{th}}$  bucket
- For 13
  - same bucket
  - Overflow
  - split 2nd bucket.



# Linear Hashing Example

- Final Hash Table:



- $s$  is moved to the top again as one cycle is completed and *level* is incremented.

# Linear hashing - Searching

- Algo to find key 'k':

compute  $b = h_0(k)$

// original slot

if  $b < s$

// has already split

compute  $b = h_1(k)$

search bucket  $b$

# Linear hashing - Deletion

- Inverse of insertion
- If underflow, **contract**
- If the last bucket is empty,
  - remove it and
  - Decrement  $s$ .
- If  $s$  is 0 and the last bucket becomes empty,
  - $s$  is made to point to bucket  $(n/2)-1$ , where  $n$  is the current number of buckets,
  - Level is decremented, and
  - the empty bucket is removed.

# B+ Trees vs Hashing

B+ Trees	Hashing
<ul style="list-style-type: none"><li>• Speed on Search<ul style="list-style-type: none"><li>• Exact match queries, worst case</li><li>• Range queries</li><li>• Nearest-neighbor queries</li></ul></li><li>• Speed on insertion + deletion</li><li>• Smooth growing and shrinking (no-reorg)</li></ul>	<ul style="list-style-type: none"><li>• Speed<ul style="list-style-type: none"><li>• On exact match queries, on the average</li></ul></li></ul>