Asymptotic Notation

Growth of Functions - Cheat Sheet

The 5 Notations

Not.	Meaning	Like
Θ	Tight bound	$a = b$
O	Upper bound	$a \leq b$
Ω	Lower bound	$a \geq b$
o	Strict upper	$a < b$
ω	Strict lower	$a > b$

$\Theta(g(n))$: $\exists c_1, c_2, n_0 > 0 : 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$ $\forall n \geq n_0$

$O(g(n))$: $\exists c, n_0 > 0 : 0 \leq f(n) \leq c \cdot g(n) \ \forall n \geq n_0$

$\Omega(g(n))$: $\exists c, n_0 > 0 : 0 \leq c \cdot g(n) \leq f(n) \ \forall n \geq n_0$

$o(g(n))$: $\forall c > 0, \exists n_0 : 0 \leq f(n) < c \cdot g(n) \ \forall n \geq n_0$

OR: $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

$\omega(g(n))$: $\forall c > 0, \exists n_0 : 0 \leq c \cdot g(n) < f(n) \ \forall n \geq n_0$

OR: $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$

Key Theorems

Th 3.1: $f(n) = \Theta(g(n)) \iff f(n) = O(g(n))$ AND $f(n) = \Omega(g(n))$

Polynomial: $p(n) = \sum_{i=0}^{d} a_i n^i,\ a_d > 0 \implies p(n) = \Theta(n^d)$

Transpose: $f(n) = O(g(n)) \iff g(n) = \Omega(f(n))$
$f(n) = o(g(n)) \iff g(n) = \omega(f(n))$

Growth Hierarchy

$$O(1) < O(\lg n) < O(\sqrt{n}) < O(n)$$

$$< O(n \lg n) < O(n^2) < O(n^3)$$

$$< O(2^n) < O(n!)$$

Common Complexities

Class	Example
$O(1)$	Array access
$O(\lg n)$	Binary search
$O(n)$	Linear search
$O(n \lg n)$	Merge/Heap sort
$O(n^2)$	Bubble/Insert sort
$O(2^n)$	Subset generation
$O(n!)$	Permutations

Properties

Transitivity: $f = \Theta(g), g = \Theta(h) \implies f = \Theta(h)$
(Same for O, Ω, o, ω)

Reflexivity: $f(n) = \Theta(f(n))$, $f(n) = O(f(n))$, $f(n) = \Omega(f(n))$

Symmetry: $f(n) = \Theta(g(n)) \iff g(n) = \Theta(f(n))$

Critical Limits

Exp vs Poly: $\lim_{n \to \infty} \frac{n^b}{a^n} = 0\ (a > 1)$
$\implies n^b = o(a^n)$

Poly vs Log: $\lim_{n \to \infty} \frac{\lg^b n}{n^a} = 0\ (a > 0)$
$\implies \lg^b n = o(n^a)$

Factorial: $n! = \omega(2^n)$, $n! = o(n^n)$

Logarithms

$\lg n = \log_2 n$, $\ln n = \log_e n$
Identities:

$$a = b^{\log_b a}$$
$$\log(ab) = \log a + \log b$$
$$\log(a^k) = k \log a$$
$$\log_b a = \frac{\log a}{\log b}$$
$$\log(1/a) = -\log a$$
$$\log_b a = \frac{1}{\log_a b}$$

Base irrelevant for Big-O:
$\log_a n = \Theta(\log_b n)$ for any $a, b > 1$

Exponentials

Identities: $a^0 = 1$, $a^1 = a$, $a^{-1} = 1/a$
$(a^m)^n = a^{mn}$, $a^m a^n = a^{m+n}$
Natural exp: $e^x = \sum_{i=0}^{\infty} \frac{x^i}{i!}$
$e^x \geq 1 + x$
$e^x = 1 + x + \Theta(x^2)$ as $x \to 0$

Factorials

$n! = 1 \cdot 2 \cdot 3 \cdots n$
Weak bound: $n! \leq n^n$
Stirling: $n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left(1 + \Theta\left(\frac{1}{n}\right)\right)$
Log factorial: $\lg(n!) = \Theta(n \lg n)$

Floor/Ceiling

$\lfloor x \rfloor$ = greatest int $\leq x$
$\lceil x \rceil$ = least int $\geq x$
$x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$
$\lceil n/2 \rceil + \lfloor n/2 \rfloor = n$

Modular Arithmetic

$a \bmod n = a - n\lfloor a/n \rfloor$

$0 \le a \bmod n < n$

$a \equiv b \pmod{n} \iff (a \bmod n) = (b \bmod n)$

$\iff n | (b - a)$

Fibonacci Numbers

$F_0 = 0$, $F_1 = 1$, $F_i = F_{i-1} + F_{i-2}$

Golden ratio: $\phi = \frac{1+\sqrt{5}}{2} \approx 1.618$

$\hat{\phi} = \frac{1-\sqrt{5}}{2} \approx -0.618$

$F_i = \frac{\phi^i - \hat{\phi}^i}{\sqrt{5}} \approx \frac{\phi^i}{\sqrt{5}}$

Fibonacci grows exponentially

Iterated Logarithm

$\lg^* n = \min\{i \ge 0 : \lg^{(i)} n \le 1\}$

n	$\lg^* n$
2	1
4	2
16	3
65536	4
2^{65536}	5

Very slow growth!

Loop Analysis

Single loop: for i=1 to n $\to O(n)$

Nested (both n): for i=1 to n, for j=1 to n $\to O(n^2)$

Dependent: for i=1 to n, for j=1 to i $\to \sum_{i=1}^{n} i = \frac{n(n+1)}{2} = O(n^2)$

Halving: while n>1: n=n/2 $\to O(\lg n)$

Tree recursion: T(n)=2T(n/2)+O(n) $\to O(n \lg n)$

Summation Formulas

$\sum_{i=1}^{n} 1 = n$

$\sum_{i=1}^{n} i = \frac{n(n+1)}{2} = \Theta(n^2)$

$\sum_{i=1}^{n} i^2 = \frac{n(n+1)(2n+1)}{6} = \Theta(n^3)$

$\sum_{i=0}^{n} a^i = \frac{a^{n+1}-1}{a-1}$ $(a \ne 1)$

For $|x| < 1$: $\sum_{i=0}^{\infty} x^i = \frac{1}{1-x}$

Practical Limits

Assuming $\sim 10^8$ ops/sec:

Cmplx	Max n
$O(n)$	10^8
$O(n \lg n)$	10^7
$O(n^2)$	10^4
$O(n^3)$	500
$O(2^n)$	25
$O(n!)$	11

Quick Rules

Polynomials: Drop lower terms & coefficients

$3n^2 + 5n + 2 = \Theta(n^2)$

Nested loops: Multiply complexities

2 nested n-loops = $O(n) \times O(n) = O(n^2)$

Sequential: Take maximum

$O(n) + O(n^2) = O(n^2)$

Recursive: Master Theorem or substitution

Common Mistakes

[X] "at least $O(n)$" (contradictory)

[X] Confusing 2^n with n^2

[X] Forgetting log base doesn't matter

[X] Using Θ without proof

[X] Counting input in space complexity

Space Complexity

Count: aux data structures, recursion depth

Don't count: input size, output (unless total)

Recursion depth d: $O(d)$ stack space

Master Theorem

For $T(n) = aT(n/b) + f(n)$:

Let $c_{crit} = \log_b a$

Case 1: $f(n) = O(n^c)$, $c < c_{crit}$

$\implies T(n) = \Theta(n^{c_{crit}})$

Case 2: $f(n) = \Theta(n^{c_{crit}})$

$\implies T(n) = \Theta(n^{c_{crit}} \lg n)$

Case 3: $f(n) = \Omega(n^c)$, $c > c_{crit}$

and regularity condition

$\implies T(n) = \Theta(f(n))$

Proving Bounds

To prove Θ: Find c_1, c_2, n_0

OR prove both O and Ω

To prove O: Find c, n_0 for inequality

OR show $\lim_{n \to \infty} \frac{f(n)}{g(n)} < \infty$

To prove Ω: Find c, n_0 for inequality

OR show $\lim_{n \to \infty} \frac{f(n)}{g(n)} > 0$

To disprove O: Show $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$