

## Assignment 2

Name: Anit Mangal

Due: 11.59 pm, Aug 20, 2024

**Note:** The basic policies are stated in the course page. Using GPT (or similar tools) to solve problems from the assignment is **strictly prohibited**. Use of any other (possibly online) source(s) **must** be clearly stated in the solution. **Any dishonesty, if caught, will yield zero credits for the entire assignment.**

A. [Perfect Secrecy :  $4 \times 4 = 16$  points.]

## Answers

**A.1** Assuming perfect secrecy holds if for **any** probability distribution over  $\mathbf{M}$  and  $\mathbf{K}$ , every ciphertext  $\mathbf{C} \in \mathbf{C}$  and every message  $\mathbf{M}_1, \mathbf{M}_2 \in \mathbf{M}$ :

$$\Pr[M_R = \mathbf{M}_1 | C_R = \mathbf{C}] = \Pr[M_R = \mathbf{M}_2 | C_R = \mathbf{C}] \quad (1)$$

Since perfect secrecy holds,

$$\begin{aligned} \Pr[M_R = \mathbf{M}_1 | C_R = \mathbf{C}] &= \Pr[M_R = \mathbf{M}_1] \\ \Rightarrow \Pr[M_R = \mathbf{M}_1] &= \Pr[M_R = \mathbf{M}_2] \quad (\text{From (1)}) \end{aligned}$$

But, our assumption is that perfect secrecy would hold for any probability distribution. This contradicts our assumption. So, our assumption is wrong.

Hence,  $\Pr[M_R = \mathbf{M}_1 | C_R = \mathbf{C}] = \Pr[M_R = \mathbf{M}_2 | C_R = \mathbf{C}]$  does not imply perfect secrecy.

**A.2**  $\forall \mathbf{C}_1, \mathbf{C}_2 \in \mathbf{C}$

$$\begin{aligned} \Pr[\mathbf{C}_R = \mathbf{C}_1] &= \sum_{\mathbf{K} \in \mathbf{K}} \Pr[\mathbf{K}_R = \mathbf{K}] \Pr[\mathbf{M}_R = D_{\mathbf{K}}(\mathbf{C}_1)] && (\text{Total Probability}) \\ &= \sum_{\mathbf{K} \in \mathbf{K}} \frac{1}{|\mathbf{K}|} \Pr[\mathbf{M}_R = D_{\mathbf{K}}(\mathbf{C}_1)] && (\text{Using Shannon's Theorem}) \\ &= \frac{1}{|\mathbf{K}|} \sum_{\mathbf{K} \in \mathbf{K}} \Pr[\mathbf{M}_R = D_{\mathbf{K}}(\mathbf{C}_1)] \end{aligned}$$

Using Shannon's Theorem, for every  $\mathbf{M} \in \mathbf{M}$  and for every  $\mathbf{C} \in \mathbf{C}$ , there is a unique key  $\mathbf{K}$  such that  $E_{\mathbf{K}}(\mathbf{M}) = \mathbf{C}$ . So, for every  $\mathbf{K} \in \mathbf{K}$  and for every  $\mathbf{C} \in \mathbf{C}$ , there is a unique plaintext  $\mathbf{M}$  such that  $E_{\mathbf{K}}(\mathbf{M}) = \mathbf{C}$ . And since  $|\mathbf{K}| = |\mathbf{M}|$ , every  $\mathbf{M}$  is utilised if every  $\mathbf{K}$  is utilised.

$$\begin{aligned} &= \frac{1}{|\mathbf{K}|} \sum_{\mathbf{M} \in \mathbf{M}} \Pr[\mathbf{M}_R = \mathbf{M}] \\ &= \frac{1}{|\mathbf{K}|} \end{aligned}$$

Similarly,

$$\Pr[\mathbf{C}_R = \mathbf{C}_2] = \frac{1}{|\mathbf{K}|} = \Pr[\mathbf{C}_R = \mathbf{C}_1]$$

$\therefore$  Every ciphertext is equally probable.

**A.3** For Affine Cipher,  $K = (a, b) : \gcd(a, 26) = 1$

For any  $y \in \mathbb{Z}_{26}$ ,

$$\begin{aligned}
 \Pr[\mathbf{C}_R = y] &= \sum_{K \in \mathbb{Z}_{26}^* \times \mathbb{Z}_{26}} \Pr[\mathbf{K}_R = K] \Pr[x = D_K(y)] \\
 &= \frac{1}{312} \sum_{K \in \mathbb{Z}_{26}^* \times \mathbb{Z}_{26}} \Pr[x = a^{-1}(y - b) \pmod{26}] \quad (\text{Key is sampled uniformly at random}) \\
 &= \frac{1}{312} \sum_{K \in \mathbb{Z}_{26}^* \times \mathbb{Z}_{26}} \frac{1}{26} \\
 &= \frac{1}{312} \cdot \frac{312}{26} \\
 &= \frac{1}{26}
 \end{aligned}$$

Now,  $\forall x, y \in \mathbb{Z}_{26}$ ,

$$\begin{aligned}
 \Pr[\mathbf{C}_R = y | \mathbf{M}_R = x] &= \Pr[\mathbf{K}_R = (a, b) : y = ax + b \pmod{26}] \\
 &= \frac{1}{26} \quad (\text{For an } a, \text{ there is a fixed } b \in \mathbb{Z}_{26})
 \end{aligned}$$

Since  $\Pr[\mathbf{C}_R = y | \mathbf{M}_R = x] = \Pr[\mathbf{C}_R = y]$ , Affine Cipher achieves perfect secrecy when keys are sampled uniformly at random

**A.4** For any  $y \in \{0, 1\}^n$ ,

$$\begin{aligned}
 \Pr[\mathbf{C}_R = y] &= \sum_{K \in \{0, 1\}^n \setminus 0^n} \Pr[\mathbf{K}_R = K] \Pr[x = D_K(y)] \\
 &= \frac{1}{2^n - 1} \sum_{K \in \{0, 1\}^n \setminus 0^n} \Pr[x = y \oplus K] \quad (\text{Key is sampled uniformly at random}) \\
 &= \frac{1}{2^n - 1} \sum_{K \in \{0, 1\}^n \setminus 0^n} \frac{1}{2^n} \\
 &= \frac{1}{2^n - 1} \cdot \frac{1}{2^n} \cdot (2^n - 1) \\
 &= \frac{1}{2^n}
 \end{aligned}$$

Now,  $\forall x, y \in \{0, 1\}^n$ ,

$$\begin{aligned}
 \Pr[\mathbf{C}_R = y | \mathbf{M}_R = x] &= \Pr[\mathbf{K}_R = K : y = x \oplus K] \\
 &= \Pr[\mathbf{K}_R = K : K = x \oplus y] \\
 &= \frac{1}{2^n - 1}
 \end{aligned}$$

$\therefore$  Since  $\Pr[\mathbf{C}_R = y | \mathbf{M}_R = x] \neq \Pr[\mathbf{C}_R = y]$ , the scheme is not perfectly secure.

**B. [Entropy :  $4 + (4 \times 2) + (4 \times 2) + (3 + 1) = 24$  points.]**

## Answers

**B.1** We know that,

$$H(\mathbf{K}_R, \mathbf{M}_R, \mathbf{C}_R) = H(\mathbf{K}_R, \mathbf{M}_R) = H(\mathbf{K}_R) + H(\mathbf{M}_R)$$

And, by theorem

$$H(\mathbf{K}_R | \mathbf{C}_R) = H(\mathbf{K}_R) + H(\mathbf{M}_R) - H(\mathbf{C}_R)$$

Using  $H(X|Y) = H(X) - H(Y)$ ,

$$\begin{aligned} H(\mathbf{K}_R | \mathbf{C}_R) - H(\mathbf{M}_R | \mathbf{C}_R) &= H(\mathbf{K}_R) + H(\mathbf{M}_R) - H(\mathbf{C}_R) - H(\mathbf{M}_R | \mathbf{C}_R) \\ &= H(\mathbf{K}_R, \mathbf{M}_R, \mathbf{C}_R) - H(\mathbf{C}_R) - H(\mathbf{M}_R | \mathbf{C}_R) \\ &= H(\mathbf{K}_R, \mathbf{M}_R, \mathbf{C}_R) - H(\mathbf{M}_R, \mathbf{C}_R) \\ &= H(\mathbf{K}_R | \mathbf{M}_R, \mathbf{C}_R) \geq 0 \end{aligned}$$

$$\therefore H(\mathbf{K}_R | \mathbf{C}_R) \geq H(\mathbf{M}_R | \mathbf{C}_R)$$

**B.2** Since messages and keys are equiprobable,  $\Pr(\mathbf{M}_R = \mathbf{M}) = \frac{1}{26} \forall \mathbf{M} \in \mathbb{Z}_{26}$  and  $\Pr(\mathbf{K}_R = \mathbf{K}) = \frac{1}{312} \forall \mathbf{K} \in \mathbb{Z}_{26}^* \times \mathbb{Z}_{26}$ . So  $\Pr(\mathbf{C}_R = \mathbf{C}) = \frac{1}{26} \forall \mathbf{C} \in \mathbb{Z}_{26}$ .

$$\begin{aligned} H(\mathbf{K}_R) &= \sum_{\mathbf{K} \in \mathbb{Z}_{26}^* \times \mathbb{Z}_{26}} \Pr(\mathbf{K}_R = \mathbf{K}) \cdot \log_2 \frac{1}{\Pr(\mathbf{K}_R = \mathbf{K})} \\ &= \sum_{\mathbf{K} \in \mathbb{Z}_{26}^* \times \mathbb{Z}_{26}} \frac{1}{312} \cdot \log_2 312 \\ &= \log_2 312 \\ &= 8.285 \text{ (approx)} \end{aligned}$$

$$\begin{aligned} H(\mathbf{M}_R) &= \log_2 26 \\ &= 4.7 \text{ (approx)} \end{aligned}$$

$$H(\mathbf{C}_R) = 4.7 \text{ (approx)}$$

$$\begin{aligned} H(\mathbf{K}_R | \mathbf{C}_R) &= H(\mathbf{K}_R) + H(\mathbf{M}_R) - H(\mathbf{C}_R) && \text{(Using Theorem)} \\ &= \log_2 312 = 8.285 \text{ (approx)} \end{aligned}$$

$$\therefore H(\mathbf{K}_R | \mathbf{C}_R) = 8.285$$

$$\begin{aligned} H(\mathbf{M}_R, \mathbf{C}_R) &= \sum_{\mathbf{C} \in \mathbb{Z}_{26}} \sum_{\mathbf{M} \in \mathbb{Z}_{26}} \Pr(\mathbf{C}_R = \mathbf{C}, \mathbf{M}_R = \mathbf{M}) \cdot \log_2 \frac{1}{\Pr(\mathbf{C}_R = \mathbf{C}, \mathbf{M}_R = \mathbf{M})} \\ &= \sum_{\mathbf{K} \in \mathbb{Z}_{26}^* \times \mathbb{Z}_{26}} \Pr(\mathbf{K}_R = \mathbf{K}) \cdot \log_2 \frac{1}{\Pr(\mathbf{K}_R = \mathbf{K})} && (\mathbf{C} = E_{\mathbf{K}}(\mathbf{M}) \text{ for some } \mathbf{K} \in \mathbf{K}) \\ &= H(\mathbf{K}_R) \\ &= \log_2 312 \end{aligned}$$

$$\begin{aligned} H(\mathbf{K}_R | \mathbf{M}_R, \mathbf{C}_R) &= H(\mathbf{K}_R, \mathbf{M}_R, \mathbf{C}_R) - H(\mathbf{M}_R, \mathbf{C}_R) \\ &= H(\mathbf{K}_R) + H(\mathbf{M}_R) - H(\mathbf{C}_R) \\ &= \log_2 26 \\ &= 4.7 \text{ (approx)} \end{aligned}$$

$$\therefore H(\mathbf{K}_R | \mathbf{M}_R, \mathbf{C}_R) = 4.7$$

**B.3** •  $f(x) = 7^x$

$$\begin{aligned}
H(\mathbf{R}) &= \sum_{\mathbf{R} \in R} \Pr(\mathbf{R} = \mathbf{R}) \cdot \log_2 \frac{1}{\Pr(\mathbf{R} = \mathbf{R})} \\
&\geq \sum_{x \in D} \Pr(\mathbf{R} = 7^x) \cdot \log_2 \frac{1}{\Pr(\mathbf{R} = 7^x)} & (f(D) \subseteq R) \\
&= \sum_{x \in D} \Pr(\mathbf{D} = x) \cdot \log_2 \frac{1}{\Pr(\mathbf{D} = x)} \\
&= H(\mathbf{D})
\end{aligned}$$

$$\therefore H(\mathbf{R}) \geq H(\mathbf{D})$$

**B.4** Let  $\min_{z \in Z} \log_2 \frac{1}{\Pr(\mathbf{Z} = z)} = k$

$$\begin{aligned}
H(\mathbf{Z}) &= \sum_{z \in Z} \Pr(\mathbf{Z} = z) \cdot \log_2 \frac{1}{\Pr(\mathbf{Z} = z)} \\
&\geq \sum_{z \in Z} \Pr(\mathbf{Z} = z) \cdot k & \left( \log_2 \frac{1}{\Pr(\mathbf{Z} = z)} \geq \min_{z_1 \in Z} \log_2 \frac{1}{\Pr(\mathbf{Z} = z_1)} \forall z \in Z \right) \\
&= k \cdot \sum_{z \in Z} \Pr(\mathbf{Z} = z) \\
&= k = \min_{z \in Z} \log_2 \frac{1}{\Pr(\mathbf{Z} = z)} \\
&= -\log_2 \left( \max_{z \in Z} \Pr(\mathbf{Z} = z) \right) = H_\infty(\mathbf{Z})
\end{aligned}$$

$$\therefore H(\mathbf{Z}) \geq H_\infty(\mathbf{Z}) \geq 0$$

$$\begin{aligned}
H(\mathbf{Z}) &= H_\infty(\mathbf{Z}) \text{ when } -\log_2 \left( \max_{z \in Z} \Pr(\mathbf{Z} = z) \right) = -\log_2 (\Pr(\mathbf{Z} = z_1)) \forall z_1 \in Z \\
&\Rightarrow \max_{z \in Z} \Pr(\mathbf{Z} = z) = \Pr(\mathbf{Z} = z_1) \forall z_1 \in Z
\end{aligned}$$

$\therefore$  If  $\mathbf{Z}$  has a uniform distribution,  $H(\mathbf{Z}) = H_\infty(\mathbf{Z})$ .