

**BAUHAUS UNIVERSITÄT WEIMAR**  
**INTRODUCTION TO MACHINE LEARNING**



**ASSIGNMENT 5**

**SUBMITTED BY**

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## Exercise 1 : Decision Trees

(a).

(a1)  $x|_A$  - Projection Operator

(a2)  $T$  - Decision Tree

(a3)  $t$  - A node in the decision tree

(a4)  $X(t)$  - Subset of features  $X$  that is represented by node  $t$  in the decision tree

(a5)  $D(t)$  - Subset of the example set  $D$  that is represented by node  $t$  in the decision tree

(a6)  $\Delta_I$  - Impurity Reduction

(b).  $X = \{x \in X : x|_A \in B\} \cup \{x \in X : x|_A \notin B\}$

Binary splitting induced by a (nominal) feature  $A$

(c). Non-Negativity

Zero Impurity for Pure Nodes

Monotonicity.

(d). The hypothesis space of decision trees refers to the set of all possible decision trees that can be constructed over a given set of features and classes.

(e). The search space of the ID3 algorithm consists of all permutations of the features in the feature set. if the number of features (= dimensionality of a feature vector  $x$ ) is  $p$ , then the search space contains  $p!$  Elements.

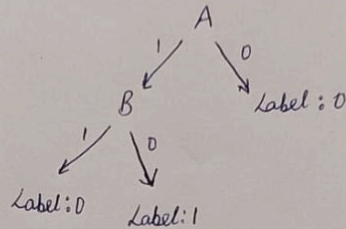
(f). The candidate elimination algorithm has an incomplete hypothesis space, containing only conjunctions of feature-value pairs as hypotheses. This restricted hypothesis space is searched completely by the candidate elimination algorithm, thus exhibiting a restriction bias.

The ID3 algorithm has a complete hypothesis space, since it contains all decision trees that can be constructed over  $D$ . But it searches this space incompletely, following a preference bias or search bias.

## Exercise 2 : Decision Trees

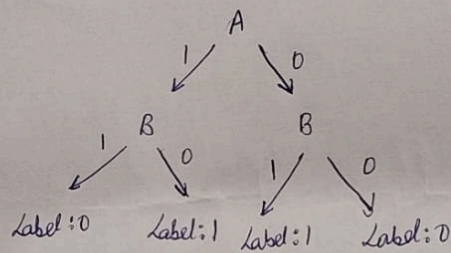
a)  $A \wedge \neg B$

A	B	$C(x)$
0	0	0
0	1	0
1	0	1
1	1	0



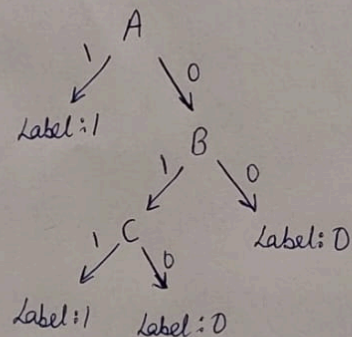
b)  $A \text{ XOR } B$

A	B	$C(x)$
0	0	0
0	1	1
1	0	1
1	1	0



c)  $A \vee (B \wedge C)$

A	B	C	$B \wedge C$	$C(x)$
0	0	0	0	0
0	0	1	0	0
0	1	0	0	0
0	1	1	1	1
1	0	0	0	1
1	0	1	0	1
1	1	0	0	1
1	1	1	1	1



### Exercise 3 : Impurity Functions

(a)

3(a) Using the misclassification rate

$$T_1: \Delta_{\text{misclass}}(D, \{D(t_1, 1), D(t_1, 2)\}) = \text{Impurity}(D) - [P_1 * \text{impurity}(D(t_1, 1)) + P_2 * \text{impurity}(D(t_1, 2))]$$

$$T_2: \Delta_{\text{misclass}}(D, \{D(t_2, 1), D(t_2, 2)\}) = \text{Impurity}(D) - [P_1 * \text{impurity}(D(t_2, 1)) + P_2 * \text{impurity}(D(t_2, 2))]$$

$$\begin{aligned} \text{For } T_1 \rightarrow \Delta_{\text{misclass}} &= 1 - \left( \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right) - \left[ \frac{1}{2} * \left( \frac{1}{2}, \frac{1}{2}, 0, 0 \right) + \frac{1}{2} * \left( 0, 0, \frac{1}{2}, \frac{1}{2} \right) \right] \\ &= \frac{3}{4} - \left( \frac{1}{2} \times \frac{1}{2} + \frac{1}{2} \times \frac{1}{2} \right) \\ &= \underline{\underline{0.25}} \end{aligned}$$

$$\begin{aligned} \text{For } T_2 \rightarrow \Delta_{\text{misclass}} &= 1 - \left( \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right) - \left[ \frac{1}{2} * \left( \frac{1}{3}, \frac{1}{6}, \frac{1}{2}, 0 \right) + \frac{1}{2} * \left( \frac{1}{6}, \frac{1}{3}, 0, \frac{1}{2} \right) \right] \\ &= \frac{3}{4} - \left( \frac{1}{2} \times \frac{1}{2} + \frac{1}{2} \times \frac{1}{2} \right) \\ &= \underline{\underline{0.25}} \end{aligned}$$

Using entropy criteria.

$$\begin{aligned} \text{For } T_1 \rightarrow \Delta_{\text{entropy}}(D, \{D(t_1, 1), D(t_1, 2)\}) &= \text{entropy} \left( \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right) - \frac{1}{2} * \text{entropy} \left( \frac{1}{2}, \frac{1}{2}, 0, 0 \right) - \\ &\quad \frac{1}{2} * \text{entropy} \left( 0, 0, \frac{1}{2}, \frac{1}{2} \right) \\ &= \left( \frac{1}{4} \log_2 \left( \frac{1}{4} \right) + \frac{1}{4} \log_2 \left( \frac{1}{4} \right) + \frac{1}{4} \log_2 \left( \frac{1}{4} \right) + \frac{1}{4} \log_2 \left( \frac{1}{4} \right) \right) + \\ &\quad \frac{1}{2} \left( \frac{1}{2} \log_2 \left( \frac{1}{2} \right) + \frac{1}{2} \log_2 \left( \frac{1}{2} \right) \right) + \frac{1}{2} \left( \frac{1}{2} \log_2 \left( \frac{1}{2} \right) + \frac{1}{2} \log_2 \left( \frac{1}{2} \right) \right) \\ &= \underline{\underline{1}} \end{aligned}$$

$$\begin{aligned} \text{For } T_2 \rightarrow \Delta_{\text{entropy}}(D, \{D(t_2, 1), D(t_2, 2)\}) &= \text{entropy} \left( \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right) - \frac{1}{2} * \text{entropy} \left( \frac{1}{3}, \frac{1}{6}, \frac{1}{2}, 0 \right) - \\ &\quad \frac{1}{2} * \text{entropy} \left( \frac{1}{6}, \frac{1}{3}, 0, \frac{1}{2} \right) \\ &= -4 \left( \frac{1}{4} \log_2 \left( \frac{1}{4} \right) \right) + \frac{1}{2} \left( \frac{1}{3} \log_2 \left( \frac{1}{3} \right) + \frac{1}{6} \log_2 \left( \frac{1}{6} \right) + \frac{1}{2} \log_2 \left( \frac{1}{2} \right) \right) + \\ &\quad \frac{1}{2} \left( \frac{1}{6} \log_2 \left( \frac{1}{6} \right) + \frac{1}{3} \log_2 \left( \frac{1}{3} \right) + \frac{1}{2} \log_2 \left( \frac{1}{2} \right) \right) \\ &= \underline{\underline{0.54}} \end{aligned}$$

The first split  $\{D(t_1, 1), D(t_1, 2)\}$  is considered better because of its higher impurity reduction based on entropy criteria.

#### Exercise 4 : Decision Trees

(a)

Color	Fur	Size	Character (C)
brown	ragged	small	well-behaved
black	ragged	big	dangerous
black	smooth	big	dangerous
black	curly	small	well-behaved
white	curly	small	well-behaved
white	smooth	small	dangerous
red	ragged	big	well-behaved



### Attribute: color

values  $\rightarrow$  Black, White, Red, Brown

$$H(A|B_i) = -\sum_{i=1}^k P(A_i|B_i) \cdot \log_2(P(A_i|B_i))$$

$$H = [4+, 3-] = -4/7 \log_2(4/7) - 3/7 \log_2(3/7) = \underline{\underline{0.985}}$$

$$H_{\text{Black}} = [1+, 2-] \rightarrow \text{Entropy}(H_{\text{Black}}) = -1/3 \log_2(1/3) - 2/3 \log_2(2/3) = 0.9182$$

$$H_{\text{White}} = [1+, 1-] \rightarrow \text{Entropy}(H_{\text{White}}) = 1$$

$$H_{\text{Red}} = [1+, 0] \rightarrow \text{Entropy}(H_{\text{Red}}) = 0$$

$$H_{\text{Brown}} = [1+, 0] \rightarrow \text{Entropy}(H_{\text{Brown}}) = 0$$

$$\Delta \text{Entropy} = \text{Entropy}(D) - \sum_{i=1}^m \frac{|D_i|}{|D|} \cdot \text{Entropy}(D_i) = 0.985 - \left( \frac{3}{7} \times 0.9182 + \frac{2}{7} \times 1 + 0 \right) \\ = \underline{\underline{0.305}}$$

### Attribute: Fur

values  $\rightarrow$  Ragged, Smooth, Curly.

$$H = [4+, 3-] = \underline{\underline{0.985}}$$

$$H_{\text{Ragged}} = [2+, 1-] \rightarrow \text{Entropy}(H_{\text{Ragged}}) = -2/3 \log_2(2/3) - 1/3 \log_2(1/3) = 0.9182$$

$$H_{\text{Smooth}} = [0+, 2-] \rightarrow \text{Entropy}(H_{\text{Smooth}}) = 0$$

$$H_{\text{Curly}} = [2+, 0-] \rightarrow \text{Entropy}(H_{\text{Curly}}) = 0$$

$$\Delta \text{Entropy} = 0.985 - \left( \frac{3}{7} \times 0.9182 \right) = \underline{\underline{0.591}}$$

### Attribute: Size

values  $\rightarrow$  Small, Big.

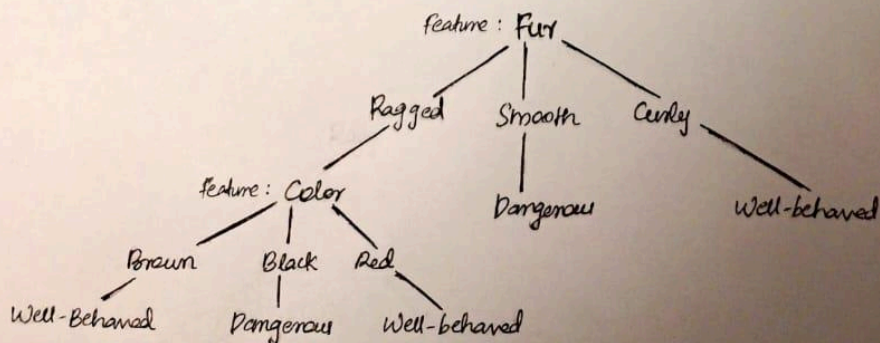
$$H = [4+, 3-] = 1.231$$

$$H_{\text{Small}} = [3+, 1-] \rightarrow \text{Entropy}(H_{\text{Small}}) = -3/4 \log_2(3/4) - 1/4 \log_2(1/4) = 0.8112$$

$$H_{\text{Big}} = [1+, 2-] \rightarrow \text{Entropy}(H_{\text{Big}}) = 0.9182$$

$$\Delta \text{Entropy} = 0.985 - \left( \frac{4}{7} \times 0.8112 + \frac{3}{7} \times 0.9182 \right) = \underline{\underline{0.128}}$$

Maximum information gain ( $\Delta \text{Entropy}$ )  $\rightarrow$  Fur (select as root node).  $= 0.591$



Color	Size	character
Brown	Small	Well-behaved
Black	Big	dangerous
Red	Big	Well-behaved.

→ Attribute: color

values → Brown, Black, Red.

$$H_{\text{color}} = [2, 1] = -\frac{2}{3} \log_2\left(\frac{2}{3}\right) - \frac{1}{3} \log_2\left(\frac{1}{3}\right) = 0.9182.$$

$$H_{\text{brown}} = [1, 0] \rightarrow \text{Entropy}(H_{\text{brown}}) = 0$$

$$H_{\text{black}} = [0, 1] \rightarrow \text{Entropy}(H_{\text{black}}) = 0$$

$$H_{\text{red}} = [1, 0] \rightarrow \text{Entropy}(H_{\text{red}}) = 0.$$

$$\Delta \text{Entropy} = \underline{\underline{0.9182}}$$

→ Attribute: size

values → small, big.

$$H_{\text{size}} = [2, 1] = 0.9182.$$

$$H_{\text{small}} = [1, 0] = 0$$

$$H_{\text{big}} = [1, 1] = 1.$$

$$\Delta \text{Entropy} = 0.9182 - \left(\frac{2}{3} \times 1\right) = \underline{\underline{0.251}}$$

Information gain is maximum for the attribute color. So it is selected as the next node.

### Exercise 5 : Cost functions

(a) For node 'small': toxic has 2 misclassifications edible has 1 misclassification

For the node 'large': toxic has 2 misclassifications edible has 0 misclassification Based on minimum cost, the labels for the node small is edible and large is edible.

(b)  $\text{Cost}(c', c) = \begin{cases} 0 & \text{if } c' = \text{edible and } c = \text{poisonous} \\ 1 & \text{otherwise} \end{cases}$