# Machine Learning- COL774 Assignment 3

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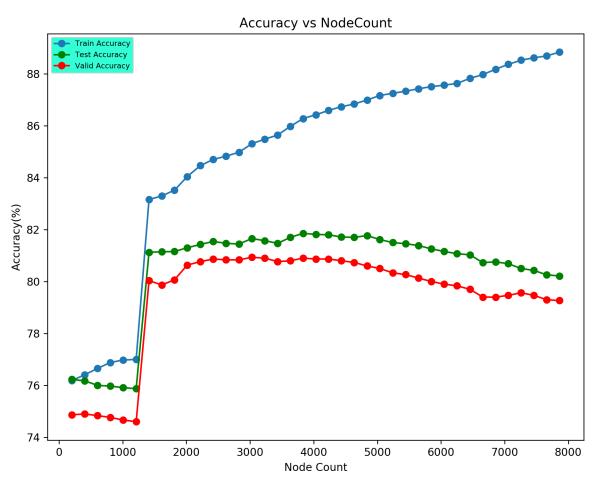


Figure 1: Vanilla Decision Tree growth

# **Decision Trees(DT)**

#### **Observations:**

- 1. Some facts about Vanilla DT growth: node count of tree = 7654. Train Accuracy = 88.52%. Test Accuracy = 80.68%. Validation Accuracy = 79.83%.
- 2. We realise that our algorithm has *overfitted* since their's a wide gap between train and validation/test accuracies. The validation/test accuracies even start decreasing whilst the training accuracy peaks.

- 3. Even though we have fully grown the tree, peak train accuracy was  $\approx 89$ . This is because of *preprocessing* step which map many different numerical attributes to same value 0/1, which might overall making data instance attribute value same, for different labels.
- 4. The plot indicates that we have learnt patterns corresponding to noise in data. Next part takes care of this.

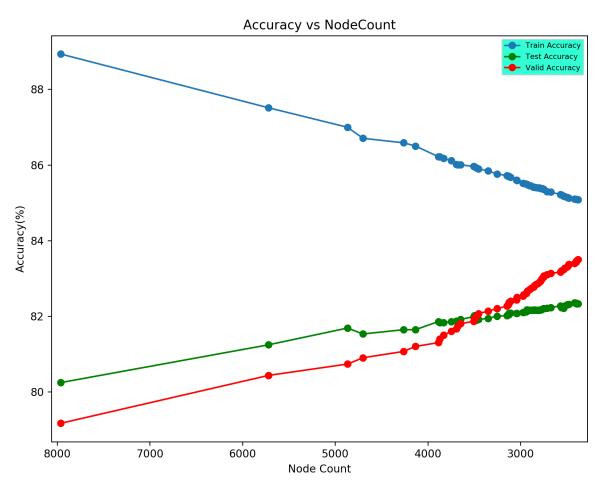


Figure 2: Pruning Vanilla Decision Tree

- 1. Some facts about pruned Vanilla DT: node count of tree = 2376. Train Accuracy = 85.37%. Test Accuracy = 82.69%. Validation Accuracy = 83.77%.
- 2. This steps helps tree *generalise* on the learning task. We see the gap between train and test accuracies *bridge*.
- 3. Post pruning, train, test and validation accuracies all lie in a small range. This indicates our model is almost *free from overfitting*.
- 4. The *greedy approach* is quite visual from the plot that rise in validation accuracy is sharp at beginning and gradually slows to 0. Train accuracy also witness plummet in beginning.
- 5. Initial few pruned nodes are examples of severe {noise/over}fitting, as test and validation accuracies both show good increments.

- 6. Soon, validation crosses the test accuracy which again shows that we are starting to fit some noise of validation set, but this stops immediately. Hence, we have fitted different/necessary patterns.
- 7. This approach is very effective, as almost always reduces the size of tree greatly, without loosing on decision power.

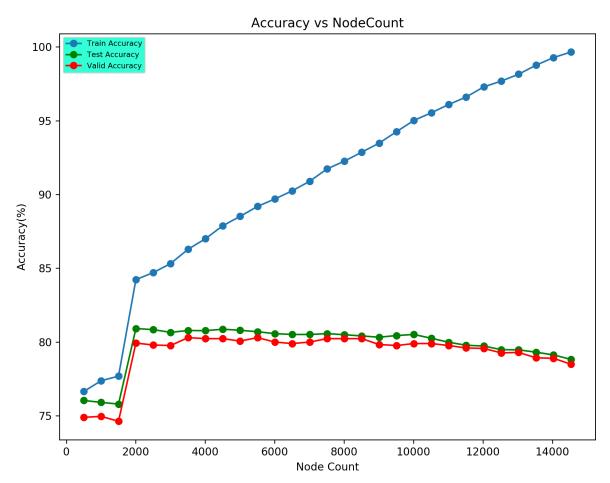


Figure 3: Dynamic Median Splitting DT

- 1. Some facts about dynamic median DT: node count of tree = 14660. Train Accuracy = 99.83%. Test Accuracy = 78.81%. Validation Accuracy = 78.43%.
- 2. Max-Split Array= [7, 0, 6, 0, 0, 0, 0, 0, 0, 0, 5, 2, 3, 0]
- 3. *Corresponding Split Thresholds*= [[40.0, 34.0, 31.0, 29.0, 28.0, 25.5, 26.5], [], [180195.0, 224889.0, 265662.0, 312832.0, 394927.0, 845954.5], [], [], [], [], [], [0.0, 9995.5, 7298.0, 4064.0, 3464.0], [0.0, 2258.0], [46.0, 55.0, 65.0], []]
- 4. In this case, the tree severly overfits. This is clear from the extreme gap between train accuracy and test/validation accuracy. Almost entire data is fitted.
- 5. Comparing with (a), we see that a has bettern generalization(or less overfitting), as gap between train-test accuracies are less in former.



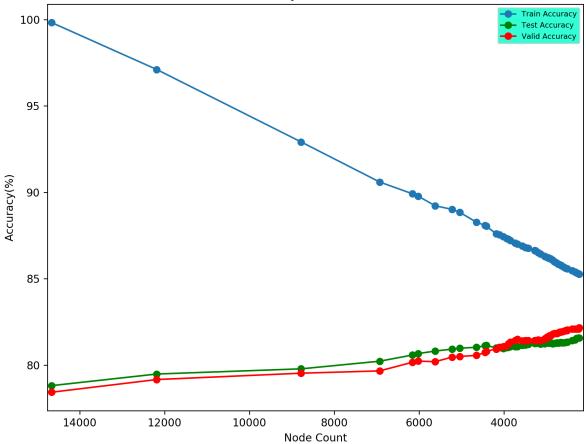


Figure 4: Pruning in Dynamic Median Splitting DT

Some facts about dynamic median DT: node count of tree = 2230. Train Accuracy = 85.27%. Test Accuracy = 81.57%. Validation Accuracy = 82.17%.

## Decision Tree of scikit-learn in Python

max_depth	min_sample_split	min_sample_leaf	accuracy(%)
None	2	1	81.23
1	2	1	74.5
5	2	1	83.3
10	2	1	84.9
20	2	1	83.07
10	5	1	84.9
10	8	1	85
10	16	1	84.9
10	32	1	85.03
10	32	4	85.03
10	32	8	85.1
10	32	10	85.033

- 1. Best parameters appeared above are:  $max\_depth = 10$ ,  $min\_sample\_split = 32$ ,  $min\_sample\_leaf = 8$
- 2. Corresponding accuracies: Train Accuracy = 86.01%. Test Accuracy = 84.87%. Validation Accuracy = 85.1%.

- 3. This model is *better* than our pruned model in 1<sub>-</sub>b. The validation as well as test accuracies are better by  $\approx 2\%$ .
- 4. This shows that *constraining* the tree growth is quite a good method of controlling overfitting.
- 5. The above method undergoes no pruning, so pruning coupled with constraint tree growth can give optimal results.

#### Random Forest of scikit-learn in Python

n_estimators	bootstrap	max_features	accuracy(%)
10	False	auto	84.1
2	True	auto	82.06
5	True	auto	82.9
10	True	auto	84.76
20	True	auto	85.1
30	True	auto	85.23
50	False	auto	84.2
20	True	14	81.93
10	True	8	84
10	True	4	84.7
10	True	2	84.5

- 1. Best parameters appeared above are:  $n\_estimators = 30,bootstrap = True,max\_features = sqrt(14)$ .
- 2. Corresponding accuracies: Train Accuracy = 99.81%. Test Accuracy = 84.57%. Validation Accuracy = 85.23%.
- 3. This model is *better* than our pruned model in 1\_b and 1\_c. The validation as well as test accuracies are better by  $\approx 2\%$ .
- 4. But model is at par in training accuracy when compared with 1\_c.
- Although we didn't see much gain in this example of Random Forest, in general, random forest classifiers are a powerful technique of fitting patterns preventing overfitting, and bringing stability.

## Neural Networks(NN)

- 1. Accuracy with Logistic Learner: Train Accuracy=45.79%. Test Accuracy=38.33%.
- 2. Accuracy with NN as specified in Assignment. Train Accuracy=90%. Test Accuracy=85%.
- 3. Our model *outperforms* Logistic Regression model.
- 4. Bad performance of Logistic Learner is justified from the fact that logistic regression is good to model *linear boundaries*, whereas data is non-linear. Clearly, our model is able to identify non-linear patterns.

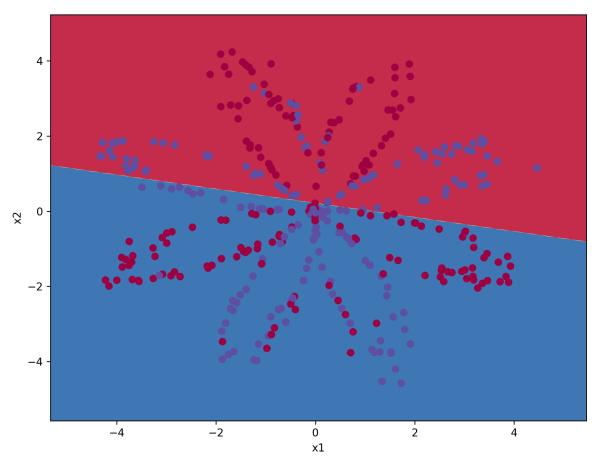
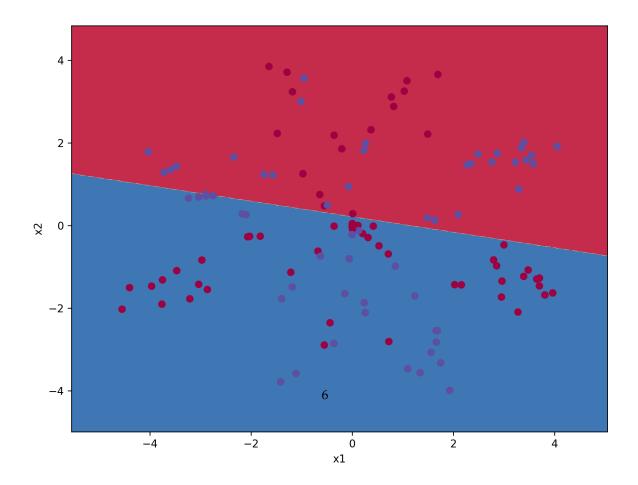


Figure 5: Decision Boundary Logistic Regression(Train Above, Test Below)



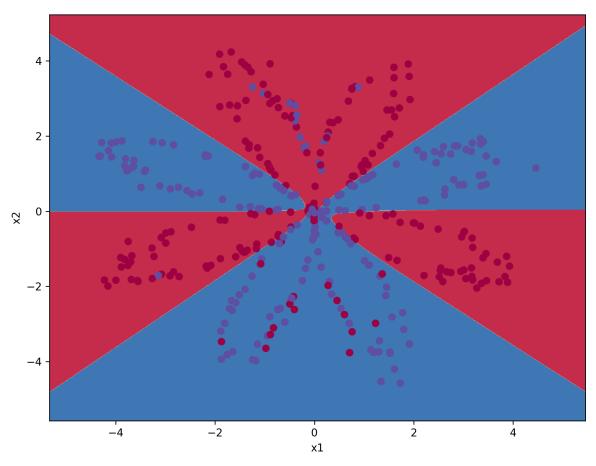
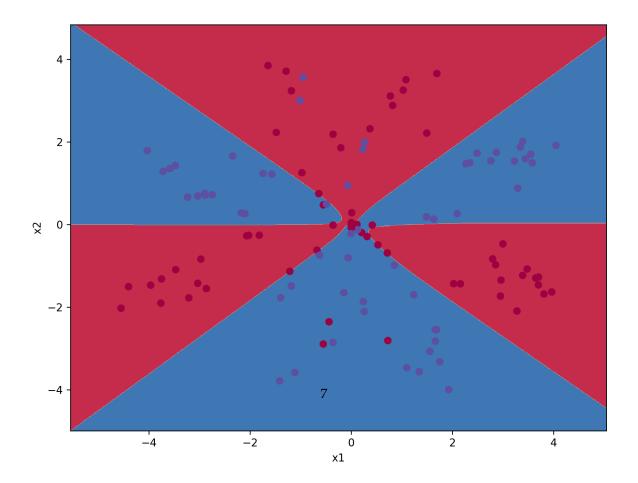


Figure 6: Decision Boundary NN for 5 hidden units(Train Above, Test Below)



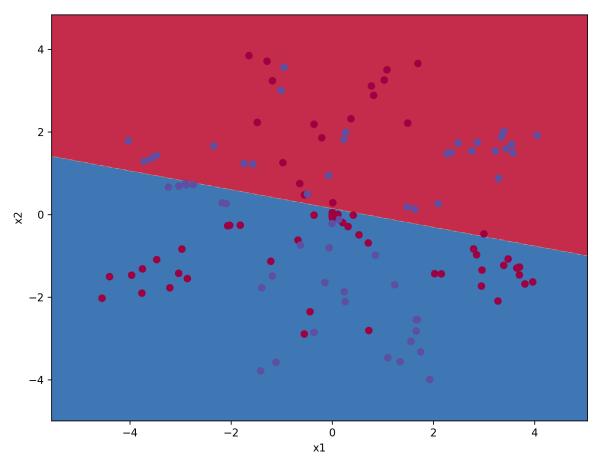
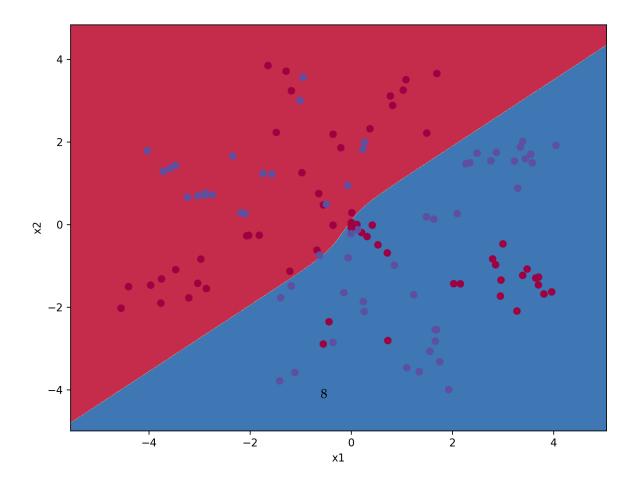


Figure 7: Decision Boundary NN for 1(above) and 2(below) hidden units along with Test data



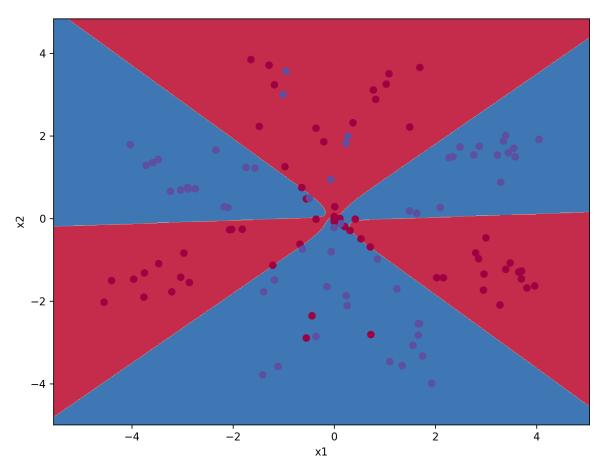
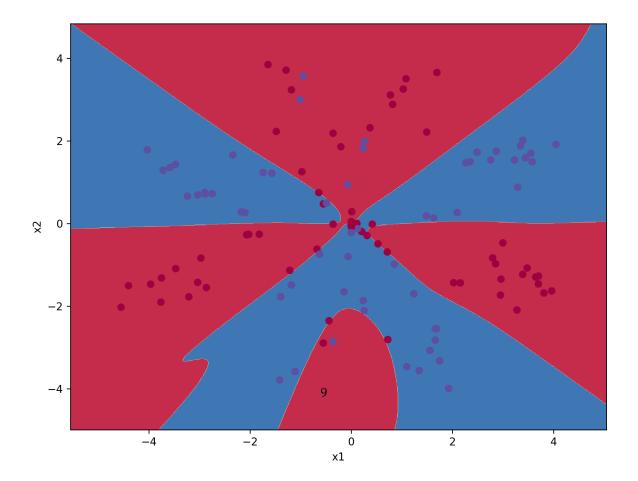


Figure 8: Decision Boundary NN for 3(above) and 10(below) hidden units along with Test data



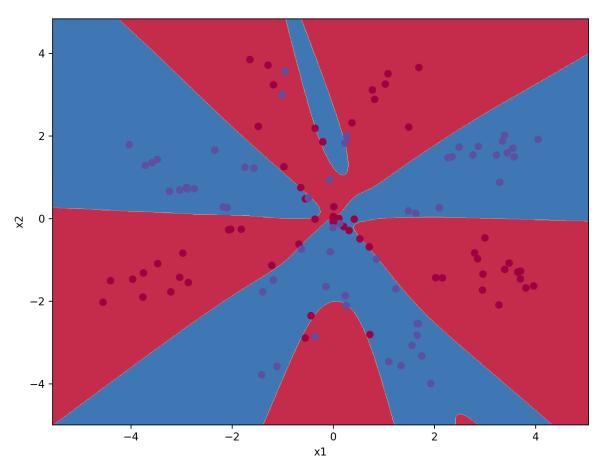
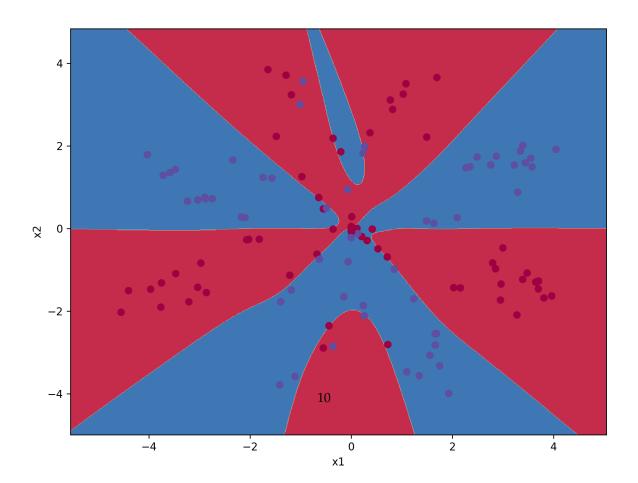


Figure 9: Decision Boundary NN for 20(above) and 40(below) hidden units along with Test data



### Tabulated Accuracy figures on varying hidden units

hidden_units	Train Accuracy(%)	Test Accuracy(%)
1	65.79	60
2	73.42	74.2
3	89.7	85.8
10	92.1	83.3
20	93.4	85
40	93.7	82.5

- 5. With 1 layer, best decision boundary is obtained for 3/5 hidden units.
- 6. Increasing hidden units beyond that starts to model noise in data. Decision boundary becomes overly complex, thereby increase train accuracy, but test accuracy starts deteriorating.
- 7. Lower nodes result in underfitting and poor train/test scores.

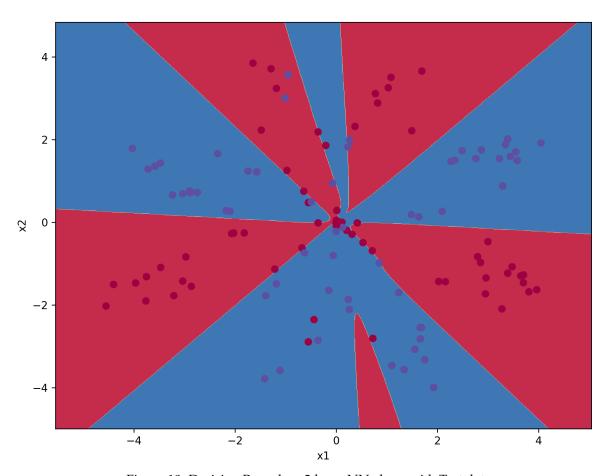


Figure 10: Decision Boundary 2 layer NN along with Test data

8. With 2 hidden layers, train accuracy=90.8% and test accuracy=86.7%.

- 9. Comparing 2 layer model with 1 layer model, 2 layer model outperforms 1 layer model in test set accuracy(by  $\approx 1\%$ ).
- 10. 2 Hidden layer models have higher representation and learning power as it undergoes 3 non-linear transforms before producing output.

#### **Mnist Dataset**

- 1. LibSVM train accuracy=99.87%. Test Accuracy=98.83%.
- 2. Perceptron train accuracy=99.14%. Test Accuracy=98.41%.
- Both give comparable performance. A single perceptron is equivalent to logistic classfier, with euclidean loss function.
- 4. There were 2 stopping criteria, either  $\epsilon < 10^{-4}$ , or number of *epochs* > 800 and still not converged.
- 5. Train accuracy=99.06%. Test Accuracy=97.42%.
- 6. With constant learning rate and sigmoid, train accuracy=99.5% and test accuracy=98.2% with 200 epochs.
- 7. The results are not at par with previous single perceptron. What is troublesome is decay of learning rate  $\propto \sqrt{iterations}$ .
- 8. Single perceptron and linear SVM giving high accuracies indicate linear separability of data. For such discriminative task, it might not be wise choice to throw powerful neural networks. Maybe, given time they may outperform linear separating models, but cost may outweigh utility gained.
- 9. With RELU, train accuracy=99.2% and test accuracy=98.8% was obtained. This was acheived in 110 epochs.
- 10. RELU activation gives best accuracy on both train and test data. Also, it shows quite faster convergence as compared with decaying learning rate in sigmoid.
- 11. Constant learning rate model outperformed Decaying learning rate model.