

# Operating System

FRIDAY

JUNE

01

- OS is an interface b/w user and hardware.
- Resource allocation (e.g. CPU)
- manager → memory, processes, files, security etc.

(Goals)

primary → convenience.

(PC)

secondary → efficiency

Types of OS:

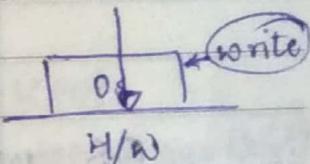
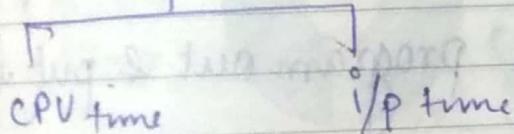
Batch OS, multiprogramming, multitasking.

multiprocessing, realtime

(multi CPUs)

U PF

Process :



→ throughput is less. (one by one)

↓  
No. of jobs performed per unit time

## Process Management

### Attributes of a process

- 1.) process id
- 2.) program counter
- 3.) process state
- 4.) priority
- 5.) General purpose registers
- 6.) list of open files

- 7.) list of open devices
- 8.) protection (privacy)

2018

"There comes a time when silence is betrayal"

02

SATURDAY C program stage (priorizing)

JUNE

(153-212) Wk 22

↓  
computer (convert high level  
language to low  
level  
language).

machine level lang. → understand  
by OS.

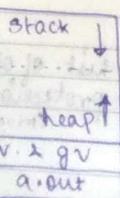
→ program will reside in hard disk  
(Secondary  
memory)

OS will take this program out & put it in  
Main memory.

In main memory it will create some  
data structure

↳ (process)

Process is something that is created by OS  
in order to execute some program.



Static variables → created  
once & remain forever

Global variables

DYNAMIC → heap

Ten thousand fools proclaim themselves into obscurity, while one wise man forgets himself into immortality.

03 SUNDAY  
(virtual)  
(8086)

segmentation fault → if we go out  
of boundary.

heap will grow up ward.  
Stack grows down ward.

MONDAY  
JUNE 04

for every process → 1 process control block  
(PCB)

contain all the info.  
(attributes)

→ maintained by OS

→ States of Process.

1.) New

(secondary - pick)

2.) Ready

(MM)

3.) Run

(MM, 1 process runs CPU)

4.) Block or wait

(MM, 2/p state means no our wait)

5.) Termination or completion

(PCB will be deleted)

6.) Suspend ready

(SM)

7.) Suspend wait or suspend block

Operations on process

1.) Creation

2.) Scheduling

3.) Execution

4.) Killing / Delete

Multiprogramming → many processes run

with pre-emption

multitasking

without pre-emption

Time sharing

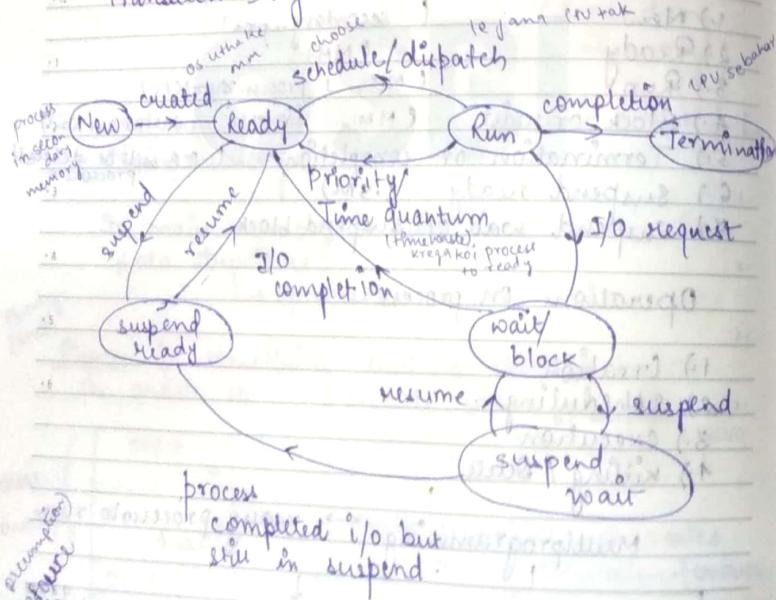
05

TUESDAY  
JUNE

suspend ready  $\rightarrow$  process aagaya aur  
 Agar koi new process aagaya aur  
 main memory me space nahi h  
 main memory me already dusre process  
 h to agar hum mm ke kuch  
 processes secondary memory me daal  
 ke space banate h aur new process  
 ko run kute h.

#### • Process State

Transition Diagram & Various scheduler



revision  
source  
2018

If you can't fly then run, if you can't run then walk, if you can't walk then crawl, but whatever you do you have to keep moving forward.

06

WEDNESDAY  
JUNE

A process contain two time  
 CPU time / I/O time

- 8 ① Long term scheduler
- 9 ② Short term "
- 10 ③ MT Medium term

$\rightarrow$  Question of on Process state.

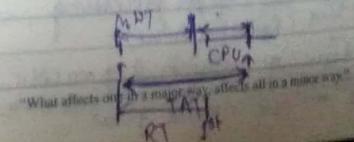
consider a system with 'N' CPU processors and  
 'M' processes, then

	min	max	(depend on long term scheduler)
ready	0	M	
running	0	N	
block	0	M (if CPU bound)	

$\rightarrow$  Various times related to process.

Imp. parameters of processes:

- 1.) Arrival time  $\rightarrow$  time at which a process enters the ready queue
- 2.) Burst time
- 3.) Completion time
- 4.) Turn around time  $TAT = CT - AT$
- 5.) Waiting time  $WT = TAT - BT \rightarrow (BT \text{ time CPU tick}) - AT$
- 6.) Response time

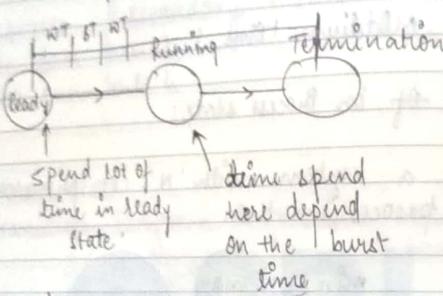


07

THURSDAY (before executing it)

JUNE burst time — The amount of time that is required by a process to finish

completion time — The time at which the process finishes



2 types  
wait  
work → execute  
wait time, burst time  
(WT) (BT)

(assuming no I/O time required)

$$CT = BT + WT$$

- Turn around time → The difference b/w arrival time and turnaround time.
- Waiting time →  $WT = TAT - BT$

Response time → what is the first time at which the process hits the CPU

AT some duration

taken up for processing  
(Response time)

The 1st time at which a process gets scheduled

I have decided to stick to love... Hate is too great a burden to bear.

2018

15B-207 WK 23

WK 23 (159-206)

preempted → pulled out

FRIDAY

08

CPU Scheduling → taking up the processor  
JUNE ready state & giving it to CPU  
who → short term scheduler

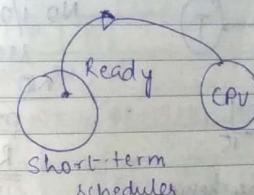
when → Ready state to running

when → when a process moves from —

- 1.) Run → Termination
- Run → wait
- Run → Ready

2.) New → Ready i.e. when a process is just created

3.) wait → ready.



pick → schedule

run state me dalne ka kaam → dispatcher ka nota h

$$TAT = CT - AT$$

$$WT = TAT - BT$$

Nothing in all the world is more dangerous than sincere ignorance and conscientious stupidity

09

SATURDAY  
JUNE

FCFS: First come first serve

(160-205) WK 23

Criteria: Arrival time  
mode: Non-preemptive → Once you choose a job & giveWaiting time  
 $(WT) = RT$ 

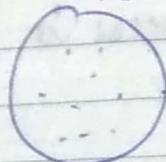
P NO.	AT
1	0
2	3
3	5
4	5
5	6

BT  
0  
2  
2  
3  
4CT  
4.  
7  
6  
8  
10  
15TAT  
4  
7  
6  
7  
11

Completion time

 $(CT - AT) \rightarrow$  Turnaround time

Ready



CPU

assume:

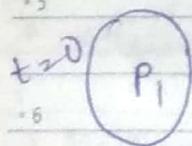
No i/o time required:

no need of pre-emption

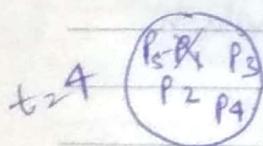
 $RT = WT$ 

snapshot

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
0	4	7	8	10



\* Waiting to get the chance first time



$TAT = WT + BT$

$WT = TAT - BT$

10 SUNDAY

 ~~$TW = no. of$~~ 

2018

## Response Time

Wk 24 (162-203) After a process gets into the system MONDAY how much time does it require to get JUNE the CPU for the first time 11

average turn around time -

avg. amount of time that each process is spending.

$$= \frac{\sum TAT}{n}$$

avg waiting time =  $\frac{\sum WT}{n}$

diagram

## Convey effect

PNO:	AT	BT	CT	TAT	WT
1	0	20	20	20	0
2	1	2	22	21	19
3	1	1	23	22	21

PNO	AT	BT	CT	TAT	WT
P <sub>1</sub>	1	20	23	22	2
P <sub>2</sub>	0	2	2	2	0
P <sub>3</sub>	0	1	3	3	2

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0	20	22

P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>
0	2	3

$$\text{avg WT} = \frac{0+19+21}{3} = \frac{40}{3} \checkmark$$

$$\text{avg WT} = \frac{4}{3}$$

when

Big process (high BT) arrives

early, it takes lots of time to

finish, so during the entire time all other processes are going to start & has to wait for the completion of 1st process this is convoy effect.

processes are not waiting too long.

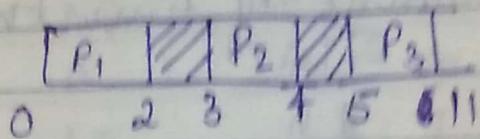
12

TUESDAY

JUNE

~~cg~~

PNo.	AT	BT	CT	TAT	WT
1	0	3	3	2	0
2	3	1	4	1	0
3	5	6	11	6	0



queue → ds.

CPU is  
Waiting for  
process

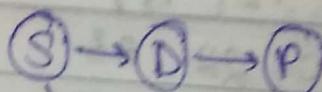
~~eg.~~ FCFS with overhead.

$$\delta = 1$$

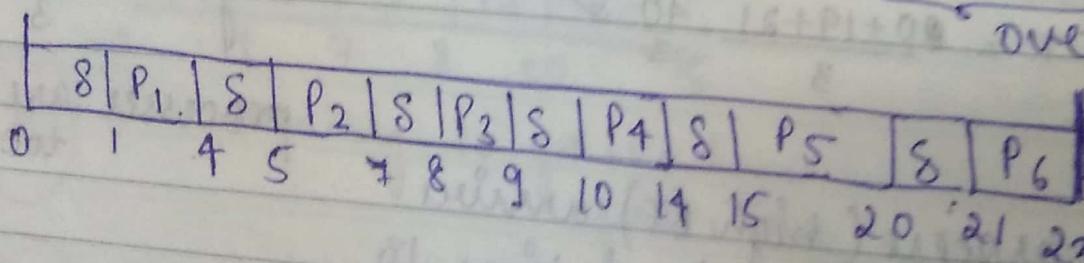
PNo.	AT	BT
1	0	3
2	1	2
3	2	1
4	3	4
5	4	5
6	5	2

context  
switching

- context switching time  
when a process is  
running we are going to  
stop it & then sched.  
the next process.



overhead.



$$\eta = \left( 1 - \frac{6}{23} \right) \times 100$$

Shortest remaining job  
first  
(preemptive)

WEDNESDAY  
JUNE

13

Shortest Job First -

criteria - Burst time  
mode - Non-preemptive → (process does not stop until it finishes)

PNO	AT	BT	CT	TAT	WT
1	1	4	8	4	0
2	2	5	13	11	9
3	3	1	9	6	5
4	4	2	11	7	5
5	5	8	24	19	11

→ Least BT is processed first

	P <sub>1</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>5</sub>
	0	1	8	9	11

→ Convoy effect.

} among the available process (process)  
shortest select

eg	PNO	AT	BT	CT	TAT	WT	min heap → ds avg time completing
	1	0	20	20	20	0	
	2	1	1	21	20	1	
	3	2	1	22	20	10	

avg  $\frac{39}{3} = 13$

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0	20	21

②	1	2	20	22	20	0	avg WOT = 0
	2	0	1	1	1	0	
	3	1	1	2	1	0	

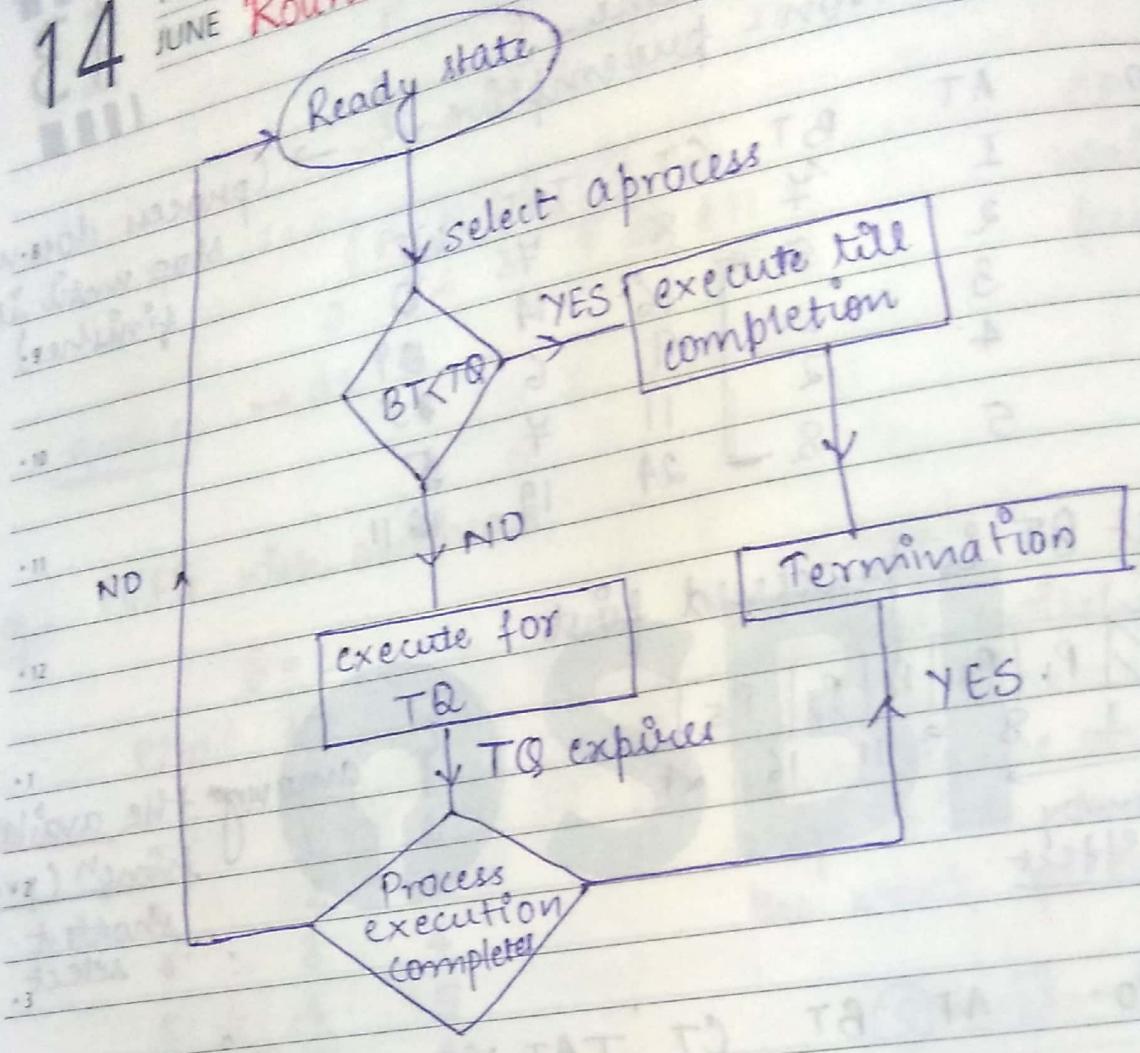
P <sub>1</sub>	P <sub>3</sub>	P <sub>2</sub>
0	1	2

Throughput =  $\frac{\text{No. of process}}{\text{total time}}$

When I let go of what I am, I become what I might be. - Lao Tzu

14

THURSDAY  
JUNE Round Robin Algorithm -



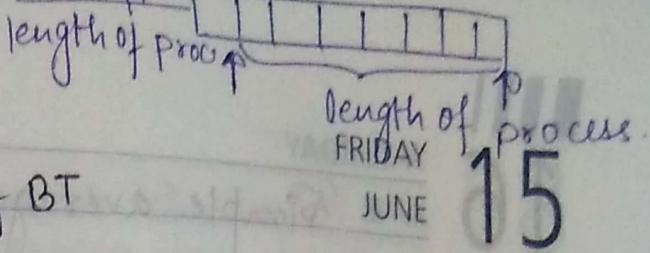
practically implementation (no BT)

TQ → Time quantum. (we fix)

every process is going to execute only for small amount of time.

- easy to implement
- no starvation problem

$$TP = \frac{\text{no. of pro.}}{\text{length of Prog}}$$



## SJF with prediction of BT

SJF

Advantages

- maximum throughput
- minimum avg WT & TAT

Disadvantages

- starvation to longer jobs
- It is not implementable  
big BT of processes  
cannot be known ahead

Soln : SJF with predicted BT

## Prediction techniques

- static
- process size
- process type

Dynamic

- simple averaging
- Exponential averaging / aging

process size (Bytes)

$$P_{old} = 200 \text{ KB} \Rightarrow 20 \text{ units}$$

$$\therefore P_{new} = 201 \text{ KB} \Rightarrow 20 \text{ units}$$

process type

Process

O/S

The difference between a dreamer and a visionary is that a dreamer has his eyes closed and a visionary has his eyes open

(3-5) units

inter active  
Gaming (5-8)

User

2018

foreground

background

(10-15) (15-20)

16

## JUNE Simple average

→ Given  $n$ -processes

$(P_1, \dots, P_n)$

→ Let  $t_i$  be the actual BT

→ Let  $T_n$  denote the predicted BT

$$T_{n+1} = \frac{1}{n} \sum_{i=1}^n t_i$$

## Exponential average/aging

$$T_{n+1} = \alpha t_n + (1-\alpha) T_n \quad \text{--- (1)}$$

smoothing factor

$$0 \leq \alpha \leq 1$$

$$T_n = \alpha t_{n-1} + (1-\alpha) T_{n-1} \quad \text{--- (2)}$$

(2) in (1)

$$T_{n+1} = \alpha t_n + (1-\alpha) [\alpha t_{n-1} + (1-\alpha) T_{n-1}]$$

current value depend on all the previous history

Ex:  $\alpha = 0.5$ ,  $T_1 = 10$ , actual BT  $(+, t_2, t_3, 14)$   
 $= (4, 8, 6, 7)$

then  $T_2$ :

$$\begin{aligned} T_2 &= \alpha t_1 + (1-\alpha) T_1 \\ &= 0.5 \times 10 + 0.5 \times 10 = 0.5 \times 14 = 7. \end{aligned}$$

2018  $T_3 = \alpha t_2 + (1-\alpha) T_2$

$$T_3 = 0.5 \times 8 + 0.5 \times 7$$

RR

$TQ = 2$  (max allowable time a process can run)

MONDAY

JUNE

18

PNO	AT	BT	CT	FCFS + preemptive	
1	0	4 2 0	8		
2	1	8 8 1 0	18	AT + TQ	
3	2	2 0	6		
4	3	1 0	9	queue	
5	4	6 4 2 0	21	$P_1 \mid P_2 \mid P_3 \mid P_4 \mid P_5 \mid P_2 \mid P_6 \mid P_5 \mid P_6$	
6	6	3 1 0	19		

pushet	$P_1$	$P_2$	$P_3$	$P_1$	$P_4$	$P_5$	$P_2$	$P_6$	$P_5$	$P_2$	$P_6$	$P_5$
	2	4	6	8	9	11	13	15	17	18	19	21

context

switching

 $TQ \downarrow$  no. of context switching
 

CT	TAT	WT
8	4	4
17	12	9
4	5	5
6	11	11
17	13	10

 $TQ \uparrow \rightarrow$  starvation  $\uparrow$ 
 $TQ = 3$ 

PNO	AT	BT	CT	TAT	WT	RT
1	5	5 1 0	32	27	22	10 (15-5)
2	4	6 3 0	27	23	17	5
3	3	7 4 1 0	33	30	23	3
4	1	9 6 5 0	30	29	20	0
5	2	2 0	6	4	2	2
6	6	3 0	21	15	12	12

$P_4$	$P_5$	$P_3$	$P_2$	$P_4$	$P_1$	$P_6$	$P_3$	$P_2$	$P_4$	$P_1$	$P_3$
0	1	8 4	6	9	12	15	18	21	24	27	30

 $TQ \uparrow CS \uparrow$   
 $RT \uparrow$ 

///	$P_4$	$P_5$	$P_3$	$P_2$	$P_4$	$P_1$	$P_6$	$P_3$	$P_2$	$P_4$	$P_1$	$P_3$
0	1	8 4	6	9	12	15	18	21	24	27	30	32

 $TQ \downarrow CS \downarrow$  $RT \downarrow$ 

Change does not roll in on the wheels of inevitability, but comes through continuous struggle.

 $TQ \rightarrow \infty$   
 $RR \rightarrow FCFS$ 

RT

19

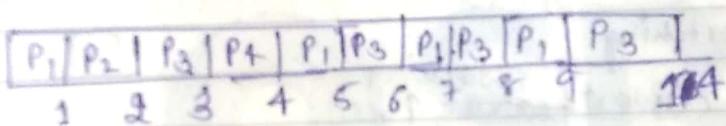
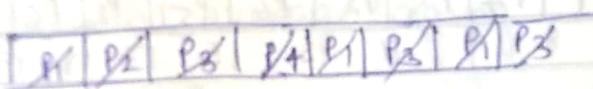
TUESDAY

JUNE Q. consider 4 jobs  $P_1, P_2, P_3$  and  $P_4$  arriving in ready queue in the same order at time 0. If BT requirements of these jobs are 4, 1, 8, 1 resp., what is completion time of  $P_1$ , assuming RR with  $TQ = 1$ .

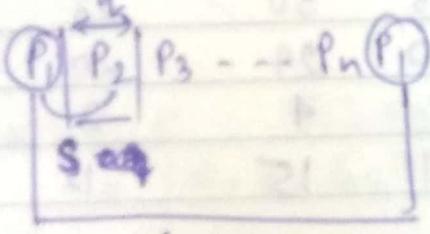
(170-195) Wk 25

Wk 25 (171-194)

	AT	BT	CT	$TQ = 1$
$P_1$	0	4	9	
$P_2$	0	1	2	
$P_3$	0	8	13	
$P_4$	0	1	4	

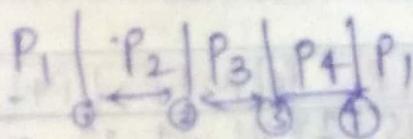


- Q. Consider 'n' processes sharing the CPU in RR fashion.
- If the context switching time is 's' units what must be the time quantum 'q' such that the no. of context switches are reduced, but at the same time each process is guaranteed to get the turn at the CPU for every  $t$  sec.

 $t$  sec

$$n(s) + (n-1)s \leq t$$

$$q \leq \frac{t - ns}{(n-1)}$$



2018

Courage faces fear and thereby masters it

# Longest Job First algorithm

WEDNESDAY

JUNE

20

process having longest BT gets scheduled first.  
 criteria : BT  
 mode : non-preemptive.

P NO.	AT	BT	CT	TAT	WT	RT	P <sub>1</sub>	P <sub>4</sub>	P <sub>5</sub>	P <sub>3</sub>	P <sub>2</sub>
1	0	3	3	3	0	0	0	3	8	14	18 20
2	1	2	20	19	17	17					
3	2	(4) (3)	18	16	12	12					
4	3	(5) (1)	8	5	0	0					
5	4	(6) (2)	14	10	4	4	4				(8-4)

# Longest Remaining time first

PNO	AT	BT	CT	TAT	WT	RT
1	1	2	18	17	15	0
2	2	4 3x 1	19	17	13	0
3	3	8 8 8 3x 1	20	17	11	0
4	4	8 7 8 A 3x 1	21	17	9	0

	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>1</sub>
0	1	2	3	4	6	7	8	9	10	13

PNO.	AT	BT	CT	TAT	avg TAT?
1	0	2 x	12	12	
2	0	4 3 2 1	13	13	
3	0	8 7 4 3 2 1	14	14	$\frac{12+13+14}{3}$

P <sub>3</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0	5	6	8	8	10	11	12 13 14

“Faith is taking the first step even when you can't see the whole staircase”

2018

21

THURSDAY  
JUNE

Highest Response Ratio next (HRRN)

Criteria: Response ratio (RR) =  $\frac{W+S}{S}$ W: waiting time for a process so far  
S: service time of a process OR BT.

- HRRN not only favours shortest jobs but also limits the waiting time of longer jobs.
- mode: non-preemptive.

PNo.	AT	BT	CT	TAT	LT
0	0	3		3	0
1	2	6		8	1
2	4	4		8	5
3	6	5		11	9
4	8	2		10	5

HRRN

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>4</sub>	P <sub>3</sub>
	0	3	9	13	15

55F

	P <sub>0</sub>	P <sub>1</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>3</sub>
	0	3	9	11	15

at 9 RR : P<sub>2</sub> =  $\frac{(9-4)+4}{4} = \frac{9}{4} = 2.25$

RR : P<sub>3</sub> =  $\frac{3+5}{5} = \frac{8}{5} = 1.6$

RR : P<sub>4</sub> =  $\frac{1+2}{2} = \frac{3}{2} = 1.5$

at 13 RR : P<sub>3</sub> =  $\frac{7+5}{5} = \frac{12}{5} = 2.4$

RR : P<sub>4</sub> =  $\frac{5+2}{2} = \frac{7}{2} = 3.5$

2018

# Priority Scheduling

FRIDAY

JUNE

22

Priority

\* Static

- + Doesn't change throughout the execution of the process

Dynamic

changes at regular interval of time.

process comes with some priority.

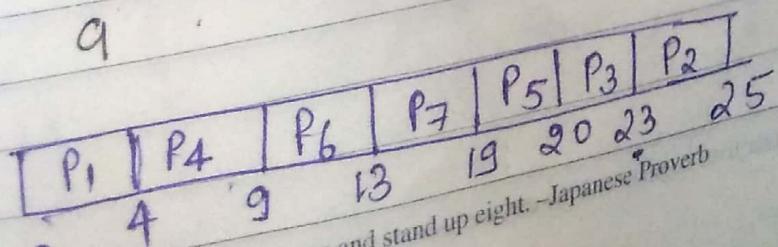
priority scheduling algorithm

Preemptive

Non-preemptive

Non pre-emptive priority scheduling

P.No.	Priority	AT	BT	CT	TAT	WT	RT
1	(L) d	0	4	4	4	0	0
2	4	1	2	25	24	22	22
3	6	2	3	23	21	18	18
4	10.	3	5	9	6	1	1
5	8	4	1	20	16	15	15
6	12 (H)	5	4	13	8	4	4
7	9	6	6	19	13	7	7



seven times and stand up eight. -Japanese Proverb

2018

stop when high priority comes

23

SATURDAY

## JUNE Pre-emptive priority scheduling

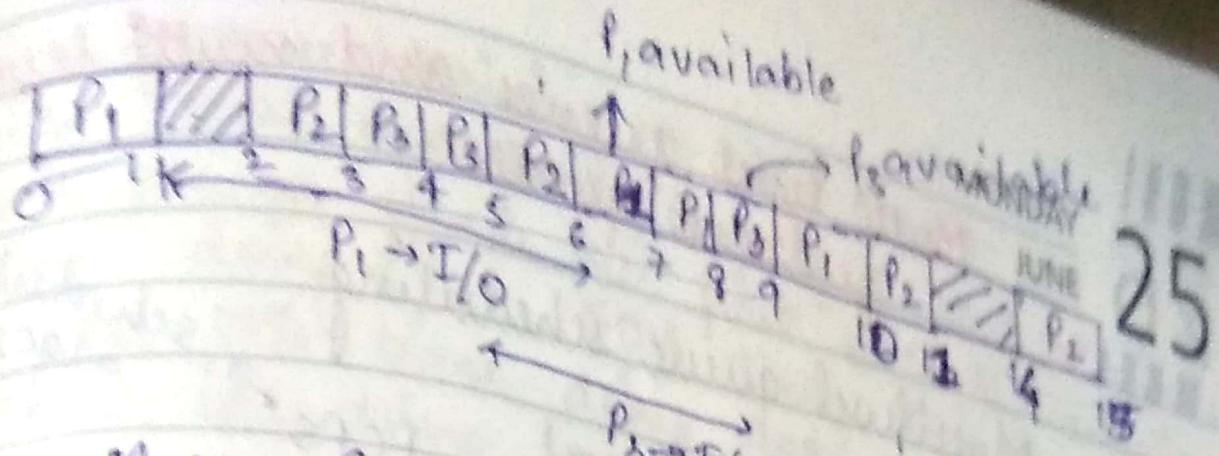
P.No.	Priority	AT	BT	CT	TAT	WT	RT
1	2	0	4 3				
2	4	1	2 1				
3	6	2	3 2				
4	10	3	8 80				
5	8	4	10 0				
6	12	5	14 0				
7	9	6	16 0				

	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>6</sub>	P <sub>4</sub>	P <sub>7</sub>	P <sub>5</sub>	P <sub>3</sub>	P <sub>2</sub>	P <sub>1</sub>
	0	1	2	3	5	9	12	18	19	21	22

- stop if next arrived ones have high priority
- At some point the preemptive will become non-preemptive.

Pre-emptive priority with processes contains CPU and IO time.

P.No.	AT	Priority	CPU	I/O	CPU	CT	TAT	WT
P <sub>1</sub>	0	2	x 0	5	2 x 0	10	10	6
P <sub>2</sub>	2	3(L)	3 x 1	3	1	15	13	9
P <sub>3</sub>	3	1(H)	2 0	3	1 0	9	6	3



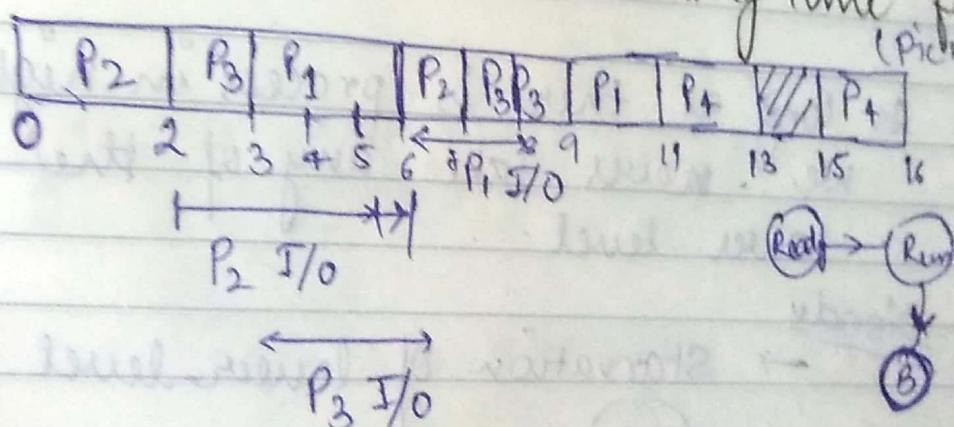
$$\eta_{CPU} = \left(1 - \frac{4}{15}\right) \times 100$$

25

SRTF with processes contains CPU + I/O time

PNO	AT	BT	IOBT	CPU	on	BT	CT
1	0	(3)	2	2		BT	
2	0	(2)	4	2	82.	20.	116
3	2	(1)	3	1	820.	116	
4	5	(2)	2	2	320	10	74
				1	320	10	94
				3			168

shortest remaining time first  
(pick least BT)



$$TAT = CT - AT$$

$$WT = TAT - BT_{process}$$

I/O

26

TUESDAY

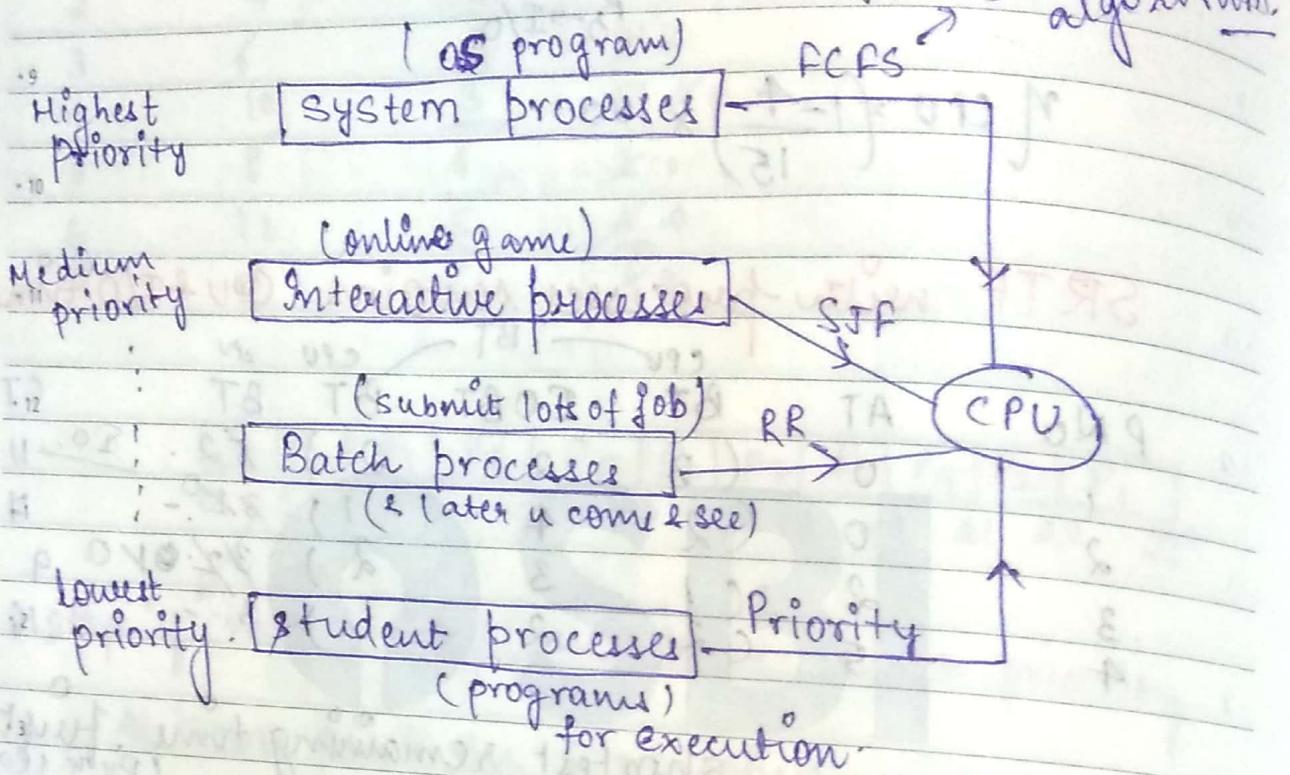
JUNE

Multi level queues and multi level feedback queues

(177-188) WK 26

Multilevel queue scheduling

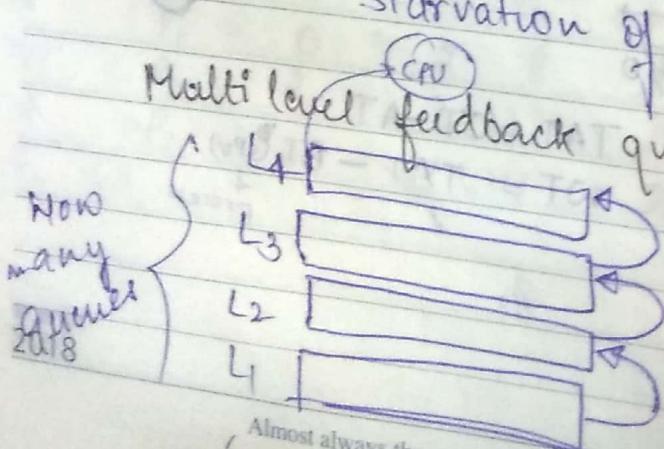
scheduling algorithm



As long as there is process in higher level we never take any of the process of lower level.

Disadv

→ Starvation of lower-level processes.



Various level of queues.  
After some time

Almost always the creative, dedicated minority has made the world better.  
(Implementation dependent)

19

THURSDAY

JULY

## File Allocation

- File system is the most visible part of the OS.
- Files are stored in disks.
- Allocation of space for files in disks.
- Keep track of free disk block.

### Allocation Methods.

- An allocation method refers to how disk blocks are allocated for file.

### Disk comprise of disk blocks.

- Disk space should be allocated so that disk space is utilized effectively & files can be accessed quickly.
  - Contiguous allocation.
  - Linked allocation.
  - Indexed allocation.

### Contiguous Allocation

- Each file occupies a set of contiguous blocks on the disk.
- If only one job is accessing the disk, accessing block  $b+1$  after block  $b$  requires no head movement.
  - Disk seeks are minimal.
- Simple - only starting location (block #) & length (no. of blocks) are required.

"Everything that we see is a shadow cast by that which we do not see."

2018

- Sequential & direct access.

### directory

Name	start add.	length
------	------------	--------

- Wasteful of space. (dynamic storage allocation problem)

- How to satisfy a request of size  $n$  from a list of free holes.

- First fit or best fit.

(smallest space that is enough)

- External fragmentation - compaction (solve)

- Difficult to determine how much space is needed for a file (before the creation of file).

- Files cannot grow:

- can overestimate the space needed, result in wastage of space.

- find a larger hole, copy contents to new space, free old space.

- Even if file size is known in advance, file may grow over a long period of time - internal fragmentation.

- Modified contiguous allocation.

- Initially, contiguous chunk of space is allocated.

- If this is not large enough, another chunk of space (extent) is allocated.

- Ex: Ventor file system.

The surest way to be happy is to seek happiness for others.

21

SATURDAY

JULY

(202-163) WK 29

## Linked Allocation

- solves all problems of contiguous allocation.
- Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk.
- Directory entry has a pointer to the first & the last block of file.

directory		
Name	start add	end add
		25      [-] → pointer

- No external fragmentation.
- Size of a file need not be declared when the file is created.
- File can continue to grow as long as free blocks are available.
- Compaction not needed.

### Disadv.

- No random access (only sequential access)
- space required for pointers
  - collect blocks into multiples called clusters
  - less no. of pointers
  - fewer disk-head seeks
  - Increased internal fragmentation.

MONDAY  
JULY

23

- Reliability
  - If pointers are lost or damaged?
  - use doubly linked list.
  - Store the file name & relative block number in each block.
- Variation of linked allocation - file - allocation table (FAT) - disk-space allocation used by MS-DOS
- A section disk at the beginning of each volume contains this table.

## Indexed Allocation

- Solves the external fragmentation & size - declaration problem of contiguous allocation.
- Solves the direct access prob. in linked allocation.
- Brings all pointers together into one block, the index block.
- Each file has an index block, which is an array of disk-block addresses.

directory	
Name	Index block
jeep	19

- $i^{th}$  entry in the index block points to the  $i^{th}$  block of the file.
- supports direct access.

"Talk to yourself like you would to someone you love." Brene Brown

2018

28

SATURDAY

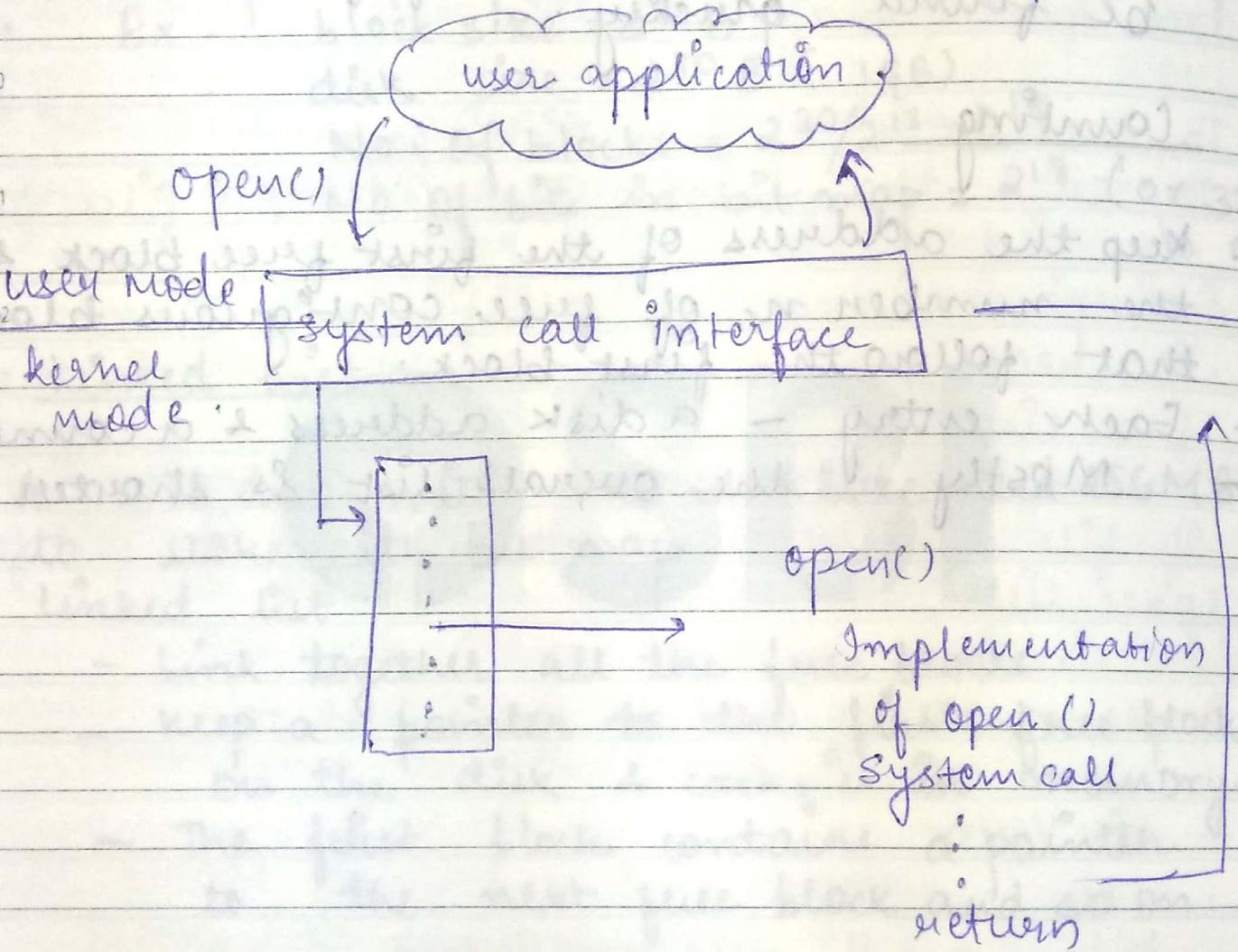
JULY

## System Calls

(209-156) WK 30

- Available as assembly lang. instruction

### API - system call - OS Relationship



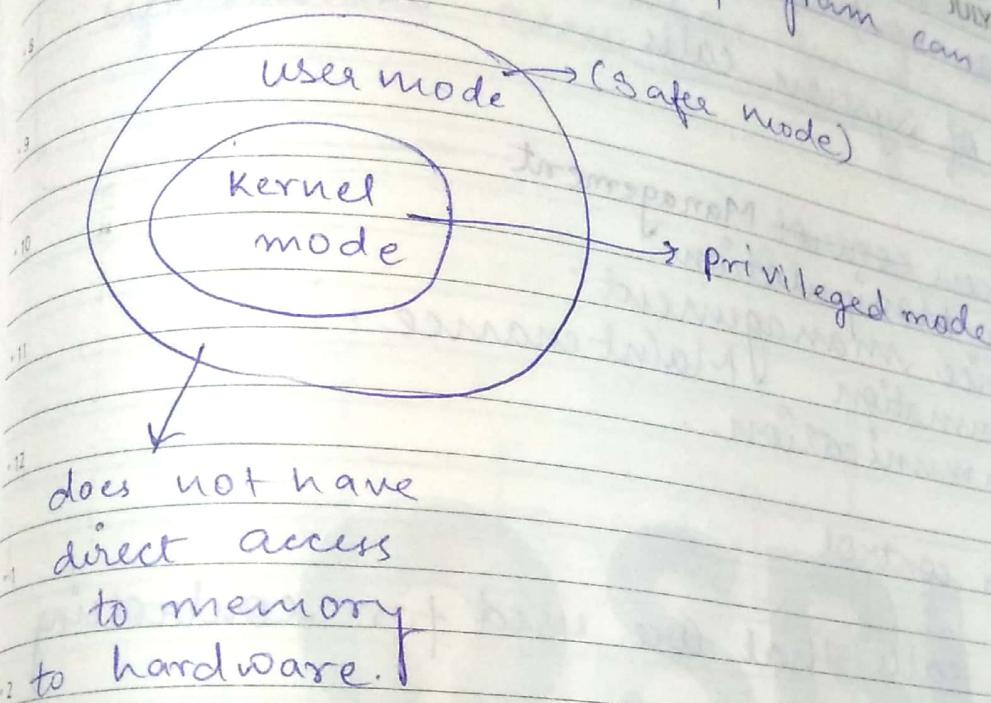
System calls provide an interface to the services made available by an OS.

29 SUNDAY

user mode & kernel mode are two modes in which a program can execute

MONDAY  
JULY

30



- 3 If the program executing kernel mode ~~crash~~ crash then the entire system will crash.
- 4 → when user mode ~~wants~~ wants to access to resources then it makes a call to OS telling that it need resource.

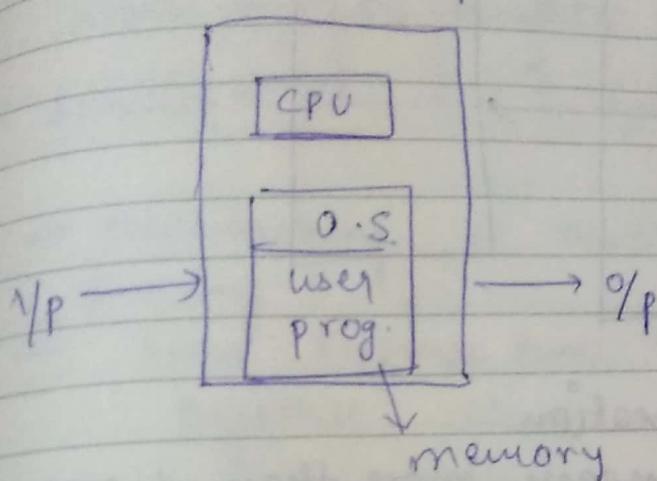
When a program switches from user mode ~~and~~ to kernel mode it is called context switching

→ System call is the programmatic way in which a comp program req a service from the kernel of the OS.

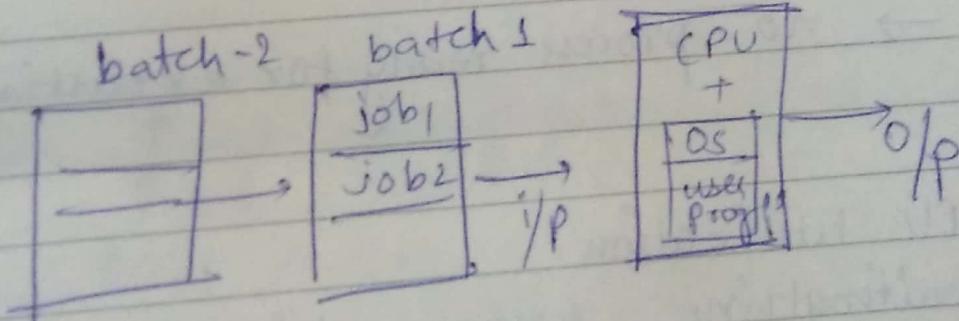
"We must substitute courage for caution"

Types of OS.

mainframe comp.

→ Batch Processing OS.

jobs with similar needs are batched together & executed through the processor as a group.

Adv.

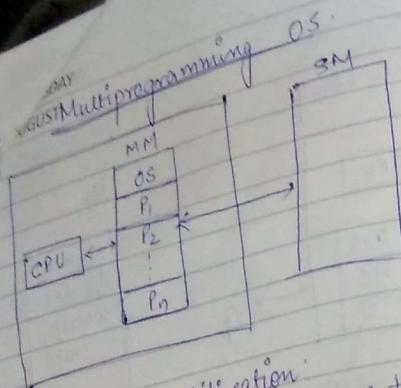
- need same
- no manual intervention needed.
- less time (loading compiler)

Dis.

- memory limited
- interaction of I/P & O/P devices directly

Today knowledge has power. It controls access to opportunity and advancement. - Peter Drucker

07



- Maximise CPU utilization
- Multiprogramming means more than 1 process in MFT which are ready to execute.
- Process require CPU time & I/O time, so if running process perform I/O or some other event which do not require CPU instead of sitting idle, CPU make a context switch & pick some other process.
- CPU idle → no process ready for execution.

Adv

- High CPU utilization
- less waiting time, response time etc.
- may be extended to multiple user.

Dis

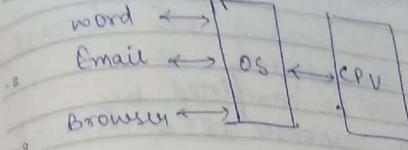
- Difficult scheduling
- MM management is req.
- Memory fragmentation
- Paging

2018

As people are walking all the time, in the same spot, a path appears. - John Locke

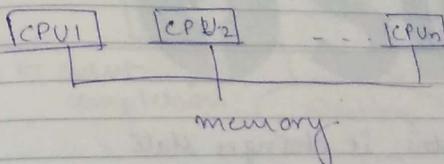
(221-144) WK 32

### Multitasking



- Multitasking is multiprogramming with time sharing.
- better response time & executing multiple process together.

### Multiprocessing



Adv

- ↑ sed throughput
- ↑ sed reliability
- cost saving.
- parallel processing

Dis

- more complex
- overhead reduce throughput
- large main memory

"The way to develop self-confidence is to do the thing you fear and get a record of successful experience."

11

SATURDAY

AUGUST

Real Time

(23.12.14)

- RTOS response to I/O immediately.
- In RTOS, the task will be completed by specified time & its responses in a predictable way or unpredictable way

Soft RT.

Hard RT

- \* failed if RT is too long
- + Sec. storage is limited

- \* less accurate if response time is too long
- \* useful in applications such as multimedia

#

### Process State

- \* As a process executes, it changes state.
  - \* The state of a process is defined in part by the current activity of that process.
- Each process may be in one of the following states -

12 SUNDAY

**NEW** The process is being created.

**RUNNING** Instructions are being executed.

**WAITING** The process is waiting for some event to occur (such as an I/O).

"That some achieve great success, is proof to all that others can achieve it as well." - Abraham Lincoln

2018

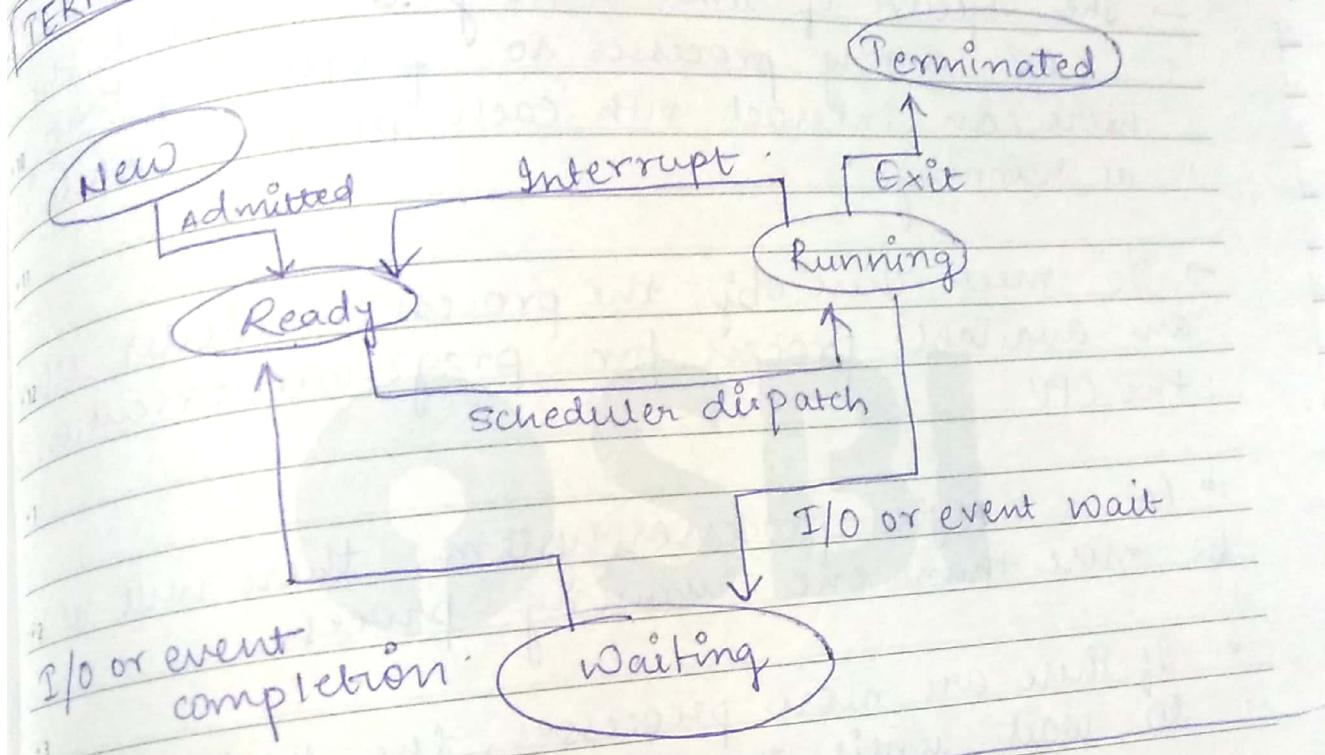
completion or reception of a signal

MONDAY  
AUGUST

13

READY > The process is waiting to be assigned to a processor.

TERMINATED > The process has finished execution



### Process Control Block

Each process is represented in OS by a PCB.

— also called Task CB.

process state → ready, run.

no

Prog. counter

CPU Registers

Memory Units

List of open files

... ..

→ add. of next inst.

CPU scheduling inf.  
Memory manag. inf.

Acc. inf. 2018

resources used by process.

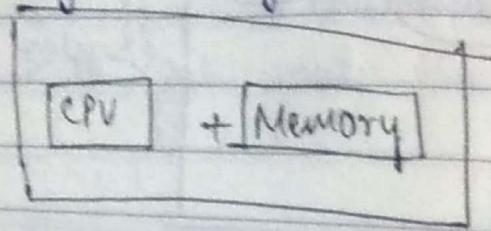
You yourself, as much as anyone in the entire universe deserve your love and affection." Buddha

I/O status inf. → which I/O is assigned to process

18

SATURDAY 05  
AUGUST

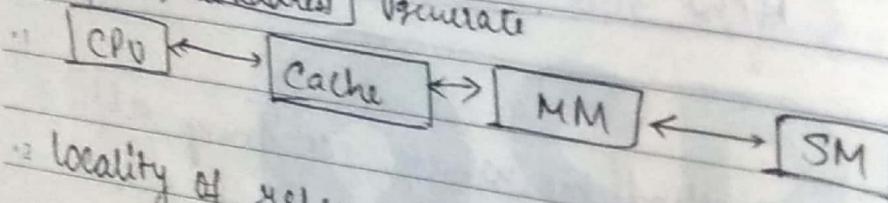
(230-135) 100%

Memory Management

computer

- \* 1) Size ( $\uparrow$ )  $\rightarrow$
- 2) Access time ( $\downarrow$ )  $\rightarrow$
- 3) per unit cost ( $\downarrow$ )

\* 4) Hierarchy of memory  
 $\rightarrow$  logical address generate



\* 5) Locality of reference.

To access MM  $\rightarrow$  execution sequential data b.

\* 6)  $LA \rightarrow PA$   $\rightarrow$  physical address.

$LA \rightarrow PA$  is used to access secondary memory.

\* 7) OS  $\rightarrow$   $LA \rightarrow PA$  which part of sec memory is stored in MM & where.

\* 8) Contiguous & Non-contiguous (linked list)

- External fragmentation,

- fast access.

2018

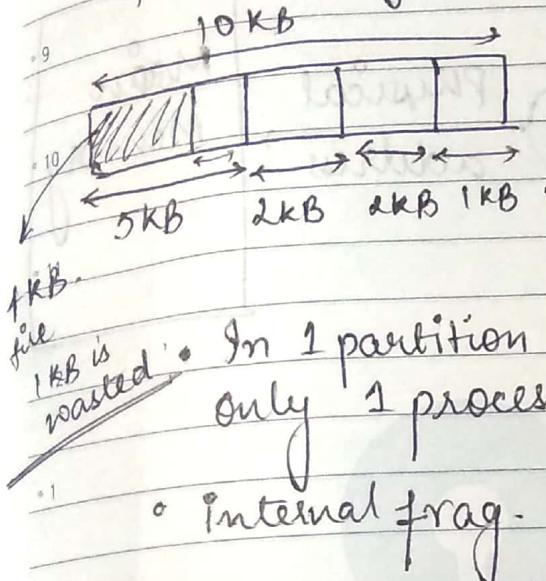
19 SUNDAY

MONDAY  
AUGUST

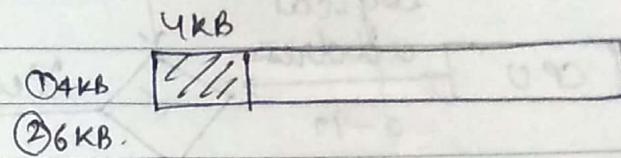
20

## contiguous Memory Allocation

### fixed size partitioning



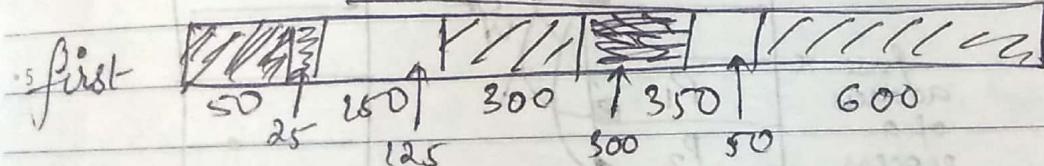
### variable size partitioning



- no internal fragmentation
- jaise - jaise process ayeji hum memory allocate kru denge.

(paging)  
(modified fixed  
size partitioning)

### variable size Parti



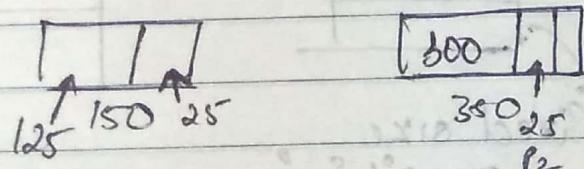
$$P_1 \rightarrow 300$$

$$P_2 \rightarrow 25$$

$$P_3 \rightarrow 125$$

$$P_4 \rightarrow 50$$

Best



(P4) left.

↳ cannot be satisfied

Worst

### Fixed size Parti

first

Best

Worst

2018

Silence and smile are two powerful tools. Smile is the way to solve many problems and silence is the way to avoid many problems.

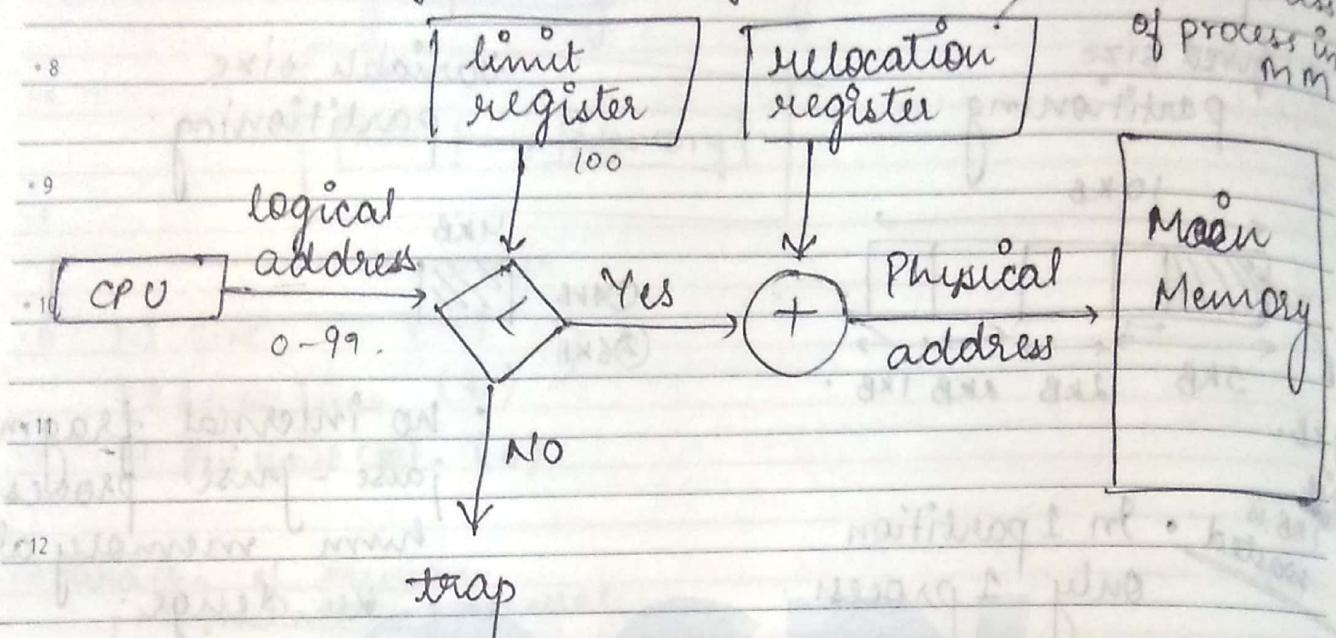
21

TUESDAY  
AUGUST

(233-132) WK 34

## Contiguous Memory allocation

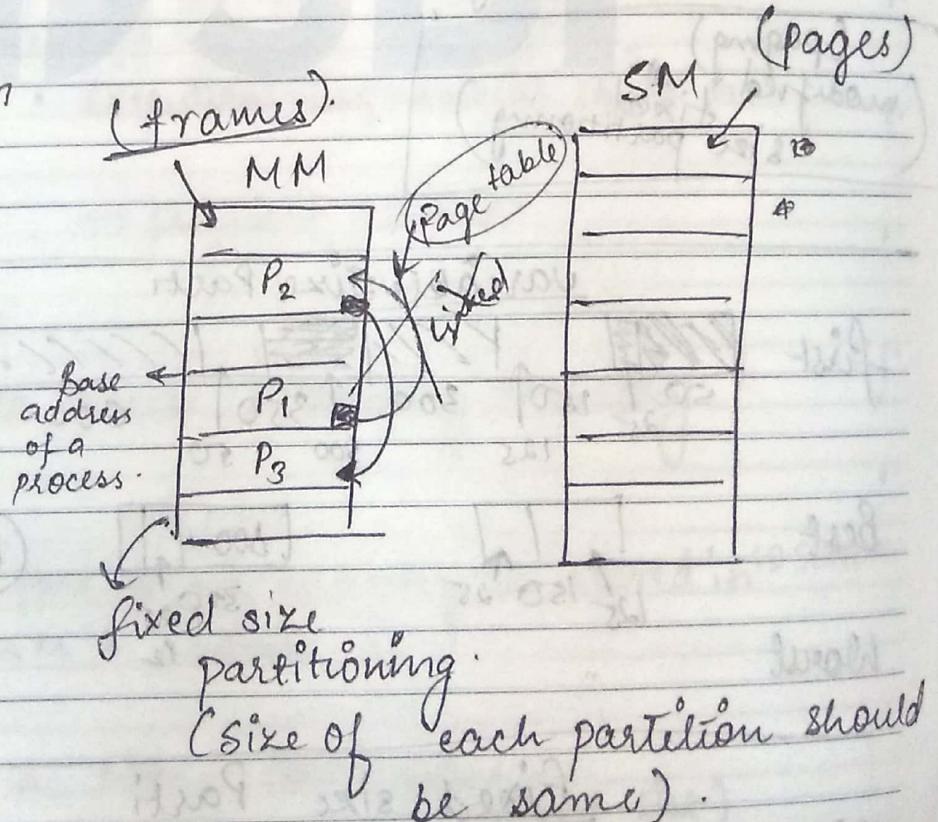
holds the base address of process in MM



## Non-Contiguous

## ① PAGING

## ② Segmentation



2018 size of page = size of frame.

Trust yourself. You know more than you think you do." Dr. Benjamin Spock

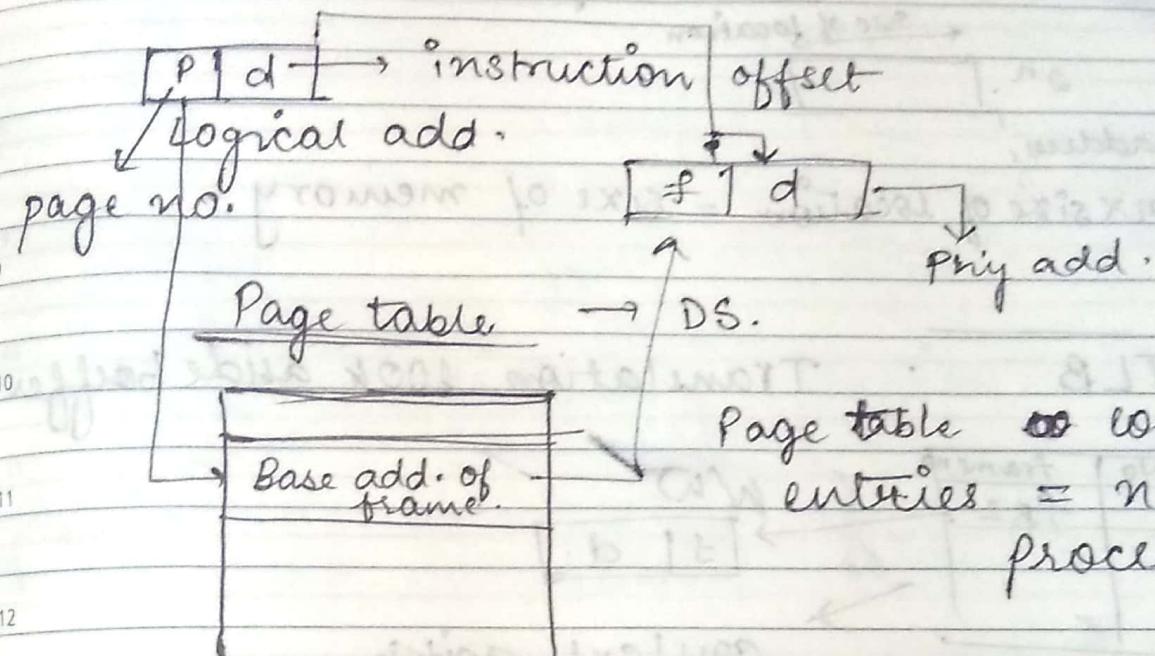
Process Pages were already divided in pages & pages  
can be placed into frames. in non contin-  
gious fashion.

WK 34 (234-131)

WEDNESDAY

AUGUST

22



Page table ~~do~~ contain no. of entries = no. of pages process having S.M.

Every process have its independent page table

Page table Base register:

holds page table base address.

PT is not stored in PCB.

PTBR stored in PCB.

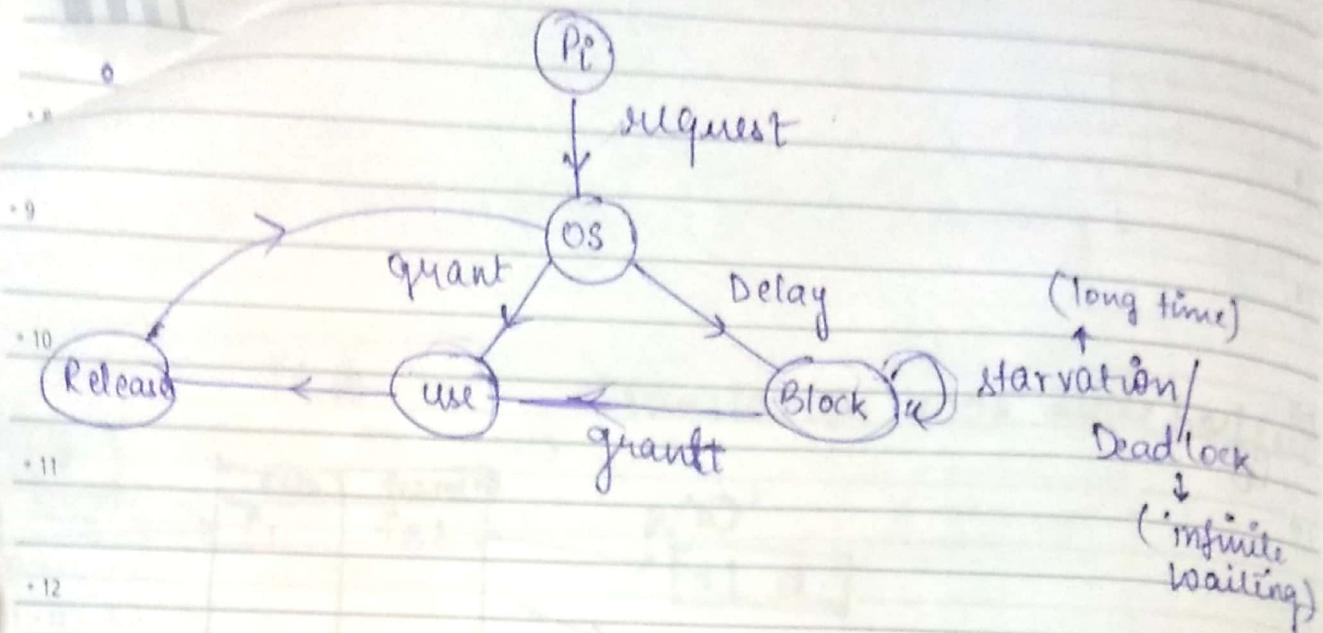
PT is in MM.

25

SATURDAY  
AUGUST

## Deadlock.

(237-128) W.W.S.



- 1. multiprogramming system a no. of process competes for limited resources.
- 2. If resources are not available at that instance the process enter into waiting state
- 3. If a process unable to change its waiting state ~~because the res. needed by it are held by another waiting process~~ indefinitely (resources held by another waiting process), the system is said to be deadlock.

System Model

- Every process req for reso.
- if entertained  $\rightarrow$  process use the reso.
- process must release the res. after use.

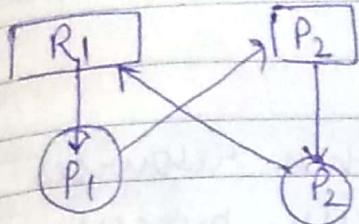
26 SUNDAY

- 4 condn.
- Mutual exclusion  $\rightarrow$  at least 1 res. in sys. which can be used in non sharable mode
  - Hold & Wait  $\rightarrow$  A process is holding at least 1 res. & requesting another which is being held by other process
  - No preemption  $\rightarrow$  if the work is not over resource cannot be taken.

2018

Quiet and silence is a form of power. Thoughtful and wise people are not talkative people. - Dr T.P.Chia

- Circular Wait  $\rightarrow$  P1 is waiting for R2 & R2 for R1



## Strategies to avoid deadlock.

- ~~cond<sup>n</sup>~~ Approach
- Deadlock ignorance. (Reboot)
- Deadlock Prevention (not let the system in dead state)
- (S+U) • Deadlock avoidance ("")
- (U) • Deadlock Detection & Recovery.

## Deadlock prevention (safe)

cond <sup>n</sup>	Approach
mutual excl.	spool everything (not possible to dis-satisfy)
Hold & Wait	Request all res. initially
No preemption	take res. away
Circular	wait

~~eliminate dl.~~

(How)

- Conservation approach - process is allowed to start execution if & only if it has all the required resources.
- Do not hold - process will acquire the desired res., but before making any fresh req., it must release all the res. currently holding
- Wait time out -

He who does not understand your silence will probably not understand your words. — Elbert Hubbard

We place a max. time upto which a process can wait after which process must release all the holding res. again

28

TUESDAY  
AUGUST

- Eliminate no pre-emption — pre-empt res. from process when resources required by other high priority process.
- Forceful pre-emption waiting process releases.
- Eliminate circular wait:

- Each resource will be assigned with a numerical number. A process can req. the resources only in increasing order of numbering.
- req lesser no. reso. the decrease all the nos. of larger no.

P <sub>1</sub>	P <sub>2</sub>	DL
R <sub>1</sub>	R <sub>2</sub>	
R <sub>2</sub>	R <sub>1</sub>	

R <sub>1</sub>	R <sub>2</sub>	Req. order
R <sub>2</sub>	R <sub>1</sub>	Same
		NO DL

## Deadlock Avoidance

- system maintains a set of ds using which it takes a decision whether to entertain a req. of or not to be in safe state.
- irreducible cycle → will not give the res. to that process.

## RAG Resource allocation graph

- Graphical representation of resource state.
- with the help of this, we try to find out whether the system is in deadlock state or not.
- cycle → possibility of deadlock.

2018

Silence is the best way to react while angry. Matovu Joseph

• Irreducible cycle → DL.

DS does not directly give the res. It presents to give it, check if there is cycle or not,

WK 35 (241-124)

WEDNESDAY

AUGUST

29

### Banker's Algo.

DS is maintained & apply the safety algo, if it is in unsafe state, then we undo the state & reach back to the previous state.

Available: Available  $R_j] = K$ , k instances of  $R_j$  type

Max:  $m \times m$  matrix

Allocation:  $P_i^T$   $R^T$

Need:

11 Max[i][j] = k, Process  $P_i$  may request k instances of resource type  $R_j$ .

12 Allocation[i][j]  $\geq k$   $P_i$  currently allocated k instances of resource type  $R_j$ .

Need[i][j] = k  $P_i$  need k more instances of  $R_j$  to complete its task.

$$\text{Need}[i][j] = \text{Max}[i][j] - \text{Allocation}[i][j]$$

### Safety Algo

Step 1. Work & Finish Vectors of length m & n.

Work = Available.

Finish[i] = False. i = 0, 1, ..., n-1

Step 2. a) finish[i] = false? find index i

b) Need[i]  $\leq$  Work ]

if exist go to Step 4.

Step 3 - Work = Work + Allocation[i]

& Finish[i] = true. (Go to 2)

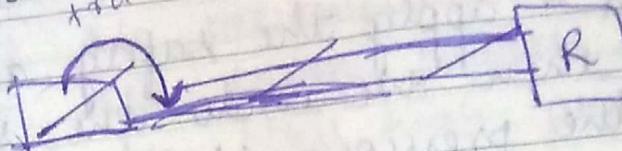
Step 4 - if finish[i] = true, take all i then 2018

"If you're presenting yourself with confidence, you can pull off pretty much anything." Katy Perry

System is in safe state.

30

AUGUST



## Resource Request Algo

$\text{Request}_i[j] = k$ .  $P_i$  wants  $k$  instances of  $P_j$

①  $\text{Req}_i \leq \text{Need}_i$

    goto step 2;

otherwise

    raise an error cond

$\because$  the process has exceeded its maximum claim

② if  $\text{req}_i \leq \text{available}$

    goto step 3;

otherwise

$P_i$  must wait

③ Have the system pretend to have allocated the req.  
resources to process  $P_i$ , by modifying the state  
as follow →

$$\text{Avail} = \text{Avai} - \text{Req}_i$$

$$\text{Allocation} = \text{Alloc}_i + \text{Req}_i$$

$$\text{Need}_i = \text{Need}_i - \text{Req}_i$$

if state found is safe

    PP allocate  
    not X restore

2018

A good word is better than silence and silence is better than evil talks. Submitted by: Ghanne Dikitanan

FRIDAY  
AUGUST

31

Safety

After applying safety algo.

If some process req. for more res.  
then add that in allocation &  
find available & Need.

### Deadlock Detection & Recovery

↓  
RAG

WT, RT & TAT ↑ & throughput is suffered.

→ kill one by one

→ kill all processes.

to break  
the cycle.

it will not help in resolving  
the prob ( no guarantee  
that there will be no  
deadlock again ).

Process to be killed  
is chosen on the  
basis of priority  
of process

• how much % of work the  
process has done.

• how many resources  
the process has already  
used.

\* Rollback rather than terminating any process.  
to previous state.

### Ostrich Algo

Until & unless no deadlock occurs it will allow the process  
to use the resources it want & when deadlock occurs  
it will find recovery algo. to recover

The deepest feeling always shows itself in silence Marianne Moore

from dl.

I ne<sup>g</sup> ignore the prob<sup>s</sup> as if  
it does not exist

13

TUESDAY  
NOVEMBER

OS.

(317-048) WK 46

## Disk & Drum Scheduling

File allocation method.

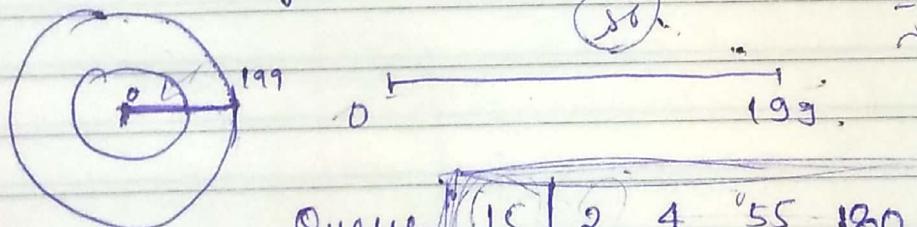
File Access method.

↓  
reduce the seek time

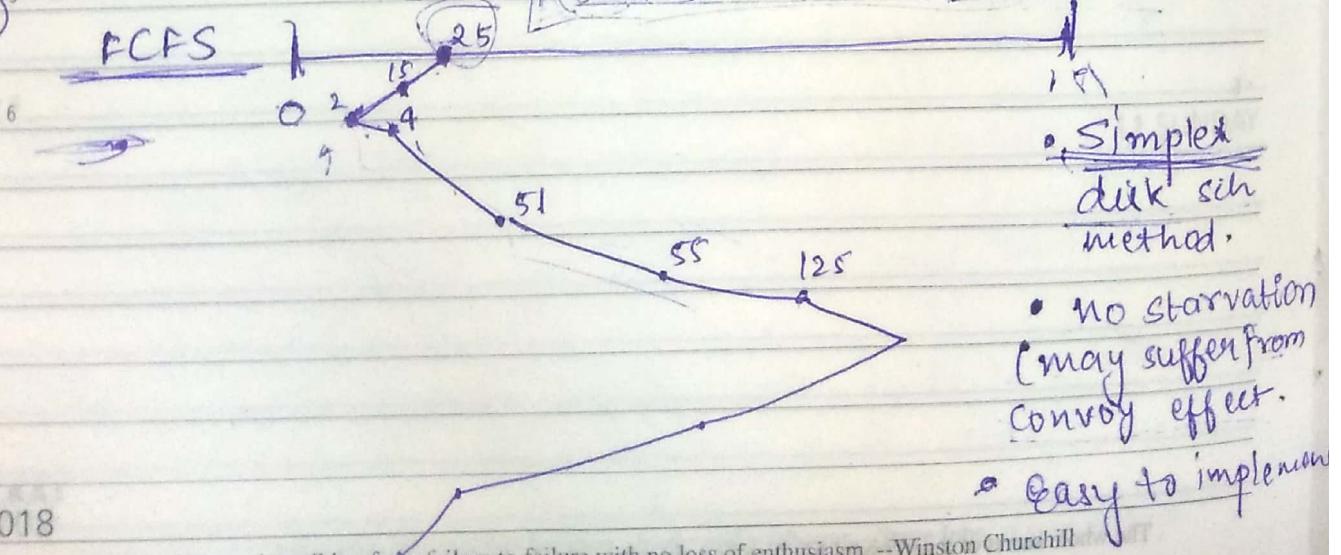
- 8 in case of multiple I/O requests, OS also must decide which req. must be executed first.
- 9

- 10
- 11 The process requesting the disk access - there will be a queue of track no.

- 12
- 13 \* Time req. to move the R/W head on the desired track + seek time.
- 14 \* Time taken by disc to rotate to reach a particular sector is rotational latency.
- 15



a)



2018

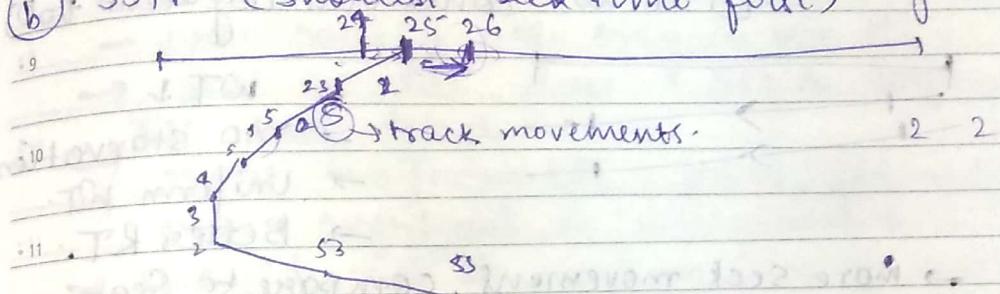
Success is walking from failure to failure with no loss of enthusiasm. --Winston Churchill

WEDNESDAY  
NOVEMBER

14

- more seek time
- more WT & RT
- inefficient

### b) SSTF (Shortest seek time first) greedy sol<sup>n</sup>



\* In case of tie req. in the dir of head movement is processed first.

\* track movement has reduced as compare to FCFS - seek time also.

\* less avg WT  $\rightarrow$   $\uparrow$  throughput.

\* reduce RT

\* Similar to SJF, problem of starvation.

→ overhead to find closest request.

→ high variance in RT & WT.

### c) SCAN (Elevator Algo)

it scans in one dir at a time the entire track & all the processes that come in b/w will be approved.

No starvation. (WT may ↑)

(bounded wait)

low avg WT.

- long WT for locations just visited by head.
- unnecessary move till the end of disc even if there is no req.

Successful entrepreneurs are givers and not takers of positive energy. -Anonymous

2018

15

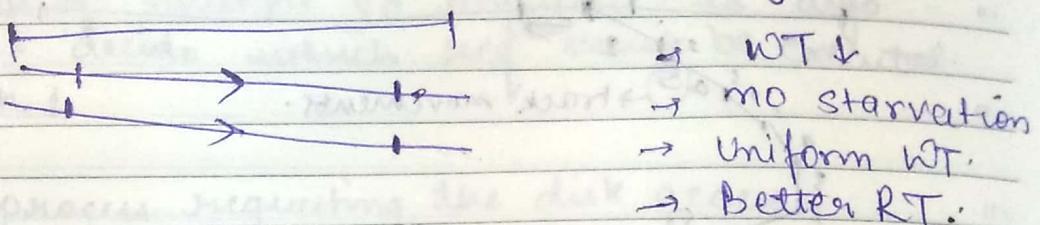
THURSDAY C SCAN

NOVEMBER Circular Scan.

(319-046) WK 46

Wk 46 (320-045)

↳ always scan in 1 dir (not in reverse)  
so that the ones arrived first  
will be scanned first.  
(199 is considered adjacent to 0)



→ WT ↓

→ no starvation

→ Uniform WT.

→ Better RT.

→ more seek movement compare to Scan.

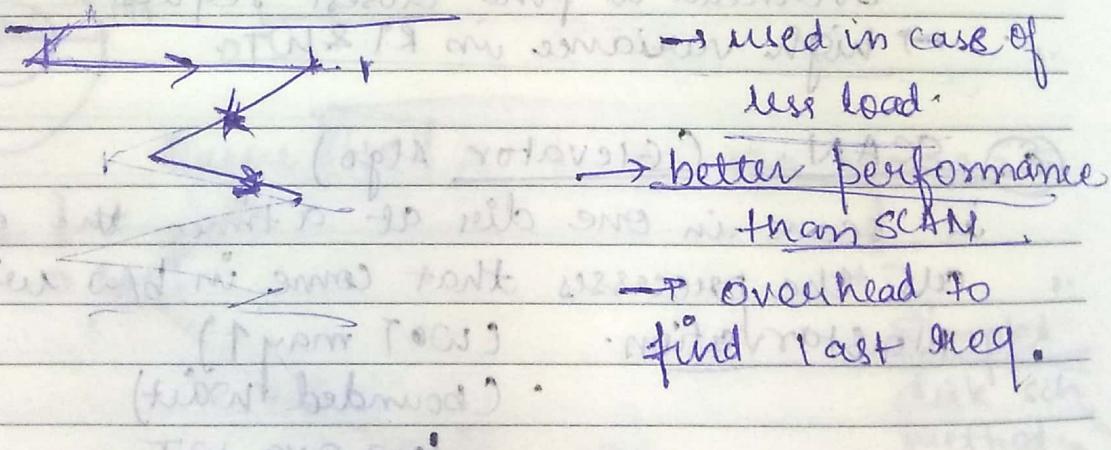
②

### LOOK

will look whether the req is there or not

(scan in the given range)

instead of going till last track, use  
go till end req & then change dir.



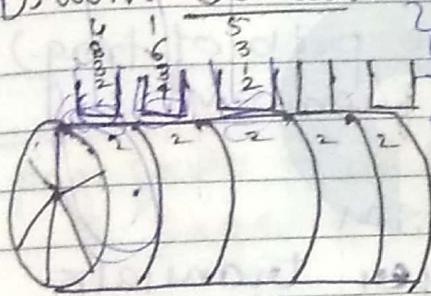
→ used in case of  
less load.

→ better performance  
than SCAN.

→ overhead to  
find last req.

CLOCK (best disk scheduling)

- takes adv of both C-SCAN & LOOK
- will satisfy req. only in one dir.
- will go till last req & return but not till last track.
- more uniform WT, more efficient
- more overhead in calculations.
- should not be used in case of more load.

Drum Scheduling

→ arrange the req. in the order of sector below, so that max requests are fulfilled at a time.

sector queuing

• USB (Pendrives) → Electronic semi-conductor  
FAT-32 use.

NAND - Flash Memory