Data Analysis and Predictive Model to differentiate Research Medical Payments from General Medical payments Based on Data from Centers for Medicare and Medicaid

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0.1 The task here is to Predict whether a payment by a company to a medical doctor or facility was made as a part of the research project or not.

The data is from the year 2017 which can be found here: https://www.cms.gov/OpenPayments/Explore-the-Data/Dataset-Downloads.html

```
In [77]: # all imports
         try:
             import pandas as pd
             import numpy as np
             import seaborn as sns
             import matplotlib.pyplot as plt
             import warnings
             warnings.filterwarnings('ignore')
             import helpers
             import logging
             from sklearn.model_selection import train_test_split, cross_validate,
             from sklearn.model_selection import GridSearchCV
             from sklearn.linear_model import LogisticRegression
             from sklearn.ensemble import RandomForestClassifier
             from sklearn.impute import SimpleImputer
             from sklearn.preprocessing import StandardScaler,OneHotEncoder
             from category_encoders import TargetEncoder
             from sklearn.compose import ColumnTransformer
             from sklearn.pipeline import Pipeline
             from imblearn.pipeline import make_pipeline as make_imb_pipeline
             from imblearn.under_sampling import RandomUnderSampler
             from sklearn.feature_selection import mutual_info_classif, f_classif
         except ImportError as error:
             import logging
             logging.info('Error occured while importing libraries')
             logging.error(error)
```

Using wrong import to display - try except and logging function toy example

```
from sklearn.impute import SimpleImputer, OneHotEncoder
             from sklearn.preprocessing import StandardScaler
         except ImportError as error:
             import logging
             logging.info('Error occured while importing libraries')
             logging.error(error)
INFO:root:Error occured while importing libraries
ERROR:root:cannot import name 'OneHotEncoder' from 'sklearn.impute'
-- (/anaconda3/envs/applied_ml/lib/python3.7/site-packages/sklearn/impute.py)
In [3]: Research = pd.read_csv("./PGYR17_P011819/OP_DTL_RSRCH_PGYR2017_P01182019.csv",
                               index_col="Record_ID")
       General = pd.read_csv('./PGYR17_P011819/OP_DTL_GNRL_PGYR2017_P01182019.csv',
                              index_col="Record_ID")
In [6]: print('Total rows in Research dataframe:',len(Research))
       print('Total rows in General dataframe:',len(General))
Total rows in Research dataframe: 602530
Total rows in General dataframe: 10663833
```

0.1.1 Calling helper function to remove columns with more than n\% null values (by default n=10\%)

NOTE: The helper function is written in a separate python file following the PEP 8 guideline which also includes the unit testing [pytest].

```
In [7]: # The helper function is written in a separate python file
    # following the PEP 8 guideline which also includes
    # the unit testing [pytest].
    research_cleaned = helpers.remove_cols_with_null(Research)
    general_cleaned = helpers.remove_cols_with_null(General)
```

0.1.2 Assigning a column 'target' to identify whether the data falls under Research [1] or General [0] category

0.2 Data Exploration

0.2.1 1. Data Balance

Observation: The data is highly skewed with class imbalance of 1:19

0.2.2 2. Features Analysis

```
In [14]: cat_cols = research_cleaned.columns[(research_cleaned.dtypes==object).values]
         con_cols = research_cleaned.columns[(research_cleaned.dtypes!=object).values]
         print('Total Categorical columns: {}\n {}\n'.format(len(cat_cols), cat_cols))
         print('Total Continuous columns: {}\n {} \n'.format(len(con_cols), con_cols))
Total Categorical columns: 16
 Index(['Delay_in_Publication_Indicator', 'Recipient_Zip_Code',
       'Recipient_Country',
       'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Country',
       'Dispute_Status_for_Publication', 'Date_of_Payment',
       'Covered_Recipient_Type', 'Recipient_State', 'Payment_Publication_Date',
       'Change_Type', 'Recipient_City',
       'Submitting_Applicable_Manufacturer_or_Applicable_GPO_Name',
       'Related_Product_Indicator',
       'Recipient_Primary_Business_Street_Address_Line1',
       'Form_of_Payment_or_Transfer_of_Value',
       'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Name'],
      dtype='object')
Total Continuous columns: 4
 Index(['Total_Amount_of_Payment_USDollars', 'Target', 'Program_Year',
       'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_ID'],
      dtype='object')
In [15]: research_cleaned_cat = research_cleaned[cat_cols].astype(str)
         general_cleaned_cat = general_cleaned[cat_cols].astype(str)
         research_cleaned_con = research_cleaned[con_cols]
         general_cleaned_con = general_cleaned[con_cols]
```

a. Categorical Features Analysis

Helper Module

```
1 # This is the helper module
2
   # This code is in compliance with PEP-8 guidelines
3
4 import pandas as pd
5 | import numpy as np
6 import seaborn as sns
7 import matplotlib.pyplot as plt
8 from sklearn.model selection import cross validate
9 from sklearn.linear model import LogisticRegression
10
11
12 def remove_cols_with_null(df, precent_null_threshold=10.0):
13
       Function to remove columns from a dataframe with total
14
15
       null values greater than a threshold percentage
16
17
       Parameters
18
       _____
19
       precent_null_threshold: float, default=10.0
20
            threshold percentage value (between )
21
       df: pandas dataframe
22
            dataframe to be operated upon
23
24
       Returns
25
26
       filtered_df: pandas dataframe
2.7
            dataframe after removing all the columns with total
2.8
            null values greater than the given threshold percentage
29
30
       if precent null threshold < 100 and precent null threshold > 0:
31
32
            threshold = len(df) * precent null threshold/100
33
            cols = (df.isna().sum() < threshold)</pre>
34
            filtered df = df.iloc[:, cols.values]
35
            return filtered_df
36
       else:
37
           raise ValueError
38
```

Pytest for the above function

```
1 # This is the test file
 2 # This code is in compliance with pep-8 guidelines
4 import pytest
 5 import pandas as pd
6 import helpers
7
8
9
   @pytest.mark.parametrize("df,percent,n_col",
10
                             [(pd.read_csv('test.csv'), 110, ValueError),
                              (pd.read_csv('test.csv'), -1, ValueError)])
11
12
   def test__err_remove_cols_with_null(df, percent, n_col):
13
       with pytest.raises(n_col):
14
           helpers.remove_cols_with_null(df, percent)
15
16
17
   def test_res_remove_cols_with_null():
18
       df = pd.read_csv('test.csv')
19
       assert len(helpers.remove cols with null(df).columns) == 1
20
```

```
In [16]: fig, axes = plt.subplots(4, 4, figsize=(30, 30))
         head = list(cat_cols)
         for i, ax in enumerate(axes.ravel()):
              if i >= len(head):
                  break
              j = head[i]
              ax.set_title("{}".format(j))
              if len(general_cleaned_cat.iloc[:, i].value_counts()) > 100:
                  continue
              ax.plot(research_cleaned_cat[j].value_counts().keys(),
                       research_cleaned_cat[j].value_counts().values/len(research_cleaned_cat),
                       'ro', label='Research')
              ax.plot(general_cleaned_cat[j].value_counts().keys(),
                       general_cleaned_cat[j].value_counts().values/len(general_cleaned_cat),
                       'bo', label='General')

    Research
    General

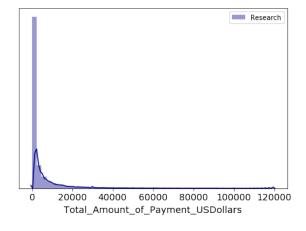
    Research
    General
```

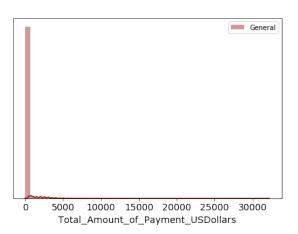
Observation:

- The picture above plots the frequency density (frequency/class size) of each categorical feature in two classes. Intuitively, if the red dots and blue dots are far away each other then the feature should be ideal.
- Plots for columns with more than 300 categories are difficult to comprehend, therefore have been omitted.

b. Continuous Features Analysis:

Out[91]: <matplotlib.legend.Legend at 0x1af2409e80>





```
In [20]: general_cleaned_con['Total_Amount_of_Payment_USDollars'].describe()
```

```
1.066383e+07
Out[20]: count
         mean
                  2.638836e+02
                  2.213081e+04
         std
         min
                  1.000000e-02
         25%
                  1.154000e+01
         50%
                  1.565000e+01
         75%
                  2.454000e+01
         max
                  2.684237e+07
         Name: Total_Amount_of_Payment_USDollars, dtype: float64
In [21]: research_cleaned_con['Total_Amount_of_Payment_USDollars'].describe()
Out[21]: count
                  6.025300e+05
                  7.568244e+03
         mean
         std
                  1.148221e+05
         min
                  1.000000e-02
         25%
                  1.420000e+02
         50%
                  8.089200e+02
         75%
                  3.266910e+03
                  5.910413e+07
         max
         Name: Total_Amount_of_Payment_USDollars, dtype: float64
```

Observation:

- The total amount of payments for any research projects is more than the amount spent for general use.
- Most research projects pay less than 20000 USD
- Most payments made for general usage are less than 100 USD
- Overall, this feature seems to be very important in differentiating between general and research payments

0.3 Feature Engineering

Removing unimportant features.

- 'Change_Type','Delay_in_Publication_Indicator', 'Payment_Publication_Date','Dispute_Status_for_Publication_It is clearly visible from the fig that these columns do not adequetly differentiate between the general and research categories.
- 'Covered_Recepient_Type': should be removed because of leaking the information of target.
- 'Date_of_Payment' will be updated after the payment is completed and hence is irrelevant to our analysis.

0.3.1 Combine research and general data and remove irrelevant features

```
In [22]: data = pd.concat([research_cleaned,general_cleaned])
In [23]: y = data['Target']
         cat_cols = list(set(cat_cols) - set(['Change_Type',
                                               'Delay_in_Publication_Indicator',
                                               'Payment_Publication_Date',
                                               'Dispute_Status_for_Publication',
                                               'Covered_Recipient_Type',
                                               'Covered_Recipient_Type',
                                               'Date_of_Payment']))
         cat_cols.append('Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_ID')
         con_cols = list(con_cols)
         con_cols.remove('Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_ID')
         data.drop(['Change_Type', 'Delay_in_Publication_Indicator',
                    'Payment_Publication_Date', 'Dispute_Status_for_Publication',
                    'Covered_Recipient_Type', 'Covered_Recipient_Type',
                    'Date_of_Payment', 'Target'], 1, inplace=True)
```

0.4 Baseline Model

Ordinal encoding

Train-test split

0.4.1 Model with ordinal encoding + Logistic Regression

```
scoring=('roc_auc', 'accuracy',
                                         'average_precision', 'recall', 'f1'))
        test_av_precision = str(scores['test_average_precision'].mean())
        print("-----test_roc_auc----\n"+str(scores['test_roc_auc'].mean()))
        print("----test_accuracy-----\n"+str(scores['test_accuracy'].mean()))
        print("-----test_average_precision----\n"+test_av_precision)
        print("-----test_f1-----\n"+str(scores['test_f1'].mean()))
        print("----recall-----\n"+str(scores['test_recall'].mean()))
-----test_roc_auc-----
0.7825639705776126
-----test_accuracy-----
0.9501522261696976
-----test_average_precision-----
0.3617017939890038
-----test_f1-----
0.16334540023415084
-----recall-----
0.09211638375123277
```

Observation: As the result shows, the prediction accuracy is very high in baseline model however the f1 score and recall are very low which are because of the imbalance of dataset. To tackle this problem, we have to undersampling data.

0.4.2 Undersampling

```
In [29]: undersample_pipe = make_imb_pipeline(RandomUnderSampler(),
                                            LogisticRegression())
        scores = cross_validate(undersample_pipe,
                                X_train, y_train, cv=10,
                                scoring=('roc_auc', 'accuracy',
                                         'average_precision', 'recall', 'f1'))
        test_av_precision = str(scores['test_average_precision'].mean())
        print("-----test_roc_auc----\n"+str(scores['test_roc_auc'].mean()))
        print("-----test_accuracy-----\n"+str(scores['test_accuracy'].mean()))
        print("-----test_average_precision-----\n"+test_av_precision)
        print("----test_f1----\n"+str(scores['test_f1'].mean()))
        print("----recall-----\n"+str(scores['test_recall'].mean()))
-----test_roc_auc-----
0.9288082309336154
-----test_accuracy-----
0.8450688424399694
-----test_average_precision-----
0.4740894962988384
-----test f1-----
0.3692592229821373
```

```
----recall-----
0.8479702814829506
```

Observation: After undersampling the data, the recall,f1 score, precision increased significantly in baseline model

0.4.3 Other Encoding Schemes

As seen during the analysis of categorical data, some features, such as:

- 'Recipient_State'
- 'Date_of_Payment'
- 'Recipient_Zip_Code'
- 'Recipient_City'
- 'Submitting_Applicable_Manufacturer_or_Applicable_GPO_Name'
- 'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Name'

have more than 100 categories which needs target encoding.

For features such as 'Recipient_Country' there are only 4 classes and can be **one-hot encoded.**

```
In [30]: X_train.columns
Out[30]: Index(['Total_Amount_of_Payment_USDollars', 'Program_Year',
                'Recipient_Zip_Code', 'Recipient_Country',
                'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Country',
                'Recipient_State', 'Recipient_City',
                'Submitting_Applicable_Manufacturer_or_Applicable_GPO_Name',
                'Related_Product_Indicator',
                'Recipient_Primary_Business_Street_Address_Line1',
                'Form_of_Payment_or_Transfer_of_Value',
                'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_ID',
                'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Name'],
               dtype='object')
In [31]: target_e = {'Recipient_State', 'Recipient_Zip_Code', 'Recipient_City',
                     'Submitting_Applicable_Manufacturer_or_Applicable_GPO_Name',
                     'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Name',
                     'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Country',
                     'Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_ID'}
         continuous = {'Total_Amount_of_Payment_USDollars'}
         one_hot = set(X_train)-target_e-continuous
         target_id = set()
         one_hot_id = set()
```

```
continuous_id = set()
         for index, value in enumerate(list(X_train)):
             if value in target_e:
                 target_id.add(index)
             elif value in one_hot:
                 one_hot_id.add(index)
             else:
                 continuous_id.add(index)
In [32]: # Build a pipeline:
         numeric_transformer = Pipeline(steps=[('imputer',
                                                SimpleImputer(strategy='mean')),
                                        ('scaler', StandardScaler())])
         categorical_transformer_target = Pipeline(steps=[
             ('imputer', SimpleImputer(strategy='constant', fill_value=-1)),
             ('target', TargetEncoder(handle_unknown='impute'))])
         categorical_transformer_one_hot = Pipeline(steps=[
             ('imputer', SimpleImputer(strategy='constant', fill_value=-1)),
             ('onehot', OneHotEncoder(handle_unknown='ignore', sparse=False))])
         continuous = np.array(list(continuous_id))
         categorical_target = np.array(list(target_id))
         categorical_one_hot = np.array(list(one_hot_id))
         preprocessor = ColumnTransformer(
             transformers=[
                 ('num', numeric_transformer, continuous),
                 ('cat_target', categorical_transformer_target, categorical_target),
                 ('cat_onehot', categorical_transformer_one_hot, categorical_one_hot)
             ])
         clf_L = make_imb_pipeline(RandomUnderSampler(),
                                   preprocessor, LogisticRegression())
         scores = cross_validate(clf_L,
                                 X_train, y_train, cv=3,
                                 scoring=('roc_auc', 'accuracy',
                                          'average_precision', 'recall', 'f1'))
         test_av_precision = str(scores['test_average_precision'].mean())
         print("-----test_roc_auc----\n"+str(scores['test_roc_auc'].mean()))
         print("-----test_accuracy-----\n"+str(scores['test_accuracy'].mean()))
```

```
print("------\n"+str(scores['test_f1'].mean()))
    print("------\n"+str(scores['test_f1'].mean()))
    print("------\n"+str(scores['test_recall'].mean()))

------test_roc_auc-----
0.9068727156505574
------test_accuracy-----
0.8382610025601359
------test_average_precision-----
0.36591897657947614
-----test_f1-----
0.35774455371383757
-----recall-----
0.8423249923815606
```

Observation: Target encoding works slightly better than ordinal encoding

0.4.4 PREDICTIVE MODEL

RANDOM FOREST MODEL

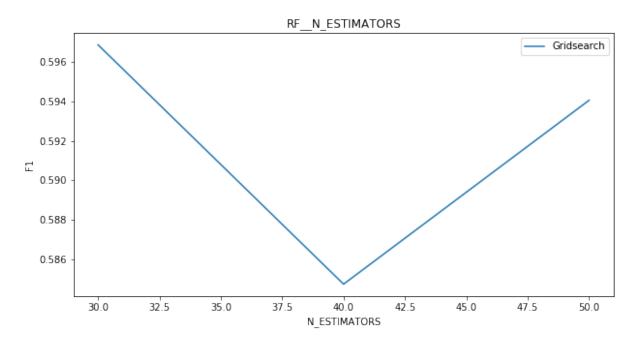
```
In [34]: clf_RF = make_imb_pipeline(RandomUnderSampler(),
                                   preprocessor,
                                   RandomForestClassifier(n_estimators=10))
        scores = cross_validate(clf_RF,
                                X_train, y_train, cv=3,
                                scoring=('roc_auc', 'accuracy',
                                         'average_precision', 'recall', 'f1'))
        test_av_precision = str(scores['test_average_precision'].mean())
        print("-----test_roc_auc----\n"+str(scores['test_roc_auc'].mean()))
        print("-----test_accuracy-----\n"+str(scores['test_accuracy'].mean()))
        print("-----\n"+test_average_precision-----\n"+test_av_precision)
        print("----test_f1-----\n"+str(scores['test_f1'].mean()))
        print("----recall-----\n"+str(scores['test_recall'].mean()))
-----test_roc_auc-----
0.9765707273308726
-----test_accuracy-----
0.9295864565242179
----test_average_precision-----
0.6421008032671963
-----test_f1-----
0.5891639577894635
-----recall-----
0.944065698381189
```

PARAMETER TUNING

```
In [96]: # Tune Parameters of n esitmators
         parameters = {}
         param_grid = {'randomforestclassifier__n_estimators': np.array(range(30,
                                                                               60, 10))}
         CV_RF = GridSearchCV(clf_RF, param_grid, scoring='f1')
         CV_RF.fit(X_train, y_train)
         print('Best Parameter:', CV_RF.best_params_)
         L = len(CV_RF.cv_results_['param_randomforestclassifier__n_estimators'])
         plt.figure(figsize=(10, 5))
         plt.plot(CV_RF.cv_results_['param_randomforestclassifier__n_estimators'].data,
                  CV_RF.cv_results_['mean_test_score'], label="Gridsearch")
         \# plt.plot(CV_R.cv_results_["param_Ridge_alpha"].data,[0.863]*L,"red",label="base")
         plt.title("RF__N_ESTIMATORS")
         plt.xlabel("N_ESTIMATORS")
         plt.ylabel("F1")
         plt.legend()
```

Best Parameter: {'randomforestclassifier__n_estimators': 30}

Out[96]: <matplotlib.legend.Legend at 0x1af25a8748>



0.4.5 FEATURE SELECTION

MUTUAL INFORMATION AND F-VALUES

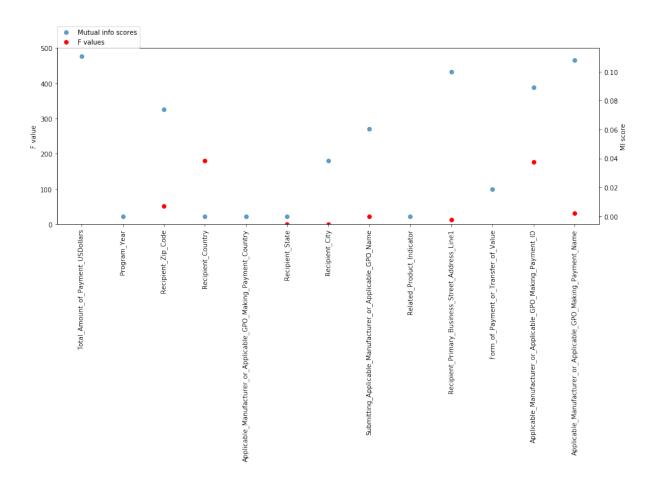
PRINT features in order of prioirty from high to low

CALCULATE F-VALUES

```
In [40]: f_values, p_values = f_classif(X_train, y_train)
```

PLOT F-VALUES AND MI

```
In [41]: fig, ax1 = plt.subplots(1, 1)
    fig.set_size_inches(15, 5)
    line_f, = ax1.plot(f_values, 'o', c='r')
    # plt.ylabel("F value")
    ax2 = plt.twinx()
    line_s, = ax2.plot(score_mi, 'o', alpha=.7)
    ax2.set_ylabel("MI score")
    ax1.set_xticks(range(len(list(X_train))))
    ax1.set_xticklabels(list(X_train), rotation='vertical')
    ax1.set_ylabel('F value')
    ax1.set_ylim(0, 500)
    plt.legend([line_s, line_f], ["Mutual info scores", "F values"], loc=(0, 1))
Out [41]: <matplotlib.legend.Legend at Ox1af09eb470>
```



Observation: We can compare features from their mutual information and f-value graph as well. We know that a higher f-value and higher mutual information value gives a good feature.

We can see 'Total_Amount_of_Payment_USDollars','Applicable_Manufacturer_or_Applicable_GPO_Making_Payme' (Related_Product_Indicator','Applicable_Manufacturer_or_Applicable_GPO_Making_Payment_Country' are all good features. [The f-value cant be seen on the graph due to ylimit, but it mean the f-value is very high]

Whereas Recipient_state, Submitting_Applicable_Manufacturer_or_Applicable_GPO_Name, Recipient_zip_code have low f-values, indicating bad features.

0.4.6 RUN THE MODEL WITH BEST FEATURES

```
scores = cross_validate(clf_RF,
                                X_train, y_train, cv=3,
                                scoring=('roc_auc', 'accuracy',
                                         'average_precision', 'recall', 'f1'))
        test_av_precision = str(scores['test_average_precision'].mean())
        print("-----test_roc_auc----\n"+str(scores['test_roc_auc'].mean()))
        print("-----test_accuracy-----\n"+str(scores['test_accuracy'].mean()))
        print("-----\n"+test_average_precision-----\n"+test_av_precision)
        print("----test_f1-----\n"+str(scores['test_f1'].mean()))
        print("----recall-----\n"+str(scores['test_recall'].mean()))
-----test_roc_auc-----
0.9777574625726498
----test_accuracy-----
0.9307137238050517
-----test_average_precision-----
0.652730225198507
-----test_f1-----
0.5946756289049556
-----recall-----
0.9503726424978698
```

Observation: From the results after feature selection, it can be observed that the scores are almost the same, in fact there is a marginal improvement. This reinforces the fact that the features that were removed did not add any new information to the model.