

# Mathematics of Cryptography Part III: Primes and Related Congruence Equations

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# 9-1 PRIMES

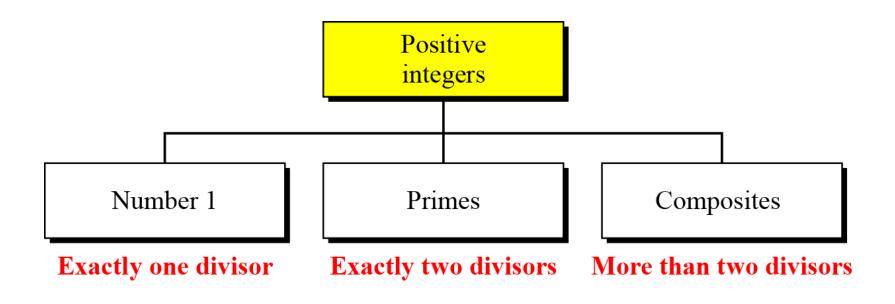
Asymmetric-key cryptography uses primes extensively. The topic of primes is a large part of the number theory in the Asymmetric-key cryptography.

# Topics discussed in this section:

- 9.1.1 Definition
- **9.1.2** Cardinality of Primes
- **9.1.3** Checking for Primeness
- 9.1.4 Euler's Phi-Function
- **9.1.5** Fermat's Little Theorem
- 9.1.6 Euler's Theorem

# 9.1.1 Definition

Figure 9.1 Three groups of positive integers



Note

A prime is divisible only by itself and 1.

# 9.1.1 Continued

# Example 9.1

What is the smallest prime?

## **Solution**

The smallest prime is 2, which is divisible by 2 (itself) and 1.

# Example 9.2

List the primes smaller than 10.

### **Solution**

There are four primes less than 10: 2, 3, 5, and 7. It is interesting to note that the percentage of primes in the range 1 to 10 is 40%. The percentage decreases as the range increases.

# 9.1.2 Cardinality of Primes

# Infinite Number of Primes



There is an infinite number of primes.

Example 9.3

As a trivial example, assume that the only primes are in the set  $\{2, 3, 5, 7, 11, 13, 17\}$ . Here P = 510510 and P + 1 = 510511. However,  $510511 = 19 \times 97 \times 277$ ; none of these primes were in the original list. Therefore, there are three primes greater than 17.

# 9.1.2 Continued

# Number of Primes

$$[n/(\ln n)] < \pi(n) < [n/(\ln n - 1.08366)]$$

Example 9.4

Find the number of primes less than 1,000,000.

## **Solution**

The approximation gives the range 72,383 to 78,543. The actual number of primes is 78,498.

# 9.1.3 Checking for Primeness

Given a number n, how can we determine if n is a prime? The answer is that we need to see if the number is divisible by all primes less than

$$\sqrt{n}$$

We know that this method is inefficient, but it is a good start.

# 9.1.3 Continued

Example 9.5

Is 97 a prime?

## **Solution**

The floor of  $\sqrt{97} = 9$ . The primes less than 9 are 2, 3, 5, and 7. We need to see if 97 is divisible by any of these numbers. It is not, so 97 is a prime.

Example 9.6

Is 301 a prime?

### **Solution**

The floor of  $\sqrt{301} = 17$ . We need to check 2, 3, 5, 7, 11, 13, and 17. The numbers 2, 3, and 5 do not divide 301, but 7 does. Therefore 301 is not a prime.

# 9.1.3 Continued

# Sieve of Eratosthenes

 Table 9.1
 Sieve of Eratosthenes

	2	3	4	5	6	7	8	9	<del>10</del>
11	<del>12</del>	13	14	<del>15</del>	<del>16</del>	17	<del>18</del>	19	<del>20</del>
21	<del>22</del>	23	24	<del>25</del>	<del>26</del>	27	<del>28</del>	29	<del>30</del>
31	<del>32</del>	33	34	<del>35</del>	<del>36</del>	37	38	<del>39</del>	40
41	42	43	44	45	46	47	48	49	<del>50</del>
51	<del>52</del>	53	<del>5</del> 4	<del>55</del>	<del>56</del>	<del>57</del>	<del>58</del>	59	<del>60</del>
61	<del>62</del>	63	64	<del>65</del>	<del>66</del>	67	<del>68</del>	<del>69</del>	<del>70</del>
71	<del>72</del>	73	74	75	<del>76</del>	77	<del>78</del>	79	80
81	<del>82</del>	83	84	<del>85</del>	<del>86</del>	87	88	89	90
91	<del>92</del>	93	<del>9</del> 4	<del>95</del>	<del>96</del>	97	<del>98</del>	99	100

# 9.1.4 Euler's Phi-Function

Euler's phi-function,  $\phi(n)$ , which is sometimes called the **Euler's totient function** plays a very important role in cryptography.

- 1.  $\phi(1) = 0$ .
- 2.  $\phi(p) = p 1$  if p is a prime.
- 3.  $\phi(m \times n) = \phi(m) \times \phi(n)$  if m and n are relatively prime.
- 4.  $\phi(p^e) = p^e p^{e-1}$  if p is a prime.

# 9.1.4 Continued

# Example 9.7

What is the value of  $\phi(13)$ ?

## **Solution**

Because 13 is a prime,  $\phi(13) = (13 - 1) = 12$ .

# Example 9.8

What is the value of  $\phi(10)$ ?

#### **Solution**

We can use the third rule:  $\phi(10) = \phi(2) \times \phi(5) = 1 \times 4 = 4$ , because 2 and 5 are primes.

# 9.1.4 Continued

# Example 9.9

What is the value of  $\phi(240)$ ?

### **Solution**

We can write  $240 = 2^4 \times 3^1 \times 5^1$ . Then

$$\phi(240) = (2^4 - 2^3) \times (3^1 - 3^0) \times (5^1 - 5^0) = 64$$

# Example 9.10

Can we say that  $\phi(49) = \phi(7) \times \phi(7) = 6 \times 6 = 36$ ?

### **Solution**

No. The third rule applies when m and n are relatively prime. Here  $49 = 7^2$ . We need to use the fourth rule:  $\phi(49) = 7^2 - 7^1 = 42$ .

# 9.1.4 Continued

# Example 9.11

What is the number of elements in  $\mathbb{Z}_{14}^*$ ?

## **Solution**

The answer is  $\phi(14) = \phi(7) \times \phi(2) = 6 \times 1 = 6$ . The members are 1, 3, 5, 9, 11, and 13.



Interesting point: If n > 2, the value of  $\phi(n)$  is even.

# 9.1.5 Fermat's Little Theorem

First Version: if p is prime and a is positive integer where a is not divisible by p, then

$$a^{p-1} \equiv 1 \mod p$$

Second Version: if p is prime and a is positive integer, then

$$a^p \equiv a \bmod p$$

# 9.1.5 Continued

# Example 9.12

Find the result of  $7^{18}$  mod 19.

## **Solution**

We have  $7^{18}$  mod 19 = 1. This is the first version of Fermat's little theorem where p = 19.

```
a = 7, p = 19

7^2 = 49 \equiv 11 \pmod{19}

7^4 \equiv 121 \equiv 7 \pmod{19}

7^8 \equiv 49 \equiv 11 \pmod{19}

7^{16} \equiv 121 \equiv 7 \pmod{19}

a^{p-1} = 7^{18} = 7^{16} \times 7^2 \equiv 7 \times 11 \equiv 1 \pmod{19}
```

# 9.1.5 Continued

Example 9.13

Find the result of  $3^{12}$  mod 11.

## **Solution**

Here the exponent (12) and the modulus (11) are not the same. With substitution this can be solved using Fermat's little theorem.

$$3^{12} \mod 11 = (3^{11} \times 3) \mod 11 = (3^{11} \mod 11) (3 \mod 11) = (3 \times 3) \mod 11 = 9$$

# Multiplicative Inverses

$$a^{-1} \bmod p = a^{p-2} \bmod p$$

# Example 9.14

The answers to multiplicative inverses modulo a prime can be found without using the extended Euclidean algorithm:

- a.  $8^{-1} \mod 17 = 8^{17-2} \mod 17 = 8^{15} \mod 17 = 15 \mod 17$
- b.  $5^{-1} \mod 23 = 5^{23-2} \mod 23 = 5^{21} \mod 23 = 14 \mod 23$
- c.  $60^{-1} \mod 101 = 60^{101-2} \mod 101 = 60^{99} \mod 101 = 32 \mod 101$
- d.  $22^{-1} \mod 211 = 22^{211-2} \mod 211 = 22^{209} \mod 211 = 48 \mod 211$

# 9.1.6 Euler's Theorem

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

# Example 9.15

Find the result of  $6^{24}$  mod 35.

## **Solution**

We have  $6^{24} \mod 35 = 6^{\phi(35)} \mod 35 = 1$ .

# 9.1.6 Continued

# Multiplicative Inverses

Euler's theorem can be used to find multiplicative inverses modulo a composite.

$$a^{-1} \mod n = a^{\phi(n)-1} \mod n$$

# 9.1.5 Continued

# Example 9.17

The answers to multiplicative inverses modulo a composite can be found without using the extended Euclidean algorithm if we know the factorization of the composite:

- a.  $8^{-1} \mod 77 = 8^{\phi(77)-1} \mod 77 = 8^{59} \mod 77 = 29 \mod 77$
- b.  $7^{-1} \mod 15 = 7^{\phi(15)-1} \mod 15 = 7^7 \mod 15 = 13 \mod 15$
- c.  $60^{-1} \mod 187 = 60^{\phi(187)-1} \mod 187 = 60^{159} \mod 187 = 53 \mod 187$
- d.  $71^{-1} \mod 100 = 71^{\phi(100)-1} \mod 100 = 71^{39} \mod 100 = 31 \mod 100$

# 9-2 PRIMALITY TESTING

Finding an algorithm to correctly and efficiently test a very large integer and output a prime or a composite has always been a challenge in number theory, and consequently in cryptography. However, recent developments look very promising.

# Topics discussed in this section:

## **9.2.1** Miller-Rabin Algorithms

# 9.2.2 Continued

```
TEST (n)
1. Find integers k, q, with k > 0, q odd, so that
    (n - 1 = 2<sup>k</sup>q);
2. Select a random integer a, 1 < a < n - 1;
3. if a<sup>q</sup>mod n = 1 then return("inconclusive");
4. for j = 0 to k - 1 do
5. if a<sup>2<sup>jq</sup>mod n = n - 1 then return("inconclusive");
6. return("composite");</sup>
```

# Note

# The Miller-Rabin test needs from step 0 to step k-1.

# 9.2.2 Continued

## Example 9.25

Let us apply the test to the prime number n=29. We have  $(n-1)=28=2^2(7)=2^kq$ . First, let us try a=10. We compute  $10^7 \mod 29=17$ , which is neither 1 nor 28, so we continue the test. The next calculation finds that  $(10^7)^2 \mod 29=28$ , and the test returns inconclusive (i.e., 29 may be prime). Let's try again with a=2. We have the following calculations:  $2^7 \mod 29=12$ ;  $2^{14} \mod 29=28$ ; and the test again returns inconclusive. If we perform the test for all integers a in the range 1 through 28, we get the same inconclusive result, which is compatible with a being a prime number.

Now let us apply the test to the composite number  $n=13\times 17=221$ . Then  $(n-1)=220=2^2(55)=2^kq$ . Let us try a=5. Then we have  $5^{55}$  mod 221=112, which is neither 1 nor  $220\,(5^{55})^2$  mod 221=168. Because we have used all values of j (i.e., j=0 and j=1) in line 4 of the TEST algorithm, the test returns composite, indicating that 221 is definitely a composite number. But suppose we had selected a=21. Then we have  $21^{55}$  mod 221=200;  $(21^{55})^2$  mod 221=220; and the test returns inconclusive, indicating that 221 may be prime. In fact, of the 218 integers from 2 through 219, four of these will return an inconclusive result, namely 21, 47, 174, and 200.

## 9-4 CHINESE REMAINDER THEOREM

The Chinese remainder theorem (CRT) is used to solve a set of congruent equations with one variable but different moduli, which are relatively prime, as shown below:

$$x \equiv a_1 \pmod{m_1}$$
  
 $x \equiv a_2 \pmod{m_2}$   
...  
 $x \equiv a_k \pmod{m_k}$ 

# Example 9.35

The following is an example of a set of equations with different moduli:

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 2 \pmod{7}$$

The solution to this set of equations is given in the next section; for the moment, note that the answer to this set of equations is x = 23. This value satisfies all equations:  $23 \equiv 2 \pmod{3}$ ,  $23 \equiv 3 \pmod{5}$ , and  $23 \equiv 2 \pmod{7}$ .

### **Solution To Chinese Remainder Theorem**

- 1. Find  $M = m_1 \times m_2 \times ... \times m_k$ . This is the common modulus.
- 2. Find  $M_1 = M/m_1$ ,  $M_2 = M/m_2$ , ...,  $M_k = M/m_k$ .
- 3. Find the multiplicative inverse of  $M_1, M_2, ..., M_k$  using the corresponding moduli  $(m_1, m_2, ..., m_k)$ . Call the inverses  $M_1^{-1}, M_2^{-1}, ..., M_k^{-1}$ .
- 4. The solution to the simultaneous equations is

$$x = (a_1 \times M_1 \times M_1^{-1} + a_2 \times M_2 \times M_2^{-1} + \cdots + a_k \times M_k \times M_k^{-1}) \mod M$$

# **Example 9.36** |

# Find the solution to the simultaneous equations:

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 2 \pmod{7}$$

### **Solution**

## We follow the four steps.

1. 
$$M = 3 \times 5 \times 7 = 105$$

2. 
$$M_1 = 105 / 3 = 35$$
,  $M_2 = 105 / 5 = 21$ ,  $M_3 = 105 / 7 = 15$ 

3. The inverses are 
$$M_1^{-1} = 2$$
,  $M_2^{-1} = 1$ ,  $M_3^{-1} = 1$ 

4. 
$$x = (2 \times 35 \times 2 + 3 \times 21 \times 1 + 2 \times 15 \times 1) \mod 105 = 23 \mod 105$$

# Example 9.37

Find an integer that has a remainder of 3 when divided by 7 and 13, but is divisible by 12.

## **Solution**

This is a CRT problem. We can form three equations and solve them to find the value of x.

$$x = 3 \mod 7$$

$$x = 3 \mod 13$$

$$x = 0 \mod 12$$

If we follow the four steps, we find x = 276. We can check that  $276 = 3 \mod 7$ ,  $276 = 3 \mod 13$  and 276 is divisible by 12 (the quotient is 23 and the remainder is zero).