

Pascal's Triangle: Cellular Automata and Attractors

Ankith Anil Das

The University of Sydney

May 6, 2019

*Mathematics is the art of giving the **same name** to **different things***
— Henri Poincaré

Pascal's Triangle

Cellular Automata

Elementary CA

2D CA

CA, Pascal's Triangle and Polynomials

Binomial Coefficients and Divisibility

Divisibility Sets

Kummer's Result

Iterated Function System

Pascal's Triangle

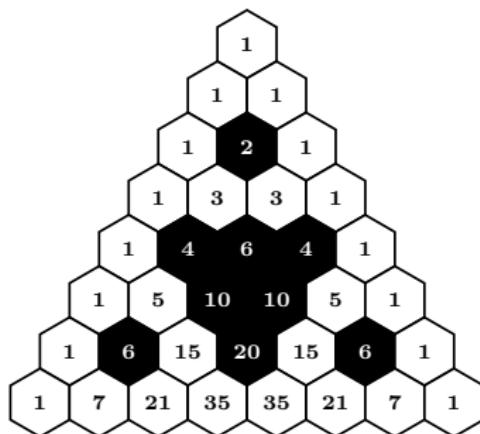
$$\begin{array}{ccccccc} & & & & 1 & & \\ & & & & 1 & 1 & \\ & & & & 1 & 2 & 1 \\ & & & & 1 & 3 & 3 & 1 \\ & & & & 1 & 4 & 6 & 4 & 1 \\ & & & & 1 & 5 & 10 & 10 & 5 & 1 \\ & & & & 1 & 6 & 15 & 20 & 15 & 6 & 1 \\ & & & & 1 & 7 & 21 & 35 & 35 & 21 & 7 & 1 \end{array}$$

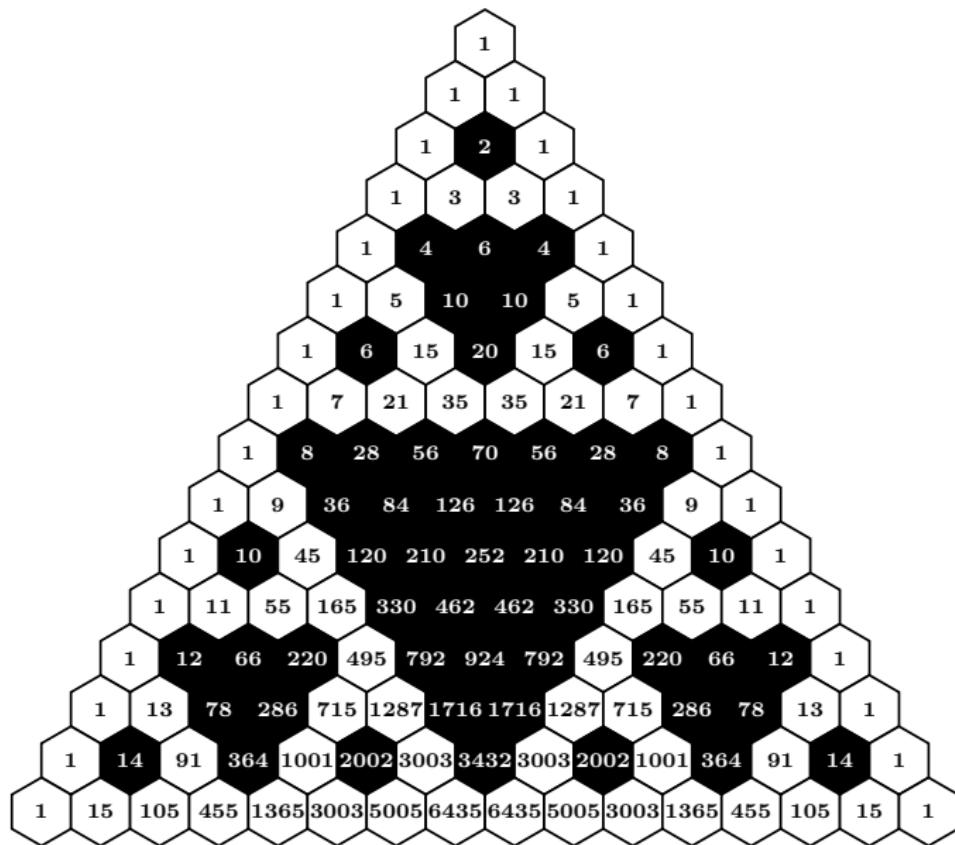
- ▶ One of the earliest mentions was in a Chinese document at around 1303 AD
- ▶ Looks pretty innocent right?

Pascal's Triangle

Let's look at it in a few different ways
It is observed by coloring

- ▶ all odd numbers white
- ▶ all even numbers black





Pascal's Triangle

- ▶ Can also be formulated through binomial coefficients

$$(1 + x)^0 = 1$$

$$(1 + x)^1 = 1 + 1x$$

$$(1 + x)^2 = 1 + 2x + 1x^2$$

⋮

$$(1 + x)^n = a_0 + a_1x + \cdots + a_nx^n$$

where coefficients are given by

$$a_k = \binom{n}{k} = \frac{n!}{(n - k)!k!}, \quad 0 \leq k \leq n$$

Pascal's Triangle

- ▶ Understanding the divisibility of binomial coefficients by computing them is a bad idea

$$50! = 3041409320171337804361260816606476884437 \\ 7641568960512000000000000$$

Even if we use the recursive formula

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

$$\binom{40}{20} = 137846528820 > 2^{32}$$

which is a big number

Fortunately, we don't need to compute these large numbers

Pascal's Triangle

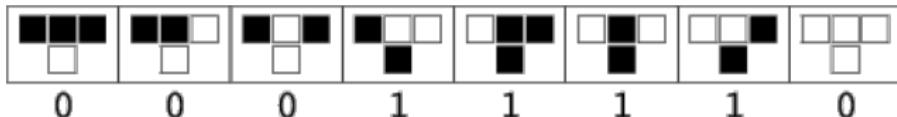
- Ex: Divisibility by 2 can be followed from addition rule

$\binom{n}{k-1}$	$\binom{n}{k}$	$\binom{n+1}{k}$
even	even	even
odd	even	odd
even	odd	odd
odd	odd	odd

- Can we extend this idea of divisibility to other numbers ?
- What patterns do we get ? Global pattern? Why? ...IFS

Cellular Automata

- ▶ Perfect feedback machines. They are mathematically finite state machines
- ▶ Each cell has one out of p states. p -state automata
- ▶ Can be 1D, 2D ...
- ▶ To run cellular automata, we need 2 pieces of information
 1. Initial state of cells
 2. Rules to describe new cell state from the states of a group of cells from the previous layer
- ▶ The rules should not depend on the position of the group of cells within the layer.



Cellular Automata

- More kinds of rules

(a)



(b)

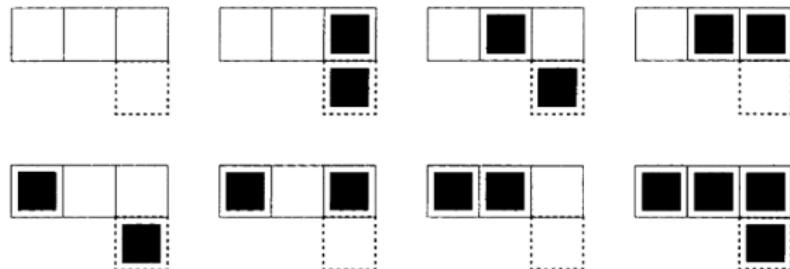


Figure: (a) is a four rule configuration and (b) is a 8 rule configuration

Cellular Automata

So lets run some

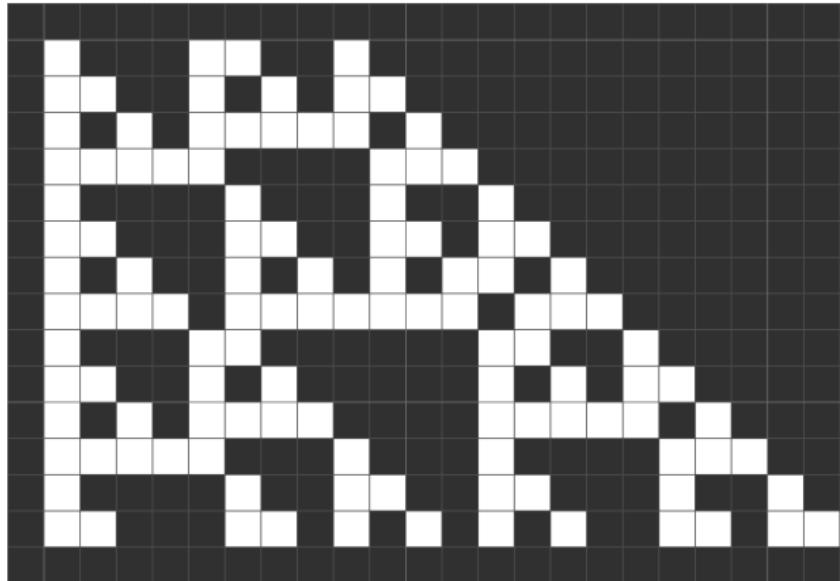


Figure: 13 Generations of Rule 60 Elementary CA

Elementary Cellular Automata

- ▶ Simplest form of 1D Cellular Automata (CA)
- ▶ 2 State CA
- ▶ Next generation depends on itself, cell to the left and, cell to the right.
- ▶ Total number of rules are $2^{2^3} = 256$
- ▶ All the rules can be numbered in a nice way using binary

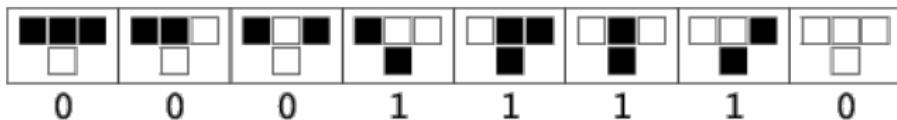


Figure: Elementary rule 30 = $(00011110)_2$

- ▶ Mathematica can do this for you very easily using `CellularAutomaton[]`

Elementary Cellular Automata

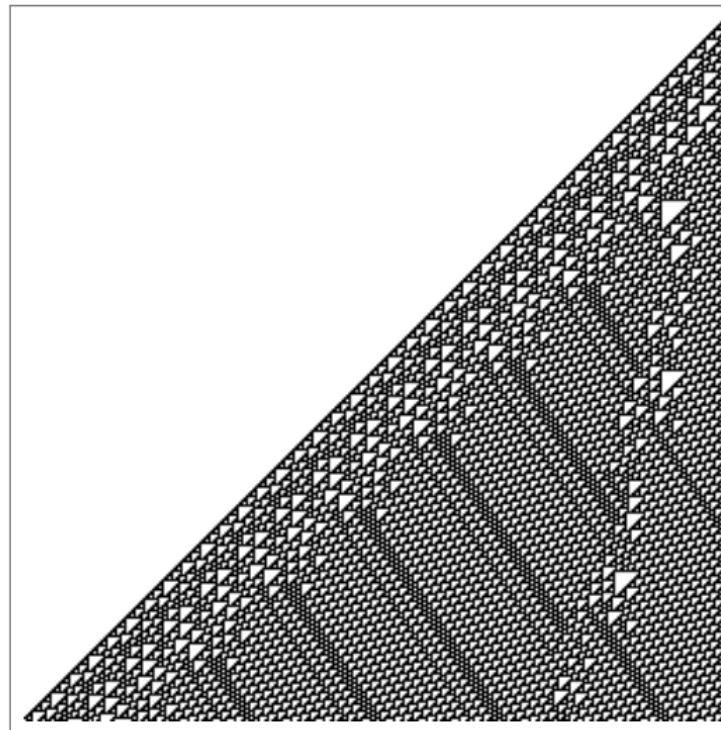


Figure: Rule 110, this might be special

Elementary Cellular Automata

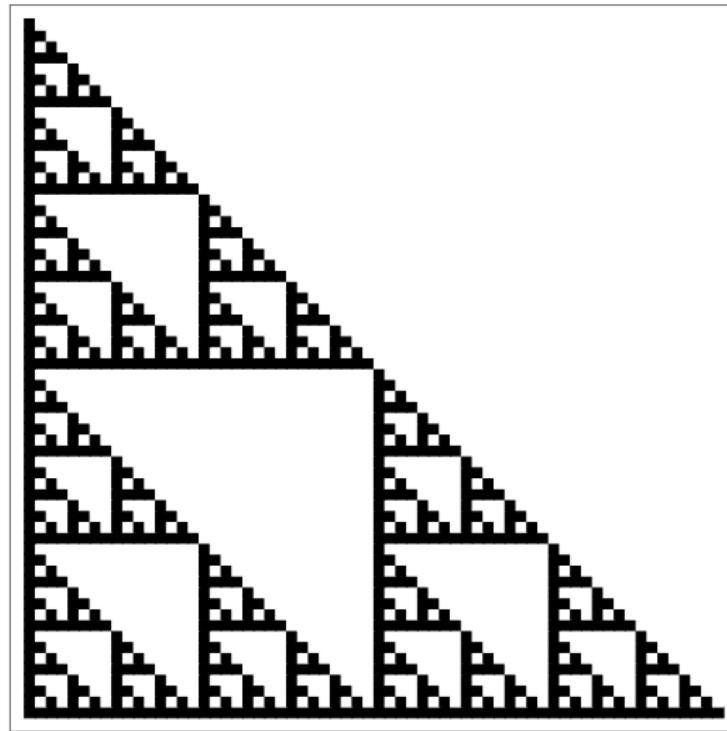


Figure: Rule 60. Sierpinski Triangle*

Elementary Cellular Automata

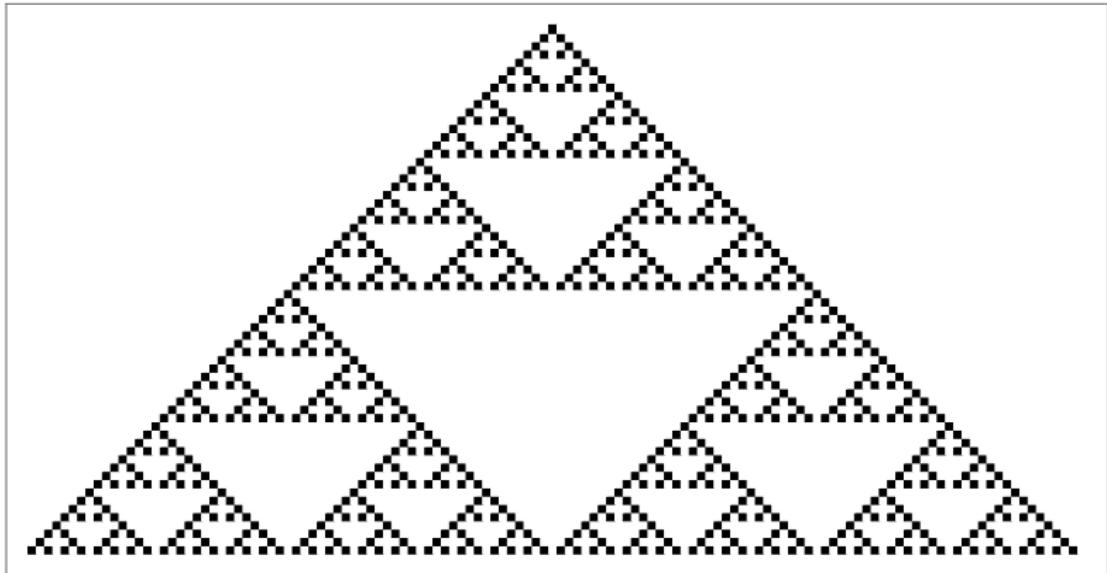


Figure: Rule 90.

Elementary Cellular Automata

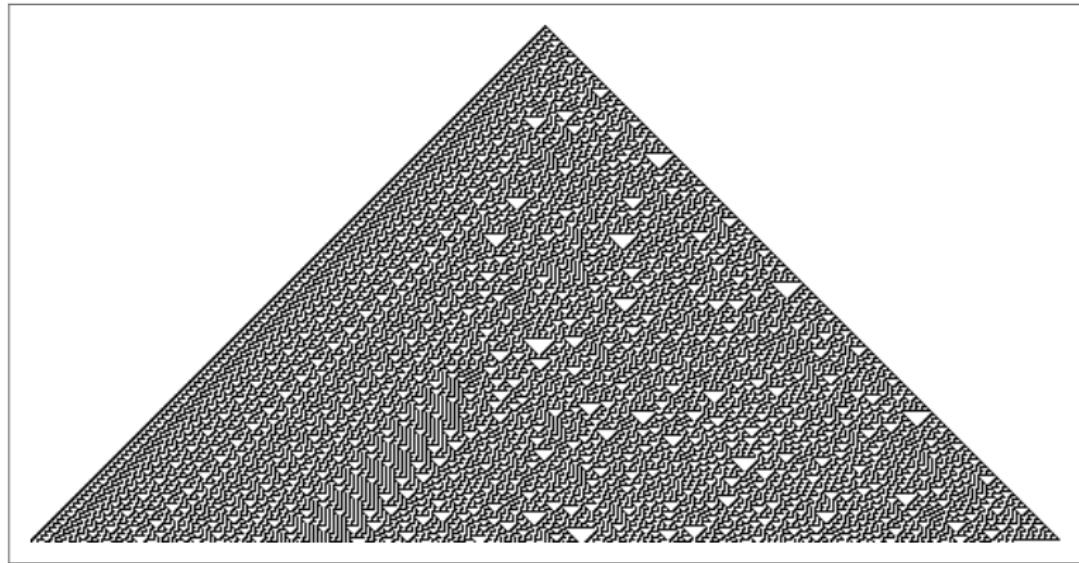


Figure: Rule 30

Kinda chaotic ?

2D CA: Game of life...

- ▶ Was very popular through the work of John Horton Conway in 1970's
- ▶ 2-state CA
- ▶ Rules:
 1. Cell survives when exactly 2 or 3 of it's 8 neighbors are alive.
 2. If more than 3 neighbors are alive, the cell dies from overcrowdedness.
 3. If fewer than 2 neighbors are alive, the cell dies from oneliness.
 4. Dead cell comes back to life when surrounded by exactly 3 live neighbors.
- ▶ Makes some interesting patterns and life like behavior
- ▶ Note: The position of the alive and dead cells w.r.t the center does not matter for this rule. Also known as Totalistic CA

Let's play the Game of Life.....

Cellular Automata

- ▶ Another variant of Game of Life: One out of eight rule
 1. Cell becomes alive if exactly one neighbor is alive.
 2. Otherwise unchanged.
- ▶ Has some nice self-similarity

Cellular Automata

- ▶ Game of life is just one out of the imaginable set of rules
- ▶ For 2-state 2D automata and a neighborhood of eight cells, there are $2^{2^9} \approx 10^{154}$ different sets of rules!!
- ▶ Let's look at another rule: Majority Rule
 1. If 5 or more of the neighborhood of 9 cells (including itself) are alive, this cell lives or stays alive
 2. Otherwise dies or remains dead
- ▶ Here center cell adjusts to the majority
- ▶ This kind of rule is called Outer Totalistic
- ▶ Has some resemblance with percolation

Cellular Automata with Different neighbors

- ▶ Let's consider only 4 neighbors.



- ▶ $C = \text{Center}$, $N = \text{North}$, $E = \text{East}$, $S = \text{South}$, $W = \text{West}$
- ▶ Can represent every neighbor in Binary $(\text{CSWNE})_2$. Ex: $(01100)_2$ means S, W cells are alive, rest are dead
- ▶ Total number of sets of rules are $2^{2^5} \approx 4 \cdot 10^9$
- ▶ Two interesting examples are shown

Cellular Automata

CSWNE	C	CSWNE	C	CSWNE	C	CSWNE	C
00000	0	01000	1	10000	1	11000	1
00001	0	01001	1	10001	1	11001	1
00010	0	01010	1	10010	1	11010	1
00011	0	01011	1	10011	1	11011	1
00100	1	01100	0	10100	1	11100	1
00101	1	01101	0	10101	1	11101	1
00110	1	01110	0	10110	1	11110	1
00111	1	01111	0	10111	1	11111	1

- ▶ This behaves like a 1D CA

Cellular Automata

- ▶ Many interesting rules can be given by a simple formula
- ▶ Parity Rule:

$$C_{new} = C_{old} + N_{old} + E_{old} + S_{old} + W_{old} \mod 2$$

- ▶ Again, this is a 2-state CA

CA, Pascal's Triangle and Polynomial

- ▶ Let's look at the powers of $r(x) = 1 + x$:

$$(r(x))^0 = 1$$

$$(r(x))^1 = 1 + x$$

$$(r(x))^2 = 1 + 2x + x^2$$

$$(r(x))^3 = 1 + 3x + 3x^2 + x^3$$

⋮

$$(r(x))^n = a_0(n) + a_1(n)x + a_2(n)x^2 + \cdots + a_n(n)x^n$$

- ▶ By the addition rule of binomial coefficients

$$a_k(n) = a_{k-1}(n-1) + a_k(n-1)$$

- ▶ $a_k(n)$ gives the state of n^{th} layer CA*

CA, Pascal's Triangle and Polynomial

- ▶ Looking at the divisibility properties of $a_k(n)$ with 2

$$a_k(n) \equiv 0 \pmod{2} \quad \text{or} \quad a_k(n) \equiv 1 \pmod{2}$$

- ▶ With this, the addition rules in mod 2 arithmetic simplifies to

$\binom{n}{k-1}$	$\binom{n}{k}$	$\binom{n+1}{k}$
0	0	0
1	0	1
0	1	1
1	1	0

- ▶ Exactly same rule set used to make Pascal Triangle
- ▶ Thus, that figure shows the coefficients of the power of $r(x) = 1 + x \pmod{2}$

Generalizations

- ▶ There are 2 ways to generalize,
 1. A different coefficient modulo integer
 2. A different polynomial
- ▶ Ex: Let $r(x) = 1 + 2x$

$$(r(x))^0 = 1$$

$$(r(x))^1 = 1 + 2x$$

$$(r(x))^2 = 1 + 4x + 4x^2$$

⋮

$$(r(x))^n = a_0(n) + a_1(n)x + \cdots + a_n(n)x^n$$

- ▶ By pattern

$$a_k(n) = a_k(n-1) + 2a_{k-1}(n-1)$$

- ▶ By looking at the divisibility property with $p = 3$, we get

$$(r(x))^0 = 1$$

$$(r(x))^1 = 1 \ 2$$

$$(r(x))^2 = 1 \ 1 \ 1$$

$$(r(x))^3 = 1 \ 0 \ 0 \ 2$$

- ▶ The cellular automaton would be $a_{n,k} = a_{n-1,k} + 2a_{n-1,k-1} \pmod{3}$

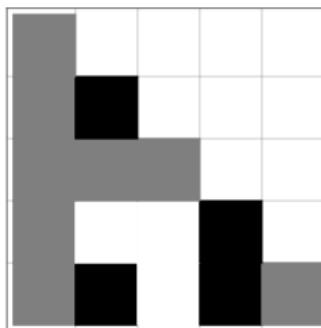


Figure: CA for $r(x) = 1 + 2x \pmod{2}$

Linear Cellular Automata

- ▶ We can start with any polynomial $r(x) = a_0 + a_1x + \cdots + a_dx^d$
- ▶ The coefficients of $(r(x))^n$ modulo some positive integer p is obtained by an addition formula involving d coefficients from $(r(x))^{n-1}$
- ▶ So, there is an associated Cellular Automata which generates coefficients modulo p of the powers of $(r(x))^n$
- ▶ A look-up table can be generated by an addition formula
- ▶ These are called *Linear Cellular Automata*
- ▶ Again, the choice of p determines the number of states. This opens up a lot of interesting problems

- ▶ Pattern Formation: Given a polynomial, what is the global pattern which evolves when the automaton has run for a long time?
- ▶ Colors: What is the relation between the global patterns which are obtained for different choices of p ?
- ▶ Fractal Dimension: Fractal dimension of the global pattern ?
- ▶ Higher Dimension: What if we used polynomial of m variables ? (m -dimensional ?)
- ▶ Factorization: If a polynomial $r(x)$ can be factorized to two polynomials $s(x)$ and $t(x)$, how are the patterns related ? Is that factorization unique ?

In general, no, it depends on p

Ex: $1 + x$ is irreducible with respect to integers. But in arithmetic modulo p , with p not prime, there are non trivial factorizations

$$1 + x \equiv (1 + 3x)(1 + 4x) \pmod{6}$$

Binomial Coefficients and Divisibility

- ▶ Discuss the question of whether a binomial coefficient is divisible by p or not.
- ▶ Black and white coloring of the Pascal triangle depending on divisibility with p
- ▶ We will also see that in order to understand the patterns formed by $\text{mod } p$, we should look at the patterns formed by the prime factors of p
- ▶ We will look at a direct, non-recursive computation of divisibility by p
- ▶ This was solved in an elegant manner by Ernest Eduard Kummer.

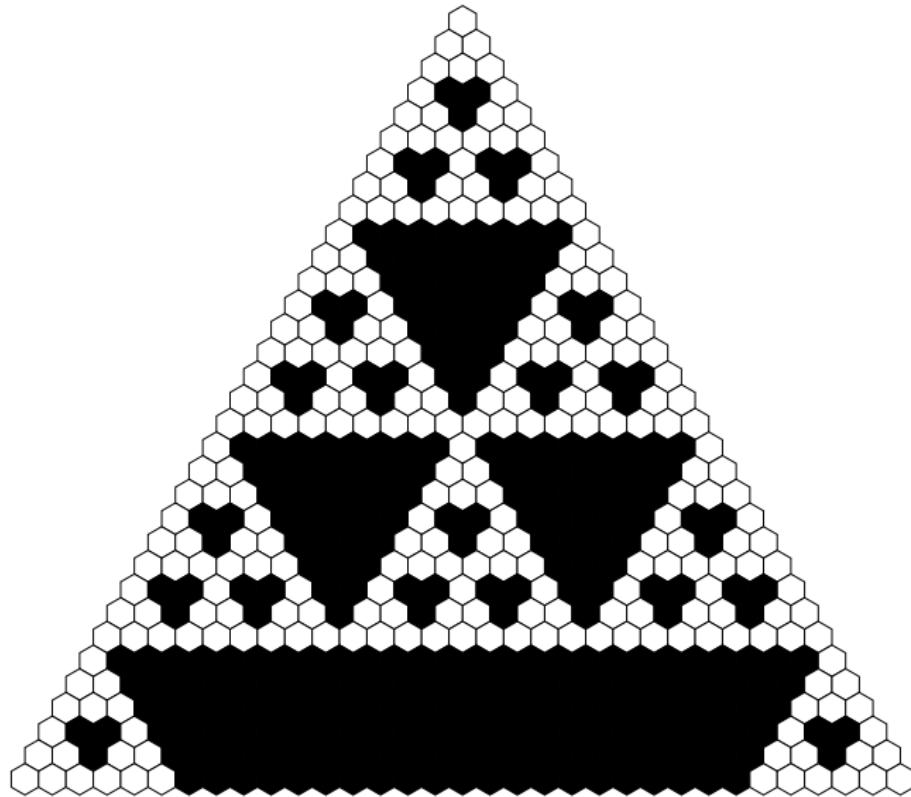


Figure: Pascal triangle Mod 3

- We define a new coordinate system such that, at position (n, k) the binomial coefficient is

$$\binom{n+k}{k} = \frac{(n+k)!}{n!k!}$$

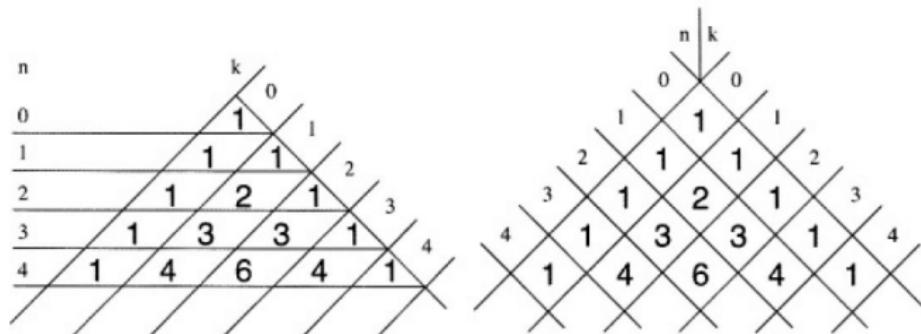


Figure: A new coordinate system

Divisibility Sets

- We formally define our problem

$$P(r) = \left\{ (n, k) \left| \binom{n+k}{n} \text{ is not divisible by } r \right. \right\}$$

- Observe that if p and q are two different prime numbers and a given integer r is **not** divisible by $p \cdot q$, then it is **not** divisible by either p or q

$$P(pq) = P(p) \cup P(q), \text{ if } p \neq q, p, q \text{ prime}$$

- It is the negation of the statement, if p and q divides r , then r is divisible by both p and q .
- Ex: $P(6) = P(3) \cup P(2)$. This generalization can be extended to any integer

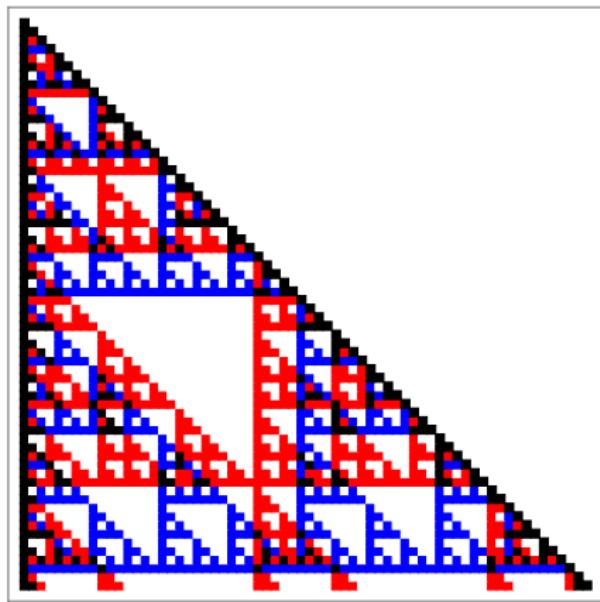
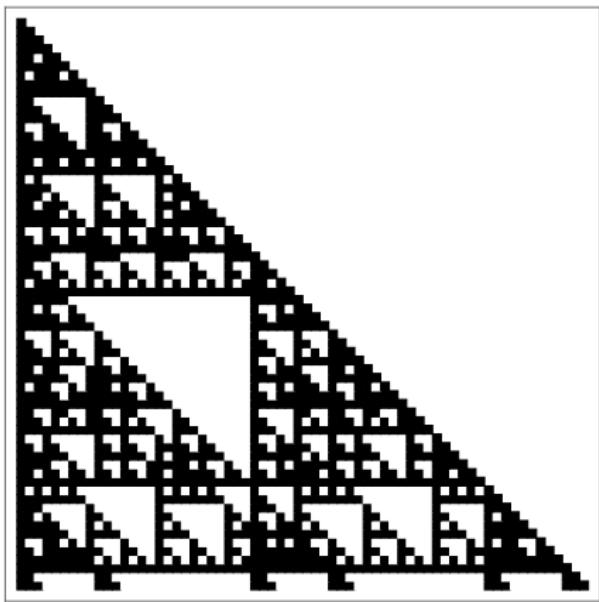


Figure: Right shows mod 6 pattern and left shows the union of mod 2 (Red) and mod 3 (Blue)

- ▶ For an integer $r = p_1^{n_1} \dots p_s^{n_s}$ where p_1, \dots, p_s are primes

$$P(r) = P(p_1^{n_1}) \cup \dots \cup P(p_s^{n_s})$$

- ▶ So to understand the pattern formed by $P(r)$, we just need to understand the pattern of $P(p^n)$

Kummer's Result and p-adic numbers

- ▶ To understand Kummer's result, we need to consider numbers with base p , where p is prime
- ▶ Like the decimal system, p-adic expansion of an integer is given by

$$n = a_0 + a_1p + \cdots + a_mp^m$$

where $a_i \in \{0, 1, \dots, p - 1\}$

- ▶ The p-adic representation would be

$$n = (a_ma_{m-1} \dots a_0)_p$$

- ▶ Ex: $15_{10} = (1111)_2 = (120)_3 = (30)_5 = (21)_7 = (14)_{11}$

- We define carry function c_p

$c_p(n, k) = \text{number of carries in the } p\text{-adic addition of } n \text{ and } k$

- Ex: For $n = 15$ and $k = 8$

$$k = (08)_{10} = (1000)_2 = (022)_3 = (13)_5 = (11)_7 = (08)_{11}$$

- If we take the binary addition
$$\begin{array}{r} 0 & 1 & 1 & 1 & 1 \\ + & 0_1 & 1 & 0 & 0 & 0 \\ \hline 1 & 0 & 1 & 1 & 1 \end{array}$$

$$c_2(15, 8) = 1$$

- Similarly, we can do the same for $p = 3, 5, 7 \dots$

Kummer's result

- ▶ Let $\tau = c_p(n, k)$, then $\binom{n+k}{k}$ is divisible by p^τ but not $p^{\tau+1}$
- ▶ So, prime factorization of $\binom{n+k}{k}$ contains exactly $c_p(n, k)$ factors of p
- ▶ This result is pretty amazing, since it gives a direct method to check if a binomial coefficient is divisible by p or not.
- ▶ For $n = 15$ and $k = 8$

$$\binom{15+8}{8} = \binom{23}{8} = 2 \cdot 3 \cdot 11 \cdot 17 \cdot 19 \cdot 23$$

- ▶ So the Kummer's result implies

$$c_p(17, 8) = \begin{cases} 1, & \text{for } p = 2, 3, 11, 17, 19 \\ 0, & \text{otherwise} \end{cases}$$

- ▶ Applying Kummer's result to Divisibility Set gives

$$P(p) = \{(n, k) \mid c_p(n, k) = 0\}$$

- ▶ That is, the number of carries $c_p(n, k)$ is only 0 if and only if

$$a_i + b_i < p, \quad i = 0, \dots, m$$

where a_i and b_i are the p -adic digits of n and k .

- ▶ This is called the *mod-p* condition.
- ▶ For prime powers,

$$P(p^\tau) = \{(n, k) \mid c_p(n, k) < \tau\}$$

Iterated Function System

- ▶ It is a method of constructing fractals using a set of contraction mappings.
- ▶ A contraction mapping is an affine linear transformation

$$f(x, y) = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} e \\ f \end{bmatrix}$$

- ▶ Union of these contraction mapping gives the Hutchinson equation of the fractal
- ▶ If you put a probability factor to these contraction mappings, you get some pretty images.

- ▶ The Hutchinson operator for Sierpinski Triangle is:

$$S = w_{00}(S) \cup w_{01}(S) \cup w_{10}(S)$$

where w_{ij} are contraction mappings of a unit square

$$w_{00} = (x/2, y/2)$$

$$w_{01} = (x/2, y/2 + 1/2)$$

$$w_{10} = (x/2 + 1/2, y/2)$$

$$w_{11} = (x/2 + 1/2 + y/2 + 1/2)$$

- ▶ So how do we know for sure that our Pascal Sierpinski triangle is the same as the Hutchinson operator Sierpinski Triangle?
- ▶ Let's construct Mod 2 Pascal triangle in a unit square

- ▶ Let Q be the unit square

$$Q = \{(x, y) | (x, y) \in [0, 1] \times [0, 1]\}$$

- ▶ Expand x and y in base 2

$$x = \sum_{i=1}^{\infty} a_i 2^{-i}, \quad a_i \in \{0, 1\}$$

$$y = \sum_{i=1}^{\infty} b_i 2^{-i}, \quad b_i \in \{0, 1\}$$

- ▶ From Kummer's result, we know that the coordinates of the points divisible by 2 are those which have no carries in the binary addition of the coordinates

$$S = \{(x, y) \in Q | a_i + b_i \leq 1 \ \forall i\}$$

Proof by picture

Proof by symbols

- To show both are the same, we need to show

$$w_{00}(S) \cup w_{01}(S) \cup w_{10}(S) \subset S$$

and,

$$w_{00}(S) \cup w_{01}(S) \cup w_{10}(S) \supset S$$

- Take any point $(x, y) \in S$

$$(x, y) = (0, a_1 a_2 a_3 \dots, 0.b_1 b_2 \dots)$$

where $a_i + b_i \leq 1$

- Applying the 3 transformations gives

$$w_{00}(0, a_1 a_2 \dots, 0.b_1 b_2 \dots) = (0.0a_1 a_2 \dots, 0.0b_1 b_2 \dots)$$

$$w_{01}(0, a_1 a_2 \dots, 0.b_1 b_2 \dots) = (0.0a_1 a_2 \dots, 0.1b_1 b_2 \dots)$$

$$w_{10}(0, a_1 a_2 \dots, 0.b_1 b_2 \dots) = (0.1a_1 a_2 \dots, 0.0b_1 b_2 \dots)$$

- ▶ Clearly, all these points are also in S .
- ▶ To show the second relation, we take any point $(x, y) \in S$, and we have to provide another point $(x', y') \in S$ such that (x, y) is one of the images of $w_{00}(x', y')$, $w_{10}(x', y')$, or $w_{01}(x', y')$. We choose

$$(x', y') = (0.a_2 \dots, b_2 \dots)$$

- ▶ We obtain

$$(x, y) = \begin{cases} w_{00}(x', y') & \text{if } a_1 = 0 \text{ and } b_1 = 0 \text{ or} \\ w_{01}(x', y') & \text{if } a_1 = 0 \text{ and } b_1 = 1 \text{ or} \\ w_{10}(x', y') & \text{if } a_1 = 1 \text{ and } b_1 = 0 \end{cases}$$

- ▶ This completes our proof.

Consequences

- ▶ The binary representation allows us to see Hutchinson operator in action applied to a point inside square
- ▶ If (x, y) is an arbitrary point in Q , then applying the map w_{00}, w_{01}, w_{10} again and again yields points with leading binary decimal points satisfying $a_i + b_i \leq 1$
- ▶ In symbols,

$$A_0 = Q$$

Then running the IFS gives

$$A_n = w_{00}(A_{n-1}) \cup w_{01}(A_{n-1}) \cup w_{10}(A_{n-1})$$

where the leading n binary digits satisfy $a_i + b_i \leq 1$

- ▶ Finally, the sequence will lead to Sierpinski triangle

$$A_\infty = S$$

IFS for other primes

- ▶ Now, we can finally look into the global pattern formation of divisibility of binomial coefficients with primes, i.e the global patterns formed by

$$P(r) = \left\{ (n, k) \mid \binom{n+k}{n} \text{ is not divisible by } r \right\}$$

- ▶ We construct an IFS: Divide the unit square Q in p^2 congruent square $Q_{a,b}$ with $a, b \in \{0, \dots, p-1\}$. We introduce the contraction mappings

$$w_{a,b}(x, y) = \left(\frac{x+a}{p}, \frac{y+b}{p} \right)$$

where

$$w_{a,b}(Q) = Q_{a,b}$$

- ▶ Then we set the restriction to define the set of transformation

$$a + b \leq p - 1$$

- ▶ This restriction follows from Kummer's result, i.e. $\binom{n+k}{n}$ is indivisible by p when there are no carries in the p -adic addition of n, k
- ▶ The Hutchinson operator for these contractions,

$$W_p(A) = \bigcup_{a+b < p} w_{a,b}(A)$$

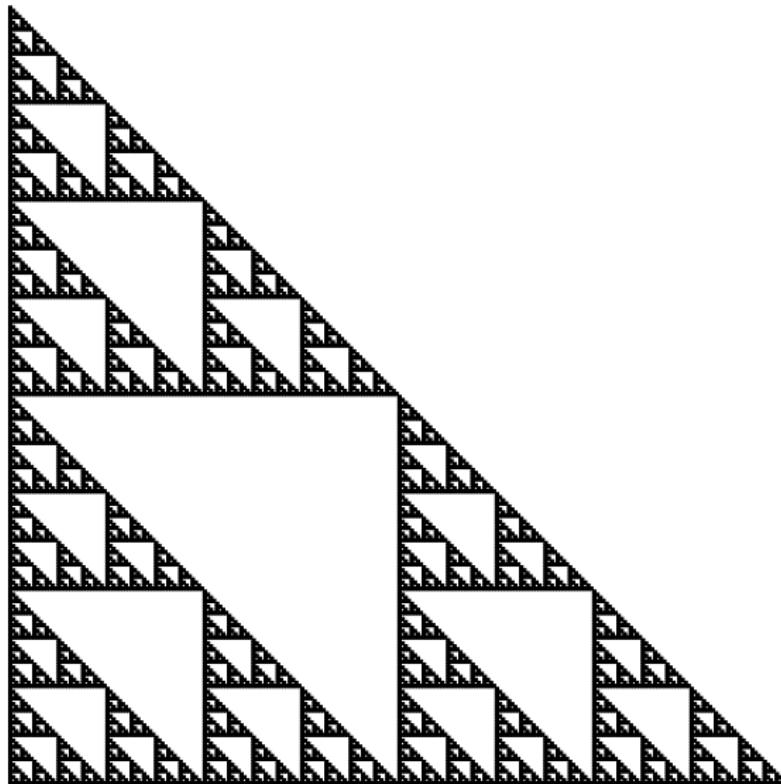


Figure: Limit of Mod 2 IFS

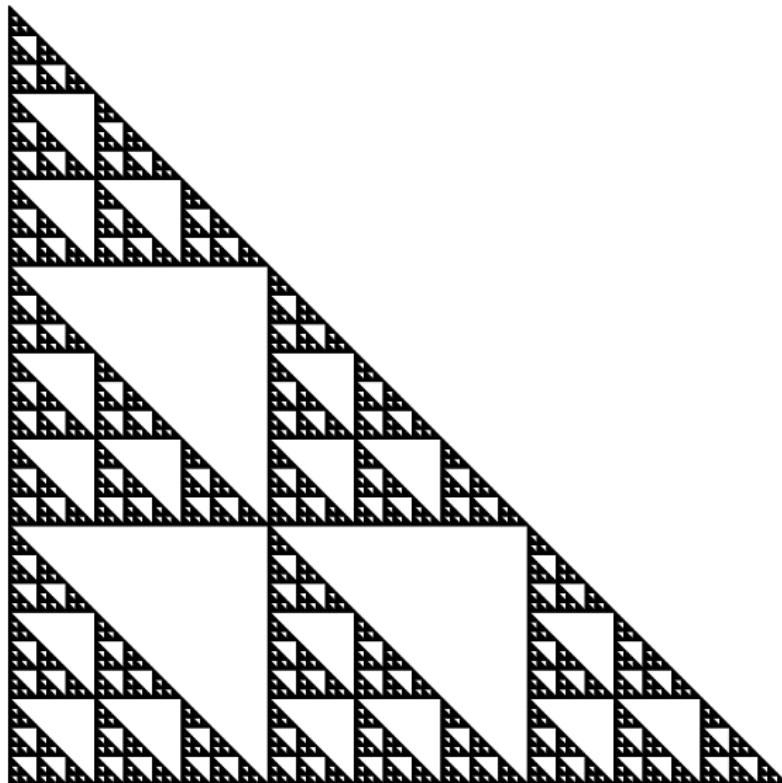


Figure: Limit of Mod 3 IFS

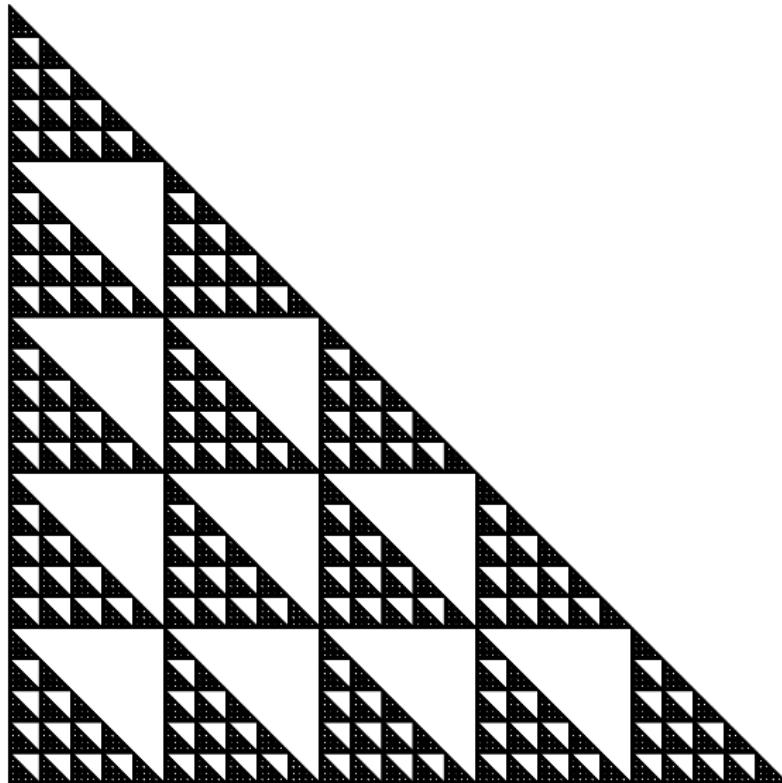


Figure: Limit of Mod 5 IFS

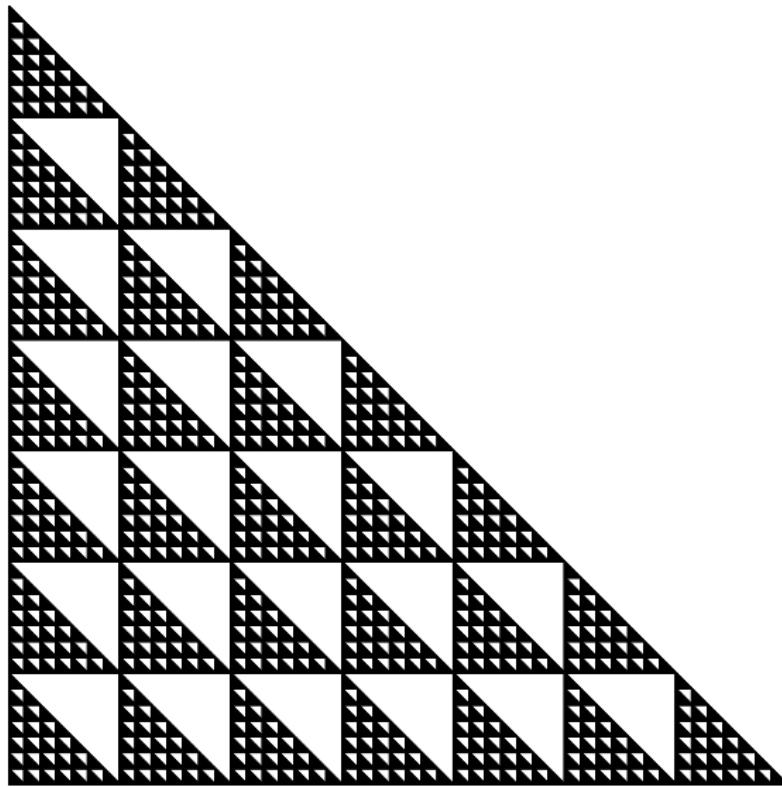


Figure: Limit of Mod 7 IFS

Conclusion