

HoPL Talk on :

The Implementation  
of

Dependent Type

Proof Assistants

- ANKIT KUMAR

Proof assistants are software

that allow a user to

define mathematical structures,

and reason about them using

machine checkable

theorems and proofs.

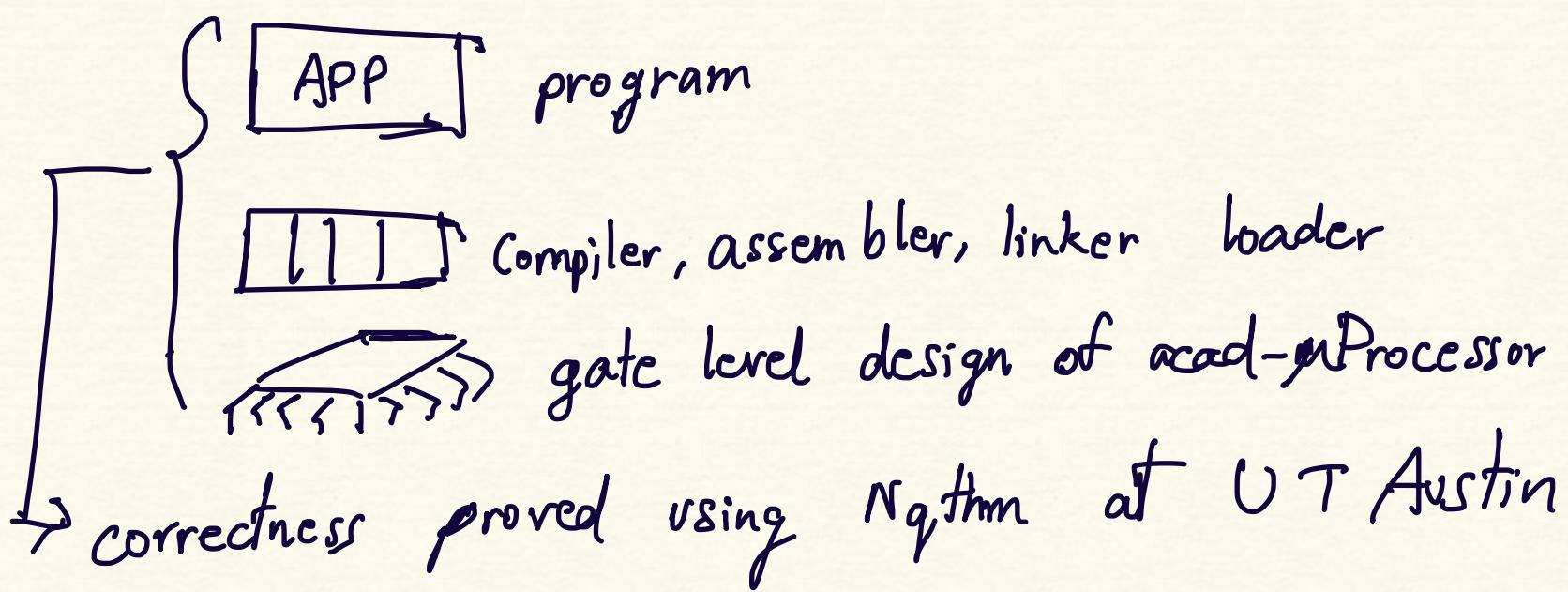
# Do we need Proof Assistants?

- \* Published results can be faulty  
e.g. An error in the first purported proof of the 4-color theorem [Kempe-1879] was eventually pointed out a decade later [Heawood, 1890]
- \* A book by [Lecat, 1935] gave 130 pages of errors made by major mathematicians up to 1900
- \* Explosion of the Ariane 5 rocket in 1996 due to software error, costing \$ 500 Mil

## Proof assistants have helped us:

- \* Even though the 4-color theorem was proved in 1976 by Kenneth Appel and Wolfgang Haken, it was computer assisted and thus, infeasible for a human to check by hand. Gonthier proved it again, using Coq in 2005.
- \* Xavier Leroy led the CompCert project to produce a verified C compiler back-end robust enough to use with real embedded software.

## \* The CLI stack:



# CURRY-HOWARD ISOMORPHISM

# OTHER METHODS

1970 -

AUTOMATH  
proof checker - de Bruijn

Scott's

LCF - Milner  
Logic of Computable  
functions.

- theorem : thm
- constructor as Inf. rules
- strongly typed host

1980 -

NUPRL - R.L. Constable

MIKE GORDON

HOL88

ISABELLE

HOL90

COq - Coquand  
Huet

1990 -

Giraffe  
EFS

Elf Pfenning

2000 - HOL-LIGHT

META-PRL

Twelf

PVS  
Classical,  
typed  
HOL

Pfenning

Schirrmann

2010 -

HOLZERO

MATITA

REDPRL

Moura,  
LEAN

Boyer-Moore

Nq Thm

quantifier free

FOL =  
or

Primitive Rec  
Arithmetic  
(form rewriting)

ACL2

(resolution)  
McConal  
FOL  
Otter  
|  
prover9

Voronkov,  
Hoder

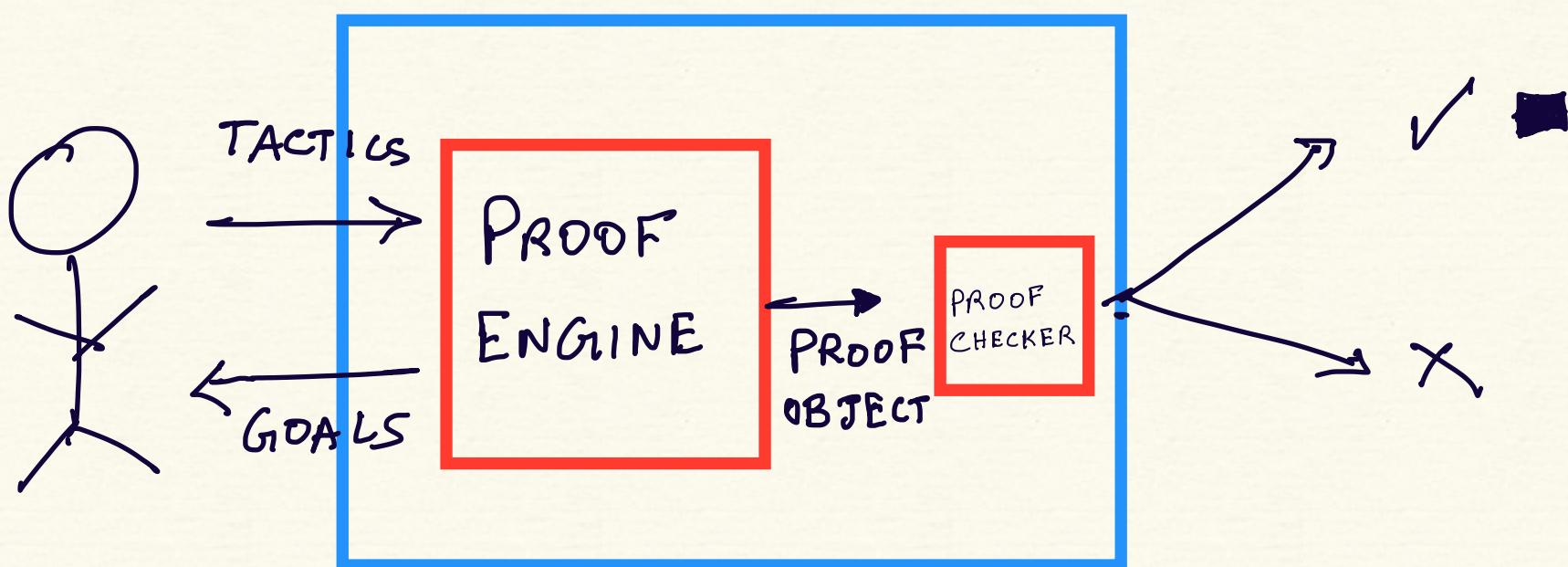
Vampire  
(resolution)

ACL2S

USE CURRY-HOWARD ISOMORPHISM  
(Lucy's talk)

term : type

proof : proposition



proof development in a type theory based  
proof assistant

- diagram adapted from "Proof Assistants:  
History, Ideas & Future"

- H GEUVERS

Thm:  $\forall f: \mathbb{N} \rightarrow \text{Bool}$ ,  
 $\exists i, j: \mathbb{N}, i \neq j \wedge f(i) = f(j)$

Proof: 2 variants

i) Classical

Let  $T_s(f) := \forall i, \exists j, i < j \wedge f(j) = T$   
 $F_s(f) := \forall i, \exists j, i < j \wedge f(j) = F$

Lemma:  $\forall f: \mathbb{N} \rightarrow \text{Bool}$ ,

$T_s(f) \vee F_s(f)$   
pick either one!

pick  $i$  and  $j$

s.t.  $f(i) = f(j) = T$

pick  $i$  and  $j$

s.t.  $f(i) = f(j) = F$

ii) Constructive:

Consider  $f(0)$ ,  $f(1)$ ,  $f(2)$

if  $f(0) == f(1)$

then  $i = 0$ ,  $j = 1$

else if  $f(0) == f(2)$

then  $i = 0$ ,  $j = 2$

else

$i = 1$ ,  $j = 2$



We have a proof, and a  
way to pick  $i$  and  $j$ !

MLTT is a constructive type theory

Both NuPRL and Cog are based on type theories derived from MLT.

However, there is a fundamental difference between them based on their treatment of propositions-as-types.

This affects design decisions and implementation, as we will see in the rest of this talk.

## Recap:

true and provable are used interchangeably.

## Types

### Constructive Meaning (Brouwer)

$\perp$

never true

$A \& B$

iff A is true and B is true

$A \vee B$

iff A is true or B is true,  
and we say which one.

$A \Rightarrow B$

iff we can effectively transform  
any proof of A into a proof of B

$\neg A$

iff  $A \Rightarrow \perp$

$\exists n : A . B$

iff we can construct an element  
 $a : A$  and a proof of  $B[a/n]$

$\forall n : A . B$  iff we have an effective method  
to prove  $B[a/n]$  for any  $a : A$

# NuPRL - Constable et al

PROOF  
REFINEMENT  
LOGIC

1986

- Implements Computational Type Theory, a variation of Martin Löfs Intuitionistic Type Theory
- Proofs (Programs) are constructed by interactive refinement, using rules

MLTT  
 syntactic  
 Propositions  
 - as -  
 types  
 (theory of formal proof)

CTT

semantic

(theory of Truth)

$$E_{m,n} = \begin{cases} \{0\} & \text{if } m=n \\ \emptyset & \text{if } m \neq n \\ 0 : m = n \end{cases}$$

axiom :  $m = n$

intensional

Types are equal by definition

extensional

Types are equal by proof

Direct  
Computation  
Rules

—

Computation System  
support

$$(\lambda n. 0) a = 0 \in \mathbb{N}$$

for any type of a

Extensions

—

subset types  $\{x : A \mid B(x)\}$

—

quotient types  $2 = 4 \in \mathbb{Z}_2$

w-types (wf trees)

recursive types

# Computation System

CTT terms

Canonical		Non-canonical
$n$	$; \quad \text{nil}$	$-i \quad a+b$
axiom	$a=b \in A$	$\text{int\_eq}(m, n, s, t)$
$A \rightarrow B$	$\{A \mid B\}$	$\text{decide}(a; x.s; y.t)$
...		

Redex :

$\text{int\_eq}(m, n, s, t) \rightarrow s \text{ if } m \text{ is } n \text{ else } t$

$-i \rightarrow \text{negation of ;}$

$a+b \rightarrow \text{sum of } a \text{ and } b$

$\text{decide}(\text{inl}(a); x.s; y.t) \rightarrow s[a/x]$

---

**TYPES:**

$T = S$  if under  $\exists T'. T \rightarrow T' \wedge T' \equiv S$   
symm, trn.

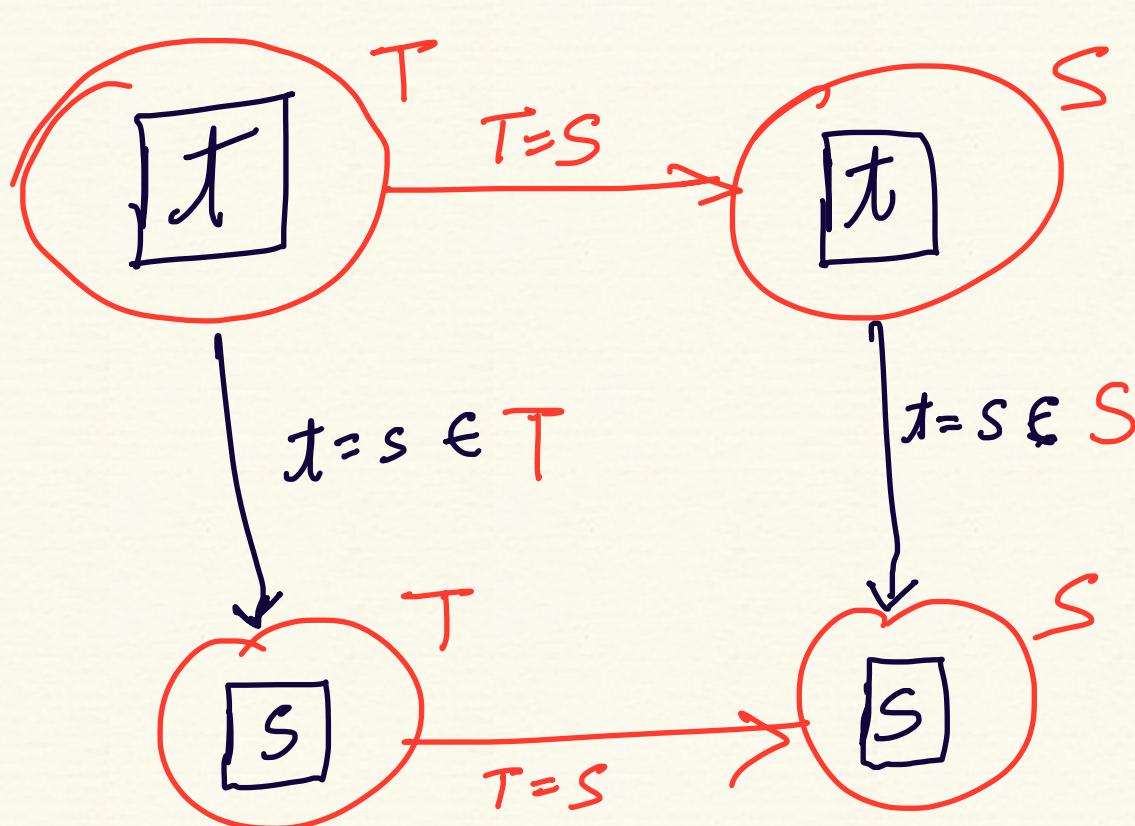
**TERMS:**

$t = s$  if under  $\exists t'. t \rightarrow t' \wedge t' \equiv_s s \in T$   
symm, trn.

$T$  type iff  $T = T$  if  $\frac{t \equiv s \in T}{t \equiv s \in T}$  } inhabited types

$t = s \in T$  if  $T = S \wedge \frac{t \equiv s \in S}{t \equiv s \in S}$  } extensional

$t \in T$  iff  $t = t \in T$  } equality



type checking  
is undecidable,  
carried out  
using proof  
tactics

# Inductive definition of equality

$T \sqsubseteq T'$  iff

$T, T' \rightarrow \text{void}$

$\vee T, T' \rightarrow \text{atom}$

$T, T' \rightarrow \text{int}$

or  $\exists A, A', a, a', b, b'. T \rightarrow (a = b \text{ in } A)$

$T' \rightarrow (a' = b' \text{ in } A')$

$\wedge$

$A \sqsubseteq A'$

$a \sqsubseteq a' \text{ in } A$

$b \sqsubseteq b' \text{ in } A$

-----

$t \sqsubseteq t' \in T$  if  $T \sqsubseteq T' \wedge t \sqsubseteq t' \in T'$

$t = t' \in \text{atom}$  iff  $\exists i. t' = t \rightarrow i$

$t \sqsubseteq t' \in \text{int}$  iff  $\exists n. t, t' \rightarrow n$

$t \in (a = b \text{ in } A)$  iff  $t \rightarrow \text{axiom} \wedge a = b \in A$

-----

# Judgements : units of assertion

Let  $x_1 \dots x_n$  be variables

$T_1 \dots T_n$  be types s.t.  $x_i$  is free in  $T_j$  if  $i < j$

Then  $x_1 : T_1 \dots x_n : T_n @ T_1 \dots T_n$  holds

if every  $t_i \in T_i \left[ \frac{T_1 \dots T_n}{x_1 \dots x_n} \right]$

## SEQUENT

$x_1 : T_1 \dots x_n : T_n \gg S$  [ext s]

is true at  $T_1 \dots T_n$  iff

$x_1 : T_1 \dots x_n : T_n @ T_1 \dots T_n$  holds

$\wedge \forall T'_1 \dots T'_n. \left[ S \left[ \frac{T'_1 / x_1}{T_1 / x_1} \right] = S \left[ \frac{T'_2 / x_2}{T_2 / x_2} \right] \dots \right]$

$\wedge s \left[ \frac{T'_1 / x_1}{T_1 / x_1} \right] = s \left[ \frac{T'_2 / x_2}{T_2 / x_2} \right] \in S \left[ \frac{T'_1 / x_1}{T_1 / x_1} \right]$

extensional equality

Judgement form:

Hyps ...  $\Rightarrow$   $s \underbrace{\text{ext } t}_{\text{extracted term}}$

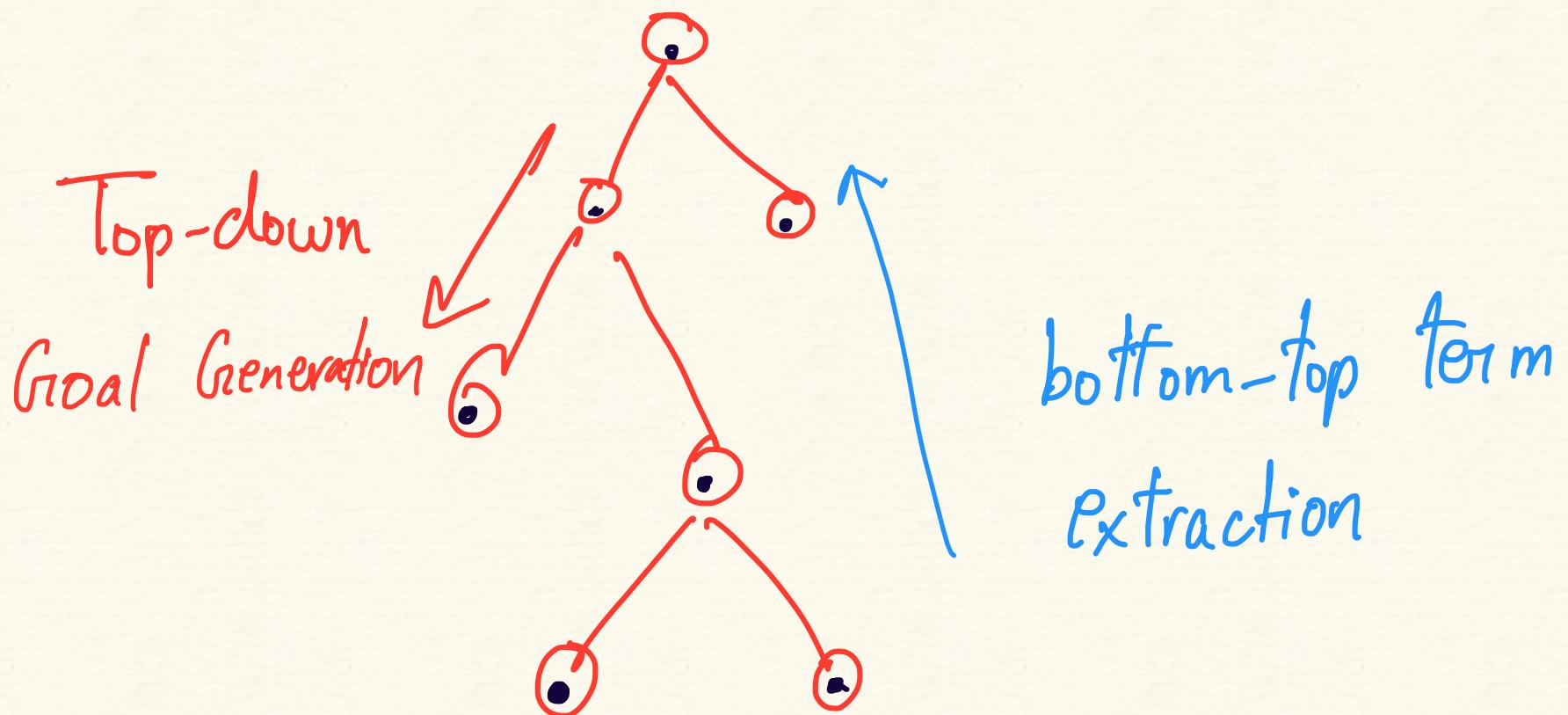
# REFINEMENT RULES

$H \vdash T$  [ext  $t$ ] by rule

$H_1 \vdash T_1$  [ext  $t_1$ ]

⋮  
⋮

$H_k \vdash T_k$  [ext  $t_k$ ]



for example :

$H \gg \pi : A \rightarrow B \text{ ext } \lambda y. b \text{ by intro at } V; [n_{\text{pw}} y]$

$y : A \gg B[y/n] \text{ ext } b$   
 $\gg A \text{ in } V;$

$H \gg \text{int\_eq } (a; b; t; t') \text{ in } T \text{ by intro}$

$\gg a \text{ in int}$   
 $\gg b \text{ in int}$

$a = b \text{ in int} \gg t \text{ in } T$

$(a = b \text{ in int}) \rightarrow \text{void} \gg t' \text{ in } T$

Thm: All  $f: \text{int} \rightarrow \{0,1\}$ . Some  $i, j : \text{int}$ .  
 $i < j \ \& \ f(i) = f(j)$

Proof: By intro

1.  $f: \text{int} \rightarrow \{0,1\} \gg$

Some  $i, j : \text{int}$ .  $i < j \ \& \ f(i) = f(j)$

By seq,  $f(1) = 0 \ \& \ f(1) = 1$

[ext  $\lambda f. e_1$ ]

$f: \text{int} \rightarrow \{0,1\}, f(1) = 0 \text{ in int} \gg$

some  $i, j : \text{int}$ .  $i < j \ \& \ f(i) = f(j)$

By seq,  $f(2) = 0 \ \& \ f(2) = 1$

[ext  $\text{int\_eq}(f(1); 0; e_2; e_3)$ ]

$f: \text{int} \rightarrow \{0,1\}, f(1) = 0 \text{ in int}, f(2) = 0 \text{ in int} \gg$

[ext  $\text{int\_eq}(f(2); 0; e_4; e_5)$ ]

some  $i, j : \text{int}$ .  $i < j \ \& \ f(i) = f(j)$

By intro  $i, j$

[ext  $\langle i; \langle j; e \rangle \rangle$ ]

$f: \text{int} \rightarrow \{0,1\}, f(i) = 0 \text{ in int}, f(2) = 0 \text{ in int} \gg$

$0 < 1 \ \& \ f(0) = f(1)$

[ext axiom]

2.  $(\text{int} \rightarrow \text{bool})$  in U,

```

\ f. int_eq ( f(1); 0;
int_eq ( f(2); 0;
<1,<2, axiom>>;
int_eq ( f(3); 0;
<1,<3, axiom>>;
<2,<3, axiom>>));

```

```

int_eq ( f(2); 0;
int_eq ( f(3); 0;
<2,<3, axiom>>;
int_eq ( f(4); 0;
<2,<4, axiom>>;
<3,<4, axiom>>));
<1,<2, axiom>>))

```

- extracted proof term extracted from  
 "Finding Computational Content in Classical Proofs"  
 - Constable, Murthy

Griffin in '89 extended Curry-Howard isomorphism to scheme, which contains control construct call/cc that allows access to current continuation. This relates classical proofs to typed programs.

- Based on Griffin's work Chet and Constable outline a general method of extracting algorithms from classical proofs of  $\Pi_2^0$  sentences
- Implemented in a fragment of NuPRL's type theory.

## NuPRL Cons:

Extracted programs tend to be inefficient.

In order to extract efficient code, need to write complex proofs.

# Coq

- Implementation of Calculus of Constructions, launched by Coquand and Huet in '84.
- Inductive types added later by Paulin-Mohring

# COC

Constructions: well typed expressions  
of typed lambda-calculus

Core language has 5 formation rules:

\* Universe of all types

$[x:M]N$  PROD

$(\lambda x:N)M$  LAM

$(MN)$  APP

$x$  VAR

MLTT

CoC

\* Predicative

$$[A : U_0] A : U_1$$

Impredicative

$$[A : *] A : *$$

Propositions live in \*

abstraction allowed over

proof : proposition : context

objects

$$[\alpha_1 : M_1] [\alpha_2 : M_2] \dots [\alpha_n : M_n] *$$

e.g.

$$P : [\alpha : \text{nat}] *$$

\* Abbr. for Prod:

$$\{P \mid [x:\text{nat}]^*\} N$$

→ a unary predicate  $P$  over nat in  $N$

\*  $\{x \mid *\} N$  as  $\forall x. N$

\*  $[x:A] B$  as  $A \rightarrow B$  if  $x$  doesn't occur in  $B$ .

\*  $([x:A] M_x \ x)$  as let  $x = X$  in  $M_x$

$\rightarrow := \lambda A. \lambda B. [x:A] B : \forall A. \forall B. *$

Now if  $t : ((\rightarrow A) B) \stackrel{\cong}{=} [x:A] B$

and  $u : A$

then  $t u \ x$

Need conversion rules.

$\cong$  defined as the smallest congruence over propositions and contexts containing  $\beta$ -conversion defined inductively:

$$\frac{\Gamma \vdash M:N}{\Gamma \vdash M \cong M}$$

$$\frac{\Gamma \vdash M \cong N \quad \Gamma \vdash N \cong P}{\Gamma \vdash M \cong P}$$

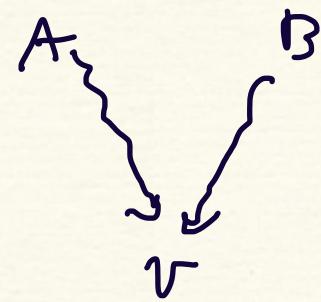
$$\frac{\Gamma \vdash P_1 \cong P_2 \quad \Gamma[x:P_1] \vdash M_1 \cong M_2 \quad \Gamma[x:P_1] \vdash M_1:N_1}{\Gamma \vdash (\lambda x:P_1) M_1 \cong (\lambda x:P_2) M_2}$$

$$\frac{\Gamma \vdash (MN):P \quad \Gamma \vdash M \cong M_1 \quad \Gamma \vdash N \cong N_1}{\Gamma \vdash (M N) \cong (M_1 N_1)}$$

$$\frac{\Gamma[x:P] \vdash M:P \quad \Gamma \vdash N:P}{\Gamma((\lambda x:P) M N) \cong [N/x] M}$$

$$\frac{\Gamma \vdash M:P \quad \Gamma \vdash P \leq Q}{\Gamma \vdash M:Q}$$

1)  $\beta$ -reduction is confluent



2) Strong normalization holds.

Theorem: Given  $\Gamma$  and  $M$ , it is decidable whether  $\Gamma \vdash M:N$ . Further if  $N$  exists, it can be effectively computed.

Theorem: If  $\vdash m:N$  and  $N$  is an object,

then by removing types from  $M$ , we get a pure strongly normalizing  $\lambda$ -term.

# The Constructive Engine

Proof object is created by interaction  
of engine with current construction and env.

$$\frac{\Gamma \vdash M : \text{Prop}}{\Gamma ; x : M \vdash}$$

$$\frac{\Gamma \vdash M : \text{Type}(:)}{\Gamma ; x : M \vdash}$$

Introducing new Hypotheses.

$$\frac{\Gamma \vdash M : T}{\Gamma ; x = M : T \vdash}$$

new definition.

$$\frac{\Gamma \vdash}{\Gamma \vdash}$$

Prop intro

$$\Gamma \vdash \text{Prop} : \text{Type}(1)$$

$$\frac{\Gamma \vdash M : (x:B)C \quad \Gamma \vdash N : A \quad \Gamma \vdash A \cong B}{\Gamma \vdash (MN) : [N/x]C}$$

App  
Intro

$$\frac{\Gamma; x = M : T \vdash N : A}{\Gamma \vdash [x/M]N : [x/M]A}$$

Discharging  
Definition.

Intensional equality

$$\frac{\Gamma \vdash m : A \quad \Gamma \vdash B : \text{Prop} \quad \Gamma \vdash A \equiv B}{\Gamma \vdash m : B}$$

Type Conversion

These rules are invoked by  
higher level commands and  
tactics

# The Mathematical Vernacular

2 sub languages

expressions

names,

Prop, Type( $n$ ),

$(M \in N)$ ,  $(n : M) \in N_n$  (forall),

$[n : M] \in N_n$  (function),

let  $x = M$  in  $N_n$

commands

Hypothesis  $n : T$

Axiom  $x : A$

Variable  $x : T$

Theorem  $x \vdash P \text{ Proof } M$

Checks that  $M$  is a proof of  $P$  and defines it under  $x$ .

Theorem example:  $\forall (f: \mathbb{N} \rightarrow \text{Bool}),$   
 $\exists i, j, (f i) = (f j).$

PROOF: intros

destruct (f 0) eqn: H<sub>1</sub>.

destruct (f 1) eqn: H<sub>2</sub>.

symmetry in H<sub>2</sub>.

rewrite H<sub>2</sub> in H<sub>1</sub>.

exists 0, 1.

auto; easy.

destruct (f 2) eqn: H<sub>3</sub>.

symmetry in H<sub>3</sub>.

rewrite H<sub>3</sub> in H<sub>1</sub>.

exists 0, 2.

auto; easy.

.

.

/

{

example =

fun f : nat → bool ⇒

let b := f 0 in

let H<sub>1</sub> : f 0 = b :=  
eq\_refl in

(if b as b0

return (f 0 = b0 →

exists i, j : nat, f<sub>i</sub> = f<sub>j</sub>)

then

fun H<sub>2</sub> : f 0 = true ⇒

let b0 := f 1 in

let H<sub>3</sub> : f 1 = b0 :=

eq\_refl in

.

.

/

{

## CTT (NuPRL)

1. Completely Predicative

2. Proof objects are computational programs.  
Can't construct object in  $\perp$

3. Extensional type theory  
→ good for Univalent Foundations

4. So, type-checking undecidable

5. Full power of Y-combinator,  
Turing Complete

## COC (Coq)

Set Predicative Type are

Prop is impredicative

Proof objects are symbolic.

e.g. Let  $t : \forall x:\text{Prop}. x$

$\lambda$

$t_p = p$  type-

Reducto - Ad - Absurdum

Intensional Type Theory

Hence decidable type checking

Terms are strongly normalizing