

# Classifying Galaxy Morphologies Using Deep Learning

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June 7, 2016

## Abstract

The goal of this paper is to explore and, to the extent possible, reproduce the results from the recent experiments done by Huertas-Company et al. 2015 in the paper *A Catalog Of Visual-Like Morphologies In The 5 CANDELS Fields Using Deep-Learning*. In this paper we explore a deep learning method using convolutional neural networks to morphologically classify galaxies from the CANDELS dataset. Our best model achieved a RMSE  $\sim 0.17$  on a subset of the data compared to the original paper's RMSE  $\sim 0.13$ .

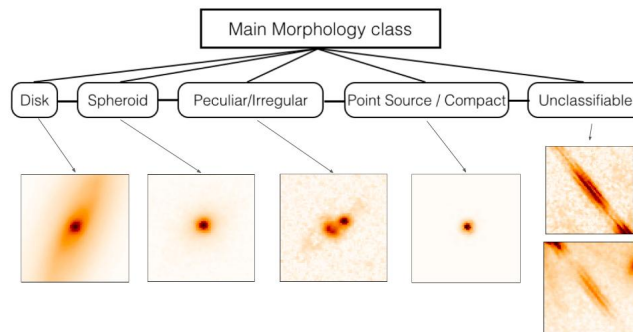
## 1 Introduction

One of the oldest ways by which galaxies can be classified is visually by examining its shape, or morphology. Although there are many types of morphological classifications of galaxies but the images in this experiment will fit into one of the following classifications: disk, spheroid, peculiar/irregular, point source/compact, unclassifiable. A general visualization of each of these is pictured below:

## 2 Dataset

The dataset we use in our experiments comes from the CANDELS public photometric catalogs. We restrict our use to F160 filter images which consists of

Figure 1: Morphology classes in our dataset



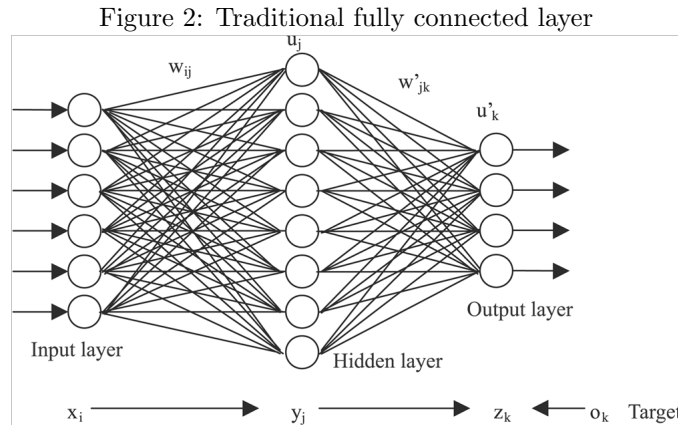
$\sim 6000$  images. In the Huertas-Company paper, they use a larger set of images from different filters, but report that comparable results can be achieved using just the F160 filter images. Each of these images is a jpeg with dimensions of  $454 \times 454$ px. We start off with three random rotations of each galaxy to artificially increase the dataset and avoid over fitting.

### 3 Approach

#### 3.1 Convolutional Neural Networks

The recent success of neural networks in machine learning especially within problem settings such as image classification, has inspired many different fields to use the technology to automate some of their processes.

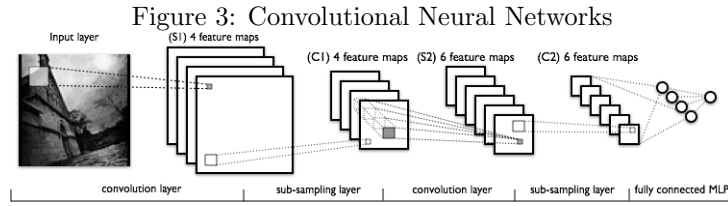
Neural networks are an algorithm that attempts to mimic the way that the brain learns using 'neurons'. In a traditional neural network, the neurons are setup in layers each only connected to the one preceding and the one succeeding it, visualized in the diagram below:



Each input to a neuron is multiplied by a weight that is adjusted as the network learns. The result of that operation is passed through a non-linear transformation, traditionally a sigmoid, though other functions have become popular such as the tanh and the rectified linear unit(ReLU) functions.

Recently, a different architecture has been found to be very successful with image recognition, convolutional neural networks(CNN). A CNN works differently than a traditional neural network by convoluting over regions of the input rather than processing the entire input at once as a vector.

There are a couple observational advantages to this approach. First, we consider each pixel in the context of the pixels surrounding it, which helps us understand the structure of the data. Second, we limit the amount parameters we have to learn to the size of the kernel that moves over the image, because a



single set of weights equal to the size of the kernel is used per filter. This allows CNNs to exploit the structure in images and hence make them the model of choice in many state-of-the-art vision problems.

### 3.2 Activation Functions

While CNNs are found to be more efficient in image processing related problems than ANN, the performance of CNN varies a lot based on the activation function or the non-linearities used at different layers. An activation function is basically a way of treating inputs at different neurons and getting an output. Some of the popular activation functions are as follows:

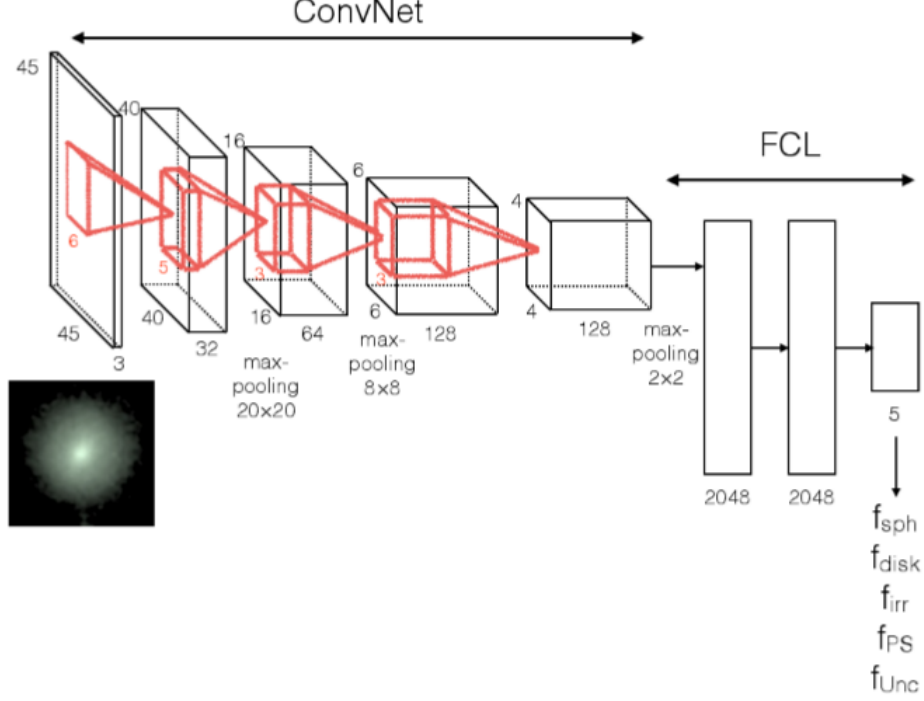
- Sigmoid: A sigmoid function squashes a real-valued input into the range of 0 to 1.
- Relu: A rectified linear unit function outputs the maximum value between 0 and the input. So, if the input is negative, the output will be 0 and not a negative number. For positive input, the output value is linear.
- tanh: Just like a sigmoid, a tanh activation function also squashes the input value but the range in this case is  $[-1, 1]$ . The output of tanh is zero-centered, hence it is preferred over sigmoid.
- Maxout: In a convolutional network, a maxout feature map can be constructed by taking the maximum across  $k$  affine feature maps. This activations has given state of the art performance results for some datasets like MNIST and CIFAR-10 when used in conjunction with dropout.

### 3.3 Architecture

The architecture used in our paper tried to very closely to mirror the architecture originally described in (Dieleman, Willett, and Dambre 2015) and used in (Huertas-Company et al. 2015)

The architecture consists of an input layer that is  $45 \times 45 \times 3$  which represents the layout of the image and the three color channels (RGB). This is passed through four convolutional layers three of which have max-pooling layers (first, second, fourth). The convolution layers have the kernel sizes: six, five, three, and three, respectively. These are followed by two fully connected layers that use the ReLU function as they're non linearity function. This is the on contrast in our architecture to the one originally implemented in the paper. The architecture in the paper uses the maxout rather than ReLU, however the framework we used

Figure 4: Architecture



for our implementation, TensorFlow (Abadi et al. 2016), doesn't have native support for maxout.

Figure 5: Parameters in the original Dieleman, Willett, and Dambre 2015 paper. We do not use maxout and our output nodes use sigmoid activations.

	type	# features	filter size	non-linearity	initial biases	initial weights
1	convolutional	32	$6 \times 6$	ReLU	0.1	$\mathcal{N}(0, 0.01)$
2	convolutional	64	$5 \times 5$	ReLU	0.1	$\mathcal{N}(0, 0.01)$
3	convolutional	128	$3 \times 3$	ReLU	0.1	$\mathcal{N}(0, 0.01)$
4	convolutional	128	$3 \times 3$	ReLU	0.1	$\mathcal{N}(0, 0.1)$
5	dense	2048	—	maxout (2)	0.01	$\mathcal{N}(0, 0.001)$
6	dense	2048	—	maxout (2)	0.01	$\mathcal{N}(0, 0.001)$
7	dense	37	—	constraints	0.1	$\mathcal{N}(0, 0.01)$

Another difference in our implementation is the model averaging. The original paper has 17 different variations of the above described architecture each of which individually close to as good. They average over the predictions of each model for a given image and hence get better performance.

Our model uses AdamOptimizer (Kingma and Ba 2014) for finding the parameters. The final learning rate used was 0.001. To avoid over fitting we use dropout (Srivastava et al. 2014) and do real time data augmentation as explained in (Dieleman, Willett, and Dambre 2015).

## 4 Installing Tensorflow on Hyades

Here we give a basic record of how we got tensorflow working on Hyades. You can easily install tensorflow using pip:

```
pip install https://storage.googleapis.com/tensorflow/linux/gpu/tensorflow-0.9.0rc0-cp27-none-linux_x86_64.whl -user
```

But using this will give a libc error. For this we followed the answer at (<http://stackoverflow.com/questions/33655731/error-while-importing-tensorflow-in-python2-7-in-ubuntu-14.04>) and download local copies of the required libc in local folders. Next we will get a libcuda error. For this we basically need cuDNN. First we copy the Hyades Cuda 7.5 into a local directory, then download and unpack cuDNN v4 into local directories. Then we copy files from this into the local Cuda 7.5 folder.

```
cp local/cudnn/cuda/include/cudnn.h local/cuda/include/
cp local/cudnn/cuda/lib64/libcudnn* local/cuda/lib64
```

Now we have every thing in place. Now we just need to export the path to the local cuda:

```
export LD_LIBRARY_PATH="/local/cuda/lib64/:$LD_LIBRARY_PATH"
```

And run Python using the new libc.

```
LD_LIBRARY_PATH=/local/libc/libc6_2.17/lib/x86_64linuxgnu:/local/libc/libc6_2.17/usr/lib64:$LD_LIBRARY_PATH
python /local/libc/libc6_2.17/lib/x86_64-linux-gnu/ld-2.17.so /pfs/sw/python/Python-2.7.8/bin/python
```

## 5 Evaluation

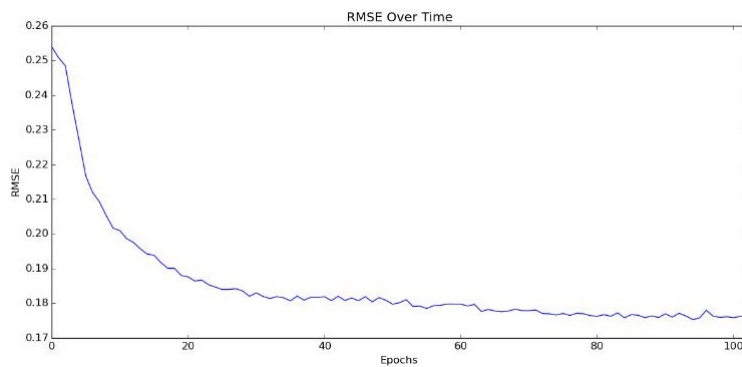
### 5.1 Results

To evaluate the accuracy of our models used root mean square error (RMSE):

$$RMSE = \sqrt{\frac{1}{n} \sum_{j=1}^n (y_j - \hat{y}_j)^2}$$

We stopped training models at around 100 epochs because that is when we noticed a that test error began to increase while training error continued decrease. This is typical of a model that is over fitting the training set. At this point our models had average RMSE of .17.

Figure 6: RMSE on test set



Although this is a little higher than the average RMSE for the trained model in the Huertas-Company et al. 2015 paper which was  $\sim .13$ . We think that the slight differences between our experiments can account for the small difference in our results. The differences were:

1. **Preprocessing**

The method by which the Huertas-Company paper preprocessed the images wasn't exactly clear so we implemented a slightly different form of preprocessing than the paper.

2. **Real time data augmentation**

In our implementation of the real time augmentation of the images, we excluded the brightness adjustment.

3. **Model averaging**

The best results in the Huertas-Company were achieved when they averaged the predictions of multiple trained models. However for this report we only report the model that had the best results.

4. **Maxout layers**

As a result of the TensorFlow framework not having native support for the Maxout layer we instead opted to only use the ReLU activation function.

## 5.2 Performance

We trained the network on Hyades' GPU node (Tesla K20) and a PC (GeForce GTX 650). At Hyades it takes an average of  $< 50$  seconds per epoch (without real time data augmentation). Each epoch involves learning from 19,000 images. Before doing pre processing (rescaling to  $45 \times 45$ ) it took 8 minutes per epoch on Hyades compared to just 108 seconds on the PC. A possible cause could be IO latency. This aspect needs inspection before we can do real time augmentation on Hyades. But Hyades has the benefit of higher memory and can be trained on bigger batches and can do predictions in bulk instead of batches.

## 6 Conclusions

In this paper we trained a convolutional neural network model based on the the Huertas-Company et al. 2015 paper to classify galaxy morphologies from the CANDELS dataset with promising results. The performance differences can hopefully be covered by implementing the features mentioned in section 5.1.

## 7 Future Work

Although our results are good, some more work can be done to match and then improve the results achieved by the Huertas-Company et al. 2015 paper. Also we need to explore the IO latency faced on Hyades' GPU node when running image operations during run time. Furthermore, this techniques explored in this paper can be adapted and applied in other areas of astronomy and astrophysics.

Finally, the performance of different frameworks, such as Theano, can be compared to see if there is a difference in results.

## References

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- Dieleman, Sander, Kyle W Willett, and Joni Dambre (2015). “Rotation-invariant convolutional neural networks for galaxy morphology prediction”. In: *Monthly Notices of the Royal Astronomical Society* 450.2, pp. 1441–1459.
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