

# Motor Symptoms Detection and Analysis of Parkinson's and Huntington's Disease by Hidden Markov Models Based Activity Recognition

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December 16, 2016

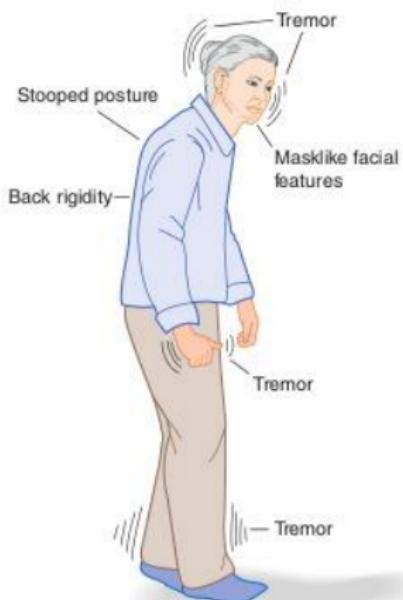
# Outline

- Introduction and Study Setup
- Methodology
  - Motor Symptoms Analysis for Parkinson's and Huntington's Disease
  - Hidden Markov Models Based Activity Recognition
- Experimental Results
- Conclusion

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# Parkinson's Disease (PD)

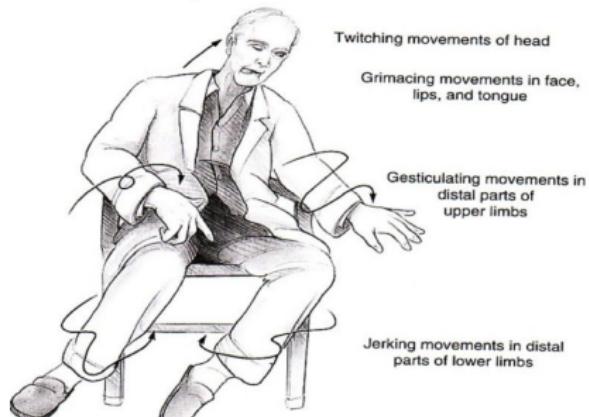
- Progressive neurological disorder characterized by
  - Tremors and Rigidity
  - Freeze of Gait
  - Postural Instability
- Rhythmic motor symptoms (Tremor)
- UPDRS - Scale for assessment of severity
- Approximately 1 million people suffering in USA



Source: <http://body-disease.com/parkinson-disease/>

# Huntington's Disease (HD)

- Progressive and inherited neurological disorder characterized by
  - Chorea
  - Unsteady Gait
  - Cognitive Impairment
- Erratic motor symptoms (Gait)
- UHDRS - Scale for assessment of severity
- Approximately 30k people living with HD

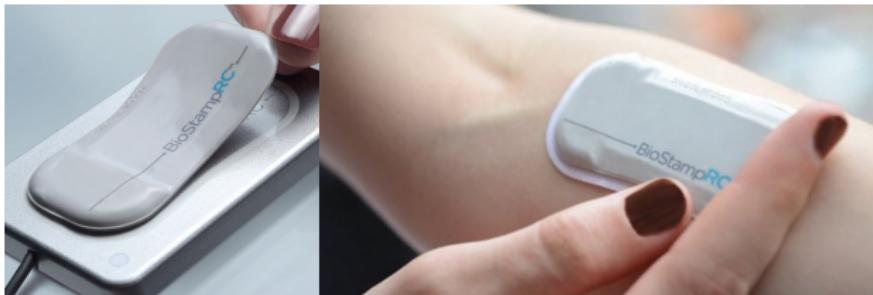


Source:  
<http://slideplayer.com/slide/7992667/>

# Motor Symptoms: Subjective vs Objective Assessment

- Motor symptoms is inherent in both diseases
- UPDRS and UHDRS are short term, subjective assessments
- Wearable sensors have emerged as suitable alternative
- Hence, in this project, we want to recognize the movement/non-movement activities and do motor symptoms analysis in the detected activity duration for PD and HD

# Body-affixed Sensors: BioStampRC (MC10 Inc.)



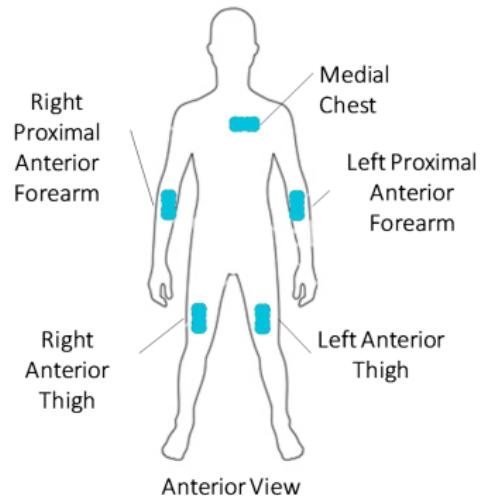
- Lightweight(7grams)
- Unobtrusive,body-affixable
- Low power
- Long recording time

# Body-affixed Sensors: BioStampRC Key Specifications

Mode	Sampling Rate	Dynamic Range	Recording Time (Max)
Accelerometer (Accel.)	31.25, 50, 100, 200 Hz	2, 4, or 8 g	8-35 hours
ECG	125, 250 Hz	0.2 V	17-35 hours
EMG	250 Hz	0.2 V	17 hours
Accel.+ECG	50 Hz(accel) 125, 250 Hz (ECG)	2, 4, or 8 g (accel) 0.2 V (ECG)	11-22 hours
Accel.+EMG	50 Hz(accel) 250 Hz(EMG)	2, 4, or 8 g (accel) 0.2 V (EMG)	11 hours
Gyro.+Accel	25, 50, 100, 250 Hz	2, 4, 8, 16 g (accel) Off, 250, 500, 1000, 2000 /sec (gyro)	2-4 hours

Source: <https://www.mc10inc.com/our-products/biostamprc>

# Pilot Study



- 10 HD, 16 PD, and 15 Controls enrolled
- Five accelerometers for each participant
- In-clinic assessment + two day in-home recording

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## Gait Analysis in HD: Aim

- Gait analysis in HD is characterized by
  - Cross-correlation analysis to parameterize the lack of co-ordination between the legs to differentiate between HD and controls
  - Auto-correlation analysis to parameterize the step duration variability that is higher in HD when compared to controls

## Characterization of Lack of Coordination (Joint Utilization of Sensors)

- Three in-clinic 10 meter walk tests were conducted for 10 HD and 15 controls
- To parameterize the lack of co-ordination between legs, we use normalized vector cross correlation of the data obtained from the sensors attached to the left and right leg.

### Normalized Vector Cross-Correlation of Left and Right Leg Sensors

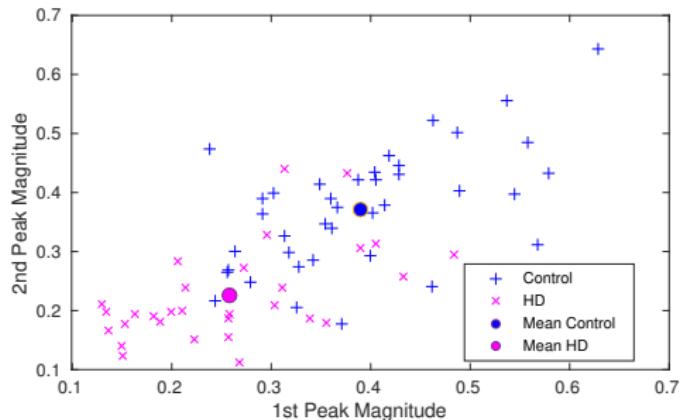
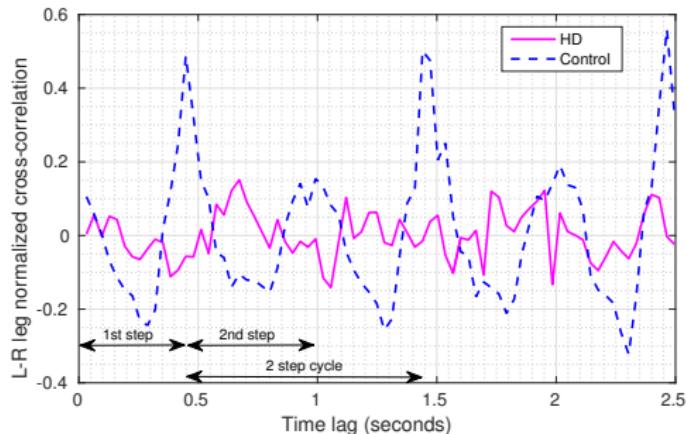
$$R_{LR}(m) = \frac{\sum_{n=1}^{N-m} (\mathbf{a}_L(n)\mathbf{a}_R(n+m))}{\left(\sum_{n=1}^{N-m} \|\mathbf{a}_L(n)\|^2\right)^{1/2} \left(\sum_{n=1}^{N-m} \|\mathbf{a}_R(n+m)\|^2\right)^{1/2}}$$

where,

$\mathbf{a}_L(n)$  → left leg sensor ,  $\mathbf{a}_R(n)$  → right leg sensor

N → total samples , m → time lags

# Lack of Co-ordination: HD vs Control



# Characterization of Step Duration (Individual Sensor Utilization)

- Lack of co-ordination also results in variability in step duration, which can be visualized but not quantified by cross-correlation of leg sensors
- We perform auto-correlation of chest sensor, which captures movement from both legs

## Normalized Vector Auto-Correlation of Chest Sensor

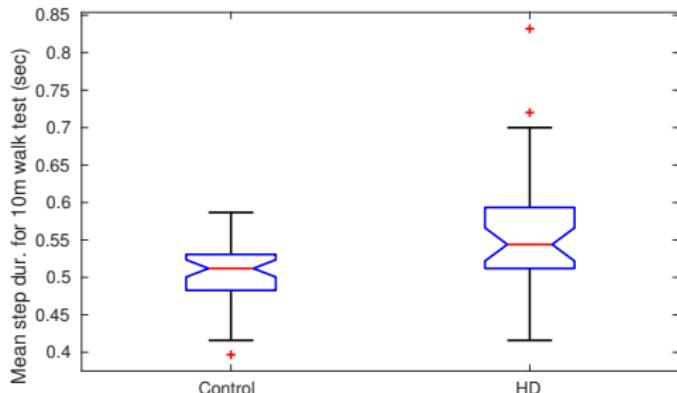
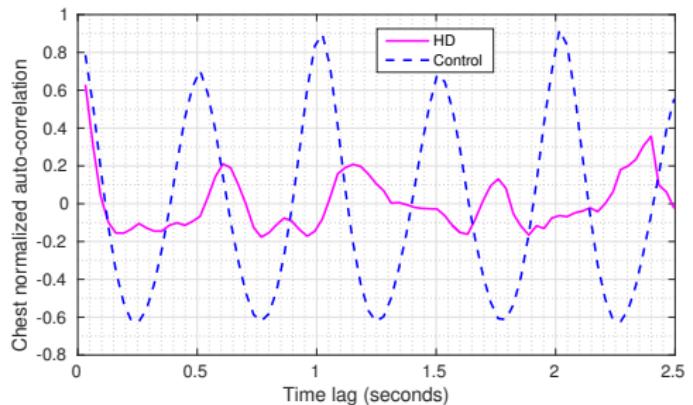
$$R_{CC}(m) = \frac{\sum_{n=1}^{N-m} (\mathbf{a}_C(n)\mathbf{a}_C(n+m))}{\left(\sum_{n=1}^{N-m} \|\mathbf{a}_C(n)\|^2\right)^{1/2} \left(\sum_{n=1}^{N-m} \|\mathbf{a}_C(n+m)\|^2\right)^{1/2}}$$

where,

$\mathbf{a}_C(n)$  → chest sensor

N → total samples , m → time lags

# Step Duration: HD vs Control



# Tremor Analysis in PD: Aim

- Analyze the tremor and differentiate PD from control
- Tremors have typical frequency band: 4-7 Hz
- Hence, spectrogram analysis to characterize the tremor



Source:  
<https://quizlet.com/63838614/ecr-3-flash-cards/>

# Frequency Characterization for Tremor in PD: Spectrograms

- We perform Principal Component Analysis (PCA) on the tri-axial data and choose dominant acceleration component for analyzing tremor
- We divide the data into segments and analyze the spectrogram of each segment

## Spectrogram Analysis using Short Term Fourier Transform (STFT)

$$A_{SI}(k, \omega) = \sum_{n=-\infty}^{\infty} a_{SI}^{pc}(n)w(n-k)e^{-j\omega n},$$

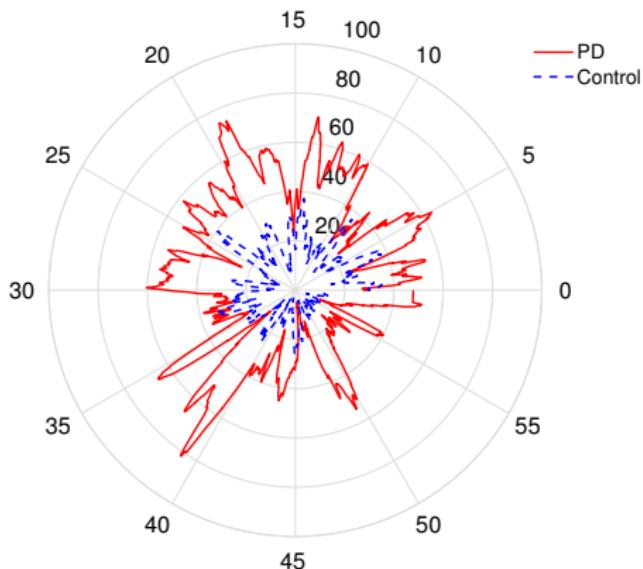
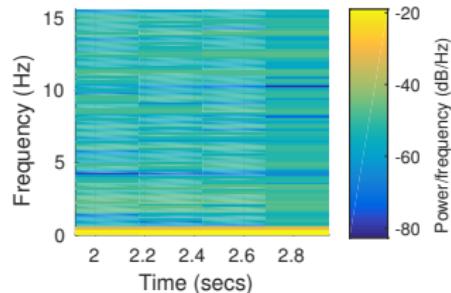
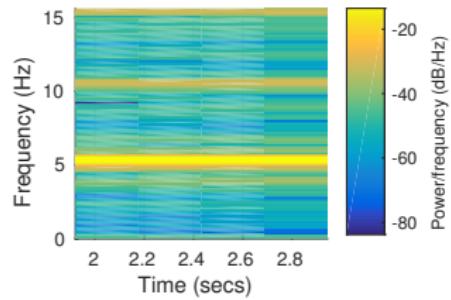
$$A_{SI}(k, p) = A_{SI}(k, \omega) , \text{at } \omega = \frac{2\pi}{M}p,$$

$$S(k, p) = |A_{SI}(k, p)|^2 .$$

where,

$a_{SI}^{pc}(n) \rightarrow l^{th}$  segment of the PC data,  $w(n) \rightarrow$  window function of length  $M$ ,  $k \rightarrow$  overlap,  $A_{SI}(k, \omega) \rightarrow \text{STFT}(a_{SI}^{pc}(n))$ ,  $S(k, p) \rightarrow$  Spectrogram

# PD vs Control

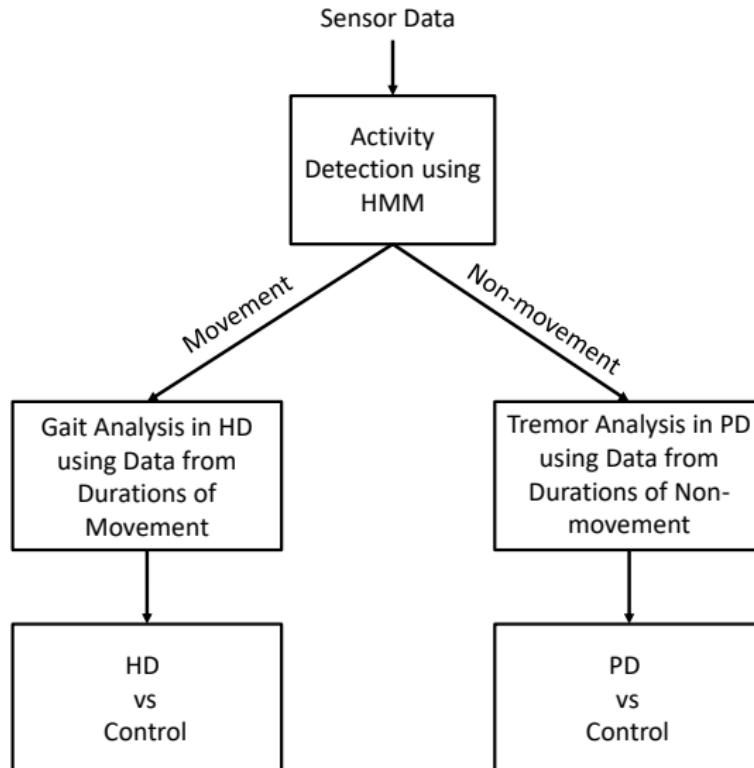


## Why Activity Recognition?

- Till now we were manually picking the movement and non-movement duration.
- We need to automate the process of extracting the moving and non-moving duration from the data

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# Schematic Diagram of Proposed Model

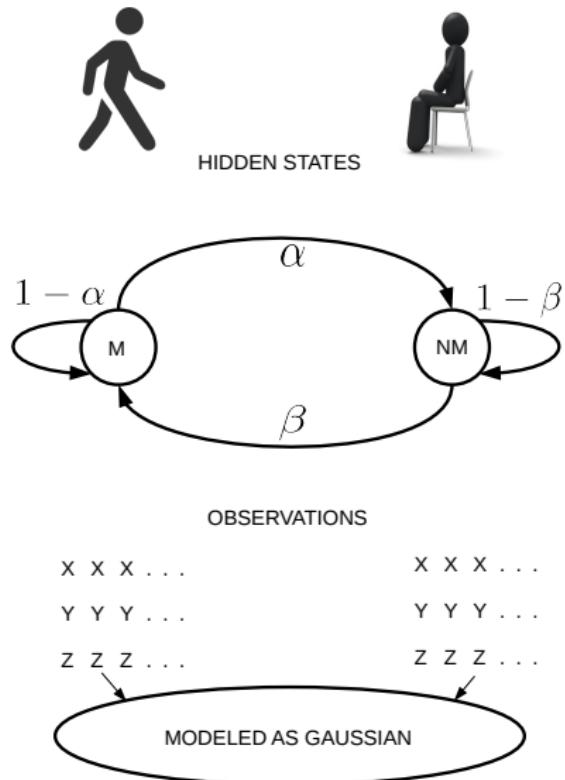


## Motivation for Using HMM

- The accelerometer data is the observation and the activity causing the accelerometer reading is the state which is HIDDEN
- The data is time series which has inherent dependency which can modeled as MARKOVian
- Hence, HIDDEN MARKOV model

# Hidden Markov Model: Assumptions and Initializations

- Hidden States:- We consider 2 states: Moving and Non-moving
- The observation in each state is modeled as multivariate Gaussian  $\mathcal{N}(\mu_1, \Sigma_1)$  and  $\mathcal{N}(\mu_2, \Sigma_2)$
- We have  $2 \times 2$  transition matrix
$$T = \begin{bmatrix} 1 - \alpha & \alpha \\ \beta & 1 - \beta \end{bmatrix}$$
- We start with stationary distribution  $\pi = [\pi_0 \ \pi_1]$



## State and Parameter Estimation: Baum-Welch Iteration

- Parameters  $\theta = [\pi, \alpha, \beta, (\mu_1, \Sigma_1), (\mu_2, \Sigma_2)]$
- Current parameter estimate  $\theta^t = [\pi^t, \alpha^t, \beta^t, (\mu_1^t, \Sigma_1^t), (\mu_2^t, \Sigma_2^t)]$
- Data:  $X = \begin{bmatrix} x_1 & y_1 & z_1 \\ x_2 & y_2 & z_2 \\ \vdots & \ddots & \vdots \\ \cdot & \cdot & \cdot \end{bmatrix}$
- States:  $Z = [M, NM]$
- Step1: Obtain MAP estimate of the state sequence

$$\hat{Z} = \arg \max_Z p(X, Z | \theta^t)$$

- Step 2: Update the parameter

$$\theta^{t+1} = \arg \max_{\theta} p(X, \hat{Z} | \theta)$$

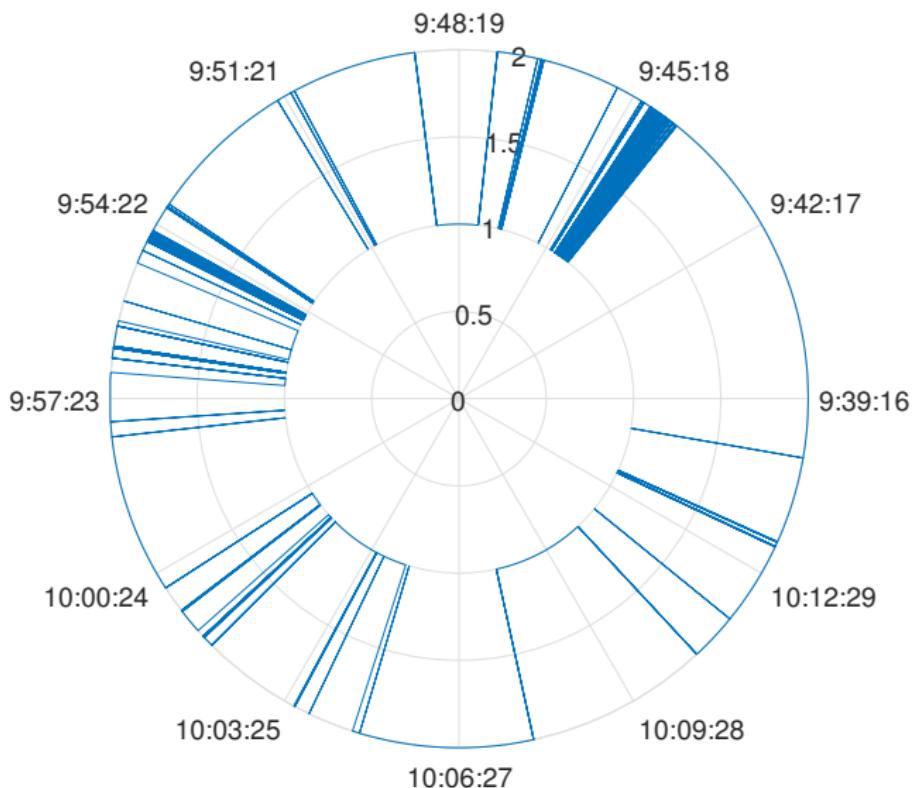
# Activity Prediction (AP)

- We obtain the Baum-Welch parameter estimate for all participants
- Since each participants estimate are independent, we average the  $(\mu, \Sigma)$  across all participants
- Use the aggregate  $(\mu, \Sigma)$  to calculate the Mahalanobis distance of each point from the parameter estimate for each state

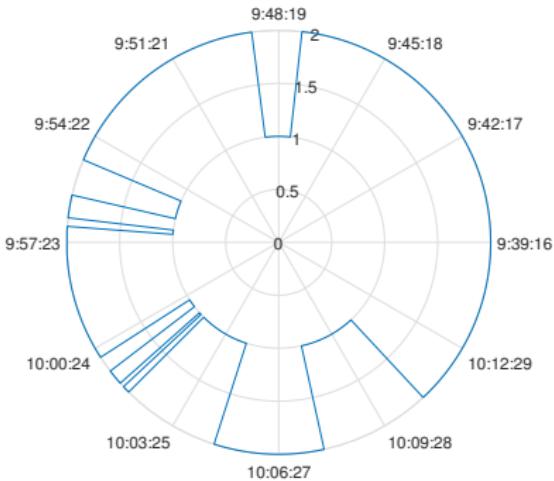
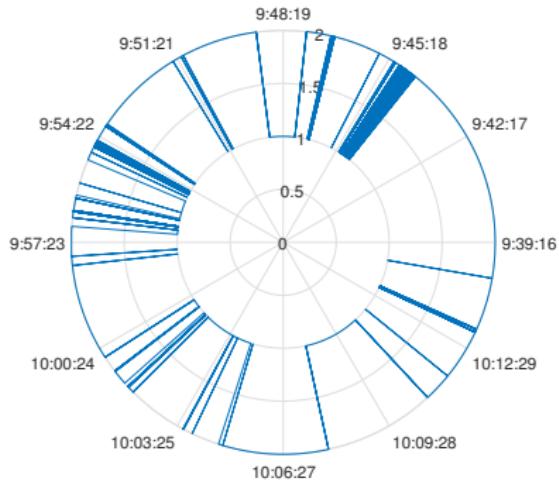
$$D_M(\vec{x}) = \sqrt{(\vec{x} - \vec{\mu})^T \Sigma^{-1} (\vec{x} - \vec{\mu})}$$

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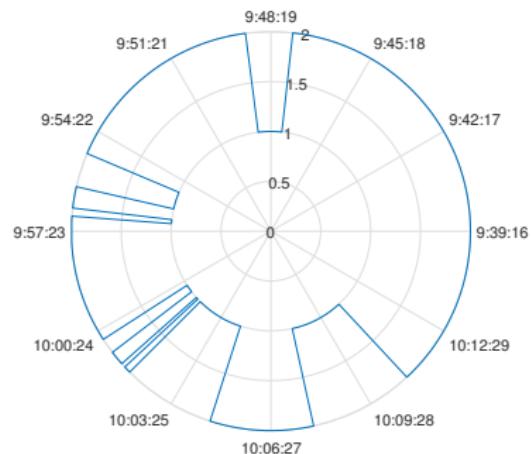
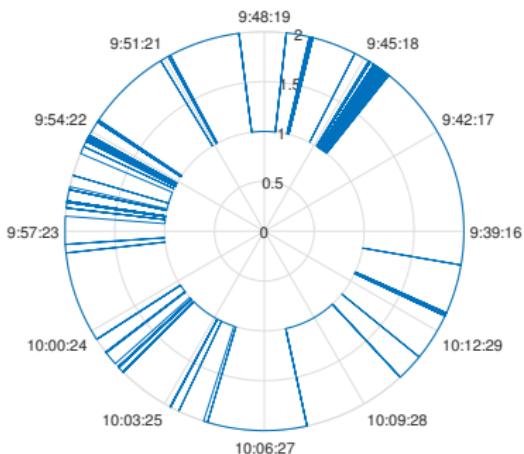
# HMM Activity Recognition Result



# HMM Activity Recognition Result: Smoothing



# Control: HMM Smoothing Pre vs Post with Validation



8/10/2016, 9:45:05 AM EDT

UHDRS 9 - Arm Rigidity

0:00:06

8/10/2016, 9:45:24 AM EDT

UHDRS 9 - Arm Rigidity

0:00:24

8/10/2016, 9:47:46 AM EDT

UHDRS 13 - Gait

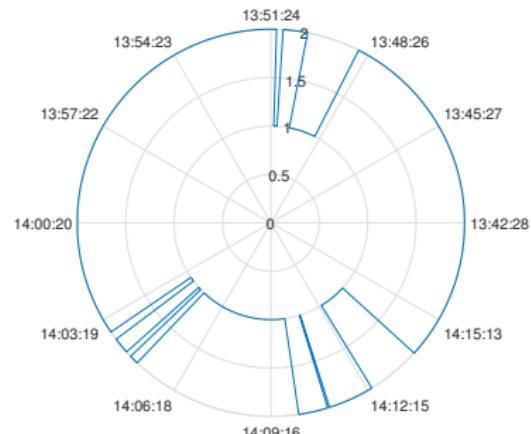
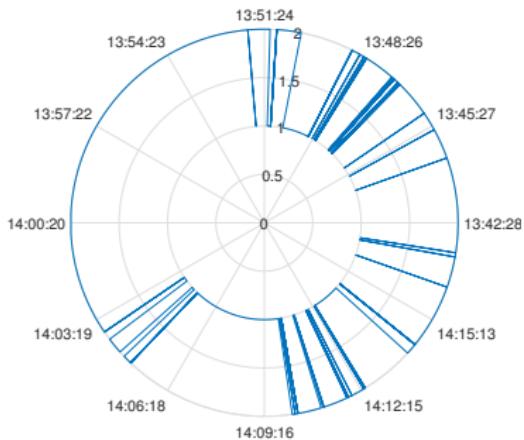
0:00:27

8/10/2016, 9:48:20 AM EDT

UHDRS 14 - Tandem Walking

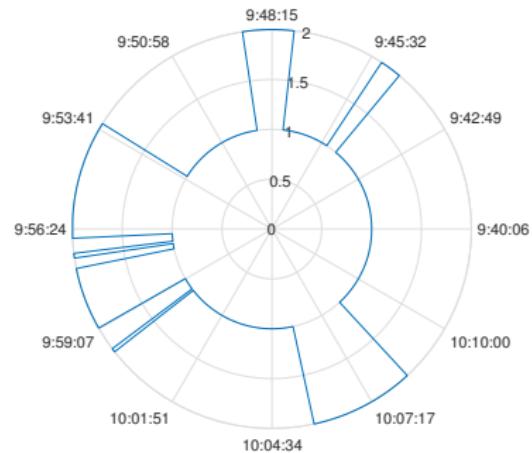
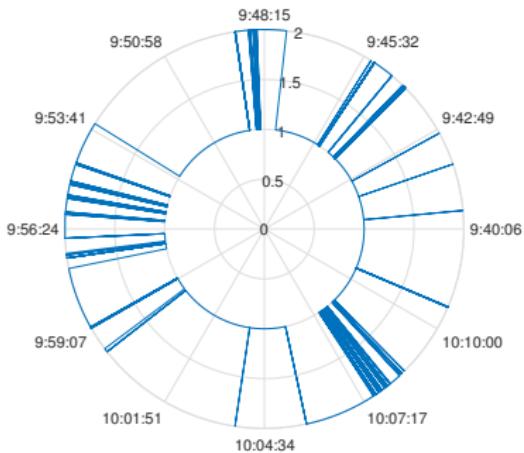
0:00:13

# HD: HMM Smoothing Pre vs Post with Validation



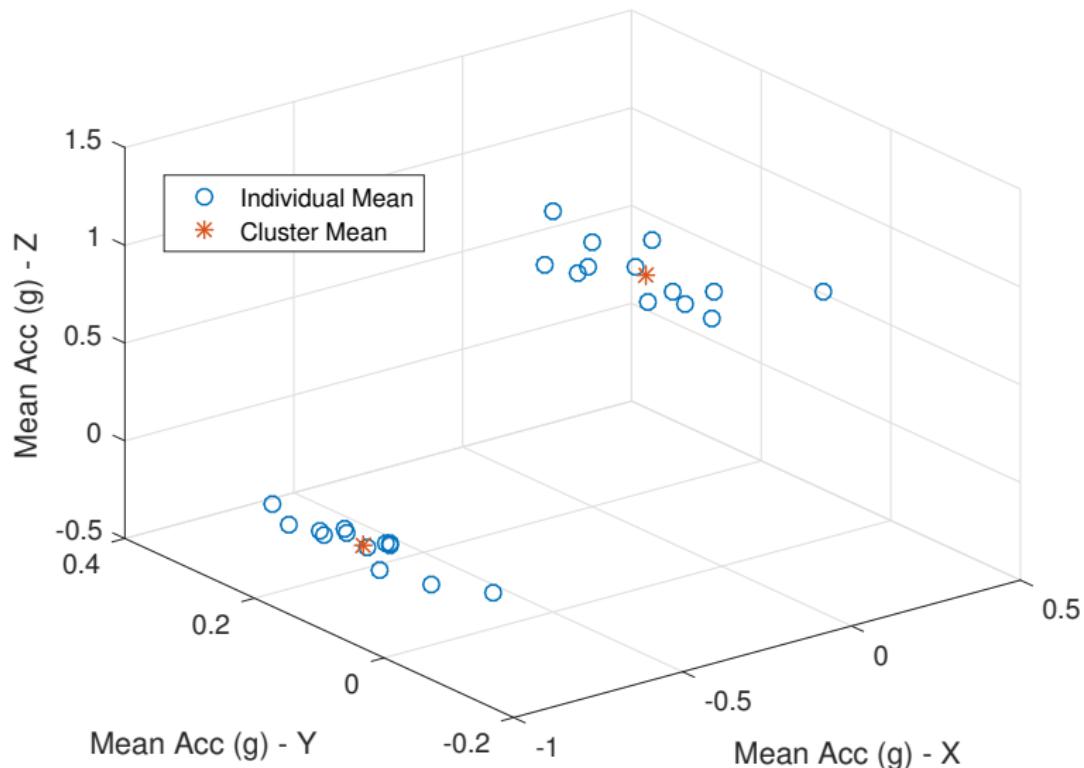
9/21/2016, 1:48:15 PM EDT	UHDRS 12 - Maximal Chorea	0:00:01
9/21/2016, 1:48:28 PM EDT	UHDRS 12 - Maximal Chorea	0:00:01
9/21/2016, 1:48:51 PM EDT	UHDRS 13 - Gait	0:00:11
9/21/2016, 1:49:16 PM EDT	UHDRS 11 - Maximal Dystonia	0:00:01
9/21/2016, 1:49:25 PM EDT	UHDRS 11 - Maximal Dystonia	0:00:01

# PD: HMM Smoothing Pre vs Post with Validation

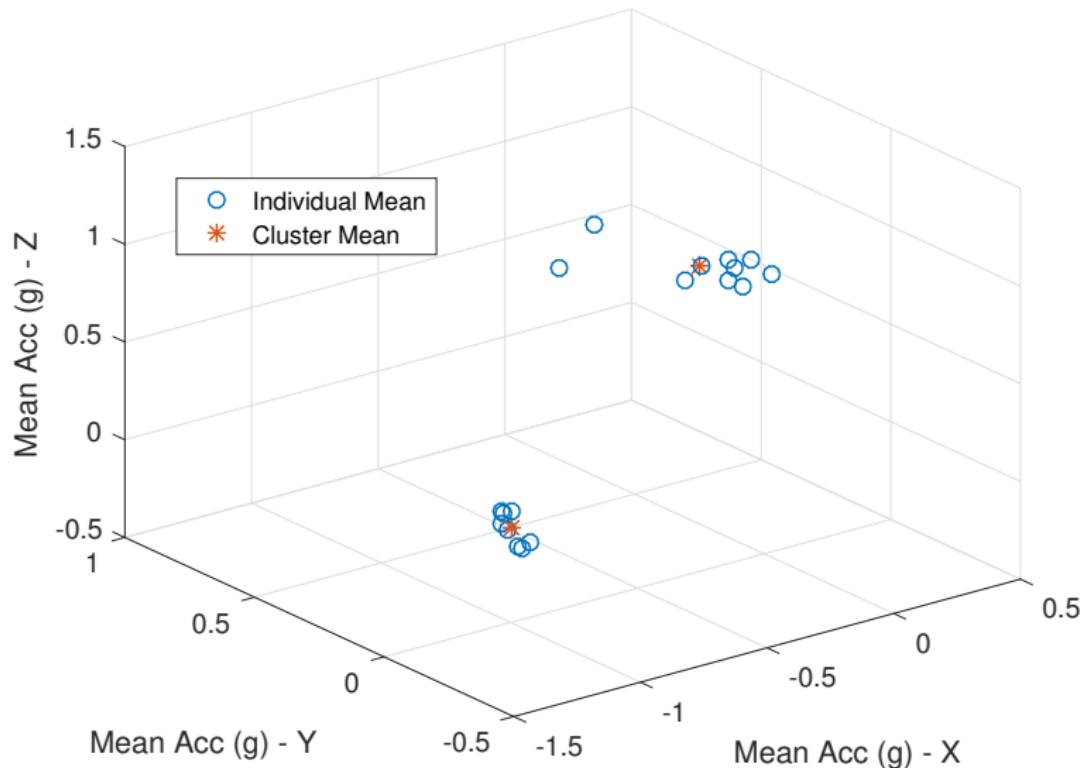


8/17/2016, 9:46:19 AM EDT	UPDRS 3.16 - Kinetic Tremor of Hands	0:00:09
8/17/2016, 9:47:48 AM EDT	UPDRS 3.12 - Postural Stability	0:00:14
8/17/2016, 9:48:30 AM EDT	UPDRS 3.10 - Gait	0:00:15
8/17/2016, 9:54:40 AM EDT	10 Meter Walk Test	0:00:04
8/17/2016, 9:55:31 AM EDT	10 Meter Walk Test	0:00:04

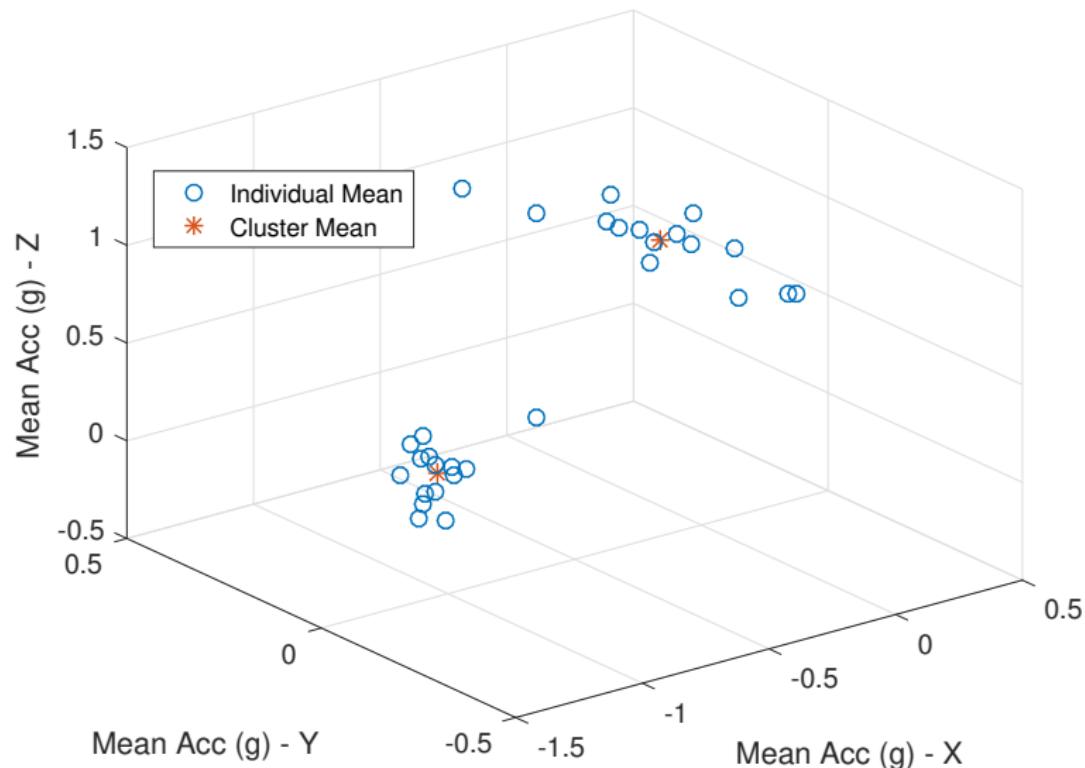
## Baum-Welch Parameter Estimates: Control



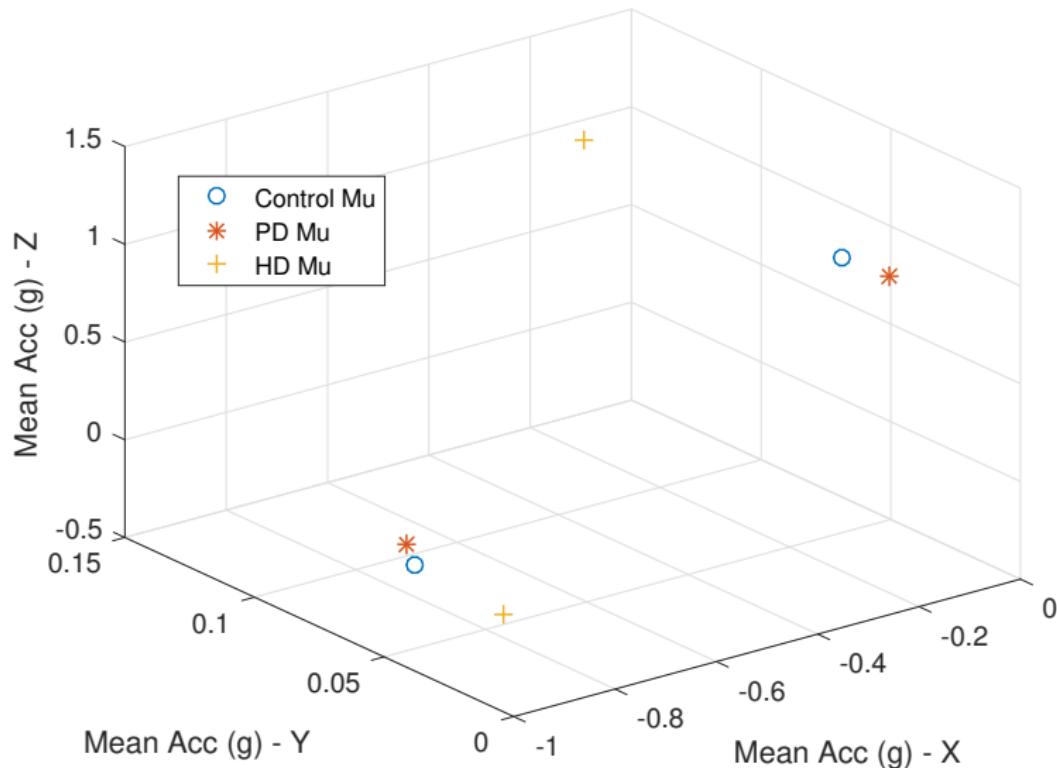
# Baum-Welch Parameter Estimates: HD



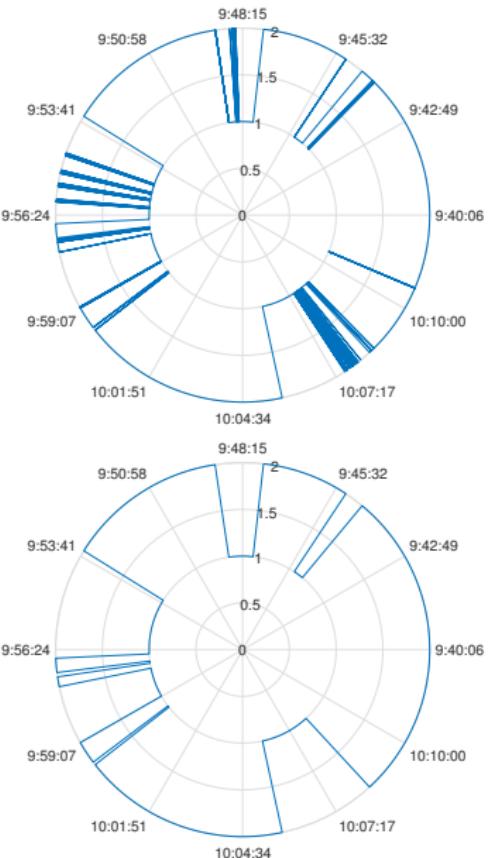
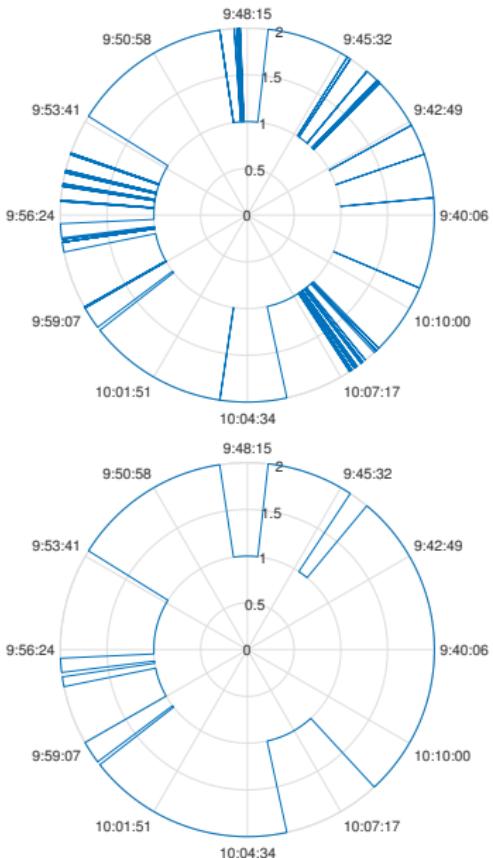
# Baum-Welch Parameter Estimates: PD



# Baum-Welch Parameter Estimates: Aggregate



# PD: HMM vs Predictor

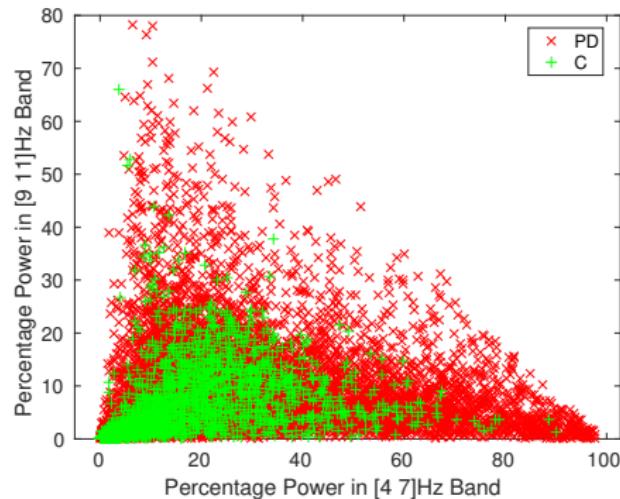
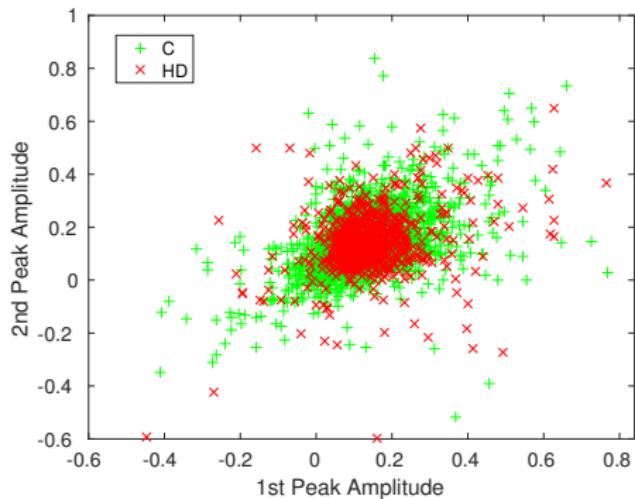


## Runtime and Accuracy Statistics

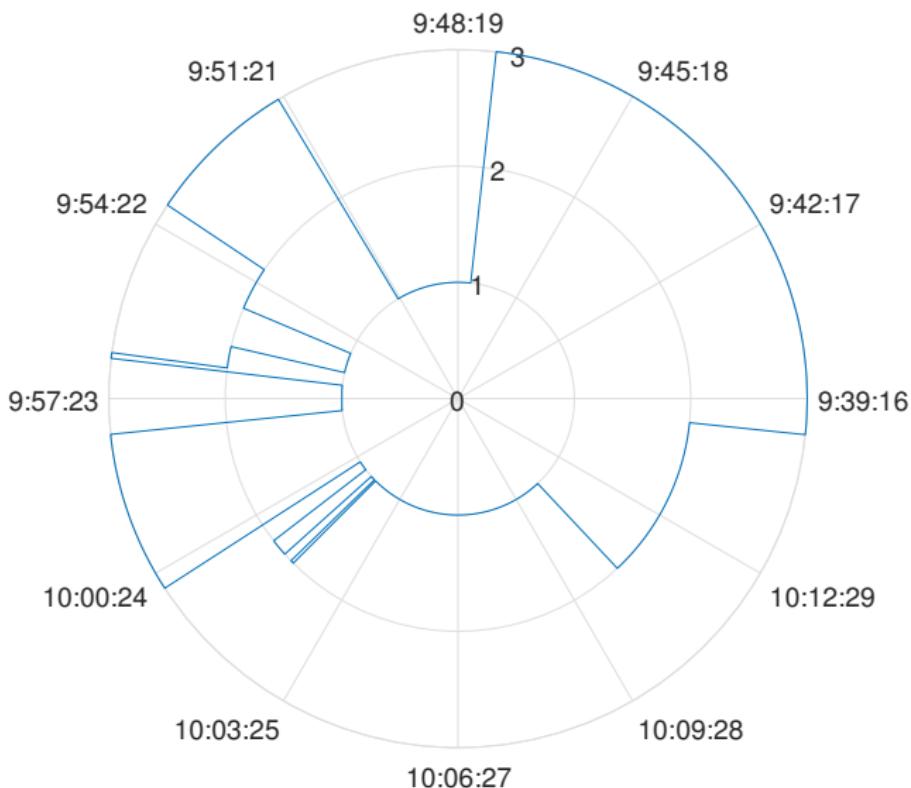
Patient Type	HMM Runtime	AP Runtime	AP Accuracy
Control	3.33 sec	<b>0.79 sec</b>	99.67%
Control	4.08 sec	<b>1.07sec</b>	99.49%
HD	3.5 sec	<b>1.1 sec</b>	98.34%
HD	3.57 sec	<b>0.94 sec</b>	99.81%
PD	26.8 sec	<b>3.5 sec</b>	99.89%
PD	7.66 sec	<b>3.8 sec</b>	99.45%

Table: Comparison of HMM and AP Performance

## Not So Good Results: HD vs C and PD vs C



## Not So Good Results: 3 State Estimation



# Conclusion

- Utilization of sensors for PD/HD analysis is advantageous
  - Light weight, body-affixed with low power and long recording capacity
- Preliminary analysis based on manually locating the activity duration helped us come up with features
  - Cross correlation for gait analysis in HD
  - Spectrogram for tremor analysis in PD
- HMM automates the activity prediction process and proves to be useful
  - Successfully recognized moving and non-moving durations and validated it on the in-clinic data
    - HMM suffers the initialization problem
  - Came up with AP which works on par with HMM in terms of accuracy with better runtime
  - However, further analysis did not give expected results

# References

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# Thank You