

INVESTOR COMPLAINTS DATA BY DEPOSITORY PARTICIPANT**MAXIMUS SECURITIES LTD - DP ID: IN300409****1. DATA FOR THE MONTH OF NOVEMBER 2022:**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of DEC2021	Average Resolution time^ (in days)
						Pending for - less than 3 months / more than 3 months	
1	2	3	4	5	6	7	8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Depositories	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

1. TREND OF MONTHLY DISPOSAL OF COMPLAINTS IN FY 2022-2023:

2. SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	APR 2022	NIL	NIL	NIL	NIL
2	MAY 2022	NIL	NIL	NIL	NIL
3	JUN 2022	NIL	NIL	NIL	NIL
4	JUL 2022	NIL	NIL	NIL	NIL
5	AUG 2022	NIL	NIL	NIL	NIL
6	SEPT 2022	NIL	NIL	NIL	NIL
7	OCT 2022	NIL	NIL	NIL	NIL
8	NOV 2022	NIL	NIL	NIL	NIL
9	DEC 2022				
10	JAN 2023				
11	FEB 2023				
12	MAR 2023				
	Grand Total				

2. TREND OF MONTHLY DISPOSAL OF COMPLAINTS IN FY 2021-22:

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	APR 2021	NIL	NIL	NIL	NIL
2	MAY 2021	NIL	NIL	NIL	NIL
3	JUN 2021	NIL	NIL	NIL	NIL
4	JUL 2021	NIL	NIL	NIL	NIL
5	AUG 2021	NIL	NIL	NIL	NIL
6	SEPT 2021	NIL	NIL	NIL	NIL
7	OCT 2021	NIL	1	1	NIL
8	NOV 2021	NIL	NIL	NIL	NIL
9	DEC 2021	NIL	NIL	NIL	NIL
10	JAN 2022	NIL	NIL	NIL	NIL
11	FEB 2022	NIL	NIL	NIL	NIL
12	MAR 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	1	1	NIL

3. TREND OF ANNUAL DISPOSAL OF COMPLAINTS:

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	1	1	NIL
2	2018-19	NIL	1	1	NIL
3	2019-20	NIL	2	2	NIL
4	2020-21	NIL	1	1	NIL
5	2021-22	NIL	1	1	NIL
6	2022-23	NIL	-	-	-
	Grand Total	NIL	6	6	NIL